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COMPENDIUM OF HEW EVALUATION STUDIES



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Second Edition
Summer 1980

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE
Office of the Assistant Secretary for Planning and Evaluation
Office of Evaluation and Technical Analysis
HEW Evaluation Documentation Center

HE 1.2:



**COMPENDIUM
OF HEW
EVALUATION STUDIES**

**HEW EVALUATION DOCUMENTATION CENTER
Office of the Assistant Secretary for Planning and Evaluation
U.S. Department of Health, Education, and Welfare
Room 424E Humphrey Building
200 Independence Avenue, S.W.
Washington, D.C. 20201
202-245-1884**

**Second Edition
Summer 1980**

PREFACE

During the past decade, a large number of studies have been performed which evaluate programs of the Department of Health, Education, and Welfare (HEW). The new edition of the *Compendium* makes available in one volume descriptions of HEW evaluations conducted over the last several years. Knowledge of these evaluation studies is relevant to a broad range of HEW staff and others responsible for policy development, program management, and assessing program performance.

Overall responsibility for oversight of evaluation in HEW lies with the Office of the Assistant Secretary for Planning and Evaluation (ASPE) and its Office of Evaluation and Technical Analysis, directed by Joseph S. Wholey. One aspect of that oversight responsibility, the dissemination of information about completed evaluation studies, is carried out by the Evaluation Documentation Center (EDC).

Many individuals were involved in the preparation of this *Compendium*. Carolyn G. Solomon, as manager of the Evaluation Documentation Center, is most responsible for the overall preparation of this volume. Mark A. Abramson assisted in its preparation, as did John D. Heinberg and John Van Kuren. Walton Francis who designed and prepared the first edition of the *Compendium* on which this edition is based provided valuable advice. Computer programming support and revisions in computer system design were ably provided by Debbie Lapeyre and J. D. Embry of Information and Publishing Systems, Inc., Karen Edwards, Mary Magee, Mary Pope, and Margaret Sedwick supplied typing support.

Finally, many thanks are due to individuals throughout HEW who prepared the project descriptions contained in this volume.

April 1980

John L. Palmer
Assistant Secretary for Planning
and Evaluation

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INTRODUCTION



This volume is the second edition of the *Compendium of HEW Evaluation Studies*. Since the first edition, published in Fall 1977, is now out of print, this edition includes all studies listed in that volume. In addition, it includes evaluation projects initiated in 1977 and 1978 and studies conducted between 1972 and 1974 which were not included in the first edition—1400 entries in all.

The *Compendium* is published by the HEW Evaluation Documentation Center which, since 1976, has compiled one-page descriptions of all ongoing and completed HEW evaluation studies. These project descriptions form the basis of the publication.

This edition of the *Compendium* is the last that will include information about projects initiated by the Education Division. After May 1980, Education Division projects will be the responsibility of the new Department of Education. The Department of Health, Education and Welfare will become the Department of Health and Human Services.

ORGANIZATION OF THE COMPENDIUM

The *Compendium* is divided into four main sections:

Section I: Project Descriptions

The Project Descriptions present detailed information, largely self-explanatory, on each study contained in the Evaluation Documentation Center. The studies are organized by HEW's Principal Operating Components (Education Division, Health Care Financing Administration, Office of Human Development Services, Public Health Service, Social Security Administration) and the Office of the Secretary. Studies are sub-categorized by operating agencies within each Principal Operating Component (except HCFA and SSA) and the Office of the Secretary. HEW agencies are listed in the Table of Contents. For those unfamiliar with HEW, an organization chart is provided on the next page.

Each study in this section is assigned an accession number which appears at the top of each description. All studies are indexed by accession number.

Section II: Subject Index

The Subject Index contains approximately 300 descriptive terms, plus numerous cross-references. For each project dealing with a given subject, the project or report title and accession number is presented. Most studies are listed several times.

Section III: Agency Sponsor Index

The Agency Sponsor Index lists project or report title and accession number by sponsoring agency. Studies are listed only once.

Section IV: Program Index

The Program Index lists names of 382 HEW programs, followed by 25 special cross-cutting entries. For each evaluation project dealing with a specific program, the project title or report title and accession number is given. Since many studies cover more than one program, studies are frequently listed more than once.

THE EVALUATION DOCUMENTATION CENTER

The Evaluation Documentation Center (EDC) was created in 1971 to identify, categorize, and index HEW-sponsored health evaluation studies. Expansion and refinement of the EDC in 1974 initiated the inclusion of education, income maintenance, and social services evaluations. In 1976, further development of these services brought computerized publication of project descriptions of all HEW evaluation studies. The computer system was further refined in 1979 to permit the volume to be composed and printed by computer.

The Evaluation Documentation Center maintains the following:

- A library of completed evaluation studies.
- Executive summaries of completed evaluation studies. These summaries describe the project, evaluation objectives, evaluation methodology, major findings, and recommendations.
- A description sheet for each ongoing or completed evaluation study. These sheets provide a one-paragraph abstract, administrative data (including the date of the study, amount of funding, sponsoring agency, agency contact, and performer), descriptive terms that identify subject content, terms identifying the program(s) evaluated, a document control number, and project status.
- Various subject, sponsor, and program indices to ongoing and completed studies.
- A computer system that makes specialized searches and special report production possible.

The operation of the EDC and procedures for submitting evaluation project descriptions are discussed further in the *Users' Guide to the HEW Evaluation Documentation Center*.

As a service to users, the EDC enters most completed studies in the National Technical Information Service (NTIS) so that copies of evaluation studies, which are rarely published, can be purchased by the public and by government agencies.

NOTES ON USING THE COMPENDIUM

A. Project Descriptions

Each entry in the project description should be self-explanatory. Those who desire a detailed description of each entry should look at the *User's Guide*.

Many project descriptions have been updated since publication of the first edition of the *Compendium*. In a number of cases, parts of the project descriptions remain incomplete, although the completion date of a study has passed. This usually indicates that the sponsoring agency has not updated the project description sheet.

B. Subject Index

Report titles are listed in all indices whenever they are known. For studies in-process and others for which specific report titles have not yet been assigned, project titles are used instead.

The subject index enables the user to locate studies on any topic or combination of topics. Three general types of terms are used: target group terms, technical feature terms, and

Region	Distribution
1	Atlanta
11	New York
11	Philadelphia
12	Atlanta
14	Chicago
15	Dallas
16	Kansas City
17	Denver
18	San Francisco
2	Seattle



content terms. By appropriately selecting terms, a user can determine which studies deal with a particular population group, use a particular approach to research, or deal with a particular social problem area. For example, a user planning to do a benefit-cost study can look up previously initiated "Benefit-Cost Analysis" studies to investigate some particular aspect such as discounting costs and benefits to present value. Alternatively, a user interested in survey techniques as they relate to elementary school students could look up studies indexed under both "Survey or Questionnaire Feature" and "Elementary and Secondary Students."

The selection of subject index terms requires compromises between exhaustiveness, economy, logic, and pertinence. The problem is complicated by the lack of agreed vocabulary for problem areas and scientific methodology and the need for consistency in indexing across studies. To solve this problem, the subject index attempts to use general terms whose meanings are widely recognized rather than specialized terms whose meanings are less well known. Terms so broad as to be applicable to hundreds of studies (e.g., education or health) are not used. In general, the number of terms has been kept to a minimum to avoid fragmentation, except where the sheer volume of studies listed warranted subdivision.

In a large number of cases, cross-references are inserted in the listing to point the user to the "official" term or to related terms. Terms may be added, deleted, or subdivided in subsequent versions of the *Compendium* based on experience and volume of entries.

C. Program Index

This list of 382 HEW programs (plus two dozen cross-cutting special entries) is unavoidably complex. Unfortunately, there is no simple and/or agreed approach to defining a program. Two widely used listings exist, the *Budget Appendix* and the *Catalog of Federal Domestic Assistance*. One version of the *Catalog*, prepared by HEW ("Summary of HEW Programs"), lists 381 HEW programs, but differs considerably in detail from this list. Since the *Catalog* excludes programs that are not "assistance," we have used the agency, appropriation structure, and program activity or subactivity level of the *Budget Appendix* for our basic entries. Programs are grouped by agencies. Entries have been added to the budget activities in some cases to insure that program direction and administration are shown for each major bureau or agency. Several programs that no longer exist are included.

The Program Index does not break out several dozen "programs," such as specialized solicitations by NIH Institutes, which appear in the *Catalog*. In cases in which a single *Catalog* "program" cuts across several budget activities, it is referenced under every entry to which it applies.

An additional problem occurs because few HEW programs have specific and universally agreed-upon names. This list attempts to avoid search problems by showing each program by agency and functional area. For example, rather than use "Community Mental Health Center" as an entry, perhaps preceded or followed by an education or income maintenance program, the EDC presumes that the user knows or can deduce that this program is in the health area and, within that area, deals with mental health. Hence, this program is shown as "ADAMHA—Mental Health—Community Programs and Centers."

Each line in the Program Index identifies a discrete program usually in four or five words or phrases. Usually the first

phrase gives operating agency; the second, the bureau or major program area. The third and fourth entries complete the specification of the program. In order to conserve space and because output tables are generated alphabetically, the entries are individually tailored to ease use while preserving clear identification of individual programs. An attempt has been made to avoid abbreviations except for agency headings.

Most programs are identified by a general heading and by an activity or subactivity heading. In indexing, evaluation studies are listed at the level to which they relate. A study dealing with an entire program area is, in general, indexed only under the broadest entry.

Approximately 25 special entries at the end of the Program Index list studies dealing with so many programs that conventional indexing is impossible.

AVAILABILITY OF EVALUATION STUDIES

The accession number attached to each title in the indices enables the user to locate detailed information in the Project Description section. If additional information about any study is needed, the agency or agency contact listed on the project description may be contacted.

For additional assistance, the Evaluation Documentation Center may be contacted at the following address and phone number:

HEW Evaluation Documentation Center
Office of the Assistant Secretary
for Planning and Evaluation
U.S. Department of Health, Education,
and Welfare
Room 424E, Humphrey Building
200 Independence Avenue, S.W.
Washington, D.C. 20201
Phone: 202-245-1884

Some studies may also be purchased from the National Technical Information Service (NTIS). Project descriptions include NTIS accession numbers for studies that have been sent to NTIS. For a fee, copies may be obtained in either microfiche or hard copy from:

National Technical Information Service
U.S. Department of Commerce
5285 Port Royal Road
Springfield, Virginia 22161
Phone: (703) 557-4650

A limited number of evaluation studies, primarily those sponsored by the Education Division, are available from the Educational Resources Information Center (ERIC). Studies funded by the Administration for Children, Youth, and Families (Office of Human Development Services) are also entered into the ERIC system. Project descriptions include ERIC accession numbers for studies that have been sent to ERIC. Copies of studies included in the ERIC system may be obtained in either microfiche or hard copy for a nominal fee through:

Computer Microfilm International Corporation
ERIC Document Reproduction Service
PO Box 190
Arlington, Virginia 22210
Phone: (703) 841-1212

OTHER SOURCES OF INFORMATION ON EVALUATION STUDIES

1. *Annual Evaluation Report on Programs Administered by the U.S. Office of Education (Fiscal year 1978 edition)*

This report is available from Office of Education's Office of Evaluation and Dissemination. It summarizes results of completed studies on each OE program. The Fiscal Year 1979 Edition will be published during 1980.

2. *Federal Program Evaluations, A Directory Issued by the Comptroller General, General Accounting Office, PAD 78-27*

The second edition of the GAO directory presents evaluation studies supported by federal agencies during the

period July 1, 1975 through June 30, 1977. The volume contains 300 HEW evaluation studies. It may be purchased from the Superintendent of Documents, Government Printing Office. A third edition is expected in 1980.

3. *The Policy Analysis Source Book for Social Programs*

This annotated bibliography (1976, two volumes) contains approximately 4,000 abstracts of significant policy analyses and evaluations of Federal health, housing, energy, education, and welfare programs. It is the only compendium of studies selected on the basis of quality and usefulness and covers evaluations funded from private sources along with government-sponsored studies. It is extensively indexed. It may be purchased from the Superintendent of Documents, Government Printing Office.

SECTION I
PROJECT DESCRIPTIONS

EDUCATION DIVISION

COST (In Thousands): FY 78-\$10
PROJECT STATUS: Study completed
START/END DATES: October '77 through January '78

OFFICE OF THE ASSISTANT SECRETARY FOR EDUCATION

0001

AGENCY SPONSOR: Education Division
Office of the Assistant Secretary for
Education

PROJECT TITLE: Survey Assessment of the Extent to Which Fund
Projects Have Been Institutionalized and Adapted

REPORT TITLE: Fund Impact Study

PERFORMER: Formative Evaluation Research Associates; 1130
Hill Street; Ann Arbor, MI 48104

AGENCY CONTACT: Bunting, Charles, I.; Rm 3123 FIOB 6;
202-245-8099

PROGRAM(S) EVALUATED:

E-ASE-Fund for the Improvement of Postsecondary
Education;
V-Many HEW Programs-Education;
V-Many HEW Programs-Grants in Aid

ABSTRACT: The Formative Evaluation Research Associates conducted a study of Comprehensive Program projects terminating before January 1, 1977, to determine the impact of the Fund. The evaluators sent five page questionnaires to project directors and institutional personnel to determine their attitudes towards the accomplishment of the project's objectives, dissemination, institutionalization and other characteristics of successful innovations. One hundred fifty-five projects were contacted, with ninety-three responding. The report includes a section containing questions, comments, suggestions and recommendations. This study found that: over 75 percent believed that their project had accomplished its objectives and 59 percent knew of one or more other institutions which had adopted the idea of their project. These compelling results have influenced the design of a larger, current evaluation of the Fund sponsored by ASPE.

DESCRIPTORS: Higher Education, Survey or Questionnaire Feature, Statistical Data Feature, Discretionary and Project Grant Performance, Research and Evaluation Performance, Federal Administration

DESCRIPTORS: Program Impact

EDC ID NO: 1645

PROJECT NO: E-ASE 78-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Purchase Order

ERIC NO: Not yet determined

PURCHASE ORDER NO: 300-77-0487

0002

AGENCY SPONSOR: Education Division
Office of the Assistant Secretary for
Education

PROJECT TITLE: Impact Study of the Fund for the Improvement
of Postsecondary Education

REPORT TITLE: Impact Study of the Fund for the Improvement of
Postsecondary Education

PERFORMER: Formative Evaluation Research Associates; 1130
Hill Street; Ann Arbor, MI 48104

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

E-ASE-Fund for Improvement of Post Secondary Education

ABSTRACT: The Formative Evaluation Research Associates conducted a study of Comprehensive Program projects terminating before January 1, 1977, to determine the impact of the Fund. The evaluators sent five-page questionnaires to project directors and institutional personnel to determine their attitudes towards the accomplishments of the project's objectives, dissemination, institutionalization and other characteristics of successful innovations. One hundred fifty-five projects were contacted, with ninety-three responding. The report includes a section containing questions, comments, suggestions and recommendations. This study found that: over 75 percent believed that their project had accomplished its objectives and 59 percent knew of one or more institutions which had adopted the idea of their project.

DESCRIPTORS: Survey or Questionnaire Feature, Higher Education, Administrative Personnel

EVALUATION TYPE: Program Impact

EDC ID NO: 1646

PROJECT NO: E-ASE 78-2

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Purchase Order

ERIC NO: Not yet determined

PURCHASE ORDER NO: 300-77-0487

COST (In Thousands): FY 77-Not available, FY 78-Not available

PROJECT STATUS: Study completed

START/END DATES: September '77 through October '78

NATIONAL INSTITUTE OF EDUCATION

0003

AGENCY SPONSOR: Education Division
National Institute of Education

PROJECT TITLE: Vocational Education Study: A Study of the Responsiveness of the Consumer and Homemaking Education System

REPORT TITLE: Not yet determined

PERFORMER: CRC Education and Human Development, Inc.; 26 Brighton Street; Belmont, MASS 02178

AGENCY CONTACT: David, Henry; Rm. 714, Brown Bldg.; 202-245-5680

PROGRAM(S) EVALUATED:
E-OE-Occupational & Adult Education-Vocational Grants

ABSTRACT: This contract is one of three in the Vocational Education Study which will evaluate how responsive Consumer and Homemaking Education Systems are at the Federal, State, and local levels in relation to who participates in the program; services provided; and activities. The investigations will include analysis of subject areas taught and the "spread of effect" through ancillary services to determine what changes, if any, would be required to improve the responsiveness of the systems.

DESCRIPTORS: Access to Services, Career or Vocational Education, Cost-Effectiveness Analysis Feature, Federal State and Local Relations, Federal Administration, Educational Administration, Supportive Services, Training and Employment of the Disadvantaged
EVALUATION TYPE: Program Strategy

EDC ID NO: 1643
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: 400-78-0040
COST (In Thousands): FY 78-\$60, FY 79-\$52, FY 80-\$138
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '81

PROJECT NO: Part of NIE-78-007
NTIS NO: Not yet determined
ERIC NO: Not yet determined

0004

AGENCY SPONSOR: Education Division
National Institute of Education

PROJECT TITLE: Vocational Education Study: Descriptive Study of the Distribution of Federal, State and Local Vocational Education Funds

REPORT TITLE: Not yet determined

PERFORMER: University of California at Berkeley; Campus Research Office; M-11 Wheeler Hall; Berkeley, Calif 94720

AGENCY CONTACT: David, Henry; Rm. 714; Brown Bldg.; 202-254-5680

PROGRAM(S) EVALUATED:
E-OE-Occupational & Adult Education-Vocational Grants

ABSTRACT: This contract is one of three in the Vocational Education Study which will compile extant data describing vocational education funds, survey and analyze states distribution policies and practices as well as review local programs to analyze distribution and utilization of funds at the school sites. The survey will include all states to depict levels and patterns in the distribution of vocational education funds by services, occupations, target populations, enrollments in programs, and educational and governmental levels to ascertain what such levels should be to meet resource needs over the next ten years.

DESCRIPTORS: Access to Services, Career or Vocational Education, Cost-Effectiveness Analysis Feature, Federal State and Local Relations, Educational Administration, Supportive Services, Training and Employment of the Disadvantaged, Survey or Questionnaire Feature

EVALUATION TYPE: Program Strategy

EDC ID NO: 1642

PROJECT NO: Part of NIE 078-007

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 400-78-0039

COST (In Thousands): FY 78-\$224, FY 79-\$218, FY 80-\$145, FY 81-\$100

PROJECT STATUS: Study in process

START/END DATES: August '78 through August '81

0005

AGENCY SPONSOR: Education Division
National Institute of Education

PROJECT TITLE: Vocational Education Study: Study of Compliance and Evaluation Practices

REPORT TITLE: Not yet determined

PERFORMER: Abt Associates, Inc.; 55 Wheeler Street; Cambridge, Mass. 02138

AGENCY CONTACT: David, Henry; Rm. 714, Brown Bldg.; 202-254-5680

PROGRAM (S) EVALUATED:
E-OE Occupational & Adult Education-Vocational Grants;
E-OE-Elementary & Secondary-Grants for Disadvantaged-LEAS

ABSTRACT: This contract is one of three in the Vocational Education Study which will survey state and local vocational education compliance, administrative, and evaluation issues through case studies in 15 states. Field work will be conducted from 1978-79 through 1980-81 school years at the state level and at the district level in an average of at least four LEA's per state.

DESCRIPTORS: Access to Services, Career or Vocational Education, Cost-Effectiveness Analysis Feature, Federal State and Local Relations, Federal Administration, Educational Administration, Supportive Services, Training and Employment of the Disadvantaged
EVALUATION TYPE: Program Strategy

EDC ID NO: 1641

PROJECT NO: Part of NIE-78-007

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 400-78-0041

COST (In Thousands): FY 78-\$260, FY 79-\$216, FY 80-\$35, FY 81-\$100

PROJECT STATUS: Study in process

START/END DATES: August '78 through September '81

0006

AGENCY SPONSOR: Education Division
National Institute of Education

PROJECT TITLE: Vocational Educational Study (various)

REPORT TITLE: Not yet determined

PERFORMER: Various

AGENCY CONTACT: David, Henry; Rm 714, Brown Bldg.; 202-254-5680

PROGRAM(S) EVALUATED:

E-OE-Occupational & Adult Education-Vocational Grants

ABSTRACT: A thorough evaluation study of state and national vocational education programs will include: a study of distribution of occupational development funds (HEW, DoL, other) in terms of services, occupations, target populations, enrollment and educational and governmental levels and what such distributions should be to meet resource needs over the next 10 years; examination of how to achieve compliance with enforcement of the applicable laws of the U.S.; analysis of means of assessing program quality effectiveness; depending on funding level, not more than 3 experimental studies administered by NIE as necessary; findings and recommendations for changes in present Act as well as for new legislation; and a review/evaluation of Consumer and Home-making Education programs. This study will be conducted in-house but will be supported by a number of individual projects which will be initiated upon approval of Congress of an overall study plan transmitted December 1977. Future submissions will include justifications for the most important individual projects. Description sheets for three contracts awarded during FY 1978 follow.

DESCRIPTORS: Access to Services, Career or Vocational Education, Cost-Effectiveness Analysis Feature, Federal State and Local Relations, Federal Administration, Educational Finance, Educational Administration, Supportive Services, Training and Employment of the Disadvantaged

EVALUATION TYPE: Program Strategy

EDC ID NO: 1644 **PROJECT NO:** NIE-78-007
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: In-house/Contract **ERIC NO:** Not yet determined
CONTRACT NO: Various
COST (In Thousands): FY 77-\$200, FY 78-\$716, FY 79-\$701, FY 80-\$1000
PROJECT STATUS: Study in process
START/END DATES: September '77 through September '81

OFFICE OF EDUCATION

0007

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Acquisition of Cooperative Institutional Research Program Files

REPORT TITLE: Not yet determined
PERFORMER: Higher Education Research Inst.; 924 Westwood Boulevard; Los Angeles, CA 90024; James Henson 213-478-6556
AGENCY CONTACT: Ratnofsky, Alex; Rm. 4087, FOB-6; 202-245-8130
PROGRAM(S) EVALUATED:
E-OE-Higher Education;
E-OE-Higher Education-Institutional Aid-Cooperative Education

ABSTRACT: The purpose of this procurement is to obtain a number of data bases from the Cooperative Institutional Research Program

(CIRP). The CIRP is the largest ongoing empirical study of American higher education, having collected data from more than 600 institutions and 3.5 million students since its inception in 1966. Each year a nationally representative sample of from 1/4 to 1/3 million college freshmen are surveyed. There have been 12 follow-up surveys of these freshmen groups. Additionally, there have been two college facility surveys, one in 1969 and one in 1973.

DESCRIPTORS: College Students, Scholarships Fellowships and Loans, Social Attitudes and Public Opinion, Social Research, Statistical Data Feature, Trends and Forecasts, Information Systems
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1613 **PROJECT NO:** OE/PS-78-14
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-78-0183
COST (In Thousands): FY 78-\$52
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '79

0008

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Administrative Considerations in the Development of a Large Scale Testing and Evaluation Program

REPORT TITLE: Not yet determined
PERFORMER: Anthony J. Polemeni; 33 Greenview Way; Upper Montclair, N.J. 07043; 212-596-4045
AGENCY CONTACT: Fishbein, Ronald; Rm 3044, FOB-6; 202-245-9401

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Grants for Disadvantaged

ABSTRACT: The purpose of this project is to develop a monograph which describes, in detail, the necessary components in a well managed large-scale school district or state education agency testing program.

DESCRIPTORS: Testing and Tests, Educational Administration, Technical Assistance, Evaluation by State and Local Governments, Research and Evaluation Planning and Administration
EVALUATION TYPE: Support

EDC ID NO: 1479 **PROJECT NO:** OE/ES-77-5 (17007)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: P00-77-0075
COST (In Thousands): FY 77-\$10
PROJECT STATUS: Study in process
START/END DATES: January '77 through March '79

0009

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Analysis of Freshman Data-Cooperative Institutional Research Program

REPORT TITLE: The American Freshman: National Norms for Fall 1978
PERFORMER: American Council on Education and the Laboratory for Research on Higher Education; One Dupont Circle; Washington, D.C. 20036; Sandy Astin 213-478

PROJECT DESCRIPTIONS

AGENCY CONTACT: Ratnofsky, Alex; Rm. 3087, FOB-6;
202-245-8130

PROGRAM(S) EVALUATED:
E-OE-Higher Education

ABSTRACT: The Cooperative Institutional Research Program (CIRP) is the largest ongoing empirical study of American higher education, having collected data from more than 600 institutions and 3.5 million students since 1966. This funding is for the analysis of data collected in the Fall of 1979 from the survey of approximately 300,000 college freshmen. "The American Freshman: National Norms for Fall 1978", published by the Cooperative Noninstitutional Research Program, was funded under an NIE grant to the American Council on Education with money transferred from OE's Office of Evaluation and Dissemination.

DESCRIPTORS: College Students, Scholarships Fellowships and Loans, Statistical Data Feature, Trends and Forecasts
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1607 **PROJECT NO:** OE/PS-78-10
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Transfer **ERIC NO:** Not yet determined
TRANSFER NO: EEF-000-2005
COST (In Thousands): FY 78-\$100
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: September '78 through September '79

0010

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: State Definitions of Handicapping Conditions

REPORT TITLE: Analysis of Categorical Definitions, Diagnostic Criteria and Personal Utilization in the Classification of Handicapped Children

PERFORMER: Council for Exceptional Children; Reston, VA
AGENCY CONTACT: Kennedy, Mary; Rm. 3151 Donohoe Bldg.;
202-472-2535

PROGRAM(S) EVALUATED:
E-OE-Handicapped-State Assistance

ABSTRACT: The purpose of this study was to determine the extent to which State policies either (a) provided for services to children with disabilities other than those provided for under PL 94-142, or (b) used varying definitions or eligibility criteria for the same categories of children. The work was performed by the Council for Exceptional Children (CEC), who found that neither the types of children provided for nor the definitions varied widely. However, there were some instances in which eligibility criteria did vary. These variations will have to be considered when reviewing the counts of children reported by States.

DESCRIPTORS: Eligibility Issues, Handicapping Conditions and Problems, Children and Youth, State or Local Administration
EVALUATION TYPE: Program Strategy

EDC ID NO: 1636 **PROJECT NO:** BEH-76-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-76-0515
COST (In Thousands): FY 76-\$110

PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: August '76 through March '78

0011

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Analysis of Guaranteed Student Loan Program Data Base

REPORT TITLE: None

PERFORMER: Systems Group Inc; 735 11th St NW; Washington D C 20001; Jack Sokoria, 202-937-6667

AGENCY CONTACT: Morrissey, Daniel; Rm 4087, FOB-6;
202-245-8130

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Student Assistance-Subsidized Insured Loans

ABSTRACT: Nine separate but related analytical projects focussed upon further examination of borrowing and default by minorities, and the identification and profiling of the characteristics of high-default rate institutions. These analyses further extend our ability to draw inferences from the large GSLP data base with respect to utilization of the program and certain patterns of abuse by both individual borrowers and lending institutions. Included in these new data base analyses are all loan disbursements and claims between June 30, 1973 (chronological limit of prior data base) and December 31, 1974.

DESCRIPTORS: College Students, Scholarships Fellowships and Loans, Fraud and Abuse, Management Information and Reporting
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0924 **PROJECT NO:** OE/PE-75-14
(35006)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: 300-75-0196
COST (In Thousands): FY 75-\$98
PROJECT STATUS: Study completed
START/END DATES: May '75 through June '76

0012

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: An Analysis of Issues in ESEA Title I Evaluation and Reporting

REPORT TITLE: Not yet determined

PERFORMER: RMC Research Corp; 2570 W. El Camino Real; Mountain View, CA 94040; Kasten Tallmadge 415-941-9550

AGENCY CONTACT: Anderson, Janice; Rm. 3040, FOB-6;
202-245-7875

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Grants for Disadvantaged-LEAs

ABSTRACT: The purpose of this project is to investigate further the methodological and analytical features of popular evaluation models as they apply to test scores of disadvantaged students. Technical papers will describe the issues and findings of mathematical simulations and analyses with real data bases.

DESCRIPTORS: Educationally Disadvantaged, Compensatory Education, Testing and Tests, Evaluation by State and Local Governments, Research and Evaluation Methods Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1603
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT: 300-78-0182
COST (In Thousands): FY 78-\$399
PROJECT STATUS: Study in process
START/END DATES: July '78 through December '79

PROJECT NO: OE/ES-78-4
NTIS NO: Not yet determined
ERIC NO: Not yet determined

0013

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Analysis of Manpower Requirements Information and Availability of Vocational Education in Selected Urban and Rural Areas

REPORT TITLE: An Analysis of Manpower Requirements Information and the Availability of Vocational Education in Selected Urban and Rural Areas

PERFORMER: National Planning Association; 1666 Connecticut Ave. N. W.; Washington, D.C. 20009

AGENCY CONTACT: Maroney, Robert; Rm 4083, FOB-6; 202-245-8877

PROGRAM(S) EVALUATED:
E-OE-Occupational & Adult Education-Vocational Grants

ABSTRACT: Some 6 sites were included in the study; 69 secondary and 7 post-secondary schools were examined along with their 11 planning units. Findings included the following: (1) detailed manpower and demographic information seldom figured as a basis for their planning, (2) while all sites had some information on the characteristics of the overall student body, there was very little information describing the social and demographic characteristics of vocational education students as a separate group; typically, schools did not know how many students from disadvantaged backgrounds had been served in the past year, (3) there was also an absence of adequate follow-up information on students. Shortcomings were related to lack of a state requirement to pursue systematic annual and long-range planning at the local level and the lack of available detailed job market and population projections in a form useful for planning. Planning requirements of the 1968 Amendments were looked upon as compliance procedure only. Postsecondary programs seemed more in line with labor market patterns than secondary programs.

DESCRIPTORS: Planning, Career or Vocational Education, Urban or Rural Places or Problems, Labor Market Problems, Secondary Education, Survey or Questionnaire Feature, Regulation and Standard Setting

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1463
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: OEC-0-70-4926
COST (In Thousands): FY 70-\$125
PROJECT STATUS: Study completed,
Available from NTIS
START/END DATES: June '70 through July '71

PROJECT NO: OE/OHD-70-1(20000)
NTIS NO: PB 205-483
ERIC NO: Not yet determined

0014

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Analysis of New Census Data on College and Occupational School Students

REPORT TITLE: Not yet determined
PERFORMER: Bureau of the Census; Washington, D. C. 20233
AGENCY CONTACT: Berls, Robert; 202-245-8130
PROGRAM(S) EVALUATED:
E-OE-Higher Education-Student Assistance

ABSTRACT: During the past three years there have been unexpected but noticeable decreases in postsecondary enrollment rates of young men 18-20 years old. This may be the beginning of a new trend in college-going intentions of high school seniors. If so, this will have important implications for student aid programs. Additionally, the inclusion of propriety and other vocational school students in the student aid program requires that we know more about the characteristics of students attending those schools. The new Census data will allow closer examination of the trends and characteristics of students.

DESCRIPTORS: College Students, Career or Vocational Education, Statistical Analysis Methods Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0956
FUND SOURCE: Evaluation
MECHANISM: Transfer
CONTRACT/GRANT NO: Not available
COST (In Thousands): FY 74-\$40
PROJECT STATUS: Study completed
START/END DATES: June '73 through June '74

PROJECT NO: OE 74-14

NTIS NO: Not yet determined

ERIC NO: Not yet determined

0015

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of Adult Education Special Projects Programs

REPORT TITLE: An Analysis of Selected Issues in Adult Education
PERFORMER: Kirschner Associates, Inc.; 733 15th St., N.W.; Washington, D.C.

AGENCY CONTACT: Scates, Alice; 245-8380

PROGRAM(S) EVALUATED:
E-OE-Occupational & Adult Education-Adult State Grants;
V-Non-HEW Programs-Employment & Training;
V-Many HEW Programs-Employment & Training

ABSTRACT: Data relevant to Federal policy on adult education are presented and analyzed, supplies of adult education programs and "need" for such programs are compared, and several policy alternatives for the Federal Government are considered. To determine the "need" for adult education, available studies of the educational status of adults were reviewed and educational profiles prepared. For example, the Census shows 18 million adults with less than an 8th grade education. Relevant legislation, social and educational literature, and available surveys were reviewed and adult education experts were consulted. Data on participation by adults in education programs are presented, and over 100 federally supported education programs are identified and analyzed. Aspects of the delivery system of adult education—how the programs are sponsored, what educational methods are used, etc.—are discussed. The report closes with a comparison of the need for and supply of adult education courses in several educational categories (e.g., secondary education, basic education, job related education, personal development) and an analysis of the poli-

cy issues suggested by that comparison. For example, a substantial expansion in this area would have to be primarily for the elderly, persons for whom the services are arguably less effective and hard to deliver. Two appendices contain data and bibliography. (Final report 3 volumes of 205, 220, and 146 pages).

DESCRIPTORS: Adult Education, Training and Employment of the Disadvantaged, Literature Review Feature, Bibliography Feature, Needs and Needs Assessment, Statistical Data Feature
EVALUATION TYPE: Program Strategy

EDC ID NO: 0540 **PROJECT NO:** OE/OHD 74-28
FUND SOURCE: Evaluation **NTIS NO:** SHR-0001420 to 1422
MECHANISM: Contract **ERIC NO:** ED 122043,4,5
CONTRACT NO: OEC-0-74-9346
COST (In Thousands): FY 74-\$177, FY 75-\$17
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS,
Available from ERIC

START/END DATES: February '74 through February '76

0016

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Analysis of State Data Provided under PL 94-142

REPORT TITLE: None

PERFORMER: Applied Urbanetics; 1701 K St., NW; Washington, D.C.

AGENCY CONTACT: Davidson, Louis; Rm. 3155 Donohoe; 202-472-2535

PROGRAM(S) EVALUATED:
E-OE-Handicapped-State Assistance

ABSTRACT: The purpose of this study is to analyze data already available from States. The work is being performed by Applied Urbanetics and by internal staff. State data available to BEH annually contains all numerical information required in the law as well as extensive information on policies and procedures. Analyses of the State data will be conducted continually for timely dissemination to the field and for inclusion in the annual report to Congress.

DESCRIPTORS: Statistical Analysis Methods Feature, State or Local Government, Handicapping Conditions and Problems, Federal Role, Evaluation by State and Local Governments

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1629 **PROJECT NO:** BEH-78-4
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-78-0467
COST (In Thousands): FY 78-\$150, FY 79-\$206, FY 80-\$150
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '81

0017

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Analysis of State Reports Provided Under PL 94-142

REPORT TITLE: None

PERFORMER: Team Associates, Inc.; Washington, D.C.

AGENCY CONTACT: Kennedy, Mary; Rm. 3151 Donohoe Bldg.; 202-472-2535

PROGRAM(S) EVALUATED:
E-OE-Handicapped-State Assistance;
E-OE- Handicapped-State Assistance-State Grants

ABSTRACT: The purpose of this study is to analyze data already available from States. The work is being performed by TEAM Associates and by internal staff. The States prepared extensive program plan for their first year of implementation. These plans, as well as end-of-years performance reports will be provided to BEH annually. The State data contains all numerical information required in the law as well as extensive information on these State policies and procedures. Analyses of the information contained in these States' documents, as well as information contained in Program Administrative reviews, will be conducted continually, and will form the backbone of the annual report to the Congress.

DESCRIPTORS: Statistical Analysis Methods Feature, Handicapping Conditions and Problems, State or Local Administration, Information Systems, Management Information and Reporting, Evaluation by State and Local Governments

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1637 **PROJECT NO:** BEH-77-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-76-0540
COST (In Thousands): FY 77-\$175
PROJECT STATUS: Study in process
START/END DATES: June '77 through June '78

0018

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Study of Special Allowance and Servicing Costs in the GSLP

REPORT TITLE: Analysis of Student Loan Special Rate Allowances and Servicing Costs

PERFORMER: Technology Management Corporation; 1730 Pennsylvania Ave., NW; Washington, D. C.

AGENCY CONTACT: Morrissey, Daniel; Rm. 4087 FOB 6; 202-245-8130

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Student Assistance-Subsidized Insured Loans

ABSTRACT: This document addresses two separate, though related aspects of the operation of the Guaranteed Student Loan Program (GSLP): (1) The mechanism for setting the special rate allowance (SRA); and (2) the effect of the operating requirements of the program on lender servicing costs. The study developed recommendations for improving both aspects of the program to ensure continuing lender interests in making funds available to eligible students. To develop lender-related data to support the analysis, 16 institutions were surveyed to establish: (1) attitudes toward the program, (2) profitability objectives and expectations; (3) practices for determining the amount of GSL funds made available; (4) operating policies and practices; (5) profitability measurement and comparison criteria; (6) cost of obtaining lendable funds; and (7) costs of operating under the GSL program. Of the institutions either surveyed or for which (6) data were obtained, 13 were lenders. The remaining three contributors were servicing firms.

**Education Division
Office of Education**

Accession No. 0021

DESCRIPTORS: Higher Education, Loans and Loan Guarantees
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0959 **PROJECT NO:** OE/PS 74-26
(34011)

FUND SOURCE: Evaluation **NTIS NO:** None
MECHANISM: Contract **ERIC NO:** ED 111284

CONTRACT NO: OEC-0-74-8962

COST (In Thousands): FY 74-\$16

PROJECT STATUS: Study completed,
Available from ERIC

START/END DATES: June '74 through August '74

0019

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Annual Evaluation Report on Programs
Administered by the U.S. Office of Education: FY 1976 (and
prior years)

REPORT TITLE: Annual Evaluation Report on Programs
Administered by the U.S. Office of Education: FY 1976 (and
prior years)

PERFORMER: Office of Planning, Budgeting & Evaluation U.S.
Office of Education; Washington, D.C. 20202; Edward
Glassman, 202-245-8195

AGENCY CONTACT: Glassman, Edward; Room 4079, FOB-6;
202-245-8195

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Education;
V-Many HEW Programs-Planning & Evaluation;
V-Many HEW Programs-Grants in Aid;
E-OE-Elementary & Secondary;
E-OE-Emergency School Aid;
E-OE-Handicapped;
E-OE-Higher Education;
E-OE-Occupational & Adult Education;
E-OE-Special Projects & Training

ABSTRACT: This is the sixth annual comprehensive evaluation report on Office of Education administered programs. It updates the information in the FY 1975 report by incorporating the results of evaluation studies completed during FY 1976 as well as additional information obtained from program operations and monitoring activities. In addition to an overview section, the report includes highlights of studies completed in FY 1976, brief description of studies still in process at the end of FY 1976, examples of the uses of evaluation studies, and descriptions of each of the programs administered by the Office of Education as of September 30, 1976. Included in the description of each program is its legislative authorization, its funding history for the last ten years, its goals and objectives, its operational characteristics, its scope, information about its effectiveness, ongoing and planned evaluation studies, and sources of evaluation data. The annual evaluation report for FY 1977 is expected to be available in early 1978. Copies of the report are available for purchase from the GPO and a private publisher, Capitol Publications. (Final report 560 pages).

DESCRIPTORS: Bibliography Feature, Higher Education, Compensatory Education, Research and Evaluation Planning and Administration, Educational Finance, Educational Administration
EVALUATION TYPE: Support

EDC ID NO: 1459
FUND SOURCE: Salaries and
Expenses
MECHANISM: In-house

PROJECT NO: OE 77-1

NTIS NO: Not yet determined
ERIC NO: ED 147324

PROJECT DESCRIPTIONS

CONTRACT NO: Not available

COST (In Thousands): FY 77-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Available from ERIC

START/END DATES: January '77 through September '77

0020

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Annual Evaluation Report on Programs
Administered by the U.S. Office of Education: FY 1977

REPORT TITLE: Annual Evaluation Report on Programs
Administered by the U.S. Office of Education: FY 1977

PERFORMER: Office of Planning, Budgeting and Evaluation; U.S.
Office of Education; Washington, D.C. 20202; Edward
Glassman; 202-245-8195

AGENCY CONTACT: Glassman, Edward; Rm 4079, FOB 6;
202-245-8195

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Education;
V-Many HEW Programs-Planning & Evaluation;
V-Many HEW Programs-Grants in Aid;
E-OE-Elementary & Secondary;
E-OE-Emergency School Aid;
E-OE-Handicapped;
E-OE-Higher Education;
E-OE-Occupational & Adult Education;
E-OE-Special Projects & Training

ABSTRACT: This is the seventh annual comprehensive evaluation report on Office of Education administered programs. It updates the information in the FY 1976 report.

DESCRIPTORS: Bibliography Feature, Higher Education, Compensatory Education, Research and Evaluation Planning and Administration, Educational Finance, Educational Administration
EVALUATION TYPE: Support

EDC ID NO: 1647

PROJECT NO: OE-GEN-78-1

FUND SOURCE: Salaries and
Expenses

NTIS NO: PB 282-454

MECHANISM: In-house

ERIC NO: Not yet determined

CONTRACT/GRANT NO: Not available

COST (In Thousands): FY 78-\$14

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: March '77 through April '78

0021

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Area Pop II: Management Plan--Public TV Signal
Coverage

REPORT TITLE: Not yet determined

PERFORMER: Public Broadcasting Service; 475-L'Enfant Plaza W,
S.W.; Washington, D.C. 20024; 202-488-5279

AGENCY CONTACT: Maroney, Robert; Rm 4083, FOB-6;
202-245-8877

PROGRAM(S) EVALUATED:E-OE-Special Projects & Training-Educational TV
Programming

ABSTRACT: This is the management component of a research project which will link accurate information on public TV stations' signal coverage patterns with demographic information on the population within coverage areas. The study will also allow for follow-on simulation activity which will predict how given changes in a station's transmission facilities will affect a station's coverage. Current station operating data will be used with terrain data in a terrain-sensitive propagation algorithm to produce signal contours for approximately 300 public broadcasting stations. Contours will be based upon various FCC criteria for picture reception, thus defining areas for which the demographic information will be generated. Output from the study will include contours plotted on acetate overlays and overlaid onto maps, corresponding demographic information, and all software documentation. OE has also transferred funds to a Department of Defense agency (ECAC) to develop the signal coverage predictions. PBS is responsible for managing this component, for subcontracting to obtain the required demographic information, and for producing the final and station user reports.

DESCRIPTORS: Broadcast Technology, Educational Technology, Media, Operations Research Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1456

PROJECT NO: OE/OHD 76-25
(66002)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-76-0533

COST (In Thousands): FY 76-1-\$68, FY 77-\$3, FY 78-\$22

PROJECT STATUS: Study completed

START/END DATES: September '76 through February '79

0022

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: State Data Capabilities Related to P.L. 94-142

REPORT TITLE: Assessing State Information Capabilities, Under
P.L. 94-142

PERFORMER: Management Analysis Center;

AGENCY CONTACT: Kennedy, Mary; Rm. 3151 Donohoe;
202-472-2535

PROGRAM(S) EVALUATED:

E-OE-Handicapped-State Assistance

ABSTRACT: The purpose of this study was to determine the states' capacities to respond to the new reporting requirements inherent in P.L. 94-142. The study was conducted by Management Analysis Center (MAC). MAC analyzed the data requirements in the law and the reporting forms being developed by the BEH and visited twenty-seven states to test their capacity to respond. MAC reported on state capacity to provide four categories of information: children, personnel, facilities, and resources. They found capacity was relatively high on the first category, and decreased across the remaining categories. They recommended deleting requirements for fiscal data, since states could not adequately respond to such requests.

DESCRIPTORS: Educational Administration, Handicapping Conditions and Problems, Case or Site Study Feature, Information Systems, Bureaucratic Behavior

EVALUATION TYPE: Program Strategy

EDC ID NO: 1625

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: 300-76-0562

COST (In Thousands): FY 76-\$298

PROJECT STATUS: Study completed

START/END DATES: August '76 through September '77

PROJECT NO: BEH 76-1

NTIS NO: Not yet determined

ERIC NO: Not yet determined

0023

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: An Assessment of Centers Supported Under the
Education of the Handicapped Act

REPORT TITLE: None

PERFORMER: Abt Associates; 55 Wheeler Street, Cambridge,
Mass 02138; John Doucette, 617 492-7100

AGENCY CONTACT: Kairath, Judy; Rm. 4083, FOB 6;
202-245-8877

PROGRAM(S) EVALUATED:E-OE-Handicapped-Regional Vocational Adult & Post
Secondary

ABSTRACT: The purpose of this study was to assess the impact of the 13 Regional Resource Centers and the Coordinating office in terms of the degree to which they are able to develop interstate capacity for diagnostic and prescriptive services for handicapped individuals (learners). The provision for these programs is derived from Part C, Sec. 621 of P.L. 93-380. Impact was to be measured in terms of: 1) the developmental stage of state master plans and programs, 2) changes in the kind and amount of direct services rendered and the manner in which this activity occurs, and the development of a network for coordinating and disseminating center activities. The study was cancelled because (to be completed).

DESCRIPTORS: Multiservice Center, Handicapping Conditions and Problems, Information Dissemination, Program Coordination

EVALUATION TYPE: Program Impact

EDC ID NO: 1433

PROJECT NO: OE/OHD 76-2
(56001)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-76-0003

COST (In Thousands): FY 76-\$295

PROJECT STATUS: Study completed,
aAvailable from NTIS,
Study completed,
Executive summary at EDC,
bExecutive summary at EDC,
d

START/END DATES: July '75 through May '76

0024

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: An Assessment of Emergency School Aid Act
Program Operations

REPORT TITLE: An Assessment of Emergency School Aid Act
(ESAA) Program Operations, 3 Volumes

PERFORMER: Applied Urbanetics, Inc.; 1701 K Street, N.W.;
Washington, D.C. 20006; Dr. Stephen Smith, 202-331-1800

**Education Division
Office of Education**

AGENCY CONTACT: Ozenne, Dan; Rm 3040, FOB-6; 202-245-8364
PROGRAM(S) EVALUATED:
E-OE-Emergency School Aid

ABSTRACT: This study will assess whether the ESAA program meets the current needs of desegregating school districts. The primary audience is HEW personnel responsible for recommending changes in the law and regulations, establishing program guidelines and implementing and managing the program. The focus of the study is on the effectiveness of ESAA program operations at the Federal level in meeting LEAs' desegregation related needs through: 1) targeting of ESAA grants to LEAs; 2) funding effective activities; and 3) amount and duration of funding. The methodology includes analysis of ESAA legislation, regulations and guidelines, interviews of federal program administrators, and case studies on reports of the recipients. Volume I describes the results of analyses of the extent to which ESAA grant funds have been focused on school districts with desegregation-related needs, and to which the Act, Regulations and program processes include the focusing of grants and funds. Volume II presents findings concerning the influence of program operations on the focus of ESAA projects and activities, and findings concerning the relationship of projects to the desegregation-related needs of the grant recipients. Volume III, policy recommendations presents a synthesis of the findings in Vols. I and II, and examines changes in the Act, Regulations and program guidelines that might increase the effectiveness of the program in achieving the purposes of the Act.

DESCRIPTORS: Federal Administration, Regulation and Standard Setting, Civil Rights and Integration, Minority Groups, Elementary and Secondary Students, Grant-in-Aid Formulas
EVALUATION TYPE: Program Strategy

EDC ID NO: 1483 **PROJECT NO:** OE/ES-77-9 (17013)
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** None
CONTRACT NO: 300-77-0371
COST (In Thousands): FY 77-\$169, FY 78-\$34
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: September '77 through September '78

0025

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of Work Education Programs Which Meet Career Development Objectives

REPORT TITLE: An Assessment of School Supervised Work Education Programs

PERFORMER: Systems Development Corporation; Santa Monica, California

AGENCY CONTACT: Shuler, Dorothy; Rm 4083 FOB 6; 202-245-8877

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Support & Innovation-Special Programs

ABSTRACT: This report is a study of school supervised work education program in the United States. The purposes of the study include the following: to evaluate existing programs to determine successful program components, to delimit constraints on program expansion, and to examine incentives that could increase employer participation. Recommendations include: expanded funding for the program, more detailed study of present programs, expansion of drop-out prevention efforts, union participation in programs, 5 to 10 year follow-ups on all student and a statutory-based organized structure for career exploration programs. Findings are that cooperative education programs

appear to be more satisfactory to student employees, and school officials inasmuch as these programs meet the expressed needs of each group. Pay factors help determine how employers felt about the program. The urban-rural setting findings were somewhat unexpected. Post-second programs proved more effective than secondary programs in performing most of the aspects of the program operation. Subtle forms of discrimination were found. (Final report 3 volumes, 850 pages)

DESCRIPTORS: Children and Youth, Career or Vocational Education, Case or Site Study Feature, Secondary Education, Elementary and Secondary Students, Statistical Analysis Methods Feature
EVALUATION TYPE: Program Impact

EDC ID NO: 0384 **PROJECT NO:** OE 72-1
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** ED O81997,8,9, 082000, 090414

CONTRACT NO: OEC-O-72-5024
COST (In Thousands): FY 72-\$246, FY 73-\$86
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from ERIC
START/END DATES: June '72 through September '73

0026

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Assessment of School Supervised Work Education Programs

REPORT TITLE: An Assessment of School Supervised Work Education Programs Part II: Urban Cooperative Work Education Programs and Follow-Up Study

PERFORMER: Olympus Research Centers; 1290 24th Ave.; San Francisco, CA 94122; John Walsh, 415-655-0180

AGENCY CONTACT: Shuler, Dorothy; 202-245-8877

PROGRAM(S) EVALUATED:
E-OE-Occupational & Adult Education-Vocational Grants-Work Study;
V-Non-HEW Programs-Employment & Training

ABSTRACT: A study to assess school-supervised work education programs is presented in an attempt to discover more about the operation of cooperative work education programs in large cities and to determine postprogram experiences of participants and nonparticipants. Thirty case studies of urban cooperative education programs are used to document the kinds of cooperative education experiences students receive in urban settings, the administrative techniques used, constraints which limit the initiation of cooperative programs in urban areas, and the feasibility of expanding such programs. Results of a followup study involving interviews with 1,504 students who participated in the first part of the study are contained in Volume II, and summaries of the 30 case studies are contained in Volume III. It is concluded that the 1968 amendments to the Vocational Educational Act of 1963 have substantially increased the number of cooperative programs available to urban vocational educational students and have resulted in the extension of such programs to students hitherto considered unqualified for enrollment in cooperative education programs. There is no lack of employers willing to participate in urban cooperative education programs and, according to employers, the urban students are enthusiastic, well motivated, and competent. This study is a follow-on to a 1972 study. (Vol. 1 227 pp., Vol. 2 142 pp. and Vol. 3 282 pps.)

PROJECT DESCRIPTIONS

DESCRIPTORS: Training and Employment of the Disadvantaged, Career or Vocational Education, Educational Administration, Case or Site Study Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 0539

PROJECT NO: OE/OHD 74-22

FUND SOURCE: Evaluation

NTIS NO: SHR-0001429 to 1431

MECHANISM: Contract

ERIC NO: EDN133423,29-31

CONTRACT NO: OEC-0-74-8718

COST (In Thousands): FY 74-\$391, FY 75-\$25

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS,
Available from ERIC

START/END DATES: June '74 through March '76

0027

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: An Assessment of the Available Resources for Services to Severely Handicapped Children

REPORT TITLE: Assessment of Selected Resources for Severely Handicapped Children and Youth

PERFORMER: Abt Associates; 55 Wheeler Street; Cambridge, Ma 02138; Donald Muse, 617-492-7100

AGENCY CONTACT: Maroney, Robert; Room 4083, FOB-6; 202-245-8877

PROGRAM(S) EVALUATED:

E-OE-Handicapped-Special Population Programs-Severely Handicapped

ABSTRACT: Severely Handicapped children normally can only obtain educational services within the context of costly residential care institutions. Many are unable to obtain any help. The objectives of this study were to determine the numbers and types of severely handicapped children receiving services, the types of services now received, and the type and quantity of services needed. A "State-of-the-Art Paper" (V 1) presented historical, background, and research data on the problems of definition, prevalence, measurement, costs of treatment, etc. of the severe handicaps of mental retardation, emotional disturbance, and deaf-blindness. "A Selected Annotated Bibliography" (V2) was prepared. A "Data Analysis and Results" (V 3) presents the findings of a national survey (including site visits) of the costs, characteristics, and quality of 100 service providers, accompanied by (V 4) "Case Studies of Provider Services". (To be completed when V 5 "Conclusions and Recommendations" is available to EDC). (Final report of five volumes, 167, 258, 382, 289, and ? pages).

DESCRIPTORS: Handicapping Conditions and Problems, Facilities, Children and Youth, Bibliography Feature, Case or Site Study Feature, Cost-Effectiveness Analysis Feature, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0575

PROJECT NO: OE/OHD 73-8
(53003)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: ED 134 614-17

CONTRACT NO: OEC-0-73-7030

COST (In Thousands): FY 73-\$291, FY 74-\$5, FY 75-\$12, FY 76-\$33

PROJECT STATUS: Study in process,
Study completed,
Available from EDC

START/END DATES: June '73 through June '76

0028

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Assessment of State Plans for Career Education

REPORT TITLE: Assessment of State Plans for Career Education

PERFORMER: Southwest Regional Laboratory; 4665 Lampson Avenue; Los Alamitos, CA 90720; Anita Mitchell 213-598-7661

AGENCY CONTACT: Scates, Alice; Rm. 4083, FOB-6; 202-235-8380

PROGRAM(S) EVALUATED:

E-OE-Special Projects & Training-Career Education

ABSTRACT: The overall goal of this effort is to enable administrators at Federal and State levels to join in strengthening the individual State and Territory plans for career education. These plans were developed largely with funds provided during fiscal years 1976 and 77 under Public Law 93-380. This report presents an analysis of the assessment of 49 state and territory plans for career education as they reflect the intent of the PL 93-380 and its Rules and Regulations. Draft reports of assessment of state plans were submitted to state coordinators of career education and reviewed. Twenty-eight of the 49 states submitted revision and/or addenda to make their plans more nearly conform with the requirements of PL 93-380. In addition project staff conducted site visits and examined planning documents to identify problems encountered and solutions attempted in the development of state plans. Another project task was to determine the status of implementation of state plans as well as readiness to implement the plans. This inquiry showed that all states had submitted state plans for review and assessment and had some activity in career education, and most had made considerable progress in implementation of their plans even though that had not received PL 93-380 funds. Twenty-three of the 49 states received an overall rating of "Adequate" or better on their initial draft. It may be concluded that these plans generally are of good quality and has a sound foundation for starting the implementation of PL 95-207.

DESCRIPTORS: Career or Vocational Education, Educational Administration, Planning, State or Local Administration, Federal Administration, Case or Site Study Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1617

PROJECT NO: OE/OHD-78-18

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-78-0249

COST (In Thousands): FY 78-\$212

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: September '78 through July '79

0029

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: An Assessment of the Capacity Building Accomplishments of the Regional Resource Centers

**Education Division
Office of Education**

Accession No. 0032

REPORT TITLE: Not yet determined

PERFORMER: Communication Technology Corporation; 64 E.
Main Street; Marlton, NJ 08053; Tom Mulliceny,
607-983-6600

AGENCY CONTACT: Fleming, Emmett; Rm. 5084, FOB-6;
202-245-8380

PROGRAM(S) EVALUATED:
E-OE-Handicapped-Media & Resource Services

ABSTRACT: The survey or projects funded under PL 91-230 (Regional Resource Centers) is primarily designed to determine the impact these centers have had on their SEA and LEA constituencies in terms of their capacity building accomplishments in education for the handicapped. This study will seek to identify the extent to which these centers have been successful, as well as to identify those non-fiscal obstacles which prevent the realization of ultimate success. Information from this study will be used to recommend technical and administrative changes to the Bureau of Education for the Handicapped and to remove identified obstacles to the success of their program. This information will also be used to provide recommendations for revisions to the workscope of the centers. Summary results containing information which will support recommended legislative changes in P. 91-230, Part C-621 will be distributed to appropriate members of Congress and their staffs. The information will be used by Congress for the legislative review of PL 91-230, Part C-621 and can be used to modify the funding of the program.

DESCRIPTORS: Case or Site Study Feature, Information Dissemination, Handicapping Conditions and Problems, Management Information and Reporting

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1620 **PROJECT NO:** OE/OHD 78-21

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: 300-78-0354

COST (In Thousands): FY 78-\$109

PROJECT STATUS: Study in process

START/END DATES: September '78 through March '80

0030

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Assessment of the ESAA-TV Program Through
an Examination of its Production, Distribution and Financing

REPORT TITLE: Not yet determined

PERFORMER: ABT Associates; 55 Wheeler Street; Cambridge,
MA 02138; Bernadette Nelson, 617-492-7100

AGENCY CONTACT: Kirschenbaum, Arthur; Rm 4083, FOB-6;
202-245-8380

PROGRAM(S) EVALUATED:
E-OE-Emergency School Aid

ABSTRACT: The purpose of this study is to examine the production, distribution, and financing of the ESAA television program, including the effect of Federal policies on these aspects. Specifically the study will attempt to: 1) determine how Federal management/administration practices currently impact on the accomplishment of the legislative and programmatic purposes, 2) identify those factors which contribute to the production of high and low quality programming (including the degree of minority participation), 3) identify current and potential practices in promotion and distribution, including additional potential outlets and possible tradeoffs between production and distribution, and 4) determine the costs associated with the operation of the ESAA-TV Program and to identify the relationships between fiscal allocation decisions and program output. Program procedures, broadcasters, and gatekeepers will be queried.

PROJECT DESCRIPTIONS

DESCRIPTORS: Educational Technology, Civil Rights and Integration, Minority Groups, Grant and Contract Management, Survey or Questionnaire Feature, Federal Administration

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1500

PROJECT NO: OE/OHD-77-26
(67002)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-77-0468

COST (In Thousands): FY 77-\$8, FY 78-\$185

PROJECT STATUS: Study in process

START/END DATES: September '77 through January '80

0031

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Assessment of the Operation of the Adult
Education Act State Grant Program

REPORT TITLE: Not yet determined

PERFORMER: Development Associates, Inc.; 2924 Columbia Pike;
Arlington, VA 22204; Dr. Malcom Young 703-979-0100

AGENCY CONTACT: Tucker, Eugene; Rm. 4083, FOB-6;
202-245-8380

PROGRAM(S) EVALUATED:
E-OE-Occupational & Adult Education-Adult State Grants

ABSTRACT: This is a longitudinal evaluation of the State Grant Program to operate under the new legislation beginning in October 1978. The evaluation will examine the content of the new program and its delivery system, and determine how to effect the new program's directions most efficiently and effectively. The evaluation will provide information on State and Local adult education activities supported by Federal funds. The information will serve as a basis for estimating possible and probable impact on participants.

DESCRIPTORS: Adult Education, Educationally Disadvantaged, Needs and Needs Assessment, Training and Employment of the Disadvantaged

EVALUATION TYPE: Program Strategy

EDC ID NO: 1618

PROJECT NO: OE/OHD-78-19

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-78-0469

COST (In Thousands): FY 78-\$312

PROJECT STATUS: Study in process

START/END DATES: September '78 through December '79

0032

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Assessment of State Agency Component of Right
to Read and of Cooperative Education

REPORT TITLE: An Assessment of the State Agency Component of
the Right to Read Program

PERFORMER: Applied Management Sciences; 962 Wayne Ave.,
N.W.; Suite 701; Silver Spring, MD 20910; Steven Frankel,
301-585-8181

AGENCY CONTACT: Hall, Robert; 202-245-8380

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Right to Read

ABSTRACT: This study involved mail survey and site visits to a large, national sample of district and state Right to Read (RR) administrators, teachers, and sites to analyze their activities. The study found that RR has generally been administered effectively but that problems remain. RR projects are more likely to be implemented with a full-time local director, but only 11% have this. Technical assistance through conferences and workshops has been ubiquitous and effective, but 40% of local directors are not satisfied with TA. Local evaluation has been ineffective, and few needs assessments have dealt with adult populations. The study did not deal with program impact. Appendices present state profiles and data collection instruments. See also EOC 0542 for another report under same contract. (Volume I 204 pages, Vol. II 138 pages, Vol. III 89 pages).

DESCRIPTORS: Educational Techniques, Elementary Education, Discretionary and Project Grant Performance, Survey or Questionnaire Feature, Technical Assistance, Needs and Needs Assessment, Evaluation by State and Local Governments

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0543 **PROJECT NO:** OE/OHD 75-27

FUND SOURCE: Evaluation **NTIS NO:** SHR0001439-41

MECHANISM: Contract **ERIC NO:** ED 127562,3,4

CONTRACT NO: OEC-300-75-0263

COST (In Thousands): FY 75-\$241

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS,
Available from ERIC

START/END DATES: June '75 through June '76

0033

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Bilingual Vocational Training

REPORT TITLE: Assessment of the Status of Bilingual Vocational Training for Adults, and Others

PERFORMER: Kirschner Associates; 5309 Sequoia Rd, NW;
Albuquerque, NW 87120; Dale Berry, 505-243-1763

AGENCY CONTACT: Shuler, Dorothy; Rm 3083, FOB-6;
202-245-8877

PROGRAM(S) EVALUATED:
E-OE-Occupational & Adult Education-Vocational
Grants-Bilingual

ABSTRACT: The purpose of this study was to collect information about the status of bilingual vocational training (BVT) in all 50 states. This study included a second phase to provide a small design study to determine the impact of such programs and to test the feasibility of measuring such outcomes. The first report (title above) outlines the need for BVT, the legislative and programmatic responses to the need, and conclusions drawn from the assessment of BVT programs. Appendices contain a detailed discussion of procedures used for collecting data; the questionnaire; and the program inventory. Volume II is a "Selected Annotated Bibliography", Volume III a "Review of Literature". The basic finding of the literature search was that the literature is quite limited. The report found (to be completed). (Final report 3 volumes of 221, 35, and 145 pages).

DESCRIPTORS: Non English Speaking, Educationally Disadvantaged, Career or Vocational Education, Bibliography Feature, Literature Review Feature, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0505

PROJECT NO: OE/OHD 75-21
(25001)

FUND SOURCE: E-OE-OPBE **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** ED 131 681 to 683

CONTRACT NO: 300-75-0333

COST (In Thousands): FY 75-\$126

PROJECT STATUS: Study completed,
Available from EDC,
Available from ERIC

START/END DATES: June '75 through June '76

0034

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Vocational Education Programs for Disadvantaged Students

REPORT TITLE: An Assessment of Vocational Education Programs for the Disadvantaged Under Part B of the Vocational Education Act

PERFORMER: Olympus Research Corp.; 1670 E 1300 South; Salt Lake City, UT 84105; John Walsh, 415-665-0180

AGENCY CONTACT: Shuler, Dorothy; Rm 4083, FOB-6;
202-245-8877

PROGRAM(S) EVALUATED:
E-OE-Occupational & Adult Education-Vocational
Grants-Special Needs

ABSTRACT: The purpose of this study was to provide information about how States set priorities and allocate funds for vocational services for disadvantaged students. The 1968 amendments to the VEA expanded the definition of vocational education, removed some of the differentiation between vocational and academic education, insisted upon state planning, and mandated that portions of federal grants be used to provide special programs or services for the "disadvantaged" who could not succeed in regular vocational education programs without such services. The study found that most states have devoted very little attention to the conceptualization of special vocational education services for the disadvantaged, that there are no specific criteria for the identification of disadvantaged students and that this is a program in search of a target group, that planning was basically an exercise in grantmanship and justification of decisions already made, that current data reporting and management information is minimal and error-laden, that state monitoring and evaluation are minimal, that there is little understanding and less use of individual assessments of students either eligible or potentially eligible for services and that students are typically placed in menial jobs. The report makes 16 recommendations which emphasize the need for improving basic administrative procedures. (Final report 240 pages plus 25 page summary).

DESCRIPTORS: Career or Vocational Education, Training and Employment of the Disadvantaged, Educationally Disadvantaged, Planning, Grant-in-Aid Formulas, Survey or Questionnaire Feature, Educational Administration, Counseling

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0513

PROJECT NO: OE/OHD 75-24
(25004)

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** ED 133 368

CONTRACT NO: 300-75-0342

COST (In Thousands): FY 75-\$339, FY 76-\$10

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from ERIC
START/END DATES: June '75 through December '76

0035

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Assessment of Vocational Education for
Handicapped Students

REPORT TITLE: An Assessment of Vocational Education Programs
for the Handicapped Under Part B of the 1968 Amendments
to the Vocational Education Act

PERFORMER: Olympus Research Corp.; 1670 E 1300 South; Salt
Lake City, Utah 84105

AGENCY CONTACT: Shuler, Dorothy; Rm 4083 FOB 6;
202-245-8877

PROGRAM(S) EVALUATED:
E-OE-Handicapped;
E-OE-Occupational & Adult Education-Vocational
Grants-Basic

ABSTRACT: Presented is the final report of an assessment of vocational education programs for the handicapped under Part B of the 1968 Amendments to the Vocational Education Act which included the requirements that 10 percent of each State's basic vocational education grant be expended exclusively for the handicapped. Part 1 focuses on assessment at the state level and includes a statistical overview of vocational education programming for the handicapped in all 50 states, and the following information based on a survey of 25 sample states: a description of state administrations, a financial profile of the states, and a discussion of policy issues (such as minority and handicapping staffing). Part 2 consists of results of on-site assessments made at 92 projects for the handicapped in 24 states and includes a statistical overview of the sample, and information on policy and planning at the local level, project administration, and the instructional programs. Presented in Part 3 are results of approximately 1,000 case study interviews with participants and their parents and 94 interviews with employers. Findings and conclusions of the study are summarized and recommendations based on the study (such as the need for monitoring of state expenditures by the Office of Education) are outlined in Part 4. Appended are 33 tables of participant and parent data.

DESCRIPTORS: Career or Vocational Education, Handicapping Conditions and Problems, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0928 **PROJECT NO:** OE/OHD 75-32
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** ED 101501
CONTRACT NO: OEC-0-73-6639
COST (In Thousands): FY 73-\$260, FY 74-\$6, FY 75-\$10
PROJECT STATUS: Study completed,
Available from ERIC
START/END DATES: June '73 through December '74

PROJECT DESCRIPTIONS

0036

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Survey of Career Education in the Public Schools

REPORT TITLE: Career Education in the Public Schools 1974-75: A
National Survey

PERFORMER: American Institute for Research; PO Box 1113; Palo
Alto, CA 94302; Donald McLaughlin 415-493-3550

AGENCY CONTACT: Scates, Alice; Room 4083, FOB-6;
202-245-8380

PROGRAM(S) EVALUATED:
E-OE-Special Projects & Training-Career Education

ABSTRACT: This study is in response to a Congressional mandate to determine the status of career education to provide a firm empirical basis for future legislation. The study gathered data from a sample of school districts, gathered information on activities in institutions preparing educational personnel, and considered a variety of other information about curricula and materials in preparing the report for the Congress. Most of the findings, however, are simply on the opinions of teachers and administrators surveyed. It was found that a greater number of innovative career education activities take place in the few districts with formal policies on career education, but that most schools had some career education activities. Increased community involvement emerged as an essential component of successful career education programs. The activities most frequently reported as successful in helping young people learn about and prepare for work involved bringing the students together with representatives from the work world. It is estimated that public schools have come approximately 15 percent of the way toward achieving comprehensive career education for all people. The study is HEW Publication No. (OE) 76-00507, and may be purchased from the GPO. (Final report 341 pages).

DESCRIPTORS: Educational Techniques, Curriculum Development, Education Manpower, Career or Vocational Education, Survey or Questionnaire Feature, Citizen Participation

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0545 **PROJECT NO:** OE/OHD 75-22(25002)

FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** ED 122165

CONTRACT NO: 300-750-245
COST (In Thousands): FY 75-\$309, FY 76-\$29

PROJECT STATUS: Study completed,
Available from EDC,
Available from ERIC

START/END DATES: June '75 through May '76

0037

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Analysis of Postsecondary Occupational and
Educational Outcome for High School Class of 1972

REPORT TITLE: Comparative Analysis of Postsecondary
Occupational and Educational Outcomes for the High
School Class of 1972

PERFORMER: Educational Testing Service; Rosedale Road;
Princeton, N.J. 08540 F. R. Creech, 609-921-9000

AGENCY CONTACT: Fleming, Emmett; Rm 4083, FOB-6;
202-245-8877

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Education;
E-OE-Occupational & Adult Education-Vocational Grants

ABSTRACT: This report is concerned with the members of the High School Class of 1972, for the period between their graduation in 1972 and the time of the first follow up survey 18 months later. The report presents (1) a description of past-high school activities and characteristics; (2) a series of analyses relating earlier characteristics to later educational and vocational characteristics; and (3) a series of analyses relating earlier plans and aspirations to post-high school outcomes. The study finds that a primary determinant of whether one engages in postsecondary education, works, or does neither depends on the amount of academic ability and the degree of educational orientation possessed by the respondent. Of those who had planned on full-time work after graduation, the vocational graduates were most likely to realize their plans. Of those who had planned post secondary educational activities, academic graduates were most likely to realize their plans. (Final report 465 pp, Executive Summary 20 pages).

DESCRIPTORS: Higher Education, Elementary and Secondary Students, Labor Market Problems, Secondary Education, Career or Vocational Education, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0912**PROJECT NO:** OE/OHD-75-23
(25003)**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** ED 13984-5**CONTRACT NO:** 300-75-0312**COST (In Thousands):** FY 75-\$191

PROJECT STATUS: Study completed,
Available from EDC,
Available from ERIC

START/END DATES: June '75 through June '77

0038

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: A Study of Vocational and Technical Programs
Operated by Proprietary Schools

REPORT TITLE: A Comparative Study of Proprietary and
Non-Proprietary Vocational Training Programs

PERFORMER: American Institutes for Research; P.O. Box 1113;
Palo Alto, CA

AGENCY CONTACT: Maroney, Robert; Rm 4083, FOB-6;
202-245-8877

PROGRAM(S) EVALUATED:

E-OE-Occupational & Adult Education-Vocational Grants

ABSTRACT: The study recommends that funds be made available to schools only if they provide auditable evidence of the benefits and costs to students of training; that guidelines be developed on how to assess and interpret trainee performance and costs; that strict ethical standards be applied to schools advertising, recruiting, and general conduct; that information on vocational schools be supplied to high schools, vocational counselors, and libraries; that support for vocational training programs for the disadvantaged be continued and expanded; and that funds for research and demonstration projects be made available. (Final report 136 pages)

DESCRIPTORS: Career or Vocational Education, Cost-Effectiveness Analysis Feature

EVALUATION TYPE: Research and Data Development**EDC ID NO:** 0434**PROJECT NO:** OE/OHD-70-1**FUND SOURCE:** Evaluation**NTIS NO:** PB 247-817**MECHANISM:** Contract**ERIC NO:** ED 067523,4**CONTRACT NO:** OEC-O-70-5018**COST (In Thousands):** FY 70-\$166, FY 73-\$2

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS,
Available from ERIC

START/END DATES: June '70 through November '72

0039

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Completion of Evaluation of State Schools for
Handicapped

REPORT TITLE: Completion of the Evaluation of Educational
Programs in State Operated and State Supported Schools for
Handicapped

PERFORMER: Oliver Bryk; Bethesda, Maryland**AGENCY CONTACT:** Fleming, Emmett; 202-245-8877**PROGRAM(S) EVALUATED:**

E-OE-Elementary & Secondary-Grants for
Disadvantaged-Handicapped

ABSTRACT: Exotech Systems was unable to complete all analyses in connections with OE/OHD 75-29 (0926). This contract was able to tie up all loose ends and produce a final report.

DESCRIPTORS: Handicapping Conditions and Problems, Mental Health, Educationally Disadvantaged, Elementary and Secondary Students

EVALUATION TYPE: Program Impact**EDC ID NO:** 0927**PROJECT NO:** OE/OHD 75030**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not yet determined**CONTRACT NO:** 300-75-0094**COST (In Thousands):** FY 75-\$12**PROJECT STATUS:** Study completed**START/END DATES:** October '74 through February '75

0040

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Study of the Identification of Exemplary
Desegregated Schools and Evaluation of the Determinants of
Success

REPORT TITLE: Conditions and Processes of Effective School
Desegregation, Final Report; Handbook for Integrating
Schooling

PERFORMER: Educational Testing Service; Princeton, New Jersey
08540; 609-921-9000

AGENCY CONTACT: York, Robert; Rm. 3049 FOB 6;
202-245-7997

PROGRAM(S) EVALUATED:

DM-Office for Civil Rights;
E-OE-Elementary & Secondary-Support &
Innovation-Planning & Evaluation

ABSTRACT: A study was conducted to distinguish between effective and less effective results in the achievement of desegregation in schools and to evaluate conditions and practices related to effective school desegregation. Data were obtained from a survey of 96 elementary schools and 72 high schools located in southern States in 1974 and from a followup survey of 22 elementary schools and 21 high schools in 1975. In both elementary and high schools, separate achievement factors for black and white students were defined. It was found that the socioeconomic background of students was related to achievement and attitudinal outcomes. School conditions had a closer relationship with good race relations than with achievement scores. Variables significantly affecting specific components of race relations were the friendliness of interpersonal relations in a school; teacher evaluations of student achievement, and programs of human relations and race relations. High schools with good race relations tended to have principals who were highly evaluated by teachers. Schools varied in the extent to which teachers, administrators, school officials, and students communicated support for integration. The study questionnaires and supporting tabular data are appended. In a Handbook for integrated schooling that accompanies the study report, principles of and recommendations for effective integrated schooling are detailed.

DESCRIPTORS: Black Americans, Civil Rights and Integration, Elementary and Secondary Students, Elementary Education, Testing and Tests

EVALUATION TYPE: Program Impact

EDC ID NO: 0960 **PROJECT NO:** OE 73-5 (13801)
FUND SOURCE: Evaluation **NTIS NO:** SHR-000-1384,5
MECHANISM: Contract **ERIC NO:** 131154,5
CONTRACT NO: OEC-0-73-6341
COST (In Thousands): FY 73-\$998
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS,
Available from ERIC
START/END DATES: May '73 through August '76

0041

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Conference on the Financing of Higher Education

REPORT TITLE: None

PERFORMER: Educational Commission of the States; 1860 Lincoln Street; Denver, Colorado 80203

AGENCY CONTACT: Barefoot, William; 202-245-8132

PROGRAM(S) EVALUATED:
E-OE-Higher Education;
E-OE-Higher Education-Institutional Aid-Strengthening
Developing Institutions

ABSTRACT: This contract covered costs of a national conference to review and debate findings and recommendations of several task force and commission reports relative to postsecondary financing policy directions. (No written report).

DESCRIPTORS: Higher Education, Educational Finance, Conference Proceedings Feature

EVALUATION TYPE: Support

EDC ID NO: 0909 **PROJECT NO:** OE/PE 75-18
FUND SOURCE: Evaluation **NTIS NO:** Not available
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 300-75-0111

COST (In Thousands): FY 75-\$25

PROJECT STATUS: Study completed

START/END DATES: September '74 through February '75

0042

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Assessment of State Agency Component of Right to Read Program and of Cooperative Education

REPORT TITLE: Cooperative Education: A National Assessment

PERFORMER: Applied Management Sciences; 962 Wayne Ave., N.W.; Suite 701; Silver Spring, MD 20910; Steven Frankel, 301-585-8181

AGENCY CONTACT: Herschner, Ann; Rm. 4087 FOB 6; 202-245-8130

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Institutional Aid-Cooperative
Education

ABSTRACT: This is the first of two major deliverables under this project. It concerns Cooperative Education, a form of vocational education involving on-the-job training by employers of students. An annotated bibliography of critical reviews of 134 studies concerned with cooperative education is presented. The literature search involved more than 2,000 documents and citations, of which 400 were read critically and ranked as to their relevance for a national assessment of cooperative education. Categories include program description and evaluation; commentary and exhortation; feasibility studies; across-program assessments; methodological concerns; and bibliographies, manuals, and guidelines. A general critique of the literature reviewed finds that most studies are invalid or misleading. Many are exhortations by advocates under the guise of research (especially so-called feasibility studies which never reach negative conclusions), most present biased claims to prove benefits without valid control groups, most focus on successful rather than all students or sites, etc. (Final report 2 Volumes plus Technical Notes, 85 pages and an Abridged Final Report, 225 pages.)

DESCRIPTORS: Career or Vocational Education, Training and Employment of the Disadvantaged, Bibliography Feature, Educational Techniques, Literature Review Feature, Social Research, Research and Evaluation Performance, Benefit-Cost Analysis Feature
EVALUATION TYPE: Program Impact

EDC ID NO: 0542 **PROJECT NO:** OE/PS 75-11
FUND SOURCE: Evaluation **NTIS NO:** SHR-0001438
MECHANISM: Contract **ERIC NO:** ED 148232-36
CONTRACT NO: OEC 300-75-0343
COST (In Thousands): FY 75-\$445, FY 76-\$77, FY 77-\$33

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS,
Available from ERIC

START/END DATES: July '75 through November '77

0043

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Cooperative Education: A National Assessment

REPORT TITLE: Cooperative Education: A National Assessment
PERFORMER: Applied Management Sciences, Inc; 962 Wayne
 Avenue Suite 701; Silver Spring MD 20910; Steven Frankel,
 301-585-8181

AGENCY CONTACT: Hershner, Ann; Rm 4087, FOB-6;
 202-245-8130

PROGRAM(S) EVALUATED:
 E-OE-Higher Education-Institutional Aid-Cooperative
 Education

ABSTRACT: The purpose of this study, mandated by Congress, is to evaluate the effectiveness to Cooperative Education at the post-secondary level. The first of four tasks will focus upon a cost benefit analysis for the three components (students, institutions, and employers) of Cooperative Education. A second task will evaluate the effectiveness of federal funding to date, while the third task will assess the national potential for Cooperative Education. The last task will assess the potential role of Cooperative Education as it relates to career education.

DESCRIPTORS: Benefit-Cost Analysis Feature, Bibliography Feature, Federal Role, Survey or Questionnaire Feature, Trends and Forecasts, Career or Vocational Education

EVALUATION TYPE: Program Impact

EDC ID NO: 0921

PROJECT NO: OE/PE-75-11
 (35003)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-75-0343

COST (In Thousands): FY 75-445, FY 76-577, FY 77-533

PROJECT STATUS: Study completed

START/END DATES: July '75 through November '77

0044

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: Cost Analysis Study of Follow Through Projects

REPORT TITLE: Not yet determined

PERFORMER: RMC Research Corporation; 7910 Woodmont
 Avenue; Bethesda, MD 20014; Don Rogers, 301-656-2700

AGENCY CONTACT: Iwamoto, David; Rm 3044, FOB-6;
 202-245-9401

PROGRAM(S) EVALUATED:
 E-OE-Elementary & Secondary-Follow Through

ABSTRACT: The purpose of this study is to help the Office of Education to achieve a better understanding of the costs associated with operating each of the 22 different Follow Through models. In addition, the study will provide to local educational agencies considering the adoption of a Follow Through model the necessary information to accurately estimate its true implementation costs.

DESCRIPTORS: Elementary Education, Benefit-Cost Analysis Feature, Survey or Questionnaire Feature, Technical Assistance, Economic Analysis Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0920

PROJECT NO: OE/ES-75-10
 (15902)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-75-0233

COST (In Thousands): FY 75-\$346, FY 76-\$35, FY 77-\$36

PROJECT STATUS: Study completed
START/END DATES: July '75 through August '77

0045

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: Criteria for Quality, Procedures under PL 94-142

REPORT TITLE: Not yet determined

PERFORMER: Thomas Buffington and Associates; Washington,
 D.C.

AGENCY CONTACT: Morra, Linda; Rm. 3149 Donohoe;
 202-472-2535

PROGRAM(S) EVALUATED:
 E-OE-Handicapped-State Assistance

ABSTRACT: This study was designed to lay the ground work for future studies of the quality and effectiveness of procedures. It is being conducted by internal staff, with the assistance of Thomas Buffington and Associates. The study focuses on the four principal requirements in the law: provision of due process, least restrictive placements, individualized educational programs, and prevention of erroneous classification. The study is designed to solicit a variety of approaches to each requirement, and to incorporate these approaches into self-study guides.

DESCRIPTORS: Handicapping Conditions and Problems, Educational Administration, Research and Evaluation Methods Feature, Technical Assistance, Quality Assurance

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1628

PROJECT NO: BEH-77-2

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-77-0237

COST (In Thousands): FY 77-\$242

PROJECT STATUS: Study in process

START/END DATES: June '77 through March '79

0046

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: Evaluation of Work Education Programs which
 Meet Career Development Objectives

REPORT TITLE: Data Analysis Report: An Assessment of School
 Supervised Work Education Programs

PERFORMER: System Development Corporation; 2500 Colorado
 Ave; Santa Monica, CA 90506

AGENCY CONTACT: Shuler, Dorothy; Rm 4083, FOB-6;
 202-245-8877

PROGRAM(S) EVALUATED:
 E-OE-Occupational & Adult Education-Vocational
 Grants-Cooperative Education

ABSTRACT: Data are analyzed to examine systematically different configurations of work education by means of typology in three dimensions: educational level, primary program purpose, and industrial setting. A stratified random sample of 50 work education sites was drawn to determine the degree to which different types of programs are meeting their intended objectives. Separate interview questionnaires were used for program administrators, participating and nonparticipating employers, and participating and nonparticipating unions. Based on findings of the data analysis, eleven policy recommendations are described. Appendixes present cross tabulations by student groups and the interview questionnaires.

DESCRIPTORS: Career or Vocational Education, Elementary and Secondary Students, Case or Site Study Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1472 **PROJECT NO:** OE/OHD-72-6 (22003)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** ED 081997-9, 090414
CONTRACT NO: OEC-0-72-5024
COST (In Thousands): FY 72-\$246, FY 73-\$6
PROJECT STATUS: Study completed,
Available from ERIC
START/END DATES: June '72 through October '73

0047

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Data Base Estimation of Impact of Title III, HEA (Developing Institutions)

REPORT TITLE: Not yet determined

PERFORMER: Harvard University; Center for Educational Policy Research; Six Appian Way, Cambridge, MA 02138; George Weathersby, 617-495-3447

AGENCY CONTACT: Corrallo, Sal; Rm 4087, FOB 6; 202-245-7884

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Institutional Aid-Strengthening Developing Institutions

ABSTRACT: The purpose of this study is to merge several institutional and student data files into a master data bank. Subsequently, descriptive and statistical analyses will be performed in order to determine the extent of change in the characteristics of institutions over the period FY 1968 through FY 1973. Comparative analyses of changes in characteristics will be made among similar sets of institutions in order to assess the impact of Title III assistance on participating institutions. Efforts will also be made to determine the extent to which changes in characteristics appear to be the effects of student aid, as opposed to institutional assistance.

DESCRIPTORS: Statistical Data Feature, Higher Education, Black Americans, Statistical Analysis Methods Feature, Client Records
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0946 **PROJECT NO:** OE/PS 75-13 (35005)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-75-0221
COST (In Thousands): FY 75-\$135, FY 76-\$35
PROJECT STATUS: Study completed
START/END DATES: June '75 through September '77

0048

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Data Base Estimation of Impact of Title III, Higher Education Act (Developing Institutions), and Pilot Study of Funded Institutions

REPORT TITLE: Various

PERFORMER: Harvard University; Center for Educational Policy Research; Six Appian Way; Cambridge, Ma; 02138; George Weathersby, 617-495-3447

AGENCY CONTACT: Kuch, Peter; Rm 4087, FOB-6; 202-245-7884
PROGRAM(S) EVALUATED:

E-OE-Higher Education-Institutional Aid-Strengthening Developing Institutions

ABSTRACT: The purpose of this study was to merge several institutional and student data files into a master data bank. Subsequently, descriptive and statistical analyses were performed in order to determine the extent of change in the characteristics of institutions over the period FY 1968 through FY 1973. Comparative analyses of changes in characteristics were made among similar sets of institutions in order to assess the impact of Title III assistance on participating institutions. Efforts were also made to determine the extent to which changes in characteristics appear to be the effects of student aid, as opposed to institutional assistance. A methodology for describing stages of organizational structure and management procedure was field tested at five exemplary developing institutions. The original contract was with Applied Management Sciences, the project was completed by Harvard under contract 300-77-0157. (Final report 14 parts; a listing of these can be obtained from the Evaluation Documentation Center.)

DESCRIPTORS: Accreditation of Institutions, Higher Education, Black Americans

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0923 **PROJECT NO:** OE/PS-75-13 (35005 & 8)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-75-0221
COST (In Thousands): FY 75-\$135, FY 76-\$35, FY 77-\$24
PROJECT STATUS: Study completed,
Executive summary at EDC,
Available from EDC
START/END DATES: June '75 through December '77

0049

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Decision Rule for Placements Under PL 94-142

REPORT TITLE: Not yet determined

PERFORMER: Applied Management Sciences; Silver Spring, MD

AGENCY CONTACT: Morra, Linda; 3149 Donohoe Bldg; 202-472-2535

PROGRAM(S) EVALUATED:
E-OE-Handicapped-State Assistance

ABSTRACT: The purpose of this study is to determine the variety of rules used by schools as well as courts to determine placements. As more and more parents have taken advantage of their rights to due process, courts have been forced to make decisions about the appropriate placements for handicapped children. The extent to which parents and schools use different criteria for determining placements is not known. This study will observe school placement teams and will analyze the criteria put forth in state policies and in court testimonies.

DESCRIPTORS: Bureaucratic Behavior, Educational Administration, Handicapping Conditions and Problems, Legal Issues, Family, Evaluation by State and Local Governments, Federal Role
EVALUATION TYPE: Program Strategy

EDC ID NO: 1626 **PROJECT NO:** BEH-78-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT GRANT NO: 300-78-0427
COST (In Thousands): FY 78-\$350
PROJECT STATUS: Study in process
START/END DATES: September '78 through March '80

0050

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: Planning Study for Higher Education Facilities
 Construction

REPORT TITLE: The Demand For Facilities in the Post-Secondary
 Sector, 1975 to 1990

PERFORMER: Joseph Froomkin, Inc.; 1015 18-th St, N.W.;
 Washington, D.C.

AGENCY CONTACT: Hershner, Ann; Rm 4087, FOB-6;
 202-245-8130

PROGRAM(S) EVALUATED:
 E-OE-Higher Education-Institutional Aid-Construction

ABSTRACT: This report addresses how much space is likely to be needed to accommodate the enrollments between 1975 and 1990 in both the nonprofit and proprietary educational sectors. Emphasis is placed on projections of postsecondary enrollments, size distribution of institutions and campuses, current perception of space requirement needs, comparison of space-to-space standards, statistical determination of space standards, an estimate of stocks 1970-1990, proprietary post-secondary schools, costs of construction, new technologies and attendance patterns, and how much space is really needed. Statistical data are presented within the report as well as within the attached appendices. (Final report 436 pages).

DESCRIPTORS: Higher Education, Facilities, Educational Finance, Planning, Trends and Forecasts, Statistical Data Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1471 **PROJECT NO:** OE/PS-72-5 (32005)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** ED 098881

CONTRACT NO: OEC-0-72-5023

COST (In Thousands): FY 72-\$132, FY 73-\$61

PROJECT STATUS: Study completed,
 Available from ERIC

START/END DATES: June '72 through October '74

0051

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: Large Scale Evaluation of Compensatory Reading

REPORT TITLE: Descriptive and Analytic Study of Compensatory
 Reading Programs

PERFORMER: Educational Testing Service; Rosedale Road;
 Princeton, NJ 08540; D.A. Trismen, 609-921-9000

AGENCY CONTACT: Mayeske, George; Rm 3049, FOB-6;
 202-245-8857

PROGRAM(S) EVALUATED:
 E-OE-Elementary & Secondary-Grants for Disadvantaged;
 E-OE-Elementary & Secondary-Right to Read

ABSTRACT: This study evaluated compensatory reading programs in U.S. Public schools. The study involved students in grades two, four, and six in 731 schools and an additional 30 schools with noteworthy reading programs. Compensatory reading instruction was defined to

include any reading instruction provided to students because they read below their grade level. Of the 731 schools 80 percent returned at least one questionnaire. An evaluation of compensatory reading program characteristics yielded 85 variables descriptive of a broad array of instructional practices. Differences among compensatory and noncompensatory reading student groups were assessed, and outcome differences among program characteristics were evaluated. An addendum presents the results of a study of noteworthy schools and a study of summer study programs. A second addendum analyzes schools according to their source of funds for compensatory reading programs (Title I versus non Title I). (Final report 424 pages, plus addenda of 214 pages, technical summary of 92 pages, and executive summary of 9 pages).

DESCRIPTORS: Testing and Tests, Compensatory Education, Elementary and Secondary Students, Learning Processes, Survey or Questionnaire Feature, Elementary Education
EVALUATION TYPE: Program Impact

EDC ID NO: 0918

PROJECT NO: OE/ES 71-2
 (11205)

FUND SOURCE: Evaluation

NTIS NO: SHR 000-1894-1898

MECHANISM: Contract

ERIC NO: ED 130257-60,244

CONTRACT NO: OEC-O-71-3715

COST (In Thousands): FY 71-\$317, FY 72-\$990, FY 73-\$1943,
 FY 75-\$149, FY 76-\$5

PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS,
 Available from ERIC

START/END DATES: June '71 through July '76

0052

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: National Higher Education Planning Model
 Project-Phase II

REPORT TITLE: A Design for a Federal Planning Model for
 Analysis of Accessibility to Higher Education-Phase II

PERFORMER: Western Interstate Commission for Higher
 Education; Boulder, Colorado 80302; Vaughn Huckfeldt

AGENCY CONTACT: Okada, Tetsuo; Rm 3040, FOB-6;
 202-245-7875

PROGRAM(S) EVALUATED:
 E-OE-Commissioners Office-Program Direction &
 Management;
 E-OE-Higher Education-Institutional Aid-Strengthening
 Developing Institutions

ABSTRACT: This report presents the results of an initial research effort in the development of a national planning model for higher education. The design of the prototype model discussed in this report is based on existing or derivable institutional and student data, and is designed to permit prototype planning studies to examine the impact of alternative federal programs on accessibility and to a lesser extent, on institutional viability. The model will assist in identifying high-payoff areas of future research necessary for the development of a comprehensive planning model, and the additional data requirements of such a model. The model simulates the impact of funding programs by using differential dynamic programming to construct a five year operating plan for several classes of institutions. The institutional supply of spaces for students is identified by these operating plans for each of the classes of institutions; and the interaction of the institutional supply with the student demand produces calculations of students enrolled, empty spaces, unsatisfied demand, financial conditions.

DESCRIPTORS: Higher Education, Operations Research Feature, Planning, Statistical Analysis Methods Feature, Research and Evaluation Planning and Administration, Literature Review Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0905 **PROJECT NO:** OE 72-4
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: OEC-O-72-3575
COST (In Thousands): FY 72-\$25, FY 73-\$47
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: February '72 through December '73

0053

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Developing a Guide to Building, Evaluating, and Using Criterion-Referenced Tests

REPORT TITLE: Not yet determined
PERFORMER: American Institutes for Research; 3303 New Mexico Ave; Washington, D.C. 20016; Marion Shaycoft 415-493-3550
AGENCY CONTACT: Anderson, Janice; Rm 3040, FOB-6; 202-245-7875
PROGRAM(S) EVALUATED:
V-Many HEW Programs-Education

ABSTRACT: This project produced a draft handbook for local evaluators and program personnel on the construction and use of criterion-referenced tests in project evaluation.

DESCRIPTORS: Research and Evaluation Methods Feature, Evaluation by State and Local Governments, Technical Assistance
EVALUATION TYPE: Support

EDC ID NO: 0917 **PROJECT NO:** OE/ES-756 (15006)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-75-0127
COST (In Thousands): FY 75-\$15, FY 76-\$5
PROJECT STATUS: Study completed
START/END DATES: March '75 through July '76

0054

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Development of Entry/Exit Centers and Associated Assessment Procedures for Bilingual Education Projects

REPORT TITLE: Not yet determined
PERFORMER: SWRL Educational Research and Development; 4665 Lampion Avenue; Los Alamitos, CA 90720; Dr. Mashahito Okada, 213-598-7661
AGENCY CONTACT: Shoemaker, David; Rm. 3044, FOB 6; 202-245-2371
PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Bilingual Education-LEA Grants

ABSTRACT: This study will produce a Student Placement System (SPS), which is a flexible set of resource material for assisting personnel of ESEA Title VII projects to design and implement a student

entry exit follow-up assistance system appropriate for their local needs. The SPS will have as its foundation a conceptual framework defining those skills necessary for a student to function effectively in school in grades kindergarten through 6.

DESCRIPTORS: Technical Assistance, Non English Speaking, Research and Evaluation Planning and Administration, Testing and Tests

EVALUATION TYPE: Support

EDC ID NO: 1602 **PROJECT NO:** OE/ES-78-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-78-0489
COST (In Thousands): FY 78-\$645
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '80

0055

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Development of Evaluation Models for Title I Programs in Early Childhood Education

REPORT TITLE: Not yet determined
PERFORMER: Huron Institute; 123 Mt. Auburn Street; Cambridge, MA 02138; Walt Haney, 617-661-9285
AGENCY CONTACT: Stonehill, Robert; Rm 3044, FOB-6; 202-245-9401
PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Grants for Disadvantaged-LEAS

ABSTRACT: The objective of this study is to develop models and a reporting system suitable for use by state and local education agencies for the evaluation of Title I projects at preschool, kindergarten and first grade levels. This project is a continuation of developmental work (undertaken to implement the provisions of Section 151 of P.L. 93-380) in which models and a reporting system appropriate for evaluating Title I reading, mathematics and language arts projects in 2nd - 12th grade were produced. The study will be conducted in 4 phases: (I) Review and synthesize the literature pertaining to evaluation of early childhood compensatory education programs. Document potentially useful evaluation strategies and instruments; (II) Document the current early childhood Title I effort, with particular attention to local evaluation needs and competencies. Visits to several SEAs and LEAs will be undertaken, and case studies produced; (III) Prepare a feasibility report on the types of evaluation strategies or models which might prove useful for Title I early childhood program evaluation. This report will present prototype evaluation models which should be considered for dissemination; and (IV) Produce instructional materials for new evaluation and reporting system.

DESCRIPTORS: Evaluation by State and Local Governments, Compensatory Education, Research and Evaluation Methods Feature, Research and Evaluation Planning and Administration, Children and Youth, Infants, Management Information and Reporting
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1478 **PROJECT NO:** OE/ES-77-4 (17003)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-77-0444
COST (In Thousands): FY 77-\$30, FY 78-\$206

PROJECT STATUS: Study in process
START/END DATES: September '77 through May '79

0056

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Development of Handbook on Bias in Achievement Testing

REPORT TITLE: Not yet determined
PERFORMER: James R. Veale; P.O. Box 4036; Berkeley, CA 94704; 415-832-7657
AGENCY CONTACT: Anderson, Janice; Rm 3040 FOB 6; 202-245-7875
PROGRAM(S) EVALUATED:
V-Many HEW Programs-Education

ABSTRACT: This handbook will advise the administrator or evaluator on how to check his achievement tests for cultural bias and remedy the problems uncovered. The contractor will describe alternative approaches to this problem based partially on the papers presented at the USOE Invitational Conference on the Testing of Minority or Disadvantaged Children, May, 1976.

DESCRIPTORS: Research and Evaluation Methods Feature, Testing and Tests, Minority Groups
EVALUATION TYPE: Support

EDC ID NO: 1445 **PROJECT NO:** OE/ES 76-14 (17001)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: POO-76-0453
COST (In Thousands): FY 76.1-\$8
PROJECT STATUS: Study completed
START/END DATES: September '76 through September '77

0058

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Development of Models for the Evaluation and Reporting of Non-Instructional Services in Title I

REPORT TITLE: Not yet determined
PERFORMER: RMC Research Corporation; 7910 Woodmont Ave.; Bethesda, Maryland 20014; Robert Crosby, 301-656-2700
AGENCY CONTACT: Burnes, Judith; Rm 3040, FOB 6; 202-245-8364
PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Grants for Disadvantaged-LEAS

ABSTRACT: Under Title I, school districts offer a variety of services designed not only to provide instruction in basic skills, but also to remove impediments to learning so that instruction will be more effective. Such non-instructional services often include medical, dental, nutritional, and social and psychological services, especially guidance and counseling. Although CPIR reports indicate that as much as 11% of Title I money is being spent on these services, no previous attempt has been made to evaluate them. The study will assess these services in a sample of Title I communities across the country. Teams of experts will visit schools in each project, interviewing appropriate personnel to determine the nature and adequacy of noninstructional services being provided. The study has three major objectives: 1) to

provide a detailed description of the type of services provided; 2) to evaluate these services; and 3) to prepare a handbook or handbooks for SEA's and LEA's on the evaluation of non-instructional services in Title I. The results of the study will be summarized in a report to Congress in the winter of 1977.

DESCRIPTORS: Compensatory Education, Evaluation by State and Local Governments, Counseling, Supportive Services, Preventive Health Care, Child Development, Survey or Questionnaire Feature, Technical Assistance
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1435 **PROJECT NO:** OE/ES 76-7 (16008)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-76-0265
COST (In Thousands): FY 76-\$376
PROJECT STATUS: Study completed,
aAvailable from NTIS,
Study completed,
Executive summary at EDC,
bExecutive summary at EDC,
d

START/END DATES: June '76 through September '77

0059

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Project Information Packages Development (Continuation)

REPORT TITLE: The Development of Project Information Packages for Effective Approaches in Compensatory Education
PERFORMER: RMC Research Corporation; 745 Distel Drive; Los Altos, California 94022
AGENCY CONTACT: Glassman, Edward; Rm 4079 FOB 6; 202-245-8197
PROGRAM(S) EVALUATION:
E-OE-Elementary & Secondary-Grants for Disadvantaged;
E-OE-Special Projects & Training-Packaging & Dissemination

ABSTRACT: This report is an account of the first year's work of a multi-year investigation into the feasibility of packaging successful programs on improving reading and mathematical skills for disadvantaged children. Work consisted of developing packaging concepts and selecting and packaging six compensatory education projects for field tryout purposes. Packages are scheduled for tryout and evaluation at two to five sites during the 1974 and 1975-1976 school years. (Final report 47 pages.)

DESCRIPTORS: Child Development, Educational Techniques, Compensatory Education, Learning Processes, Educationally Disadvantaged, Elementary Education, Feasibility Study Feature
EVALUATION TYPE: Support

EDC ID NO: 0402 **PROJECT NO:** OE-74-20
FUND SOURCE: Evaluation **NTIS NO:** PB 247-122
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: OEC-0-73-6662
COST (In Thousands): FY 73-\$250, FY-74-\$133, FY-75-\$42
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '73 through July '74

0060

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Development of Research Data Base for
Guaranteed Student Loan

REPORT TITLE: None

PERFORMER: Opinion Research Corp.; North Harrison St;
Princeton, N.J. 08540; Sam Reed, 609-833-3040

AGENCY CONTACT: Morissey, Daniel, Rm 4087, FOB-6,
202-245-8130

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Student Assistance-Subsidized
Insured Loans

ABSTRACT: The current Loan Estimation Model uses for projection purposes a large, randomly selected sample of one million borrowers and 70,000 defaulters. Such large samples randomize both errors and missing data categories and are representative of the universe of borrowers and defaulters. However, such large samples are costly to run and must be utilized for inquiry into even simple relationships among data. Design of a new data base specifically for research purposes and utilization of keyboard consoles resulted in a greatly accelerated query-response capability. (No written report.)

DESCRIPTORS: College Students, Scholarships Fellowships and Loans, Information Processing, Operations Research Feature, Client Records, Research and Evaluation Methods Feature

EVALUATION TYPE: Support

EDC ID NO: 0907

PROJECT NO: OE/PS-75-16
(35008)

FUND SOURCE: Evaluation

NTIS NO: Not available

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 300-75-0129

COST (In Thousands): FY 75-\$24

PROJECT STATUS: Study completed

START/END DATES: May '75 through September '75

0061

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Development of Six Compensatory Education
PIPs and Four Bilingual Education PIPs

REPORT TITLE: Not yet determined

PERFORMER: CEMREL Inc.; 3120 59th Street; St. Louis, MO
63139; 314-781-2900

AGENCY CONTACT: Hulten, Burma; Rm 3040, FOB 6;
202-245-7875

PROGRAM(S) EVALUATED:
E-OE-Special Projects & Training-Packaging &
Dissemination

ABSTRACT: This project will select and package six additional approaches to compensatory reading and/or mathematics and four approaches to bilingual education for dissemination to educational agencies for local replication.

DESCRIPTORS: Compensatory Education, Demonstration Projects, Non English Speaking, Educational Techniques, Information Dissemination, Technical Assistance

EVALUATION TYPE: Other

EDC ID NO: 0943

PROJECT NO: OE/ES 75-9
(15007)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-75-0358

COST (In Thousands): FY 75-\$352

PROJECT STATUS: Study completed

START/END DATES: July '75 through April '77

0062

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Distribution of Office of Education Discretionary
Funds-Region V

REPORT TITLE: Not yet determined

PERFORMER: DBS Corporation; 1515 Wilson Blvd.; Arlington,
VA

AGENCY CONTACT: Halperin, Libby

PROGRAM(S) EVALUATED:

E-OE-School Assistance to Federally Affected Areas;
E-OE-Elementary & Secondary-Support & Innovation

ABSTRACT: The purposes of this project are to analyze the patterns of usage of Federal discretionary funds in local education districts, to isolate significant characteristics of high and low user districts, to analyze implications for future Federal fundings, and to develop recommendations on alternative funding models and improvements.

DESCRIPTORS: Elementary and Secondary Students, Educational Finance

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0942

PROJECT NO: E/Reg V-74-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: HEW-OS-74-227

COST (In Thousands): FY 74-\$44

PROJECT STATUS: Study completed

START/END DATES: June '74 through December '74

0063

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Follow Through Evaluation: Data Analysis
(Continuation)

REPORT TITLE: Education as Experimentation: A Planned
Variation Model. Volume IIIA, (2 parts), Volume IVA, (8
parts)

PERFORMER: Abt Associates, Inc.; 55 Wheeler Street; Cambridge
MA 02138 617-492-7100

AGENCY CONTACT: Kennedy, Mary; 202-245-2371

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-Follow Through

ABSTRACT: Various models of compensatory education techniques for improving the educational performance of disadvantaged children are described. The models are evaluated to provide a basis for examining the efficacy of Project Follow Through in its concern with compensatory education. The project incorporates both social and educational services to assure planned variation in educational pro-

grams. The progress of children served by 14 of 22 sponsors covered by Project Follow Through was examined. A total of 7,334 children met criteria for inclusion in the study. A description and analysis of the 14 sponsors/models are presented: responsive education model; Tucson Early Education Model in Arizona; Bank Street model emphasizing the child's cognitive and affective development and teacher/parent education; direct instruction model; behavior analysis model; cognitively oriented curriculum model; Florida parent education model; open education approach of the Education Development Center; self-sponsored model; language development (bilingual education) approach; home-school partnership model; and California process model. A detailed description of each educational model is provided that encompasses the learning environment, characteristics of the sample, and effects on children. A glossary and a bibliography are provided. Volumes I and II were conducted under contract No. OEC-O-72-5221 are available from ERIC, ED 094890-91 and ED 108768-69

DESCRIPTORS: Educational Techniques, Educationally Disadvantaged, Elementary and Secondary Students, Minority Groups, Family, Testing and Tests, Research and Evaluation Performance, Compensatory Education, Elementary Education
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0938 **PROJECT NO:** OE/ES 74-31b
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW 300-75-0134
COST (In Thousands): FY 75-\$669, FY 76-\$1121, FY 77-\$36
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC
START/END DATES: February '75 through December '76

0064

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Follow Through National Evaluation: Data Analysis

REPORT TITLE: Education as Experimentation: Evaluation of the Follow Through Planned Variation Model

PERFORMER: Abt Associates; 55 Wheeler Street; Cambridge, MA 02138

AGENCY CONTACT: Iwamoto, David; Rm 3044, FOB-6;
202-245-9402

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Follow Through

ABSTRACT: This report on the National Evaluation of Follow Through focuses on the accumulated effects of two years of Follow Through experiences on children who remained with the same sponsors over that time period. Comparisons between Follow Through and Non-Follow Through children at the end of first grade are described. In addition to previously used child and school level analyses, site level analyses are included. Chapter 1 presents a brief overview of the report. Chapter 2 describes the problems of sample bias, generalizability and sponsor-to-sponsor comparisons. Chapters 3 and 4 deal with the variables used in the analyses and includes a description of covariance selection and the final covariate set. Chapter 5 presents a description of the samples and kindergarten one-year effects for each of the ten program models. Chapter 6 presents site descriptions and overall two-year effects. Chapter 7 presents conclusions of the evaluation. (Final report four volumes).

DESCRIPTORS: Elementary Education, Compensatory Education, Statistical Analysis Methods Feature, Testing and Tests

EVALUATION TYPE: Program Impact

EDC ID NO: 1473 **PROJECT NO:** OE/ES-72-8 (12901)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** ED 094890-1, 108768-9
CONTRACT NO: OEC-O-72-5221
COST (In Thousands): FY 72-\$878, FY 73-\$779, FY 74-\$47, FY 76-\$2
PROJECT STATUS: Study completed,
Available from ERIC
START/END DATES: June '72 through May '75

0065

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Effectiveness of Educational Laboratories and Centers

REPORT TITLE: Effectiveness of Educational Laboratories and Centers: A System for the Evaluation of Educational Research and Development Products

PERFORMER: American Institutes for Research; P.O. Box 1113,
Palo Alto, CA 94302

AGENCY CONTACT: Scates, Alice; Rm. 4083, FOB-6;
202-245-8380

PROGRAM(S) EVALUATED:
E-NIE-National Institute of Education-Research & Development;
E-OE-Elementary & Secondary-Support & Innovation

ABSTRACT: A system was designed and pilot tested for the evaluation of the products of educational research and development centers and laboratories. The products developed were: (1) a detailed specification of the evaluation procedure; (2) an empirically derived, validated and reliable product taxonomy; (3) criteria for evaluation; and (4) the forms, instructions, manuals, and guidebooks necessary for product inventory, classification, evaluation, data tabulation, and summarization, and reporting of results. During field testing the first large-scale inventory and description of laboratory and center product ever made was carried out. A hitherto undeveloped theoretical model, based on the psychometric method of successive judgements was identified, elaborated, and operationalized in a new rating scale format. A 10 percent sample of completed products was selected on which to try out the evaluation system. Half of the products were evaluated with the experimental successive judgements rating method; the other half, with the usual single judgement method. Comparisons of the rating methods, the results of the product evaluations, suggested revisions in the evaluation paradigm and materials, and cost projections for operation of the system in alternative administrative contexts, were given. (Final report 312 pages).

DESCRIPTORS: Educational Technology, Laboratories, Research and Evaluation Performance,

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0387 **PROJECT NO:** OE/OHD-70-2
(70000)
FUND SOURCE: Evaluation **NTIS NO:** PB-245-575
MECHANISM: Contract **ERIC NO:** ED 095225
CONTRACT NO: OEC-O-70-4891
COST (In Thousands): FY 70-\$175
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS,
Available from ERIC

START/END DATES: June '70 through April '73

0066

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of Emergency School Aid Act
(ESAA) Implementation and Impact at the Regional Level

REPORT TITLE: The ESAA Basic and Pilot Programs in Region II,
2 Volumes

PERFORMER: Applied Urbanetics, Inc.; 1101 17th St., NW;
Washington, D. C. 20036; Lynette Ferrara, 202-331-1800

AGENCY CONTACT: York, Robert; Rm 3049, FOB 6;
202-245-7997

PROGRAM(S) EVALUATED:
E-OE-Emergency School Aid-State Apportionment Projects

ABSTRACT: The purpose of this study was to evaluate the regional implementation of the Emergency School Aid Act and the effectiveness of its Basic and Pilot grant projects in achieving local and national project goals. The evaluation was conducted in Region II (New York and New Jersey.)

DESCRIPTORS: Civil Rights and Integration, Elementary and Secondary Students, Minority Groups, Educational Administration, Discretionary and Project Grant Performance

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1442 PROJECT NO: OE/ES 76-11
(16028)

FUND SOURCE: Evaluation NTIS NO: Not yet determined
MECHANISM: Contract ERIC NO: Not yet determined

CONTRACT NO: 300-76-0433

COST (In Thousands): FY 76.1-\$124

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: August '76 through February '79

0067

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: ESEA Title I; A Reanalysis and Synthesis of
Evaluation Data from Fiscal Year 1965-1970

REPORT TITLE: ESEA Title I; A Reanalysis and Synthesis of
Evaluation Data from Fiscal Year 1965-1970

PERFORMER: American Institute For Research in the Behavioral
Sciences; Palo Alto, CA

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Grants for Disadvantaged

ABSTRACT: This report evaluates the operation and impact of the national education program for disadvantaged children authorized in 1965 by Title I of the Elementary and Secondary Education Act (ESA). Evaluation of ESEA Title I since its inception in 1965 is based on criteria set down by the original enacting legislation and in associated regulations. Aspects of the program reviewed include administrative structure, management performance, resource allocations, and operational expenses. Appendices include bibliography, documentation of the rating scale used to measure and to rank the usefulness of State Title I Annual Evaluation Reports (from which the study's data was compiled), and a detailed description of

41 successful compensatory education projects. Among the findings were: poor children tended to be enrolled in a relatively few large districts that had low to moderate regular per pupil expenditure and high concentration of resilient low-income children; participating schools had higher concentrations of minority group children especially black children, than the nation's schools in general; economically disadvantaged children as well as minority group children are concentrated in large city schools. The resource allocation process, especially in terms of assignment of needy students to appropriate compensatory programs is grossly deficient. The report concludes with recommendations for program improvement. (Final report 275 pages.)

DESCRIPTORS: Compensatory Education, Educational Administration, Educationally Disadvantaged
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0429 PROJECT NO: OE-71-3
FUND SOURCE: Evaluation NTIS NO: PB 247-520

MECHANISM: Contract ERIC NO: Not yet determined
CONTRACT NO: OEC-0-71-4766

COST (In Thousands): FY 71-Not available

PROJECT STATUS: Study completed,
Executive summary at EDC,
Available from NTIS

START/END DATES: January '71 through March '72

0068

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Support of Higher Education Panel

REPORT TITLE: Estimates of Student Aid Recipients, 1976-77,
Higher Education Panel Report No. 36

PERFORMER: American Council on Education; One Dupont
Circle; Washington, D.C. 20036; Frank Atelsek; 202-833-4757

AGENCY CONTACT: Ratnofsky, Alex; Rm 4087, FOB-6;
202-245-8130

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Student Assistance

ABSTRACT: Survey of the recipients of Basic Grants and Campus-Based program awards by sex, race, and income at the schools comprising the Higher Education Panel.

DESCRIPTORS: College Students, Scholarships Fellowships and Loans, Survey or Questionnaire Feature, Statistical Data Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1452 PROJECT NO: OE/PS 76-21
(36010)

FUND SOURCE: Evaluation NTIS NO: Not yet determined
MECHANISM: Transfer ERIC NO: Not yet determined

TRANSFER NO: IAD-0-74-036

COST (In Thousands): FY 76.1-\$63

PROJECT STATUS: Study completed

START/END DATES: July '76 through December '77

0070

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation and Research Program for the
Portable Braille Recorder

REPORT TITLE: Not yet determined
PERFORMER: George Peabody College for Teachers; Box 328;
 Nashville, Tenn. 37203; Dr. Samuel Ashcroft
AGENCY CONTACT: Dr. James Johnson; Rm. 4829 Donohoe
 Bldg.; 202-472-4640
PROGRAM(S) EVALUATED:
 E-OE-Handicapped-Media & Resources Service

ABSTRACT: This study is intended to determine user satisfaction and the practicality, reliability and maintainability of the equipment and to study the braille reading, writing, and calculating functions. Ten PBR's will be placed in educational settings. Products of the research program will include training manuals, journal articles, and films, multiple baseline and other research designs will be employed to obtain data on braille reading rate, accuracy, and comprehension; reading mechanics, braille writing and computing. Data will be obtained using standardized tests and measures, teacher observations, interviews and user self report devices. A program of formative and summative evaluation will provide for continuous assessment and final appraisal of the evaluation and research program.

DESCRIPTORS: Educational Technology, Information Processing, Handicapping Conditions and Problems, Educational Techniques, Research and Evaluation Methods Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1634 **PROJECT NO:** BEH 77-7
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Grant **ERIC NO:** Not yet determined
GRANT NO: 600-77-03114
COST (In Thousands): FY 77-\$95, FY 78-\$65
PROJECT STATUS: Study in process
START/END DATES: October '77 through September '79

0071

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: Evaluation of Alternatives for Follow Through Implementation

REPORT TITLE: Not yet determined
PERFORMER: Abt Associates, Inc.; 55 Wheeler Street; Cambridge, MA 02138; Dr. Robert St. Pierre 617-492-7100
AGENCY CONTACT: Hulter, Buma; Rm. 3040, FOB-6; 202-245-8364
PROGRAM(S) EVALUATED:
 E-OE-Elementary & Secondary-Follow Through

ABSTRACT: The purposes of this study are to examine and report on two strategies for further Follow Through experimentation. The first strategy concerns the development necessary for reviewing extended or new Follow Through models. Before beginning new experimentation, it is advisable to determine if promising new models should be considered for future experiments. The second strategy is concerned with the possibility of detecting delayed effects of Follow Through experience as children enter higher grade levels. Certain model developers claim that such effects are stronger and more lasting than those observed at the end of third grade. Products of the study will be a series of short reports on essential components of potential models, methods for estimating level of model development, and identification of potential model developers.

DESCRIPTORS: Survey or Questionnaire Feature, Literature Review Feature, Elementary and Secondary Students
EVALUATION TYPE: Program Strategy

EDC ID NO: 1608 **PROJECT NO:** OE/ES-78-8
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-78-0443
COST (In Thousands): FY 78-\$472
PROJECT STATUS: Study in process
START/END DATES: September '78 through August '79

0072

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: Evaluation of Bilingual Project Implementation Via Project Information Packages

REPORT TITLE: Not yet determined
PERFORMER: RMC Research Corp; 2570 W. El Camino Real; Mountain View, CA 94040; Don Horst, 415-941-9550
AGENCY CONTACT: Bezdek, Ann; Rm 3049, FOB-6; 202-245-7997
PROGRAM(S) EVALUATED:
 E-OE-Special Projects & Training-Packaging & Dissemination;
 E-OE-Elementary & Secondary-Bilingual Education-TA & Other

ABSTRACT: In an effort to promote the widespread adoption of exemplary projects developed with federal support, the Office of Education initiated the Packaging Program in 1973. In 1977 the Office of Bilingual Education awarded ESEA Title VII grants to 19 school districts to implement one of four packaged projects. These districts will be participating in the current field test of the bilingual education Project Information Packages. The field test study has four objectives: 1) to determine the effectiveness of the packaged materials in assisting school districts selected in implementing a bilingual education project; 2) to determine the effectiveness of the bilingual education projects; 3) to identify and analyze implementation problems encountered by the 19 tryout sites; and 4) to revise the four bilingual education Project Information Packages on the basis of the input and experience of the tryout sites.

DESCRIPTORS: Non English Speaking, Demonstration Projects, Educationally Disadvantaged, Information Dissemination, Elementary and Secondary Students, Technical Assistance
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1484 **PROJECT NO:** OE/ES-77-10 (17014)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-77-0313
COST (In Thousands): FY 77-\$723, FY 78-\$391
PROJECT STATUS: Study in process
START/END DATES: July '77 through December '79

0073

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: Evaluation of Education of Inner City Handicapped Children

REPORT TITLE: Evaluation of Education of Inner City Handicapped Children Case Studies in Five Cities, Final report

**Education Division
Office of Education**

PERFORMER: Battelle Memorial Institute; 505 King Avenue;
Columbus, Ohio
AGENCY CONTACT: Maroney, Robert Rm. 4083, FOB-6 (202)
245-8877
PROGRAM(S) EVALUATED:
E-OE-Handicapped

ABSTRACT: The basic objective of this study was to analyze and evaluate special education for inner city handicapped children (up to 21 years of age) who required special education and related services due to physical and/or mental handicaps. Included were children who were mentally retarded, hard of hearing, deaf, speech impaired, visually handicapped, seriously emotionally disturbed, crippled or otherwise health impaired, and those with learning disabilities. Five cities (from several different regions of the U.S.) were chosen for study. Data were collected by means of structured interviews during site visits of approximately one month in each city. Six survey instruments were developed to provide a description of each community's provisions for education programs for the handicapped. Special education administrators, consultants, teachers, and support personnel were interviewed re current policies, practices and programs in each of the cities. Interviews were conducted with personnel in agencies providing auxiliary or support services to elicit their views on existing programs. The study attempted to determine the needs of handicapped children in inner city areas and to assess the effectiveness of educational programs provided for this group. Chapter X summarizes the research, methodology employed and the major findings and conclusions across the five cities. (Final report 575 pages.)

DESCRIPTORS: Handicapping Conditions and Problems, Case or Site Study Feature, Elementary Education, Survey or Questionnaire Feature, Needs and Needs Assessment

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0383 **PROJECT NO:** OE/OHD-70-3
(50001)
FUND SOURCE: Evaluation **NTIS NO:** None
MECHANISM: Contract **ERIC NO:** ED 088265

CONTRACT NO: OEC-O-70-5021
COST (In Thousands): FY 70-\$199, FY 72-\$12
PROJECT STATUS: Study completed,

Available from EDC,
Executive summary at EDC,
Available from ERIC

START/END DATES: June '70 through December '72

0074

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of Educational Programs in State
Operated, State Supported Schools for Handicapped
Children

REPORT TITLE: Evaluation of Educational Programs in State
Operated and State Supported Schools for Handicapped
Children

PERFORMER: Exotech Systems, Inc.; 200 Quince Orchard Blvd;
Gaithersburg, MD

AGENCY CONTACT: Fleming, Emmett; Rm 4083 FOB 6;
202-245-8877

PROGRAM(S) EVALUATED:
E-OE-Handicapped-State Assistance-State Grants

ABSTRACT: This report consists of a compilation and assessment of data about handicapped children in the U.S. and is Phase I of a more comprehensive study to evaluate the impact of PL 890-313 on state education and training programs for the handicapped. The purpose

of this report is to provide the U.S. Office of Education with a reasonably complete and consistent inventory of data at a time when a transition from categorical grants to special revenue sharing is under consideration. The first section of the study concerns estimates of the target population data and analysis; it was found that expenditure data by state agency and handicapping conditions did not exist. The study recommends that each state agency report the population served by age and by handicapping condition in the summaries for the schools under its jurisdiction. A summary state report should then be made from the several state agency reports. In studying the grant formula, the evaluation study concluded that the effect of the present grant formula is to perpetrate interstate differences in volume and types of services provided to handicapped children. One strategy of grant redesign is to provide detailed national standards of eligibility. (Final report 81 pages.)

DESCRIPTORS: Educational Administration, Handicapping Conditions and Problems, Statistical Analysis Methods Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0447 **PROJECT NO:** OE/OHD 72-2-1
FUND SOURCE: Evaluation **NTIS NO:** PB 250-526
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: OEC-0-72-4903
COST (In Thousands): FY 72-\$252, FY 73-\$37, FY 75-\$23

PROJECT STATUS: Study completed,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '72 through August '74

0075

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of Education Programs in State
Schools for Handicapped Children

REPORT TITLE: An Evaluation of Educational Programs in State
Operated and State-Supported Schools for Handicapped
Children

PERFORMER: Exotech Systems, Inc.; 1200 Quince Orchard Blvd.;
Gaithersburg, Md. 20770

AGENCY CONTACT: Fleming, Emmett; 202-245-8877

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Grants for
Disadvantaged-Handicapped

ABSTRACT: This study assesses the impact of support provided under the provisions of ESEA Title 1, as amended by PL 89-313. Impact is being measured in terms of 1) increased resources available to the handicapped children of these institutions, 2) the degree of increased quality of education programs, 3) the degree to which children show improved outcomes and 4) the degree to which Federal funds have a stimulator effect on State funding.

DESCRIPTORS: Handicapping Conditions and Problems, Mental Health, Educationally Disadvantaged, Elementary and Secondary Students

EVALUATION TYPE: Program Impact

EDC ID NO: 0926 **PROJECT NO:** OE/OHD 75-29
FUND SOURCE: Evaluation **NTIS NO:** PB 250-526
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: OEC-0-72-4903
COST (In Thousands): FY 72-\$253, FY 73-\$37, FY 74-Not
available, FY 75-\$24

PROJECT DESCRIPTIONS

PROJECT STATUS: Study completed,
Available from NTIS
START/END DATES: June '72 through August '74

0076

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: An Evaluation of Federal Programs to Increase
the Pool of Special Education Teachers

REPORT TITLE: An Evaluation of Federal Programs to Increase
the Pool of Special Education Teachers

PERFORMER: RMC Research Corporation; 7910 Woodmont
Avenue; Bethesda MD 20014

AGENCY CONTACT: Maroney, Robert; Rm 4083 FOB 6;
202-245-8877

PROGRAM(S) EVALUATED:

E-OE-Special Projects & Training-Personnel-Teacher Corps;
E-OE-Higher Education-Special Programs for
Disadvantaged;
E-OE-Higher Education-Student Assistance-Basic
Opportunity Grants

ABSTRACT: This report evaluates the impact of Title VI grants in order to determine what level or combinations of funding are most effective in increasing the pool of special education teachers. These objectives were pursued by collecting and analyzing data from four main sources: mail surveys of Special Education students funded by BEH in 1968-69, survey of teachers who represent the national universe, and two other surveys covered university Special Education Departments utilizing BEH funding and SEA's to obtain information on impacts, placement, and demand. As a result of the surveys, emphasis should be on recruiting that will draw on the existing teacher pool; insufficient care is given to the selection of recipients on the basis of sensitivity to financial inducement; a shift of some resources from complete reliance on student funding and related program support to experimentation with systematic exposure programs seem indicated for more efficient inducements for teacher entry into Special Education. The distribution of recipient student among specialty areas is disproportionate with need estimates. More constraints on the universities or the student awards would seem indicated. Surveys of Special Education teachers show a high and consistent degree of consensus that university training for Special Education should have greater emphasis on course work practice internship for course work in education. (Final report 309 pages.)

DESCRIPTORS: Survey or Questionnaire Feature, Education Manpower, Higher Education, Educational Finance, Compensatory Education, Handicapping Conditions and Problems, Mental Health, Grants-in-aid, Research and Evaluation Performance

EVALUATION TYPE: Program Strategy

EDC ID NO: 0386 **PROJECT NO:** OE-71-4
FUND SOURCE: Evaluation **NTIS NO:** PB 245-349
MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: OEC-O-71-3702

COST (In Thousands): FY 71-\$188, FY 72-\$3

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '71 through January '73

0077

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of the Library Services and
Construction Act Assessing the Provision of Service to
Special Target groups

REPORT TITLE: Evaluation of Library Services and Construction
Act Services to Special Target Groups: Final Report

PERFORMER: System Development Corp.; 2500 Colorado Ave;
Santa Monica, CA 90406

AGENCY CONTACT: Kirschenbaum, Arthur; Rm 4083, FOB-6;
202-245-8380

PROGRAM(S) EVALUATED:
E-OE-Library Resources

ABSTRACT: The objectives of this study were to perform a complete and useful evaluation of the impact of Federal funding, under Titles I, II, and IV of the Library Services and Construction Act (LSCA), on public library services to the disadvantaged, handicapped and institutionalized. Two convergent lines of study were undertaken: the study of project plans and achievements and the study of the needs of users and potential users. A comparison was made to determine the degree to which the projects satisfied the needs of the users. The study team examined the plans and actions of each state, queried all project directors, and conducted interviews with project personnel and with users and nonusers of the offered services. A determination of success and failure factors within projects contributed to the definition of a model program for service to special clientele. It was concluded from the data gathered that the LSCA projects studied had been successful to some extent. More projects succeeded than not, and significant numbers of special clientele groups were reached. LSCA funds also proved to have been a critical factor in these projects and to have been a prime factor in innovation in public library services in the United States. The bulk of this report consists of data presented in tabular form. (Final report 251 pages.)

DESCRIPTORS: Library Services, Low-Income Population, Minority Groups, Educationally Disadvantaged, Handicapping Conditions and Problems, Statistical Data Feature, Needs and Needs Assessment
EVALUATION TYPE: Program Impact

EDC ID NO: 1465 **PROJECT NO:** OE/OHD-71-5
(61202)

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** ED 098919

CONTRACT NO: OEC-O-71-3704

COST (In Thousands): FY 71-\$228, FY 73-\$12

PROJECT STATUS: Study completed,
Available from ERIC

START/END DATES: June '71 through August '73

0078

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of Project Information Package
Dissemination and Implementation

REPORT TITLE: Evaluation of Project Information Package
Dissemination and Implementation

PERFORMER: American Institutes for Research; P.O. Box 1113;
Palo Alto, CA 94302; Peggie Campeau, 415-493-3550

AGENCY CONTACT: Burnes, Judith; Rm 3040 FOB 6;
202-245-8364

PROGRAM(S) EVALUATED:

E-OE-Special Projects & Training-Packaging and
Dissemination

ABSTRACT: This study will evaluate the dissemination and implementation of the six revised project information packages currently being disseminated through the Title I State coordinators, the state facilitators, and through a dissemination unit in OE. The study is concerned with the effectiveness of the overall dissemination strategy and the fidelity and effectiveness of the resulting PIP implementations in local communities. The extent to which the various dissemination units are able to obtain PIP adoptions will be examined to identify factors that facilitate or inhibit adoptions by local communities. In addition, in a sample of local communities who have adopted one of the six projects, the study will examine the extent to which adopting LEA's follow PIP guidelines, the types of problems they have and their satisfaction with the PIP project. The study will result in a series of recommendations for the improvement of the dissemination strategy, and for encouraging better implementation.

DESCRIPTORS: Compensatory Education, Demonstration Projects, Testing and Tests, Survey or Questionnaire Feature, Information Dissemination, Educational Techniques
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1444

PROJECT NO: OE/ES 76-13
(16030)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-76-0339

COST (In Thousands): FY 76.1-\$424, FY 77-\$409

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: July '76 through June '79

0079

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Not yet determined

REPORT TITLE: Evaluation of Selected Aid-to-States Programs for
the Education of the Handicapped

PERFORMER: Exotech Systems, Inc.; Falls Church, VA

AGENCY CONTACT: Maroney, Robert; Rm 4083 FOB 6;
202-245-8877

PROGRAM(S) EVALUATED:

E-OE-Handicapped-State Assistance-State Grants

ABSTRACT: The objective of this study was to determine the extent to which the Federal program promoted the development of more adequate educational programs for handicapped children, not only the initiation of special programs for children hitherto neglected, but the improvement and enrichment of existing programs. Information is provided on qualitative and quantitative improvements in State, local grantee, and local nongrantee programs; factors associated with such changes, and the extent to which Federal funds were used to supplement rather than supplant other funding sources. Information is based on interviews with State Education personnel in 49 states, responses to questionnaires left with the Director of Special Education in each state, a mail survey of a representative sample of local educational agencies, and an examination of published and unpublished data on special education.

DESCRIPTORS: Handicapping Conditions and Problems, Grants-in-Aid, Educational Administration, Evaluation by State and Local Governments, Survey or Questionnaire Feature

PROJECT DESCRIPTIONS

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0935

PROJECT NO: OE 71-5

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: OEC-0-71-3703

COST (In Thousands): FY 71-\$191, FY 72-\$8

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: June '71 through April '74

0080

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Study of the Availability and Effectiveness of
MDTA Institutional Training and Employment Services for
Women

REPORT TITLE: Evaluation of the Availability and Effectiveness of
MDTA Institutional Training and Employment Services for
Women

PERFORMER: Mark Battle Associates; 1019 19th Street, N.W.;
Washington, D.C.

AGENCY CONTACT: Shuler, Dorothy; Rm 4083, FOB-6;
202-245-8877

PROGRAM(S) EVALUATED:

V-Non-HEW Programs-Employment & Training

ABSTRACT: This is a two-part study analyzing data to determine the impact of MDTA institutional training on women (the MDTA program has been replaced by the Labor Department's CETA Program). It is an exploratory data collection effort to assess factors which appear to affect the performance of women during institutional training in 12 sites. Skills center staff and employment service personnel were interviewed. Questionnaires were administered to a sample of students attending classes at the time of the field review, and data were also collected from records of a sample of previous enrollees to provide information on enrollee characteristics, completion, and placement rates. Data collected point up major findings concerning women in manpower programs. (1) Female trainees are generally being trained in the same occupation as that of their last full-time job. (2) There is little enrollment of trainees in courses traditionally reserved for the opposite sex. (3) Manpower training for women is directed primarily toward upgrading previously acquired skills and affords few opportunities for training in alternative occupations. (4) There is evidence of stereotyping in training placement of female enrollees--by both personnel and trainees themselves. Other relative findings show lower average wages and narrower ranges of training choices for women.

DESCRIPTORS: Career or Vocational Education, Adult Education, Women, Training and Employment of the Disadvantaged, Survey or Questionnaire Feature, Case or Site Study Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1474

PROJECT NO: OE/OHD-73-11
(23001)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: ED 095 278-80

CONTRACT NO: OEC-0-73-0815

COST (In Thousands): FY 73-\$174

PROJECT STATUS: Study completed,

Available from ERIC

START/END DATES: February '73 through February '74

0081

AGENCY SPONSOR: Education Division
Office of EducationPROJECT TITLE: Sampling of Community Based Right to Read
ProjectsREPORT TITLE: Evaluation of the Community Based Right to Read
ProgramPERFORMER: Pacific Training and Technical Assistance Corp;
3099 Telegraph Ave.; Berkeley, CA 94705AGENCY CONTACT: Maroney, Robert; Rm 4083 FOB 6;
202-245-8887PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Right to Read

ABSTRACT: A sample of 24 community-based Right to Read projects, 13 serving in-school youth and 11 serving out-of-school adults, were evaluated in this study. The objectives were to measure improvement in reading achievement and to analyze the relationships between achievement and programs, staff, and student characteristics. Testing and data collection was conducted between December 1973 and May 1974 and included pre- and post-testing of reading achievement, completion of individual student and staff data forms, collection of attendance data, and administration of an attitude scale and of staff questionnaires. Classroom and tutoring activities were also observed. Analysis of the data revealed, among other findings, that: students who were older and who had more prior schooling, had highest entry level skills and gained the most from the program; students and adults who perceived their attendance as voluntary did better than those who did not; and the number of hours of participation in the programs did not relate to outcome, but students who attended the greatest proportion of classes did better than students with irregular attendance. A 140-page appendix contains the tests, forms, and instructions used in the study and the profiles for each project included in the study.

DESCRIPTORS: Adult Education, Secondary Education, Survey or
Questionnaire Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 0952 PROJECT NO: OE/OHD 74-4
(84001)FUND SOURCE: Evaluation NTIS NO: Not yet determined
MECHANISM: Contract ERIC NO: ED 098548

CONTRACT NO: OEC-0-73-5174

COST (In Thousands): FY 74-\$241

PROJECT STATUS: Study completed,
Available from ERIC

START/END DATES: October '73 through September '74

0082

AGENCY SPONSOR: Education Division
Office of EducationPROJECT TITLE: A Description and Assessment of Projects
Funded by the Community Education Program

REPORT TITLE: Evaluation of the Community Education Program

PERFORMER: Development Associates; 2924 Columbia Pike;
Arlington, VA 22204; Malcolm Young, 703-979-0100AGENCY CONTACT: Harris, Wendy; Rm 4083, FOB-6;
202-245-8380PROGRAM(S) EVALUATED:
E-OE-Special Projects & Training-Community Schools

ABSTRACT: The Community Schools Act (PL 93-380, Sec. 405) authorized awards of grants to IHEs, SEAs, and LEAs for the purpose

of developing or maintaining community education programs, improving leadership in the field of community education, and providing training and technical assistance to community educators. To comply with a mandate in the Act for evaluation, a study was designed with the following objectives: (1) to describe the organization and operations (including associated costs) of the three types of grant projects; and (2) to evaluate the impact these projects have had at the local and State level. Impact will be examined through its effects on three primary recipients--the educational system, the community, and the individual. All 93 projects funded in FY 76 will receive a mail survey, which will gather descriptive information on programs, costs, and impacts. Site visits will be conducted at 20 of the 53 projects refunded in FY 77 for a second year to obtain more in-depth information regarding project operations and perceived impacts. The study results will provide Congress and HEW with information necessary for future program and policy information. Much of the information will be useful to State and local projects faced with decisions for initiating, continuing, or expanding their programs.

DESCRIPTORS: Facilities, Citizen Participation, Survey or Questionnaire Feature, Multiservice Center, Voluntary Action, Discretionary and Project Grant Performance, State or Local Administration, Evaluation by State and Local Governments

EVALUATION TYPE: Program Impact

EDC ID NO: 1475 PROJECT NO: OE/OPH-77-27
(87001)FUND SOURCE: Evaluation,
Salaries and Expenses
(S&E)

MECHANISM: Contract

CONTRACT NO: 300-77-0159

COST (In Thousands): FY 77-\$169, FY 78-\$17

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: April '77 through July '78

0083

AGENCY SPONSOR: Education Division
Office of EducationPROJECT TITLE: Evaluation of the Emergency School Aid Act
Non-Profit Organization ProgramREPORT TITLE: An Evaluation of the Emergency School Aid Act
Nonprofit Organization Program, 4 VolumesPERFORMER: The Rand Corporation; 1700 Main Street; Santa
Monica, CA 90406; Stephen Crocker, 213-393-0411

AGENCY CONTACT: York, Robert; Rm 3049 FOB 6; 202-245-7997

PROGRAM(S) EVALUATED:
E-OE-Emergency School Aid-State Apportionment Projects

ABSTRACT: This is a study of community-based organizations which are working to facilitate desegregation or reduce the effects of minority group isolation. The study will compare the effectiveness of Emergency School Aid funded organizations with other community organizations which address similar problems. (See also EDC ID No: 1442.)

DESCRIPTORS: Case or Site Study Feature, Civil Rights and Integration, Demonstration Projects, Minority Groups, Voluntary Action, Survey or Questionnaire Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1443 PROJECT NO: OE/ES 76-12
(16029)

FUND SOURCE: Evaluation

MECHANISM: Contract

NTIS NO: Not yet determined

ERIC NO: Not yet determined

**Education Division
Office of Education**

Accession No. 0086

CONTRACT NO: 300-76-0311
COST (In Thousands): FY 76-\$791, FY 77-\$75, FY 78-\$3
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC
START/END DATES: June '76 through February '79

0084

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Outside Education Evaluation of the Emergency
School Assistance Program

REPORT TITLE: Evaluation of the Emergency School Assistance
Program

PERFORMER: RMC Research Corporation; 7910 Woodmont Ave.;
Bethesda, MD 20014

AGENCY CONTACT: York, Robert; Rm 3049, FOB-6;
202-245-7997

PROGRAM(S) EVALUATED:
E-OE-Emergency School Aid

ABSTRACT: The Emergency School Assistance Program (ESAP) was developed as a Federal program to aid local education agencies (LEAs) in achieving and adjusting to recent racial desegregation of their public school systems. The purpose of the evaluation was to: (1) verify that ESAP projects were being conducted and determine whether changes in objectives or activities occurred from original plans; (2) assess the effectiveness of ESAP on the following bases: Has the ESAP accomplished its goals? What has been the overall impact of ESAP at the school level? What has been the differential impact produced by the various types of ESAP activities? Have ESAP projects had a meaningful role in the desegregation process? (3) assess the effectiveness of local project management in contributing to successful operation of ESAP projects; and (4) Assess the utility of the technical assistance provided by Federal ESAP staff to local school districts. Conclusions and recommendations are given. (Final report three volumes).

DESCRIPTORS: Elementary Education, Secondary Education, Black Americans, Civil Rights and Integration, Survey or Questionnaire Feature, Technical Assistance

EVALUATION TYPE: Program Impact

EDCID NO: 1468 **PROJECT NO:** OE/ES-71-8 (11801)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** ED 058370-2

CONTRACT NO: OEC-0-71-0845

COST (In Thousands): FY 71-\$887

PROJECT STATUS: Study completed,
Available from ERIC

START/END DATES: December '70 through March '72

0085

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of the ESEA Title I Migrant
Programs

REPORT TITLE: Not yet determined

PERFORMER: Research Triangle Institute; P.O. Box 12194;
Research Triangle Park, N.C. 27709; Ben Cameron,
919-349-8311

AGENCY CONTACT: York, Robert; Rm 3049 FOB 6; 202-245-7997
PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-Grants for
Disadvantaged-Migrants

ABSTRACT: This study has three main purposes: (1) to determine impact on basic skills development, (2) to develop evaluation models, and (3) to validate MSRTS data as used for funding. Nationally representative sample of migrant students will be tested and their educational histories will be related to program services and achievement levels.

DESCRIPTORS: Compensatory Education, Migrants, Educationally Disadvantaged, Elementary and Secondary Students, Testing and Tests, Survey or Questionnaire Feature, Management Information and Reporting

EVALUATION TYPE: Program Impact

EDC ID NO: 1434 **PROJECT NO:** PE/ES 76-5 (16005)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 330-76-0095

COST (In Thousands): FY 76-\$341, FY 77-\$961, FY 78-\$2

PROJECT STATUS: Study in process

START/END DATES: February '76 through May '80

0086

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of the Field Test of Project
Information Packages

REPORT TITLE: Evaluation of the Field Test of Project
Information Packages (PIPs)

PERFORMER: Stanford Research Institute; 200 Middlefield Rd.;
Menlo Park, Ca 94025; Marian Stearns, 415-326-6200

AGENCY CONTACT: Bezdek, Ann; Rm 3049, FOB-6; 202-245-7997

PROGRAM(S) EVALUATED:
E-OE-Special Projects & Training-Packaging and
Dissemination;
E-OE-Elementary & Secondary-Grants for
Disadvantaged-LEAS;
E-OE-Elementary & Secondary-Bilingual Education-TA &
Other

ABSTRACT: In 1973 OE created Project Information Packages (PIPs) as a method of cost-effectively disseminating exemplary compensatory and bilingual education projects beyond their developers to other districts. PIPs are "how to" kits replicated with minimal technical assistance. The study involved extensive research on whether, how, etc. projects were successfully implemented in 17 LEAs awarded grants to try them. In general, the study found that the program operated successfully (though no substantial gains in student achievement resulted) in terms of LEA implementation and satisfaction. The study also found that PIPs were not always the most cost-effective approach to export, that they were most suitable for substantial projects involving major resources, and that because PIPs are developed and promoted by different actors (none of whom were the original innovators) no single agent fully understands the project or feels responsibility for its utilization. The study recommends further research on determining the types of projects warranting packaged vs in-person information prior to creating more PIPs, and OE encouragement of a wide range of packaging efforts by innovators themselves. (The final 1977 report includes a summary of 127 pages, and a Technical report of 395 pages. There is also a three volume 1975 report).

PROJECT DESCRIPTIONS

DESCRIPTORS: Research and Evaluation Planning and Administration, Feasibility Study Feature, Educational Techniques, Compensatory Education, Demonstration Projects, Technical Assistance, Case or Site Study Feature, Client Records, Survey or Questionnaire Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0574

PROJECT NO: OE/ES-74-21
(14004)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: ED 122-373 to 5, -460

CONTRACT NO: OEC-0-74-9256

COST (In Thousands): FY 74-\$829, FY 75-\$851, FY 76-\$66

PROJECT STATUS: Study in process,
Study completed,
Available from EDC

START/END DATES: June '74 through April '77

0087

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of the Bilingual Education Program - Study Three

REPORT TITLE: Evaluation of the Impact of ESEA Title VII Spanish/ English Bilingual Education Program

PERFORMER: American Institutes for Research; PO Box 1113; Palo Alto, CA 94306; Dr. Malcolm Danoff 415-493-3550

AGENCY CONTACT: Shoemaker, David; Room 3044, FOB-6; 202-245-2371

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-Bilingual Education-LEA Grants

ABSTRACT: This is the third and major study conducted under this project. It involved contracting the performance of students enrolled in Title VII Spanish-English bilingual projects and a comparison group. Over 11,000 students were examined from a sample including projects in their fourth and fifth year of Federal funding. Over three-fourths of the students in Title VII classrooms were of Hispanic origin, but only one-third because of limited English proficiency. Only 5% of the projects transferred students who became proficient to regular, English-only classrooms—these projects are in fact implementing a Spanish maintenance program. Total per pupil costs averaged \$1,400, with supplementary Federal and State funds averaging over \$500 per pupil. The study found that projects have not been effective in achieving a major goal of the program—raising English competency—although modest gains were made in Mathematics. No increase in positive attitude to school occurred. Spanish skills may have increased. Overall, while the students needed and could have benefited from English instruction, the data do not show that Title VII bilingual education as currently implemented should be considered as the most appropriate approach for these students. (See EDC 0510 for financial data). (Interim final report two volumes of 444 and 550 pages).

DESCRIPTORS: Non English Speaking, Compensatory Education, Survey or Questionnaire Feature, Testing and Tests

EVALUATION TYPE: Program Impact

EDC ID NO: 0510.2

PROJECT NO: OE/ES 74-27
(14005)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: OEC-0-74-9331

COST (In Thousands): FY 74-Not available, FY 75-Not available, FY 76-Not available, FY 77-Not available

PROJECT STATUS: Study in process,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '74 through November '77

0088

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of the ESEA Title III Diffusion-Adoption Network

REPORT TITLE: Evaluation of the National Diffusion Network

PERFORMER: Stanford Research Institute; 200 Middlefield Rd; Menlo Park, Calif 94025; John Emrick 415-326-6200

AGENCY CONTACT: Bezdek, Ann; Rm 3049, FOB-6; 202-245-7997

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-Support & Innovation

ABSTRACT: The purpose of this study of the diffusion-adoption strategy is to collect and analyze information concerning the operations of the Developer Demonstrator and Statewide Facilitator Projects in identifying project activities that have been effective in promoting program dissemination, installation and adoption. Results of the study serve to advance the state-of-the-art with regard to knowledge of effective dissemination and implementation strategies for education programs, and significantly contribute to OE's ongoing effort to develop effective strategies for disseminating and promoting the installation of effective education approaches developed through OE supported programs. The major findings of the study are that: (1)NDN activities resulted in 2,000 proje adoptions over a two-year period, (2) demand for NDN services from interested school districts was greater than could be supplied, (3) project adopters reported that the adopted projects provided substantial improvement over old practices and more effectively utilized existing resources, (4) materials played an important role in supplying operational and instructional detail to implementing districts, (5) provision of personal services prior to adoption was critical to success of subsequent prospect adoptions and to implementation and (6) little or no dissemination of exemplary projects occurred unless a project developer and facilitator were funded. (Final report 213 pages).

DESCRIPTORS: Demonstration Projects, Research and Evaluation Performance, Discretionary and Project Grant Performance, Educational Techniques, Technical Assistance, Literature Review Feature, Survey or Questionnaire Feature, Educational Administration

EVALUATION TYPE: Program Impact

EDC ID NO: 0914

PROJECT NO: OE/ES 75-5
(15005)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-73-0260

COST (In Thousands): FY 75-\$418, FY 76-\$132

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: July '75 through August '77

0089

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of the OE Criteria for the Recognition of Accrediting and State Approval Agencies

REPORT TITLE: Not yet determined

PERFORMER: Educational Testing Service; Rosedale Road;
Princeton, New Jersey 08540; Dr. Jonathan R. Warren,
415-849-0950

AGENCY CONTACT: Berls, Robert; Rm 4087, FOB-6;
202-245-8130

PROGRAM(S) EVALUATED:
E-OE-Commissioners Office-Advisory Committees;
E-OE-Higher Education

ABSTRACT: The study will examine the reliability and validity to be found in the recognition procedures of OE's Division of Eligibility and Agency Evaluation. This study will recommend improvements, and recommend a process for periodic review of those procedures. It will identify, through content analyses of samples of reports of the recognition and accreditation process the details of the procedures and criteria used in the highly varied circumstances of the post-secondary educational domain. Questionnaires to accrediting agencies, post-secondary institutions, and experts and critics of accreditation will be used. The study will examine the salient procedures and criteria analyses to arrive at indicators of the reliability and validity of OE's procedures and criteria for recognition.

DESCRIPTORS: Accreditation of Institutions, Certification and Licensing, Higher Education, Federal Administration
EVALUATION TYPE: Program Impact

EDC ID NO: 1485

PROJECT NO: OE/PS-77-12
(37002)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-77-0497

COST (In Thousands): FY 77-\$326, FY 78-\$33

PROJECT STATUS: Study in process

START/END DATES: October '77 through July '79

ent procedures and criteria analyses to arrive at indicators of the reliability and validity of OE's procedures and criteria for recognition.

DESCRIPTORS: Accreditation of Institutions, Certification and Licensing, Higher Education, Federal Administration
EVALUATION TYPE: Program Impact

EDC ID NO: 1486

PROJECT NO: OE/PS-77-12
(37002)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-77-0497

COST (In Thousands): FY 77-\$326

PROJECT STATUS: Study in process

START/END DATES: October '77 through July '79

0091

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of the Right to Read Special Emphasis Projects

REPORT TITLE: Not yet determined

PERFORMER: General Research Corporation; 7655 Old Springhouse Road; McLean, VA 22102; Philip Silvers,
703-893-5900

AGENCY CONTACT: Rattner, Edward; Rm. 4083 FOB-6;
202-245-8380

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Right to Read

ABSTRACT: The objectives of this study are to assess the effectiveness of the Special Emphasis (Right to Read) program through experiments being conducted at seven sites in the U.S., each with an experimental and a control school. The supplementation of the staff by several reading specialists in the first six elementary grades, plus the use of a summer vacation reading program at the school are intended to establish a built-in

DESCRIPTORS: Testing and Tests, Statistical Analysis Methods Feature, Educational Techniques, Compensatory Education, Case or Site Study Feature
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1622

PROJECT NO: OE/OHD 78-23

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-78-0067

COST (In Thousands): FY 78-\$114, FY 79-\$147

PROJECT STATUS: Study in process

START/END DATES: April '78 through December '79

0090

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of the OE Criteria for the Recognition of Accrediting and State Approval Agencies

REPORT TITLE: Not yet determined

PERFORMER: Educational Testing Service; Rosedale Road;
Princeton, New Jersey 08540; Dr. Jonathan R. Warren,
415-849-0950

AGENCY CONTACT: Green, William; Rm 4087, FOB-6;
202-245-7884

PROGRAM(S) EVALUATED:
E-OE-Commissioners Office-Advisory Committees;
E-OE-Higher Education

ABSTRACT: The study will examine the consistency and the meaning to be found in the recognition procedures of OE's Division of Eligibility and Agency Evaluation. This study will recommend improvements, and recommend a process for periodic review of those procedures. It will identify, through content analyses of samples of reports of the recognition and accreditation process the details of the procedures and criteria used in the highly varied circumstances of the post-secondary educational domain. The study will examine the sali-

0092

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of the Special Services for Disadvantaged Students Program

REPORT TITLE: Not yet determined

PERFORMER: System Development Corporation; 2500 Colorado Boulevard; Santa Monica, CA 90406; John Coulson
213-829-7511, X 2322

AGENCY CONTACT: Carroll, Dennis; Rm. 4087/FOB 6;
202-245-7884

PROGRAM(S) EVALUATED:

E-OE-Higher Education-Special Programs for
Disadvantaged-Special Services

ABSTRACT: The purpose of this study is to evaluate the impact of participation in the Special Services for Disadvantaged Students Program. A stratified, probability sample of institutions hosting SSDS programs and a sample of "eligible" freshmen and sophomores at these institutions will be employed. This sample of "eligibles" will include SSDS clients and students who were not selected by the project staff as clients. The participation of these groups of eligibles in SSDS activities will be monitored. Fall and spring data collections will include educational aspiration, grade point average (high school grades for freshmen and college grades for sophomores during the Fall data collection) financial aid packages, educational problems, and career aspiration. The impact of SSDS is to be documented in terms of differential educational performance, educational aspiration, educational problems encountered, and career aspiration. In addition, the impact of SSDS on student financial aid patterns will be determined.

DESCRIPTORS: Educationally Disadvantaged, Research and Evaluation Performance, Compensatory Education, Higher Education
EVALUATION TYPE: Program Impact

EDC ID NO: 1614

PROJECT NO: OE/PS-78-15

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-78-0356

COST (In Thousands): FY 78-\$995

PROJECT STATUS: Study in process

START/END DATES: September '78 through January '81

0093

AGENCY CONTACT: Education Division
Office of Education

PROJECT TITLE: Evaluation of the Title I Program in State
Institutions for Neglected and Delinquent Children

REPORT TITLE: Not yet determined

PERFORMER: System Development Corporation; 2500 Colorado
Ave.; Santa Monica, Calif 90406; Ted Bartell, 213-829-7511

AGENCY CONTACT: Anderson, Janice; Rm. 3040 FOB 6;
202-245-7875

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-Grants for
Disadvantaged-Neglected & Delinquent

ABSTRACT: The purpose of the evaluation will be to learn how different educational techniques are used in Title I projects in State institutions and which ones seem to be effective for what kinds of students. The first objective of the study is to collect information about representative Title I programs in State institutions and to compile and present descriptions of these programs. Secondly, the evaluation will measure the impact of Title I program on the basic reading and mathematics skills of the participants and on the self-concept of participants as it relates to gains in achievement.

DESCRIPTORS: Educationally Disadvantaged, Compensatory Education, Child Welfare, Testing and Tests, Survey or Questionnaire Feature, Educational Administration

EVALUATION TYPE: Program Impact

EDC ID NO: 1436

PROJECT NO: OE/ES 76-4
(16004)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-76-0093

COST (In Thousands): FY 76-\$358, FY 77-\$1,668

PROJECT STATUS: Study in process

START/END DATES: February '76 through September '79

0094

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of the Upward Bound Program: A
Second Follow-Up

REPORT TITLE: Not yet determined

PERFORMER: Research Triangle Institute; P.O. Box 12194;
Research Triangle Park, N.C. 27709; G.J. Brukheimer
919-541-6331

AGENCY CONTACT: Carroll, Dennis; Rm. 4087, FOB 6;
202-245-7884

PROGRAM(S) EVALUATED:

E-OE-Higher Education-Special Programs for
Disadvantaged-Upward Bound

ABSTRACT: This is a follow-up study of the tenth-through-twelfth grade students in the base-year study conducted in 1973-74. The timing of this follow-up study allows normal progression of these students into the sophomore-through-senior years in postsecondary education. Data will be collected from student surveys and transcripts. From this survey information including the subjects' educational status, educational aspiration, financial aid status, and work status will be gathered. From the obtained transcripts, data, elements including grade point average, number of credits earned, number of credits attempted, major field of study, and degree sought will be gathered. Descriptive and relational analyses of the data are directed at assessing the long term impact of participation in Upward Bound in terms of the objectives of increasing motivation and generating the skills necessary for success after high school.

DESCRIPTORS: Educationally Disadvantaged, Compensatory Education, Higher Education, Statistical Analysis Methods Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1609

PROJECT NO: OE/PS-78-9

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-78-0037

COST (In Thousands): FY 78-\$417

PROJECT STATUS: Study in process

START/END DATES: February '78 through November '79

0095

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of the Veterans' Cost of Instruction
Program

REPORT TITLE: Evaluation of the Veterans' Cost of Instruction
Program

PERFORMER: Research Triangle Institute; P. O. Box 12194;
Research Triangle Park, NC 27709; A. M. French,
919-541-6331

**Education Division
Office of Education**

AGENCY CONTACT: Carroll, Dennis; Rm 4087, FOB-6;
202-245-7884

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Institutional Aid-Veterans Cost of Instruction

ABSTRACT: The study is a descriptive evaluation of the Veterans' Cost of Instruction Program. Content analyses of paragraph data included in program records for academic years 1975 and 1976 will provide descriptions of program activity. Twelve groups at projects were identified, ranging from the small student-oriented projects to the big public-institution projects.

DESCRIPTORS: Educationally Disadvantaged, Federal Administration, Scholarships Fellowships and Loans, Research and Evaluation Performance

EVALUATION TYPE: Program Impact

EDC ID NO: 1488 **PROJECT NO:** OE/PS-77-14 (37004)
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: HEW-100-77-0081

COST (In Thousands): FY 77-\$60

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: September '77 through April '78

0096

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: An Evaluation of Title I of the Library Services and Construction Act

REPORT TITLE: Not yet determined

PERFORMER: Applied Management Sciences; 962 Wayne Avenue;
Silver Spring, MD 20910; Ed Dowlin, 301-585-8181

AGENCY CONTACT: Kirschenbaum, Arthur; Rm. 4083 FOB-6;
202-245-8380

PROGRAM(S) EVALUATED:
E-OE-Library Resources-Public Libraries

ABSTRACT: The purpose of this study is to conduct the first total evaluation of the Title I of the Library Services and Construction Act (LSCA). Initially, interviews with policy makers will be conducted, as well as a file and literature search. The project universe will be refined through a questionnaire to State Library Agencies, and each individual current project will be queried. Data relating to collections, delivery systems, staffing, and financing will be obtained. Site visits will be made to a purposive sample of State Library Agencies and Projects, representative of diverse program. Interviews will be conducted with users and non-users as well as staff. Special areas of concern include networking, problems of urban public libraries, and how this title has served as an institutional change agent. All data will be analyzed and findings and conclusions will include possible programmatic suggestions and alternatives. Interim findings will be presented at the White House Conference on Libraries and Information Services.

DESCRIPTORS: Library Services, Minority Groups, Low-Income Population, Survey or Questionnaire Feature, Research and Evaluation Methods Feature, State or Local Administration, Urban or Rural Places or Problems

EVALUATION TYPE: Program Impact

EDC ID NO: 1621
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: 300-78-0572
COST (In Thousands): FY 78-\$405
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '80

PROJECT NO: OE/OHD 78-22
NTIS NO: Not yet determined
ERIC NO: Not yet determined

0097

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of University Community Services Program (Continuation)

REPORT TITLE: Not yet determined

PERFORMER: National University Extension Association; 1 Dupont Circle, N.W.; Washington, D. C.

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Institutional Aid-University Community Services

ABSTRACT: This is a survey of the administration of the program at the State level. Case studies of successful projects will be presented to the Advisory Council on Extension and Continuing Education. The study is mandated by Section 103 of the Education Amendments of 1974.

DESCRIPTORS: Survey or Questionnaire Feature, Evaluation by State and Local Governments, Case or Site Study Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0958 **PROJECT NO:** OE 74-25
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: OEC-0-73-7051
COST (In Thousands): FY 73-\$95, FY 74-\$58
PROJECT STATUS: Study completed
START/END DATES: June '73 through March '75

0098

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of Vocational Exemplary Programs (plus Career Education Evaluation Guide)

REPORT TITLE: An Evaluation of Vocational Exemplary Projects: Part D Vocational Education Act Amendments of 1968.

PERFORMER: Development Associates; 1521 New Hampshire Ave, N W; Washington, D C 20036; Malcolm Young 202-332-5293

AGENCY CONTACT: Scates, Alice; Rm 4083, FOB-6; 202-245-8380

PROGRAM(S) EVALUATED:
E-OE-Occupational & Adult Education-Vocational Innovation & Curriculum

ABSTRACT: The study evaluated the effectiveness of 50 projects administered under Part D of the Vocational Education act designed to assist students in obtaining satisfying employment. In all 9,000 students and 1,700 teachers and counselors were surveyed. It was found that the greatest impact of Part D programs was at the elementary level; that on a project by project basis, the programs impact on students was small; and that projects had the most positive effects on students where relatively more project funds were expended on rela-

tively fewer students. The study concludes that the failure of student level outcomes of the programs to meet the level planned most likely occurred because of the general lack of clearly defined objectives, definitions, and managerial requirements and procedures at both the project level and the Federal level. (Final report two volumes and 186 pages).

DESCRIPTORS: Demonstration Projects, Discretionary and Project Grant Performance, Educational Techniques, Career or Vocational Education, Survey or Questionnaire Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 0913

PROJECT NO: OE/OHD 74-4
(23004)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: ED 109475

CONTRACT NO: OEC-O-73-6663

COST (In Thousands): FY 73-\$259, FY 74-\$10, FY 75-\$83

PROJECT STATUS: Study completed,
Executive summary at EDC,
Available from ERIC

START/END DATES: June '73 through September '75

0099

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: An Evaluation of Upward Bound: A Follow Up

REPORT TITLE: Evaluation Study of the Upward Bound
Program-A First Follow-up: Final Report

PERFORMER: Research Triangle Institute; PO Box 12194;
Research Triangle Park, NC 27709; Dr. G.J. Burkheimer
919-549-8311

AGENCY CONTACT: Carroll, Dennis; Rm 4087, FOB-6;
202-245-7884

PROGRAM(S) EVALUATED:

E-OE-Higher Education-Special Programs for
Disadvantaged-Upward Bound

ABSTRACT: The Upward Bound follow-up evaluation is an extension of the base year (1973-74) study. The purpose of the follow-up evaluation was to examine program impact in terms of educational persistence, educational aspiration, and work and military service status. The study used a postcard survey of the base year sample of Upward Bound program participants and of comparison subjects distributed in three cohorts (10th, 11th, and 12th grade). The study confirmed base-year study conclusions that the program has no effect on high school completion rates, but that UB completers are much more likely (20 percentage points) to go to college than the comparison group. The study finds that length of stay in the program is positively correlated with impact, particularly for educational expectations (55% of participants but only 40% of non-participants expected to complete at least four years of college). UB dropouts generally performed about the same as non-participants, but had more problems. Because the comparison group was not a true control group, and probably had lower college ambitions, the positive finding on UB participants going to college cannot be interpreted as a conclusive measure of impact. (Final report 452 pages)

DESCRIPTORS: Low-Income Population, Compensatory Education, Research and Evaluation Performance, Supportive Services, College Students, Survey or Questionnaire Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 1453

PROJECT NO: OE/PS 76-22
(36011)

FUND SOURCE: Evaluation

NTIS NO: PB 282-458

MECHANISM: Contract

ERIC NO: ED 148891

CONTRACT NO: OEC-O-73-7052

COST (In Thousands): FY 76.1-\$158

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS,
Available from ERIC

START/END DATES: July '76 through December '77

0100

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: An Examination of Select Areas of Institutional
Resource Management

REPORT TITLE: Not yet determined

PERFORMER: Columbia Research Association; 19428 Twentieth
Street Station; Washington, D.C. 20036

AGENCY CONTACT: O'Connor, John; 202-245-7884

PROGRAM(S) EVALUATED:

E-OE-Higher Education;
E-OE-Higher Education-Institutional Aid-Strengthening
Developing Institute

ABSTRACT: The purpose of this study was to update a review of previous studies of college costs and finances to determine the current financial health of 4-year institutions.

DESCRIPTORS: Higher Education, Literature Review Feature, Educational Finance

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0908

PROJECT NO: OE/PE 75-17

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-75-0076

COST (In Thousands): FY 75-\$14

PROJECT STATUS: Study completed

START/END DATES: October '74 through February '75

0101

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: A Comprehensive Study of the College
Work-Study Program

REPORT TITLE: The Federal College Work-Study Program: A
Status Report, FY 1971

PERFORMER: Bureau of Applied Social Research; Columbia
University; New York, NY

AGENCY CONTACT: Corrallo, Sal; 4087, FOB-6; 202-245-7884

PROGRAM(S) EVALUATED:

E-OE-Higher Education-Student Assistance-Work Study

ABSTRACT: This report is based on data obtained from students holding College Work-Study (CWS) jobs, employers hiring students under the program, financial aid personnel administering the program at the institutional level, and officials implementing the program at the regional and national levels. The objectives of the study were to gain information about: (1) the types of programs operating at different institutions; (2) the consequences of the program for students, institutions, and employing agencies; (3) the extent to which existing institutional channels and machinery at the national, regional and institutional levels have been effective in implementing and adminis-

tering the program; and (4) the extent to which the program is accomplishing its stated objectives. The major conclusion of this study is that the CWS program is achieving its primary goal of enabling students from low-income families to help defray the costs of post-secondary education with the earnings from parttime and summer employment. On the average CWS earnings are paying half of the basic costs of attending college. (Final report 432 pages).

DESCRIPTORS: Scholarships Fellowships and Loans, College Students, Educational Administration, Survey or Questionnaire Feature, Training and Employment of the Disadvantaged
EVALUATION TYPE: Program Impact

EDC ID NO: 1464 **PROJECT NO:** OE/PS-70-5 (30000)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** ED 080082
CONTRACT NO: OEC-0-70-5020
COST (In Thousands): FY 70-\$197
PROJECT STATUS: Study completed,
Available from ERIC
START/END DATES: June '70 through June '73

0102

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: A Study of Change-Agent Programs

REPORT TITLE: Federal Programs Supporting Educational Change,
Volumes VI and VII

PERFORMER: Rand Corporation; Santa Monica, CA 90406
AGENCY CONTACT: Hendrickson, Bezdek
PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-Bilingual Education;
E-OE-Elementary & Secondary-Support &
Innovation-Planning & Evaluation

ABSTRACT: The process of establishing bilingual educational programs in local school districts under Elementary and Secondary Education Act (ESEA) Title VII is examined, and the implementation and continuation of ESEA Title III educational-innovation projects after cessation of Federal funding are evaluated. The Title VII study included analyses of 11 Title VII Spanish/ English project sites and interviews with State education agency and Federal Title VII personnel. Findings are presented on factors influencing the initiation, design, operationalization, and continuation or bilingual education programs. Major findings include the high degree of political complexity surrounding such programs at the local level, the pronounced traditionalism of the programs, a shortage of resources, and differences among project sites in levels of politicization. Of constituencies, inclusion of eligible students, and range of language skills required. The Title III study consisted of a nationwide survey of 100 Title III innovation projects 1 to 2 years after the end of their Federal funding and fieldwork in 18 selected school districts. Findings are presented on the factors influencing continuation of the projects such as local design choices, local implementation strategies, staff motivation, and school climate and leadership. The overall assessment of the Federal seed money approach to promoting educational reform is negative. (Final report 277 pages.)

DESCRIPTORS: Elementary Education, Secondary Education, Non English Speaking, Educational Administration, Curriculum Development, Research and Evaluation Performance, Survey or Questionnaire Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0934
FUND SOURCE: Evaluation
MECHANISM: Contract

PROJECT NO: OE/ES 73-6
NTIS NO: SHR-000-1891/1892
ERIC NO: ED 099957,108324-31,
140431,2

CONTRACT NO: HEW-OS-73-216

COST (In Thousands): FY 73-\$1186, FY 75-\$61, FY 75-\$92

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS,
Available from ERIC

START/END DATES: June '73 through January '77

0103

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Federal Role in Children's TV Programming

REPORT TITLE: The Federal Role in Funding Children's Television
Programming

PERFORMER: Indiana University; Bloomington, Indiana 47401
AGENCY CONTACT: Kirschenbaum, Arthur; Rm. 4083, FOB 6;
202-245-8380

PROGRAM(S) EVALUATED:
E-OE-Special Projects & Training-Educational TV
Programming

ABSTRACT: The United States Office of Education (USOE) policy in funding purposive television programming for children was investigated. Information and policy recommendations were gathered from existing sources in the general literature, USOE file materials, 10 single-topic commissioned papers, a 10-member advisory board, a Federal advisory group, and interviews. Over 90 interviews were conducted in six locations, including Washington, D.C.; these interviews were with personnel directly involved in or knowledgeable about the dispensing or utilization of Federal dollars in support of children's television programming. This final report provides a descriptive map of the various components essential to an integrated system of broadcasting; gives a side-by-side comparison of USOE's two major investments in children's programming (Children's Television Workshop and series and spot announcements funded under the Emergency School Aid Act); and summarizes major policy issues, alternatives, and recommendations.

DESCRIPTORS: Federal Role, Educational Technology, Survey or Questionnaire Feature, Broadcast Technology

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0940

PROJECT NO: OE/OHD-74-18
(64001)

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** ED 114087-8

CONTRACT NO: OEC-O-74-8674

COST (In Thousands): FY 74-\$62, FY 75-\$7

PROJECT STATUS: Study completed,
Available from ERIC

START/END DATES: June '74 through March '75

0104

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: First Full-Scale Follow-Up Survey of National
Longitudinal Study of High School Class of 1972 (Voc. Ed.)

REPORT TITLE: First Full-Scale Follow-Up Survey for National Longitudinal Study of High School Class of 1972
PERFORMER: Research Triangle Institute; P.O. Box 12194; Research Triangle Park; N.C. 27709
AGENCY CONTACT: Fleming, Emmett; Rm 4083 FOB 6; 202-245-8877
PROGRAM(S) EVALUATED:
 E-OE-Occupational & Adult Education

ABSTRACT: This study, which is part of a national longitudinal study of high school seniors, is aimed at assessing what is currently known about program impact and how well the State grant mechanism functions to implement the priorities of the 1968 Vocational Education Amendments. The study is also examining program gaps and duplications as a means to better coordination. A national survey is also underway to provide a quantitative description of vocational students, outcomes and services.

DESCRIPTORS: State or Local Administration, Productivity, Elementary and Secondary Students, Statistical Data Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0953 **PROJECT NO:** OE/OHD 73-7 (23401)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: OEC-0-73-6666
COST (In Thousands): FY 73-\$360, FY 74-\$300
PROJECT STATUS: Study completed
START/END DATES: June '73 through June '76

0105

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: Follow Through National Evaluation: Data Collection and Data Processing

REPORT TITLE: Not yet determined
PERFORMER: Stanford Research Institute; 200 Middlefield Road; Menlo Park, CA 94025; Dick Marciano 415-326-6200
AGENCY CONTACT: Iwamoto, David; Rm. 3044 FOB 6; 202-245-9401
PROGRAM(S) EVALUATED:
 E-OE-Elementary & Secondary-Follow Through

ABSTRACT: The Follow through longitudinal evaluation study will attempt to determine the effectiveness of ten divergent philosophies in teaching poor children in kindergarten through third grade. The findings from the study will be used to identify successful approaches to improve education for disadvantaged children.

DESCRIPTORS: Elementary Education, Compensatory Education, Research and Evaluation Methods Feature, Testing and Tests
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0937 **PROJECT NO:** OE/ES 74-31a
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: OEC-0-74-0582
COST (In Thousands): FY 74-\$2271, FY 75-\$1301, FY 76-\$869, FY 77-\$34
PROJECT STATUS: Study in process
START/END DATES: October '73 through December '78

0106

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: Further Documentation of the State Title I Reporting Models

REPORT TITLE: Not yet determined
PERFORMER: RMC Research Corporation; 2570 West El Camino Real; Mountain View, CA 94040; Alex Cannara, 415-941-9550
AGENCY CONTACT: Anderson, Janice; Rm 3040, FOB-6; 202-245-7875
PROGRAM(S) EVALUATED:
 E-OE-Elementary & Secondary-Grants for Disadvantaged

ABSTRACT: State evaluation and reporting models developed in conjunction with another study (EDC 0506) will be reviewed in detail by all States and a sample of their LEA's in order to ascertain: (1) the extent to which they would need technical assistance in implementing the evaluation models, procedures, and practices; (2) what the nature of this technical assistance might be (e.g. on site assistance versus workshops and short courses in the application of current or emerging evaluation principles and practices, etc.); (3) the different ways in which these needs might be met; and (4) the costs involved in providing for these needs. An interim report is available.

DESCRIPTORS: Research and Evaluation Methods Feature, Compensatory Education, Evaluation by State and Local Governments, Technical Assistance
EVALUATION TYPE: Support

EDC ID NO: 0511 **PROJECT NO:** OE/ES 75-2 (15002)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-75-0212
COST (In Thousands): FY 75-\$666, FY 76-\$35, FY 77-\$25
PROJECT STATUS: Study in process
START/END DATES: June '75 through November '77

0107

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: Development of Guaranteed Student Loan Estimation Model

REPORT TITLE: Guaranteed Student Loan Program (GSLP) Estimation Model
PERFORMER: Systems Group Inc.; 815 15th St., N.W.; Suite 921; Washington, D.C. 20001
AGENCY CONTACT: Morrissey, Dan; Rm 4087 FOB 6; 202-245-8130
PROGRAM(S) EVALUATED:
 E-OE-Higher Education-Student Assistance-Subsidized Insured Loans

ABSTRACT: This four volume report presents the historical and legislative background of the Guaranteed Student Loan Program, gives an analysis of the data base used to develop the GSLP Loan Estimation Model, and discusses the development and operation of the model. Volume 1 provides a brief description of the legislative authority for the program and gives a brief description of its operational processes. Volume 2 contains summary statistics and cross-tabulations of loan, borrower, lender, and educational characteristics of the GSLP loans. From these comparisons, it is possible to determine Guaranteed Loan participation by age, sex, race, gross and adjusted

family income, and type of educational institution attended. Volume 3 provides statistics on borrower, lender, and educational institutional characteristics of default claims under Federal, State, and private nonprofit guarantee agency programs. Comparison of data in Volumes 2 and 3 allows direct answers to the question, "For any particular variable, what percentage of borrowers took loans and what percentage defaulted on these loans?" Volume 4 presents both a general and a technical mathematical discussion of the GSLP Loan Estimation Model. The model is divided into two parts: A Loan Flow Model and a Simplex Model.

DESCRIPTORS: Higher Education, Loans and Loan Guarantees, Educational Finance, Scholarships Fellowships and Loans
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0955 **PROJECT NO:** OE/PS 74-10
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** ED 103727
CONTRACT NO: OEC-0-73-1362
COST (In Thousands): FY 73-\$143, FY 74-\$39
PROJECT STATUS: Study completed,
Available from ERIC
START/END DATES: February '73 through October '74

0109

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of the Bilingual Education Program - Study One

REPORT TITLE: The Identification and Description of Exemplary Bilingual Education Programs (August 1975)

PERFORMER: American Institutes for Research; PO Box 1113; Palo Alto, CA 94306; Dr. Malcolm Danoff, 415-493-3550

AGENCY CONTACT: Shoemaker, David; Room 3044, FOB-6; 202-245-2371

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-Bilingual Education-LEA Grants;

E-OE-Elementary & Secondary-Bilingual Education-TA & Other

ABSTRACT: The overall project is to assess the impact of bilingual education on children in the cognitive, affective, and behavioral domains and determine to the extent possible which strategies are most effective. This is the final report of the first of three studies. The objectives of this study were to (1) identify and recommend as exemplary up to 10 bilingual education programs for submission to the Dissemination Reviews Panel (DRP) of the Education Division, and (2) develop detailed descriptions of those programs approved by the DRP for dissemination. Screenings and site visits reduced the candidate pool of 175 programs to 7. The DRP approved only four for dissemination. Descriptions were written for each of the four in sufficient detail to provide local educators with ideas to guide them in implementing similar practices. In addition, the report suggests ways in which local evaluations could be improved to increase chances for identifying exemplary programs. (Final report 175 pages).

DESCRIPTORS: Non English Speaking, Discretionary and Project Grant Performance, Educationally Disadvantaged, Compensatory Education, Evaluation by State and Local Governments, Technical Assistance, Information Dissemination, Survey or Questionnaire Feature

EVALUATION TYPE: Place Project or Technique

PROJECT DESCRIPTIONS

EDC ID NO: 0510

PROJECT NO: OE/ES 74-27
(14005)

FUND SOURCE: Evaluation

NTIS NO: SHR 0001347

MECHANISM: Contract

ERIC NO: ED 123 893

CONTRACT NO: OEC-0-74-9331

COST (In Thousands): FY 74-\$828, FY 75-\$500, FY 76-\$684, FY 77-\$58

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS,
Available from ERIC

START/END DATES: June '74 through August '75

0110

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Identification of Evaluated, Exemplary Activities in Career Education (K-12)

REPORT TITLE: Identification of Evaluated, Exemplary Activities in Career Education (K-12) 9 Parts

PERFORMER: American Institutes for Research; P.O. Box 1113; Palo Alto, CA 94302; Anita Mitchell, 415-493-3550

AGENCY CONTACT: Scates, Alice; Rm 4083, FOB-6; 202-245-8380

PROGRAM(S) EVALUATED:

E-OE-Special Projects & Training-Career Education

ABSTRACT: Nominations of evaluated career education activities were solicited from knowledgeable people in the field. Evaluation reports were obtained from these activities and screened through a set of criteria related to quality and effectiveness. The reports were also categorized and analyzed to provide information for use in developing evaluation models and practical guidance for local career educators. Ten of the activities judged to be most effective on the basis of the evaluation reports were selected. Visits were made to these sites to review the evaluation and to gather descriptive materials which were presented to the HEW Education Division's Joint Dissemination Review Panel. Of the ten activities which were submitted to the Panel, seven were approved and are now being disseminated through the Office of Education's National Diffusion Network. Descriptions of approximately 30 pages in length were prepared on each of the seven activities for further dissemination by the Office of Career Education. An Evaluation Handbook which provides models for evaluating six different types of activities was also produced, and a final technical report was submitted.

DESCRIPTORS: Career or Vocational Education, Elementary and Secondary Students, Demonstration Projects, Technical Assistance, Evaluation by State and Local Governments, Case or Site Study Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1498

PROJECT NO: OE/OHD-77-24
(27004)

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-77-0303

COST (In Thousands): FY 77-\$228, FY 78-\$3

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: August '77 through July '78

0111

AGENCY SPONSOR: Education Division
Office of Education**PROJECT TITLE:** Cooperative Longitudinal Study of Demonstration
Education Programs**REPORT TITLE:** Impact of Educational Innovation of Student
Performance: Various Volumes**PERFORMER:** American Institute for Research; Palo Alto,
California 94302**AGENCY CONTACT:** Mayeske, George; Rm. 3049 FOB 6;
202-245-8857**PROGRAM(S) EVALUATED:**
E-OE-Elementary & Secondary-Support & Innovation

ABSTRACT: The longitudinal Study of Education Practices (Project LONGSTEP) focuses on the impact of intensive innovative educational practices on students in grades 1-12. Full implementation of the 3-year program began during the 1970-71 school year. The study design, methodology, and analysis strategy are described in the first volume of the final report, which also presents the results of an analysis of the relationship between innovation in language arts or mathematics and achievement in these areas. The study sample in that analysis consisted of students who in 1970-71 started out as first graders, fourth graders, or sixth graders. Other project documents report on studies concerned with the relationship between parental expectations and student educational outcomes; the possibility that growth in achievement test performance and positive changes in student attitudes were associated with school environments emphasizing innovation; and the relevance of a standardized mathematics Project LONGSTEP. The major finding of the study was that there was no substantial or consistent association between student achievement and overall Level of Innovation (an index which measured amount of innovation across grades). (Final report 373 pages.)

DESCRIPTORS: Testing and Tests, Elementary Education, Secondary Education, Elementary and Secondary Students, Research and Evaluation Performance, Research and Evaluation Planning and Administration

EVALUATION TYPE: Program Impact**EDC ID NO:** 0933 **PROJECT NO:** OE/ES 70-5
FUND SOURCE: Evaluation **NTIS NO:** SHR-000-1907-1911
MECHANISM: Contract **ERIC NO:** Not yet determined**CONTRACT NO:** OEC-0-70-4789
COST (In Thousands): FY 70-\$349, FY 71-\$397, FY 72-\$476, FY 73-\$250, FY 74-\$98, FY 75-\$234**PROJECT STATUS:** Study completed,
Available from EDC,
Available from NTIS**START/END DATES:** June '70 through September '76

0112

AGENCY SPONSOR: Education Division
Office of Education**PROJECT TITLE:** Impact of PL 94-142 on Handicapped Children
and Their Families**REPORT TITLE:** Not yet determined**PERFORMER:** The Huron Institute; Abt Associates; High/Scope
Foundation; American Institute for Research; Illinois State
University**AGENCY CONTACT:** Kennedy, Mary; Rm. 3151 Donohoe;
202-472-2535**PROGRAM(S) EVALUATED:**
E-OE-Handicapped-State Assistance

ABSTRACT: The purpose of this study is to determine the ways in which handicapped children and their families are affected by the law. Since they are the beneficiaries of the law, the study will focus on their perceptions. Of interest are (a) the child's adjustment to his educational setting and his academic progress, (b) the parent's relationships with the school, and (c) the family's relationship with and attitudes toward the handicapped child.

DESCRIPTORS: Handicapping Conditions and Problems, Social Attitudes and Public Opinion, Children and Youth, Family
EVALUATION TYPE: Program Impact

EDC ID NO: 1630 **PROJECT NO:** BEH-78-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-78-0461-63**COST (In Thousands):** FY 78-\$250, FY 79-\$250, FY 80-\$250, FY 81-\$250, FY 82-\$250**PROJECT STATUS:** 79-02**START/END DATES:** September '78 through September '82

0114

AGENCY SPONSOR: Education Division
Office of Education**PROJECT TITLE:** Impact of Vocational Educational Programs on
American Indians**REPORT TITLE:** Impact of Vocational Education Programs on
American Indians**PERFORMER:** Kirschner Associates, Inc.; 5309 Seguvia Road;
Albuquerque; New Mexico 87120**AGENCY CONTACT:** Cespedis, Samuel; 415-556-6603**PROGRAM(S) EVALUATED:**
E-OE-Occupational & Adult Education-Vocational Grants;
E-OE-Indian Education-Program Administration

ABSTRACT: A study of the effectiveness with which vocational education programs prepare American Indian students for employment is reported. Data were gathered in interviews with teachers, counselors, Indian students, graduates and parents in 10 vocational education programs in California, Nevada, and Arizona. The study report includes a description of methodology; information on the characteristics of the sample programs and communities. It presents findings on American Indian enrollment in vocational education programs; the ability of the programs to provide training; the relationship of the training to existing job opportunities; the suitability of the programs to Indian students' needs and abilities; and the impact of the programs on Indian students. The findings show that Indian students, despite disadvantages, perform well in vocational classes; that Indian students are not receiving help early enough and in adequate amounts to direct them to appropriate programs; that inadequate financial support is the principal reason behind high dropout rates for Indians in post-secondary programs; and that much of the training offered is not related to demand in the labor markets of areas in which the Indian students wish to live. Supporting data are included.

DESCRIPTORS: Survey or Questionnaire Feature, Research and Evaluation Performance, Minority Groups, Labor Market Problems, Native Americans, Career or Vocational Education

EVALUATION TYPE: Program Impact**EDC ID NO:** 0941 **PROJECT NO:** OE/Reg IX 74-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: OEC-9-74-0007
COST (In Thousands): FY 74-\$34
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: June '74 through December '74

0115

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Impact Study of Instructional Materials
Information Efforts of BEH

REPORT TITLE: Not yet determined

PERFORMER: PMC Associate; 112 Waterside Clearing; Nagog
Woods, MA 01718; F. Antonio Pradas 617-263-5937

AGENCY CONTACT: Waugh, Etta J.; Rm. 4833 Donohoe Bldg;
202-472-4650

PROGRAM(S) EVALUATED:
E-OE-Handicapped-Media & Resource Services

ABSTRACT: The Bureau of Education for the Handicapped has during the past twelve years dedicated substantial resources to address the Special need for instructional materials information through experimental systems. At present with increasing demands on limited funds, BEH is seeking an assessment on the following: 1) Are we providing what is really needed at the level that is needed and at a reasonable cost? 2) Are there alternative ways to provide similar service and what is their cost? 3) What course of action should be followed in the future and what are reasonable and inable alternatives for BEH in this field? A key objective of this contract is to facilitate the information BEH will need to decide upon an adequate course of action to pursue. This in terms of policy decisions regarding market audience, methodology, penetration, depth, funding level and BEH role. The impact study will utilize informed guidelines for discussion with SEAs, LEAs, Teachers, Agencies and Institutions. The report will include a profile of the user market, results of a test marketing of certain concepts, use information, evaluation information.

DESCRIPTORS: Information Systems, State or Local Government, Survey or Questionnaire Feature, Handicapping Conditions and Problems

EVALUATION TYPE: Program Impact

EDC ID NO: 1632 **PROJECT NO:** BEH-78-5
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 30-78-0483
COST (In Thousands): FY 78-\$25
PROJECT STATUS: Study in process
START/END DATES: October '78 through September '79

0116

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Study of Federal Eligibility and Consumer
Protection Strategies

REPORT TITLE: Improving the Consumer Protection Function in
Postsecondary Education

PERFORMER: American Institute for Research; P.O. Box 1113;
Palo Alto, CAL 94302; Stephen M. Jung, 415-493-3550

AGENCY CONTACT: Green, William; Rm 4807 FOB-6;
202-245-7884

PROJECT DESCRIPTIONS

PROGRAMS EVALUATED:

E-OE-Occupational & Adult Education;
E-OE-Higher Education;
E-OE-Special Projects & Training-Consumer Education

ABSTRACT: The findings of a study focusing on the development of improved consumer protection strategies for postsecondary education are presented. The preliminary literature search covered abuses of postsecondary education consumers; aspects of consumer protection; systems of governance, institutional eligibility, and consumer protection; and recommendations for meeting protection needs. The research centered on two approaches (regulatory and nonregulatory) to improving protection. The methods used to develop and test the mechanism for information collection, analysis, and use associated with the regulatory approach are reviewed in detail. The mechanism itself, the user guides which were designed to assist regulatory agency officials in using the system, the consumer kit which is the major product of the nonregulatory approach, and followup implications for the strategies developed are also described. (Final Technical Report 215 pages.) Two other reports were also produced under this contract: "Consumer Protection Strategies: A Literature Review and Synthesis: Improving the Consumer Protection Function in Postsecondary Education", December 1975, 70 pp; "Accreditation Agency Field Test of Institutional Self Study Form", June 1978, 90 pp.

DESCRIPTORS: Accreditation of Institutions, Literature Review Feature, Higher Education, Consumer Products or Protection, Adult Education, Career or Vocational Education, Educational Administration, Quality Assurance, Consumer Education, Information Dissemination, Regulation and Standard Setting
EVALUATION TYPE: Support

EDC ID NO: 0906

PROJECT NO: OE/PE 75-15
(35007)

FUND SOURCE: Evaluation

NTIS NO: SHR-0001886-7

MECHANISM: Contract

ERIC NO: ED 134845

CONTRACT NO: 300-75-0383

COST (In Thousands): FY 75-\$227, FY 76-\$46

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS,
Available from ERIC

START/END DATES: July '75 through October '76

0117

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Longitudinal Evaluation of the Adult Basic
Education Program

REPORT TITLE: A Longitudinal Evaluation of the Adult Basic
Education Program

PERFORMER: System Development Corporation; 7929 Westpark
Dr; McLean, VA

AGENCY CONTACT: Scates, Alice; Rm 4083, FOB-6; 202-245-8380

PROGRAM(S) EVALUATED:
E-OE-Occupational & Adult Education-Adult State Grants

ABSTRACT: This study represents the first nationwide evaluation of programs funded under the Adult Education Act. Data were gathered across a variety of Adult Basic Education programs (ABE), and a representative sample of 2300 program participants was studied longitudinally through a series of basic skills tests and interviews covering pre and post-program income, work experience, educational aspirations, and opinions related to participants in ABE experi-

ences. Information was also collected on program and classroom characteristics to form a basis for assessing the relationship between postprogram performance and the kind of experience that enrollees received. This involved interviews with local ABE administrators and instructors regarding program costs, enrollee attendance, staff qualifications, instructional and guidance methods, and administrative procedures. Student evaluations of their ABE experiences and program and class cost data are analyzed. Methodological recommendations for future evaluations of adult basic education programs are discussed. (Final report 220 pages).

DESCRIPTORS: Adult Education, Training and Employment of the Disadvantaged, Compensatory Education, Survey or Questionnaire Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 1466 **PROJECT NO:** OE/OHD-71-6 (21203)

FUND SOURCE: Evaluation **NTIS NO:** PB 226-096/AS

MECHANISM: Contract **ERIC NO:** ED 085418

CONTRACT NO: OEC-71-3706

COST (In Thousands): FY 71-\$292, FY 73-\$26, FY 74-\$11

PROJECT STATUS: Study completed,
Available from NTIS,
Available from ERIC

START/END DATES: June '71 through November '73

0118

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Longitudinal Evaluation of the Emergency School Assistance Act Pilot Program

REPORT TITLE: Various

PERFORMER: System Development Corporation; 2500 Colorado Avenue; Dr. John Coulson 213-829-7511

AGENCY CONTACT: Shoemaker, David; Rm 3044 FOB 6; 202-245-2371

PROGRAM(S) EVALUATED:
E-OE-Emergency School Aid

ABSTRACT: This study evaluated the national impact of the Emergency School Aid Act Pilot Program on a nationally representative sample of minority students. Objectives of the study include: 1) evaluating the cumulative effects of different types of treatments under various exposure durations; 2) determining the differential effectiveness of local programs; and 3) comparing successful local projects to similar but unsuccessful projects in an effort to determine the difference between success and failure. Note that this contract and that described in OE/ES 74-30 are considered one project. Reports are identical and are: 1) Achievement Test Restandardization: Emergency School Aid Act National Evaluation, Nov. 1974, 136 pages, ED 101017; 2) The First Year of Emergency School Aid Act Implementation, Preliminary Analysis, Sept. 1975, 225 pages, ED 117229; 3) National Evaluation of the Emergency School Aid Act: Summary of the Second Year Studies, July 1976, 20 pages, ED 133360; 3) An In-Depth Study of Emergency School Aid Act Schools, 1974-75, July 1976, 216 pages, ED 133361; 4) The Second Year of Emergency School Aid Act Implementation, July 1976, 522 pages, ED 13362

DESCRIPTORS: Educationally Disadvantaged, Elementary and Secondary Students, Research and Evaluation Performance

EVALUATION TYPE: Program Impact

EDC ID NO: 0936 **PROJECT NO:** OE/ES 73-1

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** See Abstract

CONTRACT NO: OEC-O-73-0831; 6336

COST (In Thousands): FY 73-\$982, FY 74-\$1068, FY 75-\$736, FY 76-\$320

PROJECT STATUS: Study completed

START/END DATES: February '73 through November '77

0119

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Longitudinal Impact Study of the Sixth Cycle Teacher Corps Program

REPORT TITLE: Various

PERFORMER: Contemporary Research Inc.; Los Angeles, CA; Pacific Training and Technical Assistance; Berkeley, CA

AGENCY CONTACT: Maroney, Robert; Rm 4083 FOB6; 202-245-8877

PROGRAM(S) EVALUATED:
E-OE-Special Projects & Training-Personnel-Teacher Corps

ABSTRACT: This report examines the second phase of a longitudinal impact study of the Sixth Cycle Teacher Corps. Phase I focussed on the relationship of intern background and Teacher Corps Program characteristics to intern exit characteristics. Its goals were to: (a) contract teacher performance and pupil gains of graduates with those of control group teachers; (b) analyze the relationship of teacher background, teacher education program, teacher performance, and pupil performance for the graduates; and (c) assess the taught grades 2-6 in self-contained classrooms. Data about teacher and pupil performance were obtained through a classroom observation guide, teacher questionnaire, data standardized reading test, and standardized self-concept scale. Graduates were superior to controls in developing ethically relevant curricula, using community resources and initiating contact with parents, and developing positive attitudes about reading development and the causes of poverty in society. Graduates facilitated greater self-concept development in pupils, but there was no significant difference in reading gains between the two groups. The consistent pattern of relationship between pupil variables and Teacher Program characteristics suggests that teacher training makes a difference on pupil behavior and on related teacher performance.

DESCRIPTORS: Education Manpower, Educationally Disadvantaged, Compensatory Education, Elementary Education

EVALUATION TYPE: Program Impact

EDC ID NO: 0954 **PROJECT NO:** OE/OHD 74-9 (44001)

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Various

CONTRACT NO: OEC-O-72-5002 OEC-O-73-5174

COST (In Thousands): FY 72-\$504, FY 73-\$58, FY 74-\$260

PROJECT STATUS: Study completed,
Available from ERIC

START/END DATES: June '72 through December '74

0120

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of the Emergency School Aid Act (ESAA) Magnet Schools Program

REPORT TITLE: Magnet Schools and Desegregation: Study of the Emergency School Aid Magnet School Program

PERFORMER: ABT Associates; 55 Wheeler Street; Cambridge,
MA 02138 Eugene Royster, 617-492-7100

AGENCY CONTACT: York, Robert; Rm 3049, FOB-6;
202-245-7997

PROGRAM(S) EVALUATED:
E-OE-Emergency School Aid-National Competition Projects

ABSTRACT: The purpose of this study was to evaluate a new federal program to support magnet schools as a method of school desegregation. Magnet schools offer a special curriculum capable of attracting substantial numbers of students of different racial backgrounds. The study objectives include describing magnet schools, assessing the overall effectiveness of the magnet school as a desegregation device, assessing the conditions for success or failure and examining the implementation of the ESAA magnet school program by the federal government. This will involve site visits to 18 school districts and 40 magnet schools. The study found that only a limited amount of desegregation can be attributed to magnet schools. However, magnet schools can be an effective desegregation device when used as a component of a comprehensive district-wide desegregation effort and when used in districts with fewer difficulties for desegregation, such as those with a smaller proportion of minority students. The most important factors in determining the success of a magnet school are: type of curriculum, the school attendance zone served, and the location of the magnet school in terms of the racial composition of the neighborhood. Some of the recommendation regarding the ESAA magnet school program are: 1) the funding level should be maintained at its current level or increased gradually; 2) the program should emphasize its role as a desegregation program rather than an educational innovation.

DESCRIPTORS: Black Americans, Case or Site Study Feature, Civil Rights and Integration, Educationally Disadvantaged, Elementary and Secondary Students, Federal Administration
EVALUATION TYPE: Program Impact

EDC ID NO: 1481 **PROJECT NO:** OE/ES-77-7 (17011)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: 300-77-0393

COST (In Thousands): FY 77-\$259

PROJECT STATUS: Study completed,
Executive summary at EDC,
Available from EDC

START/END DATES: September '77 through August '79

0121

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Maximizing Productivity in the Historically Black
Graduate Schools

REPORT TITLE: Maximizing Productivity in Thirty-Two Black
Graduate Schools

PERFORMER: Lynch, Sr. Jean Margaret; 3439 Audubon St.; New
Orleans, LA 90125 505-895-3334

AGENCY CONTACT: Hershner, Ann; Rm 4087, FOB-6;
202-245-8130

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Strengthening Developing
Institutions

ABSTRACT: The objective of this report was to provide data on which to base decisions for maximizing productivity of 32 Black Graduate Schools. Statistical and nonstatistical data brought to light the unexpected finding high percentages of blacks enrolled in the specified disciplines and professions at these schools. Analysis of data

pointed to needs which must be faced and provided for if equity is to be realized. The report's recommendations are: institutional level--these schools be acknowledged and utilized and their strengths be disseminated by way of brochures and other media; recruitment efforts describe the variety of academic and professional programs; college presidents work to change the Federal minority fellowship program to include master degree research programs, etc.; state level--these institutions be enhanced by "catch up" funds and by those means which will result in equal partnership in the state system of higher education; provide funds for bringing salaries up to national average, etc.; federal level--"Catch up" funds for the continuously underfunded libraries of these institutions be made available. The HEGIS financial forms provide for some separation of undergraduate, graduate and professional scholarships/fellowship funds; and aid for increases in salary level be given.

DESCRIPTORS: Higher Education, Minority Groups, Black Americans, Statistical Analysis Methods Feature, Education Manpower, Federal State and Local Relations, Scholarships Fellowships and Loans, Educational Finance

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1493

PROJECT NO: OE/PS-77-19
(37011)

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-77-0396

COST (In Thousands): FY 77-\$25

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: September '77 through May '78

0122

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: MDTA Basic Education Study Final Report

REPORT TITLE: MDTA Basic Education Study Final Report

PERFORMER: North American Rockwell Information Systems
Company; 1701 North Fort Myer Drive; Arlington, Virginia
22209

AGENCY CONTACT: Shuler, Dorothy; 202-245-8877

PROGRAM(S) EVALUATED:
E-OE-Occupational & Adult Education;
V-Non-HEW Programs-Employment & Training

ABSTRACT: This is a report on Basic Education as conducted by the Manpower Development and Training Act (MDTA) Institutional Training Program. It is a case study of 17 MDTA Training centers, carried out through testing trainees and interviews with center staff and trainees. The study's findings include data on the reading, computational and related skills of trainees enrolled in occupational training projects. This information was intended to assist policy makers and program administrators in improving the effectiveness of Basic Education in meeting trainee needs. Programs discussed include General Education Development (GED), Adult Basic Education (ABE), In-Service Training, and English as a Second Language (ESL). (Final Report 120 pages.)

DESCRIPTORS: Statistical Analysis Methods Feature, Case or Site Study Feature, Survey or Questionnaire Feature, Testing and Tests, Adult Education, Career or Vocational Education
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0373

PROJECT NO: OE-71-2

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: OEC-071-4715
COST (In Thousands): FY 71-\$185, FY 72-\$8
PROJECT STATUS: Study completed,
 Available from EDC
START/END DATES: June '71 through April '73

0123

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: The Measurement of Equity in School Finance
 with an Expenditure Disparity Measure

REPORT TITLE: The Measurement of Equity in School Finance
 with an Expenditure Disparity Measure: Paper No. 19

PERFORMER: Education Commission for the States; 1860 Lincoln
 Street; Denver, CO 80203; 303-861-4917

AGENCY CONTACT: Stowe, Peter; Rm 3040, FOB-6; 202-245-8364

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-State Equalization Grants;
 E-OE-School Assistance to Federally Affected Areas

ABSTRACT: The purpose of this report was to theoretically evaluate alternative approaches to and measures of equity of state school finance plans and to evaluate the Federal Expenditure Disparity Measure (FEDM) as a particular equity measure. Hypothetical models demonstrated that value judgments play a key role in determining which equity conceptions are preferred. In addition, the particular value judgments inherent in Per Pupil Expenditure Disparity Measures (PPEDMS) are highlighted. Part VI of this report presents the recommendations which are drawn from the analyses accumulated in Parts II through V: 1) recommendations are made concerning the general issue of equity measurement in Federal regulations, and 2) a series of recommendations are made regarding the particular procedures that the OE plans to use to measure expenditure disparities. The recommendations are directed at the treatments and pupil counts, the way the disparity measure can be used to judge equity and the particular criterion utilized in the FDEM. Three appendices are also included in this report which discuss the application of social welfare and social choice theory to the measurement of educational equity, detail the mathematical formula used to calculate the equity measures, and analyze the tax price of education in relation to educational equity.

DESCRIPTORS: Regulation and Standard Setting, Educational Finance, Equity of Benefits, Grant-in-Aid Formulas, Federal Role, Operations Research Feature, Elementary Education, Secondary Education

EVALUATION TYPE: Program Strategy

EDC ID NO: 1477 **PROJECT NO:** OE/ES-77-3 (17002)

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: 300-77-0527

COST (In Thousands): FY 77-\$10

PROJECT STATUS: Study completed,
 Available from EDC

START/END DATES: September '77 through January '79

0124

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: Models for State Use in Evaluating Vocational
 Education

REPORT TITLE: None

PERFORMER: Contract Research Corporation; 25 Flanders Rd.;
 Belmont, MA 02178; Alice Gordon, 617-489-3150

AGENCY CONTACT: Scates, Alice; Rm 4083, FOB-6; 202-245-8380

PROGRAM(S) EVALUATED:

E-OE-Occupational & Adult Education-Vocational
 Grants-Basic

ABSTRACT: During the exploratory phase of the project, the staff will gather and examine existing reports on and models of vocational education evaluations. They will also establish two advisory groups which will function throughout the life of the project. The first is a Policy Advisory Council made up of representatives of groups having special roles in the operation of the VE system. The other is the Technical Advisory Panel which will consist of evaluation specialists. During the next phase, three models will be developed along with procedural instructions. Information about the models will be provided to States interested in volunteering to participate in the field tests. Testing of the Process and Product/Outcome Models, both based on existing models, will proceed as soon as possible. The field test of the Product/Impact Model, which will measure the effects of four selected occupational programs on the students completing them, will proceed only after the Office of Education has determined its feasibility. The contractor was not able to employ qualified staff in sufficient strength to perform the work required under the contract. By the end of the Summer 1978, it was clear that there was little possibility of obtaining products of good quality within the limits of time and money remaining. Termination for the convenience of the government was recommended and became effective October 26, 1978.

DESCRIPTORS: Career or Vocational Education, Evaluation by State and Local Governments, Research and Evaluation Planning and Administration, Field Test Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1496

PROJECT NO: OE/OHD-77-22
 (27002)

FUND SOURCE: Evaluation

NTIS NO: None

MECHANISM: Contract

ERIC NO: None

CONTRACT NO: 300-77-0536

COST (In Thousands): FY 77-\$340

PROJECT STATUS: Study completed,
 aAvailable from NTIS,
 Study completed,
 Executive summary at EDC,
 bExecutive summary at EDC,
 d

START/END DATES: October '77 through October '78

0125

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: National Evaluation of the Emergency School
 Aid Act

REPORT TITLE: National Evaluation of the Emergency School Aid
 Act (ESAA) Several Volumes

PERFORMER: System Development Corporation; 2500 Colorado
 Avenue; Santa Monica, California 90406 John E. Coulson

AGENCY CONTACT: Shoemaker, Dr. David; Rm 3044, FOB-6;
 202-245-2371

PROGRAM(S) EVALUATED:

E-OE-Emergency School Aid-State Apportionment Projects;
 E-OE-Emergency School Aid-National Competition Projects

ABSTRACT: The purpose of this project was to determine the impact of the Emergency School Aid (ESAA) Basic and Pilot programs on student achievement and attitudes. The study covered the first and second years (1973-75) of program operations under the redesigned program. It used a large sample of participating and non-participating matched schools, and involved standardized achievement tests and school climate instruments. The study found that both ESAA programs were well-targeted to high minority schools, most of which had previously desegregated. Accordingly, reduction in minority isolation was not a useful impact measure. Schools participating were also acutely in need of compensatory education. ESAA added relatively small sums to schools resources (5% or less) except in Pilot schools (11%). Most money was spent on compensatory basic skills instruction. Unfortunately, participating schools did not do better on either achievement or school climate measures than the comparison group. The study also found that within the ESAA sample, the better scoring projects tended to have parent volunteers in the classroom, better climate, and structured education with emphasis on specific skills achievement. Parent participation in advisory committees had no positive effect. Strong administrative leadership did.

DESCRIPTORS: Civil Rights and Integration, Black Americans, Elementary Education, Survey or Questionnaire Feature, Testing and Tests, Citizen Participation, Voluntary Action, Educational Administration, Compensatory Education
EVALUATION TYPE: Program Impact

EDC ID NO: 0512 **PROJECT NO:** OE-73-3
FUND SOURCE: Evaluation **NTIS NO:** PB 282-522-485,522-524
MECHANISM: Contract **ERIC NO:** ED 101017,117229,
133360,1,2

CONTRACT NO: OEC-0-73-0831 & 6336
COST (In Thousands): FY 73-\$3, FY 74-\$2, FY 75-\$2, FY 76-\$1

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from ERIC,
Available from NTIS

START/END DATES: July '73 through April '78

0126

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Assessment of Programs and Projects Funded
Under Title IV of the Indian Education Act

REPORT TITLE: A National Evaluation Survey of Projects Funded
Under Title IV, Part A of the Indian Education Act of 1972

PERFORMER: Communications Technology Corp.; 64 East Main
Street; Marlton, New Jersey 08053; Thomas Mullowney,
609-983-6600

AGENCY CONTACT: FLEMing, Emmett; Room 4083, FOB-6;
202-245-8877

PROGRAM(S) EVALUATED:
E-OE-Indian Education-Payments to LEAS

ABSTRACT: There were four specific objectives of this study: 1) to describe the projects funded through Part A of IEA and determine the degree to which activities undertaken in these projects were successful; 2) to provide information to OIE for management and grant administration; 3) to determine the feasibility of impact evaluation of the Title IV, Part A funding program and the funded progress at the student level; and 4) to document the interrelationship of alternative federal programs that support educational programs for American Indians and to recommend legislative changes to create a more uniform approach. The results of this study suggest that the funds expended have been more than moderately successful in the

educational growth of Native American children. In the majority of the projects nationally, the stated objectives have been met or exceeded as perceived by parents, project staff and district administrators. Title IV Part A funds should be directed toward meeting those special educational needs of Native American children which are not, or cannot, be met by other funding sources. An effort to identify and assess the special educational needs of the Native American children should be considered. Local evaluation of projects should continue to be required. This requirement infers that projects should be designed with some measurable outcome associated with the objectives. (Final report 250 pages, plus an executive summary.)

DESCRIPTORS: Native Americans, Statistical Data Feature, Survey or Questionnaire Feature, Elementary Education
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1458 **PROJECT NO:** OE/OHD 76-27
(86002)

FUND SOURCE: Evaluation **NTIS NO:** PB 296-413,414
MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: 300-76-0397
COST (In Thousands): FY 76-1-\$181, FY 77-\$421, FY 78-\$308
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: July '76 through December '78

0127

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: National Higher Education Planning Model-Phase
III

REPORT TITLE: National Planning Model-Phase III
PERFORMER: Western Interstate Commission on Higher
Education; Boulder, Colorado 80302

AGENCY CONTACT: Okada, Tetsuo; Rm 3040, FOB-6;
202-245-7875

PROGRAM(S) EVALUATED:
E-OE-Higher Education

ABSTRACT: This study completed the documentation and revised instructions for using the model designed to test the effects of alternative Federal Programs on access to and viability of higher education institutions.

DESCRIPTORS: Higher Education, Planning, Operations Research
Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0910 **PROJECT NO:** OE/PE 75-19
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-75-0110

COST (In Thousands): FY 75-\$10
PROJECT STATUS: Study completed
START/END DATES: October '74 through January '75

0128

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Assessment of Area Vocational Education
Facilities and Construction Resources

CONTRACT NO: OEC-071-4715
COST (In Thousands): FY 71-\$185, FY 72-\$8
PROJECT STATUS: Study completed,
 Available from EDC
START/END DATES: June '71 through April '73

0123

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: The Measurement of Equity in School Finance
 with an Expenditure Disparity Measure

REPORT TITLE: The Measurement of Equity in School Finance
 with an Expenditure Disparity Measure: Paper No. 19

PERFORMER: Education Commission for the States; 1860 Lincoln
 Street; Denver, CO 80203; 303-861-4917

AGENCY CONTACT: Stowe, Peter; Rm 3040, FOB-6; 202-245-8364

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-State Equalization Grants;
 E-OE-School Assistance to Federally Affected Areas

ABSTRACT: The purpose of this report was to theoretically evaluate alternative approaches to and measures of equity of state school finance plans and to evaluate the Federal Expenditure Disparity Measure (FEDM) as a particular equity measure. Hypothetical models demonstrated that value judgments play a key role in determining which equity conceptions are preferred. In addition, the particular value judgments inherent in Per Pupil Expenditure Disparity Measures (PPEDMS) are highlighted. Part VI of this report presents the recommendations which are drawn from the analyses accumulated in Parts II through V: 1) recommendations are made concerning the general issue of equity measurement in Federal regulations, and 2) a series of recommendations are made regarding the particular procedures that the OE plans to use to measure expenditure disparities. The recommendations are directed at the treatments and pupil counts, the way the disparity measure can be used to judge equity and the particular criterion utilized in the FEDM. Three appendices are also included in this report which discuss the application of social welfare and social choice theory to the measurement of educational equity, detail the mathematical formula used to calculate the equity measures, and analyze the tax price of education in relation to educational equity.

DESCRIPTORS: Regulation and Standard Setting, Educational Finance, Equity of Benefits, Grant-in-Aid Formulas, Federal Role, Operations Research Feature, Elementary Education, Secondary Education

EVALUATION TYPE: Program Strategy

EDC ID NO: 1477 **PROJECT NO:** OE/ES-77-3 (17002)

FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: 300-77-0527

COST (In Thousands): FY 77-\$10

PROJECT STATUS: Study completed,
 Available from EDC

START/END DATES: September '77 through January '79

0124

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: Models for State Use in Evaluating Vocational
 Education

REPORT TITLE: None

PERFORMER: Contract Research Corporation; 25 Flanders Rd.;
 Belmont, MA 02178; Alice Gordon, 617-489-3150

AGENCY CONTACT: Scates, Alice; Rm 4083, FOB-6; 202-245-8380

PROGRAM(S) EVALUATED:

E-OE-Occupational & Adult Education-Vocational
 Grants-Basic

ABSTRACT: During the exploratory phase of the project, the staff will gather and examine existing reports on and models of vocational education evaluations. They will also establish two advisory groups which will function throughout the life of the project. The first is a Policy Advisory Council made up of representatives of groups having special roles in the operation of the VE system. The other is the Technical Advisory Panel which will consist of evaluation specialists. During the next phase, three models will be developed along with procedural instructions. Information about the models will be provided to States interested in volunteering to participate in the field tests. Testing of the Process and Product/Outcome Models, both based on existing models, will proceed as soon as possible. The field test of the Product/Impact Model, which will measure the effects of four selected occupational programs on the students completing them, will proceed only after the Office of Education has determined its feasibility. The contractor was not able to employ qualified staff in sufficient strength to perform the work required under the contract. By the end of the Summer 1978, it was clear that there was little possibility of obtaining products of good quality within the limits of time and money remaining. Termination for the convenience of the government was recommended and became effective October 26, 1978.

DESCRIPTORS: Career or Vocational Education, Evaluation by State and Local Governments, Research and Evaluation Planning and Administration, Field Test Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1496

PROJECT NO: OE/OHD-77-22
 (27002)

FUND SOURCE: Evaluation

NTIS NO: None

MECHANISM: Contract

ERIC NO: None

CONTRACT NO: 300-77-0536

COST (In Thousands): FY 77-\$340

PROJECT STATUS: Study completed,
 aAvailable from NTIS,
 Study completed,
 Executive summary at EDC,
 bExecutive summary at EDC,
 d

START/END DATES: October '77 through October '78

0125

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: National Evaluation of the Emergency School
 Aid Act

REPORT TITLE: National Evaluation of the Emergency School Aid
 Act (ESAA) Several Volumes

PERFORMER: System Development Corporation; 2500 Colorado
 Avenue; Santa Monica, California 90406 John E. Coulson

AGENCY CONTACT: Shoemaker, Dr. David; Rm 3044, FOB-6;
 202-245-2371

PROGRAM(S) EVALUATED:

E-OE-Emergency School Aid-State Apportionment Projects;
 E-OE-Emergency School Aid-National Competition Projects

ABSTRACT: The purpose of this project was to determine the impact of the Emergency School Aid (ESAA) Basic and Pilot programs on student achievement and attitudes. The study covered the first and second years (1973-75) of program operations under the redesigned program. It used a large sample of participating and non-participating matched schools, and involved standardized achievement tests and school climate instruments. The study found that both ESAA programs were well-targeted to high minority schools, most of which had previously desegregated. Accordingly, reduction in minority isolation was not a useful impact measure. Schools participating were also acutely in need of compensatory education. ESAA added relatively small sums to schools resources (5% or less) except in Pilot schools (11%). Most money was spent on compensatory basic skills instruction. Unfortunately, participating schools did not do better on either achievement or school climate measures than the comparison group. The study also found that within the ESAA sample, the better scoring projects tended to have parent volunteers in the classroom, better climate, and structured education with emphasis on specific skills achievement. Parent participation in advisory committees had no positive effect. Strong administrative leadership did.

DESCRIPTORS: Civil Rights and Integration, Black Americans, Elementary Education, Survey or Questionnaire Feature, Testing and Tests, Citizen Participation, Voluntary Action, Educational Administration, Compensatory Education
EVALUATION TYPE: Program Impact

EDC ID NO: 0512 **PROJECT NO:** OE-73-3
FUND SOURCE: Evaluation **NTIS NO:** PB 282-522-485,522-524
MECHANISM: Contract **ERIC NO:** ED 101017,117229, 133360,1,2

CONTRACT NO: OEC-0-73-0831 & 6336
COST (In Thousands): FY 73-\$3, FY 74-\$2, FY 75-\$2, FY 76-\$1
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from ERIC,
Available from NTIS
START/END DATES: July '73 through April '78

0126

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Assessment of Programs and Projects Funded Under Title IV of the Indian Education Act

REPORT TITLE: A National Evaluation Survey of Projects Funded Under Title IV, Part A of the Indian Education Act of 1972

PERFORMER: Communications Technology Corp.; 64 East Main Street; Marlton, New Jersey 08053; Thomas Mullowney, 609-983-6600

AGENCY CONTACT: FLEMing, Emmett; Room 4083, FOB-6; 202-245-8877

PROGRAM(S) EVALUATED:
E-OE-Indian Education-Payments to LEAS

ABSTRACT: There were four specific objectives of this study: 1) to describe the projects funded through Part A of IEA and determine the degree to which activities undertaken in these projects were successful; 2) to provide information to OIE for management and grant administration; 3) to determine the feasibility of impact evaluation of the Title IV, Part A funding program and the funded progress at the student level; and 4) to document the interrelationship of alternative federal programs that support educational programs for American Indians and to recommend legislative changes to create a more uniform approach. The results of this study suggest that the funds expended have been more than moderately successful in the

educational growth of Native American children. In the majority of the projects nationally, the stated objectives have been met or exceeded as perceived by parents, project staff and district administrators. Title IV Part A funds should be directed toward meeting those special educational needs of Native American children which are not, or cannot, be met by other funding sources. An effort to identify and assess the special educational needs of the Native American children should be considered. Local evaluation of projects should continue to be required. This requirement infers that projects should be designed with some measurable outcome associated with the objectives. (Final report 250 pages, plus an executive summary.)

DESCRIPTORS: Native Americans, Statistical Data Feature, Survey or Questionnaire Feature, Elementary Education
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1458 **PROJECT NO:** OE/OHD 76-27 (86002)
FUND SOURCE: Evaluation **NTIS NO:** PB 296-413,414
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-76-0397
COST (In Thousands): FY 76.1-\$181, FY 77-\$421, FY 78-\$308
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: July '76 through December '78

0127

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: National Higher Education Planning Model-Phase III

REPORT TITLE: National Planning Model-Phase III
PERFORMER: Western Interstate Commission on Higher Education; Boulder, Colorado 80302

AGENCY CONTACT: Okada, Tetsuo; Rm 3040, FOB-6; 202-245-7875

PROGRAM(S) EVALUATED:
E-OE-Higher Education

ABSTRACT: This study completed the documentation and revised instructions for using the model designed to test the effects of alternative Federal Programs on access to and viability of higher education institutions.

DESCRIPTORS: Higher Education, Planning, Operations Research Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0910 **PROJECT NO:** OE/PE 75-19
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-75-0110
COST (In Thousands): FY 75-\$10
PROJECT STATUS: Study completed
START/END DATES: October '74 through January '75

0128

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Assessment of Area Vocational Education Facilities and Construction Resources

REPORT TITLE: National Study of Vocational Education Systems and Facilities, 3 Vols.

PERFORMER: Westat, Inc.; 11600 Nebel Street; Rockville, MD 20852; Alan Woodruff; 301-881-5310

AGENCY CONTACT: Rattner, Edward; Rm 4083, FOB-6; 202-245-8380

PROGRAM(S) EVALUATED:

E-OE-Occupational & Adult Education-Vocational Grants

ABSTRACT: The objectives of this study were: 1) to describe the organization and governance of State and local agencies and delivery systems for Vocational Education (VE), 2) to describe the basic provision by which the capital and operating costs of VE are funded, and 3) to describe the status and condition of the nation's VE system in terms of its capacity, its services, its accessibility to students, and the adequacy, condition and level of utilization of its facility resources. State governance structures for VE are characterized as having 10 different categories of board structures; 5 different classes of administrative units; 5 different levels of authority over institutions which provide VE. The study concluded that differences which exist in the organization, governance and financing of VE systems of the 56 States and Territories are likely to have a significant impact on the manner in which Federal policies are implemented in the different States and Territories and the effect of those policies on achieving the objectives established by the Federal Government. Provisions of Federal legislation relating to the manner in which funds are to be distributed do not inherently assure the most efficient use of such resources. Federal provisions for the support of vocational education could be substantially improved through examination of the relationships which exist between Federal requirements and existing State policies, practices, and statutes. (Final report 628 pages.)

DESCRIPTORS: Career or Vocational Education, Vouchers, Educational Administration, Technical Assistance, Survey or Questionnaire Feature, Grant-in-Aid Formulas, Facilities, Evaluation by State and Local Governments

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1454

PROJECT NO: OE/OHD 76-23 (26001)

FUND SOURCE: Evaluation

NTIS NO: PB 292-040-42

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-76-0315

COST (In Thousands): FY 76-1-\$342, FY 77-\$252, FY 78-\$29

PROJECT STATUS: Study completed, Available from EDC, Available from NTIS

START/END DATES: July '76 through October '78

0129

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Further Study of Performance Contracting in Education

REPORT TITLE: Performance Contracting as a Strategy in Education

PERFORMER: Educational Testing Service; Rosedale Road; Princeton, MD 98540

AGENCY CONTACT: Jackson, Penrose; Rm 3049, FOB-6; 202-245-8857

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary

ABSTRACT: This study of performance contracting was made in 1974-75. It differs from other studies in that it is based on a comprehensive body of data, including information about state-supported contracts

in Michigan and California; it is focused on the role of monetary incentives in education rather than on the particular instructional programs; it made a special effort to collect information about teacher-contracted projects; and it is especially concerned with the long run effects to performance contracting in the districts where it has been tried. The data base consists of interviews held in ten school districts and at three state departments of education, and with representatives of three private firms; documents furnished by the school districts and the state departments; and 42 responses to a questionnaire sent to the 79 school districts which were apparently all that might ever have had a performance contract (other than the ten in which interviews were held). The questionnaire used in the study is included. A five page summary of the study is appended. The study found grounds for doubt about the merits of performance contracting as an educational strategy. The learning gains it has produced are not markedly greater than those produced by other methods. Its ability to stimulate educational change is questionable. Many performance contract projects were merely ways of funding changes that were already in the making. (Final report 170 pages)

DESCRIPTORS: Elementary Education, Secondary Education, Educational Techniques

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0403

PROJECT NO: OE/ES-74-11 (14006)

FUND SOURCE: Evaluation

NTIS NO: PB 243-398

MECHANISM: Contract

ERIC NO: ED 113397

CONTRACT NO: HEW-OS-74-280

COST (In Thousands): FY 74-\$35

PROJECT STATUS: Study completed, Available from EDC, Available from NTIS, Available from ERIC

START/END DATES: June '74 through May '75

0130

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of Demonstration Models for the Special Programs for Students from Disadvantaged Backgrounds

REPORT TITLE: Planned Variations Study

PERFORMER: System Development Corporation; 2500 Colorado Avenue; Santa Monica, CA 90406; Ralph Melaragno, 213-829-7511

AGENCY CONTACT: Berls, Robert; Rm 4087 FOB 6; 202-245-8130

PROGRAM(S) EVALUATED:

E-OE-Higher Education-Special Programs for Disadvantaged

ABSTRACT: The major purpose of this study is to develop and evaluate more effective compensatory education techniques, or models, to improve program impact. About two years for development on intervention models, field implementation and evaluation plans, and field site selection and staff training. Three years for field trial of the models; and a final year of data synthesis and analysis results reported for the whole effort were originally planned as a second phase. Current plans are to conclude the project after the completion of the first phase. The results will be applied to the existing Special Programs, and will furnish successful models to State and local education agencies and higher education institutions for use in their own efforts with disadvantaged students at the high school and college levels. (Final report, 6 volumes.)

DESCRIPTORS: Higher Education, College Students, Cost-Effectiveness Analysis Feature, Demonstration Projects, Educationally Disadvantaged, Educational Techniques, Field Test Feature, Supportive Services, Survey or Questionnaire Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1450

PROJECT NO: OE/PS 76-19
(36008)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-76-0539

COST (In Thousands): FY 76.1-\$440, FY 77-\$98

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC

START/END DATES: September '76 through February '78

CONTRACT NO: OEC-O-72-4986

COST (In Thousands): FY 72-\$163

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS,

Available from ERIC

START/END DATES: June '72 through May '73

0131

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: An Assessment of Career Guidance, Counseling,
and Placement Activities in Secondary Schools for Non
College Bound Students

REPORT TITLE: Planning, Structuring, and Evaluating Practical
Career Guidance for Integration by Non College Bound
Youths

PERFORMER: American Institute for Research; P.O. Box 1113;
Palo Alto, CA 94302

AGENCY CONTACT: Shuler, Dorothy; Rm 4083, FOB-6;
202-245-8877

PROGRAM(S) EVALUATED:

E-OE-Occupational & Adult Education-Vocational Grants;

E-OE-Special Projects & Training-Career Education;

E-OE-Elementary & Secondary-Support &
Innovation-Special Programs

ABSTRACT: This study deals with the premise that a problem persisted in American education relating to career guidance, counseling, and placement of noncollege bound youths which could be solved by initiating specified activities. In order to form such a systematic planning model, information was gathered through a review of the pertinent literature from 1968 to 1973 and through case studies of 13 school guidance programs selected from throughout the United States. It specifies factors related to the integration of practical career guidance for noncollege bound youth, provides a model for systematic planning, structuring, and evaluating of career guidance counseling and placement for this student population with an emphasis on the importance of self-concept in vocational development. The model consists of content evaluation, input evaluation, process evaluation, and product evaluation. The report also offers a taxonomy of indirect, shared, and direct functions of students, teachers, and counselors for stimulating and maintaining student responsibility for vocational and career development. The systematic planning model itself exists as the major recommendations of the study. Numerous conclusions and suggestions were formulated from the literature review and the case studies and are incorporated into the model. (Final report 512 pages; includes 5 volumes)

DESCRIPTORS: Literature Review Feature, Career or Vocational Education, Secondary Education, Labor Market Problems, Case or Site Study Feature, Counseling, Training and Employment of the Disadvantaged

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0401

PROJECT NO: OE/OHD-72-3
(22002)

FUND SOURCE: Evaluation

NTIS NO: PB 246-557,591

MECHANISM: Contract

ERIC NO: ED 076927,8, 078332-41,
80919

0132

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Project Information Packages Development
(Continuation)

REPORT TITLE: A Practical Guide to Measuring Project Impact on
Student Achievement

PERFORMER: RMC Research Corp; 745 Distel Dr.; Los Altos,
Ca. 94022

AGENCY CONTACT: Glassman, Edward; Rm 4079 FOB6;
202-245-8195

PROGRAM(S) EVALUATED:

E-OE-Special Projects & Training-Packaging &
Dissemination

ABSTRACT: This guidebook is the first in a series of monographs on evaluation in education. It deals with one central aspect of project evaluation measuring cognitive achievement gains. The entire focus is on answering, "How much more did pupils learn by participating in the project than they would have learned without it?" The purpose of this guidebook is to provide those concerned with project evaluation with the basic tools they need to conduct technically sound interpretable evaluation studies. One section of the book describes twelve common hazards in evaluation, the problems they create, and the ways in which the problem can be avoided. The book also presents several evaluation models and summarizes each one's general characteristics, strengths, weaknesses, and considerations relating to implementation. Each summary is followed by several pages of step-by-step instructions for implementing the model. (Final report 122 pages.)

DESCRIPTORS: Research and Evaluation Planning and Administration, Research and Evaluation Performance, Research and Evaluation Methods Feature

EVALUATION TYPE: Support

EDC ID NO: 0402.1

PROJECT NO: OE-74-20-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: OEC-O-73-6662

COST (In Thousands): Not available

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS

START/END DATES: June '74 through June '75

0133

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Preparation of Handbook on Evaluating
Children's Affective Development

REPORT TITLE: Not yet determined
PERFORMER: Deborah Klein Walker, 700 Huron Ave., Apt. 18 K;
 Cambridge, Mass. 02138; 417-661-1728
AGENCY CONTACT: Anderson, Janice; Rm 3040 FOB 6;
 202-245-7875
PROGRAM(S) EVALUATED:
 V-Many HEW Programs-Education

ABSTRACT: The manual will instruct the readers in appropriate procedures for evaluating the affective growth of children in grades 1-6.

DESCRIPTORS: Research and Evaluation Methods Feature, Testing and Tests, Technical Assistance, Evaluation by State and Local Governments

EVALUATION TYPE: Support

EDC ID NO: 1440 **PROJECT NO:** OE/ES 76-9
 (16021)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: POO-76-0504
COST (In Thousands): FY 76.1-\$5
PROJECT STATUS: Study completed
START/END DATES: September '76 through February '79

0134

AGENCY CONTACT: Education Division
 Office of Education

PROJECT TITLE: Preparation of Handbook on Evaluation of
 Bilingual Projects

REPORT TITLE: Not yet determined
PERFORMER: American Institutes of Research; P.O. Box 1113;
 Palo Alto, Calif. 94802; Dr. Malcolm Danoff, 415-493-3550
AGENCY CONTACT: Shoemaker, David; Rm 3044 FOB 6;
 202-245-2371

PROGRAM(S) EVALUATED:
 E-OE-Elementary & Secondary-Bilingual Education

ABSTRACT: A description of alternative procedures for the evaluation of bilingual projects will be presented and specific recommendations will be made for a variety of problems encountered frequently by evaluators.

DESCRIPTORS: Technical Assistance, Non English Speaking, Research and Evaluation Planning and Administration, Evaluation by State and Local Governments, Research and Evaluation Methods Feature

EVALUATION TYPE: Support

EDC ID NO: 1439 **PROJECT NO:** PE/ES 76-8 (16010)
FUND SOURCE: Evaluation **NTIS NO:** Not available
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: OEC-0-74-9331
COST (In Thousands): FY 76.1-\$23
PROJECT STATUS: Study completed
START/END DATES: September '76 through September '78

0135

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: Private Accrediting and Public Funding

REPORT TITLE: Private Accreditation and Public Eligibility
PERFORMER: Brookings Institution and the National Academy for
 Public Administration Foundation; Washington, D. C.
AGENCY CONTACT: Green, William; Rm 4087 FOB 6;
 202-245-5884

PROGRAM(S) EVALUATED:
 E-OE-Higher Education;
 E-OE-Higher Education-Institutional Aid

ABSTRACT: The initiative for this two volume study was taken by officials in the Department of Health, Education and Welfare in response to mounting concerns and divided opinions about the use of accreditation to establish the eligibility of post-secondary institutions for federal programs. Conclusions and recommendations include: (1) Accreditation should continue to serve as one means by which post-secondary schools become eligible for federal programs; (2) the functions of reviewing accrediting agencies and recommending some for inclusion on the Commissioner of Education's list of recognized agencies should be largely transferred to the Council of Postsecondary Accreditation; (3) The Office of Education should institute a program to improve the training of state education staff and to gain their fuller cooperation in enforcing federal eligibility of over 13,000 postsecondary schools. Chapters cover the meaning and origins of accrediting, accrediting agencies, regional accreditation, approval systems for veterans student loan programs, consumer protection. Appendixes include selected cases of accreditation, accreditation of veterinary schools, diploma mills, and list of accrediting agencies. (Final report 559 pages.)

DESCRIPTORS: Higher Education, Scholarships Fellowships and Loans, Accreditation of Institutions
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0951 **PROJECT NO:** OE/PS 74-3 (34003)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** ED 097858
CONTRACT NO: OEC-0-72-4923 & 72-04
COST (In Thousands): FY 72-\$142, FY 74-\$84
PROJECT STATUS: Study completed,
 Available from ERIC
START/END DATES: June '72 through August '74

0136

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: Problems in Starting to Implement P.L. 94-142

REPORT TITLE: Not yet determined
PERFORMER: Educational Turnkey Systems, Inc.; Washington, D. C.

AGENCY CONTACT: Danielson, Lou; Rm. 3155 Donohoe Bldg.;
 202-472-2435

PROGRAM(S) EVALUATED:
 E-OE-Handicapped-State Assistance

ABSTRACT: The purpose of this study is to assess the first year of implementation of the law. The work will be performed by Educational Turnkey. The Educational Turnkey staff will observe nine local school systems during the 1977-78 school year to determine how priorities are established and how implementation decisions are made at each level of the administrative hierarchy.

DESCRIPTORS: Handicapping Conditions and Problems, Educational Administration, Case or Site Study Feature
EVALUATION TYPE: Program Management or Efficiency

**Education Division
Office of Education**

Accession No. 0139

EDC ID NO: 1640
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: 300-77-0528
COST (In Thousands): FY 77-\$434
PROJECT STATUS: Study in process
START/END DATES: August '77 through June '79

PROJECT NO: BEH 77-5
NTIS NO: Not yet determined
ERIC NO: Not yet determined

0138

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: A Process and Management Study of
Consolidated Programs Under ESEA Title IV

REPORT TITLE: Not yet determined
PERFORMER: The Rand Corporation; 1700 Main Street; Santa
Monica, CA 90406; Milbrey McLaughlin 213-393-0411
AGENCY CONTACT: Jackson, Penrose; Rm 3079, FOB-6,
202-245-8857 Cost: FY 77-\$192, FY 78-\$390
PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Support & Innovation;
E-OE-Library Resources-School Libraries

ABSTRACT: Seven previously categorical programs were consolidated into two block grants under provisions of ESEA Title IV, as amended. The purpose of the consolidation was to simplify administration and provide state and local discretion and responsibility in setting priorities and managing the programs. The general objective of this study is to provide information, analysis, and recommendations concerning the management of ESEA Title IV funds at the federal, state, and local levels. The study will include a review of OE policy, practice and program data; the identification of state and local management problems which will involve the planning and conduct of a national workshop; a report and recommendations on current problems; design and conduct study of State and local management of Title IV programs to include mail questionnaires and site visits for a series of case studies at the State and local level.

DESCRIPTORS: Educational Administration, Federal State and Local Relations, Library Services, Private Schools, State or Local Administration, Grants-in-Aid
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1476
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: 300-77-0515
PROJECT STATUS: Study in process
START/END DATES: September '77 through August '79

PROJECT NO: OE/ES-77-2 (17001)
NTIS NO: Not yet determined
ERIC NO: Not yet determined

0139

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Study of Title I Allocation Formula

REPORT TITLE: The Process of Funds Allocation Under Title I of
the Elementary and Secondary Education Act of 1965,
March 1973

PERFORMER: National Bureau of Standards; Gaithersburg, MD
AGENCY CONTACT: Spitzer, Murray; Rm 4079, FOB 6;
202-245-1950

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Grants for Disadvantaged

ABSTRACT: This study is derived from the Congressional mandate for a study of the various provisions involved with the 1965 Elementary Secondary Education Act Title I grant determination and distribution process. The description of Title I provisions emphasizes the process relating to the allocation of funds for local educational agencies (LEA's). The maximum LEA grant is described as the product of the number of eligible children and the amount of the basic grant per child; the allocation actually received by the LEA, however, results from the application of a procedure that reduces the amount of all entitlements to a sum that can be covered by the appropriation.

0137

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Project Information Packages Development

REPORT TITLE: Procedural Guide for Validating Achievement
Gains in Educational Projects

PERFORMER: RMC Research Corp.; 745 Distel Dr; Los Altos,
CA.; 94022; G. Kasten Tallmadge

AGENCY CONTACT: Glassman, Edward B., Rm. 4079 FOB6;
202-245-8195

PROGRAM(S) EVALUATED:
E-OE-Special Projects & Training-Packaging &
Dissemination

ABSTRACT: The second in a series of evaluation handbooks prepared by OE is discussed. The second handbook complements the first ("A Practical Guide to Measuring Project Impact on Student Achievement") by approaching the problem from a viewpoint involving the review of evaluation results by interested parties and the selection of exemplary projects based on such reviews. The review and appraisal are presented in a series of steps for validating achievement gains in educational projects. The guide focuses on packaging concepts and procedures which will facilitate the replication of sound educational practices. Criteria are established for the statistical and educational significance of achievement goals and for the definition of procedures to verify goal achievement. The process and problems of selecting and validating exemplary educational projects are reviewed. A 22 step decision tree is outlined for the validation of statistical significance. It is pointed out that the absolute value of achievement gains may become relatively insignificant in a real world context. Included are sample project summary worksheets, a discussion of the issues surrounding the use of criterion versus norm referenced tests, a description of the logic and mathematical structures of certain regression models, and an overview of the hazards associated with the use of percentiles and grade equivalent scores to describe children's academic performance. (Final report 101 pages).

DESCRIPTORS: Research and Evaluation Methods Feature, Technical Assistance, Demonstration Projects, Testing and Tests
EVALUATION TYPE: Support

EDC ID NO: 0402.2
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: OEC-O-73-6662
COST (In Thousands): Not available
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

PROJECT NO: OE/ES 74-20-2
NTIS NO: PB 259-755
ERIC NO: ED 126-135

START/END DATES: June '73 through June '76

The practical problems of identifying and counting the eligible children for annual grant determination are defined. These include attempting to maintain a comprehensive, accurate, and up-to-date data base. In addition, some of the issues associated with the selection of an appropriate grant per child are mentioned. These include whether the basic grants should reflect regional differences in the cost of education and/or whether they should be adjusted for areas with special needs, e.g., high concentrations of eligible children. Consideration of alternative possibilities suggests that the present enumeration of eligibles can be improved by using the so-called Orshansky data from the 1970 census.

DESCRIPTORS: Compensatory Education, Educational Finance, Educationally Disadvantaged, Urban or Rural Places or Problems
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0945 **PROJECT NO:** OE/ES-74-2 (11203)
FUND SOURCE: Evaluation **NTIS NO:** None
MECHANISM: Contract **ERIC NO:** ED 074187
CONTRACT NO: OEC-0-71-2859
COST (In Thousands): FY 71-\$60
PROJECT STATUS: Study completed,
Available from ERIC
START/END DATES: April '71 through June '73

0140

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Study of the State Student Incentive Grant Program and State Scholarship Program

REPORT TITLE: Profiles of State Student Financial Aid Programs (3 Volumes)

PERFORMER: Education Commission for the States; 1860 Lincoln Street; Denver, Colorado 80203; John Lee, 303-893-5200

AGENCY CONTACT: Haines, John; Rm 4087, FOB 6; 202-245-8130

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Student Assistance-Incentive Grants for State Scholarships

ABSTRACT: The purpose of this study was (1) to develop profiles which will describe the States in terms of their financial effort in postsecondary education relative to their fiscal capacities, their financial effort in the student aid area with emphasis on SSIG and other State based student assistance programs, their student assistance philosophies and management practices, and their demographic characteristics; (2) to group the states in ways which will highlight similarities and differences in their student assistance programs and present summary statistics for comparative purposes; and (3) to develop a plan for an ongoing data collection effort designed to aid in the continuous monitoring of the State Student Incentive Grant Program.

DESCRIPTORS: Statistical Data Feature, Scholarships Fellowships and Loans, Management Information and Reporting, Grant-in-Aid Formulas

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1448 **PROJECT NO:** OE/PS 76-17 (36006)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** ED 148226-28
CONTRACT NO: 300-76-0376
COST (In Thousands): FY 76-1-\$157

PROJECT STATUS: Study completed,
Available from ERIC
START/END DATES: August '76 through December '77

0141

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Progress in Implementation of PL 94-142

REPORT TITLE: Not yet determined

PERFORMER: SRI International; 333 Ravenswood Avenue; Menlo Park, CA

AGENCY CONTACT: Danielson, Louis; Rm. 3155 Donohoe Bldg.; 202-472-2535

PROGRAM(S) EVALUATED:
E-OE-Handicapped-State Assistance

ABSTRACT: The purpose of this study was to follow a small sample of school systems over a five-year period to observe their progress in implementing the law. Because the Congress asked that the annual report describe progress in implementation, this in-depth study of processes was designed to complement the national trends reported by States. The work will be performed by SRI International.

DESCRIPTORS: Bureaucratic Behavior, Educational Administration, Handicapping Conditions and Problems, Evaluation by State and Local Governments

EVALUATION TYPE: Program Strategy

EDC ID NO: 1639 **PROJECT NO:** BEH-78-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-78-0030
COST (In Thousands): FY 78-\$197, FY 79-\$417, FY 80-\$420, FY 82-\$450

PROJECT STATUS: Study in process
START/END DATES: November '77 through September '82

0142

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Individualized Educational Programs Required Under PL 94-142

REPORT TITLE: Project IEP: Washington State Report; Alabama State Report; Wisconsin State Report; New Jersey State Report

PERFORMER: David Nero & Associates; 1900 Moore St.; Arlington, VA.

AGENCY CONTACT: Kennedy, Mary; Rm. 3151 Donohoe Bldg.; 202-472-2535

PROGRAM(S) EVALUATED:
E-OE-Handicapped-State Assistance

ABSTRACT: The purpose of this study was to estimate the difficulty of implementing this particular provision of the law. The work was performed by Nero and Associates, and by internal staff. Four states were visited, and a variety of individuals affected by the law were interviewed. The study revealed that (a) similar concerns were identified in states which already have provisions and in states which did not, and (b) similar concerns were raised by both special design technical assistance and inservice training programs.

**Education Division
Office of Education**

Accession No. 0144

DESCRIPTORS: Social Attitudes and Public Opinion, Handicapping Conditions and Problems, Case or Site Study Feature, Evaluation by State and Local Governments

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1638

PROJECT NO: BEH-76-4

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: OEC-0-14-1915

COST (In Thousands): FY 76-\$324

PROJECT STATUS: Study completed

START/END DATES: September '76 through December '77

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS,
Available from ERIC

START/END DATES: June '73 through June '76

0143

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Project Information Packages for Effective Approaches in Compensatory Education

REPORT TITLE: Various

PERFORMER: RMC Research Corp.; 7910 Woodmont Ave;
Bethesda, Maryland 20014; G. Kasten Tallmadge

AGENCY CONTACT: Glassman, Edward B.

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary E-OE-Special Projects &
Training-Packaging & Dissemination

ABSTRACT: The project described herein represents an attempt by USOE to take a more active role in the national dissemination and replication of those programs which have achieved the greatest success in producing reliably measured achievement benefits in reading and math skills. USOE's Office of PBE initiated a multiyear investigation of the feasibility of packaging exemplary projects in sufficient depth and detail so that the packages themselves would constitute a viable means of replicating successful practices. Four major tasks were involved in the development of Project Information Packages: 1) to develop criteria for choosing operational approaches to compensatory education worthy of more widespread use and amenable to installation in other schools; 2) to design a project model and decide what kinds of information a package should contain and what the media should be; 3) to choose up to 8 compensatory education projects for packaging and develop project models for them; and 4) to prepare packages for the selected projects. The reports are: Design Considerations for Packaging Effective Approaches in Compensatory Education June 1974 - 63 pp.; Selecting Exemplary Compensatory Education Projects for Dissemination Via Project Information Packages, Aug. 1974 - 58 pp.; A Practical Guide to Measuring Project Impact on Student Achievement, 1975 - 124 pp.; A Procedural Guide for Validating Achievement Gains in Education Projects (second revision), 1976 - 103 pp., also available from NTIS cited above.

DESCRIPTORS: Evaluation by State and Local Governments, Research and Evaluation Methods Feature, Technical Assistance, Demonstration Projects, Testing and Tests

EVALUATION TYPE: Support

EDC ID NO: 0537

PROJECT NO: OE/ES 74-20a

FUND SOURCE: Evaluation

NTIS NO: PB 259-755

MECHANISM: Contract

ERIC NO: ED 101019-
099436,106376 126135

CONTRACT NO: OEC-0-73-6662

COST (In Thousands): FY 73-\$250, FY 74-\$133, FY 75-\$43

0144

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas

REPORT TITLE: Project Metro Evaluation Data on Vocational Education Projects in Major Metropolitan Areas

PERFORMER: Educational Systems Research Institute; Pittsburgh, PA

AGENCY CONTACT: Fleming, Emmett; Rm 4083, FOB-6;
202-245-8380

PROGRAM(S) EVALUATED:

E-OE-Occupational & Adult Education-Vocational Grants

ABSTRACT: Vocational education administrators must think of themselves as managers of manpower development systems and apply tools of management to achieve their objectives. To do this a theoretical mode, called the Manpower Conversion Equation, was developed from which six basic vocational education objectives could be generated deductively. The model states essentially that supply should equal demand for skilled manpower. From a sample of 22 U.S. cities with populations of 250,000 or over, 1970 graduates of 449 secondary schools were surveyed. Analyses were made by sex and race, by type of program, and by type of occupation. Fifteen basic findings, reported by table and text, lead to the identification and discussion of ten basic problem areas: absence of manpower conversion concept, absence of measurable vocational education objectives, inadequate vocational education supporting systems, undefined responsibility and accountability, inappropriate administrative organization for effective vocational education, inadequate relations with employer community inadequate relations with community of parents, absence of vocational education operational research, inadequate involvement of vocational teacher personnel, inadequate application of management concepts principles and techniques.

DESCRIPTORS: Career or Vocational Education, Statistical Analysis Methods Feature, Secondary Education, Urban or Rural Places or Problems, Labor Market Problems, Planning, Needs and needs Assessment

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1462

PROJECT NO: OE-OHD-68-1
(29103)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: ED 088991

CONTRACT NO: OEC-0-8-080693-3671

COST (In Thousands): FY 68-\$142, FY 69-\$63, FY 70-\$332

PROJECT STATUS: Study completed,

Available from ERIC

START/END DATES: June '68 through August '74

0145

AGENCY SPONSOR: Education Division
Office of EducationPROJECT TITLE: Project Survey Component/High School and
Beyond

REPORT TITLE: Not yet determined

PERFORMER: National Opinion Research Center; 6030 South Ellis
Avenue; Chicago, ILL 60637; Carol Stocking 312-753-1514

AGENCY CONTACT: Kuch, Peter; Rm. 4087, FOB 6; 202-245-7885

PROGRAM(S) EVALUATED:

E-OE-Higher Education-Student Assistance

ABSTRACT: This procurement is to design a survey to collect data from a nationally representative sample of 5,000 parents of high school seniors to be executed during the summer of 1980. The high school seniors will have been surveyed the previous spring and it is expected that these same students and their parents will be surveyed again two years later. The parent survey will relate to parental knowledge about postsecondary options (offerings, cost and the availability of financial aid), parental plans and actions to finance their children's education, family demographic, financial, and social characteristics. Its purpose is to evaluate information dissemination about financial aid, the adequacy of parental financial preparations for their children's education, and to evaluate the realism of currently used needs analysis procedures.

DESCRIPTORS: Family, Higher Education, Grant-in-Aid Formulas, Means Testing, Secondary Education, Scholarships Fellowships and Loans

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1611

PROJECT NO: OE/PS-78-12

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-78-0208

COST (In Thousands): FY 78-\$60

PROJECT STATUS: Study in process

START/END DATES: June '78 through December '79

0146

AGENCY SPONSOR: Education Division
Office of EducationPROJECT TITLE: Evaluation of the Public Library and Analysis of
its Present and Future Role

REPORT TITLE: The Public Library and Federal Policy

PERFORMER: System Development Corporation; 2500 Colorado
Avenue; Santa Monica, CA 90406AGENCY CONTACT: Kirschenbaum, Arthur; Rm 4083, FOB-6;
202-245-8380

PROGRAM(S) EVALUATED:

E-OE-Library Resources-Public Libraries

ABSTRACT: This study was to assist the Federal government in defining its relationship to public libraries over the next decade. The first objective was to analyze the public library as an information-providing agency and to identify the major problems and issues of the library. The public library was considered in terms of its history, the number and types of libraries, political and administrative organization, financing and staffing, present services and evaluation of those services, utilization and image of the library, and relationships with other institutions and developing trends in public libraries. The second objective of the study was to develop recommendations for future Federal support roles relevant and responsive to the public library situation. The recommendations were based on the analysis of

the library situation and of Federal information dissemination policy. Information for the study was gathered through a review of the literature, library project site visits, input from prominent librarians and a Delphi study. (Final report 349 pages.)

DESCRIPTORS: Library Services, Federal Role, Literature Review Feature

EVALUATION TYPE: Program Strategy

EDC ID NO: 1469

PROJECT NO: OE/OHD-72-3

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: ED 090915

CONTRACT NO: OEC-0-72-5003

COST (In Thousands): FY 72-\$125, FY 73-\$4

PROJECT STATUS: Study completed,

Available from ERIC

START/END DATES: June '72 through May '73

0147

AGENCY SPONSOR: Education Division
Office of EducationPROJECT TITLE: Evaluation of the Sustaining Effects of
Compensatory EducationREPORT TITLE: Report 1: The Sample for the Sustaining Effects
Study and Projections of its Characteristics to the National
PopulationPERFORMER: System Development Corporation; 2500 Colorado
Ave.; Santa Monica CA 90406; L. Carter 213-829-9404AGENCY CONTACT: Mayeske, George; Rm 3049, FOB-6;
202-245-8857

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-Grants for Disadvantaged

ABSTRACT: The major objectives of this overall project are: (1) to ascertain how educational disadvantage and need for compensatory assistance is determined; (2) to determine the numbers of economically and educationally disadvantaged students who receive compensatory services (3) to obtain a detailed description of the nature of "compensatory" programs in the basic skill areas being offered to disadvantaged students and to contrast these with regular classroom activities; (4) to ascertain the relative cost and effects of (1) and (3) on individual student skill attainment (5) to determine the nature of programs experienced and levels of attainment; sustained by student transferees; (6) to determine the nature of summer compensatory programs and the levels of skill attainment sustained by student attendance; and (7) to package the results of successful program strategies so that they are available for utilization by other school systems. An interim report (145 pages) on the sample for the study projects to the national population data on students, reviews, schools, etc.

DESCRIPTORS: Testing and Tests, Compensatory Education, Elementary and Secondary Students, Low-Income Population, Research and Evaluation Methods Feature, Elementary Education, Survey or Questionnaire Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 0915

PROJECT NO: OE/ES-75-1 (15001)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-75-0332

COST (In Thousands): FY75-\$4026, FY 76-\$2150, FY 77-\$3411,
FY 78-\$1317

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC
START/END DATES: July '75 through December '81

0148

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Research on Part-Time Campus Student Financial
Aid Personnel

REPORT TITLE: Research on Part-Time Campus Student Financial
Aid Personnel: Final Report

PERFORMER: Educational Methods, Inc., 1030 15th St., N.W.;
Washington, D.C. 20005; Dick Tombaugh, 202-293-1947

AGENCY CONTACT: Ratnofsky, Alex; Rm 4087, FOB-6;
202-245-8130

PROGRAM(S) EVALUATED:
E-OE-Higher Education

ABSTRACT: P.L. 94-482, the Higher Education Amendments of 1976, Section 493(B) mandates the Commissioner of Education to report to Congress "concerning his findings and various legislative incentives which might improve the use and quality of services of part-time campus financial aid personnel." This two stage project produced the mandated report (to be completed).

DESCRIPTORS: College Students, Counseling, Information Dissemination, Education Manpower

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1491 **PROJECT NO:** OE/PS-77-17
(37009)

FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** ED 148208

CONTRACT NO: 300-77-0142
COST (In Thousands): FY 77-\$15

PROJECT STATUS: Study completed,
Available from EDC,
Available from ERIC

START/END DATES: July '77 through September '77

0149

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Revision of Six Project Information Packages and
the Analysis and Selection Kit

REPORT TITLE: Not yet determined

PERFORMER: RMC Research Corporation; 2570 West El Camino
Real; Mountain View, Calif. 94040; Donald Horst,
415-941-9550

AGENCY CONTACT: Hulten, Burma; Rm 3040, FOB 6;
202-245-7875

PROGRAM(S) EVALUATED:
E-OE-Special Projects & Training-Packaging and
Dissemination

ABSTRACT: Projects Information Packages (PIPs) describe the management and instructional features of exemplary education projects approved by an OE Dissemination Review Panel. The Analysis and Selection Kit (ASK) provides a school district with the information needed to select an appropriate PIP project for adoption. The purpose of the project was to revise the first six PIPs and the ASK on the basis of a field demonstration conducted at 19 sites across the country.

DESCRIPTORS: Compensatory Education, Educational Techniques, Field Test Feature, Technical Assistance, Information Dissemination, Demonstration Projects

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1437 **PROJECT NO:** OE/ES 76-1
(16001)

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 300-76-0002

COST (In Thousands): FY 76-\$243

PROJECT STATUS: Study completed

START/END DATES: July '75 through June '76

0150

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: School Finance Studies

REPORT TITLE: School Finance Project

PERFORMER: Syracuse University Research Corp; New York,
N.Y.

AGENCY CONTACT: Saunders; 202-245-2495

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary

ABSTRACT: This is an ongoing effort within OE which analyzes the problems and issues relating to the "financial crisis" and current financial reform efforts in elementary and secondary education. The effort require a series of papers, analysis, and case studies to be prepared by outstanding consultants.

DESCRIPTORS: Elementary Education, Secondary Education, Financial Management

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0931 **PROJECT NO:** OE/GEN 74-15

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: OEC-0-72-5017

COST (In Thousands): FY 74-\$48

PROJECT STATUS: Study completed

START/END DATES: March '74 through March '75

0151

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Further Analysis of Emergency School Assistance
Evaluation Data

REPORT TITLE: Secondary Analysis of the Emergency School
Assistance Program Evaluation Data

PERFORMER: The Rand Corporation; 1700 Main Street; Santa
Monica, California 90406

AGENCY CONTACT: York, Robert; 202-245-7997

PROGRAM(S) EVALUATED:
E-OE-Emergency School Aid-State Apportionment Projects;
E-OE-Emergency School Aid-Training & Advisory Services

ABSTRACT: The Emergency Assistance Program (ESAP) provided assistance in the early 1970's through project grants to school districts undergoing desegregation, concentrated in the south. In 1972 it was modified and renamed Emergency School Aid (ESA) but its major component remained similar. A 1973 evaluation showed that the

program was effective with respect to 10th grade black males; this study reanalyzes the high school data to find any information useful to ESA operation. The data base involves 500 schools and 2,500 students receiving ESAP grants in 1971-72, with equal numbers not receiving grants matched. The reanalysis confirms the original finding, but suggests a modest effect only. A possible cause is better white student racial attitudes. It finds that teacher training specifically in race relations improves teacher attitudes and reported behavior. This training, and student intergroup relations programs, appear to affect whites but not black students positively. A number of other similar findings emerge; some contradicting the earlier study. No conclusions lead to suggested changes in the programs. (Final report 97 pages).

DESCRIPTORS: Civil Rights and Integration, Black Americans, Secondary Education, Education Manpower, Survey or Questionnaire Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 0544 **PROJECT NO:** OE/ES 75-7
FUND SOURCE: Evaluation **NTIS NO:** SHR 0001930
MECHANISM: Contract **ERIC NO:** ED 124671,2
CONTRACT NO: 375-75-0160
COST (In Thousands): FY 75-\$59
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS,
Available from ERIC

START/END DATES: October '74 through October '75

0152

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Study of Financial Problems at Small Private Colleges

REPORT TITLE: Self-Assessment of Financial Conditions: A Preliminary Edition of a Workbook for Small Institutions

PERFORMER: American Institutes for Research; P.O. Box 112; Palo Alto, CA; Steven Jung 415-493-3550

AGENCY CONTACT: Corrallo, Sal; Rm. 4087, FOB-6; 202-245-8057

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Institutional Aid E-OE-Higher Education-Institutional Aid-Strengthening Developing Institutions

ABSTRACT: This activity is intended to examine the possibility of constructing simpler measures of the financial status of institutions of higher education. Those feasible will be field tested from the results of a field tests, a self-evaluation manual is to be developed that will enable officials of institutions (primarily four year independent liberal arts colleges) to assess their own financial status. The manual will contain instructions on calculating financial health measures as well. Consideration will also be given to the suitability of their application for wide-scale survey applications. (To be written.)

DESCRIPTORS: Educational Administration, Higher Education, Financial Management, Private Schools, Research and Evaluation Methods Feature, Budgeting, Technical Assistance

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1612 **PROJECT NO:** OE/PS-78-13
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: 300-78-0150

COST (In Thousands): FY 78-\$100

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: September '78 through September '79

0153

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Southern Schools: An Evaluation of the Effects of ESAP and School Desegregation (Two Volumes)

REPORT TITLE: Southern Schools: An Evaluation of the Effects of ESAP

PERFORMER: National Opinion Research Center; University of Chicago; Chicago, Illinois

AGENCY CONTACT: York, Robert; Rm. 3049, FOB 6; 202-245-7997

PROGRAM(S) EVALUATED:
E-OE-Emergency School Aid;
E-OE-Elementary & Secondary-State Equalization Grants;
E-OE-Elementary & Secondary-Support & Innovation

ABSTRACT: This report examines ESAP and includes discussion of the effects of ESAP and of school characteristics on student attitudes toward integration, an analysis of the effects of ESAP and school characteristics on tests of achievement, and there are several working papers which analyze desegregation issues. The findings revealed that black male students in experimental ESAP high schools had achievement scores one-half grade higher than those in control schools. There was no indication of changed achievement scores for elementary school students, white students, or black high school females. Continuation of ESAP-like programs is recommended with suggestions for school and federal policies.

DESCRIPTORS: Survey or Questionnaire Feature, Elementary and Secondary Students, Civil Rights and Integration, Educationally Disadvantaged, Testing and Tests, Federal Role, Black Americans, Educational Finance

EVALUATION TYPE: Program Impact

EDC ID NO: 0385 **PROJECT NO:** OE-72-4
FUND SOURCE: Evaluation **NTIS NO:** PB 245-796
MECHANISM: Contract **ERIC NO:** ED 085426,7
CONTRACT NO: OEC-0-72-0557
COST (In Thousands): FY 72-\$772
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS,
Available from ERIC

START/END DATES: October '71 through January '74

0154

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: State Uses of Federal Education Funds

REPORT TITLE: State Administered Federal Education Funds Fiscal Years 1974 and 1975; Summary Presentation of Data on State Uses

PERFORMER: Booz, Allen & Hamilton, Inc.; 1025 Connecticut Ave., N.W.; Washington, D.C. 20036

AGENCY CONTACT: Spitzer, Murray; Rm 4079, FOB-6;
202-245-1950

PROGRAM(S) EVALUATED:

E-OE-Commissioners Office-Program Direction &
Management;
V-Many HEW Programs-Education;
E-OE-Library Resources;
E-OE-Occupational & Adult Education-Vocational Grants;
V-Many HEW Programs-Grants in Aid

ABSTRACT: The first annual report to the Congress on the use of Federal education funds by States during 1974 and 1975 is presented. The report was prepared in response to Section 437 of the General Education Provisions Act which requires an annual report on State and Local uses of Federal education funds for which States have discretionary authority. It covers 25 project grant programs. Volume I discusses the reporting requirements to be met under the act, the scope of the reporting effort, the characteristics of Federal-State education funding and grant administration, and the characteristics of State records for Federal grant administration. The characteristics of federal aid-to-education grant programs that affect the meaning of information reported by States are discussed. The report shows that existing reporting requirements do not provide for many types of data and that much of the rest are subject to inaccuracies. For example, beneficiary data are often unavailable, duplicated, noncomparable, not attributable to Federal funds, and/or incomplete. A second volume summarizes and presents the data across all programs and states; a third volume presents the data for each state separately. (Volume I 68 pages; volume II 162 pages).

DESCRIPTORS: State or Local Administration, Federal Role, Educational Administration, Discretionary and Project Grant Performance, Grants-in-Aid, Auditing and Accounting, Management Information and Reporting, Statistical Data Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0538

FUND SOURCE: Evaluation

MECHANISM: Contract, In-house

CONTRACT NO: 300-75-0352

COST (In Thousands): FY 75-\$905, FY 76-\$307, FY 77-\$1

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS,
Available from ERIC

START/END DATES: July '75 through June '76

0155

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Analysis and Synthesis of State Title I Reports and Model Development

REPORT TITLE: State ESEA Title I Reports: Review and Analysis of Past Reports, and Development of a Model Reporting System and Format

PERFORMER: RMC Research Corporation; 7910 Woodmont Avenue; Bethesda, MD 20014; G Kasten Tallmadge, 415-941-9550

AGENCY CONTACT: Anderson, Janice; Room 3040, FOB-6;
202-245-7875

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-Grants for Disadvantaged

PROJECT DESCRIPTIONS

ABSTRACT: Studies at the national level on the effectiveness of ESEA Title I have been hampered by the lack of valid comparable data that could be aggregated across State boundaries. This study analyzed State Title I reports for evidence of effectiveness, successful approaches, relationships and trends. It found that State reports have not been successful as a source of information on program effectiveness. The failure is due to problems in aggregating data across school districts, the quality of input from local agencies, and differences from State to State with respect to content and format. Information needs were determined through interviews with Federal staff, review of local, district, and State evaluation reports of Title I projects, and telephone interviews with 54 State Title I coordinators. Based on review findings and technical considerations, an evaluation and reporting system was developed which incorporates standardized forms and procedures for reporting information on participation, expenditures, personnel and training, etc., coupled with either standardized or nonstandardized test instruments. The design also provides for aggregation across schools, districts, and States. The system has been tested and received favorably and is expected to be widely adopted over the next few years. See EDC 0511 for a followon study. (Final report 236 pages).

DESCRIPTORS: Compensatory Education, Evaluation by State and local Governments, Survey or Questionnaire Feature, Research and Evaluation Methods Feature, Testing and Tests, Management Information and Reporting

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0506

PROJECT NO: OE/ES 74-16
(14002)

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: OEC-0-74-9182

COST (In Thousands): FY 74-\$160, FY 75-\$86

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS,
Available from ERIC

START/END DATES: June '74 through October '75

0156

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: The Status and Impact of Bilingual Vocational Training

REPORT TITLE: Not yet determined

PERFORMER: Kirschner Associates; 733 15th Street, N.W.; Washington, DC 20005; Dale Berry, 202-638-3423

AGENCY CONTACT: Shuler, Dorothy; Rm 4063, FOB-6;
202-245-8877

PROGRAM(S) EVALUATED:

E-OE-Occupational & Adult Education-Vocational Grants-Bilingual;

E-OE-Occupational & Adult Education-Vocational Grants-Basic

ABSTRACT: This study, mandated by P.L. 94-482, Section 182, describes the kinds of bilingual vocational training provided for limited-English speaking adults and assesses the impacts of the training in terms of employment status and English language proficiency.

DESCRIPTORS: Career or Vocational Education, Adult Education, Training and Employment of the Disadvantaged, Non English Speaking

EVALUATION TYPE: Program Impact

EDC ID NO: 1497 **PROJECT NO:** OE/OHD-77-23
(27003)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-77-0240
COST (In Thousands): FY 77-\$409
PROJECT STATUS: Study in process
START/END DATES: June '77 through May '79

0157

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Taxonomic Analysis of Institutional Domains of
Higher Education

REPORT TITLE: Student Assistance: Participants and Programs,
1974-75, Higher Education Panel Reports No. 27
PERFORMER: American Council on Education; One Dupont
Circle; Washington, D.C. 20036; Frank Atelsak, 202-833-4757
AGENCY CONTACT: Ratnofsky, Alex; Rm 4087, FOB-6;
202-245-8130
PROGRAM(S) EVALUATED:
E-OE-Higher-Education-Student Assistance

ABSTRACT: This survey was designed to collect information for the 1974-75 academic year on the extent of student participation in Office of Education programs, the characteristics of aid recipients, student charges, and the amount and sources of student aid available at institutions of higher education, and to elicit suggestions for improving the operation of federal student aid programs. The programs covered are (1) Basic Education Opportunity Grant Program (2) Supplemental Educational Opportunity Grant Program (3) State Student Incentive Grant Program (4) College Work Study Program (5) National Direct Student Loan Program and (6) Guaranteed Student Loan Program. The survey questionnaire was sent to all 644 members of the Higher Education Panel of the American Council on Education. Suggestions for improvement fell into two main headings: administration and program design. This survey was cosponsored by NIH and NSF. This study is also available from the American Council on Education. Final report 47 pages.

DESCRIPTORS: College Students, Higher Education, Indices and Taxonomies, Statistical Data Feature, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0911 **PROJECT NO:** OE/PE-75-20
(35009)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Transfer **ERIC NO:** ED 121 160
TRANSFER NO: IAD-O-74-036
COST (In Thousands): FY 75-\$61, FY 76-\$2
PROJECT STATUS: Study completed,
Available from ERIC
START/END DATES: July '74 through December '75

0158

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Study of Institutional Development in HEA, Title
III, Aid to Developing Institutions

REPORT TITLE: A Study Design for Evaluation of the
Strengthening Developing Institutions Program
PERFORMER: Arthur D. Little, Inc; Acorn Park; Cambridge,
Mass. 02140
AGENCY CONTACT: O'Connor, John; 202-245-7884
PROGRAM(S) EVALUATED:
E-OE-Higher Education-Institutional Aid-Strengthening
Developing Institutions

ABSTRACT: The purpose of the study is to assess the impact of the Title III program and to assist judgments on the validity of proposals submitted under this program.

DESCRIPTORS: Research and Evaluation Performance, Higher Education, Educational Finance
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0957 **PROJECT NO:** OE 74-19
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: OEC-O-74-9330
COST (In Thousands): FY 74-\$150
PROJECT STATUS: Study completed
START/END DATES: June '74 through April '75

0159

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of the Bilingual Education Program -
Study Two

REPORT TITLE: Study of Bilingual-Bicultural Projects Involving
Native American, Indo-European, Asian, and Pacific
Language Groups (September 1975)
PERFORMER: American Institutes for Research; PO Box 1113;
Palo Alto, CA 94306; Dr. Malcolm Danoff, 415-493-3550
AGENCY CONTACT: Shoemaker, David; Room 3044, FOB-6;
202-245-2371
PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Bilingual Education-LEA
Grants;
E-OE-Elementary & Secondary-Bilingual Education-TA &
Other

ABSTRACT: This is the final report of the second of three studies. The study's objectives were to (1) identify the major issues involved in bilingual-bicultural education for Native American, Indo-European Asian and Pacific language groups; (2) document the goals, approaches, resources or costs that have been affected by these issues; (3) assess the impact bilingual-bicultural education has had in their communities; and (4) recommend possible federal program changes. An in-depth study was conducted of 10 selected projects. The language groups included Chinese, French, Italian, Navajo and other Indian tongues, Pilipino, Portuguese, and several Eskimo tongues. Among the major conclusions: (1) some evidence exists that Title VII is having long-range benefits to the bilingual groups being served; (2) there is a general lack of materials, teaching skills, expertise in planning, materials development, and evaluation at the local project level. One suggested improvement is to provide continuous technical assistance and training throughout the life of projects. (See EDC 0510 for financial data). (Interim report 126 pages).

DESCRIPTORS: Non English Speaking, Native Americans, Educationally Disadvantaged, Compensatory Education, Case or Site Study Feature, Technical Assistance, Survey or Questionnaire Feature

**Education Division
Office of Education**

Accession No. 0162

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0510.1

PROJECT NO: OE/ES 74-27
(14005)

FUND SOURCE: Evaluation

NTIS NO: SHR-0001348

MECHANISM: Contract

ERIC NO: ED 123 894

CONTRACT NO: OEC-0-74-9331

COST (In Thousands): FY 74-Not available, FY 75-Not available,
FY 76-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS,
Available from ERIC

START/END DATES: June '74 through February '75

0160

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: A Study of Dissemination Efforts Supporting
School Improvement

REPORT TITLE: Not yet determined

PERFORMER: The NETWORK; 290 South Main Street; Andover,
MA 01810; David Crandall 617-470-1080

AGENCY CONTACT: Bezdek, Ann; 3049, FOB-6; 202-245-7997

PROGRAM(S) EVALUATED:

E-OE-Special Projects & Training-National Diffusion
Program;

E-OE-Elementary & Secondary-Follow Through

ABSTRACT: The study's central concern is determining what actions policymakers can take to foster effective improvement efforts in schools. The study will identify the important factors affecting school improvement efforts and examine the dynamics of implementation at the local level. In addition, the study will describe major dissemination initiatives aimed at local school improvement and examine the behavior and influence of external dissemination agents and their sponsors. The study will focus primarily on USOE programs, but will also look at efforts initiated at the State and local levels.

DESCRIPTORS: Information Dissemination, Technical Assistance, Research and Evaluation Planning and Administration, Social Research, Federal Administration, State or Local Administration
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1605

PROJECT NO: OE/ES-78-6

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-78-0527

COST (In Thousands): FY 78-\$2117

PROJECT STATUS: Study in process

START/END DATES: September '78 through June '81

0161

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Study of ESEA Title I Allocation Formula

REPORT TITLE: Not yet determined

PERFORMER: National Bureau of Standards; Gaithersburg, MD

AGENCY CONTACT: Spitzer, Murray; 202-245-8844

PROGRAM(S) EVALUATED:

E-OE-School Assistance to Federally Affected
Areas-Payments to Federal Agencies

ABSTRACT: The purposes of this project are to analyze various formulas for the distribution of Title I funds to local educational agencies; the means by which such funds may be concentrated in school attendance areas with the highest concentration of children from low-income families; and the appropriateness of the Federal percentage and the low-income factor provided for in Title I. A computer model of the allocation formula will be used in the analysis.

DESCRIPTORS: Benefit-Cost Analysis Feature, Low-Income Population, Educational Administration, Federal Administration
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0950

PROJECT NO: OE-74-2

FUND SOURCE: Not yet determined

NTIS NO: Not yet determined

MECHANISM: Transfer

ERIC NO: Not yet determined

CONTRACT NO: Not available

COST (In Thousands): FY 73-\$450, FY 74-\$20

PROJECT STATUS: Study completed

START/END DATES: April '71 through June '74

0162

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Study of ESEA Title VII Funded and Other
Bilingual Education Teacher Training Programs.

REPORT TITLE: Not yet determined

PERFORMER: RMC Research Corporation; 2570 West El Camino
Real; Mountain View, CA 94040; Maryanne McKay,
415-941-9550

AGENCY CONTACT: Okada, Tetsuo; Rm. 3044, FOB-6;
202-245-7875

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-Bilingual Education-Training
Grants

ABSTRACT: The goal of this study is to better understand the nature of the current training activities for individuals associated with bilingual education programs and the degree to which such activities produce the needed number of bilingual teachers. The primary objectives are: (1) to describe the characteristics of teacher training programs operated by institutions of higher education and the nature of the instruction provided; and (2) to estimate the number of bilingual education teachers being trained, the number receiving degrees or certificates, the number of individuals entering the pool of available bilingual education teachers and the degree to which these individuals subsequently participate in bilingual education programs.

DESCRIPTORS: Non English Speaking, Education Manpower, Higher Education, Educational Techniques

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1624

PROJECT NO: OE/ES 79-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO.: 300-79-0040

COST (In Thousands): FY 79-\$410

PROJECT STATUS: Study in process

START/END DATES: December '78 through January '81

PROJECT DESCRIPTIONS

0163

AGENCY SPONSOR: Education Division
Office of EducationPROJECT TITLE: A Study of Federal Technical and Financial
Assistance Programs Designed to Facilitate School
Desegregation

REPORT TITLE: None

PERFORMER: TEAM Associates; 1522 K Street, N.W.;
Washington, D.C. 20005 Joe Wroebel, 202-785-4966

AGENCY CONTACT: Ozanne, Dan; Rm 3040, FOB-6; 202-245-8364

PROGRAM(S) EVALUATED:
E-OE-Emergency School Aid

ABSTRACT: This is one of a series of studies to analyze the impact of Federal school desegregation policy as it evolved through judicial, legislative and executive actions in the 23 years since the Brown decision. The study will be directed at those Federal programs such as ESAA which have sought to promote and facilitate school desegregation through technical and financial assistance. The study will survey and compare mandates, purposes, implementation and impact of present Federal programs related to desegregation and/or expansion of educational opportunities for minority children. A major focus of the study will be the role of the Federal program officers in the implementation of the programs and the impact of program officer activities in the implementation of Federal programs at the school district level. (Report is for internal use only.)

DESCRIPTORS: Civil Rights and Integration, Elementary and Secondary Students, Minority Groups, Technical Assistance, Educationally Disadvantaged

EVALUATION TYPE: Program Strategy

EDC ID NO: 1482 PROJECT NO: OE/ES-77-8 (17012)

FUND SOURCE: Evaluation NTIS NO: None

MECHANISM: Contract ERIC NO: None

CONTRACT NO: 300-77-0323

COST (In Thousands): FY 77-\$70

PROJECT STATUS: Study completed

START/END DATES: September '77 through July '78

0164

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Study of HEA Title IV Graduate Programs

REPORT TITLE: None

PERFORMER: Applied Management Sciences; 962 Wayne Ave.,
Suite 701; Silver Spring, MD 20910; 301-585-8181AGENCY CONTACT: Hershner, Ann; Rm 4087, FOB-6;
202-245-8130

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Education;
E-OE-Higher Education-Personnel Development-Mining
Fellowships;
E-OE-Higher Education-Personnel Development-Public
Service Fellowships

ABSTRACT: Thirty-two graduate deans have written papers on graduate education at their institutions. The purpose of this study is to establish a data base which depicts the state of the art in the world of graduate education today and also indicates how the Title IX graduate programs fit in. This study will provide a basis from which the Title IX program can be evaluated in future years per Congressional mandate. (Report is for internal use only.)

DESCRIPTORS: Higher Education, Scholarships Fellowships and Loans

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1490

PROJECT NO: OE/PS-77-16
(37006)

FUND SOURCE: Evaluation

NTIS NO: None

MECHANISM: Contract

ERIC NO: None

CONTRACT NO: 300-77-0538

COST (In Thousands): FY 77-\$47

PROJECT STATUS: Study completed

START/END DATES: October '77 through February '78

0165

AGENCY SPONSOR: Education Division
Office of EducationPROJECT TITLE: Study of Impact of Student Financial Aid
Programs --Phase II

REPORT TITLE: Not yet determined

PERFORMER: Higher Education Research Institute; 924 Westwood
Blvd., Suite 85; Los Angeles, CA 90024; Lewis Solmon,
213-678-6556AGENCY CONTACT: Haines, John; Room 4087, FOB-6;
202-245-8130

PROGRAM(S) EVALUATED:

E-OE-Higher Education-Student Assistance

ABSTRACT: The purpose of this study is to determine what happens to institutions of post-secondary education and their students when they receive federal student aid. Consideration will be given the kinds and amounts of Federal aid, possible student responses and institutional policy changes. Part I, Design-Study, was awarded to the Higher Education Research Institute and was completed in April 1975. Part II Data Collection and Analysis, covers initial data collection in Fall 1975.

DESCRIPTORS: Scholarships Fellowships and Loans, College Students, Higher Education, Statistical Data Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 0922

PROJECT NO: OE/PE-75-12
(35004)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-75-0382

COST (In Thousands): FY75-\$677, FY 76-\$453

PROJECT STATUS: Study in process

START/END DATES: July '75 through June '78

0166

AGENCY SPONSOR: Education Division
Office of EducationPROJECT TITLE: Study of Information Requirements for the
National Reading Improvement Program

REPORT TITLE: Not yet determined

PERFORMER: Applied Management Sciences; 962 Wayne Ave.,
Suite 701; Silver Spring, MD 20910; 301-585-8181AGENCY CONTACT: Maroney, Robert; Rm. 4083, FOB-6;
202-245-8380

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-Right to Read

ABSTRACT: The objectives of this study are to establish specific National Reading Improvement Program management information requirements, and to design and implement a manual/automated information system that will efficiently aid the NRIP to manage, evaluate, and report on all

DESCRIPTORS: Information Systems, Management Information and Reporting, Compensatory Education, Discretionary and Project Grant Performance

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1457

PROJECT NO: OE/OHD 76-26
(86001)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-76-0369

COST (In Thousands): FY 76.1-\$258, FY 77-\$120, FY 78-\$39

PROJECT STATUS: Study completed

START/END DATES: July '76 through February '79

0167

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Study of Late Funding of Elementary and Secondary Programs

REPORT TITLE: A Study of Late Funding of Elementary and Secondary Education Programs

PERFORMER: Peat, Marwick, Mitchell & Co; 1025 Connecticut Ave., N.W.; Washington, D.C. 20036; Larry Herman 202-223-9525

AGENCY CONTACT: Spitzer, Murray; Room 4079, FOB-6; 202-245-8195

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary;

V-Many HEW Programs-Education

ABSTRACT: This project made a study of the extent to which late funding of elementary and secondary education handicaps local education agencies in their planning, and the extent to which program quality is affected. In Phase I, a catalog listing each OE elementary and secondary program for FY 1975 was prepared. The catalog includes data on the size of the program, the range of grant awards, and the dates of key congressional and USOE actions during the funding process. Phase II involved a nationwide telephone survey of 400 local and State education agencies to obtain structured information on the impact of late and uncertain funding on program effectiveness, personnel administration, financial and administrative management, and community expectations. In Phase III, site visits were made to 34 State and local agencies to conduct more detailed interviews, review records, and obtain narrative examples of problems. Interviews were also held with Congressional staff and interest groups. Findings indicate a variety of administrative and programmatic problems created by late or uncertain funding. Recommendations include: (1) expansion of advance and forward funding; (2) encouragement of local agency use of ESEA Title I holdharmless provision; (3) maintenance of carryover provisions, but with administrative guidelines; (4) minimization of multiyear funding; and (5) revision of ESEA Title I data collection requirements. (Final report 146 pages)

DESCRIPTORS: Elementary Education, Secondary Education, Budgeting, Planning, Educational Administration, Federal Administration, Grant and Contract Management, Educational Finance, Federal State and Local Relations, Survey or Questionnaire Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0504

PROJECT NO: OE/ES 75-3
(15003)

FUND SOURCE: Evaluation

NTIS NO: PB 259-826

MECHANISM: Contract

ERIC NO: ED 120 963

CONTRACT NO: 300-75-0211

COST (In Thousands): FY 75-\$70, FY 76-\$33

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS,
Available from ERIC

START/END DATES: April '75 through February '76

0168

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Study of Library Cooperative Networks and Demonstration Programs and Projects

REPORT TITLE: Study of Library Cooperative Networks, Demonstration Projects

PERFORMER: Applied Management Sciences, 962 Wayne Ave., Suite 701; Silver Spring, MD 20910; Dr. Vinita Mathur; 301-585-8181

AGENCY CONTACT: Kirschenbaum, Arthur; Rm. 4083, FOB-6; 202-245-8380

PROGRAM(S) EVALUATED:

E-OE-Library Resources;

E-OE-Library Resources-Public Libraries;

E-OE-Library Resources-Training & Demonstration

ABSTRACT: This is a national study of Interlibrary Cooperation and Networking (LSCA III) and Library Research & Demonstration (HEA II B). Data was obtained at the Federal, State, and Project level by memos of mail questionnaire, telephone interviews and on site visits. Program effectiveness was adjudged through services, finances, personnel, evaluation, and dissemination of program information. Inquiry was also made relevant to policy and operation. The final results propose restructuring existing Federal Library legislation to conform to a three stage model, consisting of: Innovation/knowledge Building, Testing/Capacity Building, and Program Operation. The HEA IIB Program was found to have significant impact on the professional community, but certain internal and external revisions were proposed. The contractor proposed increased annual funding of \$3 million for this program. It was found that LSCA III has impacted the development and expansion of library cooperation in a number of ways, and that over \$11 million of LSCA Title I funds in FY 76 were spent for Title III purposes. An annual funding level of \$10 million was proposed. The report made recommendations in the following four areas: 1) The need to define and focus the role of the two programs; 2) the need to provide linkages 3) the need to upgrade Federal and State program management, and 4) the need for USOE to reconsider its role as a major funder of libraries. (Final report 2 Vols)

DESCRIPTORS: Library Services, Federal State and Local Relations, Demonstration Projects, Survey or Questionnaire Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1455

PROJECT NO: OE/OHD 76-24
(66001)

FUND SOURCE: Evaluation

NTIS NO: PB 282-526,527

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-76-0464

COST (In Thousands): FY 76.1-\$209, FY 77-\$2, FY 78-\$25

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: September '76 through March '78

0169

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Study of Non-Residential Post-Secondary Student Expenditures

REPORT TITLE: A Study of Non-Residential Postsecondary Student Expenditures

PERFORMER: Applied Management Services; 962 Wayne Ave.,
Suite 701; Silver Spring, MD 20910; Robert Deane;
301-585-8181

AGENCY CONTACT: Kuch, Peter; Room 4087, FOB-6;
202-245-7884

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Student Assistance

ABSTRACT: This study was intended to provide information about the out-of-pocket expenses for food, housing, transportation, and miscellaneous personal expenses of non-resident postsecondary students, both self-supporting and dependent. The data was to be used to establish norms for student preparation and review of institutional applications for funds under the campus-based student aid programs (College Work-Study, National Direct Student Loans, and Supplemental Educational Opportunity Grants). Applications under these programs are justified on the basis of needs of their students, defined as the difference between student costs and other resources available to the students. The study sought geographically and institutionally representative estimates. The report presents estimates of these costs. However, it concludes that the existing data are contradictory, incomplete, and erroneous. For example, many of the surveys count only costs paid for by the student, not actual resources consumed, and thus understate e.g., the costs of being at home or of health care covered by parents' insurance. It concludes that data of sufficient quality to formulate policy in this area do not now exist. (Final report 199 pages).

DESCRIPTORS: College Students, Higher Education, Scholarships Fellowships and Loans, Regulation and Standard Setting, Means Testing, Client Records, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1451 **PROJECT NO:** OE/PS 76-20
(36009)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** ED138156
CONTRACT NO: 300-76-0535
COST (In Thousands): FY 76.1-\$43
PROJECT STATUS: Study completed,
Available from EDC,
Available from ERIC
START/END DATES: July '76 through January '77

0170

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Study of Parental Involvement in Various Programs

REPORT TITLE: Not yet determined

PERFORMER: System Development Corp; 2500 Colorado Avenue;
Santa Monica, CA 90406; Dr. Raymond Steward
213-829-7511

AGENCY CONTACT: Ozenne, Dan; Rm. 3040, FOB-6;
202-245-8364

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Bilingual Education;
E-OE-Elementary & Secondary-Follow Through;
E-OE-Elementary & Secondary-Grants for
Disadvantaged-LEAs;
E-OE-Emergency School Aid

ABSTRACT: This study investigates the nature and extent of parental involvement activities, both formal and informal, in and among four Federal education programs. The four programs, Follow Through, Bilingual, Emergency School Aid, and Title I all require some form of parental participation, usually in the form of Parent Advisory Councils. Little, however, is known about the operation of such councils. Even less is known about other activities such as paraprofessional aides, volunteer and tutoring programs, or other activities in which parents take part. The study will be conducted in two stages. The first stage will involve a survey of a sample of LEAs and schools representing the four programs and will focus on formal, program funded activities. The second stage will be a site study of a subsample of the survey group. The major focus of this study will be an in-depth analysis of the factors which influence parental involvement and the consequences of such involvement.

DESCRIPTORS: Paraprofessionals, Case or Site Study Feature, Citizen Participation, Survey or Questionnaire Feature, Program Coordination

EVALUATION TYPE: Program Strategy

EDC ID NO: 1601 **PROJECT NO:** OE/ES-78-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-78-0437
COST (In Thousands): FY 78-\$639
PROJECT STATUS: Study in process
START/END DATES: September '78 through October '80

0171

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Study of Program Management Procedures in the Campus-Based and Basic Grant Programs

REPORT TITLE: Not yet determined
PERFORMER: Applied Management Sciences; 962 Wayne Ave.,
Suite 701; Silver Spring, MD 20910; Robert Deane,
301-585-8181
AGENCY CONTACT: Ratnofsky, Alex; Rm 4087, FOB-6;
202-245-8130

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Student Assistance

ABSTRACT: The purpose of this study is to describe, evaluate, and, where appropriate, recommend improvements upon existing procedures in the management and operations of the Campus-Based and Basic Grant programs. Specific procedures have been identified and will be analyzed in terms of their impact upon specific objectives related to Federal and State governments, students and institutions of post-secondary education.

DESCRIPTORS: College Students, Fraud and Abuse, Information Dissemination, Information Systems, Management Techniques, Scholarships Fellowships and Loans

**Education Division
Office of Education**
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1487

PROJECT NO: OE/PS-77-13
(37003)

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: 300-77-0498

COST (In Thousands): FY 77-\$579, FY 78-\$588

PROJECT STATUS: Study in process

START/END DATES: October '77 through March '80

NTIS NO: Not yet determined

ERIC NO: Not yet determined

0172

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Study of Selected Institutions and Students
Participating in the Federally Insured Student Loan Program

REPORT TITLE: Not yet determined

PERFORMER: Cresap, McCormick and Paget; 1776 K St., N.W.;
Washington, D.C.; Mike Cooperman, 202-833-8350

AGENCY CONTACT: Morrissey, Daniel; Rm. 4087, FOB 6;
202-245-8130

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Student Assistance-Subsidized
Insured Loans

ABSTRACT: The study will assess the role and performance of educational institutions which function as direct lenders in the Federally Insured Student Loan Program as well as commercial banks which purchase student loan notes from such institutions. Institutional officials and on-campus borrowers will be surveyed.

DESCRIPTORS: College Students, Scholarships Fellowships and Loans, Survey or Questionnaire Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1449

PROJECT NO: OE/PS 76-18
(36007)

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: 300-76-0514

COST (In Thousands): FY 76.1-\$279, FY 78-\$170

PROJECT STATUS: Study completed

START/END DATES: September '76 through July '79

NTIS NO: Not yet determined

ERIC NO: Not yet determined

0173

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Study of Sources of Loan Funds for Students and
Their Parents

REPORT TITLE: Not yet determined

PERFORMER: Higher Education Fin. Res. Inst.; Office of VP for
Administration; University of Pennsylvania; Philadelphia, PA
19104; Kurt Kendis 215-243-6814

AGENCY CONTACT: Kuch, Peter; Rm. 4087, FOB-6; 202-245-7885

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Student Assistance-Subsidized
Insured Loans

ABSTRACT: This study will identify and catalogue all sources of educational loans for students and their parents. It will describe the terms under which such loans are made, annual loan volumes and the socioeconomic characteristics and geographic locations of the bor-

rowers served. The information will be gathered from the most appropriate Federal agencies, private sector lenders or organizations representing these lenders. Total annual loan volumes in aggregate, and by type of loan, will be calculated. Geographic and socioeconomic patterns of loan utilization in aggregate and by type of loan would be described and compared.

DESCRIPTORS: College Students, Educational Finance, Scholarships Fellowships and Loans, Federal State and Local Relations, Information Processing

EVALUATION TYPE: Program Impact

EDC ID NO: 1615

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: 300-78-0440

COST (In Thousands): FY 78-\$71

PROJECT STATUS: Study in process

START/END DATES: September '78 through December '79

PROJECT NO: OE/PS-78-16

NTIS NO: Not yet determined

ERIC NO: Not yet determined

0174

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: A Review of State Oversight in Postsecondary
Education

REPORT TITLE: A Study of State Oversight in Post-Secondary
Education

PERFORMER: American Institutes of Research P.O. Box 1113;
Palo Alto, CA 94302; Stephen Jung, 415-493-3550

AGENCY CONTACT: Green, William; Rm 4087, FOB 6;
202-245-7884

PROGRAM(S) EVALUATED:
E-OE-Higher Education

ABSTRACT: The purpose of this study was to provide an accurate appraisal of the current status of State authorization and oversight of higher education, especially as these function to provide eligibility to participate in federal assistance programs. The study involved a comprehensive survey of all 50 States to gather documents (laws, regulation, policies, practices and conditions which indicate the degree to which State oversight provides consumer protection by preventing or correcting abusive and potentially institutional abuses of students. Attention was given to the suggestions from State officials on how USOE might provide assistance to them in carrying out their authorizing/oversight responsibilities. Additionally, the study focused on procedures for allowing the Division of Eligibility and Agency Evaluation (DEAE) to maintain information on current status of State laws, regulations, and enforcement mechanisms.

DESCRIPTORS: Higher Education, Planning, Accreditation of Institutions, Certification and Licensing, Regulation and Standard Setting, Grant and Contract Management, State or Local Administration, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1447

PROJECT NO: OE/PS 76-16
(36005)

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: 300-76-0377

COST (In Thousands): FY 76.1-\$247, FY 78-\$37

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS,
Available from ERIC

NTIS NO: PB 282-457

ERIC NO: ED 150931, 155956

PROJECT DESCRIPTIONS

START/END DATES: July '76 through January '78

0175

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: A Study of State Programs in Bilingual Education

REPORT TITLE: A Study of State Programs in Bilingual Education

PERFORMER: Development Associates; 1521 New Hampshire
Ave. N.W.; Washington, D.C. 20036; Tony Montalvo
202-332-5293AGENCY CONTACT: Okada, Tetsuo; Rm 3040, FOB-6;
202-245-7875

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-Bilingual Education-LEA
Grants;

E-OE-Elementary & Secondary-Support & Innovation

ABSTRACT: This study was designed to describe and analyze state programs in the field of bilingual education, including authorizing legislation, identification of goals and objectives, mandated or prohibited approaches or practices, and the specific state requirements for staff qualifications, training activities, materials and technology, student/teacher ratios, evaluation and planning. The study found that in most states bilingual education leadership was lacking with regard to financial support. While most states agree with the philosophy of bilingual education goals, they do a minimum to support the implementation of these goals with State-funded activities. State capabilities and activities for coordinating and providing technical assistance to bilingual education programs are very low. The study findings indicate a pattern of motivation for adopting bilingual education philosophy and concepts based more on political rather than educational considerations, and couched in federal financing rather than state financing terms. The report is accompanied by three supporting volumes; Vol I, "Report on Extra-State Jurisdictions"; Vol II, "Case Studies of Noteworthy Projects in Bilingual Education"; and Vol III "Inventory of Bilingual Education Provisions in State Legislation". (Final report 78 pp. plus 3 volumes).

DESCRIPTORS: Educational Administration, Non English Speaking, Minority Groups, Bibliography Feature, Technical Assistance, Educational Finance, Evaluation by State and Local Governments, Legal Issues

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0916

PROJECT NO: OE/ES-75-4 (15004)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 300-75-0353

COST (In Thousands): FY 75-\$350, FY 77-\$1

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: July '75 through March '77

0176

AGENCY SPONSOR: Education Division
Office of EducationPROJECT TITLE: Study of Supplemental Training Available to
Follow Through Parents and Aides

REPORT TITLE: Not yet determined

PERFORMER: Rehab Group, Inc; 3827 Columbia Pike; Falls
Church, VA 22041 Lorenzo Reid 703-820-4330AGENCY CONTACT: Okada, Tetsuo; Rm. 3044, FOB-6;
202-245-7875

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-Follow Through

ABSTRACT: The principal objective of this study is to present a historical account of the Supplementary Training component of Follow Through by gathering information concerning characteristics of projects, participants and training received. Budgetary information, numbers of students enrolled, dropouts, degrees conferred, difficulties and achievement of nontraditional students, and present employment status of recipients will be documented for future conduct of this program.

DESCRIPTORS: Adult Education, Training and Employment of the Disadvantaged, Education Manpower

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1606

PROJECT NO: OE/ES-78-7

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 301-78-0527

COST (In Thousands): FY 78-\$51

PROJECT STATUS: Study in process

START/END DATES: September '78 through July '80

0177

AGENCY SPONSOR: Education Division
Office of EducationPROJECT TITLE: Evaluation Study of Education Professions
Development Act Training Programs for Higher Education
PersonnelREPORT TITLE: A Study of the Education Professions
Development Act Training Programs for Higher Education
PersonnelPERFORMER: Abt Associates; 55 Wheeler Street; Cambridge, MA
02138AGENCY CONTACT: Morrissey, Dan; Rm 4087, FOB-6;
202-245-8130

PROGRAM(S) EVALUATED:

E-OE-Higher Education-Personnel Development-College
Teacher Fellowships

ABSTRACT: This is a study of the Education Professions Development Act (EPDA) training programs for higher education personnel. The study addressed three major concerns: (1) What new professional training does American higher education need (2) how do current EPDA V-E programs address these needs and (3) what new developments seem likely to affect training needs in the future? The study presents a legislative review of EPDA. Emphasis is placed on the legislative environment and objectives, manpower supply and demand, information, and legislative development. A three-faceted approach was used in the study: a survey to assess training needs; a profiling system to collect and organize EPDA V-E program information on a regular basis; and a set of case studies of institutions representing important new trends in higher education. (Final report four volumes and summary).

DESCRIPTORS: Higher Education, Federal Role, Education Manpower, Educational Finance, Economic Analysis Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1467

PROJECT NO: OE/PS-71-7 (31204)

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: ED 076170-3,081310

CONTRACT NO: OEC-0-71-3712

**Education Division
Office of Education**

COST (In Thousands): FY 71-\$255
PROJECT STATUS: Study completed,
 Executive summary at EDC,
 Available from ERIC
START/END DATES: June '71 through February '73

0178

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: A Study of the effects of Selected ESAA
 supported Activities on Inter-Group Relations and Basic
 Skills

REPORT TITLE: Not yet determined
PERFORMER: Systems Development Corporation; 2500 Colorado
 Avenue; Santa Monica, CA 90506; Dr. Jean Welusch,
 213-829-7511
AGENCY CONTACT: Burnes, Judy; Rm 3040, FOB-6; 202-245-8364
PROGRAM(S) EVALUATED:
 E-OE-Emergency School Aid-State Apportionment Projects

ABSTRACT: The study's objectives are to: (1) describe and determine the incidence of a broad range of "human relations" activities in ESAA funded projects and contrast these with the presence of compensatory efforts; (2) examine the relationship between different kinds of "human relations" activities and improvement in intergroup relations; and ascertain the role compensatory education activities play in this improvement; and (3) describe in detail the origins, nature and results of successful programs/projects so that they are available for utilization by other school systems. A nationally representative sample of ESAA fifth and tenth grade schools will be queried concerning the nature and extent of their human relations and compensatory education activities. The next year, the students in a selected subsample of schools at the fifth grade level will be pre-and post-tested using a battery of intergroup relations, and affective measures, and detailed information will be obtained on their exposure to different types of "human relations" and compensatory activities. Schools will also be visited by teams of trained observers to explore the nature of program performance and unusually effective programs will be visited to document their practices in detail.

DESCRIPTORS: Elementary and Secondary Schools, Compensatory Education, Civil Rights and Integration, Minority Groups, Testing and Tests, Survey or Questionnaire Feature, Case or Site Study Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 1480 **PROJECT NO:** OE/ES-77-6 (17010)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-77-0327
COST (In Thousands): FY 77-\$1,174, FY 78-\$1,464
PROJECT STATUS: Study in process
START/END DATES: August '77 through December '80

0179

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: Study of the Extent of Sex Discrimination and
 Stereotyping in Vocational Education and of Methods to
 Eliminate Such Inequities

REPORT TITLE: Not yet determined
PERFORMER: American Institutes for Research; P.O. Box 1113;
 Palo Alto, CA 94302; Laurie Harrison, 415-493-3550
AGENCY CONTACT: Shuler, Dorothy; Rm 4083, FOB-6;
 202-245-8877

PROGRAM(S) EVALUATED:
 E-OE-Occupational & Adult Education-Vocational
 Grants-Basic

ABSTRACT: Mandated by P.L. 94-482, Section 523(A), this study will assess the extent of sex discrimination and sex stereotyping in vocational education, as well as the extent of efforts to reduce such inequities in the occupations for which vocational students train. The study includes an extensive review of secondary data and literature as well as the collection of primary data in 51 States and 100 schools.

DESCRIPTORS: Career or Vocational Education, Women, Minority Groups, Literature Review Feature, Civil Rights and Integration
EVALUATION TYPE: Program Strategy

EDC ID NO: 1495 **PROJECT NO:** OE/OHD-77-21
 (27001)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-77-0318
COST (In Thousands): FY 77-\$904
PROJECT STATUS: Study in process
START/END DATES: July '77 through March '79

0180

AGENCY SPONSOR: Education Division
 Office of Education

PROJECT TITLE: Study of Impact of Federal Student Aid
 Programs; Phase I

REPORT TITLE: A Study of the Impact of Student Financial Aid
 Problems, Phase I
PERFORMER: Higher Education Research Institute; 924 Westwood
 Blvd; Los Angeles, CA 90024
AGENCY CONTACT: Okada, Tetsuo; 202-245-7884
PROGRAM(S) EVALUATED:
 E-OE-Higher Education;
 E-OE-Higher Education-Student Assistance-Direct Student
 Loans

ABSTRACT: Student assistance has become a major Federal thrust with a number of new programs being introduced by the Education Amendments of 1972. The number of students and new institutions participating in Federal aid programs is increasing and the need to assess the impact of the Federal programs on students and institutions is urgent. The primary purpose of the study is to examine the adjustments made by institutions in response to the new Federal funding patterns and on the ways in which students now finance their education. (To be completed).

DESCRIPTORS: Scholarships Fellowships and Loans, Higher Education, College Students
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0547 **PROJECT NO:** OE/PE 74-17
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: OEC-0-74-9181
COST (In Thousands): FY 74-\$93, FY 75-\$15

PROJECT DESCRIPTIONS

PROJECT STATUS: Study completed
START/END DATES: June '74 through April '75

0181

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Study of the Inexpensive Book Distribution Program

REPORT TITLE: Not yet determined

PERFORMER: General Research Corporation; 7655 Old Springhouse Road; McLean, VA 22102; Philip Silvers, 703-893-5900

AGENCY CONTACT: Rattner, Edward; Rm. 4083 FOB-4; 202-245-8380

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Right to Read

ABSTRACT: The objectives of this study are to analyze the effectiveness of the Reading is Fundamental (RIF) system of book distribution and to analyze the role "reading motivation" and the RIF organization in generating greater reading activity among school age children.

DESCRIPTORS: Cost-Effectiveness Analysis Feature, Statistical Analysis Methods Feature, Budgeting, Planning, Research and Evaluation Performance

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1623

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: 300-78-0400

COST (In Thousands): FY 78-\$119

PROJECT STATUS: Study in process

START/END DATES: September '78 through August '80

PROJECT NO: OE/OHD 78-24

NTIS NO: Not yet determined

ERIC NO: Not yet determined

0182

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of the Talent Search and Upward Bound Programs

REPORT TITLE: A Study of the National Upward Bound and Talent Search Programs

PERFORMER: Research Triangle Institute; P.O. Box 12194; Research Triangle Park, NC 27709

AGENCY CONTACT: Berla, Robert; 202-245-8130

PROGRAM(S) EVALUATED:

E-OE-Higher Education-Special Programs for

Disadvantaged-Upward Bound;

E-OE-Higher Education-Special Programs for

Disadvantaged-Talent Search

ABSTRACT: A review of the literature relevant to the Upward Bound (UB) and Educational Talent Search (TS) programs is reported in Volume I in order to provide input for the study of the programs. These special support programs are designed to help students who are at an educational disadvantage to raise their levels of interests and capability for pursuing higher education. In Volume II, estimates of the target populations for the UB and TS programs are presented. Volume III describes the scope and nature of the TS program, including its operations, the educational background and training of its key staff, and the general characteristics of its clientele. The results of a

study of the UB Program are reported in Volume IV. The purpose of the study was to evaluate two of the program's major objectives: (1) to increase the high school retention rate of its participants and (2) to increase the rate of entry of its participants into postsecondary institutions. It surveyed thousands of UB participants and comparable students not in UB and found minor increases in the rate of high school completion and substantial differences in the rate of entry. However, little credence can be given to these differences because UB Students are selected precisely because they have college aspirations. Additional findings relate to the operation (generally positive) and composition (generally minority and low income) of the program. (Vols. I thru IV 841 pages and appendix 700 pages).

DESCRIPTORS: Higher Education, Supportive Services, Educationally Disadvantaged, Literature Review Feature, Survey or Questionnaire Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 0541

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: OEC-0-73-7052

COST (In Thousands): FY 73-\$512, FY 74-\$47, FY 75-\$44

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS,

Available from ERIC

START/END DATES: June '73 through April '76

PROJECT NO: OE/PE 73-9

NTIS NO: SHR-0001485 to 1490

ERIC NO: ED 1219945,131190-3

0183

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Study of Bilingual Curriculum Development Activities

REPORT TITLE: A Study of the State of Bilingual Materials Development and the Transition of Materials to the Classroom

PERFORMER: Development Associates, Inc.; 1521 New Hampshire Avenue, NW; Washington, D.C. 20036; Wilbur Knerr, 202-332-5293

AGENCY CONTACT: Okada, Tetsuo; Rm 3040 FOB 6; 202-245-7875

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-Bilingual Education-Material Development

ABSTRACT: The purpose of this study is to describe and evaluate the present state of bilingual curriculum development and to make recommendations for improvements in the system of production and distribution of bilingual materials. Major tasks to be performed in accomplishing this purpose include 1) creating an inventory of bilingual curriculum materials; 2) surveying Title VII Centers and commercial publishers and distributors on their development and distribution of materials; and 3) surveying a sample of Local Education Agencies (LEAS) on their needs for and uses of specific bilingual education materials. (Final report, Vol. 1; Vol. 2 Inventory; Vol. 3 LEA Survey.)

DESCRIPTORS: Curriculum Development, Non English Speaking, Bibliography Feature, Survey or Questionnaire Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1441

FUND SOURCE: Evaluation

MECHANISM: Contract

PROJECT NO: OE/ES 76-10

(16022)

NTIS NO: Not yet determined

ERIC NO: Not yet determined

**Education Division
Office of Education**

Accession No. 0186

CONTRACT NO: 300-76-0358
COST (In Thousands): FY 76-1-\$63, FY 77-\$206, FY 78-\$10
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: July '76 through January '79

EDC ID NO: 1489
FUND SOURCE: Evaluation
MECHANISM: Transfer
TRANSFER NO: Not yet determined
COST (In Thousands): FY 77-\$70
PROJECT STATUS: Study completed
START/END DATES: September '77 through September '78

PROJECT NO: OE/PS-77-15
(37005)
NTIS NO: None
ERIC NO: None

0184

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: School Finance Studies

REPORT TITLE: Support for Educational Policy Research Center
PERFORMER: Syracuse University Research Corp.; Merrill Lane;
Syracuse, N. Y. 13210

AGENCY CONTACT: Austin, Phillip; 202-245-8003

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Institutional Aid-University
Community Services

ABSTRACT: OE Supports policy research centers at Syracuse and Stanford Universities. The initial purpose was to perform future research in education to provide guidance for long-term policy. The mission of the centers has been broadened to provide guidance to the entire education community.

DESCRIPTORS: Higher Education, Educational Administration
EVALUATION TYPE: Support

EDC ID NO: 0932
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: OEC-0-72-5016,5017
COST (In Thousands): FY 70-\$45, FY 71-\$450, FY 72-\$471, FY 73-\$550, FY 74-\$295, FY 75-\$295
PROJECT STATUS: Study completed
START/END DATES: July '71 through September '75

PROJECT NO: OE/GEN 74-7
NTIS NO: Not yet determined
ERIC NO: Not yet determined

0185

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Support of Higher Education Panel

REPORT TITLE: None
PERFORMER: American Council on Education; One Dupont Circle; Washington, D.C. 20036; Frank Atelsek, 202-833-4757
AGENCY CONTACT: Ratnofsky, Alex; Rm 4087, FOB-6; 202-245-8130

PROGRAM(S) EVALUATED:
E-OE-Higher Education

ABSTRACT: The Higher Education Panel of the American Council on Education is an ongoing survey research program created in 1971. Its purpose is to conduct small-scale surveys on topics of current policy interest to the higher education community and to government agencies. The Panel is based upon a net work of campus representatives at 760 colleges and universities broadly representative of the more than 3,000 institutions of higher education in the United States. The project is administered through the National Science Foundation. (Report for internal use only.)

DESCRIPTORS: College Students, Higher Education
EVALUATION TYPE: Research and Data Development

0186

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Survey of Literature in Support of Affirmative Action in Professional Education

REPORT TITLE: Support of Preferential Admissions and Affirmative Action in Higher Education: Pre-and Post-Bakke Considerations

PERFORMER: Blackwell, James; 416 Massapong Ave.; Sharon, MA 02067

AGENCY CONTACT: Corrallo, Sal; Rm 4087, FOB-6; 202-245-8057
PROGRAM (S) EVALUATED:
DM-Office for Civil Rights;
E-OE-Higher Education

ABSTRACT: The purpose of this study was to demonstrate need for Affirmative Action or Preferential Admissions in higher education and specifically in graduate and professional schools. Parameters by which this need is measured in the study include historical considerations of minority group experience in the U.S.; an examination of contemporary educational and economic status of selected minority groups and of adverse effects of discrimination; empirical data to demonstrate why a professionally educated group is required among minorities; an explanation of the legal bases for affirmative action programs; an assessment of traditional and non-traditional (or cognitive and non-cognitive) criteria utilized in admissions decisions; types of effective special and enrichment programs; the under-representation of minorities in graduate and professional educational fields; and finally a projection of what could happen if Bakke wins. Specific attention is given to Blacks, Chicanos, Indians, Asians, and Puerto Ricans. This paper was sent to Justice Dept. to be used in its preparation of the Government's position in the Bakke case and was subsequently presented before the U.S. Supreme Court. (Final report 229 pages.)

DESCRIPTORS: Higher Education, Medical Training, Scientific Manpower, Minority Groups, Black Americans, Civil Rights and Integration, Literature Review Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1494
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: POO-77-0292
COST (In Thousands): FY 77-\$6
PROJECT STATUS: Study completed
START/END DATES: April '77 through May '77

PROJECT NO: OE/PS-77-20
(37012)
NTIS NO: Not yet determined
ERIC NO: ED-139-351

0187

AGENCY SPONSOR: Education Division
Office of Education**PROJECT TITLE:** Support of Supply and Education Analysis Group
of the Higher Education Panel**REPORT TITLE:** Not yet determined**PERFORMER:** American Council on Education; One Dupont
Circle; Washington, D. C. 20036; Frank Atelsek 202-833-4757**AGENCY CONTACT:** Ratnofsky, Alex; Rm. 4087, FOB 6;
202-245-8130**PROGRAM(S) EVALUATED:**
E-OE-Higher Education**ABSTRACT:** The Higher Education Panel of the American Council on Education is an ongoing survey research program created in 1971. Its purpose is to conduct small-scale surveys on topics of current policy interest to the higher education community and to government agencies. The Panel is based upon a network of campus representatives at 760 colleges and universities broadly representative of the more than 3,000 institutions of higher education in the United States. The project is administered through the National Science Foundation.**DESCRIPTORS:** College Students, Higher Education, Survey or Questionnaire Feature**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 1610**PROJECT NO:** OE/PS-78-11**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Transfer**ERIC NO:** Not yet determined**TRANSFER NO:** EEF-000-2013**COST (In Thousands):** FY 78-\$77**PROJECT STATUS:** Study in process**START/END DATES:** June '78 through September '79**EDC ID NO:** 1627**FUND SOURCE:** Evaluation**MECHANISM:** Contract**CONTRACT NO:** 300-77-0529**COST (In Thousands):** FY 78-\$144, FY 78-\$650, FY 79-\$80**PROJECT STATUS:** Study in process**START/END DATES:** September '77 through March '80**PROJECT NO:** BEH-77-3**NTIS NO:** Not yet determined**ERIC NO:** Not yet determined

0189

AGENCY SPONSOR: Education Division
Office of Education**PROJECT TITLE:** Survey of Borrowers and Lenders in the Federal
Insured Student Loan Program**REPORT TITLE:** A Survey of Lenders in the Guaranteed Student
Loan Program**PERFORMER:** RMC Research Corp; 7910 Woodmont Ave.;
Bethesda, MD 20014; Kenneth F. Gordon**AGENCY CONTACT:** Morrissey, Daniel; 202-245-8130**PROGRAM(S) EVALUATED:**E-OE-Higher Education-Student Assistance-Loan Insurance
Fund**ABSTRACT:** A study to improve information about the Guaranteed Student Loan Program (GSLP) loan and default process was conducted through interrelated surveys of lenders and borrowers. Mail questionnaires concerning institutional operations and the behavior of each borrower were sent to sample GSLP lending institutions. A separate questionnaire was sent directly to each borrower to investigate possible causes of default. It was found that lenders in the program see it as a way to fulfill a legitimate need of the constituent population; nevertheless lenders are interested in the economic return to be gained from participation. The GSLP has not evolved into a student aid program accessible to all students, since lenders often restrict loans to existing customers, to post-freshmen, etc. Default was found to be unevenly distributed, with defaults concentrated in certain geographic areas. It is suggested that the defaulter population can be distinguished from the general GSLP population on several dimensions: higher attendance at vocational schools, higher dropout rates, and weaker relationship with the lender. Among the recommendations are that economic returns to lenders be increased, that either students or colleges be required to notify lenders of address changes, and that administrative costs to lenders be reduced in various ways. (Final report 216 pages.)**DESCRIPTORS:** Scholarships Fellowships and Loans, Federal Administration, College Students, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development**EDC ID NO:** 0546**PROJECT NO:** OE/74-6**FUND SOURCE:** Evaluation**NTIS NO:** SHR-0001370**MECHANISM:** Contract**ERIC NO:** ED 123993**CONTRACT NO:** OEC-0-73-7021**COST (In Thousands):** FY 73-\$266, FY 74-\$18, FY 75-Not
available**PROJECT STATUS:** Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS,
Available from ERIC**START/END DATES:** September '73 through December '75

0188

AGENCY SPONSOR: Education Division
Office of Education**PROJECT TITLE:** Survey of Individualized Educational Programs
Under PL 94-142**REPORT TITLE:** Not yet determined**PERFORMER:** Research Triangle Institute; Research Triangle Park,
N.C.**AGENCY CONTACT:** Morra, Linda; Rm. 3149 Donohoe;
202-472-2535**PROGRAM(S) EVALUATED:**

E-OE-Handicapped-State Assistance

ABSTRACT: The purpose of this study is to determine the nature and quality of the individual educational programs being designed for handicapped children. These programs are at the heart of the service delivery system, and the Congress has asked for a survey of them. The work will be done by Research Triangle Institute (RTI). RTI will spend the 1977-78 school year designing a sampling plan and information gathering techniques. Data collection will occur in school year 1978-79.**DESCRIPTORS:** Handicapping Conditions and Problems, Educational Administration, Children and Youth, Survey or Questionnaire Feature, Educational Techniques, Quality Assurance, Evaluation by State and Local Governments**EVALUATION TYPE:** Program Management or Efficiency

0190

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Survey of Viewership of Television Series
Sponsored by ESAA Legislation

REPORT TITLE: A Survey of Viewership of Television Series
Sponsored by ESAA Legislation

PERFORMER: Applied Management Sciences; 962 Wayne Ave.
Suite 701; Silver Spring, MD 20910; Lewis Rhodes,
301-585-8181

AGENCY CONTACT: Kirschenbaum, Arthur; Rm 4083, FOB-6;
202-245-8380

PROGRAM(S) EVALUATED:
E-OE-Emergency School Aid

ABSTRACT: The objectives of this survey were to determine the availability of ESAA-TV to their target audiences and to determine the viewership of the series by their target audiences. This survey was conducted in May 1977; 14 different ESAA-TV series had gone into production and 11 were available for broadcast. Methodology for the survey of viewership had an in-school and an in-home component. Over 9400 students in grades 1,4,7, and 10 living in areas where ESAA-TV was being broadcast participated in the school survey. The inhome survey was designed to verify the information the students gave in school and to collect additional information about family viewing. A relatively small proportion of children were found to be aware of the TV series. The rates of awareness varied for each ESAA-TV series and each grade, but rarely exceeded 30% and never exceeded 60%. The findings suggested viewers are most attracted to a series designed specifically for their ethnic group. The findings from the teacher/principal survey indicated minimal knowledge of the TV series. Awareness needs to be improved. OE is presently attempting to increase carriage of the TV series through a promotional effort called "Television for all Children." Evaluating the ESSA-TV series needs to be examined to determine if evaluation, particularly formative evaluation, can be more fruitfully utilized to improve the appeal and quality of the series. (Final report 393 pages.)

DESCRIPTORS: Educational Technology, Civil Rights and Integration, Minority Groups, Research and Evaluation Methods Feature, Survey or Questionnaire Feature, Media

EVALUATION TYPE: Program Impact

EDC ID NO: 1499 **PROJECT NO:** OE/OHD-77-25
(67001)

FUND SOURCE: Evaluation **NTIS NO:** PB 291-547
MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: 300-77-0019

COST (In Thousands): FY 77-\$324, FY 78-\$51

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: January '77 through July '78

0191

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Teacher Corps Program 78 and 79 Evaluation

REPORT TITLE: Not yet determined

PERFORMER: SRI International; 333 Ravenswood Avenue; Menlo
Park, CA 94025; Richard Marciano, 415-326-6200

AGENCY CONTACT: Tucker, Eugene; Rm. 4083 ROB-6;
202-245-8380

PROGRAM(S) EVALUATED:

E-OE-Special Projects & Training-Personnel-Teacher Corps

ABSTRACT: The Teacher Corps Program 78 and 79 evaluation project is a longitudinal effort to span five years beginning 1978 with a possible three-year follow up beyond 1983. The evaluation will focus on the four phases of the program--development, operational, institutionalization, and adaption--with extensive data to be collected in a sample of the 80 funded projects and with limited observational data collected from all projects each year. The evaluation will be formative with a summative strategy to be developed for years 4 and 5.

DESCRIPTORS: Higher Education, Education Manpower, Educational Techniques, Low-Income Population, Program Coordination, Statistical Data Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1619 **PROJECT NO:** OE/OHD 78-20

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: 300-78-0289

COST (In Thousands): FY 78-\$2,468

PROJECT STATUS: Study in process

START/END DATES: August '78 through December '83

0192

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Technical Assistance/Special Studies of the
Follow Through Program

REPORT TITLE: Various

PERFORMER: Huron Institute; 123 Mt. Auburn Street; Cambridge,
MA 002138 Walt Haney 617-661-9285

AGENCY CONTACT: Burns, Gerald; Rm 3044 FOB 6;
202-245-2371

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Follow Through

ABSTRACT: This series of studies is designed to supplement the Follow Through Planned Variation experiment. One study compares the progress of children enrolled in each model during Head Start as well as during Follow Through. Other studies in the series examined the objectives of each model, reviewed the techniques used in large major evaluations of Follow Through, synthesized findings of other evaluations performed in both Head Start and Follow Through, and investigated the feasibility of studying program effects on stakeholders other than children. Volumes I through IV are cited with a brief description in Volume V: A Technical History of the National Follow Through Evaluation, which is available at the Evaluation Documentation Center (EDC). Volume V contains major issues confronting the evaluation and the attempts made to resolve them are examined in detail. Part V Appendix contains summaries of five earlier Follow Through evaluation reports not published as part of this series, and an annotated bibliography of other evaluation reports funded by USOE since the inception of the program.

DESCRIPTORS: Testing and Tests, Compensatory Education, Elementary Education, Statistical Analysis Methods Feature

EVALUATION TYPE: Support

EDC ID NO: 0939 **PROJECT NO:** OE/ES 74-31c
(14902)

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: OEC-0-74-0394

COST (In Thousands): FY 74-\$248, FY 75-\$50, FY 76-\$212, FY 77-\$22**PROJECT STATUS:** Study completed,
Available from EDC**START/END DATES:** September '73 through July '78

0193

AGENCY SPONSOR: Education Division
Office of Education**PROJECT TITLE:** Tests of Adult Functional Literacy: An
Evaluation of Currently Available Instruments**REPORT TITLE:** Tests of Functional Adult Literacy: An Evaluation
of Currently Available Instruments**PERFORMER:** Northwest Regional Ed Laboratory; 710 SW
Second Avenue; Portland, Oregon 97204**AGENCY CONTACT:** Maroney, Robert; Rm 4083, FOB-6,
202-245-8177**PROGRAM(S) EVALUATED:**E-OE-Occupational & Adult Education-Adult State Grants;
E-OE-Elementary & Secondary-Right to Read

ABSTRACT: Currently available measures of functional literacy for adults are reviewed and evaluated. This report concentrates on tests that are referenced to literary skills important to an adequately functioning adult, such as life skills, coping skills, etc. Because functional literacy has frequently been defined in terms of a grade level equivalent or some other norm, adult reading tests referenced to a norm group are also included. A common set of 40 criteria categorized under four main headings are used: measurement validity, examinee appropriateness, technical excellence, and administrator usability. The report provides teachers and administrators in Right to Read and other adult education programs a reference for use in identifying and judging the value of tests available for assessing adult functional literacy. To increase its utility as a reference, summaries of a number of tests designed for adults are included. The report consists of six major parts: (1) Problems in Defining and Measuring Literacy; (2) Test Identification; (3) Evaluative Criteria; (4) Test Reviews; (5) Test Evaluations; and (6) Summary. Because many tests of functional literacy are newly developed or still being developed, there may be tests which should have been—but could not be—included in this report. No one set of criteria is appropriate for judging all tests. Thus, these test evaluations must be interpreted with respect to the intended use of each test.

DESCRIPTORS: Adult Education, Testing and Tests, Technical Assistance, Literature Review Feature**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 0925**PROJECT NO:** OE/OHD-75-28
(85001)**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** ED 109265**CONTRACT NO:** 300-75-0098**COST** (In Thousands): FY 75-\$58**PROJECT STATUS:** Study completed,

Available from ERIC

START/END DATES: October '74 through June '75

0194

AGENCY SPONSOR: Education Division
Office of Education**PROJECT TITLE:** Three Aspects of the Financial Aid-College
Matriculation Nexus**REPORT TITLE:** Not yet determined**PERFORMER:** George Catsiapis; 1450 East 55th Place; Chicago, IL
60637; 312-947-9842**AGENCY CONTACT:** Haines, John; Rm. 4087, FOB-6;
202-245-8130**PROGRAM(S) EVALUATED:**

E-OE-Higher Education-Student Assistance

ABSTRACT: This study explores three empirical issues that have arisen in discussions of the effect of financial aid on the decision to continue formal schooling beyond the high school level. These are: (1) What educational expenses? (2) What sort of financial aid and parental support was available to students who chose not to continue beyond high school? (3) What determines how much a student will borrow? Econometric analyses of two existing data bases will be used to answer these questions: The National Longitudinal Study of the High School Class of 1972 and the Survey of Experience Since High School, Spring 1976.

DESCRIPTORS: Economic Analysis Feature, Scholarships Fellowships and Loans, College Students**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 1616**PROJECT NO:** OE/PS-78-17**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not yet determined**CONTRACT NO:** 300-78-0086**COST** (In Thousands): FY 78-\$18**PROJECT STATUS:** Study in process**START/END DATES:** June '78 through July '79

0195

AGENCY SPONSOR: Education Division
Office of Education**PROJECT TITLE:** Title I Project Developer Support System**REPORT TITLE:** Not yet determined**PERFORMER:** The Network; 290 South Main Street; Anover, MA
01810; Susan Harris 617-470-1080**AGENCY CONTACT:** Bezdek, Ann; Rm. 3049, FOB-6;
202-245-7997**PROGRAM(S) EVALUATED:**E-OE-Special Projects & Training-Packaging &
Dissemination;
E-OE-Elementary & Secondary-Grants for
Disadvantaged-LEAs

ABSTRACT: This project is an effort to provide training and services to a group of 21 exemplary Title I projects to assist them in planning and operating dissemination activities whereby they can foster the adoption and implementation of their projects in schools nationwide. In addition, the project will examine the types of assistance needed by project disseminators, determine how such services can best be provided, document the services provided, assess the outcomes of the assistance effort, and provide in a final report recommendations to USOE regarding how technical assistance efforts should be configured in the future.

DESCRIPTORS: Technical Assistance, Educational Administration, Information Dissemination

EVALUATION TYPE: Program Strategy

EDC ID NO: 1604 **PROJECT NO:** OE/ES-78-5
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-78-0013
COST (In Thousands): FY 78-\$93
PROJECT STATUS: Study in process
START/END DATES: November '77 through February '79

0196

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Evaluation of Title IV of the Civil Rights Act of 1964

REPORT TITLE: Title IV of the Civil Rights Act of 1964: A Review of Program Operations; and Expansion of Program Responsibilities

PERFORMER: Rand Corporation; 1700 Main Street; Santa Monica, CA 90406; Nicki King, 213-393-0411

AGENCY CONTACT: York, Robert; Room 3049, FOB-6; 202-245-7997

PROGRAM(S) EVALUATED:
E-OE-Emergency School Aid-Training & Advisory Services;
DM-Office for Civil Rights

ABSTRACT: Under title IV of the 1964 Civil Rights Act OE provides technical assistance to School districts for meeting needs related to school desegregation or eliminating sex discriminating, through General Assistance Centers (GACs) at colleges, State Education Agencies (SEAs), Training Institutes at College, and direct grants to LEAS. The "Review of Program Operations" study concludes that district environment impacts upon Title IV differently among the four types of aid. This provides several ways in which Title IV aid can be effective. Well specified plans of project organization are important for GACs to succeed. GACs seem better able to articulate and pursue specific desegregation-related goals. Because Title IV program exists in a hostile environment the program has often limited its activities to education-related and not desegregation-related assistance, and that OE should provide leadership for change in the program. A follow-up "Expansion of Program Responsibilities" study on the impact of sex discrimination responsibilities found that the SEAs undertake more and better-defined sex-related assistance than the other types, partly because the problem is common across districts and because SEAs specialize in technical assistance, the main need. While the new activities have not effected much the operational style of each type, this enables useful specialization and homogenization should not occur. (Final reports 170 and 119 pages)

DESCRIPTORS: Discretionary and Project Grant Performance, Civil Rights and Integration, Women, Minority Groups, Technical Assistance, Educational Administration, Survey or Questionnaire Feature
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0507 **PROJECT NO:** OE/ES 74-23 (14003)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** ED 133412,13
CONTRACT NO: OEC-0-74-9262
COST (In Thousands): FY FY 74-\$238, FY \$100
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from ERIC
START/END DATES: June '74 through May '77

PROJECT DESCRIPTIONS

0197

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Updating and Using the Guaranteed Student Loan Research Data Base

REPORT TITLE: None

PERFORMER: Opinion Research Corporation; North Harrison St.; Princeton, New Jersey 08540; Sam Reed, 609-833-3040

AGENCY CONTACT: Morrissey, Daniel; Rm 4087 FOB 6; 202-245-8130

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Student Assistance-Subsidized
Insured Loans

ABSTRACT: The original Research Data Base For GSLP was provided more recent data and a more precise sample. Program and evaluation personnel were trained in use of P-STAT statistical technique; RDB was made operational and certain analyses were performed by means of its use. (To be written.)

DESCRIPTORS: College Students, Scholarships Fellowships and Loans, Statistical Data Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1446 **PROJECT NO:** OE/PS 76-15 (36003)
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 300-76-0075
COST (In Thousands): FY 76-\$24
PROJECT STATUS: Study completed
START/END DATES: January '76 through May '76

0198

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Teacher Concerns Regarding PL 94-142

REPORT TITLE: Voices From the Classroom

PERFORMER: Roy Littlejohn and Associates; Washington, D.C.

AGENCY CONTACT: Kennedy, Mary; Rm. 3151 Donohoe Bldg.; 202-472-2535

PROGRAM(S) EVALUATED:
E-OE-Handicapped-State Assistance;
E-OE-Handicapped Manpower Development

ABSTRACT: The purpose of this study was to assess the array of concerns raised by teachers regarding the effects of the law on their professional responsibilities. Several concerns were raised by teachers during the course of our FY 1976 study on individual educational programs and several have been raised by national teachers organizations. The concerns were organized into general types, and the relationships were analyzed between these categories of concerns and the requirements of the law. Case studies were conducted to analyze the details revolving around a small number of examples.

DESCRIPTORS: Handicapping Conditions and Problems, Social Attitudes and Public Opinion, Education Manpower, Case or Site Study Feature

EVALUATION TYPE: Other

EDC ID NO: 1635 **PROJECT NO:** BEH-77-4
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined

Accession No. 0198

**Education Division
Office of Education**

CONTRACT NO: 300-76-0328

COST (In Thousands): FY 77-\$99

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: July '77 through November '78

0199

AGENCY SPONSOR: Education Division
Office of Education

PROJECT TITLE: Study of the Relationship of the Willingness and
Ability of Parents to Contribute to the Costs of
Post-Secondary Education

REPORT TITLE: The Willingness of Parents to Contribute to
Postsecondary Educational Expenses

PERFORMER: College Entrance Exam Board; 888 Seventh
Avenue; New York, N.Y. 10019; 212-582-6210

AGENCY CONTACT: Kuch, Peter; Rm 4087, FOB-6; 202-245-7885

PROGRAM(S) EVALUATED:

E-OE-Higher Education-Student Assistance

ABSTRACT: This study is a comparison of the amounts parents are willing to contribute to their children's educational expenses with what they are expected to contribute according to both the BEOGs and uniform methodology. Deviations between the expected and willing contributions are analyzed by demographic, income, assets. Data comes from a sample of Parents Confidential Statements and Financial Aid Forms for academic year 1977-78 collected by College Scholarship Service.

DESCRIPTORS: Family, Scholarships Fellowships and Loans, Means Testing, Survey or Questionnaire Feature, College Students

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1492

PROJECT NO: OE/PS-77-18
(37010)

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: ED 150930

CONTRACT NO: 300-77-0298

COST (In Thousands): FY 77-\$18, FY 78-\$4

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from ERIC

START/END DATES: September '77 through December '77

HEALTH CARE FINANCING ADMINISTRATION

0200

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Analysis of Alternative Hospital Review Mechanisms in the State of Colorado Admissions Program and Colorado PSRO

REPORT TITLE: Not yet determined

PERFORMER: General Research Corp.; 7655 Old Springhouse Rd.; McLean, Va. 22101

AGENCY CONTACT: Kucken, Lawrence; Rm 14-36 Parklawn; 301-443-1134

PROGRAM(S) EVALUATED:
HCFA-PSROs

ABSTRACT: The purpose of this project is an in depth analysis of the impact of the Colorado Foundation for Medical Care's Colorado Admission Program and PSRO Program of concurrent review on (1) the hospital utilization of the Medicare and Medicaid eligible populations, including days of hospital care, admissions, and lengths of stay and the costs of their hospital care; and (2) utilization patterns of hospitals and physicians. The results of this study should be valuable from the standpoint of helping to satisfy HSA's immediate for empirical knowledge concerning the impact of concurrent review and for developing a long-term evaluation protocol for assessing concurrent review impact.

DESCRIPTORS: Quality Assurance, Utilization Review, Client Records, Hospitals

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1297

PROJECT NO: BQA 76.1-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 240-75-0067/2

COST (In Thousands): FY 76.1-\$142

PROJECT STATUS: Study completed

START/END DATES: September '76 through March '77

0201

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Analysis of Medicaid Administrative Costs

REPORT TITLE: Analysis of Medicaid Administrative Costs

PERFORMER: National Institute for Advanced Studies; 600 E Street, NW, Washington, D. C. 20004, Chris Bohus 202-638-4204

AGENCY CONTACT: Hickman, William; Rm. 3518 Switzer Bldg.; 202-245-0718

PROGRAM(S) EVALUATED:
HCFA-Medicaid-State & Local Administration

ABSTRACT: This report presents the findings of an analysis of the costs and revenues of state administration of the Medicaid program (Title XIX of the Social Security Act). Federal funding of the Medi-

caid program consists of payments for basic health care services to eligible individuals (Medical Assistance Payments) and financial support to state agencies for administering the program. The purpose of this study is to provide the Health Care Financing Administration, which has federal responsibility for the Medicaid program, with a more detailed understanding of the effects of federal policies relating to federal support of Medicaid administrative costs. (Final report 92 pages)

DESCRIPTORS: Health Insurance and Financing, State or Local Administration, Auditing and Accounting

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1270

PROJECT NO: SRS 76.1-9

FUND SOURCE: Research

NTIS NO: PB 282-442

MECHANISM: Contract

ERIC NO:

CONTRACT NO: SRS-500-76-513

COST (In Thousands): FY 76.1-\$151

PROJECT STATUS: Study completed,

Available from NTIS

START/END DATES: September '76 through September '77

0202

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Analysis of the Medicaid Federal Matching Assistance Percentage (FMAP) Formula

REPORT TITLE: Analysis of the Federal Medical Assistance Percentage (FMAP) Formula

PERFORMER: JWK International Corporation; 7617 Little River Turnpike, Annandale, VA 22003, 703-356-6707

AGENCY CONTACT: Knappe, Larry; Rm. 4529, Switzer Bldg.; 202-245-0703

PROGRAM(S) EVALUATED:

HCFA-Medicaid;

HCFA-Medicaid-State & Local Administration

ABSTRACT: The purpose of this study is to analyze the current formula, and analyze alternatives to the current formula. Based on the analysis conducted in the present study, the following findings and conclusions were reached. 1) The current formula is inequitable and inadequate. 2) Several candidate formulas have been developed which are preferred to the current formula. It is recommended that further use of the formula currently being used to determine federal matching percentages for the Medicaid and AFDC programs be terminated.

DESCRIPTORS: Health Insurance and Financing, Grant-in-Aid Formulas, Statistical Analysis Methods Feature

EVALUATION TYPE: Program Strategy

EDC ID NO: 1267

PROJECT NO: SRS 76.1-6

FUND SOURCE: Research

NTIS NO: PB 283-544,5

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: SRS-500-76-0514

COST (In Thousands): FY 76.1-\$66

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: September '77 through May '78

0203

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Study to Assess the Cost and Operational Impacts of Meeting Skilled Nursing and Intermediate Care Facilities Standards

REPORT TITLE: Assessment of Cost and Operational Impacts of SNF/ICF Standards

PERFORMER: JWK International Corporation; 7617 Little River Turnpike; Annandale, Virginia 22003; J. George Caldwell, 703-256-6707

AGENCY CONTACT: Hickman, William L.; Rm 5518 Switzer Bldg; 202-245-0718

PROGRAM(S) EVALUATED:

HCFA-Medicaid-Long Term Care;
HCFA-Medicare-Hospital Insurance-Benefit Payments

ABSTRACT: The purposes of this study were to develop a computer program to implement the Standards Impact Model (SIM) previously developed to model the impact of Federal regulation of nursing homes, to collect SIM data from one state (Minnesota) and to analyze the cost impact of 1974 regulations. The project succeeded in these purposes. Among its findings were that even in an advanced state, after many years of quality increases, as of 1975 full compliance with 1974 regulations would raise nursing costs an additional 5-8% (\$17 million), or about \$1.50 per patient day. Some of this cost increase would have been required by state regulations. Virtually all of the cost impact fell in three areas: nursing services; medical records, and recreation. A simulation showed that these costs were highly sensitive to the precise requirements of the regulations. The body of the report presents detailed analysis of the impact of each regulation item. The report emphasizes that these figures represent only the residual costs of the latest tightening of the regulations -- the total cost is many times higher. A second phase still in process will develop and apply a methodology to measure the benefits and costs of nursing homes standards. (Main volume of interim report 203 pages).

DESCRIPTORS: Nursing Homes, Regulation and Standard Setting, Health Insurance and Financing, Case or Site Study Feature, Nursing Manpower, Operations Research Feature, Benefit-Cost Analysis Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0527

PROJECT NO: SRS 75-2

FUND SOURCE: Evaluation

NTIS NO: PB 259-022 to 024

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: SRS-500-75-0032

COST (In Thousands): FY 75-\$99, FY 76-\$62

PROJECT STATUS: Study in process,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '75 through September '78

0204

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Assessment of EPSDT Practices and Costs (Phases 1 and 2)

REPORT TITLE: Assessment of EPSDT Practices and Costs -- Barriers Assessment Report; Best Practices Report

PERFORMER: Applied Management Sciences; 962 Wayne Avenue; Silver Spring, Md 20910; Dan Levine, 301-585-8181

AGENCY CONTACT: Weinberg, Joan; Rm 5514, Switzer Bldg; 202-245-0717

PROGRAM(S) EVALUATED:

HCFA-Medicaid-EPSDT

ABSTRACT: This study has three major components. First, the contractor interviewed Medicaid officials in 6 States to determine the major barriers to full implementation of EPSDT. The resulting "Barrier Assessment Report" indicated that hindrances were: 1) inadequate notification and outreach; 2) lack of commitment by States because of inability to perceive benefits of the program; 3) inadequate funding and use of funds; 4) lack of providers; 5) inadequate health education and training; 6) recipient apathy; 7) poor coordination; 8) fragmentation of services; and 9) inadequate management information systems. Second, the contractor visited 18 sites in 6 States assessing screening and case management and the resulting "Best Practice Report" would have local providers systematically furnishing a broad range of services which include outreach, screening, and case management. Screening would consist of both a core package of test procedures and optional tests to be performed according to age, race, sex, and geographic location. Model forms, materials, local procedures, etc. are presented. Third, the contractor is analyzing costs and utilization of EPSDT, as described in project 0596.1. (Final reports 66 and 148 pages, respectively).

DESCRIPTORS: Preventive Health Care, State or Local Administration, Technical Assistance, Outreach and Referral, Program Coordination, Health Care Delivery Systems

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0596

PROJECT NO: SRS 75-4

FUND SOURCE: Research

NTIS NO: PB 265-678

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: SRS-500-75-0019

COST (In Thousands): FY 75-\$88, FY 76-\$3, FY 76.1-\$37

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '75 through September '77

0205

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Comprehensive Review of Medicaid Eligibility

REPORT TITLE: Comprehensive Review of Medicaid Eligibility

PERFORMER: Urban Systems Research & Engineering; 1218 Massachusetts Avenue; Cambridge, MA 02138; Marilyn Rymer 617-661-1550

AGENCY CONTACT: Neuschler, Edward; Room 4048 Switzer Bldg; 202-245-0361

PROGRAM(S) EVALUATED:

HCFA-Medicaid;
HCFA-Medicaid-State & Local Administration

ABSTRACT: Many problems currently exist in the structure and functioning of Medicaid eligibility, primarily because the law and the

regulations are a confusing mass of mandatory and optional coverage groups, exception provisions, grandfather clauses and hold harmless requirements. This report provides comprehensive documentation of current problems in Medicaid eligibility and analyzes alternative directions and degrees of reform. In carrying out the project, the contractor reviewed the administration of the Medicaid eligibility system in 9 states (including 18 local offices), held discussions with Federal, State and local personnel, and reviewed existing literature relevant to problems of inequities and disincentives in current eligibility policy and practice. After the field work was completed, the contractor specified five alternative approaches to reforming Medicaid eligibility and analyzed each alternative for its administrative feasibility and its impact on equity and incentive considerations. The alternatives considered stop short of national health insurance. The final report details the equity, incentive and administrative problems uncovered and presents the analysis of alternatives. Several administrative improvements are recommended for immediate implementation, but the preferred alternative will depend on the policy-maker's judgment on certain basic questions such as national uniformity vs. State flexibility. (Final report 510 pages.)

DESCRIPTORS: Case or Site Study Feature, Eligibility Issues, State or Local Administration, Health Insurance and Financing
EVALUATION TYPE: Program Strategy

EDC ID NO: 1253 **PROJECT NO:** SRS-76-3
FUND SOURCE: Research **NTIS NO:** PB 280-207
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: SRS-500-76-0014
COST (In Thousands): FY 76-\$212
PROJECT STATUS: Study completed,
 Available from EDC,
 Available from NTIS
START/END DATES: June '76 through December '77

0206

AGENCY SPONSOR: Health Care Financing Administration
PROJECT TITLE: Computer Assisted Medicaid Analysis
REPORT TITLE: None
PERFORMER: Tymshare, Inc.; 1911 North Fort Myer Drive;
 Arlington, Virginia 22209; 703-527-1333
AGENCY CONTACT: Bravy, Steve; Rm. 5524, Switzer Bldg.;
 202-245-0703
PROGRAM(S) EVALUATED:
 HCFA-Medicaid

ABSTRACT: The purpose of the project is to develop a medicaid data base accessible by terminal and incorporated into a sophisticated data manipulation and statistical software system. This system is intended to perform sophisticated one-of-a-kind analyses of costs, utilization, and impact of program changes of new program options. The emphasis is to develop flexible analytical capabilities to perform a broad spectrum of analyses as opposed to periodic report generation. Nevertheless, a sophisticated report generator is included. The data base will include all NCSS Medicaid data from 1970 to the present fiscal data covering the same period, and relevant AFDC data. In addition, CPI, unemployment, social security and State fiscal data will be included in the data base. The result is a computer system, not a final report.

DESCRIPTORS: Health Insurance and Financing, Statistical Analysis Methods Feature, Management Information and Reporting, Information Processing
EVALUATION TYPE: Support

PROJECT DESCRIPTIONS

EDC ID NO: 1254 **PROJECT NO:** SRS-76-4
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-100-76-0053
COST (In Thousands): FY 76-\$145
PROJECT STATUS: Study in process
START/END DATES: June '76 through June '78

0207

AGENCY SPONSOR: Health Care Financing Administration
PROJECT TITLE: Cost Analysis of Medicaid State Agency UR Functions
REPORT TITLE: Not yet determined
PERFORMER: Arthur Young and Company; 1025 Connecticut Ave.; Washington, DC 20036
AGENCY CONTACT: Kucken, Lawrence; Rm 14-36 Parklawn Bldg.; 301-443-1134
PROGRAM(S) EVALUATED:
 HCFA-Quality Assurance;
 HCFA-Medicaid-State & Local Administration

ABSTRACT: The purpose of this study is to develop reliable time-phased estimates of the federally reimbursable costs incurred by Medicaid State Agencies (or their agents, such as counties or fiscal intermediaries) in performing, administering and supporting utilization review of the medical necessity and appropriateness of hospital care. This estimate will be used in developing an overall comparative cost analysis of the PSRO Program. This analysis encompasses the difference between the cost of the PSRO hospital peer review component and the total displaced costs presently incurred by Medicaid State Agencies in pursuing this UR function as well as costs incurred for medical and utilization review by Medicare intermediaries, hospitals and other organizations.

DESCRIPTORS: Quality Assurance, State or Local Administration, Utilization Review, Cost-Effectiveness Analysis Feature, Hospitals
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1298 **PROJECT NO:** BQA 76.1-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 240-75-0066/3
COST (In Thousands): FY 76.1-\$87
PROJECT STATUS: Study completed
START/END DATES: September '76 through March '77

0208

AGENCY SPONSOR: Health Care Financing Administration
PROJECT TITLE: Credentialing of Health Care Professional: Its Costs and Some Alternatives for HSA
REPORT TITLE: Credentialing of Health Care Professional: Its Costs and Some Alternatives for HSA
PERFORMER: Transaction Systems, Inc. 800 Peachtree Street, N.E. Atlanta, Georgia 30308
AGENCY CONTACT: Not yet determined
PROGRAM(S) EVALUATED:
 HCFA-PSROs;
 HCFA-PSROs;
 HCFA-Quality Assurance

ABSTRACT: The report contains requirements and practices in credentialing and recredentialing of health professionals by states and by

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: September '77 through May '78

0203

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Study to Assess the Cost and Operational Impacts of Meeting Skilled Nursing and Intermediate Care Facilities Standards

REPORT TITLE: Assessment of Cost and Operational Impacts of SNF/ICF Standards

PERFORMER: JWK International Corporation; 7617 Little River Turnpike; Annandale, Virginia 22003; J. George Caldwell, 703-256-6707

AGENCY CONTACT: Hickman, William L.; Rm 5518 Switzer Bldg; 202-245-0718

PROGRAM(S) EVALUATED:
HCFA-Medicaid-Long Term Care;
HCFA-Medicare-Hospital Insurance-Benefit Payments

ABSTRACT: The purposes of this study were to develop a computer program to implement the Standards Impact Model (SIM) previously developed to model the impact of Federal regulation of nursing homes, to collect SIM data from one state (Minnesota) and to analyze the cost impact of 1974 regulations. The project succeeded in these purposes. Among its findings were that even in an advanced state, after many years of quality increases, as of 1975 full compliance with 1974 regulations would raise nursing costs an additional 5-8% (\$17 million), or about \$1.50 per patient day. Some of this cost increase would have been required by state regulations. Virtually all of the cost impact fell in three areas: nursing services, medical records, and recreation. A simulation showed that these costs were highly sensitive to the precise requirements of the regulations. The body of the report presents detailed analysis of the impact of each regulation item. The report emphasizes that these figures represent only the residual costs of the latest tightening of the regulations - the total cost is many times higher. A second phase still in process will develop and apply a methodology to measure the benefits and costs of nursing homes standards. (Main volume of interim report 203 pages).

DESCRIPTORS: Nursing Homes, Regulation and Standard Setting, Health Insurance and Financing, Case or Site Study Feature, Nursing Manpower, Operations Research Feature, Benefit-Cost Analysis Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0527 **PROJECT NO:** SRS 75-2
FUND SOURCE: Evaluation **NTIS NO:** PB 259-022 to 024
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: SRS-500-75-0032

COST (In Thousands): FY 75-\$99, FY 76-\$62

PROJECT STATUS: Study in process,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '75 through September '78

0204

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Assessment of EPSDT Practices and Costs (Phases 1 and 2)

REPORT TITLE: Assessment of EPSDT Practices and Costs -- Barriers Assessment Report; Best Practices Report

PERFORMER: Applied Management Sciences; 962 Wayne Avenue; Silver Spring, Md 20910; Dan Levine, 301-585-8181

AGENCY CONTACT: Weinberg, Joan; Rm 5514, Switzer Bldg; 202-245-0717

PROGRAM(S) EVALUATED:
HCFA-Medicaid-EPSDT

ABSTRACT: This study has three major components. First, the contractor interviewed Medicaid officials in 6 States to determine the major barriers to full implementation of EPSDT. The resulting "Barrier Assessment Report" indicated that hindrances were: 1) inadequate notification and outreach; 2) lack of commitment by States because of inability to perceive benefits of the program; 3) inadequate funding and use of funds; 4) lack of providers; 5) inadequate health education and training; 6) recipient apathy; 7) poor coordination; 8) fragmentation of services; and 9) inadequate management information systems. Second, the contractor visited 18 sites in 6 States assessing screening and case management and the resulting "Best Practice Report" would have local providers systematically furnishing a broad range of services which include outreach, screening, and case management. Screening would consist of both a core package of test procedures and optional tests to be performed according to age, race, sex, and geographic location. Model forms, materials, local procedures, etc. are presented. Third, the contractor is analyzing costs and utilization of EPSDT, as described in project 0596.1. (Final reports 66 and 148 pages, respectively).

DESCRIPTORS: Preventive Health Care, State or Local Administration, Technical Assistance, Outreach and Referral, Program Coordination, Health Care Delivery Systems

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0596 **PROJECT NO:** SRS 75-4
FUND SOURCE: Research **NTIS NO:** PB 265-678
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: SRS-500-75-0019
COST (In Thousands): FY 75-\$88, FY 76-\$3, FY 76.1-\$37

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '75 through September '77

0205

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Comprehensive Review of Medicaid Eligibility

REPORT TITLE: Comprehensive Review of Medicaid Eligibility

PERFORMER: Urban Systems Research & Engineering; 1218 Massachusetts Avenue; Cambridge, MA 02138; Marilyn Rymer 617-661-1550

AGENCY CONTACT: Neuschler, Edward; Room 4048 Switzer Bldg; 202-245-0361

PROGRAM(S) EVALUATED:
HCFA-Medicaid;
HCFA-Medicaid-State & Local Administration

ABSTRACT: Many problems currently exist in the structure and functioning of Medicaid eligibility, primarily because the law and the

regulations are a confusing mass of mandatory and optional coverage groups, exception provisions, grandfather clauses and hold harmless requirements. This report provides comprehensive documentation of current problems in Medicaid eligibility and analyzes alternative directions and degrees of reform. In carrying out the project, the contractor reviewed the administration of the Medicaid eligibility system in 9 states (including 18 local offices), held discussions with Federal, State and local personnel, and reviewed existing literature relevant to problems of inequities and disincentives in current eligibility policy and practice. After the field work was completed, the contractor specified five alternative approaches to reforming Medicaid eligibility and analyzed each alternative for its administrative feasibility and its impact on equity and incentive considerations. The alternatives considered stop short of national health insurance. The final report details the equity, incentive and administrative problems uncovered and presents the analysis of alternatives. Several administrative improvements are recommended for immediate implementation, but the preferred alternative will depend on the policy-maker's judgment on certain basic questions such as national uniformity vs. State flexibility. (Final report 510 pages.)

DESCRIPTORS: Case or Site Study Feature, Eligibility Issues, State or Local Administration, Health Insurance and Financing
EVALUATION TYPE: Program Strategy

EDC ID NO: 1253 **PROJECT NO:** SRS-76-3
FUND SOURCE: Research **NTIS NO:** PB 280-207
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: SRS-500-76-0014
COST (In Thousands): FY 76-\$212
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: June '76 through December '77

0206

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Computer Assisted Medicaid Analysis

REPORT TITLE: None

PERFORMER: Tymshare, Inc.; 1911 North Fort Myer Drive;
Arlington, Virginia 22209; 703-527-1333

AGENCY CONTACT: Bravy, Steve; Rm. 5524, Switzer Bldg.;
202-245-0703

PROGRAM(S) EVALUATED:
HCFA-Medicaid

ABSTRACT: The purpose of the project is to develop a medicaid data base accessible by terminal and incorporated into a sophisticated data manipulation and statistical software system. This system is intended to perform sophisticated one-of-a-kind analyses of costs, utilization, and impact of program changes of new program options. The emphasis is to develop flexible analytical capabilities to perform a broad spectrum of analyses as opposed to periodic report generation. Nevertheless, a sophisticated report generator is included. The data base will include all NCSS Medicaid data from 1970 to the present fiscal data covering the same period, and relevant AFDC data. In addition, CPI, unemployment, social security and State fiscal data will be included in the data base. The result is a computer system, not a final report.

DESCRIPTORS: Health Insurance and Financing, Statistical Analysis Methods Feature, Management Information and Reporting, Information Processing
EVALUATION TYPE: Support

PROJECT DESCRIPTIONS

EDC ID NO: 1254 **PROJECT NO:** SRS-76-4
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-100-76-0053
COST (In Thousands): FY 76-\$145
PROJECT STATUS: Study in process
START/END DATES: June '76 through June '78

0207

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Cost Analysis of Medicaid State Agency UR Functions

REPORT TITLE: Not yet determined

PERFORMER: Arthur Young and Company; 1025 Connecticut Ave.; Washington, DC 20036

AGENCY CONTACT: Kucken, Lawrence; Rm 14-36 Parklawn Bldg.; 301-443-1134

PROGRAM(S) EVALUATED:
HCFA-Quality Assurance;
HCFA-Medicaid-State & Local Administration

ABSTRACT: The purpose of this study is to develop reliable time-phased estimates of the federally reimbursable costs incurred by Medicaid State Agencies (or their agents, such as counties or fiscal intermediaries) in performing, administering and supporting utilization review of the medical necessity and appropriateness of hospital care. This estimate will be used in developing an overall comparative cost analysis of the PSRO Program. This analysis encompasses the difference between the cost of the PSRO hospital peer review component and the total displaced costs presently incurred by Medicaid State Agencies in pursuing this UR function as well as costs incurred for medical and utilization review by Medicare intermediaries, hospitals and other organizations.

DESCRIPTORS: Quality Assurance, State or Local Administration, Utilization Review, Cost-Effectiveness Analysis Feature, Hospitals
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1298 **PROJECT NO:** BQA 76.1-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 240-75-0066/3
COST (In Thousands): FY 76.1-\$87
PROJECT STATUS: Study completed
START/END DATES: September '76 through March '77

0208

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Credentialing of Health Care Professional: Its Costs and Some Alternatives for HSA

REPORT TITLE: Credentialing of Health Care Professional: Its Costs and Some Alternatives for HSA

PERFORMER: Transaction Systems, Inc. 800 Peachtree Street, N.E. Atlanta, Georgia 30308

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
HCFA-PSROs;
HCFA-PSROs;
HCFA-Quality Assurance

ABSTRACT: The report contains requirements and practices in credentialing and recredentialing of health professionals by states and by

professional societies. Information on more than twenty categories of health providers is included. Credentialing activities of the U.S. Public Health Service, Army, Navy, Air Force, and Veterans Administration are reviewed. Costs are projected for Health Services Administration support of all future credentialing and recredentialing requirements for health care providers employed by HSA. A list of references is included. (Final Report 131 pages).

DESCRIPTORS: Certification and Licensing, Health Care Delivery Systems, Cost-Effectiveness Analysis Feature, Federal State and Local Relations

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0852

PROJECT NO: HSA (c) 74-6, Task 14

FUND SOURCE: Evaluation

NTIS NO: PB 243-066

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSA-105-74-9

COST (In Thousands): FY 74-\$-Not available

PROJECT STATUS: Study completed,

Available from EDC,

Available from NTIS

START/END DATES: March '74 through March '75

0209

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Determination of the Costs of PSRO Review Functions Carried Out by Delegated Hospitals

REPORT TITLE: Not yet determined

PERFORMER: Macro Systems, Inc.; 1110 Fidler Lane; Silver Spring, Md. 20910

AGENCY CONTACT: Kucken, Lawrence; Rm 14-36 Parklawn; 301-443-1134

PROGRAM(S) EVALUATED: HCFA-PSROs

ABSTRACT: This study consists of an analysis of both the direct and indirect costs associated with review processes in hospitals which have achieved a delegated review status determination under the PSRO Program. A survey of a represented sample of hospitals will be undertaken to determine the various cost components of delegated hospital review. These cost components will be aggregated into a delegated review cost center within each hospital. National estimates will be extrapolated from these data. The results of this study will be used in combination with other data being collected through the PMIS cost data for non-delegated PSRO review and baseline cost data to determine the "Marginal" costs of the PSRO Program.

DESCRIPTORS: Quality Assurance, Survey or Questionnaire Feature, Hospitals

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1300

PROJECT NO: BQA 76.1-4

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 240-75-0064/3

COST (In Thousands): FY 76.1-\$63

PROJECT STATUS: Study completed

START/END DATES: September '76 through May '77

0210

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Determination of the Costs of Utilization Review and Related Functions Carried Out by Medicare Intermediaries

REPORT TITLE: Not yet determined

PERFORMER: Arthur Young and Company; 1025 Connecticut Ave.; Washington, DC 20036

AGENCY CONTACT: Kucken, Lawrence; Rm 14-36 Parklawn Bldg.; 301-443-1134

PROGRAM(S) EVALUATED:

HCFA-Quality Assurance;

HCFA-Medicare-Hospital Insurance

ABSTRACT: This project is designed to develop a nationwide estimate of the costs incurred by Medicare intermediaries in connection with review and other supporting activities carried out for purposes of detecting and preventing misutilization of hospital facilities. Given this information in addition to certain operating assumptions, a determination will be made of the incremental costs associated with the PSRO Program and which current UR/MR activities will be phased out as a result of the PSRO Program.

DESCRIPTORS: Hospitals, Quality Assurance, Utilization Review

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1299

PROJECT NO: BQA 76.1-3

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 240-75-0066/4

COST (In Thousands): FY 76.1-\$91

PROJECT STATUS: Study completed

START/END DATES: September '76 through March '77

0211

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Development of a Data Base for Analysis of the Impact of PSRO Concurrent Review

REPORT TITLE: Not yet determined

PERFORMER: General Research Corp.; Westgate Research Park; McLean, Va. 22101

AGENCY CONTACT: Kucken, Lawrence; Rm 14-36 Parklawn; 301-443-1134

PROGRAM(S) EVALUATED: HCFA-PSROs

ABSTRACT: The purpose of this study is to develop a data base composed of baseline and comparative hospital utilization data useful in a preliminary analysis of the impact of hospital concurrent review process as required under the Professional Standards Review Organization. This study, by establishing a pre-PSRO baseline historical data set will enable evaluation of concurrent review (CR) impact over time in hospital utilization. Analysis of the data set will provide OMB with preliminary estimates of the potential national impact of CR on hospital utilization. The information may also provide PSRO managers with guidance as to the effectiveness of various PSRO models in a wide range of settings.

DESCRIPTORS: Quality Assurance, Utilization Review, Statistical Data Feature, Hospitals

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1296 PROJECT NO: BQA 76-3
 FUND SOURCE: Evaluation NTIS NO: Not yet determined
 MECHANISM: Contract ERIC NO: Not available
 CONTRACT NO: 240-75-0067/1
 COST (In Thousands): FY 76-\$75, FY 77-\$32
 PROJECT STATUS: Study completed
 START/END DATES: June '76 through May '77

0212

AGENCY SPONSOR: Health Care Financing Administration
 PROJECT TITLE: Diagnosis and Treatment Costs: A Five State Analysis
 REPORT TITLE: Diagnosis and Treatment Costs: A Five State Analysis
 PERFORMER: Health Services Research Institute; University of Texas Health Science Center; San Antonio, Texas 78184
 AGENCY CONTACT: Weinberg, Joan; Rm. 4527 Switzer; 202-245-0251
 PROGRAM(S) EVALUATED:
 HD-Child Development;
 HCFA-Medicaid-EPSTD;
 PHS-CDC-Preventive Health

ABSTRACT: This report provides program planners for child health assessment programs data about the Medicaid treatment expenditures paid in behalf of children screened and referred for treatment under Title XIX Early and Periodic Screening, Diagnosis and Treatment Program (EPSTD). The data presented provides planning figures so that once a planner has estimated the number to be screened, the rate of referral (by age group), the percent referred that show for treatment, and the percent of those showing for treatment for whom payments are made, the planner may assess a dollars (in 1976 dollars) value to the new costs of treatment that will be incurred in an expanded program. (Final report 272 pages)

DESCRIPTORS: Access to Services, Child Development, Information Processing, Preventive Health Care, Evaluation by State and Local Governments

EVALUATION TYPE: Program Management

EDC ID NO: 1357 PROJECT NO: HCFA 78-2
 FUND SOURCE: Sec. 1110 NTIS NO: PB 291-279
 MECHANISM: Contract ERIC NO: Not yet determined
 CONTRACT NO: HCFA-M78-0361
 COST (In Thousands): FY 10
 PROJECT STATUS: Study completed,
 Available from EDC,
 Available from NTIS
 START/END DATES: April '77 through September '78

0213

AGENCY SPONSOR: Health Care Financing Administration
 PROJECT TITLE: Effect of Increasing Physician Fees Upon Utilization of Outpatient Services
 REPORT TITLE: Not yet determined
 PERFORMER: State of Maryland Dept. of Hlth; 301 W. Preston St.; Baltimore, MD 21201; Harry Bass 301-383-2658
 AGENCY CONTACT: Weinberg, Joan; Room 5514, Switzer Bldg.; 202-245-0717
 PROGRAM(S) EVALUATED:
 HCFA-Medicaid

ABSTRACT: The overall goal of project is to demonstrate the feasibility of reducing Medicaid program costs by reducing the proportion of ambulatory care performed in hospital outpatient setting. This objective is approached indirectly by making Medicaid participation more attractive to physicians through higher reimbursement rates and by an active campaign to inform potential recipients of the availability of Medicaid physician providers. The proposed project site, Carroll County, Md., is a rural-urban county with Medical facilities primarily centered in one community and is reasonably remote from major urban areas. All primary care physicians in the county have agreed to participate at higher reimbursement rates. Potential recipients and county welfare and health department offices will be notified of the expanded supply of physicians participating in Medicaid. Utilization and cost data for physician services and hospital services in the county will be collected. Predictions of costs and utilization will be produced for physician and hospital services that would have occurred without this demonstration. These predictions and actual costs and utilization will be compared to determine cost-effectiveness of increased reimbursement.

DESCRIPTORS: Case or Site Study Feature, Cost-Effectiveness Analysis Feature, Utilization Review, Demonstration Projects, Ambulatory and Outpatient Health Care

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1255 PROJECT NO: SRS-76-5
 FUND SOURCE: Research NTIS NO: Not yet determined
 MECHANISM: Grant ERIC NO: Not available
 GRANT NO: 11-P-90429/3-01
 COST (In Thousands): FY 76-\$40
 PROJECT STATUS: Study in process
 START/END DATES: June '76 through June '78

0214

AGENCY SPONSOR: Health Care Financing Administration
 PROJECT TITLE: Development of Local, State, and Federal Evaluation System for EPSTD
 REPORT TITLE: EPSTD Status: A Review of Eight States
 PERFORMER: Bokomon Systems, Inc.; 2000 P St. N.W., Suite 612; Washington, D. C. 20036
 AGENCY CONTACT: Young, R.; 202-295-9209
 PROGRAM(S) EVALUATED:
 HCFA-Medicaid-EPSTD

ABSTRACT: To assess the status of the Federal early periodic screening, diagnosis, and treatment programs (EPSTD) in seven states and a city (Florida, Illinois, Louisiana, New Orleans, Oklahoma, Texas, South Carolina, and Washington). Visits were made to the sites and data were collected on the programs to determine what activities are taking place, what data are being recorded and stored, and how the stored data are used for program monitoring, evaluation, and planning. The collected information was recorded on State system information flow charts, State data file matrices, and State EPSTD component matrices for analysis. Findings indicate that there is a variety of administrative structures, and EPSTD efforts could be described as a screening program with diagnosis and treatment services or as a full range program. Screening procedures, which are the primary thrust of EPSTD, cost from \$8 to \$27 per client and are conducted through public health clinics in 4 States, sole providers in 1 State, and a combination of these 3 States. There is an apparent problem in continuity between screening and diagnosis and treatment, partly due to billing complications, and location of eligible children, particularly medically needy children, is difficult. Case management is the most critical determinant of EPSTD success, and strong, aggressive management seems to overcome structural limitations of the program. The lack of organized file systems in many programs interferes with client track efforts and hinders the continuity of program steps.

DESCRIPTORS: Health Care Delivery Systems, State or Local Administration, Preventive Health Care, Child Welfare, Health Planning, Public Health Services, Case or Site Study Feature, Statistical Data Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1352

PROJECT NO: SRS 74-9

FUND SOURCE: Evaluation

NTIS NO: Not available

MECHANISM: Contract

ERIC NO: Not available

GRANT NO: 500-74-0063

COST (In Thousands): FY 74-\$236, FY 75-\$0, FY 76-\$87

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC

START/END DATES: June '74 through December '75

0215

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Evaluation of a Developmental Screening and Diagnostic Test Battery

REPORT TITLE: Not yet determined

PERFORMER: State of New York; 1450 Western Avenue; Albany, N.Y. 12243; James O'Meera, 518-474-6339

AGENCY CONTACT: Weinberg, Joan; Rm 5514 Switzer Bldg.; 202-245-0717

PROGRAM(S) EVALUATED:

HCFA-Medicaid-EPSDT

ABSTRACT: The Psychodiagnostic Laboratory at University of Rochester developed a battery of subtests from several existing, standardized tests which assesses several dimensions of a child's development and yields a profile, rather than a unitary score. Each child's progress is measured against his own potential. The objectives of the current project are to 1) develop a short (20-30 minute) screening battery based on the full battery; 2) validate the screening battery against the full battery; 3) demonstrate the operational feasibility of the entire approach in an urban EPSDT setting; 4) demonstrate that the test battery provides guidance for treatment; and 5) compare the new approach with others in terms of cost and effectiveness. The screening battery will be given the full test. When problems are found, children will be referred to service providers. Evaluation will be made of usefulness of test results to service providers. Data on costs, for comparison purposes, will also be prepared.

DESCRIPTORS: Preventive Health Care, Testing and Tests, Child Development, Handicapping Conditions and Problems

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1359

PROJ. CT NO: SRS-75-7

FUND SOURCE: Research

NTIS NO: Not yet determined

MECHANISM: Grant

ERIC NO: Not available

GRANT NO: 11-P-90244/2-01

COST (In Thousands): FY 75-\$105

PROJECT STATUS: Study in process

START/END DATES: June '75 through January '78

0216

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Evaluation of Long Term Care Accounting Systems

REPORT TITLE: Evaluation of Eight Long Term Care Charts of Accounts

PERFORMER: Wolf and Co.; 1101 17th Street, N.W. Washington, D.C. 20036

AGENCY CONTACT: Hickman, William, Rm 5518, Switzer; 202-245-0718

PROGRAM(S) EVALUATED:

HCFA-Medicaid-Long Term Care;

HCFA-Medicare-Hospital Insurance

ABSTRACT: A study was sponsored by the Social and Rehabilitation Service of HEW to evaluate accounting practices for long-term care facilities. Two basic study objectives were identified: (1) evaluate the advantages and disadvantages of mandating the use of charts of accounts pertaining to long-term care; and (2) develop recommendations on the feasibility of requiring uniform accounting practices as a condition of participation in Medicare long-term service provision. Eight different charts of accounts were reviewed that had been designed for or were currently being used by skilled nursing facilities or intermediate care facilities. Evaluation results are presented in tabular form in relation to fundamental objectives, operational objectives, and prescriptive criteria, and major cost groupings for the eight charts of accounts are detailed. Issues affecting the adoption of a uniform chart of accounts are discussed, along with factors in chart implementation. (Final report 78 pages).

DESCRIPTORS: Auditing and Accounting, Long Term Health Care, Nursing Homes, Management Techniques

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0467

PROJECT NO: SRS 75-3

FUND SOURCE: Evaluation

NTIS NO: PB 251-708

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: SRS 75-22

COST (In Thousands): FY 75-\$10

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS

START/END DATES: June '75 through January '76

0217

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Evaluation of Medicaid Spend-down

REPORT TITLE: Evaluation of Medicaid Spend-down

PERFORMER: Urban Systems Research and Engineering; 1218 Massachusetts Avenue; Cambridge, MA 02138; Marilyn Rymer, 617-661-1550

AGENCY CONTACT: Neuschler, Edward; Rm 5522, Switzer Bldg.; 202-245-0703

PROGRAM(S) EVALUATED:

HCFA-Medicaid

ABSTRACT: "Spend-down" is the process whereby persons/families whose income exceeds the standard for medical assistance can become eligible if their medical expenses are large enough. This study had three purposes: (1) to examine the administrative difficulties inherent in operating a "spend down" program (in 5 States), (2) to describe the "spend-down" population in 3 States and to compare them with other Medicaid-eligible groups in terms of socio-economic and demographic characteristics and Medical utilization rates, and (3) to estimate the percentage of eligible "spend-downers" who actually have enrolled (for one State). Interviews were held with State and Local program personnel; 1297 case records were reviewed, and 974 Medicaid applicants were interviewed. A simulation model using 1970 CHAS-NORC data was developed to estimate the participation rate. The "spend-down" was found to be extremely difficult to administer. "Spend-downers" differ only slightly from other Medicaid

eligibles. The participation rate appears to be very low. Results pertain only to the particular sites visited and are not statistically generalizable. Final Report in 9 volumes. Vol. 1 "Executive Summary and Overall Evaluation" (362 pp) available NTIS. Vols. 2-6 (individual State reports), Vols. 7-8 (statistical appendices), Vol. 9 (participation rate) available from Project officer or contractor.

DESCRIPTORS: Eligibility Issues, State or Local Administration, Health Insurance and Financing, Survey or Questionnaire Feature, Operations Research Feature, Low-Income Population
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0483 **PROJECT NO:** SRS 74-8
FUND SOURCE: Research **NTIS NO:** PB-251-316/AS
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: SRS-500-74-0058
COST (In Thousands): FY 74-\$289, FY 75-\$14, FY 76-\$25
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS
START/END DATES: June '74 through March '76

0218

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Evaluation of Postpayment Screens Used By Medicare Carriers and Medicaid Fiscal Agents

REPORT TITLE: Evaluation of Postpayment Screens Used By Medicare Carriers and Medicaid Fiscal Agents

PERFORMER: JWK International Corporation, 7617 Little River Turnpike, Annandale, VA 22003

AGENCY CONTACT: Knape, Larry

PROGRAM(S) EVALUATED:
 HCFA-Health Care Financing Programs;
 HCFA-Medicaid;
 HCFA-Medicare

ABSTRACT: The focus of this contract was to compare the postpayment screens used by contractors who serve as carriers for Medicare and fiscal agents for State Medicaid programs. The purpose of the evaluation was to determine if there are similar standards used for both programs and, if not, are there approaches used in one program that can be applied effectively to the other program.

DESCRIPTORS: Evaluation by State and Local Governments, Regulation and Standard Setting, Preventive Health Care, Program Coordination

EVALUATION TYPE: Program Strategy

EDC ID NO: 1356 **PROJECT NO:** HCFA 77-3
FUND SOURCE: Evaluation **NTIS NO:** PB 287-949
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HCFA 500-77-0009
COST (In Thousands): FY 77-\$65
PROJECT STATUS: Study completed,
 Available from EDC,
 Available from NTIS
START/END DATES: October '77 through April '78

PROJECT DESCRIPTIONS

0219

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Evaluation of Survey of Training

REPORT TITLE: Not yet determined

PERFORMER: JWK International Corp.; 8620 Hepple White Ct.; Annandale, Va. 22003

AGENCY CONTACT: Langan, Tom; Rm. 12A-43 Parklawn, 301-443-2540

PROGRAM(S) EVALUATED:
 PHS-HSA-Health Services-Quality Assurance

ABSTRACT: The purpose of this study is to validate a previously developed task analysis questionnaire, in a survey administered to all State agency surveyors. The objective of this study will be development and refinement of an occupational analysis which should provide extensive data including surveyor training needs, how the surveyor occupation is being defined nationally, by States and by type of surveyors who are now applying health, life safety code, laboratory and radiological health standards under licensure and certification programs. As an extension of this study, a skills and knowledge test will be developed as an interim credentialing mechanism for State agency surveyors.

DESCRIPTORS: Certification and Licensing, Quality Assurance, Administrative Personnel, State or Local Administration, Survey or Questionnaire Feature

EVALUATION TYPE: Research or Data Development

EDC ID NO: 0807 **PROJECT NO:** BQA 76-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:**
CONTRACT NO: 240-76-0007
COST (In Thousands): FY 76-\$197
PROJECT STATUS: Study in process
START/END DATES: July '75 through March '77

0220

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Evaluation of Utilization Review and Control

REPORT TITLE: Not yet determined

PERFORMER: Office of Research and Statistics; SSA

AGENCY CONTACT: Josephson, Carl; Rm 3-D-3 Meadows East Bldg; 301-594-6065

PROGRAM(S) EVALUATED:
 HCFA-Medicare-Hospital Insurance

ABSTRACT: The overall goal of utilization review (UR) research is to determine the most effective and efficient way in which the Federal Government can ensure that the services for which it pays are necessary and of reasonable cost and quality. The objectives of SSA evaluative research in this area are to: (a) identify the types, extent and causes of inappropriate utilization of Medicare services; (b) assess and refine the data system within SSA which allows routine, objective measurement of patterns of Medicare utilization and the effects of UR programs on those patterns; and (c) evaluate the effectiveness of different types of UR functions in terms of their dollar savings and costs to the Medicare program, impact on patterns and quality of service, and influence on attitudes of physicians, hospital personnel (clinical and administrative) and patients. Specific projects in this program area are: (1) Colorado admissions program evaluation; (2) Evaluation of impact of presurgical screening; and (3) Concurrent Review Evaluation.

DESCRIPTORS: Utilization Review, Health Insurance and Financing, Hospitals, Physicians, Quality Assurance
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1404 **PROJECT NO:** ORS 75-06, RP-35
FUND SOURCE: Salaries and Expenses (S&E) **NTIS NO:** Not yet determined
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT/GRANT NO: Not available
COST (In Thousands): FY 75-Not available
PROJECT STATUS: Study in process
START/END DATES: January '75 through December '80

0221

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Study of Availability and Validity of Prior Data Useful for Evaluating PSRO

REPORT TITLE: Feasibility of Collecting Baseline Data for Professional Standards Review Organizations

PERFORMER: Geomet, Inc.; 15 Firstfield Road; Gaithersburg, Md.

AGENCY CONTACT: Geomet, Inc.; 15 Firstfield Road; Gaithersburg, Md.

PROGRAM(S) EVALUATED:
 HCFA-Quality Assurance

ABSTRACT: This report summarizes the results of site visits to five hospitals participating in the National Capital Professional Standards Review Organization. It makes suggestions which would assist the Office of Professional Standards Review (OPSR) in establishing a methodology with which to conduct a national survey to gather baseline data relevant to review processes. The ultimate source for gathering information on quality assurance procedures is the medical record. However, it is often an extensive file burdened with problems of legibility and incompleteness. Any record may be abstracted onto audit forms, even though they often differ in form. Alternative methodologies suggested are: 1) use of computerized abstracting services whenever available; 2) use of abstracting services to select medical records to be abstracted; 3) reduction of sample size to 35 records per hospital to increase accuracy (typical abstracts may have an error rate as high as 40 percent); and 4) a deeper look at smaller representative samples over a limited audit of 50,000 records. Surrogate sources of medical record data should be used whenever available, eliminating costly abstracting of records. (Final report 130 pages.)

DESCRIPTORS: Case or Site Study Feature, Statistical Data Feature, Feasibility Study Feature, Quality Assurance
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0835 **PROJECT NO:** HSA (c) 74-4
FUND SOURCE: Evaluation **NTIS NO:** PB 243-927
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSA 105-74-7
COST (In Thousands): FY 74-\$50
PROJECT STATUS: Study completed,
 Available from EDC,
 Available from NTIS
START/END DATES: June '74 through March '75

0222

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Field Test and Evaluation of a Functional Assessment System for Adults Needing Long-Term Care

REPORT TITLE: Not yet determined

PERFORMER: RMC Research Corporation; 7910 Woodmont, Ste. 1300; Bethesda, MD 20014; 301-656-2700

AGENCY CONTACT: Neuschler, Edward; Rm 5522, Switzer Bldg.; 202-245-0703

PROGRAM(S) EVALUATED:
 HCFA-Medicaid-Long Term Care

ABSTRACT: A functional assessment system for adults who may need long-term care was developed by the Older Americans Resources and Services (OARS) Project at Duke University. It attempts to develop a multidimensional profile of such persons using a questionnaire administered by interviewers who are not trained clinicians. If it can be validated, this system will be a significant tool for evaluating alternative forms of long-term care and for planning the kinds of long-term services needed in a particular area. The purpose of this project is to field test the functional assessment questionnaire and to perform various statistical analyses to determine the reliability, internal validity and usefulness of the questionnaire and the associated functional profile. A limited test of external validity will also be conducted. No information about the population surveyed will be developed or published, except as related to statistical analyses of the questionnaire's structure and design. This field test is preliminary. If it is successful, more extensive external validation will be necessary before the system is ready for use. The data base for this analysis consists of interviews conducted with approximately 350 institutionalized and 350 non-institutionalized persons in three geographically separated and socio-economically disparate U.S. counties. This study is financed by both SRS and AoA research funds. (\$200 from AoA in FY 74)

DESCRIPTORS: Long Term Health Care, Handicapping Conditions and Problems, Survey or Questionnaire Feature, Field Test Feature, Mental Health

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1360 **PROJECT NO:** SRS-74-16
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: SRS-500-74-0053
COST (In Thousands): FY 74-\$247, FY 75-\$14, FY 76-\$49
PROJECT STATUS: Study in process
START/END DATES: June '74 through June '77

0223

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Utilization and Charges for Hospital and Medical Care Services by the Disabled Before and After July 1, 1973

REPORT TITLE: HI Note for Utilization of Services by Disabled, 1973

PERFORMER: Office of Research and Statistics; SSA

AGENCY CONTACT: Silverman, Herbert; 3-D-3, Meadows East Bldg.; 301-594-6070

PROGRAM(S) EVALUATED:
 HCFA-Medicare-Hospital Insurance

ABSTRACT: Beginning July 1, 1971, the Current Medicare Survey (CMS) included a sample of social security disability beneficiaries, including those with chronic kidney disease. This group was asked to provide information as to their use of health care services in the

same detail and extent as is provided by the aged in the CMS. These data for calendar year 1972 provide a base for the "before" segment of the study. Data for the "after" segment will be provided by continued CMS collection of this information. The data will show the amount, kind, and costs of medical care services used by disability beneficiaries before Medicare and the effect that their coverage under health insurance has on these elements.

DESCRIPTORS: Handicapping Conditions and Problems, Health Insurance and Financing, Survey or Questionnaire Feature, Morbidity and Mortality

EVALUATION TYPE: Program Impact

EDC ID NO: 1391

PROJECT NO: ORS 73-01, (09201)

FUND SOURCE: Salaries and Expenses

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 73-Not available, FY 74-Not available, FY 75-Not available, FY 76-Not available, FY 76.1-Not available, FY 77-Not available, FY 78-Not available

PROJECT STATUS: Study completed

START/END DATES: January '73 through July '78

0224

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Impact of Inappropriate Ambulatory Patient Drug Therapy Hospital Admissions

REPORT TITLE: Impact of Inappropriate Ambulatory Drug Therapy Hospitalization

PERFORMER: Health Information Designs Inc.; 1629 K St., N.W., Suite 701; Washington, D. C. 20006

AGENCY CONTACT: Weinberg, Joan; Rm 4527-Switzer; 202-245-0251

PROGRAM(S) EVALUATED:

HCFA-Medicaid-State & Local Administration

ABSTRACT: The purpose of the contract was to determine the impact of inappropriate ambulatory patient drug therapy on hospital admissions within the Medicaid program. The proportion of total hospitalizations accounted for by inappropriate drug therapies was determined in nine hospitals in three states. The results of this study provide an insight into the impact of inappropriate drug therapy on hospitalization. The study concluded that a significant number of hospitalizations resulting from inappropriate drug therapy can be avoided by drug utilization review intervention. HUD also indicated that the cost effectiveness of drug utilization review programs should be measured in terms of its impact on a program's hospitalization costs, rather than being limited to demonstrating cost savings exclusively within the program's drug budget. Other states can conduct investigations to determine the magnitude of inappropriate outpatient drug therapy and the cost to the Medicaid program. Discovering the extent of the problem and the areas of most common drug misuse is essential before correction of the problem can begin. (Final report 80 pages.)

DESCRIPTORS: Ambulatory and Outpatient Health Care, Cost-Effectiveness Analysis Feature, Drugs Therapeutic and Devices, Health Planning, Hospitals, State or Local Administration

EVALUATION TYPE: Program Impact

EDC ID NO: 1353

PROJECT NO: HCFA-77-1

FUND SOURCE: Evaluation

NTIS NO: PB 284-455

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HCFA-500-770011

PROJECT DESCRIPTIONS

COST (In Thousands): FY 77-\$43

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: July '77 through October '77

0225

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Analysis of Multiple Benefit Eligibility

REPORT TITLE: A Legal and Economic Analysis of Policy Recommendations to Improve the Existing Multiprogram Welfare System

PERFORMER: Mathematica Policy Research; Princeton, New Jersey 08540

AGENCY CONTACT: Arnaudo, David; Universal No. Bldg.; Rm. 915; 202-673-5596

PROGRAM(S) EVALUATED:

SSA-Assistance Payments-AFDC;

HD-Social Services;

V-Many HEW Programs-Income Security

ABSTRACT: This study examines legal and administrative interaction of AFDC program with each each of the other transfer programs. It identifies existing inequities, administrative complexities or undue fiscal pressures arising from the interactions of the AFDC and numerous other transfer programs. The report recommends possible plans of action to alleviate or remove identified problems. (Final report 290 pages.)

DESCRIPTORS: Low-Income Population, Welfare Reform, Eligibility Issues

EVALUATION TYPE: Program Strategy

EDC ID NO: 1261

PROJECT NO: SRS 76-11

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: SRS-500-76-0012

COST (In Thousands): FY 76-\$121

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: June '76 through June '77

0226

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Local Dimensions of EPSDT Evaluation System

REPORT TITLE: Not yet determined

PERFORMER: N.Y. Department of Social Services; 40 N. Pearl St., Albany, N.Y. 12243; James O'Meara 518-474-6339

AGENCY CONTACT: Kramm, Elizabeth R.; Rm 5415 Switzer Bldg.; 202-245-0570

PROGRAM(S) EVALUATED:

HCFA-Medicaid-EPSDT

ABSTRACT: The general goal of this project is to demonstrate that existing health data systems of a local EPSDT provider (Roosevelt Hospital in Manhattan) can support the planning and evaluation needs of a local EPSDT project including reporting requirements to State and Federal agencies. This project is part of a broader SRS effort to develop a strategy for obtaining reliable evaluative information for Federal EPSDT planning, while simultaneously developing planning and evaluation models for State and Local use. Success of this strategy of utilizing existing data bases will lead to significant economies in the use of discretionary Federal, and State/local re-

sources which otherwise would be required for new collection activities. Specific objectives include: 1) definition of planning and evaluation information requirements of a local EPSDT project; 2) development of data transformation and analysis packaged to extract from existing data systems information necessary for planning and evaluation and application of statistical and analytical treatments to data to resolve planning and evaluation issues; 3) involvement and training of local project personnel to make effective use of information available from the planning and evaluation system; and 4) automation of reporting to meet data requirements leveled on the project by external actors (e.g., New York City State CHAP, as well as Federal reporting requirements). See EDC 1358 for a related project.

DESCRIPTORS: Preventive Health Care, Technical Assistance, Health Planning, Evaluation by State and Local Governments, Management Information and Reporting
EVALUATION TYPE: Support

EDC ID NO: 1361 **PROJECT NO:** SRS 75-14
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Grant **ERIC NO:** Not available
GRANT NO: 11-P-90245/2
COST (In Thousands): FY 75-\$41
PROJECT STATUS: Study in process
START/END DATES: June '75 through March '77

0227

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Medicaid Forecasting and Micro-Data Analytical Assistance

REPORT TITLE: Medicaid Forecasting and Micro-Data Analytical Assistance

PERFORMER: Urban Systems Research & Engineering; 1218 Massachusetts Avenue; Cambridge, Mass. 02138; Ron Margolis 617-661-1550

AGENCY CONTACT: Knappe, Larry; Room 5524, Switzer Building; 202-245-0703

PROGRAM(S) EVALUATED:
HCFA-Medicaid

ABSTRACT: The objectives of this study were: (1) to develop methodology for explaining and predicting costs and utilization based on a micro-data base and (2) developing a model which can forecast utilization, and hence program costs, under various policy options. This study sought to provide support to States in developing such a micro-data forecasting system by performing three major tasks. (1) Investigate the feasibility and cost of developing a reliable micro-data base. (2) Develop a model which can forecast utilization rates of Medicaid recipients. (3) Illustrate the usefulness of this model by applying it to micro-data which have been available by the State of Connecticut. (Final report 252 pages)

DESCRIPTORS: Health Insurance and Financing, Statistical Analysis Methods Feature, Trends and Forecasts

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1251 **PROJECT NO:** SRS-76-1
FUND SOURCE: Research **NTIS NO:** PB 287-524
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: SRS-500-76-0001
COST (In Thousands): FY 76-\$147
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: July '75 through April '78

0229

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Methodology Development for PSRO Evaluation

REPORT TITLE: Not yet determined

PERFORMER: Orkand; 8630 Fenton Street; Silver Spring, Md. 20910

AGENCY CONTACT: Kucken, Lawrence; Rm 14-36 Parklawn; 301-443-1134

PROGRAM(S) EVALUATED:
HCFA-PSROs

ABSTRACT: The purpose of this study is to provide technical assistance in the development of a methodology for short-term and long-term evaluative studies of the PSRO Program. This study is expected to provide evaluation protocols developed in sufficient detail to permit implementation. The results will be used to analyze three PSRO evaluation issues: effectiveness of PSRO admission certification and continued stay review in reducing medically unnecessary hospital utilization; effectiveness of PSRO retrospective medical care evaluation review for assessing the quality and appropriateness of services; and the effect of PSRO activities specifically on Medicare, Medicaid and Maternal and Child Health expenditures and generally on hospital expenditures.

DESCRIPTORS: Utilization Review, Quality Assurance, Research and Evaluation Methods Feature, Hospitals

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1294 **PROJECT NO:** BQA 76-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 240-75-0086/1
COST (In Thousands): FY 76-\$53, FY 77-\$35
PROJECT STATUS: Study completed
START/END DATES: June '76 through March '77

0230

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Old Utilization Review Cost Study

REPORT TITLE: Not yet determined

PERFORMER: Macro Systems; 1110 Fider Lane; Silver Spring, Md. 20910

AGENCY CONTACT: Kucken, Lawrence; Rm 14-36 Parklawn Bldg.; 301-443-1134

PROGRAM(S) EVALUATED:
HCFA-Quality Assurance;
HCFA-Medicare-Hospital Insurance

ABSTRACT: The purpose of this study is to develop a reliable estimate of comparative costs incurred between hospitals performing utilization review, under the original utilization review regulations issued by the Medicare Program, and the hospital peer review component of the PSRO Program. The study is anticipated to produce cost estimates of hospital UR procedures associated with Medicare regulations. These costs will be augmented with costs incurred by intermediaries under these same regulations. These aggregated costs will serve as a baseline to which current and future PSRO costs can be compared.

DESCRIPTORS: Utilization Review, Quality Assurance, Statistical Data Feature, Hospitals, Regulation and Standard Setting
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1295 **PROJECT NO:** BQA 76-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 240-75-0064/2
COST (In Thousands): FY 76-\$79
PROJECT STATUS: Study completed
START/END DATES: June '76 through May '77

0231

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: PSRO Program Cost Analysis

REPORT TITLE: Professional Standards and Review Organization (PSRO) Cost Study

PERFORMER: General Research Corporation; McLean, Virginia

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
 HCFA-PSROs

ABSTRACT: This study performed analyses of PSRO functions, costs of these functions, and costs of PSRO programs and models useful in estimating these costs. Models for concurrent review (CR) on a per admission basis are presented, as well as the in-hospital personnel costs of medical care evaluation (MCE). Work was initiated in February and completed in April. The 203 PSRO areas were classified into seven types according to degree of urbanization and volume of Social Security Act beneficiaries. The range of estimates was \$90 million to \$240 million for the program, \$30,000 to \$1,730,000 for an average PSRO from one of the seven groups. (Final report 53 pages.)

DESCRIPTORS: Cost-Effectiveness Analysis Feature, Quality Assurance, Statistical Data Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0853 **PROJECT NO:** HSA(c) 74-7 Task 1
FUND SOURCE: Evaluation **NTIS NO:** PB 244-054
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSA 105-74-10
COST (In Thousands): FY 74-\$35
PROJECT STATUS: Study completed,
 Available from EDC,
 Available from NTIS
START/END DATES: February '74 through April '74

0232

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Evaluation of Professional Standards Review Organizations

REPORT TITLE: Professional Standards Review Organization 1978 Program Evaluation

PERFORMER: Office of Policy, Planning and Res.; Health Care Financing Admin.; 330 C Street, S.W.; Washington, D. C. 20201

AGENCY CONTACT: Davis, Feather; 3D3 Meadows East Building; 301-594-6725

PROGRAM(S) EVALUATED:
 HCFA-PSROs;
 HCFA-Quality Assurance;
 HCFA-Medicare Hospital Insurance

ABSTRACT: This study is the second in a series of annual evaluations, mandated by the Congress, of the impact of Professional Standards Review Organizations (PSROs). Based on 1977 data, this report analyzes the effect of PSRO review on hospital use by Medicare beneficiaries, offers a cost-benefit analysis of PSRO concurrent hospital review, and describes PSRO quality assurance efforts, management, and program costs. The report also includes preliminary studies of diagnostic and surgical data, the PSRO Hospital Discharge Data Set, and medical care evaluations. A comparison of 96 active and 93 inactive PSRO areas showed a statistically significant reduction in the days of hospital care and the number of hospital discharges per thousand Medicare enrollees, but no reduction in the average length of hospital stay. The cost-benefit analyses indicated that estimated Medicare savings from lower hospital utilization rates alone exceeded the full program costs of PSROs. Although most of the study was performed in-house, several contractors contributed technical assistance. A Technical Advisory Panel reviewed its progress and findings.

DESCRIPTORS: Quality Assurance, Utilization Review, Regulation and Standard Setting, Hospitals, Client Records, Statistical Analysis Methods Feature, Benefit-Cost Analysis Feature
EVALUATION TYPE: Program Impact

EDC ID NO: 1351 **PROJECT NO:** HCFA-78-3
FUND SOURCE: Research **NTIS NO:** PB 292-114
MECHANISM: In-house, Contract **ERIC NO:** Not available
CONTRACT/GRANT NO: N/A
COST (In Thousands): FY 76-\$500, FY 77-\$600, FY 78-\$700, FY 79-\$850
PROJECT STATUS: Study completed,
 Available from EDC,
 Available from NTIS
START/END DATES: January '78 through January '79

0233

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Development of a Medicaid Management Control System

REPORT TITLE: Program Review Guide for the Assessment of State Controls on Erroneous Medicaid Expenditures

PERFORMER: Control Analysis Corp.; 800 Welch Road; Palo Alto, Calif. 94303; Michael Crane, 415-326-2100

AGENCY CONTACT: Hickman, Mr. W.; Rm 5518 Switzer Bldg.; 202-245-0718

PROGRAM(S) EVALUATED:
 HCFA-Medicaid-State & Local Administration

ABSTRACT: This project was to study erroneous Medicaid expenditures and methods of detection and control. The system developed is a procedural guide for Federal or State use in assessing state claims processing control systems for their effectiveness in detecting erroneous payments. It includes 1) a qualitative investigation to catalog all possible erroneous payment situations and the identification of the method of control for each situation; 2) tabulation of performance statistics, such as claim dollars reduced or disallowed, for the various types of errors; and 3) sampling of paid claims and of claim refunds or adjustments to observe the prevalence of payments not detected by the State's control system. A second volume, "A Guide for the Control of Erroneous Medicaid Expenditures", shows how the assessment system can be used to initiate specific corrective controls, based in part on an 8-state test. A third volume, "Final Report: An Evaluation of Erroneous Medicaid Expenditures" serves as an executive summary. Also produced under this contract is a two volume report, Medicaid Bill Processing System Test, a User's Guide and

Test Package. A 1976 modification, will obtain a software package for states to use in reprocessing claims already paid to check for errors, and a test package for Federal use in checking state edit procedures. (Final report 3 volumes, 196 pages.)

DESCRIPTORS: Quality Assurance, Fraud and Abuse, Technical Assistance, Auditing and Accounting, State or Local Administration, Management Techniques, Health Insurance and Financing, Survey or Questionnaire Feature, Operations Research Feature
EVALUATION TYPE: Support

EDC ID NO: 0595 **PROJECT NO:** SRS 75-1-1
FUND SOURCE: Research **NTIS NO:** PB 271-897,898,899
MECHANISM: Contract **ERIC NO:** Not available PB 281-270

CONTRACT NO: SRS-500-75-0008
COST (In Thousands): FY 75-\$133, FY 76-\$160, FY 77-\$167
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: June '75 through July '76

0234

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Reference Manual on Title XIX Social Security Act

REPORT TITLE: Reference Manual on Title XIX Social Security Act

PERFORMER: Macro Systems, Inc. Twin Towers 1110 Fidler Lane, Silver Spring M

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
HCFA-Medicaid;
HD-Social Services

ABSTRACT: The Title XIX program was analyzed with respect to its legislative regulations, guidelines, functions, organizational structure, and staffing. It also was broken down on a state-by-state basis with respect to services funded, numbers of eligibles, maximum allowable reimbursement, etc. Two briefing books were prepared, one devoted to a breakout of the National aspects of the Medicaid program, the second containing a state-by-state breakdown of Title XIX.

DESCRIPTORS: Regulation and Standard Setting, State or Local Administration, Social Services Delivery Systems
EVALUATION TYPE: Support

EDC ID NO: 0825 **PROJECT NO:** HSA (C) 74-3 Task II
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSA-105-74-6
COST (In Thousands): FY 74-\$29
PROJECT STATUS: Study completed
START/END DATES: June '74 through August '74

0235

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Assessment of EPSDT Practices and Costs (Phase 3)

REPORT TITLE: Report on the Cost Impact of the EPSDT Program

PERFORMER: Applied Management Sciences; 962 Wayne Avenue; Silver Spring, Maryland 20910; Dan Levine, 301-585-8181

AGENCY CONTACT: Weinberg, Joan; Rm 5514 Switzer Bldg; 202-245-0717

PROGRAM(S) EVALUATED:
HCFA-Medicaid-EPSDT

ABSTRACT: This is the third component of "Assessment of EPSDT Practices and Costs" (project 0596). In this study, the contractor has already analyzed administrative costs of EPSDT in 4 sites within 2 states and selected a random sample of 800 screened and unscreened Medicaid eligible children in each of 2 states to assess the impact of EPSDT on cost and utilization of Medicaid services. Preliminary indications revealed a shift away from hospital care toward ambulatory care for screened children in both states. This third phase of the study is continuing. The children will be tracked one year prior to screening as well as for the second year after screening. (Preliminary report of October 1976 78 pages).

DESCRIPTORS: Preventive Health Care, State or Local Administration, Utilization Review

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0596.1 **PROJECT NO:** SRS 75-4
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: SRS-500-75-0019
COST (In Thousands): FY 75-Not available, FY 76-Not available, FY 76.1-Not available
PROJECT STATUS: Study completed
START/END DATES: June '75 through September '77

0236

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Statewide Demonstration of EPSDT Evaluation System

REPORT TITLE: Not yet determined

PERFORMER: South Carolina Department of Social Services; P.O. Box 1520; Columbia, S. Carolina; James Jolhi 803-758-7564

AGENCY CONTACT: Kramm, Elizabeth R.; Rm 5415, Switzer Bldg., 202-245-0570

PROGRAM(S) EVALUATED:
HCFA-Medicaid-EPSDT

ABSTRACT: The purpose of the South Carolina project is to demonstrate that existing State data systems can support EPSDT planning and evaluation requirements at the State level—including reporting requirements to Federal agencies. This project is part of a broader SRS effort to develop a strategy for obtaining reliable evaluative information for Federal EPSDT planning, while simultaneously developing evaluation models for State and local use. Success of this strategy of utilizing existing data bases will measure significant savings of resources which otherwise would be required for data collection activities. Specific objectives include: 1) definition of State planning and evaluation information requirements; 2) development of data transformation and analysis packages to extract from existing data systems information necessary for planning and evaluation and application of statistical and analytical treatments to data to resolve planning and evaluation issues; 3) involvement and training of State project personnel to make effective use of information available from the planning and evaluation system; and 4) automation of reporting to meet data requirements of the Federal government in EPSDT (e.g., NCSS 120 reports, profiles of children in the Federal compliance sample, and Federal evaluation data needs). See EPSDT 1132 for a related project.

DESCRIPTORS: Preventive Health Care, Technical Assistance, Evaluation by State and Local Governments, Health Planning, Management Information and Reporting
EVALUATION TYPE: Support

EDC ID NO: 1358 **PROJECT NO:** SRS 75-5
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Grant **ERIC NO:** Not available
GRANT NO: 11-P-90280/4-01
COST (In Thousands): FY 75-\$29
PROJECT STATUS: Study in process
START/END DATES: June '75 through April '77

0237

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Study of Reimbursement and Practice Arrangements of ProviderBased Physicians

REPORT TITLE: Study of Reimbursement and Practice Arrangements of ProviderBased Physicians

PERFORMER: Arthur Andersen and Co.; 1666 K St., N.W.; Washington, D.C.; Thomas K. Shaffert

AGENCY CONTACT: Hellinger, Fred

PROGRAM(S) EVALUATED:

HCFA-Research Demonstration & Evaluation;
 HCFA-Program Direction & Management

ABSTRACT: A "Study of Reimbursement and Practice Arrangements of ProviderBased Physicians" has been prepared for the Health Care Financing Administration by Arthur Andersen and Co. and Interstudy. The study analyzed data about earnings and contractual relationships, identifying differences based on characteristics of the hospital and the geographical areas in which they are located. The study found that most arrangements are oral but that arrangements for compensation on a percentage basis are more likely to be written. There are significant differences in fringe benefits and physician responsibility for departmental expenses between hospital-based physician responsibility for departmental expenses between hospital-based physicians compensated under percentage arrangements and those receiving a salary.

DESCRIPTORS: Statistical Analysis Methods Feature, Hospitals, Physicians

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1355 **PROJECT NO:** HCFA 77-2
FUND SOURCE: Research **NTIS NO:** PB 281-125
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: Not yet determined
COST (In Thousands): FY -Not available
PROJECT STATUS: Study completed,
 Available from EDC,
 Available from NTIS
START/END DATES: Unknown start date through December '77

0238

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Survey and Evaluation of the Physician Extender Reimbursement Experiment

REPORT TITLE: Survey and Evaluation of the Physician Extender Reimbursement Experiment

PERFORMER: System Sciences, Inc.; 4720 Montgomery Lane; Bethesda, MD 20014

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

HCFA-Health Care Financing Programs

ABSTRACT: This report summarizes and analyzes the findings of a field survey of 70 primary care medical practices employing physician extenders and 50 comparison practices not employing physician extenders. The purposes of the survey were 1) to assess the impact of physician extender employment on the quality of care provided in practices; 2) practice costs; 3) assess the validity of data collected in a previous mail survey by the University of Southern California; and 4) to assess the legality of physician extender activities.

DESCRIPTORS: Survey or Questionnaire Feature, Physicians, Statistical Analysis Methods Feature

EVALUATION TYPE:

EDC ID NO: 1354 **PROJECT NO:** HCFA 78-1
FUND SOURCE: Evaluation **NTIS NO:** PB 281-722
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: SSA-600-76-0167
COST (In Thousands): FY 5-Not available
PROJECT STATUS: Study completed,
 Available from EDC,
 Available from NTIS

START/END DATES: Unknown start date through March '78

0239

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: The Effects of SSI on Medicaid

REPORT TITLE: Ten-State Study of Medicaid Eligibility and Utilization Changes Coinciding with the Introduction of the Supplemental Security Income Program

PERFORMER: Applied Management Sciences, Inc.; Silver Spring, MD

AGENCY CONTACT: Holiber, Caryl; Rm 5417 Switzer Bldg.; 202-245-0198

PROGRAM(S) EVALUATED:

HCFA-Medicaid;
 SSA-Supplemental Security Income

ABSTRACT: The results of a survey are presented in five volumes. The survey was conducted during 1973-1974 to examine trends in eligibility, utilization, and expenditures among Medicaid recipients in 10 States. The survey coincided with introduction of the supplemental security income (SSI) program for the aged, blind, and disabled on SSI program. An overview of the SSI program for the aged, blind, and disabled is presented. Data on Medicaid programs in 10 States are tabulated in an appendix to a survey report concerned with the Medicaid eligibility and utilization changes. (Final Report 667 pages: Volume I, Report of Findings, 63 pages; II, State Medicaid Programs, 129 pages; III, The Supplemental Security Income Program, 41 pages; IV, Federal Medicaid Regulations, 29 pages and V, State Medicaid Tabulations, 405 pages.)

DESCRIPTORS: Health Insurance and Financing, Survey or Questionnaire Feature, Income Maintenance, Eligibility Issues, Elderly, Statistical Data Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0484 **PROJECT NO:** SRS-74-20
FUND SOURCE: Evaluation **NTIS NO:** PB-256-193 to 197
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: SRS-74-29
COST (In Thousands): FY 73-Not available, FY 74-Not available,
 FY 75-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: March '73 through March '76

0240

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Toward an Optimal Provider Certification System Under National Health Insurance

REPORT TITLE: Toward an Optimal Provider Certification System Under National Health Insurance

PERFORMER: Office of Analysis; Health Services Evaluation

AGENCY CONTACT: Kucken, L. Fishman, S. 301-443-1126

PROGRAM(S) EVALUATED:

HCFA-Medicaid;
HCFA-Medicare;
HCFA-Quality Assurance

ABSTRACT: This report examined the process of certification as it exists under Medicare and Medicaid programs. The present system serves as a "point of departure" for the design and implementation of an optimal certification system under National Health Insurance. Information has been obtained through a literature review and interviews of key personnel in representative organizations that are currently involved in certification activities. Several functions were identified and separately evaluated in the certification process: assessment, decision-making, and monitoring and evaluation. Preliminary recommendations which should be considered and reexamined before developing a certification process under NHI are: eliminate present redundancies and consolidation functions along a single line of authority; limit the role of the surveyor to objective assessment of compliance and provide for certification decision-making to be performed at the level of a regional health service area; surveyors should attain level of expertise in surveying techniques and operate in terms of specialists; separate functions of assessment and consultation and demonstration. Projects should be established to test new standards of surveying and consulting and establish strong formal linkages to allow for information exchange and coordination of decisions between provider certification, health planning, reimbursement, and quality assurance activities. (Final report 88 pages.)

DESCRIPTORS: Health Insurance and Financing, Certification and Licensing, Nursing Homes, Hospitals, Literature Review Feature
EVALUATION TYPE: Program Strategy

EDC ID NO: 0808 **PROJECT NO:** HSA (O) 75-1
FUND SOURCE: Not available **NTIS NO:** PB 246-755
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT NO: Not available
COST (In Thousands): FY-75 Not available
PROJECT STATUS: Study completed,
Executive summary at EDC,
Available from NTIS
START/END DATES: January '75 through August '75

0241

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: An Updating Memorandum on Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid

REPORT TITLE: An Updating Memorandum on Strategies for Accommodating Ambulatory Care Projects Under Medicare and Medicaid

PERFORMER: Macro Systems, Inc. Twin Towers 1110 Fidler Lane, Silver Spring M

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

HCFA-Medicaid;
HCFA-Medicare

ABSTRACT: This report summarizes developments that have occurred in achieving self-sufficiency of ambulatory care centers since the 1973 Macro Systems, Inc. (MSI) report. Of greatest consequence has been the enactment of the Social Security Amendments of 1972 (PL 92-603) and several derivative regulatory changes. In addition, ambulatory care programs themselves have intensified efforts to increase third party revenues. Five factors were identified in the earlier report as affecting collection potential of ambulatory care projects: provider status, patient eligibility, benefit structure, rate structure, and billing efficiency. Implementation of direct reimbursement of free standing ambulatory care projects has had the most significant impact on provider status. Patient eligibility has been expanded under both Medicare and Medicaid, with extension of coverage to the disabled, and enactment of the Supplemental Security Income Program. Benefit structures were not significantly altered by PL 92-603, and no major changes have occurred in rate structure. The direct reimbursement mechanism has probably improved billing efficiency. Chapter III reviews activities of the programs themselves, and Chapter IV the impact of developments on revenues. Chapter V presents alternatives for further action to increase revenues.

DESCRIPTORS: Ambulatory and Outpatient Health Care, Regulation and Standard Setting, Program Coordination, Services Integration
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0823 **PROJECT NO:** HSA (C) 74-3 Task 4
FUND SOURCE: Evaluation **NTIS NO:** PB 249-885
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSA-105-74-6
COST (In Thousands): FY 74-\$4
PROJECT STATUS: Study completed,
Executive summary at EDC,
Available from NTIS
START/END DATES: June '74 through July '75

0242

AGENCY SPONSOR: Health Care Financing Administration

PROJECT TITLE: Utilization and Charges for Hospital and Medical Services Before and After Medicare

REPORT TITLE: Not yet determined

PERFORMER: Office of Research and Statistics; SSA

AGENCY CONTACT: Gornick, Marian; 3-D-3, Meadows East Bldg; 301-594-6068

PROGRAM(S) EVALUATED:
HCFA-Medicare-Hospital Insurance

ABSTRACT: A national study has been conducted of Medicare's impact on the utilization and charges for hospital and medical services used by persons aged 65 years and over. Initially, the study provided baseline data describing the utilization of and costs incurred for various types of hospital and medical services by the aged during the year before Medicare began. The second phase involved interviewing a similar sample in November 1967 regarding their use of hospital and medical services during the preceding 12 months. Analyses currently underway focus on the impact of Medicare on persons with varying

charges for each category of medical care services. Charges and sources of payment are being analyzed in depth. In addition, multivariate analyses are being undertaken based on a model of economic, social, and geographic factors which influence the demand for medical care.

DESCRIPTORS: Health Insurance and Financing, Survey or Questionnaire Feature, Hospitals, Elderly, User Charges
EVALUATION TYPE: Program Impact

EDC ID NO: 1389

PROJECT NO: ORS 72-01, (09200)

FUND SOURCE: Salaries and

Expenses

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 72-Not available, FY 73-Not available, FY 74-Not available, FY 75-Not available, FY 76-\$7, FY 76.1-Not available, FY 77-Not available

PROJECT STATUS: Study completed

START/END DATES: January '72 through December '78

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OFFICE OF HUMAN DEVELOPMENT SERVICES

ADMINISTRATION FOR CHILDREN YOUTH AND FAMILIES

0243

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and
Families

PROJECT TITLE: Adoption of the ETS Circus Battery into Spanish

REPORT TITLE: Not yet determined

PERFORMER: Educational Testing Service; Princeton, N.J.

AGENCY CONTACT: Kresh, Esther; Rm 5044-A, Donohoe Bldg;
202-755-7750

PROGRAM(S) EVALUATED:
HD-Child Development

ABSTRACT: The ETS Circus Battery is a series of 17 instruments for testing preschool children to diagnose instructional needs and evaluate early childhood education programs. This project will translate the Battery into Spanish, and will develop norms for Spanish-speaking children.

DESCRIPTORS: Non English Speaking, Educational Techniques, Testing and Tests

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0990

PROJECT NO: OCD 75-3

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 105-75-1105

COST (In Thousands): FY 75-\$294, FY 76-\$378, FY 77-\$288

PROJECT STATUS: Study in process

START/END DATES: May '75 through July '78

0244

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and
Families

PROJECT TITLE: Parent and Child Center Advocacy Study

REPORT TITLE: The Advocacy Components of Six Head Start
Parent/Child Centers: A Final Report

PERFORMER: Douglas Homes, Ph.D.; Center for Community
Research; 225 Park Ave. S.; New York, N.Y. 10023

AGENCY CONTACT: Kresh, Esther; 5044-B, Donohoe Bldg;
202-755-7750

PROGRAM(S) EVALUATED:

HD-Child Development-Research and Demonstration

ABSTRACT: This study was designed to provide documentation of the Advocacy Components start-up process; to assess program's impact on families and community services agencies during first year of operation; to compare findings of the first year's operation with those obtained after the first year of Advocacy Component work; and to maintain a monthly information system of reporting to determine cost of providing such a program. In addition, to the final report, there were two prior reports as follows: Case studies on the Advocacy Components of Seven Parent-Child Centers: How the National Program Looks Six Months After Start-Up. The Advocacy Components of Seven Parent/Child Centers: A Final Report on the Start-Up Year.

DESCRIPTORS: Child Development, Family, Demonstration Projects, Citizen Participation

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0981

PROJECT NO: OCD 74-08

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: ED 084-039-41 ED 118-244

CONTRACT NO: H-2997

COST (In Thousands): FY 74-\$590

PROJECT STATUS: Study completed,

Available from ERIC

START/END DATES: June '71 through September '74

0245

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and
Families

PROJECT TITLE: Conducting an Assessment of Head Start
Supplementary Training in Providing Child Development
Associate Competency-Based Training

REPORT TITLE: Conducting an Assessment of Head Start
Supplementary Training in Providing Child Development
Associate Competency-Based Training, 2 Volumes

PERFORMER: Kirschner Associates, Inc.; 2 North Riverside Plaza;
Chicago, Illinois 60606; Diane Signatur, 312-454-1364

AGENCY CONTACT: Bates, Barbara; Rm 5735, Donohoe Bldg;
202-755-7740

PROGRAM(S) EVALUATED:

HD-Child Development-Head Start

ABSTRACT: The purpose of this study is to assess the effectiveness of the Head Start Supplementary Training (HSST) Program in providing Child Development Associate (CDA) competency-based training to Head Start caregivers across the country. This assessment will focus on the effects of training on a newly enrolled group of trainees after one and two years of participation in the program. The contractor will conduct a survey of all the training programs, and select a nationally representative sample of programs, a sample of new trainees entering in the fall of 1976, and a comparison group of potential trainees. The study will obtain basic data on the programs, trainees, and comparison group, and will compare the results of the

trainees' performance on the CDA formal assessment to that of the comparison group at the end of one year and two years of training.

DESCRIPTORS: Survey or Questionnaire Feature, Child Development, Social Work Manpower, Curriculum Development, Career or Vocational Education

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1286

PROJECT NO: OCD 76-10

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: ED 164-099,100,101,140

CONTRACT NO: HEW-105-76-1158

COST (In Thousands): FY 76-\$84, FY 77-\$73

PROJECT STATUS: Study completed,

Available from EDC,

Available from ERIC

START/END DATES: June '76 through September '78

0246

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Continuation of the Evaluation of Project Developmental Continuity

REPORT TITLE: Not yet determined

PERFORMER: High/Scope Educational Research; Ypsilanti, Michigan

AGENCY CONTACT: Kresh, Esther; Rm. 5044 Donohoe Bldg.; 202-755-7750

PROGRAM(S) EVALUATED:

HD-Child Development-Head Start

ABSTRACT: THE EVALUATION INVESTIGATES THE IMPACT ON CHILDREN, PARENTS, ELEMENTARY SCHOOL STAFF AND INSTITUTIONS IN HEAD START AND SCHOOLS OF A JOINT EFFORT IN TRAINING, CURRICULUM DEVELOPMENT AND PARENT INVOLVEMENT. THE EVALUATION ALSO EXAMINES THE RELATIONSHIP OF THE IMPLEMENTATION OF GUIDELINES TO OUTCOMES FOR CHILDREN, PARENTS, SCHOOL STAFFS AND INSTITUTIONS. DATA CONSISTS OF MEASURES OF IMPACT, IMPLEMENTATION DATA BOTH DESCRIPTIVE AND FORMATIVE AND COST DATA ON THE PROVISION OF SUCH A PROGRAM. THE PROJECT UTILIZES COMPARISON GROUPS OF HEAD START AND SCHOOLS WHICH ARE NOT PARTICIPATING IN A COOPERATIVE EFFORT. THE DEMONSTRATION PROGRAM IS CONDUCTED IN 13 SITES ACROSS THE COUNTRY. THE RESULTS WILL BE USED TO BRING ABOUT MORE EFFECTIVE COMMUNICATION BETWEEN HEAD START AND SCHOOL SYSTEMS.

DESCRIPTORS: Child Development, Educational Techniques, Education Manpower

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1545

PROJECT NO: ACYF 78-307

FUND SOURCE: Evaluation

NTIS NO: Not available

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 78-307

COST (In Thousands): FY 78-\$364

PROJECT STATUS: Study in process

START/END DATES: September '78 through March '82

0247

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Evaluation of Child Abuse and Neglect Demonstration Resource Projects

REPORT TITLE: Various

PERFORMER: E.H. White and Company; 347 Clement Street; San Francisco, Ca. 94118

AGENCY CONTACT: Corrigan, John P.; Rm 5831, Donohoe Bldg.; 202-755-0593

PROGRAM(S) EVALUATED:

HD-Child Development-Child Abuse

ABSTRACT: An evaluation will be made of the 12 Demonstration Centers funded by NCCAN to provide comprehensive services for the identification, prevention and treatment of child abuse and neglect. Formative aspects of program development will be stressed, and an information system for the administration and monitoring of the projects will be established. A future phase to end in 1978 is anticipated. All phases of the evaluation was completed in June 1978. The study was initially funded under contract number HEW-105-75-0060 and HEW 105-76-1117. Phase III was funded under contract number HEW 105-77-1010.

DESCRIPTORS: Child Welfare, Counseling, Family, Demonstration Projects, Outreach and Referral, Program Coordination, Social Services Delivery Systems, Management Information and Reporting, Case or Site Study Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0989

PROJECT NO: OCD 75-6

FUND SOURCE: Research

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-105-76-1010

COST (In Thousands): FY 75-\$205, FY 76-\$225, FY 76.1-\$75, FY 77-\$251

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: December '74 through June '78

0248

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Evaluation of Child Abuse and Neglect State Training and Professional Continuing Education

REPORT TITLE: Evaluation of Child Abuse and Neglect State Training and Professional Continuing Education Projects

PERFORMER: National Institute for Advanced Studies; 600 E Street, N.W.; Washington, D.C. 20004

AGENCY CONTACT: Harrell, James A.; Rm 5831 Donohoe Bldg.; 202-755-0593

PROGRAM(S) EVALUATED:

HD-Child Development-Child Abuse

ABSTRACT: Twenty-two grantees tested the effectiveness of the National Center on Child Abuse and Neglect curriculum on identification, reporting, referral and case management of child abuse and neglect. The contractor, as evaluator of the demonstration training programs carried out by the grantees, tabulated numbers of persons trained, agency auspices and training approaches used, and conducted pre-and post-tests that measure trainees' knowledge, skills and sensitivity related to the curriculum content.

Office of Human Development Services
Administration for Children Youth and Families

Accession No. 0231

DESCRIPTORS: Child Welfare, Curriculum Development, Demonstration Projects, Field Test Feature, Social Work Manpower, Survey or Questionnaire Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1289 **PROJECT NO:** OCD 76.1-1
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-105-76-1172
COST (In Thousands): FY 76.1-\$133, FY 77-\$57
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: August '76 through November '77

0249

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Evaluation of Child Protective Agency Program
Improvements and Prevention and Treatment Projects

REPORT TITLE: Not yet determined
PERFORMER: E.H. White and Co., Inc; 245 Clement Street; San Francisco, CA 94118
AGENCY CONTACT: Corrigan, John P.; Rm 5831 Donohoe Building; 202-755-0593
PROGRAM(S) EVALUATED:
HD-Child Development-Child Abuse

ABSTRACT: Two groups of projects comprise the major thrust of the Service Improvement effort: 8 Child Protection Agency Program Improvements and 21 Community-Based Child Abuse and Neglect Prevention and Treatment. The purpose of performing this evaluation is two-fold: 1) to provide an assessment of the processes utilized including costs, and outcome of various approaches to using small amounts of funds to substantially improve the delivery of child protective services by child protective agencies, 2) to provide an assessment of the processes utilized, including costs, and outcomes of various approaches to using small amounts of funds to substantially improve the preventive and treatment capacities of already existing human services agencies, such as schools, mental health centers, family service centers, day care programs, and medical facilities.

DESCRIPTORS: Child Welfare, Counseling, Family, Demonstration Projects, Outreach and Referral, Program Coordination, Social Services Delivery Systems, Management Information and Reporting, Case or Site Study Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1549 **PROJECT NO:** ACYF-78-107
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-105-78-1107
COST (In Thousands): FY 78-\$385
PROJECT STATUS: Study in process
START/END DATES: September '78 through November '79

0250

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Evaluation of Clinical Demonstration of the
Treatment of Child Abuse and Neglect

REPORT TITLE: Not yet determined
PERFORMER: Berkeley Planning Associates; 1912 Bonita Ave.; Berkeley, CA 94704
AGENCY CONTACT: Sears, David, W.; Rm. 5554 Donohoe; 202-755-8208
PROGRAM(S) EVALUATED:
HD-Child Development-Child Abuse

ABSTRACT: Two groups of projects comprise the major thrust of the Clinical Demonstration effort: 16 Clinical Demonstrations of Specialized Treatment of: Child Sexual Abuse, Adolescent Maltreatment, Substance Abuse-Related Child Maltreatment, and Child Neglect, 4 Clinical Demonstrations of Remedial Services for Abused and Neglected Children. The purpose of performing this evaluation is two-fold: 1) to provide an assessment of the processes utilized in clinical settings, including effects (outcomes) of various approaches to identify the degree to which distinct child abuse and neglect problems require unique investigative and treatment approaches. 2) to provide an assessment of the processes utilized, including outcomes of various approaches by the projects to various approaches by the projects to develop and test replicable total case management strategies.

DESCRIPTORS: Technical Assistance, Demonstration Projects, Information Dissemination, Management Information and Reporting, Planning, Case or Site Study Feature, Child Welfare
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1550 **PROJECT NO:** ACYF-78-108
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-105-78-1108
COST (In Thousands): FY 78-\$280
PROJECT STATUS: Study in process
START/END DATES: September '78 through March '82

0251

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Evaluation of Education for Parenthood
Programs

REPORT TITLE: Not yet determined
PERFORMER: Behavior Associates, Inc.; 330 East 13th Street; Tucson, Arizona 85701; 602-792-3670
AGENCY CONTACT: Rackley, Dr. W. Ray; Rm 5729 Donohoe Bldg.; 202-755-7740
PROGRAM(S) EVALUATED:
HD-Child Development-Research & Demonstration

ABSTRACT: This project assesses the quality and impact of separate "Education for Parenthood" curricula developed by each of seven national voluntary organizations over the past few years. The evaluation will be organized on 3 major levels of assessment: 1) the effectiveness of individual programs (within-programs design); 2) Comparison between the National programs effectiveness (between-programs design); and 3) Overall program effects. The primary goals of the evaluation program are: 1) To specify the effectiveness of the instructional programs (materials, methods, and practicum) in developing knowledge, skills, and attitudes favorable to being a competent parent; 2) To assess the quality of the instructional programs; 3) To determine instructional program staffs' responses to the program; 4) To assess the extent to which the programs use appropriate ethnic-cultural input; 5) To assess the degree of parent involvement in programs and the responses of parents to the programs; 6) To evaluate administrative effectiveness; and 7) To assess immediate and long term follow-up effects.

PROJECT DESCRIPTIONS

DESCRIPTORS: Child Development, Family, Children and Youth, Curriculum Development, Consumer Education
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1277 **PROJECT NO:** OCD 73-1
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Grant **ERIC NO:** Not yet determined
GRANT NO: OCD-CB-497
COST (In Thousands): FY 73-\$118, FY 74-\$152, FY 75-\$171, FY 76-\$100

PROJECT STATUS: Study completed
START/END DATES: June '73 through June '78

0252

AGENCY SPONSOR: Office of Human Development Services
 Administration for Children Youth and Families

PROJECT TITLE: An Evaluation of Head Start Medicaid EPSDT Collaboration Project

REPORT TITLE: An Evaluation of Head Start Medicaid EPSDT Collaboration Project

PERFORMER: Boone, Young and Associates, 551 Fifth Ave.; New York, N.Y. 10017; Georgia McMurray

AGENCY CONTACT: Kresh, Esther; 202-755-7750

PROGRAM(S) EVALUATED:
 HD-Child Development-Head Start;
 HCFA-Medicaid-EPSDT

ABSTRACT: The first year evaluation of the Head Start-Medicaid Early Periodic Screening, Diagnosis, and Treatment (EPSDT) Collaborative Effort is described in these reports (the final report and the nontechnical report based on the final report). The collaborative effort, a demonstration project initiated in 1974 by the Office of Child Development, involved 200 Head Start projects and an in-depth analysis of 30 projects selected from the 198 demonstration sites funded by the collaborative effort for in-depth examination and analysis. A set of data collection forms designed for the study served two purposes: (1) to obtain information necessary to the evaluation and (2) to support local projects recordkeeping activities, particularly as related to the health and the collaboration between Head Start and State and local agencies administering EPSDT. See also EDC ID No: 0984 and 1282. The objectives of these projects were to provide EPSDT services to as many Medicaid eligible Head Start children as possible and to recruit non-Head Start Medicaid eligible children.

DESCRIPTORS: Child Development, Social Services Delivery Systems, Preventive Health Care, Program Coordination
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0979 **PROJECT NO:** OCD 74-4
FUND SOURCE: Evaluation **NTIS NO:** SHR 0003083-84
MECHANISM: Contract **ERIC NO:** ED 133069-70 ED 134309

CONTRACT NO: 105-74-1101

COST (In Thousands): FY 74-\$153, FY 75-\$9, FY 76-\$108

PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS,
 Available from ERIC

START/END DATES: June '74 through June '76

0253

AGENCY SPONSOR: Office of Human Development Services
 Administration for Children Youth and Families

PROJECT TITLE: Evaluation of Head Start Primary Continuation Program

REPORT TITLE: Not yet determined

PERFORMER: California State University Foundation; Northridge, Ca.

AGENCY CONTACT: Ryan, H; 202-755-7489

PROGRAM(S) EVALUATED:
 HD-Child Development-Head Start

ABSTRACT: The purpose of this project is to perform an evaluation of the Head Start Primary Continuation Program, the main focus of which is to provide continuity for Head Start Children through a Head Start aide who becomes a teacher assistant through third grade.

DESCRIPTORS: Child Development, Education Manpower, Elementary Education, Supportive Services, Demonstration Projects
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0987 **PROJECT NO:** OCD 75-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 105-75-1101

COST (In Thousands): FY 75-\$83, FY 76-\$109

PROJECT STATUS: Study completed

START/END DATES: January '75 through August '77

0254

AGENCY SPONSOR: Office of Human Development Services
 Administration for Children Youth and Families

PROJECT TITLE: An Evaluation of Parent Involvement in Head Start

REPORT TITLE: Not yet determined

PERFORMER: Associate Control, Research, and Analysis, Inc.; 1000 Vermont Ave., NW; Washington, DC 20005

AGENCY CONTACT: Deloria, Dennis; Rm 5044-B, Donohoe Bldg.; 202-755-7750

PROGRAM(S) EVALUATED:
 HD-Child Development-Head Start

ABSTRACT: This project has two objectives: (1) Determine the type and degree of parent involvement in Head Start and its effects on children, their families, and the community, and (2) Determine the continuing impact of parent involvement in Head Start during the child's first year in school. This study is being conducted on a nationally representative group of full-year Head Start programs and will take two years. During the current year (July, 1976 - June, 1977) data is being obtained from Head Start program staff that describes parent involvement opportunities in Head Start programs. The impact instruments to be used during the second year (July, 1977 - June, 1978) are also being pilot tested. Second year data collection activities will take place during the Spring. At this time, questionnaires and attitude surveys will be administered to parents, and a select group of child tests will be administered to Head Start graduates now in their first year of school. A report will follow each of the above specified data collection efforts.

DESCRIPTORS: Children and Youth, Family, Survey or Questionnaire Feature, Child Development, Social Services Delivery Systems

Office of Human Development Services
Administration for Children Youth and Families

Accession No. 0237

EVALUATION TYPE: Program Impact

EDC ID NO: 1281 **PROJECT NO:** OCD 76-4
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-105-76-1112
COST (In Thousands): FY 76-\$330, FY 77-\$300
PROJECT STATUS: Study completed
START/END DATES: November '75 through November '78

0255

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: An Evaluation of Project Developmental Continuity

REPORT TITLE: Not yet determined
PERFORMER: High Scope Educational Research Foundation; 600 North River Street; Ypsilanti, Michigan 48197
AGENCY CONTACT: Kresh, Dr. Esther; Room 5044, Donohoe Bldg.; 202-755-7750
PROGRAM(S) EVALUATED:
HD-Child Development-Head Start

ABSTRACT: The evaluation investigates the impact on children, parents, elementary school staff and institutions in Head Start and schools when a joint effort in training, curriculum development and parent involvement takes place. The evaluation also examines the relationship of the implementation of guidelines to outcomes for children, parents, school staff and institutions. Data consists of measures of impact, implementation data both descriptive and formative and cost data on the provision of such a program. Comparison groups of Head Starts and schools who are not participating in a cooperative effort. The demonstration program is conducted in thirteen sites across the country. The results will be used to bring about more effective communication between Head Start and school systems. An interim report is available entitled, A Process Evaluation of Project Developmental Continuity. Interim Report II, Part B: Recommendations for Measuring Program Impact.

DESCRIPTORS: Child Development, Compensatory Education, Family, Program Coordination, Demonstration Projects
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0986 **PROJECT NO:** OCD 75-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** ED 144-715
CONTRACT NO: HEW-105-75-1114
COST (In Thousands): FY 75-\$410, FY 76-\$600, FY 77-\$537
PROJECT STATUS: Study completed,
Available from ERIC
START/END DATES: November '74 through November '77

0256

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Evaluation of Resource Access Projects (RAP's)

REPORT TITLE: Not yet determined
PERFORMER: Roy Littlejohn Associates, Inc.; 1328 New York Avenue, NW; Washington, D.C. 20005; Julie Vaughn

PROJECT DESCRIPTIONS

AGENCY CONTACT: Brown, Dr. Bernard; Rm 5044, Donohoe Bldg.; 202-755-7751

PROGRAM(S) EVALUATED:
HD-Child Development-Head Start

ABSTRACT: The purpose of this three-year project is to evaluate the 14 Resource Access Projects, regional information projects funded by the Office of Child Development. The information obtained will be used to help OCD policymakers improve strategies for assisting Head Start programs in meeting the needs of handicapped children enrolled in Head Start, and to help regional policymakers in operating their services to the handicapped at maximum effectiveness. An interim report was prepared for in-house use consisting of case studies on the individual RAP sites.

DESCRIPTORS: Case or Site Study Feature, Child Development, Information Dissemination, Social Services Delivery Systems
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1284 **PROJECT NO:** OCD 76-8
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW 105-76-1155 105-77-1042
COST (In Thousands): FY 76-\$150, FY 77-\$150
PROJECT STATUS: Study completed
START/END DATES: June '76 through June '79

0257

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Evaluation of the Child and Family Resource Program

REPORT TITLE: None
PERFORMER: Development Associates, Inc.; 1521 New Hampshire Avenue NW; Washington, DC 20036
AGENCY CONTACT: Kresh, Dr. Esther; Rm 5044 Donohoe Bldg.; 202-755-7750
PROGRAM(S) EVALUATED:
HD-Child Development-Head Start

ABSTRACT: The evaluation investigates the impact on children and parents who have received comprehensive services to the entire families. The evaluation examines the impact on two waves of children; one which will be followed from entrance into Head Start through age 8 and a second wave which will be followed from the first year of life through Head Start. It also examines individualization of services and related types of services families receive to impact. The results will be used to determine ways to extend services to other family members beyond the Head Start child.

DESCRIPTORS: Child Development, Compensatory Education, Family, Survey or Questionnaire Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1278 **PROJECT NO:** OCD 76-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-105-76-1102
COST (In Thousands): FY 75-\$381, FY 76-1-\$519
PROJECT STATUS: Study completed
START/END DATES: July '75 through October '77

0258

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Evaluation of the Educational Services and Basic Educational Skills Demonstration

REPORT TITLE: Not yet determined

PERFORMER: NTC Research Corporation; 2634 Chapel Hill Boulevard; Durham, NC

AGENCY CONTACT: Deloria, Dennis; 5044 Donohoe Building; 202-755-7750

PROGRAM(S) EVALUATED:
HD-Child Development-Head Start

ABSTRACT: This project will conduct the preliminary phases of a major national evaluation of education in Head Start and of a new Head Start experimental initiative, the Basic Educational Skills Program. The evaluation will entail an extensive assessment of preschool experiences for three groups of parents, children and families: 1) a Head Start group randomly assigned from a nationally representative sample of all Head Start programs, 2) a control group (CG) residing in the same areas as the Head Start group and 3) a Head Start with Basic Educational Skills group (BES). Four major evaluations will be addressed: 1) What different types of approaches do Head Start programs use to deliver the educational services? 2) What are the outcomes for children and for parents of the three preschool experiences? 3) What are the differences both in programs delivered, and in impact among programs delivered, and in impact among programs with special emphases (BES), programs generally representative of all Head Start programs (HSG), and control experiences (CG)? 4) What is the relationship between particular program components (e.g.) curriculum, parent involvement and outcomes?

DESCRIPTORS: Child Development, Educational Techniques, Research and Evaluation Methods Feature, Learning Processes
EVALUATION TYPE: Program Impact

EDC ID NO: 1542

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: 78-1306

COST (In Thousands): FY 78-\$700

PROJECT STATUS: Study in process

START/END DATES: September '78 through September '79

PROJECT NO: ACYF 78-306

NTIS NO: Not yet determined

ERIC NO: Not available

0259

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Evaluation of the Head Start/Medicaid EPSDT Collaboration Project

REPORT TITLE: Not yet determined

PERFORMER: Boone, Young, and Associates, Inc.; 551 Fifth Avenue; New York, New York 10017; Robert Sims

AGENCY CONTACT: Brown, Dr. Bernard; Rm 5044 Donohoe Bldg.; 202-755-7751

PROGRAM(S) EVALUATED:
HD-Child Development-Head Start;
HCFA-Medicaid-EPSDT

ABSTRACT: The purpose of this project is to demonstrate replicable approaches to bringing about local collaboration among Head Start programs, state Medicaid agencies, and community health and related resources in the delivery of Early and Periodic Screening, Diagno-

sis, and Treatment (EPSDT) programs. Specific objectives include determining numbers of children and families involved, extent of services used and necessary, reprogramming of resources, relative effectiveness of different outreach methods, and strengths and weakness of collaborative efforts. Methodology includes periodic reporting from Health Coordinators, review of state EPSDT plans, site visits, and mail and telephone contacts. See also EDC 0979.

DESCRIPTORS: Case or Site Study Feature, Social Services Delivery Systems, Demonstration Projects, Program Coordination, Survey or Questionnaire Feature, Preventive Health Care

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1282

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: HEW-105-76-1114

COST (In Thousands): FY 76-\$108

PROJECT STATUS: Study completed

START/END DATES: March '76 through February '77

PROJECT NO: OCD-76-5

NTIS NO: Not yet determined

ERIC NO: Not available

0260

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: An Evaluation of the Migrant Head Start Programs

REPORT TITLE: Not yet determined

PERFORMER: J.A. Reyes Association, Inc.; 1633 16th Street, N.W.; Washington, D.C. 20009

AGENCY CONTACT: Bates, Barbara; Room 5739 Donohoe Building; 202-755-7448

PROGRAM(S) EVALUATED:
HD-Child Development-Head Start

ABSTRACT: The first phase of this three-phase project consists of instrument development, a study of the six Migrant Head Start programs operating in home base areas from September to May, and a study of the children and families served by these programs. A portion of these children and families will be followed while in-stream with regard to services they receive. The second phase includes a study of nine Migrant Head Start Programs operating in destination areas from May to September and a study of the children and families served by these programs. The third phase consists of a comparative analysis of the various Migrant Head Start models with regard to provision of services.

DESCRIPTORS: Child Development, Migrants
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1546

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: 78-1304

COST (In Thousands): FY 78-\$179

PROJECT STATUS: Study in process

START/END DATES: September '78 through May '80

PROJECT NO: ACYF 78-304

NTIS NO: Not yet determined

ERIC NO: Not yet determined

0261

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: An Evaluation of the Process of Mainstreaming Handicapped Children into Head Start (Phases I and II)

REPORT TITLE: Evaluation of the Process of Mainstreaming Handicapped Children into Project Head Start, Phases I and II

PERFORMER: Applied Management Sciences; 962 Wayne Avenue, Suite 701; Silver Spring, Maryland 20910

AGENCY CONTACT: Bates, Barbara; Rm 5735, Donohoe Bldg.; 202-755-7740

PROGRAM(S) EVALUATED:

HD-Child Development-Head Start

ABSTRACT: The purpose of this study is to assess the effectiveness of the processes by which handicapped children are mainstreamed and provided with appropriate services in Project Head Start Programs, and to identify successful approaches which can be used for program improvement. Mainstreaming is defined as serving handicapped children in a classroom or other program setting with non-handicapped children. A nationally representative sample of 60 Head Start sites, and children representing the range of handicapping conditions served in Head Start will be included in the study. This study shall also include a determination of services being provided by other agencies or resources to eligible handicapped children who are not enrolled in Head Start Programs. (Phase I Final Report, 250 pages; Phase II Final Report 250 pages.)

DESCRIPTORS: Handicapping Conditions and Problems, Children and Youth, Survey or Questionnaire Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1285

PROJECT NO: OCD 76-9

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: ED 168-240, 236-239

CONTRACT NO: HEW-105-76-1113

COST (In Thousands): FY 76-\$279, FY 77-\$711

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from ERIC

START/END DATES: June '76 through December '78

0262

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Evaluation of the Runaway Youth Program

REPORT TITLE: Not yet determined

PERFORMER: Berkeley Planning Associates; 1912 Bonita Avenue; Berkeley, CA 947

AGENCY CONTACT: Morgenstern, Sheila; Rm 3268 HEW North Building; 202-245-2840

PROGRAM(S) EVALUATED:

HD-Youth Development-Runaway Youth

ABSTRACT: The project is designed to examine the extent to which a purposive sample of 20 projects--funded under the Runaway Youth Act--selected to represent the various kinds of funded projects with respect to the types of clients served, the range of services provided, and other key project characteristics--have operationalized and are meeting the four goals of the legislation. The impact study phase of the evaluation is designed to provide comprehensive data on the effectiveness of the service provided to runaway youth and their families, as measured against the variables specified in the goals of the Runaway Youth Act, at the termination of temporary shelter and at follow-up, five weeks later. These data were compiled through inter-

views conducted with the youth, a parent figure, and the counselor. The corollary organizational goal assessment phase of the study is designed to generate documentation on the extent to which legislative goals are being operationalized by the projects; to determine the effect of specific organizational, community, and other local factors on such goal operationalization; and to assess the impact of these factors on the effective delivery of service to runaway youth and their families.

DESCRIPTORS: Dropouts and Runaways, Children and Youth, Counseling, Family, Outreach and Referral, Research and Evaluation Methods Feature, Regulation and Standard Setting, Survey or Questionnaire Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 1547

PROJECT NO: ACYF 77-1

FUND SOURCE: Research

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-105-77-2000

COST (In Thousands): FY 77-\$363

PROJECT STATUS: Study in process

START/END DATES: October '77 through April '78

0263

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Evaluation of the Transition of Head Start Children to Public Schools

REPORT TITLE: Not yet determined

PERFORMER: Abt Associates, Inc.

AGENCY CONTACT: Grotberg, Edith; 5044-D, Donohoe Bldg; 202-755-7750

PROGRAM(S) EVALUATED:

HD-Child Development-Head Start

ABSTRACT: The objective of this project is to assess the extent and circumstances under which Head Start contributes to social competence during the first year of public school.

DESCRIPTORS: Child Development, Elementary Education
EVALUATION TYPE: Program Impact

EDC ID NO: 0984

PROJECT NO: OCD 76-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 105-76-1103

COST (In Thousands): FY 76-\$256

PROJECT STATUS: Study completed

START/END DATES: July '75 through July '76

0264

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Evaluation of the Youth Demonstration Projects

REPORT TITLE: Not yet determined

PERFORMER: Westat Research; 11600 Nebel Street; Rockville, MD

AGENCY CONTACT: Morgenstern, Sheila; Rm 3268 HEW North Building; 202-245-2840

PROGRAM(S) EVALUATED:

HD-Youth Development-Runaway Youth

ABSTRACT: The Youth Demonstration Grants are designed to test and demonstrate the capacity of projects funded under the Runaway Youth Act to more comprehensively address the problems of youth and families in the communities in which they are located beyond those crises that are associated with running away from home. Specific youth/family target populations being served by these demonstration projects (e.g., teenage prostitutes, pregnant adolescents, youth from divorced and/or relocated families, deinstitutionalized status offenders) were determined by each project, based upon the documented needs of youth and families which are not being adequately addressed in the community. The evaluation of the Youth Demonstration Grants, which is both process and impact oriented, is designed to document the impact of expanding the range of services provided/the types of clients served on the runaway youth project themselves; and, to assess the effectiveness of the services provided in addressing the specific needs of the youth and families served. These analyses will be conducted on both a project-by-project basis and comparatively across the projects.

DESCRIPTORS: Children and Youth, Dropouts and Runaways, Counseling, Family, Research and Evaluation Methods Feature, Survey or Questionnaire Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 1548

PROJECT NO: ACYF 78-201

FUND SOURCE: Research

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-105-78-1201

COST (In Thousands): FY 78-\$150

PROJECT STATUS: Study in process

START/END DATES: September '78 through September '80

0265

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: A Feasibility Study and Design for the Child and Family Resource Program Summative and Follow-up Evaluation

REPORT TITLE: None

PERFORMER: Huron Institute; 119 Mt. Auburn St.; Cambridge, Ma. 02138

AGENCY CONTACT: Kresh, Esther; 5044-A, Donohoe Bldg; 202-755-7705

PROGRAM(S) EVALUATED:

HD-Child Development-Research and Demonstration

ABSTRACT: This study is an inquiry into whether evaluation and follow-up studies of CFRP are possible, and if so, the study will develop a design for them. No final report is available as this study was prepared for in-house use.

DESCRIPTORS: Family, Child Development, Demonstration Projects, Feasibility Study Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0977

PROJECT NO: OCD 74-2

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-OS-74-265

COST (In Thousands): FY 74-\$50

PROJECT STATUS: Study completed

START/END DATES: June '74 through December '74

0266

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: An Evaluation of Head Start Training and Technical Assistance Program

REPORT TITLE: Final Report: To Evaluate the Overall Effectiveness of Project Head Start Training and Technical Assistance Program

PERFORMER: Kirschner Associates, Inc.; 733 15th St. NW; Washington, DC 20035

AGENCY CONTACT: Bates, Barbara; 5044-B, Donohoe Bldg; 202-755-7750

PROGRAM(S) EVALUATED:

HD-Child Development-Head Start

ABSTRACT: This evaluation determined whether the Training and Technical Assistance program was meeting its goals of training better Head Start staff; and if it was building local capacity in the area of children's services. The study measured the effectiveness of the present decentralized organization of the program.

DESCRIPTORS: Child Development, Technical Assistance, Social Work Manpower, Education Manpower

EVALUATION TYPE: Program Impact

EDC ID NO: 0980

PROJECT NO: OCD 74-5

FUND SOURCE: Evaluation

NTIS NO: SHR 0003085-86

MECHANISM: Contract

ERIC NO: ED 127030-31

CONTRACT NO: HEW-OS-74-246 105-74-1122

COST (In Thousands): FY 74-\$165, FY 75-\$25

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC,

Available from ERIC,

Available from NTIS

START/END DATES: June '74 through December '75

0267

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: A Formative Evaluation of the Child and Family Resource Program

REPORT TITLE: Final Report on the Design and Implementation of a Formative Evaluation Study for the Child and Family Resource Program

PERFORMER: Development Association, Inc.; 1521 New Hampshire Av. NW; Washington, DC 20036

AGENCY CONTACT: Kresh, Esther; 5044-A, Donohoe Bldg; 202-755-7750

PROGRAM(S) EVALUATED:

HD-Child Development-Research and Demonstration

ABSTRACT: This study is an assessment of the services being provided to CFRP families, and is designed to develop a reporting system, program models, and cost/effectiveness analyses for the program.

**Office of Human Development Services
Administration for Children Youth and Families**

Accession No. 0270

DESCRIPTORS: Demonstration Projects, Family, Child Development, Cost-Effectiveness Analysis Feature, Management Information and Reporting
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0976 **PROJECT NO:** OCD 74-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** ED 121-441
CONTRACT NO: HEW-OS-74-274
COST (In Thousands): FY 74-\$180
PROJECT STATUS: Study completed,
Available from ERIC
START/END DATES: June '74 through June '75

0268

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Follow-up Evaluation of Former Home Start Families and Home Start Training Center

REPORT TITLE: Not yet determined
PERFORMER: High Scope Educational Research Foundation; 600 North River Street; Ypsilanti, Michigan 48197
AGENCY CONTACT: Kresh, Dr. Esther; Rm 5044, Donohoe Bldg.; 202-755-7443

PROGRAM(S) EVALUATED:
HD-Child Development-Head Start

ABSTRACT: Home Start is an alternative to Head Start. This evaluation has two parts. In the first part, children and their families who participated in the Home Start demonstration are being evaluated to see if they maintain their gains and have as easy a transition into school as their Head Start peers and peers with no previous preschool experience. In the second part, the six Home Start Training Centers are being evaluated for their effectiveness in peer training and to see if they meet the demands for Home Based Training. The results will be used to determine whether Head Start should be encouraged to adopt Home Based options and to determine whether the HSTC's meet the training needs.

DESCRIPTORS: Child Development, Family, Consumer Education, Demonstration Projects, Survey or Questionnaire Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1288 **PROJECT NO:** OCD 76-12
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-105-76-1135
COST (In Thousands): FY 76-\$200, FY 77-\$200, FY 78-\$100
PROJECT STATUS: Study in process
START/END DATES: June '76 through November '79

0269

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Formative Evaluation of Child Abuse and Neglect Demonstration Resource Projects

REPORT TITLE: Various
PERFORMER: ACRA, Inc.; 1000 Vermont Ave NW; Washington, DC 20005

AGENCY CONTACT: Corrigan, John P.; Rm 5831 Donohoe Bldg; 202-755-0593

PROGRAM(S) EVALUATED:
HD-Child Development-Child Abuse

ABSTRACT: The 16 Resource Projects funded by NCCAN, which provide training, technical assistance, public awareness, needs assessment, and other services to programs for families and children involved in abuse and neglect are being evaluated. The formative aspects of resource project development will be stressed and an information system for the administration and monitoring of the projects has been established. This project has three phases, of which two are complete. The study was initially funded under Contracts No: HEW-105-75-1116; and HEW 105-76-1004. Phase III, Evaluation of Resource Demonstration Program, National Center on Child Abuse and Neglect, Draft Annual Report and Summary, was funded under contract No. HEW 105-77-1013 was completed in October 1978.

DESCRIPTORS: Child Welfare, Technical Assistance, Demonstration Projects, Information Dissemination, Management Information and Reporting, Planning, Case or Site Study Feature, Needs and Needs Assessment

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0988 **PROJECT NO:** OCD 75-5
FUND SOURCE: Research **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-105-77-1014
COST (In Thousands): FY 75-\$191, FY 76-\$305, FY 76.1-\$60, FY 77-\$217

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: December '74 through October '78

0270

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Formative Evaluation of Child Abuse and Neglect Innovative Demonstration Projects (Phase I and Phase II))

REPORT TITLE: Formative Evaluation of The Innovative Demonstration Projects in Child Abuse and Neglect
PERFORMER: CPI Associates; 2030 M Street NW; Washington, DC 20036 Dr. Paula Jean Miller, Project Director
AGENCY CONTACT: Hall, Kathleen M.; Rm 5839, Donohoe Bldg.; 202-755-0587

PROGRAM(S) EVALUATED:
HD-Child Development-Child Abuse

ABSTRACT: This contract constitutes a formative, or process evaluation of eight Child Abuse and Neglect Innovative Demonstration Projects serving special target populations: Native Americans, Isolated rural, migrant and military families. The Phase I contract was concerned with documenting the formative development and operation of the demonstration projects, including the establishment of an information system to monitor the projects. Phase II constitutes an analysis and synthesis of the data, including the development of five special analysis studies and a final report, which will provide quantitative and descriptive information on project activities and various approaches to service delivery.

DESCRIPTORS: Child Welfare, Native Americans, Demonstration Projects, Counseling, Family, Migrants, Outreach and Referral, Social Services Delivery Systems, Case or Site Study Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1280
FUND SOURCE: Research
MECHANISM: Contract
CONTRACT NO: HEW-105-76-1118 105-77-1014
COST (In Thousands): FY 76-\$189, FY 77-\$175
PROJECT STATUS: Study completed,
 Available from EDC
START/END DATES: August '75 through April JulyAugust-March '77

PROJECT NO: OCD 76-3**NTIS NO:** EDC Only**ERIC NO:** Not available

0271

AGENCY SPONSOR: Office of Human Development Services
 Administration for Children Youth and Families

PROJECT TITLE: Foster Care in Five States: A Synthesis & Analysis of Studies from Arizona, California, Mass. and Vermont

REPORT TITLE: Foster Care in Five States: A Synthesis & Analysis of Studies from Arizona, California, Mass. and Vermont

PERFORMER: Social Research Group; The George Washington University; Washington, D. C.; Shirley M. Vasaly

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

HD-Social Services-Foster Care;

HD-Social Services-Child Welfare Services

ABSTRACT: This report synthesizes data and recommendations from 10 studies undertaken by five states to evaluate their foster care (FC) and related programs. The report summarizes the general goals and status of FC nationally, describes the predominant reimbursement mechanisms and rates, and presents data on the natural families, the foster children, and agency staffing and services. The study finds that areas vary widely in availability of facilities, that there is much overlapping of authority and attendant lack of accountability, that program monitoring and data collection is weak, that efforts to avoid need for FC by strengthening families are weak, that staff training is needed, that foster parent training is needed, that few natural parents and few programs other than public assistance bear any of the financial costs, that voluntary action by citizens groups is not well exploited, and that adoption is much cheaper than FC on a long term basis. The report is available as DHEW Publication No. OHD 76-30097. (Final report 156 pages).

DESCRIPTORS: Foster Care and Adoption, Evaluation by State and Local Governments, Vouchers, Child Welfare, Family, Voluntary Action, Literature Review Feature, State or Local Administration
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0501**FUND SOURCE:** Evaluation**MECHANISM:** Contract**CONTRACT NO:** HEW-105-76-1120**COST (In Thousands):** FY 76-Not available**PROJECT STATUS:** Study completed,

Available from EDC

START/END DATES: July '75 through December '76**PROJECT NO:** OCD 76-3**NTIS NO:** Not yet determined**ERIC NO:** Not available

0272

AGENCY SPONSOR: Office of Human Development Services
 Administration for Children Youth and Families

PROJECT TITLE: Head Start Longitudinal Study - Disadvantaged Children and Their First School Experiences

REPORT TITLE: Various

PERFORMER: Educational Testing Service; Rosedale Road;
 Princeton, NJ 08540; Virginia Shirman

AGENCY CONTACT: Bryant, Maiso; 5720-A, Donohoe Bldg;
 202-755-7740

PROGRAM(S) EVALUATED:

HD-Child Development-Head Start

ABSTRACT: The purpose of this study was to identify aspects of early education that affect cognitive, personal, and social development of disadvantaged children, and to explain the influence of environmental and background variables. The study measured family, teacher, classroom, school, community, and child variables. It covered the ages of four through eight for about 1,800 children in four disparate sites. One-half of the children were Head Start enrollees. Many measures were used, including various test batteries and surveys of children, teachers, etc. Among the findings of preliminary analysis are: individual differences among children are far greater than and not appropriately measured by common SES measures, home environments in particular vary widely and are important predictors of children's capacity; self-esteem and enjoyment of school are high, especially for Head Start children, but fall off at grade 3; and changes in mothers' expectations influenced achievement. Available from ACYF and the EDC is a bibliography citing a series of reports available from ERIC. In addition to those listed in the bibliography, the Report, Stability and Chance in Family Status; Situational, and Process Variables and Their Relationship to Children's Cognitive Performance is available from ERIC ID No: ED 138339.

DESCRIPTORS: Child Development, Elementary Education, Survey or Questionnaire Feature, Children and Youth, Testing and Tests
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0982**FUND SOURCE:** Evaluation**MECHANISM:** Contract**CONTRACT NO:** H-8256

COST (In Thousands): FY 69-\$994, FY 70-\$1140, FY 71-\$536,
 FY 72-\$634, FY 73-\$587, FY 74-\$436, FY 75-\$250, FY
 76-Not available, FY 77-\$60

PROJECT STATUS: Study completed**START/END DATES:** August '68 through September '77**PROJECT NO:** OCD 74-9**NTIS NO:** Not yet determined**ERIC NO:** Not yet determined

0273

AGENCY SPONSOR: Office of Human Development Services
 Administration for Children Youth and Families

PROJECT TITLE: Impact Evaluation of Child Abuse and Neglect Demonstration Treatment and Innovative Programs

REPORT TITLE: Not yet determined

PERFORMER: Abt Associates, Inc.; 55 Wheeler Street; Cambridge,
 MASS 02138

AGENCY CONTACT: Mac Farlane, Kathleen; Rm. 5550, Donohoe
 Bldg.; 202-755-0593

PROGRAM(S) EVALUATED:

HD-Child Development-Child Care

ABSTRACT: An impact evaluation is being conducted on the eight (8) Innovati and twelve (12) Child Abuse and Neglect Demonstration Treatment Projects. This evaluation will address the impact and outcomes of the twenty NCCAS treatment-oriented demonstration projects in terms of clients, communities, program staff and cost.

DESCRIPTORS: Child Welfare, Demonstration Projects, Outreach and Referral, Program Coordination, Social Services Delivery Systems, Case or Site Study Feature, Family, Migrants, Native Americans, Management Information and Reporting
EVALUATION TYPE: Program Impact

EDC ID NO: 1722 **PROJECT NO:** ACYF-77-22
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-105-77-1047
COST (In Thousands): FY 77-\$474, FY 78-\$0, FY 79-\$19
PROJECT STATUS: Study in process
START/END DATES: September '77 through May '79

0274

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Evaluation of the Home Start Program

REPORT TITLE: National Home Start Evaluation Interim Report
VI: Program Issues, Outcomes and Costs

PERFORMER: High Scope Educational Research Foundation; 125
N. Huron Street; Ypsilanti, Michigan 10017

AGENCY CONTACT: Kresh, Esther; 5044-A, Donohoe Bldg;
202-755-7750

PROGRAM(S) EVALUATED:
HD-Child Development-Head Start;
HD-Child Development-Research and Demonstration

ABSTRACT: An assessment of the effectiveness of Home Start demonstration projects from which will be derived program models and cost-effectiveness estimates for wider application. See also EDC 1288.

DESCRIPTORS: Child Development, Family, Demonstration Projects, Cost-Effectiveness Analysis Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0978 **PROJECT NO:** OCD 74-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** ED 134-321-322
CONTRACT NO: HEW-OS-72-127
COST (In Thousands): FY 74-\$613
PROJECT STATUS: Study completed,
Available from ERIC
START/END DATES: March '74 through March '75

0275

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: An Evaluation of the Transition of Head Start Children into Public School

REPORT TITLE: A National Survey of Head Start Graduates and Their Peers

PROJECT DESCRIPTIONS

PERFORMER: Abt Associates, Inc.; 55 Wheeler Street, Cambridge, Massachusetts 02138; Eugene C. Royster

AGENCY CONTACT: Deloria, Dennis; Rm 5044, Donohoe Building; 202-755-7750

PROGRAM(S) EVALUATED:
HD-Child Development-Head Start

ABSTRACT: The national study was initiated to assess the impact of Head Start on children and their parents during the children's first year in elementary school. Head Start center directors endorse self-esteem and social skill development as priority curriculum goals of the program. Children and families are provided with a full range of health and social services. The experimental group in the study consisted of children who graduated from Head Start programs in May or June of 1976 and their parents. A comparison group included children with no preschool experience who were students in the same classrooms as Head Start children and their parents. The total number of children across 32 sites for whom positive parental responses were obtained was 932. The sample was selected from a total of 1,580 children, for an overall response rate of 59 percent. Activities provided by Head Start encompassed dramatic play, creative experiences, science, math concepts, language development, small and large muscle development, field trips, social skill development for both children and adults, problemsolving skills, health/safety rules, health habits, and food habits. Characteristics most closely associated with achievement were child's age, mother's level of education, child's ethnic group, and parental attitudes. Head Start children, as compared to the control group, tended to be rated by teachers as higher in seeking proximity, contact, and attention from children and adults; lower in demonstrating conflict, etc.

DESCRIPTORS: Child Development, Elementary Education, Children and Youth, Survey or Questionnaire Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 1279 **PROJECT NO:** OCD 76-2
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-105-76-1103
COST (In Thousands): FY 76-\$447, FY 76.1-\$360
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: July '75 through March '78

0276

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: A Process Evaluation of the State-Level Community Coordinated Child Care (4-C) Program

REPORT TITLE: Not yet determined

PERFORMER: E.H. White and Co.; 347 Clement Street; San Francisco, California 94118; Julia G. Shepard

AGENCY CONTACT: Brown, Dr. Bernard; Rm 5044 Donohoe Bldg.; 202-755-7751

PROGRAM(S) EVALUATED:
HD-Child Development

ABSTRACT: The objective of this project is to obtain descriptive and evaluative information about successful approaches for present 4-C Child Care Coordination projects, indications of program progress, programs and components for implementation in other states, program refinements, costs, and nature of problems. Evaluators will conduct site visits to each of seventeen states and an information system will be developed to aid Federal and state policymakers. In addition, a set of newly funded projects from FY 77 will be evaluated to obtain information about start-up problems in capacity building efforts.

DESCRIPTORS: Child Development, Program Coordination, Technical Assistance, Management Information and Reporting, Case or Site Study Feature, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1287 **PROJECT NO:** OCD 76-11
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-105-76-1121
COST (In Thousands): FY 76-\$160, FY 77-\$125
PROJECT STATUS: Study in process
START/END DATES: June '76 through September '78

0277

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Study and Investigation of the National Incidence of Child Abuse and Neglect

REPORT TITLE: Report and Plan on Recommended Approaches and Methods for Determination of National Incidence of Child Abuse and Neglect

PERFORMER: Burt Associates; 7315 Wisconsin Ave.; Bethesda, Md.

AGENCY CONTACT: Gershenson, Charles; Rm 5153, Donohoe Bldg; 202-755-7583

PROGRAM(S) EVALUATED:
HD-Child Development-Child Abuse

ABSTRACT: This report describes the development of a methodology for determining the incidence of child abuse and neglect during an initial 12-month period as a baseline for detecting trends during succeeding years of implementation. Thirteen possible approaches for estimating incidence are identified, discussed, and evaluated according to specific criteria. Each approach is further evaluated with regard to twelve postulated policy issues and cost effectiveness. Based on the evaluation criteria, a methodology is recommended consisting of two independent approaches for determining abuse incidence (a nomination survey utilizing a questionnaire and a randomized response telephone survey) and two independent approaches for determining neglect incidence (an in-person random citizen survey utilizing a questionnaire and interviews by a highly trained social worker).

DESCRIPTORS: Child Welfare, Survey or Questionnaire Feature, Research and Evaluation Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0991 **PROJECT NO:** OCD 75-4
FUND SOURCE: Evaluation **NTIS NO:** PB 250-853-854
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 75-0058
COST (In Thousands): FY 75-\$107
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: December '74 through November '75

0278

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Secondary Analysis of the Data from the Evaluation of the Transition of Head Start Children into Public Schools

REPORT TITLE: Not yet determined

PERFORMER: Virginia Polytechnic Institute and State University; Blacksburg, VA 24061

AGENCY CONTACT: Deloria, Dennis; Rm. 5044 Donohoe Bldg; 202-755-7750

PROGRAM(S) EVALUATED:
HD-Child Development-Head Start

ABSTRACT: This project will conduct a secondary analysis of data collected in an earlier study which was designed to find out how Head Start influences children's transition into public schools. A measurement battery including child response measures, child rating scales completed by the child's elementary school teacher, a parent interview, a teacher interview, and questionnaires completed by Head Start Center and by Head Start Delegate agency staff was administered in 32 sites selected by random sample, child response measures, teacher ratings and parent interviews were gathered for 656 Head Start children and 670 non-Head Start children. Data collection began in Fall, 1976 when the children entered first grade. Analyses were conducted and results reported on Head Start Center characteristics, Head Start program descriptions, parent attitudes, and child outcomes measures. In addition, comparisons of Head Start and non-Head Start outcome data were conducted. This project will extend and complete the analysis to include a more detailed examination of the relationship of program goals, activities and components to the child social competence measures and additional comparisons of Head Start and non-Head Start groups.

DESCRIPTORS: Child Development, Statistical Analysis Methods Feature, Case or Site Study Feature

EVALUATION TYPE: Research and Development Data

EDC ID NO: 1544 **PROJECT NO:** ACYF-78-303
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 78-1303
COST (In Thousands): FY 78-\$94
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '79

0279

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Conducting a Survey of Handicapped Efforts in 1975-1976 Head Start Programs

REPORT TITLE: The Status of Handicapped Children in Head Start Programs: Fourth, Fifth, and Sixth Annual Reports

PERFORMER: Informatics, Inc.; 6000 Executive Blvd.; Rockville, Maryland 20852; Rudy Sterr, 301-770-3000

AGENCY CONTACT: Bates, Barbara; Rm 5735, Donohoe Bldg; 202-755-7740

PROGRAM(S) EVALUATED:
HD-Child Development-Head Start

ABSTRACT: The purpose of this study was to conduct surveys to describe the status of handicapped children enrolled in Full Year and

Summer Head Start Programs. Data from the surveys provided information on the number of handicapped children being served, their handicapping conditions, and the services being provided for them. This work included completing the Summer 1975 Survey, conducting the Full Year 1975-1976 Survey, and conducting the Summer 1976 Survey. Data analysis from this effort was used in preparation of the Fourth, Fifth, and Sixth Annual Reports to Congress on Services Provided to Handicapped Children in Project Head Start.

DESCRIPTORS: Handicapping Conditions and Problems, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1283
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: HEW-105-76-1105 HEW-105-77-1004
COST (In Thousands): FY 76-\$102
PROJECT STATUS: Study completed,
Available from EDC,
Available from ERIC
START/END DATES: April '76 through February '77

0280

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Survey of Handicapped Efforts in 1978-79 and 1979-80

REPORT TITLE: Not yet determined
PERFORMER: Informatics, Inc.; 6011 Executive Boulevard;
Rockville, MD 20652
AGENCY CONTACT: Bates, Barbara; 5739 Donohoe Building;
202-755-7448

PROGRAM(S) EVALUATED:
HD-Child Development-Head Start

ABSTRACT: The purpose of this project is to conduct a survey by mail to determine the status of handicapped children enrolled in Head Start programs. The survey provides information for the Seventh Annual Report to Congress on the number of children enrolled, their handicapping conditions and the services being provided.

DESCRIPTORS: Handicapping Conditions and Problems, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1543
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: 78-1305
COST (In Thousands): FY 7-\$80
PROJECT STATUS: Study in process
START/END DATES: September '78 through October '80

0281

AGENCY SPONSOR: Office of Human Development Services
Administration for Children Youth and Families

PROJECT TITLE: Not yet determined

REPORT TITLE: Vol. I Design Report Draft Evaluation of Child and Family Resource Programs, Vol. II Report of Baseline Data

PROJECT DESCRIPTIONS

PERFORMER: Abt Associates, Inc.; Cambridge, Mass.
AGENCY CONTACT: Bates, Barbara; Donohoe Bldg.; 202-775-7443
PROGRAM(S) EVALUATED:

HD-Child Development-Program Direction & Management;
HD-Child Development-Head Start

ABSTRACT: The Child and Family Resource Program (CFRP) is a program designed to provide family support services crucial for, and directly related to, the sustained health growth and development of children from the prenatal period through age eight. It is operated by Head Start grantees. This report describes the research design, research questions and analytic procedures to be employed in the evaluation of CFRP. Section 2 of this report discusses the research design and research questions for the evaluation and the three major sub-studies of the evaluation. Section 3, along with the associated appendices, is a description of analytic procedures that will be employed during the analyses of the baseline information gathered in the fall of 1978. Volume II documents the start-up of the three evaluation sub-studies and presents preliminary descriptive data for all three studies collected in fall 1978. For each study, it describes data collection staff, procedures and problems, and instruments used and presents selected baseline data. This two-volume interim report is the first in a continuing series of reports scheduled throughout the CFRP evaluation to provide policy-relevant information to ACYF at timely intervals.

DESCRIPTORS: Child Development, Case or Site Study Feature, Family, Information Processing, Research and Evaluation Methods Feature,

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1648
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: HEW-105-77-1035
COST (In Thousands): FY 77-Not available
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: August '77 through March '79

ADMINISTRATION FOR NATIVE AMERICANS

0282

AGENCY SPONSOR: Office of Human Development Services
Administration for Native Americans

PROJECT TITLE: Evaluation of Grantees for the Office of Native American Programs (ONot availableP)

REPORT TITLE: Evaluation of Grantees for the Office of Native American Programs

PERFORMER: Centaur Management Consultants, Inc; 1120 Connecticut Avenue, N.W.; Washington, D. C. 20036
AGENCY CONTACT: Stausa, Jay; Rm 357G; South Portal Bldg;
202-426-3960

PROGRAM(S) EVALUATED:
HD-Native Americans Program

ABSTRACT: The original scope of work was to test certain tools and techniques which a small sample of ONAP grantees could use to more effectively plan for, manage, and evaluate their service delivery programs. redirection in the scope of work was called for to comply with a legislative mandate and ASHD Directive that ONAP evaluate its grantees by the end of Fiscal year of 1976 to determine the effectiveness of its grant and grant-related programs in promoting economic and social self-sufficiency for its constituency. Interim Evaluation Standards were published and evaluations against those Standards were initiated. Essentially, the contractor was responsible for the collection of detailed baseline data on grantees' project activities, the assessment of the relative effectiveness of the overall grant program through the evaluation of the individual grantee projects, and finally, the testing of the adequacy of the Interim Standards as measures of program/ project effectiveness. Of 160 grantees, 74 were designated to a cursory review and assessment; 19 grantees were given a more in depth evaluation. While office analyses of the reports are not yet complete, certain information generated by the reports are under use by ONAP in its development of Final Evaluation Standards and methodology for conduct of subsequent evaluations.

DESCRIPTORS: Native Americans, Evaluation by State and Local Governments, Regulation and Standard Setting, Economic Growth, Survey or Questionnaire Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0965

PROJECT NO: ONot availableP 76-01

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 105-76-6110

COST (In Thousands): FY 76-\$166

PROJECT STATUS: Study completed

START/END DATES: March '76 through September '76

ADMINISTRATION ON AGING

0283

AGENCY SPONSOR: Office of Human Development Services
Administration on Aging

PROJECT TITLE: Development of Evaluation and Monitoring Tools for the Area Agencies on Aging

REPORT TITLE: Area Agency on Aging: Awards Monitoring Procedures, Self-Assessment Procedures, and Manual of Award Procedures

PERFORMER: Abt Associates, Inc.; 55 Wheeler Street, Cambridge, Mass.

AGENCY CONTACT: Luhmann, Fred; Rm 4954 HEW North; 202-472-3057

PROGRAM(S) EVALUATED:

HD-Aging-Community Services-Area Planning;
HD-Aging-Community Services-State Agency Activities;
HD-Aging-Program Direction & Management

ABSTRACT: The materials in the report have been prepared to assist area agencies to monitor and to assess the activities of those agencies and organizations which have received Title III awards to support the development of a comprehensive and coordinated system of ser-

vices for older people. The Manual, which includes various forms, procedures, and instructions may not be applicable as is to each agency. The materials are supposed to serve as models or ideas which can be adapted as necessary. (Final report 320 pages)

DESCRIPTORS: Grant and Contract Management, Elderly, Research and Evaluation Planning and Administration, Research and Evaluation Performance, Research and Evaluation Methods Feature, Planning

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0399

PROJECT NO: AOA 74-20

FUND SOURCE: Evaluation

NTIS NO: PB 246-235-237

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-05-74-256

COST (In Thousands): FY 74-\$90

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS

START/END DATES: June '74 through May '75

0286

AGENCY SPONSOR: Office of Human Development Services
Administration on Aging

PROJECT TITLE: Evaluation of Information and Referral Services for Older Persons

REPORT TITLE: Not yet determined

PERFORMER: Mark Battle Associates; 1019 19th Street, NW; Washington, D.C.

AGENCY CONTACT: Lopatin, Donna R.; Rm 4741 HEW-N Bldg.; 202-472-3042

PROGRAM(S) EVALUATED:

HD-Aging-Community Services-Social Services

ABSTRACT: The purpose of this study was to determine whether and to what degree State and Area Agencies on Aging are Meeting immediate and long-range goals of the Administration on Aging (AOA) for information and referral services for older persons. It also was to identify factors related to whether these goals were being met and to develop recommendations to assist AOA in helping State Area Agencies to improve I&R services to older persons. The study found that most of the immediate goals and some of the long-range goals were being met, but improvement was needed in the areas of publicity, barrier-free access to I&R agencies, and records and reports. ID No: 127

DESCRIPTORS: Elderly, Social Services Delivery Systems, Outreach and Referral, Survey or Questionnaire Feature, Handicapping Conditions and Problems

EVALUATION TYPE: Program Impact

EDC ID NO: 1273

PROJECT NO: AOA 76-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-105-76-3117

COST (In Thousands): FY 76-\$136

PROJECT STATUS: Study completed

START/END DATES: July '75 through February '77

Office of Human Development Services
Administration on Aging

Accession No. 0289

0287

AGENCY SPONSOR: Office of Human Development Services
Administration on Aging

PROJECT TITLE: Evaluation of Nutrition Program Outreach
Activities

REPORT TITLE: An Evaluation of Outreach of the Nutrition
Program for the Elderly

PERFORMER: Opinion Research Corporation; North Harrison St;
Princeton, NJ 08540

AGENCY CONTACT: Gilmore, Robert

PROGRAM(S) EVALUATED:
HD-Aging-Nutrition Program

ABSTRACT: This study investigates the quality of the outreach component of the Aging Nutrition program. Outreach involves efforts made to inform people of the existence and nature of the program and to recruit eligible persons. Questions included whether outreach was needed or used in the initial filling of sites; used for replacement purposes; or used on a continuing basis to reach the needy. Interviews were conducted with 2,000 randomly selected persons, both participants at 30 nutrition program sites and others living in the areas served by the sites. The study found that sites tend to be either (1) "open", in which participants come once or twice a week and nutrition is a primary goal; or (2) "needy", in which participants eat four to five times a week and nutrition is considered relatively less important than socialization. Outreach at "open" sites is mostly word of mouth, and at "needy" sites is heavily promoted by personal contact. Participants at the sites were found to be better off than nonparticipants in terms of both nutrition and mental health, although of course this cannot be attributed to the program rather than self-selection. Recommendations concern overall project direction, areas of interest to project personnel at the local level, and future evaluation. (Final report 196 pages).

DESCRIPTORS: Elderly, Food and Nutrition, Outreach and Referral, Consumer Evaluation Feature, Survey or Questionnaire Feature
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0302 **PROJECT NO:** AOA 74-13
FUND SOURCE: Evaluation **NTIS NO:** SHR-0001356
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HEW-OS-74-247

COST (In Thousands): FY 74-\$157

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '74 through December '75

0288

AGENCY SPONSOR: Office of Human Development Services
Administration on Aging

PROJECT TITLE: Evaluation of the Area Planning and Social
Services Program

REPORT TITLE: Not yet determined

PERFORMER: Westat, Inc.; 11600 Nebel Street; Rockville, MD
20852

AGENCY CONTACT: Kornegay, Sally A.; Rm 4749; HEW North;
202-472-3042

PROGRAM(S) EVALUATED:
HD-Aging-Community Services-Area Planning

ABSTRACT: This evaluation will measure the effectiveness of the area agencies on aging in producing more comprehensive and coordinated

service delivery systems for the elderly. A national representative sample of planning and service areas was selected. For each site a sample of service delivery system representatives was selected including the area agency on aging and its related agencies, direct service providers and influential persons. Data was collected for the current year and for two years previous to when the program began. Data collection will also occur at the same sites in two years. Respondents are requested for data on changes in services to the elderly and for descriptions of the actors involved and their actions which produced the changes. The role of the area agency on aging is specifically defined. Descriptive program operating data are collected. Four additional years are planned with a report to be issued at the end of each year.

DESCRIPTORS: Elderly, Social Services Delivery Systems, State or Local Administration, Program Coordination, Planning
EVALUATION TYPE: Program Impact

EDC ID NO: 0967 **PROJECT NO:** AOA-76.1-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-105-76-3114
COST (In Thousands): FY 76.1-\$612
PROJECT STATUS: Study completed
START/END DATES: July '76 through June '77

0289

AGENCY SPONSOR: Office of Human Development Services
Administration on Aging

PROJECT TITLE: Evaluation of the Title XX Process--Second Year
Impacts

REPORT TITLE: Not yet determined

PERFORMER: The Urban Institute; 2100 M Street, N.W.;
Washington, DC 20037

AGENCY CONTACT: Lopatin, Donna R.; Rm. 4741, HEW-North;
202-472-3043

PROGRAM(S) EVALUATED:
HD-Social Services;
HD-Aging-Community Services-Area Planning

ABSTRACT: The purpose of this study is to determine the impact of Title XX on the State social services systems. The study will also collect specific data on services to the elderly under Title XX, the cost of these services, the participation and influence of State and Area Agencies in the Title XX planning and implementation process, and the participation and influence of providers aging-related groups, and older persons in the Title process. This study is sponsored by SRS. AOA is co-sponsoring this study to obtain the increased data on activities of the State and Area Agencies.

DESCRIPTORS: Social Services Delivery Systems, Survey or Questionnaire Feature, Elderly, State or Local Administration, Planning
EVALUATION TYPE: Program Impact

EDC ID NO: 1272 **PROJECT NO:** AOA 75-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Transfer **ERIC NO:** Not available
TRANSFER NO: SRS-500-75-0010
COST (In Thousands): FY 75-\$75, FY 76-\$75
PROJECT STATUS: Study completed
START/END DATES: June '75 through July '77

0290

AGENCY SPONSOR: Office of Human Development Services
Administration on Aging**PROJECT TITLE:** Evaluation of the Use and Effectiveness of
Interagency Agreements at the State Level**REPORT TITLE:** Not yet determined**PERFORMER:** National Institute for Advanced Studies, Inc.; 600 E
Street NW, Suite 100; Washington, D.C. 20004**AGENCY CONTACT:** White, Howard; Rm 4282 HEW North;
202-245-0004**PROGRAM(S) EVALUATED:**HD-Aging-Community Services-State Agency Activities;
HD-Aging-Program Direction & Management**ABSTRACT:** The purpose of this study is to determine how interagency agreements at the State Agency on Aging level are negotiated and carried out and how Federal Interagency agreements made between the Administration on Aging and the Federal agencies effect the development and utilization of State level agreements. The study will include a content analysis of existing State and Federal agreements and field data collection on the negotiation and implementation of State level agreements. A final report will be published.**DESCRIPTORS:** Program Coordination, Elderly, Federal State and Local Relations**EVALUATION TYPE:** Program Management or Efficiency**EDC ID NO:** 1276**PROJECT NO:** AOA 76.1-2**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HEW-105-76-3118**COST (In Thousands):** FY 76.1-\$143**PROJECT STATUS:** Study completed**START/END DATES:** September '76 through September '77

0291

AGENCY SPONSOR: Office of Human Development Services
Administration on Aging**PROJECT TITLE:** Evaluation of Research and Demonstration
Utilization under Title IV of the Older Americans Act**REPORT TITLE:** Evaluation of the Utilization of Title IV R&D
Findings**PERFORMER:** Applied Management Sciences; 262 Wayne Ave;
Silver Sp, Md; 301-585-8181**AGENCY CONTACT:** Heaney, Judi; 202-245-0700**PROGRAM(S) EVALUATED:**
HD-Aging-Research**ABSTRACT:** This study examined the utilization of Aging R&D grant projects. These projects are primarily intended for state and local use. The study surveyed some 800 persons (state local and private providers, researchers, and local planners) who had contacted performers for copies of 25 studies selected by AOA staff to over represent both highly used and less popular, but potentially usable, projects. The study was therefore focused on good projects, and sought to determine factors influencing greater and lesser use. It found that utilization was greater for project reports than journal articles, when personal conversation with any source (e.g., project staff of other users) was involved, when reports contained substantial details on methodology and on how & why to use, when dissemination was active and formalized, when projects were easy to use and not costly to implement, when findings were recognized as especially significant, etc. Utilization most commonly took the form of further information transfer. Among the recommendations are that grant

regulations and review require specific dissemination plans; that final reports discuss in detail methodology, applicability to potential users, implementations problems, and evidence of success; and that AOA develop dissemination materials for all good projects, synthesis papers, and conferences. (Final report 335 pages).

DESCRIPTORS: Research and Evaluation Performance, Demonstration Projects, Elderly, Survey or Questionnaire Feature, Social Research, Research and Evaluation Planning and Administration, Regulation and Standard Setting, Grant and Contract Management
EVALUATION TYPE: Program Management or Efficiency**EDC ID NO:** 0503**PROJECT NO:** AOA 74-16**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HEW-OS-74-254**COST (In Thousands):** FY 74-\$64**PROJECT STATUS:** Study completed,Executive summary at EDC,
Available from EDC**START/END DATES:** June '74 through September '75

0292

AGENCY SPONSOR: Office of Human Development Services
Administration on Aging**PROJECT TITLE:** Longitudinal Evaluation of the Nutrition Program
for the Elderly**REPORT TITLE:** Not yet determined**PERFORMER:** Opinion Research Corporation; North Harrison
Street; Princeton, New Jersey**AGENCY CONTACT:** Gilmore, Robert J.; Rm. 4741, HEW-N
Bldg.; 202-472-3042**PROGRAM(S) EVALUATED:**

HD-Aging-Nutrition Program

ABSTRACT: The longitudinal evaluation is a 3 to 5 year study which is designed to obtain information about the impact of the program on its participants; determine what techniques and policies increase impact; and in general determine ways to increase program effectiveness. Changes in the following major participants' characteristics will be studied: nutritional status, life satisfaction, health status, longevity, degree of isolation, and institutionalization. The evaluation will attempt to determine if participants' characteristics change, the extent of these changes, whether changes result from participation and the specific aspects of the program which affect the elderly. The design of the study consists of two major components: a longitudinal study of over 5000 participants and nonparticipants of the nutrition program to determine program impact and a project review which will study 90 nutrition projects/sites to determine how and why projects and sites vary and how the variations affect program outcome. See EDC 0387 for the design of this study.**DESCRIPTORS:** Survey or Questionnaire Feature, Elderly, Food and Nutrition, Supportive Services**EVALUATION TYPE:** Program Impact**EDC ID NO:** 1274**PROJECT NO:** AOA 76-2**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HEW-OS 76-3110**COST (In Thousands):** FY 76-\$848**PROJECT STATUS:** Study completed**START/END DATES:** July '75 through April '77

0293

AGENCY SPONSOR: Office of Human Development Services
Administration on Aging

PROJECT TITLE: Modification of Contract to Develop a Plan and
Methodology for Evaluation of the Nutrition Program

REPORT TITLE: Longitudinal Study Design for Evaluation of the
National Nutrition Program for the Elderly

PERFORMER: Kirschner Associates; 5309 Sequoia Road, NW;
Albuquerque, New Mexico 87120

AGENCY CONTACT: White, Howard; 202-245-0004

PROGRAM(S) EVALUATED:

HD-Aging-Nutrition Program;

HD-Aging-Program Direction & Management

ABSTRACT: The report outlines a plan for conducting a longitudinal study to determine the effects of the national Nutrition Program for the Elderly. The plan includes (1) evaluation design strategy, (2) data collection and analysis systems, (3) project reviews, and (4) pre-testing of the entire design package in pilot studies. Appendices present and discuss the methods developed for selecting control groups, the details of the sampling plan used to insure reflection of varying project characteristics, and the social and psychological indicators used to measure program success. (Final report 189 pages.) ID No: 0

DESCRIPTORS: Survey or Questionnaire Feature, Statistical Analysis Methods Feature, Elderly, Food and Nutrition, Services Integration, Social Services Delivery Systems, Planning

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0398

PROJECT NO: AOA 74-5

FUND SOURCE: Evaluation

NTIS NO: PB 246-991

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-OS-74-89

COST (In Thousands): FY 73-\$129, FY 74-\$9

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS

START/END DATES: March '74 through July '74

0294

AGENCY SPONSOR: Office of Human Development Services
Administration on Aging

PROJECT TITLE: Manual on Objective Setting

REPORT TITLE: Not yet determined

PERFORMER: Kirschner, Inc.; 733 15th Street, N. W.; Washington,
D. C. 20005

AGENCY CONTACT: Heaney, J.; 202-245-0700

PROGRAM(S) EVALUATED:

HD-Aging-Nutrition Program

ABSTRACT: To develop and validate an OPS training manual and guide for the use of State and Area Agencies and Nutrition Project Directors.

DESCRIPTORS: Food and Nutrition, Elderly, Technical Assistance

EVALUATION TYPE: Support

EDC ID NO: 0969

PROJECT NO: AOA 76-4

FUND SOURCE:

NTIS NO: Not yet determined

MECHANISM: Not yet determined

ERIC NO: Not available

CONTRACT/GRANT NO: Not yet determined

COST (In Thousands): FY 76-\$86

PROJECT STATUS: Study completed

START/END DATES: February '74 through 10 '75

0295

AGENCY SPONSOR: Office of Human Development Services
Administration on Aging

PROJECT TITLE: Methodology for Evaluation of Area Agencies on
Aging

REPORT TITLE: Not yet determined

PERFORMER: Dept. of Commerce; Nat'l. Bureau of Standards;
Technical Analysis Division; Washington, D.C

AGENCY CONTACT: Kornegay, Sally; 202-245-0642

PROGRAM(S) EVALUATED:

HD-Aging-Community Services;

HD-Aging-Community Services-Model Projects

ABSTRACT: This project consists of a review of methodologies which have been used to evaluate programs with properties similar to area agencies. The technique will be reviewed in order to determine if they could be applied to a future evaluation. In areas where there is no existing methodology, an innovative alternative method will be developed.

DESCRIPTORS: Elderly, State or Local Administration, Research and Evaluation Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0963

PROJECT NO: AOA 74-4

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Inter-Agency

Agreement

ERIC NO: Not available

CONTRACT NO: OHD-AOA 74-1

COST (In Thousands): FY 74-\$50

PROJECT STATUS: Study completed

START/END DATES: February '74 through November '74

0296

AGENCY SPONSOR: Office of Human Development Services
Administration on Aging

PROJECT TITLE: AOA Data Base (Second Part)

REPORT TITLE: National Clearinghouse on Aging Thesaurus

PERFORMER: Documentation Associates; 2207 Glendon Avenue;
Los Angeles, CA 90064

AGENCY CONTACT: O'Mara, Eleanor; Rm 4749, HEW North;
202-472-3042

PROGRAM(S) EVALUATED:

HD-Aging-National Clearinghouse

ABSTRACT: A retrieval vocabulary for the document collection of the Administration on Aging of HEW is provided. The thesaurus is a listing of terms and relationships among terms arranged in a structured format to enable indexers and subject analysis to describe the subject information of a document to a desired specificity at input to an information system. A means for adding new terms and work relationships is included. In this thesaurus, key words were selected from documents in the National Clearinghouse on Aging collection and a basic list was created. This basic list was edited to reflect previously determined style conventions, format, and procedures for establishing word relationships. Subject specialists throughout the U.S. then added comments. Index terms are grouped into 17 subject

areas to establish term relationships. The following displays or sections provide different ways of identifying terms: alphabetic term display which includes broader, narrower, and related term references; rotated term display, with terms rearranged by each word within the term and sorted alphabetically; grouped term display, with terms listed alphabetically within their subject term group; hierarchical term display, which shows broader to narrower term relationships listed by broadest term; and delimiter list which lists terms that are used to further describe and specify the content of documents in the collection (Final report 98 pages).

DESCRIPTORS: Indices and Taxonomies, Information Dissemination, Information Systems

EVALUATION TYPE: Support

EDC ID NO: 0497 **PROJECT NO:** AoA 74-14
FUND SOURCE: Evaluation **NTIS NO:** PB 259-767
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: DHEW-OS-74-183
COST (In Thousands): FY 74-\$158, FY 75-\$4
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: August '74 through July '75

0297

AGENCY SPONSOR: Office of Human Development Services
Administration on Aging

PROJECT TITLE: Evaluation Tools for Nutrition Project Use

REPORT TITLE: Operational Tools for Nutrition Projects

PERFORMER: Lawrence Johnson Associates, Inc.; 2001 S Street,
N.W., Suite 502; Washington, D.C. 20009

AGENCY CONTACT: Gilmore, Robert; Rm 4929 Danohoe;
202-245-0700

PROGRAM(S) EVALUATED:
HD-Aging-Nutrition Program

ABSTRACT: Four tools of use in assessing, monitoring, and administering nutrition programs for the elderly are presented. The first tool is intended to assist project directors in preparing for a quarterly State assessment of their programs and in reviewing site operations. It is a condensed version of the Guide for Assessment of the Projects Under Title VII, and consists of selected standards and measures; project and onsite items to check for each standard; and a list of suggested activities associated with each standard. The second tool provides guidelines for conducting informal interviews with former participants in meals programs. Included are discussion topics of use in obtaining specific reasons for nonparticipation in the meals program, for identifying the strengths and weaknesses of the program, and to elicit information on meal preparation habits and social activities since leaving the program. The third tool provides a procedure for determining whether a food service contractor is performing in accordance with the terms of a contract. Contract monitoring procedures are listed. The final tool describes methods for comparing project food preparation costs with those of other agencies or commercial eating establishments and to reduce food preparation costs without sacrificing quality. (Final report 46 pages).

DESCRIPTORS: Evaluation by State and Local Governments, Technical Assistance, Management Information and Reporting, Elderly, Food and Nutrition, Grant and Contract Management, Consumer Evaluation Feature

EVALUATION TYPE: Support

EDC ID NO: 0499 **PROJECT NO:** AoA-74-06
FUND SOURCE: Evaluation **NTIS NO:** PB 259-741
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-OS-74-179
COST (In Thousands): FY 74-\$81
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: June '74 through June '75

0298

AGENCY SPONSOR: Office of Human Development Services
Administration on Aging

PROJECT TITLE: Region X - Evaluation of Consumer Participation
in AoA State and Local Programs

REPORT TITLE: Not yet determined

PERFORMER: Kirschner Assoc., Inc; Albuquerque, New Mexico
52976

AGENCY CONTACT: Stevens, Stephanie; 206-442-5341

PROGRAM(S) EVALUATED:
HD-Aging-Federal Council;
HD-Aging-Training;
HD-Aging-Community Services-State Agency Activities

ABSTRACT: Currently there is no information on the status of how advisory committees are being formed and the degree of involvement of consumers on these committees. This project will seek to define the purpose and role of advisory councils in Title III and Title VII aging programs, to identify variables influencing the ability of such councils to carry out their roles, and to make recommendations in such areas as policy, legislation, regulations, and training to improve advisory council operations and consumer participation on councils, and to develop a reputable model for the evaluation of consumer participation on advisory councils.

DESCRIPTORS: Citizen Participation, State or Local Administration, Research and Evaluation Performance, Elderly
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0966 **PROJECT NO:** AOA 74-19
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT/GRANT NO: Not yet determined
COST (In Thousands): FY 74-\$46
PROJECT STATUS: Study completed
START/END DATES: July '74 through June '75

0299

AGENCY SPONSOR: Office of Human Development Services
Administration on Aging

PROJECT TITLE: Social Statistics for the Elderly: Area Level
Feasibility Study

REPORT TITLE: Social Statistics for the Elderly: Area Level
System Stage 1: Omaha

PERFORMER: Center for Census Use Studies; Bureau of the
Census; Suitland, MD 20233; Michael Adams

AGENCY CONTACT: O'Mara, Eleanor; Rm 4749, HEW-North;
202-472-3042

PROGRAM(S) EVALUATED:
HD-Aging-Community Services-Area Planning

ABSTRACT: The objective of this project was to do a feasibility study on the development of a social statistics system that would organize existing secondary data in a Planning and Service Area so as to monitor the status of the elderly in terms of physical, mental, social and economic well-being for use in aging services planning. The feasibility study explored manual and computer options for tabulating existing census, vital statistics, and similar data. The study inventorized the various types of data available in Omaha (or almost anywhere). It recommended implementation of a high cost computerized option, pointing out that little geo-coded data is available at low cost. The study presented no evidence that previous systems of this type had ever proven useful or cost-beneficial. The system was not developed (Final report 113 pages).

DESCRIPTORS: Elderly, Statistical Data Feature, Planning, Social Indicators, Information Systems

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0496 **PROJECT NO:** AOA-74-17a

FUND SOURCE: Evaluation **NTIS NO:** PB-259-788

MECHANISM: Transfer **ERIC NO:** Not available

TRANSFER NO: OHD-AOA-74-2

COST (In Thousands): FY 74-\$15, FY 76-\$35

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: August '74 through August '75

0300

AGENCY SPONSOR: Office of Human Development Services
Administration on Aging

PROJECT TITLE: Social Statistics for the Elderly: State Level

REPORT TITLE: Social Statistics for the Elderly: State Level
System Users Manual; and State Level System Nebraska
Social Report

PERFORMER: Center for Census Use Studies; Bureau of the
Census; Suitland, MD 20233

AGENCY CONTACT: O'Mara, Eleanor; Rm 4749, HEW-North;
202-472-4042

PROGRAM(S) EVALUATED:
HD-Aging-Community Services-Area Planning

ABSTRACT: The objective of this project was to develop a social statistics system that would organize existing Statewide secondary data on the elderly so as to monitor their status in terms of physical, mental, social and economic well-being. The system incorporates a geographic dimension that relates these statistics to sub-areas of a State. The system provides a statistical picture of the current status of the elderly throughout the State and thereby allegedly gives a basis for determining the "needs" of the elderly. This project produced two reports. The "Users Manual" outlines the steps involved in setting up the system. The "Nebraska Social Report" is the actual product of the system having been used in Nebraska. (Final report two volumes, 123 and 482 pages.)

DESCRIPTORS: Elderly, Statistical Data Feature, Planning, Social Indicators, Needs and Needs Assessment

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0496.1 **PROJECT NO:** AOA 74-17(b)

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Transfer **ERIC NO:** Not available

TRANSFER NO: OHD-AOA-74-01

COST (In Thousands): FY 74-\$50, FY 75-\$225, FY 76-\$110

PROJECT STATUS: Study completed

START/END DATES: June '74 through January '77

0301

AGENCY SPONSOR: Office of Human Development Services
Administration on Aging

PROJECT TITLE: Strategy Evaluation for Establishment of a
National Clearinghouse on Aging

REPORT TITLE: Strategy for the Development of the National
Clearinghouse on Aging: Storage, Retrieval, and
Dissemination Functions

PERFORMER: Applied Management Sciences, Inc.; 262 Wayne
Avenue; Silver Spring, MD

AGENCY CONTACT: White, Howard; 202-245-0152

PROGRAM(S) EVALUATED:
HD-Aging-National Clearinghouse

ABSTRACT: A strategy involving information storage, retrieval, and dissemination functions is described. Basic objectives of the strategy development project were to determine needs that the National Clearinghouse can meet, to provide a strategy for meeting those needs which will allow the clearinghouse to fulfill its legislative mandate of providing information and services to persons in the field of aging. Surveys were conducted within a broad spectrum of Federal, regional, State, and local agencies; private sector organizations; researchers and service providers; and research centers and libraries, to determine information priorities and clearinghouse services and products which were most important to them, and to determine what resources exist in the field of aging. Recommendations contained in the study report describe a mechanism that will enable AOA to supply necessary information to national-level staff, State and area agencies, legislators and government officials, researchers and administrators in the field of aging, and older persons themselves. A legislative overview of activities in the field of aging is provided, along with information on clearinghouse functions, basic information collected to design a strategy, and general considerations in future clearinghouse strategy development (Final report 28 pages).

DESCRIPTORS: Information Dissemination, Information Systems, Information Processing, Survey or Questionnaire Feature, Technical Assistance

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0498 **PROJECT NO:** AOA-74-15

FUND SOURCE: Evaluation **NTIS NO:** PB 259-754

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: DHEW-OS-74-273

COST (In Thousands): FY 74-\$100

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '74 through July '75

0302

AGENCY SPONSOR: Office of Human Development Services
Administration on Aging

PROJECT TITLE: Evaluation of State Agencies on Aging

REPORT TITLE: A Study of State Agencies on Aging

PERFORMER: Applied Management Sciences; 962 Wayne Ave.;
Silver Spring, MD

AGENCY CONTACT: Heaney, Judi; 202-245-0700**PROGRAM(S) EVALUATED:**

HD-Aging-Community Services-State Agency Activities

ABSTRACT: This project uses the structured case study approach to evaluate the effectiveness in terms of planning, coordination, evaluation, grant administration, and technical assistance of State Agencies on Aging. 15 Agencies were studied and both qualitative and quantitative information was collected and analyzed. In FY 76-77 the States will be revisited for follow-up evaluations.**DESCRIPTORS:** Elderly, Case or Site Study Feature, Information Processing, Evaluation by State and Local Governments**EVALUATION TYPE:** Program Strategy**EDC ID NO:** 0964**PROJECT NO:** AOA 74-9**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HEW-OS-74-277**COST (In Thousands):** FY 74-\$126**PROJECT STATUS:** Study completed,

Available from EDC

START/END DATES: June '74 through June '75

0303

AGENCY SPONSOR: Office of Human Development Services
Administration on Aging**PROJECT TITLE:** Systematic Review of Area Agencies on Aging**REPORT TITLE:** Not yet determined**PERFORMER:** Associate Consultants; 624 E Street, N.W.;
Washington, D.C. 20004**AGENCY CONTACT:** Wills, Juanita; 202-245-0794**PROGRAM(S) EVALUATED:**

HD-Aging-Program Direction & Management;

HD-Aging-Community Services

ABSTRACT: The systematic review of area agencies is a collection and analysis of data on the area agencies. Data relevant to agency type, funding level, staff size, PSA demographic characteristics, etc., was collected from regional offices and State agencies. The data will be organized and analyzed in an attempt to identify the major characteristics of the area agencies and perhaps to systematically classify the agencies under general headings.**DESCRIPTORS:** Elderly, Statistical Data Feature, State or Local Administration**EVALUATION TYPE:** Program Management or Efficiency**EDC ID NO:** 0962**PROJECT NO:** AOA 74-2**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HEW-OS-74-199**COST (In Thousands):** FY 74-\$49**PROJECT STATUS:** Study completed**START/END DATES:** June '74 through October '74

0304

AGENCY SPONSOR: Office of Human Development Services
Administration on Aging**PROJECT TITLE:** Utilization Manual for Social Indicators
Questionnaire Results Survey**REPORT TITLE:** Not yet determined**PERFORMER:** RMC Research Corporation; 7610 Woodmont
Avenue; Bethesda, Maryland 20014**AGENCY CONTACT:** White, Howard; 202-472-3042**PROGRAM(S) EVALUATED:**

HD-Aging-Community Services-Area Planning;

HD-Aging-Program Direction & Management

ABSTRACT: Development of a Survey Instrument for Assessing the Status and Needs of the Elderly. This Instrument and Instruction Manual on sampling, interviewer training and data analysis will be provided to the State and Area Agencies on Aging for use in their planning efforts. It is one of three optional systems AoA is providing to the States for needs analysis. A utilization manual including ideas for use of the data collected for public relations, legislative relations, planning, and evaluation and coordination will be included.**DESCRIPTORS:** Elderly, Planning, Statistical Analysis Methods Feature, State or Local Government, Technical Assistance**EVALUATION TYPE:** Support**EDC ID NO:** 0961**PROJECT NO:** AOA 74-1**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HEW-OS-74-15**COST (In Thousands):** FY 73-\$120, FY 74-\$41**PROJECT STATUS:** Study completed**START/END DATES:** July '73 through December '74

ADMINISTRATION FOR PUBLIC SERVICES

0305

AGENCY SPONSOR: Office of Human Development Services
Administration for Public Services**PROJECT TITLE:** Assessment of Research and Demonstration
Projects on Improving the Delivery of Services to Indian
People**REPORT TITLE:** Not yet determined**PERFORMER:** Pacific Consultants; 3099 Telegraph Avenue;
Berkeley, CA 94705**AGENCY CONTACT:** Deutermann, Cynthia; Rm 2418 MES Bldg;
202-245-9072**PROGRAM(S) EVALUATED:**

HD-Social Services;

HD-Social Services-Child Welfare Services;

HD-Social Services-State & Local Training

ABSTRACT: The purpose of this project is to assess the extent to which 8 research and demonstration projects in the area of improving the delivery of social services to Indian people are meeting their objectives and to assess the overall effectiveness of each project. The major source of data for this project will be through field visits to each of the R&D grantees. It is anticipated that the final report will include policy and program recommendations to APS on the effectiveness of these projects and future strategies for improving the delivery of social services to Indian people.**DESCRIPTORS:** Native Americans, Demonstration Projects, Social Services Delivery Systems

EVALUATION TYPE: Program Strategy

EDC ID NO: 1736
FUND SOURCE: Research
MECHANISM: Contract
CONTRACT NO: 105-77-702
COST (In Thousands): FY 77-\$100, FY 78-\$150, FY 79-\$150
PROJECT STATUS: Study in process
START/END DATES: September '77 through September '80

PROJECT NO: APS 78-12
NTIS NO: Not yet determined
ERIC NO: Not available

DESCRIPTORS: Child Welfare, Demonstration Projects, Cost-Effectiveness Analysis Feature, Management Information and Reporting, Technology Assessment or Transfer
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1724
FUND SOURCE: Research
MECHANISM: Grant
GRANT NO: 18-P-90671/2-02
COST (In Thousands): FY 77-\$115, FY 78-\$214, FY 79-\$200
PROJECT STATUS: Study in process
START/END DATES: September '77 through September '80

PROJECT NO: APS 77-1
NTIS NO: Not yet determined
ERIC NO: Not available

0306

AGENCY SPONSOR: Office of Human Development Services
Administration for Public Services

PROJECT TITLE: Building Evaluation Product Utilization Potential
into a Social Services Bureau

REPORT TITLE: Not yet determined

PERFORMER: Arizona Dept. of Economic Security; P.O. Box
6123; Phoenix, AZ 85005

AGENCY CONTACT: Brown, Floyd; Rm 2028, MES Bldg.;
202-472-5878

PROGRAM(S) EVALUATED:
HD-Social Services

ABSTRACT: The purpose of this grant is to increase the capability to use the actual utilization of evaluative techniques by Arizona Title XX decisionmakers. The project proposes to recruit and train grant staff in evaluation concepts and techniques. Grant staff will then be used to determine the evaluation needs of the decisionmakers; design an integrated evaluation model to match those needs; implement the evaluation model; and finally, train the decisionmakers and others in utilization of the model.

DESCRIPTORS: Demonstration Projects, Research and Evaluation
Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1732
FUND SOURCE: Research
MECHANISM: Grant
GRANT NO: 11-P-90597/9-02
COST (In Thousands): FY 77-\$21, FY 78-\$23, FY 79-\$26
PROJECT STATUS: Study in process
START/END DATES: September '77 through September '80

PROJECT NO: APS 78-8
NTIS NO: Not yet determined
ERIC NO: Not available

0308

AGENCY SPONSOR: Office of Human Development Services
Administration for Public Services

PROJECT TITLE: Client Demand and Utilization of Social Services

REPORT TITLE: Not yet determined

PERFORMER: Human Services Coordination Alliance, Inc.; 916
Brown Education Center; 675 Riverside Mall; Louisville, KY
40202

AGENCY CONTACT: Jordan, Marguerite; Room 20228, MES;
202-472-5878

PROGRAM(S) EVALUATED:
HD-Social Services-Research

ABSTRACT: The project's objectives are to: (1) identify socio-demographic attributes of individuals in target, at-risk, demand and recipient subgroups of the general population which characterize demand for, and affect utilization of selected social service (2) identify factors related to agency operations which affect consumer choice and utilization of selected social services; (3) examine consumer pathways in the human service delivery system including factors which contribute to consumer movement along those pathways; and (4) utilize cross-agency information to improve the capacity of local communities to respond appropriately to potential users and shifts in consumer demands.

DESCRIPTORS: Research and Evaluation Planning and Administration, Information Dissemination, Indices and Taxonomies, Statistical Analysis Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1735
FUND SOURCE: Research
MECHANISM: Grant
GRANT NO: 18-P-90567/4-02
COST (In Thousands): FY 77-\$96
PROJECT STATUS: Study in process
START/END DATES: September '77 through March '79

PROJECT NO: APS 78-11
NTIS NO: Not yet determined
ERIC NO: Not available

0307

AGENCY SPONSOR: Office of Human Development Services
Administration for Public Services

PROJECT TITLE: Child Abuse and Neglect Tracking System
(CANTIS)

REPORT TITLE: Not yet determined

PERFORMER: N.Y. State Department of Social Services; 40 N.
Pearl Street; Albany, NY 12243

AGENCY CONTACT: Kendall, Kynock; Room 2422, MES Bldg.;
202-245-9072

PROGRAM(S) EVALUATED:
HD-Social Services

ABSTRACT: This project is designed to demonstrate an improved Child Abuse and Neglect tracking system and to develop a costing system so that the relative cost effectiveness of various treatments can be determined and thus guide the selection of treatment by caseworkers, the allocation of resources by managers, the design treatment methods by program designers, and the development of legislation by lawmakers.

0309

AGENCY SPONSOR: Office of Human Development Services
Administration for Public Services

PROJECT TITLE: A Descriptive Study of States' Service Delivery and Management Practices and a Study of the Feasibility of Comparing Costs Between Directly Delivered and Purchased Services

REPORT TITLE: A Descriptive Study of States' Service Delivery and Management Practices and a Study of The Feasibility of Comparing Costs Between Directly Delivered And Purchased Services

PERFORMER: Pacific Consultants; 3099 Telegraph Avenue;
Berkeley, CA 94705
AGENCY CONTACT: Kendall, Kynoch; Room 2422, MES Bldg.;
202-245-9072
PROGRAM(S) EVALUATED:
HD-Social Services;
V-Many HEW Social Services

ABSTRACT: This project consisted of two separate studies, "A Descriptive Study of States' Service Delivery And Management Practices" and "A Study of The Feasibility of Comparing Costs Between Directly Delivered And Purchased Services." The major objectives of the studies were (1) to obtain descriptive information about State management practices related to purchase of Title XX services and how well they work, and (2) to investigate the feasibility and utility of comparing costs between purchases and directly provided social services. This study led to a follow-up project on purchase of services entitled, "A Study to Compare the Costs of Service Provision by Mode of Service Delivery". This project is expected to be completed in September 1979.

DESCRIPTORS: Cost-Effectiveness Analysis Feature, State or Local Administration, Feasibility Study Feature, Research and Evaluation Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1725 **PROJECT NO:** APS 77-02
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 105-77-7200
COST (In Thousands): FY 77-\$250
PROJECT STATUS: Study completed
START/END DATES: September '77 through September '78

0310

AGENCY SPONSOR: Office of Human Development Services
Administration for Public Services

PROJECT TITLE: Design and Testing of an Evaluation
Decisionmaking Model

REPORT TITLE: Not yet determined

PERFORMER: Maine Dept. of Human Services; Bureau of
Resource Development; 221 State Street; Augusta, Maine
04333

AGENCY CONTACT: Brown, Floyd; Rm 2028, MES Bldg.;
202-472-5878

PROGRAM(S) EVALUATED:
HD-Social Services

ABSTRACT: This project proposes to develop a model to improve the technology of Title XX evaluation in the Maine Department of Human Services, and to link evaluative efforts to administrative decisionmaking processes. The project consists of three phases. Phase I--Administrative Component--concentrates on building a consensus among decisionmakers on the real purpose of evaluation and the context in which its results can and should be used. Phase II--Service Effectiveness Indicators System--builds on the existing program review process which uses a series of key indicators to "red flag" programs which may be experiencing management problems. Phase III--Evaluation Models Component--calls for a thorough search of existing evaluation methodologies for the purpose of modifying and adapting them to meet the needs of Maine's Department of Human Services.

DESCRIPTORS: Demonstration Projects, Research and Evaluation Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1731 **PROJECT NO:** APS 78-8
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Grant **ERIC NO:** Not available
GRANT NO: 11-P-90578/1-02
COST (In Thousands): FY 77-\$34, FY 78-\$34, FY 79-27
PROJECT STATUS: Study in process
START/END DATES: September '77 through September '80

0311

AGENCY SPONSOR: Office of Human Development Services
Administration for Public Services

PROJECT TITLE: An Evaluation of Mental Health and Mental
Retardation Transitional Services

REPORT TITLE: Not yet determined

PERFORMER: Dialogue Systems, Inc.; 285 Madison Avenue; New
York, NY

AGENCY CONTACT: Britt, Al; Rm 2028, MES Bldg.; 202-472-5877

PROGRAM(S) EVALUATED:
HD-Social Services;
HD-Social Services-Mentally Disabled

ABSTRACT: The purpose of this study is to examine, describe, and evaluate transitional services as they are provided under the Title XX program to the mentally retarded and mentally ill patients who are released from institutions. The study will include the examination of the management of transitional services, ways in which this management could be improved and the effectiveness of transitional services on clients.

DESCRIPTORS: Outreach and Referral, Deinstitutionalization, Social Services Delivery Systems, Handicapping Conditions and Problems, Mental Health

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1728 **PROJECT NO:** APS 78-5
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 105-78-2007
COST (In Thousands): FY 78-\$190, FY 79-\$100
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '80

0313

AGENCY SPONSOR: Office of Human Development Services
Administration for Public Services

PROJECT TITLE: Measuring the Impact of Title XX on Clients

REPORT TITLE: Not yet determined

PERFORMER: Western Federation for Human Services; P.O. Box
2526; Boise, Idaho 83701

AGENCY CONTACT: Kendall, Kynoch; Room 2422, MES Bldg.;
202-245-9072

PROGRAM(S) EVALUATED:
HD-Social Services

ABSTRACT: The purpose of this study is to conduct research to develop and test an evaluation methodology intended to measure the impact of Title XX on clients. The project will select and develop, from among several operating client impact measurement methodologies, a set of measurements that meet practical considerations and can be adopted by other States and Federal government to provide evaluative information.

DESCRIPTORS: Research and Evaluation Methods Feature, Evaluation by State and Local Governments, Research and Evaluation Planning and Administration, Federal Role, Technology Assessment or Transfer

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1723

PROJECT NO: APS 78-1

FUND SOURCE: Research

NTIS NO: Not yet determined

MECHANISM: Grant

ERIC NO: Not available

GRANT NO: 18-P-00016/0-01

COST (In Thousands): FY 78-\$133, FY 79-\$100

PROJECT STATUS: Study in process

START/END DATES: August '78 through August '81

0314

AGENCY SPONSOR: Office of Human Development Services
Administration for Public Services

PROJECT TITLE: Microdata Sampling System

REPORT TITLE: Microdata Sampling System, Proposed
Measurement Design

PERFORMER: Northwest Federation for Human Services; P. O.
Box 2526; Boise Idaho 83701; Howard Jamieson

AGENCY CONTACT: Kendall, Kynoch P.; Room 5516, Switzer
Bldg.; 202-245-0717

PROGRAM(S) EVALUATED:
HD-Social Services

ABSTRACT: The purpose of this study is to develop and demonstrate an evaluation information system based on a sample of case records taken at the local caseworker level. The sample will be kept as unaggregated records, allowing aggregation at the Federal level for national estimates. The study is the first phase of several: Phase I - Outcome measurement, Phase II Input measurement, Phase III - Development of a Model to relate outcomes to inputs and characteristics of the client and the environment, Phase IV Development of a "Predictive Model" to be used to forecast outcomes and serve as a basis of comparison for evaluating new techniques or for comparing results of similar services in different places. Data to be collected include typical demographics plus outcome measures reporting changes of status in progress toward goals. The target group initially is recipients of social services under Title XX of the Social Security Act, although the methodology could be applied to any population where individual case records are available. The methodology relies on data collected for the SSR (Social Services Reporting Requirements) in order not to duplicate that effort. The study was cancelled after Phase I.

DESCRIPTORS: Information Systems, Evaluation by State and Local Governments, Social Services Delivery Systems, Management Information and Reporting, Client Records

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1256

PROJECT NO: SRS 76-6

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Grant

ERIC NO: Not available

GRANT NO: 18P-90317/0-01

COST (In Thousands): FY 76-\$117

PROJECT STATUS: Study completed

START/END DATES: November '75 through December '76

0315

AGENCY SPONSOR: Office of Human Development Services
Administration for Public Services

PROJECT TITLE: Pretest and Trial Run of Goal I Evaluation
Instrumentation for Title XX

REPORT TITLE: Not yet determined

PERFORMER: Illinois Dept. of Public Aid; 316 S. Second Street;
Springfield, ILL 62762

AGENCY CONTACT: Brown, Floyd; Rm 2028, MES Bldg.;
202-472-5878

PROGRAM(S) EVALUATED:
HD-Social Services

ABSTRACT: The purpose of this project is to test the Title XX Goal I (self-support) evaluation model and instrumentation developed by Illinois as part of the Region II State/Federal Evaluation Task Force. The approach used to evaluate the effectiveness of services aimed at the self-support goal involves measuring the level of client functioning in relation to potential for self-support. An "Employment Barriers Score Sheet" is used to identify and measure barriers which inhibit the client's potential for employment and to track the client's progress toward the self-support goal.

DESCRIPTORS: Demonstration Projects, Research and Evaluation Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1733

PROJECT NO: APS 78-9

FUND SOURCE: Research

NTIS NO: Not yet determined

MECHANISM: Grant

ERIC NO: Not available

GRANT NO: 11-P-90582/5-02

COST (In Thousands): FY 77-\$23, FY 78-\$18, FY 79-\$22

PROJECT STATUS: Study in process

START/END DATES: September '77 through September '80

0316

AGENCY SPONSOR: Office of Human Development Services
Administration for Public Services

PROJECT TITLE: Second Year Evaluation of Title XX Process

REPORT TITLE: Second Year Evaluation of Title XX Process

PERFORMER: Urban Institute; 2100 M Street, N.W.; Washington,
DC 20037; Jeffrey Koshel 202-223-1950

AGENCY CONTACT: Holiber, Caryl L.; Rm 2230, Switzer Bldg.;
202-245-9237

PROGRAM(S) EVALUATED:
HD-Social Services

ABSTRACT: Title XX of the Social Security Act requires HEW to annually evaluate the States' social service programs. This study is the second phase of the process evaluation of Title XX undertaken in FY-1976 in five States. The study assessed the implementation of Title XX in the same five states and compared the changes from the first year of Title XX and years prior to Title XX. Three additional States were surveyed in-depth. The study analyzed a series of issues in the implementation of Title XX including: the effect of Title XX on women and minorities, substate planning for social services, coordination of social services, State agency organization and management, and Federal-State relationships; participation and influence of older persons in the State planning process; effect of the ceiling on Title XX implementation on the allocation of social services; and the use of purchase of services as a means of service provision by Title XX agencies. The final report can be obtained through Project Share, P. O. Box 2309, Rockville, Maryland 20852

DESCRIPTORS: Social Services Delivery Systems, Citizen Participation, Planning, Survey or Questionnaire Feature, State or Local Administration, Literature Review Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1264

PROJECT NO: SRS 76.1-3

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: SRS-500-75-0010

COST (In Thousands): FY 76.1-\$320

PROJECT STATUS: Study completed

START/END DATES: August '76 through December '77

0317

AGENCY SPONSOR: Office of Human Development Services
Administration for Public Services

PROJECT TITLE: Social Services Effectiveness Study. A
"Service-Generic" Evaluation of the Effectiveness of Social Services

REPORT TITLE: Social Services Effectiveness Study. A
"Service-Generic" Evaluation of the Effectiveness of Social Services

PERFORMER: Pacific Consultants; Berkeley, California

AGENCY CONTACT: Suzuki, Michio; Rm 5110 Switzer Bldg.;
202-245-1724

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Social Services;
HD-Social Services

ABSTRACT: The purposes of the study were to provide generic service information on the effectiveness of social services and combinations of services in achieving social service goals, to determine the differential effectiveness of services in relation to a variety of programmatic and client-related variables, and to identify system design approaches to aid States in preparing for or performing subsequent evaluation studies. Phase one was a feasibility study to determine whether sufficiently comparable data could be secured to warrant proceeding with the study. Phase two was the implementation phase of the effectiveness study and included all aspects of data collection and processing, redefinition and reprogramming of data elements, analysis of site-specific data, and the merging and analysis of data from six cities and states selected for participation in the study. The sampling approach used the entire population at each study site. Descriptive statistics and narrative are provided to show the relationship between goals and program categories, distribution of population characteristics, and relative frequencies of services and service delivery methods on a site-by-site basis. Recommendations are made for further extensive development work on client identification, uniform goal structuring, and strategies for quantifying problem and service magnitudes. (Final report 78 pages).

DESCRIPTORS: Social Services Delivery Systems, Low-Income Population, Statistical Analysis Methods Feature, Evaluation by State and Local Government, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0397

PROJECT NO: SRS 74-21

FUND SOURCE: Evaluation

NTIS NO: PB 259-678

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: SRS-74-48

COST (In Thousands): FY 74-Not available, FY 75-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '73 through June '76

0318

AGENCY SPONSOR: Office of Human Development Services
Administration for Public Services

PROJECT TITLE: Study of Social Services Reporting Requirements

REPORT TITLE: Social Services Reporting Requirements: An
Analysis of State Perceptions and Capabilities

PERFORMER: Pacific Consultants; 3099 Telegraph Avenue,
Berkeley, CA 94075

AGENCY CONTACT: Vela, Sylvia; Room 2312 Switzer Bldg.;
202-245-1984

PROGRAM(S) EVALUATED:

HD-Social Services

ABSTRACT: This study is to examine problems associated with the Social Services Reporting Requirements (SSRR) and the Basic Client Data File requirements from the perspective of a sample of States in order to assist HEW in planning for subsequent implementation.

DESCRIPTORS: Social Services Delivery Systems, Information Systems, Management Information and Reporting, Federal State and Local Relations, Client Records

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1258

PROJECT NO: SRS 76-8

FUND SOURCE: Research,
Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: SRS-500-75-0034

COST (In Thousands): FY 76-\$60, FY 76.1-\$28

PROJECT STATUS: Study in process

START/END DATES: May '76 through March '77

0319

AGENCY SPONSOR: Office of Human Development Services
Administration for Public Services

PROJECT TITLE: Study of Major Title XX Issues: Decisionmaking;
Substate Planning; 94-401 Amendments; and Shifts in
Expenditures

REPORT TITLE: Not yet determined

PERFORMER: Pacific Consultants; 3099 Telegraph Avenue;
Berkeley, CA 94075

AGENCY CONTACT: Brown, Floyd; Rm 2028, MES Bldg.;
202-472-5878

PROGRAM(S) EVALUATED:

HD-Social Services

ABSTRACT: This project is designed to obtain the data needed in order to develop a report to Congress on the operation of the Title XX program as mandate under Section 2006 (c) of the Social Security Act. As indicated by its title, the project will address such areas as the Title XX decisionmaking process, substate planning and allocation of Title XX funds, and P.L. 94-401.

DESCRIPTORS: Federal State and Local Relations, State or Local Government, Information Processing, Social Research

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1729 **PROJECT NO:** APS 78-6
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 105-78-2001
COST (In Thousands): FY 78-\$150
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '79

0320

AGENCY SPONSOR: Office of Human Development Services
Administration for Public Services

PROJECT TITLE: Study of Services to Disabled

REPORT TITLE: Not yet determined

PERFORMER: Pacific Consultants; 3099 Telegraph Avenue;
Berkeley, CA 94705

AGENCY CONTACT: Britt, Al; Rm 2028, MES Bldg.; 202-472-5877

PROGRAM(S) EVALUATED:

HD-Social Services;
HD-Social Services-State & Local Training;
HD-Social Services-Training at Higher Education
Institutions

ABSTRACT: This study will attempt to determine those aspects of services to the blind and disabled (concepts, processes, procedures, systems) that have achieved some degree of success and can be transferred to other States or local agencies. The study will examine the steps being taken to improve services to the blind and disabled, including some of the following: outreach and other access techniques that are effective; diagnostic and screening procedures; and special training provided to staff.

DESCRIPTORS: Outreach and Referral, Research and Evaluation Planning and Administration, Social Services Delivery Systems, Handicapping Conditions and Problems, Eligibility Issues
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1727 **PROJECT NO:** APS 78-4
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 105-78-2004
COST (In Thousands): FY 78-\$140
PROJECT STATUS: Study in process
START/END DATES: September '78 through March '80

0321

AGENCY SPONSOR: Office of Human Development Services
Administration for Public Services

PROJECT TITLE: A Study to Compare the Costs of Service
Provision by Mode of Service Delivery

REPORT TITLE: Not yet determined

PERFORMER: Pacific Consultants; 3099 Telegraph Avenue;
Berkeley, CA 94705

AGENCY CONTACT: Polson, Robert; Rm 2418, MES;
202-245-9072

PROGRAM(S) EVALUATED:
HD-Social Services

ABSTRACT: This project will attempt to (1) determine the costs of homemaker/chore services, including: (a) whether, and to what extent, the total cost per unit of service provision; and (b) what cost

factors are responsible for the cost variations; and (2) identify the market conditions and provider characteristics which are associated with differences in cost. The overall aim of this study is to develop, test, and implement a cost-comparison methodology for homemaker/chore services. It is anticipated that the knowledge gained from this study will be beneficial for (1) planning for services given by both methods of service provision (direct and purchased), (2) justifying budgets and expenditures and (3) establishing reasonable rates and justifying them to providers.

DESCRIPTORS: Cost-Effectiveness Analysis Feature, State or Local Administration, Feasibility Study Feature, Research and Evaluation Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1734 **PROJECT NO:** APS 78-10
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 105-78-2006
COST (In Thousands): FY 78-\$95
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '79

0322

AGENCY SPONSOR: Office of Human Development Services
Administration for Public Services

PROJECT TITLE: Survey and Report on the Use of the Cash
Payments Provision of Title XX

REPORT TITLE: Survey and Report on the Cash Payments
Provision of Title XX

PERFORMER: J. A. Reyes Assoc., Inc.; 1140 Connecticut Ave.
NW, Suite 410; Washington, D.C. 20036; Louise Woerner

AGENCY CONTACT: Kendall, Kynoch P.; 2422, Switzer Bldg.;
202-245-9072

PROGRAM(S) EVALUATED:
HD-Social Services

ABSTRACT: The purpose of the study is to find out where the Cash Payments Provision (CPP) of 45 CFR 228.47 is being used, by whom, for what purposes, with what cost, for what results, under what control and subject to what kind of evaluation. CPP permits States to receive Federal Matching Funds for money paid by eligible recipients of Title XX social services to providers and reimbursed by States, under certain conditions. Results are expected to be used to make the CPP more useful to Title XX agency management.

DESCRIPTORS: Social Services Delivery Systems, State or Local Administration, Survey or Questionnaire Feature, Vouchers

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1262 **PROJECT NO:** SRS 76.1-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: SRS-500-76-0502
COST (In Thousands): FY 76.1-\$50
PROJECT STATUS: Study completed
START/END DATES: August '76 through October '77

0323

AGENCY SPONSOR: Office of Human Development Services
Administration for Public Services

PROJECT TITLE: Survey of State Evaluation Activities

REPORT TITLE: A Survey of State Social Service Evaluation Activities**PERFORMER:** Pacific Consultants; 3099 Telegraph Ave.; Berkeley, CA 94705**AGENCY CONTACT:** Vela, Sylvia; Rm. 5431, Switzer Bldg.; 202-472-7225**PROGRAM(S) EVALUATED:**
HD-Social Services**ABSTRACT:** This is a survey of the evaluation activities of States administering Title XX social services programs to describe evaluation processes and projects, identify information useful to other States and assess emerging State capacity.**DESCRIPTORS:** Social Services Delivery Systems, Evaluation by State and Local Governments**EVALUATION TYPE:** Program Management or Efficiency**EDC ID NO:** 1265**PROJECT NO:** SRS 76.1-4**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** SRS-500-75-0034**COST (In Thousands):** FY 76.1-\$75**PROJECT STATUS:** Study completed,

Executive summary at EDC

START/END DATES: September '76 through February '77

0324

AGENCY SPONSOR: Office of Human Development Services
Administration for Public Services**PROJECT TITLE:** Synthesis of Evaluation Documents**REPORT TITLE:** Not yet determined**PERFORMER:** University of Maryland; School of Social Work and Community Planning; Baltimore, MD 21201**AGENCY CONTACT:** Eppley, David; Rm 2038, MES; 202-472-5870**PROGRAM(S) EVALUATED:**
HD-Social Services**ABSTRACT:** The purpose of this project was to synthesize from existing materials a guide for planners and evaluators and a compendium of selected evaluation projects. The study's final report will be made available to the States and to HEW Regional and Central Office staff as a technical aid in the evaluation of Title XX Social Services.**DESCRIPTORS:** Research and Evaluation Planning and Administration, Research and Evaluation Methods Feature, Bibliography Feature, Needs and Needs Assessment**EVALUATION TYPE:** Support**EDC ID NO:** 1726**PROJECT NO:** APS 78-3**FUND SOURCE:** Research**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** 105-78-2000**COST (In Thousands):** FY 78-\$9**PROJECT STATUS:** Study in process**START/END DATES:** August '78 through February '80

0325

AGENCY SPONSOR: Office of Human Development Services
Administration for Public Services**PROJECT TITLE:** Technical Assistance to Central and Regional Offices in the Development of Title XX Evaluation Projects**REPORT TITLE:** Not yet determined**PERFORMER:** National Institution for Advanced Studies, 600 E Street, N.W. Suite 100; Washington, D. C. 20004**AGENCY CONTACT:** Holiber, Caryl L.; Rm. 2230, Switzer Bldg.; 202-245-9237**PROGRAM(S) EVALUATED:**

HD-Social Services-Child Care;

HD-Social Services-Foster Care;

HD-Social Services-Other

ABSTRACT: The general objectives of this project concern the provision of technical assistance, training, and data analysis to the HEW/SRS Central and Regional offices in support for preparation of the special report due to Congress on Title XX of the Social Security Act. The primary components of this study are the examination of the effects of social services on clients as perceived by the user, provider, and decision-maker levels of the service delivery system. The Social Services examined in this study are: Day Care, Protective Services, and Foster Care for Children, as well as Counseling Services and Homemaker Services. Fiscal and management effects will also be studied.**DESCRIPTORS:** Social Services Delivery Systems, Survey or Questionnaire Feature, Grants-in-Aid, State or Local Administration, Counseling, Foster Care and Adoption, Consumer Evaluation Feature**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 1263**PROJECT NO:** SRS 76.1-2**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** SRS-500-76-0511**COST (In Thousands):** FY 76.1-\$360, FY 77-\$165**PROJECT STATUS:** Study in process**START/END DATES:** September '76 through January '78

0326

AGENCY SPONSOR: Office of Human Development Services
Administration for Public Services**PROJECT TITLE:** Transfer of Federal Funding Sources for the Social Service Programs**REPORT TITLE:** Not yet determined**PERFORMER:** National Institute for Community Development; 1815 N. Lynn St., Suite 1000; Arlington, VA 22209**AGENCY CONTACT:** Brown, Floyd; Rm 2028, MES Bldg.; 202-472-5878**PROGRAM(S) EVALUATED:**
HD-Social Services**ABSTRACT:** The project will develop a model designed to collect systematic information indicative of the causes and outcomes of the transfer of Federal funding sources, and will assess the feasibility of the model in determining the extent and nature of the transfer of funding sources.**DESCRIPTORS:** Feasibility Study Feature, Federal Administration, Information Processing, Social Research**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 1730**PROJECT NO:** APS 78-7**FUND SOURCE:** Research**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** 105-78-2008**COST (In Thousands):** FY 78-\$50

PROJECT STATUS: Study in process
START/END DATES: September '78 through September '79

OFFICE OF THE ASSISTANT SECRETARY FOR HUMAN DEVELOPMENT SERVICES

0327

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human
Development Services

PROJECT TITLE: Analysis of FY 77 Evaluation Standards Data

REPORT TITLE: Not yet determined

PERFORMER: University of Michigan; Rehab. Research Institute;
1323 School of Education; 610 E University; Ann Arbor, MI
48109; Dr. Ralph M. Crystal, 313-763

AGENCY CONTACT: Jagannathan, K.A., Dr.; Rm. 2332, MES
Bldg.; 202-245-0247

PROGRAM(S) EVALUATED:

HD-Vocational Rehabilitation-Program Direction

ABSTRACT: The project will validate and analyze FY 1977 data submitted in response to the vocational rehabilitation program evaluation standards. The intent of the specific project objectives will be the development of analytic and management techniques which effectively enhance dissemination, communication and utilization of evaluation information to support the processes of program planning and development, and policy analysis. To accomplish these objectives, eight project functions have been identified: (a) acquire and maintain physical, material, and human resources; (b) conduct project planning and coordination activities; (c) develop guidelines and plans for utilization of analysis information and review and refine the reporting format; (d) assess and refine analytical, computational, and reporting methods and procedures; (e) prepare for and develop modifications in data analysis procedures and the report format required for the revised VR standards; (f) analyze FY 1977 data; (g) prepare the final report; and (h) analyze FY 1978 data.

DESCRIPTORS: Research and Evaluation Methods Feature, Management Information and Reporting, Client Records, Benefit-Cost Analysis Feature, Statistical Data Feature, Regulation and Standard Setting

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1709

PROJECT NO: OASHD 78-9

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: HEW-78-4011

COST (In Thousands): FY 79-\$158

PROJECT STATUS: Study in process

START/END DATES: September '78 through September '79

0328

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human
Development Services

PROJECT TITLE: Collection and Dissemination of Nationwide Data on Character of the Residents and Those Individuals Released by Long Term Care Public Facility for the Mentally Retarded and Their Adjustment to a Community Setting

REPORT TITLE: Not yet determined

PERFORMER: Psychoeducational Studies, Special Education
Programs; 101 Pattee 150 Pillsbury Drive, S.E.; Univ. of
Minnesota; Dr. Robert H. Bruininks, 612-373

AGENCY CONTACT: Hall, Francoise; Rm. 2332, MES Bldg.;
202-472-9553

PROGRAM(S) EVALUATED:

HD-Developmental Disabilities-Special Projects

ABSTRACT: The primary purpose of the project is to provide state and Federal policy makers information needed to improve the planning, management, and evaluation of residential and community services for mentally retarded persons. Broadly construed, the information needed can be categorized into four persons: (1) administrative and demographic characteristics of residential facilities for mentally retarded persons, (2) the behavioral and physical characteristics of mentally retarded people in residential facilities, (3) factors concerning first admission to residential facilities and readmission to state operated residential facilities, and (4) the placement and adjustment of former residents of state residential facilities.

DESCRIPTORS: Facilities, Handicapping Conditions and Problems, Survey or Questionnaire Feature, Federal Administration, State or Local Administration, Long Term Health Care

EVALUATION TYPE: Program Management of Efficiency

EDC ID NO: 1721

PROJECT NO: OASHD 78-21

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Grant

ERIC NO: Not yet determined

GRANT NO: 54-P-71173/5-01

COST (In Thousands): FY 79-\$369

PROJECT STATUS: Study in process

START/END DATES: October '78 through September '79

0329

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human
Development Services

PROJECT TITLE: Comprehensive State Vocational Rehabilitation
Program and Policy System Through Model
Evaluation/Management Information Support Unit

REPORT TITLE: Not yet determined

PERFORMER: Vocational Rehabilitation for the Blind; P.O. Box
4872; Jackson, Mississippi 39216

AGENCY CONTACT: Taylor, James E. Dr.; Rm 2330 MES Bldg.;
202-245-0907; Wendell Cox 601-354-6411

PROGRAM(S) EVALUATED:

HD-Vocational Rehabilitation-Special Projects

ABSTRACT: In FY 1978, RSA funded six contracts to design and implement a comprehensive model evaluation management information and policy support unit within six vocational rehabilitation agencies. These projects had the objective of: 1) Developing a model of a comprehensive program and policy system linked by appropriate evaluation data; 2) field testing and evaluating the effectiveness for State VR agency management of the revised standards for evaluation

and the facilities reporting system; (3) building new evaluation capacity which can be generalized to other states; and (4) development of linkages for a within-State agency and between State agency network for communication, dissemination, and utilization of evaluation topics, with special focus on developing and testing the model evaluation units.

DESCRIPTORS: Research and Evaluation Planning and Administration, Information Processing, Information Dissemination, Information Systems

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1703 **PROJECT NO:** OASHD 78-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-78-4005
COST (In Thousands): FY 79-\$145
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '79

0330

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human Development Services

PROJECT TITLE: Comprehensive State Vocational Rehabilitation Program and Policy System Through Model Evaluation/Management Information Support Unit

REPORT TITLE: Not yet determined
PERFORMER: Division of Voc. Rehabilitation; 820 No. French Street; Wilmington, Delaware 19801; Mr. Guy Veach, 302-571-2874
AGENCY CONTACT: Taylor, James E. (Dr.); Rm. 2330, MES Bldg.; 202-245-0907

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Special Projects

ABSTRACT: In FY 78, RSA funded six contracts to design and implement a comprehensive model evaluation management information and policy support unit within six vocational rehabilitation agencies. These projects had the objectives of: (1) developing a model of a comprehensive program and policy system linked by appropriate evaluation data; (2) field testing and evaluating the effectiveness for State VR agency management of the revised standards for evaluation and the facilities reporting system; (3) building new evaluation capacity which can be generalized to other states; and (4) development of linkages for a within-State agency and between State agency network for communication, dissemination, and utilization of evaluation topics, with special focus on developing and testing the model evaluation units.

DESCRIPTORS: Research and Evaluation Planning and Administration, Information Processing, Information Dissemination, Information Systems

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1704 **PROJECT NO:** OASHD 78-4
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-78-4006
COST (In Thousands): FY 79-\$109
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '79

0331

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human Development Services

PROJECT TITLE: Comprehensive State Vocational Rehabilitation Program and Policy System Through Model Evaluation/Management Information Support Unit

REPORT TITLE: Not yet determined

PERFORMER: Vocational Rehab. Division; 2045 Silverton Road, N.E.; Salem, Oregon 97301; Mr. Neil Sherwood, 503-378-3830

AGENCY CONTACT: Taylor, James E. Dr.; Rm. 2330, MES Bldg.; 202-245-0907

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Special Projects

ABSTRACT: In FY 78, RSA funded six contracts to design and implement a comprehensive model evaluation management information and policy support unit within six vocational rehabilitation agencies. These projects had the objectives of: (1) developing a model of a comprehensive program and policy system linked by appropriate evaluation data; (2) field testing and evaluating the effectiveness for State VR agency management of the revised standards for evaluation capacity which can be generalized to other states; and (4) development of linkages for a within-State agency and between State agency network for communication, dissemination, and utilization of evaluation topics, with special focus on developing and testing the model evaluation units.

DESCRIPTORS: Research and Evaluation Planning and Administration, Information Processing, Information Dissemination, Information Systems

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1705 **PROJECT NO:** OASHD 78-5
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-78-4007
COST (In Thousands): FY 79-\$133
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '79

0332

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human Development Services

PROJECT TITLE: Comprehensive State Vocational Rehabilitation Program and Policy System Through Model Evaluation/Management Information Support Unit

REPORT TITLE: Not yet determined

PERFORMER: Vocational Rehab. Service; P.O. Box 30010; Lansing, Michigan 48909; Dr. Robert Struthers, 517-373-3970
AGENCY CONTACT: Taylor, James E., Dr.; Rm 2330, MES Bldg.; 202-245-0907

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Special Projects

ABSTRACT: In FY 78, RSA funded six contracts to design and implement a comprehensive model evaluation management information and policy support unit within six vocational rehabilitation agencies. These projects had the objectives of: (1) developing a model of comprehensive program and policy system linked by appropriate evaluation data, (2) field testing and evaluating the effectiveness for State VR agency management of the revised standards for evaluation

capacity which can be generalized to other states; and (4) development of linkages for a within-State agency and between State agency network for communication, dissemination, and utilization of evaluation topics, with special focus on developing and testing the model evaluation units.

DESCRIPTORS: Research and Evaluation Planning and Administration, Information Processing, Information Dissemination, Information Systems

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1706 **PROJECT NO:** OASHD 78-6
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-78-4008
COST (In Thousands): FY 79-\$162
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '79

0333

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human Development Services

PROJECT TITLE: Comprehensive State Vocational Rehabilitation Program and Policy System Through Model Evaluation/Management Information Support Unit

REPORT TITLE: Not yet determined
PERFORMER: Bureau of Voc. Rehabilitation; 7th and Forster Streets; Harrisburg, PA 17120; Dr. William Jenkins, 717-787-7312
AGENCY CONTACT: Taylor, James Dr.; Rm. 23330 MES Bldg; 202-245-0907
PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Special Projects

ABSTRACT: In FY 78, RSA funded six contracts to design and implement a comprehensive model evaluation management information and policy support unit within six vocational rehabilitation agencies. These projects had the objectives of: (1) developing a model of a comprehensive program and policy system linked by appropriate evaluation data; (2) field testing and evaluating the effectiveness for State VR agency management of the revised standards for evaluation and the facilities reporting system; (3) building new evaluation capacity which can be generalized to other states; and (4) development of linkages for a within-State agency and between State agency network for communication, dissemination, and utilization of evaluation topics, with special focus on developing and testing the model evaluation units.

DESCRIPTORS: Research and Evaluation Planning and Administration, Information Processing, Information Dissemination, Information Systems

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1707 **PROJECT NO:** Not yet determined
FUND SOURCE: Evaluation **NTIS NO:** OASHD 78-7
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-78-4009
COST (In Thousands): FY 79-\$141
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '79

PROJECT DESCRIPTIONS

0334

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human Development Services

PROJECT TITLE: Comprehensive State Vocational Rehabilitation Program and Policy System Through Model Evaluation/Management Information Support Unit

REPORT TITLE: Not yet determined
PERFORMER: Dept. of Voc. Rehabilitation; 4901 Fitzhugh Avenue; P.O. Box 11045; Richmond, VA 23230; Mr. W.H. Brownfield, 804-257-0271
AGENCY CONTACT: Taylor, James, E., Dr.; Rm. 2330 MES Bldg.; 202-245-0907
PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Special Projects

ABSTRACT: In FY 78, RSA funded six contracts to design and implement a comprehensive model evaluation management information and policy support unit within six vocational rehabilitation agencies. These projects had the objectives of: (1) developing a model of a comprehensive program and policy system linked by appropriate evaluation data; (2) field testing and evaluating the effectiveness for State VR agency management of the revised standards for evaluation and facilities reporting system; (3) building new evaluation capacity which can be generalized to other states; and (4) development of linkages for a within-State agency and between State agency network for communication, dissemination, and utilization of evaluation topics, with special focus on developing and testing the model evaluation units.

DESCRIPTORS: Research and Evaluation Planning and Administration, Information Processing, Information Dissemination, Information Systems

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1713 **PROJECT NO:** OASHD 78-13
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-78-4017
COST (In Thousands): FY 79-\$139
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '79

0335

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human Development Services

PROJECT TITLE: Development of Computer Programming Capability for RSA's Refined Evaluation Standards

REPORT TITLE: Not yet determined
PERFORMER: Berkeley Planning Associates; 1912 Bonita Avenue; Berkeley, CA 94704; Dr. Richard Dodson, 415-549-3492
AGENCY CONTACT: Jagannathan, K.A., Dr.; Rm. 2332, MES Bldg.; 202-245-0247
PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Special Projects

ABSTRACT: In order for RSA to provide feedback and technical assistance to VR agencies, under this contract Berkeley Planning Associates will provide instruments, a reporting system and programs, and an analysis system and program that: (a) will be integrated with the existing RSA reporting systems and software (b) will be integrated with any changes in the RSA reporting system and software occasioned by the revision of the MIS, (c) will provide timely reporting and analysis, and (d) will be easily interpretable by program managers at RSA at the VR agencies.

DESCRIPTORS: Information Processing, Information Systems, Statistical Data Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1712

PROJECT NO: OASHD 78-12

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: HEW-78-4015

COST (In Thousands): FY 79-\$250

PROJECT STATUS: Study in process

START/END DATES: September '78 through September '79

0336

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human Development Services

PROJECT TITLE: Development of Performance Standards and Quality Assurance Mechanisms for University-Affiliated Facilities

REPORT TITLE: Not yet determined

PERFORMER: Systems Res. and Dev. Corporation; 10 Park Plaza; P.O. BOX 12221; Research Triangle, N.C. 27709; Tom Bryant, 919-549-0505

AGENCY CONTACT: Rogge, Kristen; Rm. 2328, MES Bldg.; 202-245-0906

PROGRAM(S) EVALUATED:

HD-Development Disabilities-Special Projects

ABSTRACT: The contractor will develop standards which address all component of university-affiliated programs, including interdisciplinary training, service delivery, continuing education, and administration. The quality assurance mechanism to be developed will be comprised of all the procedures required in the application of the standards, compliance processes, imposition of sanctions, and overall monitoring of UAF performance. Both the standards and quality assurance mechanism will be predicated on the establishment of standardized expectations for, and measurement of training and programmatic outputs (i.e., results of activities performed).

DESCRIPTORS: Facilities, Higher Education, Quality Assurance, Management Information and Reporting, Educational Administration

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1714

PROJECT NO: OASHD 78-14

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: HEW-78-5000

COST (In Thousands): FY 79-\$201

PROJECT STATUS: Study in process

START/END DATES: September '78 through September '79

0337

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human Development Services

PROJECT TITLE: Evaluation, Revision, and Development of the RSA Data Retrieval and Management System Using New Data Elements

REPORT TITLE: Not yet determined

PERFORMER: Abt Associates, Inc.; 55 Wheeler St.; Cambridge, MASS 02138; Dr. Bruce Maloof, 617-492-7100

AGENCY CONTACT: Pelton, Rodney, Dr.; Rm. 2324, MES Bldg.; 202-245-0688

PROGRAM(S) EVALUATED:

HD-Vocational Rehabilitation-Special Projects

ABSTRACT: This study proposes to design a comprehensive, parsimonious, interrelated, non-duplicative, open-ended, and operationally-tested Management Information System that meets, in the first place, Federal, Central and Regional Office decision-making and operational requirements for management, planning, and evaluation of long and short-term objectives. Secondly, the MIS is also designed to take into account State and local service delivery agencies' management information needs providing officials at these levels with better quality information. RSA's Management Information system will, in addition to scientific management criteria, use inputs from 34 other projects previously undertaken by RSA covering a broad range of program and project authorities cited in the Rehabilitation Act and amendments which were carried out to this Act in 1978. This project will modernize the RSA management information and evaluation capability.

DESCRIPTORS: Information Processing, Information Systems, Management Information and Reporting, Management Techniques, Statistical Data Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1710

PROJECT NO: OASHD 78-10

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: HEW-78-4012

COST (In Thousands): FY 79-\$259, FY 80-\$81

PROJECT STATUS: Study in process

START/END DATES: September '78 through September '80

0338

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human Development Services

PROJECT TITLE: Evaluation of Financial Management System

REPORT TITLE: Not yet determined

PERFORMER: Deloitte, Haskins, & Sells; 1950 IDS Tower, 80 South Street; Minneapolis, MINN 55402; Mr. Steven A. Faunce, 612-3398-9744

AGENCY CONTACT: Jagannathan, K.A. Dr.; Rm 2332, MES Bldg.; 202-245-0247

PROGRAM(S) EVALUATED:

HD-Vocational Rehabilitation-Special Projects

ABSTRACT: The primary output for this project is a financial management model to be used by the Federal Central Office, Federal Regional Office, and State Vocational Rehabilitation agencies. The model must encompass the two fundamental elements of financial management: financial control and financial decision-making. The finished product, the model, will be accompanied by user's manual.

DESCRIPTORS: State or Local Government, Statistical Analysis Methods Feature, Information Systems, Management Techniques, Financial Management, Auditing and Accounting

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1701

PROJECT NO: OASHD 78-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: HEW-78-4000

COST (In Thousands): FY 79-\$150

Office of Human Development Services
Office of the Assistant Secretary for Human Development Services

Accession No. 0341

PROJECT STATUS: Study in process
START/END DATES: September '78 through February '80

0339

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human
Development Services

PROJECT TITLE: Evaluation of Placement Services in VR

REPORT TITLE: Not yet determined
PERFORMER: National Institute for Adv. Studies; 600 E St., N.W.
Suite 100, Washington, D.C. 20004; Dr. Barry Zamoff,
202-347-1700

AGENCY CONTACT: Rogge, Kris; Rm. 2328, MES Bldg;
202-245-0906

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Special Projects

ABSTRACT: Taking into consideration the training of personnel of State VR agencies providing placement services, the study evaluates the existing STATE/FEDERAL system for the placement of handicapped individuals, to make recommendations for improvement and to develop one or more models of placement systems. The objective of this study is to identify, through sampling procedures, State agency placement activities including (a) an analysis of selected States' placement systems in relation to the unique external factors which impinge upon it; and (b) an examination of the interrelations between the placement activities and the internal conditions in which they operate. Based on the above, the project is to develop models for placement activities for different conditions.

DESCRIPTORS: Labor Market Problems, Training and Employment of the Disadvantaged, Social Services Delivery Systems
EVALUATION TYPE: Program Impact

EDC ID NO: 1708 **PROJECT NO:** OASHD 78-8
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-78-4010
COST (In Thousands): FY 79-\$192, FY 80-\$32
PROJECT STATUS: Study in process
START/END DATES: September '78 through November '79

0340

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human
Development Services

PROJECT TITLE: Evaluation of the Blind and Visually
Handicapped Programs

REPORT TITLE: Not yet determined
PERFORMER: JWK International Corporation; 7617 Little River
Turnpike, Annandale, VA 22003; Dr. Sarah Wagner,
703-750-3240

AGENCY CONTACT: Hall, Francoise; Rm. 2332, MES Bldg;
202-472-9553

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Special Projects

ABSTRACT: RSA's Evaluation of the Blind and Visually Handicapped Program is designed to systematically assess VR services for the blind and visually handicapped. This study is especially important because no comprehensive evaluation of programs specifically for the blind and visually handicapped has yet been conducted. In order to

achieve a meaningful evaluation, the focus of the study of the VR agencies, including utilization of manpower, financial return, and quality and quantity of services.

DESCRIPTORS: Research and Evaluation Planning and Administration, Handicapping Conditions and Problems
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1711 **PROJECT NO:** OASHD 78-11
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-78-4013
COST (In Thousands): FY 79-\$115, FY 80-\$22
PROJECT STATUS: Study in process
START/END DATES: September '78 through October '79

0341

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human
Development Services

PROJECT TITLE: An Evaluation of the Implementation of Systems
for the Protection of Individual Rights and Advocacy for
Individuals with DD

REPORT TITLE: Not yet determined
PERFORMER: Harold Russell Associates, Inc.; 235 Bear Hill Road;
Waltham, MASS 02154; Dr. Duncan Ballantyne,
617-890-2698

AGENCY CONTACT: Hall, Francoise; Rmn. 2332, MES Bldg;
202-472-9553

PROGRAM(S) EVALUATED:
HD-Developmental Disabilities-Program Direction &
Management

ABSTRACT: Under amendments to Developmental Disabilities Services and Facilities Construction Act of 1970, P.L. 91-517, and the Mental Retardation Facilities and Community Health Centers Construction Act of 1963, P.L. 88-164, states were required to have in effect by October 1, 1977 a system to protect and advocate for the rights of persons with developmental disabilities (42 U.S.C. 6012 (a) (1)). It became the task of the Bureau of Developmental Disabilities to assist in the provision of a system of protection of an advocacy for these rights. This project will accomplish the following purposes: to evaluate the implementation of protection and advocacy systems, to review and evaluate those performance standards which currently exist on the state level, and to develop overall performance standards for use by the Bureau of Developmental Disabilities. The findings from the field study will be incorporated into a report which will include a set of recommendations for the systems.

DESCRIPTORS: Legal Issues, Handicapping Conditions and Problems, Management Information and Reporting
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1716 **PROJECT NO:** OASHD 78-16
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-78-5002
COST (In Thousands): FY 78-Not available
PROJECT STATUS: Study in process
START/END DATES: September '78 through March '80

0342

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human Development Services

PROJECT TITLE: Evaluation of the Office of Human Development Transportation Demonstration Program

REPORT TITLE: Not yet determined

PERFORMER: Ecosometrics, Inc.; 4715 Cordell Avenue; Bethesda, Maryland 20014

AGENCY CONTACT: Benderly, Jordan; 3166I North Bldg.; 202-472-7236

PROGRAM(S) EVALUATED:

V-Non-HEW Programs-Transportation

ABSTRACT: This project will evaluate the consolidation and coordination of transportation services in five demonstration grants. The contractor will study program operations of these demonstration grantees at three points in time (prior to the initiation of the demonstration projects, three months after the issuance of grant awards, and 15 months after the issuance of awards). The evaluative measures will include client and transportation staff judgments of the accessibility and quality of the transportation service(s); the process by which the grantees implement the coordination effort; and measurements of the efficiency and effectiveness of the transportation services, and their relationship to the coordination processes where possible.

DESCRIPTORS: Transportation, Demonstration Projects, Program Coordination

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1271

PROJECT NO: ASHD 76.1-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-105-76-7404

COST (In Thousands): FY 76.1-\$257

PROJECT STATUS: Study in process

START/END DATES: September '76 through May '79

0343

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human Development Services

PROJECT TITLE: Individualized Data Base (IDB): A Collection, Processing, and Analytical System of Client Progress Data on Developmentally Disabled Persons

REPORT TITLE: Not yet determined

PERFORMER: Neuropsychiatric Inst., UCLA; Res. Group at Pacific State Hospital; P.O. Box 100-R; Pomona, CA 91766; Tad Mayeda, 714-598-7784

AGENCY CONTACT: Rogge, Kristen; Rm. 2328, MES Bldg.; 202-245-0906

PROGRAM(S) EVALUATED:

HD-Developmental Disabilities-Special Projects

ABSTRACT: The major objectives of the study are as follows: (1) to identify mentally retarded individuals receiving services in selected geographic areas of the United States; (2) to trace these same individuals through a continuum of services over a period of time; (3) to correlate changes in the developmental status of these individuals with their living arrangements and the services they receive; and (4) to establish a model system for tracking mentally retarded individuals through a continuum of services. If the study's objectives are met, the result will be the developmental of a model data system which identifies the supply and demand of services to the developmentally dis-

abled as well as their cost and efficiency. Five geographic areas constitute the original study population: Los Angeles, Riverside, and San Bernardino Counties in Southern California and the states of Oregon, Montana, Colorado, and Arizona.

DESCRIPTORS: Information Processing, Information Systems, Statistical Analysis Methods Feature, Research and Evaluation Methods Feature, Handicapping Conditions and Problems, Case or Site Study Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1720

PROJECT NO: OASHD 78-20

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Grant

ERIC NO: Not yet determined

GRANT NO: 54-P-71117/9-03

COST (In Thousands): FY 79-\$446

PROJECT STATUS: Study in process

START/END DATES: October '78 through September '79

0344

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human Development Services

PROJECT TITLE: Program Administration Review (PAR) for State Developmental Disabilities Programs

REPORT TITLE: Not yet determined

PERFORMER: Rehab Group, Inc.; 5827 Columbia Pike; Falls Church VA 22041; Ms. JoEllen Morell, 820-4350

AGENCY CONTACT: Hall, Francoise; Rm. 2332, MES Bldg.; 202-472-9553

PROGRAM(S) EVALUATED:

HD-Developmental Disabilities-Program Direction & Management

ABSTRACT: The objectives of the PAR are as follows: (a) to identify areas of strength and weakness in the administration of the State Planning Councils, Administering Agencies, and the Protection and Advocacy Agencies (b) to assure that State Planning Councils, Administering Agencies, and Protection and Advocacy Agencies are carrying out their functions and responsibilities in accordance with the Developmental Disabilities Assistance and Bill of Rights Act, P.L. 94-103, regulations and Federal guidelines (c) to provide technical assistance to State Planning Councils, Administering Agencies, and Protection and Advocacy Agencies for improving program implementation, and (d) to secure information for program planning and for charting the direction of future state and national activities related to the State Developmental Disabilities Program. The Program Administration Review process to be developed by Rehab will provide a standard mechanism for reviewing and evaluating administration of the various state programs, and for assisting state agencies and councils in strengthening their programs. The PAR process will be designed for use by regional office staff in regular state site visits.

DESCRIPTORS: Federal Administration, Legal Issues, Technical Assistance, Handicapping Conditions and Problems, State or Local Administration

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1715

PROJECT NO: OASHD 78-15

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: HEW-78-5001

COST (In Thousands): FY 79-\$47

Office of Human Development Services
Office of the Assistant Secretary for Human Development Services

PROJECT STATUS: Study in process
START/END DATES: September '78 through June '79

PROGRAM(S) EVALUATED:
HD-Developmental Disabilities-Program Direction & Management

ABSTRACT: The project will involve a thorough evaluation of individual habitation plans (IHPs) as required in the DD legislation and case management systems as have been implemented by various DD programs at both the State and local levels. As a result of the evaluation, the project will result in the development of a model format for IHPs with guidelines for IHP development and implementation, and specifications for case management systems for implementation by DD program providers.

DESCRIPTORS: Federal Administration, Case or Site Study Feature, Handicapping Conditions and Problems
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1718 **PROJECT NO:** OASHD 78-18
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-78-5004
COST (In Thousands): FY 79-\$140
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '79

0345

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human Development Services

PROJECT TITLE: Purchase of Social Service: Experience of Three States in Purchase of Service by Contract Under the 1967 Amendments to the SSA

REPORT TITLE: Purchase of Social Service: Experience of Three States in Purchase of Service by Contract Under the Provision of the 1967 Amendments to the SSA

PERFORMER: Booz-Allen and Hamilton; 1025 Connecticut Avenue, N.W., Washington, D.C. 20036

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
HD-Social Services

ABSTRACT: This report examines the nature and extent of current purchase of social services and methods employed for assuring control of quantity, quality and costs of social services. Findings are evaluated in terms of guidelines for Federal requirements, standards and guides for use by States in purchasing social services. Findings indicate that, to establish the role of purchase of service, it is necessary to examine it in context of the total social service delivery system. In this spirit, the report outlines a master service analysis plan which addresses fundamental problems of the service delivery system in general and purchase of service in particular. The report should be of interest to executives and planners involved with the public and voluntary social services and to persons who wish to offer social services under contract. (Final Report 186 pages.)

DESCRIPTORS: Survey or Questionnaire Feature, State or Local Administration, Management Techniques, Social Services Delivery Systems

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0365 **PROJECT NO:** SRS 70-1
FUND SOURCE: Evaluation **NTIS NO:** PB 197-582
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: SRS 70-84
COST (In Thousands): FY 70-Not available
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: May '70 through January '71

0346

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human Development Services

PROJECT TITLE: Review and Evaluation of Individual Habitation Plans and Case Management Systems

REPORT TITLE: Not yet determined

PERFORMER: Rehab Group Inc.; 5827 Columbia Pike; Falls Church, VA 22041; Ms. JoEllen Morell, 820-4350

AGENCY CONTACT: Rogge, Kristen, Rm. 2328, MES Bldg.; 202-245-0906

0347

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human Development Services

PROJECT TITLE: Service/Purchasing System for Serving VR Clients

REPORT TITLE: Not yet determined

PERFORMER: National Institute for Adv. Studies; 600 E. Street, N.W., Suite 100; Washington, D.C. 20004; Dr. Barry Zamoff, 202-347-1700

AGENCY CONTACT: Dwyer, James; Rm. 3218, MES Bldg.; 202-245-0811

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Special Projects

ABSTRACT: This project will analyze, and evaluate (both conceptually and operationally) the service/purchasing systems currently employed by selected State VR agencies from the multiple perspectives of (a) existing agency policies, standards, procedures, and practices (b) the role and functions of the counselor (c) client involvement, requirements, and/or expectations, and (d) the performance and other requirements of service providers required by the State agency; and on the basis of findings, develop model(s) and recommendations to assist State agencies in developing a more centralized; efficient, and systematic service/purchasing system which maximally utilizes the special skills of all personnel; stresses the timeliness, quality, and outcome of services; and strengthens the fiscal practices and controls in all aspects of the service/purchasing systems to ensure both sound economic practices and the achievement of desired objectives.

DESCRIPTORS: Financial Management, Auditing and Accounting, State or Local Government, Handicapping Conditions and Problems
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1702 **PROJECT NO:** OASHD 77-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-78-4003
COST (In Thousands): FY 79-\$172

PROJECT DESCRIPTIONS

PROJECT STATUS: Study in process
START/END DATES: September '78 through September '79

0348

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human Development Services

PROJECT TITLE: Social Service Effectiveness Evaluation of Alternative Measures and Their Potential for Implementation.

REPORT TITLE: Social Service Effectiveness Evaluation of Alternative Measures and Their Potential for Implementation

PERFORMER: Booz Allen Public Admin Service, Inc; 1025 Connecticut Ave., N.W; Washington, D.C. 20036

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Social Services;
HD-Social Services

ABSTRACT: This final report is a summary of work conducted in developing a conceptual framework for viewing social services and for measuring their effectiveness. The goal-oriented achievement model, developed in this study, was designed to analyze social service activities in terms of four types of evaluation indicators: (1) efficiency, (2) effectiveness, (3) adequacy, and (4) preventive impact. In addition to discussing the model's limitations, the report also outlines general guidelines for implementation at the Federal and State levels. Appendix A of this report contains a hypothetical illustrative example of how the model may be used to relate program statistics to the designed measurement scheme for evaluating program effectiveness. (Final report 111 pages.)

DESCRIPTORS: Research and Evaluation Methods Feature, Research and Evaluation Performance, Social Services Delivery Systems
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0431 **PROJECT NO:** SRS 72-1
FUND SOURCE: Research **NTIS NO:** PB-247 711
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: RFP-SRS-71-22

COST (In Thousands): FY 71-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '71 through June '72

0349

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human Development Services

PROJECT TITLE: Study of the Impact of Changing the Definition of Developmental Disabilities

REPORT TITLE: Not yet determined

PERFORMER: Morgan Management Systems, Inc.; 245 Wilde Lake Village Green; Columbia, MD 21044; Mr. Solomon G. Jacobson, 301-997-4060

AGENCY CONTACT: Rogge, Kristen; Rm. 2328, MES Bldg.; 202-245-0906

PROGRAM(S) EVALUATED:
HD-Developmental Disabilities-Special Projects

ABSTRACT: The project has the following purposes: 1. To identify and examine the specific implications of the modified definition of Developmental Disabilities in terms of: a) the nature, size, and needs of the population as described by the modified definition (that is, who will be included and who will be excluded), b) the operations of the Developmental Disabilities Program such as State Planners Councils, Protection and Advocacy Programs, University-affiliated Facilities, and Special Projects, c) the broader environment of the Developmental Disabilities Program, including the relevant human services program which provides services to the developmentally disabled, d) the financial considerations in the potential change in the Developmental Disabilities Program. 2. To provide information in a manner useful to people who must implement the modified definition. 3. To indicate ways to mitigating some of the negative implications and enhancing the positive ones of a change in definition of the target population.

DESCRIPTORS: Federal Administration, Eligibility Issues, Needs and Needs Assessment

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1717

PROJECT NO: OASHD 78-17

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: HEW-78-5003

COST (In Thousands): FY 79-\$167

PROJECT STATUS: Study in process

START/END DATES: September '78 through September '79

0350

AGENCY SPONSOR: Office of Human Development Services
Office of the Assistant Secretary for Human Development Services

PROJECT TITLE: Training and Technical Assistance in the Implementation of a Comprehensive System for Evaluating Persons with DD

REPORT TITLE: Not yet determined

PERFORMER: JWK International Corporation; 7617 Little River Turnpike; Suite 800; Annandale, VA 22003; Dr. Sarah Wagner, 703-750-3240

AGENCY CONTACT: Rogge, Kristen; Rm. 2328, MES Bldg.; 202-245-0906

PROGRAM(S) EVALUATED:
HD-Developmental Disabilities-Program Direction & Management

ABSTRACT: This project is in response to Section 110 of P.L. 95-602, which requires the implementation by the States of a comprehensive evaluation system by October, 1982. For the past two years, the Bureau of Developmental Disabilities has funded contracts for the development of design specifications for the evaluation system. During 1977, specifications for the protection of client rights to privacy and confidentiality of information for assessment instruments and overall systems design were developed. During FY 1978, a contract was let to synthesize those sets of specifications into a cohesive package and assist the States in developing their implementation plans (required in Section 110 by October 1, 1980). This project is to provide training and technical assistance to the States to ensure that the evaluation systems are developed both in accordance with the design specifications and the Congressionally established October 1, 1982 deadline for full implementation. If that schedule is to be met, there is a great need for a high level of assistance to be made available to the States.

DESCRIPTORS: Technical Assistance, Handicapping Conditions and Problems, State or Local Administration, Federal State and Local Relations

EVALUATION TYPE: Program Management

EDC ID NO: 1719 **PROJECT NO:** OASHD 78-19
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-78-5005
COST (In Thousands): FY 79-\$185
PROJECT STATUS: Study in process
START/END DATES: September '78 through October '79

OTHER HDS AGENCIES

0351

AGENCY SPONSOR: Office of Human Development Services
Other HDS Agencies

PROJECT TITLE: Development of DD Performance Standards and
Management Informations Systems for Assessing DD
Programs

REPORT TITLE: DD Performance Standards and Management
Information's Systems

PERFORMER: EMC Institute

AGENCY CONTACT: Bouthilet, George N. Dr.; Rm 3070 Switzer;
202-245-0335

PROGRAM(S) EVALUATED:
HD Developmental Disabilities-Special Projects

ABSTRACT: The purpose of this procurement is to assist DDO to
augment the current state planning guidelines and other program
functions at the state level with additional systems necessary to meet
State and Federal management

DESCRIPTORS: Information Systems, Handicapping Conditions and
Problems, Regulation and Standard Setting, Management Informa-
tion and Reporting

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1540 **PROJECT NO:** DD 77-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:**
CONTRACT NO: HEW 105-77-5004
COST (In Thousands): FY 77-\$116
PROJECT STATUS: Study in process
START/END DATES: September '77 through September '78

0352

AGENCY SPONSOR: Office of Human Development Services
Other HDS Agencies

PROJECT TITLE: Evaluation of Implementation of Comprehensive
Guidelines for the DD program

REPORT TITLE: Not yet determined

PERFORMER: Contract Research Corp.

AGENCY CONTACT: Bouthilet, George N.; Rm. 3070 Switzer;
202-245-0335

PROGRAM(S) EVALUATED:
HD-Developmental Disabilities-Programs

ABSTRACT: The purpose of this procurement is to assist DDO to
assess the implementation outcome of the DDEIS and FY 1977-78
State Plan Guidelines to; identify the effects of implementation of the
DDEIS and State Plan Guidelines on the SPOC planning processes;
identify technical and administrative barriers to comprehensive plan-
ning processes and fulfillment of guideline requirements; recommend
alternatives to implementation processes and organizational struc-
tures needed to implement the planning guidelines.

DESCRIPTORS: Information Systems, Research and Evaluation Plan-
ning and Administration

EVALUATION TYPE: Program Management

EDC ID NO: 1541 **PROJECT NO:** DD 77-4
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW 105-77-5003
COST (In Thousands): FY 77-\$73
PROJECT STATUS: Study in process
START/END DATES: September '77 through September '78

0353

AGENCY SPONSOR: Office of Human Development Services
Other HDS Agencies

PROJECT TITLE: Analysis of Labor Demand Stimulation
Alternatives

REPORT TITLE: Labor Demand Stimulation: Summary and
Framework

PERFORMER: Boston College; Social Welfare Regional Research
Institute; Chestnut Hill, MA 02167

AGENCY CONTACT: Arnaudo, David; Universal Bldg.; Rm. 915;
202-673-5596

PROGRAM(S) EVALUATED:
HD-Work Incentives;
SSA-Assistance Payments-AFDC

ABSTRACT: This study identifies policy proposals which can be used
at the Federal or other governmental level to increase job opportuni-
ties for low-income persons, especially those on AFDC. A few "most
desirable" alternatives are explained, discussed, and analyzed
thoroughly. (Final report 2 volumes.)

DESCRIPTORS: Labor Market Problems, Low-Income Population,
Welfare Reform, Training and Employment of the Disadvantaged
EVALUATION TYPE: Program Strategy

EDC ID NO: 1259 **PROJECT NO:** SRS 76-9
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Grant **ERIC NO:** Not available
GRANT NO: 18-P-90332/1-01
COST (In Thousands): FY 76-\$60
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC
START/END DATES: June '76 through June '77

0354

AGENCY SPONSOR: Office of Human Development Services
Other HDS Agencies

PROJECT TITLE: Comprehensive Review and Evaluation of the
Instrumentation Utilized by Programs for the
Developmentally Disabled

REPORT TITLE: Specification and Criteria for the Instrumentation to be used in the Comprehensive Evaluation System
PERFORMER: Abt Associates, Cambridge, Mass.

AGENCY CONTACT: Rogge, Kristen; Rm 2328 Switzer; 202-245-0906

PROGRAM(S) EVALUATED:
HD-Developmental Disabilities-Special Projects

ABSTRACT: During the course of this study, all known instruments designed to assess the behavioral status of developmentally disabled persons will be assembled and evaluated by a panel of twelve experts. The purpose of the evaluation is to determine the extent to which the instruments lend themselves for use in developing individual rehabilitation plans and in the comprehensive system to evaluation services provided to developmentally disabled persons. The specification and criteria for the instrumentation will be used by the states in selecting instruments to be used as a part of their evaluation systems.

DESCRIPTORS: Information Systems, Handicapping Conditions and Problems, Regulation and Standard Setting, Research and Evaluation Performance

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1538

PROJECT NO: DD 77-1

FUND SOURCE: Not yet determined

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-105-77-5005

COST (In Thousands): FY 77-\$125

PROJECT STATUS: Study in process

START/END DATES: September '72 through May '78

0355

AGENCY SPONSOR: Office of Human Development Services
Other HDS Agencies

PROJECT TITLE: Study to Develop Specification and Criteria for Comprehensive System for the Evaluation of Programs and Services to Individuals with Developmental Disabilities

REPORT TITLE: Not yet determined

PERFORMER: Government Studies and Systems

AGENCY CONTACT: Queen, Ann; Rm 2332 Switzer; 202-245-0247

PROGRAM(S) EVALUATED:
HD-Developmental Disabilities-Special Projects

ABSTRACT: The contractor will review and assess existing evaluation systems and based on the assessment develop specifications. Existing systems will have to meet these specifications in order to be certified as a bona fide system. An implementation plan, including costs, will also be developed.

DESCRIPTORS: Information Systems, Handicapping Conditions and Problems, Regulation and Standard Setting, Operations Research Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1539

PROJECT NO: DD 77-2

FUND SOURCE: Not yet determined

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-105-77-5002

COST (In Thousands): FY 77-\$ 309

PROJECT STATUS: Study in process

START/END DATES: September '77 through October '78

0356

AGENCY SPONSOR: Office of Human Development Services
Other HDS Agencies

PROJECT TITLE: Welfare of the Elderly

REPORT TITLE: Welfare of the Elderly

PERFORMER: Elderly Systems, Inc.; 138 Irving Street; Cambridge, Mass. 02138

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

HD-Aging-Community Services-Social Services;

HD-Aging-Program Direction & Management;

HD-Aging-Research

ABSTRACT: The purpose of this report is threefold: first, it presents and analyzes data pertaining to the economic status of being aged. Second, it constructs an analytic framework by which one can examine policy toward the elderly. And third, the analysis serves as a paradigm for policy analysis in other fields. In assessing elderly welfare, the report suggests that conventional measures of need may be poor indicators of the well-being of the elderly. In addition the study suggests that "perhaps the most important pre-elderly policy recommended in the report is a suggested subsidy scheme for individual savings for old-age envisioned as a means providing greater incentives for self-provisions. (Final report Two Volumes, 642 pages.)

DESCRIPTORS: Social Services Delivery Systems, Elderly, Statistical Data Feature, Pensions, Welfare Reform, Income Maintenance
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0374

PROJECT NO: OS 73-1

FUND SOURCE: Not yet determined

NTIS NO: PB 245-350

MECHANISM: Grant

ERIC NO: Not available

GRANT NO: 92-P-66984/1-01

COST (In Thousands): FY 74-Not available

PROJECT STATUS: Study completed,

Available from EDC,

Available from NTIS

START/END DATES: May '73 through September '74

REHABILITATION SERVICES ADMINISTRATION

0357

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: An Analysis of Data Submitted in Fiscal Year 1976 on the Vocational Rehabilitation Evaluation Standards

REPORT TITLE: Not yet determined

PERFORMER: JWK International Corporation; 7617 Little River Turnpike; Annandale, Virginia 22003

AGENCY CONTACT: Finch, Dr. Thomas; 202-245-0247

PROGRAM(S) EVALUATED:

HD-Vocational Rehabilitation-Basic State Grants

ABSTRACT: The general purpose objectives of this contract are to validate FY '74 and FY '75 data sent to RSA in response to data submitted on VR Evaluation Standards and to calculate new performance levels on the most recent data submitted by State agencies. The products obtained by this study will be put into the form of guidance material to be used by both Federal and State Governments. The results may be helpful in refining the data gathering format for the standards as well as assisting the Federal Government in statistical and administrative processes.

DESCRIPTORS: Evaluation by State and Local Governments, Management Information and Reporting
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1291 **PROJECT NO:** RSA 76.1-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW 105-76-4111
COST (In Thousands): FY 76.1-\$96
PROJECT STATUS: Study in process
START/END DATES: August '76 through August '77

0358

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Conduct an Analysis of Data Submitted in FY 77
on the Vocational Rehabilitation Evaluation Standards

REPORT TITLE: Not yet determined

PERFORMER: JWK International Corp.; 7617 Little River
Turnpike; Annandale, Virginia 22203

AGENCY CONTACT: Thomas E. Finch; PH.D., Rm 2330 Switzer;
202-245-0247

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Basic State Grants

ABSTRACT: This study will validate and assess FY 76 data submitted in response to the reporting requirements. It will also calculate new performance levels based upon the most recent data available and analyze the individual performance of each VR State Agency and prepare individual State Reports and national report detailing these results. The techniques and methods used for processing and analysis of the data related to the General Standards.

DESCRIPTORS: Handicapping Conditions and Problems, Statistical Analysis Methods Feature
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1528 **PROJECT NO:** RSA 77-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-105-77-4013
COST (In Thousands): FY 77-\$100
PROJECT STATUS: Study completed
START/END DATES: October '77 through June '78

0359

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Continued Refinement and Expansion of
Vocational Rehabilitation Program Evaluation Standards

REPORT TITLE: Not yet determined

PERFORMER: Berkley Planning Associates; 2320 Channing Way;
Berkley, California 94704

AGENCY CONTACT: Pelton, Dr. Rodney; 202-245-0688

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Basic State Grants

ABSTRACT: This one-year contract with option to extend for an additional year is an extension of the effort to develop a more satisfactory and comprehensive set of VR evaluation standards. The reasons for the work include: the present standards are not satisfactory in their coverage or as adequate evaluative instruments for programs and projects of RSA; a new methodological emphasis is called for so that the Standards produced are not only measures of compliance but will permit evaluative research on cause-effect relationships; and a new approach is required which will develop Evaluation Standards related to State/Federal Planning efforts. Results of this study will be used in immediate modification of the existing VR evaluation standards as well as in the design of procedures for development and testing future modifications.

DESCRIPTORS: Regulation and Standard Setting, Evaluation by State and Local Governments, Planning, Management Information and Reporting

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1293 **PROJECT NO:** RSA 76.1-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-105-76-4112
COST (In Thousands): FY 76.1-\$186
PROJECT STATUS: Study completed
START/END DATES: September '76 through September '77

0360

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Development of a Model
State/Regional/Facilities Reporting System for RSA

REPORT TITLE: Not yet determined

PERFORMER: National Institute for Advanced Studies; 600 E
Street, N.W. Washington, D.C.

AGENCY CONTACT: Pelton, Rodney, Ph.D.; Rm 2324 Switzer,
202-245-0688

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Training & Facilities

ABSTRACT: This study will develop an interrelated rehabilitation facilities information/evaluation system for the Federal Government, State governments, medical rehabilitation facilities and vocational rehabilitation facilities. It will consider all essential factors including financial, client characteristics, client outcomes, institutional characteristics and service processes. The system outputs shall provide, for the specified levels, information for program management and operations structured to provide a capacity for control, accountability and evaluation.

DESCRIPTORS: Handicapping Conditions and Problems, Information Systems, Federal State and Local Relations

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1532 **PROJECT NO:** RSA 77-7
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 105-77-4009
COST (In Thousands): FY 77-\$303

PROJECT STATUS: Study completed
START/END DATES: September '77 through September '78

PROJECT STATUS: Study completed
START/END DATES: June '74 through June '75

0361

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Development of a System for the Evaluation of
the Client Assistance Program

REPORT TITLE: Not yet determined

PERFORMER: Juarez and Associates; 1100 Glendon Avenue; Los
Angeles, CAL

AGENCY CONTACT: Taylor, James E. Ph.D.; Rm 2330 Switzer;
202-245-0907

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation Special Projects

ABSTRACT: The contractor is to design an evaluation methodology
which will allow for a systematic and uniform quantitative assessment
of the extent to which the activities and performance of Client Assist-
ance Projects are consistent with legislative mandates, goals and
objectives of HEW.

DESCRIPTORS: Handicapping Conditions and Problems, Research
and Evaluation Performance, Program Coordination
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1536

PROJECT NO: RSA 77-11

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 105-77-4001

COST (In Thousands): FY 77-\$87

PROJECT STATUS: Study completed

START/END DATES: September '77 through May '78

0363

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Development of Instrumentation and Provision of
Technical Support Services for the Fiscal Year 1976
Program Administrative Review

REPORT TITLE: Not yet determined

PERFORMER: JWK International; 7617 Little River Turnpike;
Annandale, Va 22003

AGENCY CONTACT: Portney, Stan; 202-245-0081

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation

ABSTRACT: Design of survey instrumentation and selection of states
to participate in the FY 1976 Program Administration Review
(PAR); provision of technical assistance during the conduct of this
PAR; evaluation of the effectiveness of the process used in the FY
1976 PAR; and formulation of recommendations for improvement
and enrichment of this process.

DESCRIPTORS: Technical Assistance, Training and Employment of
the Disadvantaged, Grant and Contract Management, State or Local
Administration
EVALUATION TYPE: Support

EDC ID NO: 0996

PROJECT NO: RSA 76-2

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-105-75-4101

COST (In Thousands): FY 76-\$149

PROJECT STATUS: Study in process

START/END DATES: July '75 through August '76

0362

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Development of Impact Measures for
Non-Employment Outcomes of Vocational Rehabilitation
Services

REPORT TITLE: Not yet determined

PERFORMER: University of California, Berkeley

AGENCY CONTACT: Salmon, Raphael; 5318 Switzer Bldg;
202-245-0657

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Basic State Grants

ABSTRACT: The purpose of this study is the development of methods
of measuring non-employment benefits of the Vocational Rehabilita-
tion Program.

DESCRIPTORS: Training and Employment of the Disadvantaged, Re-
search and Evaluation Methods Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1000

PROJECT NO: RSA 74-6

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: Not yet determined

COST (In Thousands): FY 74-\$90

0364

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Development of Instrumentation and Technical
Support for Individualized Written Rehabilitation Plans

REPORT TITLE: Not yet determined

PERFORMER: JWK International Corporation; 7617 Little River
Turnpike, Suite 800; Annandale, Virginia 22003

AGENCY CONTACT: Melia, Dr. Richard; 202-245-0731

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Basic State Grants

ABSTRACT: The project has developed the instruments and proce-
dures for a Program Administration Review (PAR) of the Individual-
ized Written Rehabilitation Program (IWRP) required by law for all
VR clients and for post-employment services (PES). These are new
provisions of the law and RSA needed a method for reviewing State
agency implementation of the new procedures and State policies. The
data gathering phase of the IWRP evaluation has been expanded to
20 agencies to insure national representation in the implementation of
IWRP's for over 2,900 cases. As a direct result of this project a system
for enhancing data processing of IWRP's will be developed. Model
components for additional PAR's will also be developed for general
distribution and to insure management control.

Office of Human Development Services
Rehabilitation Services Administration

Accession No. 0368

DESCRIPTORS: Handicapping Conditions and Problems, Counseling, Information Processing, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1290 **PROJECT NO:** RSA 76-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-105-76-4102
COST (In Thousands): FY 76-\$158
PROJECT STATUS: Study completed
START/END DATES: July '75 through February '77

0365

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Development of Net Impact Evaluation Model
for Vocational Rehabilitation

REPORT TITLE: Not yet determined
PERFORMER: Merson Center; Ohio State University; Columbus,
Ohio

AGENCY CONTACT: Salmon, Raphael; Rm 5518, Switzer Bldg;
202-245-0657

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Basic State Grants

ABSTRACT: This study is intended to develop comparison group methodology to conduct net impact evaluation of Vocational Rehabilitation programs.

DESCRIPTORS: Training and Employment of the Disadvantaged, Research and Evaluation Methods Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0999 **PROJECT NO:** RSA 74-5
FUND SOURCE: Not yet determined **NTIS NO:** Not yet determined
MECHANISM: Grant **ERIC NO:** Not available
GRANT NO: RSA-EV-4-74
COST (In Thousands): FY 74-\$325
PROJECT STATUS: Study in process
START/END DATES: June '74 through June '75

0366

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Establishment of Performance Levels for VR
Evaluation Standards

REPORT TITLE: Not yet determined
PERFORMER: JWK International; 7617 Little River Turnpike;
Annandale, Va. 22003
AGENCY CONTACT: Wenner, David; Rm 5318 Switzer Bldg;
202-245-0618

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Basic State Grants

ABSTRACT: This is a study of the revised data elements of the July 2, 1974 General Standards for VR Evaluation in the light of existing data, establishment of recommended qualitative measures and norms, and development of implementation guidance.

DESCRIPTORS: Training and Employment of the Disadvantaged, Management Information and Reporting, Regulation and Standard Setting, Evaluation by State and Local Governments

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0995 **PROJECT NO:** RSA 76-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-105-75-4103
COST (In Thousands): FY 75-\$33, FY 76-\$60
PROJECT STATUS: Study completed
START/END DATES: April '75 through December '75

0367

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Evaluation of Long-Term Training Program

REPORT TITLE: Not yet determined
PERFORMER: J.W.K. International Corp.; 7617 Little River
Turnpike, Annandale, Virginia 22003
AGENCY CONTACT: Thomas E. Finch, Ph.D.; Room 2330
Switzer; 202-245-0247

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Training

ABSTRACT: This study will develop a model for determining the most effective distribution of rehabilitation training grant funds between pre-service and in-service training categories. It will analyze manpower supply and demand in rehabilitation counseling and related classes of those personnel employed by State VR Agencies and cooperating rehabilitation facilities in the delivery of VR services. The methods institutions, States and Regional Offices utilize to ascertain training needs and priorities will be assessed.

DESCRIPTORS: Handicapping Conditions and Problems, Information Systems, Research and Evaluation Performance
EVALUATION TYPE: Program Strategy

EDC ID NO: 1529 **PROJECT NO:** RSA 77-4
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** N/A
CONTRACT NO: HEW-105-77-4010
COST (In Thousands): FY
PROJECT STATUS: Study in process
START/END DATES: October '77 through December '78

0368

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Evaluation of Projects with Industry Program

REPORT TITLE: Not yet determined
PERFORMER: Urban Resources Consultants, Inc.; La Salle
Building; 1028 Connecticut Ave, N.W.; Washington, D.C.
20036
AGENCY CONTACT: Taylor, James E. Ph.D.; Rm 2333 Switzer;
202-245-0907

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Special Projects

ABSTRACT: This eight-month study will develop a system for determining in the future whether and to what extent the projects with industry are following their legislative mandate and thus contributing to the overall rehabilitation program of RSA. The contractor will pretest, revise and field test the system before recommending the final system to RSA.

DESCRIPTORS: Handicapping Conditions and Problems, Research and Evaluation performance
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1534 **PROJECT NO:** RSA 77-9
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 105-77-4003
COST (In Thousands): FY 77-\$81
PROJECT STATUS: Study completed
START/END DATES: September '77 through May '78

0369

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Evaluation of Rehabilitation Facilities
Improvement Grants

REPORT TITLE: Not yet determined

PERFORMER: American-Indian Consultants; 7120 East Fourth
Street, Suite 1; Scottsdale, Arizona 85251

AGENCY CONTACT: Taylor, James E. Ph.D.; Rm 2330 Switzer;
202-245-0907

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Special Projects

ABSTRACT: After becoming familiar with this program, the contractor is to develop an evaluation system that will permit future assessment of program effectiveness and efficiency.

DESCRIPTORS: Handicapping Conditions and Problems
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1535 **PROJECT NO:** RSA 77-10
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 105-077-4002
COST (In Thousands): FY 77-\$54
PROJECT STATUS: Study completed
START/END DATES: November '71 through July '78

0370

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Evaluation of RSA's Research and Training
Centers

REPORT TITLE: An Evaluation of RSA's Rehabilitation Research
and Training Center Program: Final Report

PERFORMER: Abt Associates, Inc.; 55 Wheeler Street; Cambridge,
Massachusetts 02138

AGENCY CONTACT: Taylor, James E.; Rm. 2330 Switzer Bldg;
202-245-0907

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Research;
HD-Vocational Rehabilitation-Training

ABSTRACT: This study is to evaluate Research and Training Center (RTC) efficiency and effectiveness to conduct research, provide training and provide for delivery of services. One aspect of this evaluation will encompass RTC and Federal responsibilities and identify areas where duplication of effort exists. Other aspects of this evaluation will include the RTC impact on training and research, the proficiency of goal setting, role of the advisory councils, adequacy

of technical assistance to centers, and appraising mechanisms used to transfer research findings to field operations. The evaluation will be conducted over a one-year period and focus on activities carried out by the RT Centers since their inception in 1962. The period from 1971-1977 will be the primary focus of the evaluation.

DESCRIPTORS: Social Research, Social Work Manpower, Discretionary and Project Grant Performance, Research and Evaluation Performance, Information Dissemination
EVALUATION TYPE: Program Impact

EDC ID NO: 1292 **PROJECT NO:** RSA 76.1-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-105-76-4109
COST (In Thousands): FY 76.1-\$295
PROJECT STATUS: Study completed
START/END DATES: September '76 through November '77

0371

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Evaluation of RSAs Short Term Training
Program

REPORT TITLE: Not yet determined

PERFORMER: Systems Group, Inc.; 815 15th St, N.W. Suite 921;
Washington, D.C.

AGENCY CONTACT: Taylor, James E. Ph.D.; Rm 2330 Switzer;
202-245-0907

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Training

ABSTRACT: This study is to evaluate the short-term training program of RSA as it currently exists and to develop a system which allows Federal staff to make future evaluations on the effectiveness of short-term training. The study is basically concerned with program management and will address among other things the assessment of how training priorities are determined, whether training is job related, and measure the effectiveness of the mechanism for awarding fund to support short-term training.

DESCRIPTORS: Handicapping Conditions and Problems, Information Systems, Social Work Manpower
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1537 **PROJECT NO:** RSA 77-12
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 105-77-4015
COST (In Thousands): FY 77-\$118
PROJECT STATUS: Study completed
START/END DATES: September '77 through October '78

0372

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Evaluation of State VR Agency Use of Other
State and Federal Programs in Providing Medical, Education
and Certain Other VR Services

REPORT TITLE: Not yet determined
PERFORMER: Urban Institute; 2100 M St. NW; Washington, DC
20030

**Office of Human Development Services
Rehabilitation Services Administration**

Accession No. 0375

AGENCY CONTACT: Wenner, David; 5318 Switzer Bldg.;
202-245-0618

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Basic State Grants

ABSTRACT: The purpose of this study is to identify and evaluate the administrative procedures and policies employed by State VR agencies to facilitate the utilization of similar benefits in the provision of services to their clients.

DESCRIPTORS: Training and Employment of the Disadvantaged, Program Coordination, Vouchers, Supportive Services, Social Services Delivery Systems

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0993

PROJECT NO: RSA 75-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: HEW-105-75-4102

COST (In Thousands): FY 75-\$99

PROJECT STATUS: Study in process

START/END DATES: June '75 through April '76

0373

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Evaluation of the Rehabilitation Engineering
Center Program

REPORT TITLE: Not yet determined

PERFORMER: Berkeley Planning Associates; 2320 Channing Way;
Berkeley, Calif

AGENCY CONTACT: James E. Taylor, Ph.D.; Rm 2330 Switzer
202-245-0907

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Special Projects

ABSTRACT: This study will run for 13 months and its broad purpose is the evaluation of the Rehabilitation Engineering Centers Program authorized under Section 3 (b) 202 (b) (f) of the Rehabilitation Act of 1973; of most concern are activities carried out by the Centers and domestic discrete projects since their inception in 1972. The evaluation will produce a plan of recommendations for management of the Centers program.

DESCRIPTORS: Handicapping Conditions and Problems, Information Systems

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1526

PROJECT NO: RSA 77-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 105-77-4006

COST (In Thousands): FY 77-\$150

PROJECT STATUS: Study in process

START/END DATES: September '77 through October '78

0374

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Evaluation of Two RSA Research Utilization
Laboratories

REPORT TITLE: Not yet determined

PERFORMER: National Institute for Advanced Studies; 600 E St,
N.W. Suite 100; Washington, D.C.

AGENCY CONTACT: Finch, Thomas E., Ph.D. Rm 2330, Switzer,
202-245-0247

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Research

ABSTRACT: To evaluate RSA's two RUL's one at Jewish Vocational Services in Chicago, the other at Institute for the Crippled and Disabled in New York in terms of essential activities and their outcomes; and on the basis of this, plus a review of literature on RU, design a model for RU&L's that RSA can use effectively in expanding its RUL program.

DESCRIPTORS: Handicapping Conditions and Problems, Information Processing

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1530

PROJECT NO: RSA 77-5

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW 105-77-4016

COST (In Thousands): FY 77-\$100

PROJECT STATUS: Study completed

START/END DATES: October '77 through September '78

0375

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Feasibility Study for Evaluation Methodologies
for Cost Benefit Analysis of Restoration Services in
Rehabilitation

REPORT TITLE: Not yet determined

PERFORMER: Rehab Group, Inc.; 3110 Columbia Pike; Arlington,
Virginia

AGENCY CONTACT: James E. Taylor, Ph.D.; Rm 2330 Switzer;
202-245-0907

PROGRAM(S) EVALUATED:
HDS-Vocational Rehabilitation-Program Direction

ABSTRACT: The Rehabilitation Service Administration (RSA) desires to have a system to measure the cost effectiveness of physical restoration services provided by the basic State program of vocational rehabilitation and those facilities and centers that complement the program. Because cost-benefit formulas and systems for physical restoration services are in their infancy stages, RSA decided to study the climate or environment for creating such a system. Tasks to be done in the study of the feasibility for a cost-benefit system in physical restoration include 1) a literature search, 2) development of a number of methodologies of the system, and 3) the use of experts in the development of model systems.

DESCRIPTORS: Handicapping Conditions and Problems, Feasibility Study Feature

EVALUATION TYPE: Program Strategy

EDC ID NO: 1527

PROJECT NO: RSA 77-2

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO:

CONTRACT NO: 105-77-4012

COST (In Thousands): FY 77-\$73

PROJECT STATUS: Study in process

START/END DATES: September '77 through May '78

0376

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Follow-up Study of Former VR Clients

REPORT TITLE: Follow-Up Study of Former VR Clients

PERFORMER: JWK International Inc; Annandale, Va.

AGENCY CONTACT: Finch, Thomas E. Ph.D.; Rm 2330 Switzer;
202-245-0247

PROGRAM(S) EVALUATED:

HD-Vocational Rehabilitation-Basic State Grants

ABSTRACT: The Vocational Rehabilitation Follow-up study is a nationwide sample survey of 6,000 former clients of the VR program, to determine the extent to which clients retain the benefits of the VR program, and to determine the relationship of benefit retention to client characteristics, program service received, and demographic characteristics. The scope of the study is broad, addressing medical, social, and vocational elements of the VR program. The realization of program benefits is reflected in the maintenance of health status, the number and duration of jobs which the client has held since closure, the similarity of these jobs to those for which the client was trained, the earnings history of the client, and any other nonvocational or indirect benefits, such as notable effects on other members of the client's family, which may be identified. (See also EDC ID No. 0524.)

DESCRIPTORS: Training and Employment of the Disadvantaged, Survey or Questionnaire Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 0998

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: SRS-74-65

COST (In Thousands): FY 74-\$423, FY 78-\$192

PROJECT STATUS: Study completed

START/END DATES: June '74 through December '75

PROJECT NO: RSA 74-7

NTIS NO: Not yet determined

ERIC NO: Not available

0377

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Information Needs for Program Evaluation

REPORT TITLE: Not yet determined

PERFORMER: Economic and Manpower Corp.; 6720 Quincy St.;
Phila, Pa. 19119

AGENCY CONTACT: Leonard, F.; 202-245-0020

PROGRAM(S) EVALUATED:

HD-Developmental Disability;

HD-Developmental Disabilities-Program Direction &
Management

ABSTRACT: The project identifies, collects, and analyzes program information available in a sample of four different types of States, and designs, tests, and implements a model information system for State developmental disabilities program

DESCRIPTORS: Handicapping Conditions and Problems, Needs and Needs Assessment, Case or Site Study Feature, Information Processing

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0972

FUND SOURCE: Evaluation

MECHANISM: Contract

PROJECT NO: DD 76-1

NTIS NO: Not yet determined

ERIC NO: Not available

CONTRACT NO: OHD-105-76-5000

COST (In Thousands): FY 76-\$198

PROJECT STATUS: Study completed

START/END DATES: August '75 through August '76

0378

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Manpower Supply and Demand in Rehabilitation

REPORT TITLE: Not yet determined

PERFORMER: Interstudy; Minneapolis, Minn.

AGENCY CONTACT: Portney, Stan; 202-245-0081

PROGRAM(S) EVALUATED:

HD-Vocational Rehabilitation-Training

ABSTRACT: The major objective of this study was to obtain a better understanding of the demand for and supply of trained vocational rehabilitation specialists in light of the SRS/RSA policies and budgeting decisions.

DESCRIPTORS: Social Work Manpower, Health Manpower Projections

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0997

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: SRS-74-43

COST (In Thousands): FY 74-\$226

PROJECT STATUS: Study completed

START/END DATES: June '74 through October '76

PROJECT NO: RSA 74-1

NTIS NO: Not yet determined

ERIC NO: Not available

0379

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Policy Development and Promulgation in the
State/Federal Vocational Rehabilitation System

REPORT TITLE: Not yet determined

PERFORMER: JWK International Corporation; 7617 Little River
Turnpike; Annandale, Virginia 22003

AGENCY CONTACT: Pelton, Rodney A., Ph.D. Rm 2324 Switzer,
202-245-0688

PROGRAM(S) EVALUATED:

HD-Vocational Rehabilitation-Basic State Grants

ABSTRACT: This evaluation will determine the essential structure and functions, on a theoretical basis, of a model policy development and promulgation system by study of relevant research and demonstrations, evaluations and sources of concepts. After determining the dynamics of the State/Federal policy development and promulgation system for vocational rehabilitation an optimal model system will be constructed.

DESCRIPTORS: Handicapping Conditions and Problems, Information Systems, Federal State and Local Relations

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1531

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: 105-77-4014

COST (In Thousands): FY 77-\$115

PROJECT NO: RSA 77-6

NTIS NO: Not yet determined

ERIC NO: Not available

**Office of Human Development Services
Rehabilitation Services Administration**

Accession No. 0383

PROJECT STATUS: Study completed
START/END DATES: September '77 through September '78

0380

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Refinement and Expansion of the General
Standards for the Evaluation of the VR Program

REPORT TITLE: Not yet determined
PERFORMER: Urban Institute; 2100 M St. NW; Washington, DC
20030

AGENCY CONTACT: Portney, Stan; 202-245-0081

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Basic State Grants

ABSTRACT: The expansion and improvement of the existing General
Standards and performance levels for the evaluation of the quality,
effectiveness, impact and efficiency of VR programs and projects.

DESCRIPTORS: Regulation and Standard Setting, Management Infor-
mation and Reporting, Training and Employment of the Disadvan-
taged, Evaluation by State and Local Governments
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0994 **PROJECT NO:** RSA 75-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-105-75-4101
COST (In Thousands): FY 75-\$216
PROJECT STATUS: Study in process
START/END DATES: June '75 through June '76

0381

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Service Organizations and Clients-Vocational
Rehabilitation

REPORT TITLE: Not yet determined
PERFORMER: Ohio State University Res. Foundation; Columbus,
Ohio 43212

AGENCY CONTACT: Shurr, Milton; Rm 3121 Switzer Bldg;
202-245-0259

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Basic State Grants

ABSTRACT: Studies of the epidemiology of disability, approaches to
the assessment of rehabilitation service provision, potential applica-
tions of sampling techniques in the evaluation of state rehabilitation
programs, the patterns of needs and demand for and utilization of
rehabilitation services, and the results of such services.

DESCRIPTORS: Handicapping Conditions and Problems, Training and
Employment of the Disadvantaged
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0992 **PROJECT NO:** RSA 71-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Grant **ERIC NO:** Not available
GRANT NO: 33-P-60323/5-04
COST (In Thousands): FY 71-\$171, FY 72-\$420, FY 73-\$200, FY
74-\$14, FY 75-\$55

PROJECT STATUS: Study completed
START/END DATES: June '71 through June '76

0382

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: A Study to Evaluate the Effectiveness of
Vocational Rehabilitation Services to Deaf and Hard of
Hearing Clients

REPORT TITLE: Not yet determined
PERFORMER: National Institute for Advanced Studies; 600 E
Street, N.W. Suite 100; Washington, D.C. 20004
AGENCY CONTACT: Finch, Thomas E. Ph.D.; Rm 2330 Switzer;
202-245-0247

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation

ABSTRACT: This study will evaluate the existing Model State pro-
grams, (to include; the VR process; manpower; State Advisory Coun-
cil; interagency cooperation; deaf community development and
communication: national/State/ local. It will also study the effect of
implementing Model State Plan for deaf and hard of hearing client
and evaluate the VR case service delivery system to all deaf clients,
high and low verbal and in-between: and conduct a utilization semi-
nar.

DESCRIPTORS: Handicapping Conditions and Problems
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1533 **PROJECT NO:** RSA 77-8
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-105-77-4011
COST (In Thousands): FY 77-\$148
PROJECT STATUS: Study completed
START/END DATES: October '77 through November '78

0383

AGENCY SPONSOR: Office of Human Development Services
Rehabilitation Services Administration

PROJECT TITLE: Vocational Rehabilitation State Allocation Study

REPORT TITLE: Vocational Rehabilitation State Allocation Study
PERFORMER: JWK International Corp; Annandale, Virginia
AGENCY CONTACT: Kendall, Kyn; Switzer Bldg; 202-245-0657

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Basic State Grants

ABSTRACT: To evaluate the current allocation formula and alterna-
tives to it, this study focused on legislative history of the present VR
State allocation formula; characteristics of an "equitable" formula;
the effectiveness with which the present formula apportions the Fed-
eral VR funds among the states; proposition of alternative allocation
formulas; and the need for further analysis. The study finds that the
"equity index" is the best general measure of the equity of an alloca-
tion; that the present formula introduces substantial inequities in al-
locating funds to states; and that implementation of either of two
alternative allocation formulas would introduce abrupt increases and
decreases in state allotments. The alternative formulas gave weight
to population, poverty population, and per capita income, but not to
maintenance of effort or to state program performance. (Final report
72 pages, summary 32 pages).

DESCRIPTORS: Training and Employment of the Disadvantaged,
Handicapping Conditions and Problems, Federal Role, Grant-in-Aid
Formulas

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0524

PROJECT NO: RSA 74-4 (SRS 74-
12)

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: SRS 74-12

COST (In Thousands): FY 74-\$55

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC

START/END DATES: June '73 through July '74

PUBLIC HEALTH SERVICE

PROJECT STATUS: Study completed,
Executive summary at EDC,
Available from NTIS
START/END DATES: June '74 through May '75

ALCOHOL, DRUG ABUSE AND MENTAL HEALTH ADMINISTRATION

0384

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration

PROJECT TITLE: Development of Quantitative Indices of
Institutional Change with Regard to Racial Minorities and
Women in NIMH External Programs

REPORT TITLE: Development of Quantitative Indices of
Institutional Change with Regard to Racial Minorities and
Women in NIMH External Programs

PERFORMER: Human Sciences Research Inc; 7710 Old
Springhouse Road; McLean, Virginia 22101

AGENCY CONTACT: Shapiro, Richard; Rm 7102 Pkln Bldg;
301-443-3728

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health

ABSTRACT: This document attempted to evaluate patterns of discrimination with regard to minority groups and women in the external programs of NIMH. Since the data was insufficient to accommodate a full-scale evaluation, the study developed the system of Difference Indicators and a general data collection system to examine specific variables in the research, training, and service components of NIMH external programs. Examples of the use of their system on real NIMH data are included in the appendices. There were three major products of this evaluation: a recommended set of indicators for NIMH external programs, calculations on the available data, and description of a recommended data system. (Final report 150 pages plus 2 appendices).

DESCRIPTORS: Minority Groups, Women, Civil Rights and Integration, Mental Health, Literature Review Feature, Statistical Data Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0440

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: ADM 42-74-44 (CP)

COST (In Thousands): FY 74-\$75

PROJECT NO: ADAMHA 74-20

NTIS NO: PB 249-672

ERIC NO: Not available

0385

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration

PROJECT TITLE: Program Development: A Manual for
Organizational Self-Study

REPORT TITLE: Program Development: A Manual for
Organizational Self-Study

PERFORMER: Social Action Research Center; Berkeley, California;
Judith Blanton

AGENCY CONTACT: Kelly, Tom; 301-443-4564

PROGRAM(S) EVALUATED:
PHS-ADAMHA-ADAMHA-Program Direction;
V-Many HEW Programs-Social Services

ABSTRACT: A program development model is described that outlines sequential systems which can be applied to improve the efficiency and effectiveness of a variety of human services programs. The key to the program development cyclical process is considered to be self-study, wherein staff and other relevant groups are involved in all phases of program development. Phases of program development include determining needs, setting goals, planning program activities, and assessing progress. The creation of a climate conducive to program development is examined. The goal and objective formulating process of program development are investigated, and the formulation of strategies to meet goals and objectives is addressed. Guidelines for the resolution of organizational problems are presented, with emphasis on methods used to assess community "needs" (social and health indicators analysis, community survey approaches, and non-survey techniques). The "force field" concept in program development is analyzed, with the force field being defined as the life space of a program or project, including physical, social, and psychological forces. Strategies for accomplishing and evaluating goals and objectives are outlined, and the role of feedback in program development is assessed. The report also discusses the program development model in terms of its application to planning for and assessing a client's progress. A list of references is provided. See EDC 0262 for other studies under the same contract. (Final report 228 pages).

DESCRIPTORS: Management Techniques, Planning, Needs and Needs Assessment, Evaluation by State and Local Governments
EVALUATION TYPE: Support

EDC ID NO: 0582

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: HSM-42-72-143

COST (In Thousands): FY 74-\$60

PROJECT NO: ADAMHA 72-4

NTIS NO: PB 255-980

ERIC NO: Not available

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: April '74 through August '75

0386

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration

PROJECT TITLE: Public Program Management: The Case of Child Abuse

REPORT TITLE: Public Program Management: The Case of Child Abuse

PERFORMER: The Lazar Institute; 304 Dana Place, N.W.;
Washington, D.C.

AGENCY CONTACT: Magnus, H.

PROGRAM(S) EVALUATED:
PHS-ADAMHA-ADAMHA-Program Direction;
HD-Social Services-Child Welfare Services

ABSTRACT: This study makes an effort to assess the effectiveness of HEW's intradepartmental committee on child abuse and to generalize from that particular case. In the course of studying the committee's progress, the need for a coherent methodological framework with which to evaluate public program development became apparent. Accordingly, Chapter I of this paper is devoted to a discussion of the general issues involved. In Chapter II the case of child abuse is discussed in some detail, and in Chapter III the conclusions and recommendations which this study produced are presented. The study recognizes the need for the intradepartmental committee strategy for addressing problems which cut across agency lines to operate as efficiently as possible by emphasizing accountability and by taking steps to assure adequate access to the knowledge and viewpoints of outside experts and constituencies. The study also recommends a formal mechanism of communication between the experts and the policy makers, and an institutionalized method of evaluation of the policy-making process. (Final report 40 pages).

DESCRIPTORS: Child Welfare, Management Techniques
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0433 **PROJECT NO:** ADAMHA 74-18
FUND SOURCE: Evaluation **NTIS NO:** PB 247-816
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: ADM 42-74-30 (OP)
COST (In Thousands): FY 74-\$10
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: June '74 through December '74

0387

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration

PROJECT TITLE: State Program Development in Concept and Practice

REPORT TITLE: State Program Development in Concept and Practice

PERFORMER: Wayne A. Kimmel; 245-4th St, S.W.; Washington, D.C.

AGENCY CONTACT: Viachi, Thomas; 301-443-3476

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health

ABSTRACT: This study had two primary purposes: 1) to monitor the progress of State Program Development Activities (SPD) in Virginia and Arkansas, and to make recommendations on how progress toward SPD objectives could be improved; and 2) to assist in the elaboration of the model of SPD by comparing it briefly with several other attempts at federal technical assistance to states and localities. The major findings and recommendations relate to improving the general conduct of SPD activities in any state, but are based primarily on SPD experience in Virginia and Arkansas. (Final report 102 pages)

DESCRIPTORS: Mental Health, Federal State and Local Relations, State or Local Administration, Program Coordination, Case or Site Study Feature, Survey or Questionnaire Feature
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0443 **PROJECT NO:** ADAMHA 74-7
FUND SOURCE: Evaluation **NTIS NO:** PB 249-524
MECHANISM CONTRACT: **ERIC NO:** Not available
CONTRACT NO: ADM 42-74-125 (OP)

COST (In Thousands): FY 74-\$29

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: May '74 through June '75

National Institute on Alcohol Abuse and Alcoholism

0388

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Alcohol Abuse and Alcoholism

PROJECT TITLE: Alcohol Treatment Program Monitoring System (ATPMS)

REPORT TITLE: Not yet determined

PERFORMER: Informatics, Inc.; 6000 Executive Blvd; Rockville, Md. 20852; Ron York 770-3000

AGENCY CONTACT: Patterson, Donald G.; Rm 16-95, Pkln; 301-443-4883

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Alcoholism-Management & Information;
PHS-ADAMHA-Alcoholism-Community Programs-Project Grants

ABSTRACT: This project monitors the grants funded by the NIAAA Special Treatment and Rehabilitation Division. Data collection, data processing and output reports are provided routinely, and Special Computer Runs are available on request. The contractor also provides training to project staffs, edits forms, provides inventories of Government printed forms to projects and manages the NIAAA Monitoring System. This project continues work initiated under project EDC 0370.

DESCRIPTORS: Alcoholism, Information Processing, Discretionary and Project Grant Performance, Management Information and Reporting

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1211 **PROJECT NO:** NIAAA 73-17
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: ADM 281-76-0005
COST (In Thousands): FY 74-\$314, FY 75-\$496, FY76-\$599
PROJECT STATUS: Study in process
START/END DATES: July '73 through February '78

0389

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Alcohol Abuse and
Alcoholism

PROJECT TITLE: Follow-Up of Texas State Hospital Alcoholism
Clients

REPORT TITLE: Alcoholism: What Happens After Treatment?
PERFORMER: Texas Department of Mental Health and Mental
Retardation; Austin, Texas
AGENCY CONTACT: Patterson, Donald; Rm. 16-95 PKLN;
301-443-4883
PROGRAM(S) EVALUATED:
PHS-ADAMHA-Alcoholism-Management & Information

ABSTRACT: The purpose of this study is to (1) assess the long-term
functioning of treated alcoholic clients; (2) gauge effects of treatment
intervention by State hospitals; (3) measure the effectiveness of after-
care, and (4) produce a research report outlining the study findings.

DESCRIPTORS: Evaluation by State and Local Governments, Hospi-
tals, Alcoholism
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1336 **PROJECT NO:** NIAAA 77-1
FUND SOURCE: Evaluation **NTIS NO:** PB 80-160765
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: ADM 281-77-0014
COST (In Thousands): FY 77-\$54
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: September '77 through August '79

0390

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Alcohol Abuse and
Alcoholism

PROJECT TITLE: Evaluation of the Area Alcoholism Education and
Training Programs (AAETP)

REPORT TITLE: Area Alcohol Education and Training Program
Evaluation
PERFORMER: Consad Research Corp.; 121 North Highland Ave.;
Pittsburgh, Pa. 15206; Dr. Lawrence Lerer 412-363-5230
AGENCY CONTACT: Reed, Patricia; 16-95, Pkln; 301-443-4883
PROGRAM(S) EVALUATED:
PHS-ADAMHA-Alcoholism-Training

ABSTRACT: The final report on the evaluation of the Area Alcohol
Education and Training Program (AAETP) is presented in five
volumes. Volume 1, the primary documentation of study activities
and results provides a discussion of the background of the AAETP
program, the purposes of the AAETP evaluation, the evaluation
methodology designed and implemented, the results and findings of
that methodology and the conclusions and recommendations for the
AAETP program. Volume 1 is supported by Volumes 1-5 which
present the results of the evaluations conducted of each individual
AAETP. In addition, these volumes provide a brief synopsis of the
activities of the individual AAETPs. The study concluded with the
following: All AAETPs implemented a "needs assessment" to deter-
mine the alcohol training needs within each geographical area of
responsibility; The primary vehicle used to achieve program objec-
tives was the funding of subgrants to conduct training and/or the
development of training material for individuals in the alcohol field;
A variety of other activities were undertaken to promote or facilitate
alcohol education and training, and; some of the less successful ex-
periences of the AAETPs were: the State Alcohol Authority (SAA)
Advisory Council which was difficult to convene and often failed to
facilitate SSA support. The study recommended that the primary
source of funding should continue to be NIAAA, but using the con-
tract rather than grant funding mechanism.

DESCRIPTORS: Alcoholism, Educational Administration, Education-
al Techniques, Social Work Manpower
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1221 **PROJECT NO:** NIAAA 76-5
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: ADM 281-76-0018
COST (In Thousands): FY 76-\$64
PROJECT STATUS: Study completed,
Executive summary at EDC
START/END DATES: June '76 through February '77

0391

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Alcohol Abuse and
Alcoholism

PROJECT TITLE: Cost Benefit Study on Benefits of Alcoholism
Programs to the Community

REPORT TITLE: Benefit-Cost Analysis of Alcoholism Treatment
Centers (ATCs)
PERFORMER: JWK, International; Annandale, Va.
AGENCY CONTACT: Patterson, Donald; ADAMHA; 301-443-2858
PROGRAM(S) EVALUATED:
PHS-ADAMHA-Alcoholism-Community Programs

ABSTRACT: This study was directed to a comparison of the costs and
benefits associated with treatment of 41 ATCs in the United States.
The study involved development of a methodology for assessing
costs and benefits associated with treatment. Three principal benefit
areas were identified: health care costs, motor vehicle accident costs
and productivity (earnings) increases. For each of these areas, bene-
fits and costs were determined from three points of view: the national
economy, the community economy, and the individual. The study
concluded that the operations of the 41 ATCs during the last half of
1974 would result in a benefit/cost ratio of 2.96 for the national
economy, 11.0 for the community and 6.2 for the individual. Al-
though the objectives of the study were accomplished, availability of
data constrained the comprehensiveness and reliability of the analysis
and further work will be done in this area when additional data are

available. This study does point out that the ATC program represents a very real benefit to the national economy and the community economy as well as the individual client and, therefore represents a very "profitable" program.

DESCRIPTORS: Alcoholism, Benefit-Cost Analysis Feature, Statistical Analysis Methods Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 0715 **PROJECT NO:** NIAAAA-75-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: ADM-281-75-0031
COST (In Thousands): FY 75-\$63
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC
START/END DATES: June '75 through May '76

0392

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Alcohol Abuse and
Alcoholism

PROJECT TITLE: Evaluation of NIAAA/NIDA Career Teacher Program

REPORT TITLE: Career Teacher Program Evaluation, 3 Volumes
PERFORMER: Consad Research Corp.; 121 North Highland Ave.;
Pittsburgh, Pa. 15206; Dr. Lawrence Lerer 412-363-5500
AGENCY CONTACT: Reed, Patricia; 16-95, Parklawn; 301-443-4883
PROGRAM(S) EVALUATED:
PHS-ADAMHA-Alcoholism-Training;
PHS-ADAMHA-Drug Abuse-Training

ABSTRACT: This report summarizes the results of an evaluation of the Career Teacher Program, sponsored by the National Institute on Alcohol Abuse and Alcoholism and the National Institute on Drug Abuse. The Program is designed to improve the substance abuse training medical and public health students receive by providing grants to faculty members at schools of medicine, osteopathy, and public health for their own training in this area and for the development of substance abuse curriculum. This study involved the collection of information from the Career Teacher and other individuals at each of the 39 institutions which had received grants, from the two Career Teacher Training Centers, and from 25 non-participating institutions. The data, collected mainly during the Spring of 1977, were used to answer a list of pre-determined evaluation questions and to test three hypotheses regarding the grants. The results of the evaluation are presented in three volumes. Volume I, The Career Teacher Program, includes background on the Program, a description of the evaluation methodology, the study findings, and recommendations for Institute action to improve the program and broaden its scope. This volume is supported by Volume, II, Training Center and Project Descriptions which provides brief descriptions for each of the 39 Career Teacher projects reviewed and for the two Career Teacher Training Centers funded by NIDA. Volume III is the Executive Summary.

DESCRIPTORS: Alcoholism, Drug Abuse, Educational Administration, Educational Techniques, Consumer Education, Medical Training

EVALUATION TYPE: Program Impact

EDC ID NO: 1223 **PROJECT NO:** NIAAAA 76-7
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: ADM 281-76-0031
COST (In Thousands): FY 76.1-\$86
PROJECT STATUS: Study completed,
Executive summary at EDC
START/END DATES: September '76 through September '77

0393

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Alcohol Abuse and
Alcoholism

PROJECT TITLE: Develop a National Alcoholism Manpower Information and Prediction System

REPORT TITLE: Not yet determined
PERFORMER: Research Triangle Institute; Research Triangle Park;
North Carolina 27709
AGENCY CONTACT: Rosenberg, Nate; Rm 13-99, Parklawn;
301-443-1056
PROGRAM(S) EVALUATED:
PHS-ADAMHA-Alcoholism-Community Programs-Project
Grants;
PHS-ADAMHA-Alcoholism-Research

ABSTRACT: NIAAA has funded a variety of grants to develop techniques in prevention of alcoholism and to implement such programs. The purpose of this project is to learn whether these grantees are meeting their stated objectives and to provide information to NIAAA on those activities which are achieving success.

DESCRIPTORS: Alcoholism, Demonstration Projects, Research and Evaluation Performance, Discretionary and Project Grant Performance, Management Information and Reporting, Case or Site Study Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1220 **PROJECT NO:** NIAAAA 76-4
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: ADM 281-76-0011
COST (In Thousands): FY 76-\$143
PROJECT STATUS: Study in process
START/END DATES: May '76 through February '78

0394

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Alcohol Abuse and
Alcoholism

PROJECT TITLE: Evaluation of Alcoholism Programs in State of Texas (First Year)

REPORT TITLE: Evaluation of Alcoholism Programs in State of Texas (First Year)
PERFORMER: Texas Department of MH and MR; Box S, Capitol Station; 45 at Lamar; Austin, Texas 78711; Dr. D. Sheehan
AGENCY CONTACT: Reeder, William C.; ADAMHA;
301-443-2570

**Public Health Service
Alcohol, Drug Abuse and Mental Health Administration**

Accession No. 0397

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Alcoholism-Community Programs-Grants to States

ABSTRACT: This study evaluated the effects of alcohol treatment programs on expatients in State of Texas.

DESCRIPTORS: Alcoholism, Evaluation by State and Local Governments

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0675

PROJECT NO: NIMH 73-16

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-42-73-109

COST (In Thousands): FY 73-\$88

PROJECT STATUS: Study completed

START/END DATES: May '73 through May '74

COST (In Thousands): FY 76.1-\$56

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS

START/END DATES: September '76 through May '77

0396

AGENCY SPONSOR: Public Health Service

Alcohol, Drug Abuse and Mental Health

Administration

National Institute on Alcohol Abuse and

Alcoholism

PROJECT TITLE: Evaluation of the National Center for Alcohol Education (NCAE)

REPORT TITLE: Evaluation of the National Center for Alcohol Education: An Assessment of NIAAA Training Resource Development Efforts

PERFORMER: Systemetrics, Inc.; 7910 Woodmont Ave.; Bethesda, Md. 20014; 301-654-6163

AGENCY CONTACT: Promisel, David; 16C-03, Pkln; 301-443-3864

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Alcoholism-Training

ABSTRACT: The report on the National Center for Alcohol Education (NCAE) is intended to provide NIAAA policy-makers with new information and conceptual approaches as well as with sets of recommendations and options covering both the short and long terms. The study's primary objectives were: to assess the impact of NCAE activities on the training received by workers in the field of alcohol abuse and alcoholism prevention and treatment; to compare the major current activities emphasized by NCAE (training package development, resource identification, and information exchange) with those of other selected Federal training systems; and, to make recommendations regarding future roles and activities of NCAE. This study consists of five chapters. Chapter 1 - Overview Chapter 2 - Study Purpose, Chapter 3 - The National Center for Alcohol Education Chapter 4 - NCAE Assessment, Chapter 5 - Comparative Assessment of Federally supported Training Systems, and Chapter 6 - Conclusions and Recommendations. This chapter examines NCAE from the perspective and knowledge added by the comparative assessment and develops both short- and long-term recommendations for NIAAA and NCAE. In addition, also reviewed are several options for restructuring the alcohol education and training system that NIAAA might wish to consider as it formulates a national training strategy.

DESCRIPTORS: Alcoholism, Consumer Education, Educational Techniques, Health Manpower Training, Information Dissemination
EVALUATION TYPE: Program Strategy

EDC ID NO: 1222

PROJECT NO: NIAAA 76-6

FUND SOURCE: Evaluation

NTIS NO: PB 282-554-5

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: ADM 281-76-0030

0397

AGENCY SPONSOR: Public Health Service

Alcohol, Drug Abuse and Mental Health

Administration

National Institute on Alcohol Abuse and

Alcoholism

PROJECT TITLE: Evaluation of Clearinghouse for Alcohol Information

REPORT TITLE: Evaluation of the National Clearinghouse for Alcohol Information

PERFORMER: Kirschner Associates, Inc.; 733 15th St., N.W. Suite 1137; Washington, D.C. 20005; Sherrie Aitken 638-3423

AGENCY CONTACT: Patterson, Donald G.; 16-95, Pkln; 301-443-4883

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Alcoholism-Management & Information

ABSTRACT: The Clearinghouse, in terms of its capacity to acquire, screen, transform and store information, respond to requests, develop and disseminate materials, and reach its target audiences, is performing most of these functions in a satisfactory manner. However, it was suggested that more awareness is needed on how to use the Clearinghouse and the kinds of material now available as well as the need to acquire more information from various sources. Target audiences such as clergy, educators, doctors and law enforcement personnel should be made aware of the Clearinghouse and would surely find their services helpful as well as informative. In addition, review of procedures for the control of inventory produced several areas of concern. These include the fact that the reports generated do not signal automatically that a reorder decision be made; there is no mechanism to indicate whether materials which are supposed to be reviewed periodically are actually reviewed; and inventory reporting is not particularly accurate in reflecting the ordering process. Based on the study findings, it appears that the Clearinghouse is accomplishing many of its stated objectives and compare favorably with other Government clearinghouses. These comparisons indicate the need for the clearinghouse to expand the scope of referral services and make minor modifications in the information collected for user analysis.

DESCRIPTORS: Alcoholism, Information Dissemination, Literature Review Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1219

PROJECT NO: NIAAA 76-3

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: ADM 281-76-0009

COST (In Thousands): FY 76-\$71

PROJECT STATUS: Study completed,

Executive summary at EDC,

Available from EDC

START/END DATES: May '76 through February '77

0398

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Alcohol Abuse and
Alcoholism

PROJECT TITLE: Evaluation of Two Contracts for Outpatient
Alcoholism Treatment Centers and of Pilot HMO's

REPORT TITLE: Not yet determined

PERFORMER: Par Systems Inc.; Scottsdale, Arizona

AGENCY CONTACT: Montague, Barrie; Rm. 16C-02 PKLN;
301-443-5208

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Alcoholism-Community Programs;
PHS-OASH-Health Maintenance Organizations

ABSTRACT: Phase I of this contract effort calls for assisting in the design, implementation and operation of effective outpatient alcoholism treatment programs designed to attract and hold the employed population. Phase II covers the operation, monitoring, and modification of the alcoholism treatment program to assist in achieving the stated objectives. Under this phase there will be (1) an evaluation of the two incentive contracts, and (2) an ongoing review and analysis of four demonstration HMO's.

DESCRIPTORS: Alcoholism, Health Maintenance Organizations,
Planning

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1342

PROJECT NO: NIAA 77-7

FUND SOURCE: Planning

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: ADM 281-77-0004

COST (In Thousands): FY 77-\$25

PROJECT STATUS: Study in process

START/END DATES: February '77 through October '77

0399

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Alcohol Abuse and
Alcoholism

PROJECT TITLE: Operation and Support for Alcoholic Treatment

REPORT TITLE: Final Report: Alcoholism Program Monitoring
System Development

PERFORMER: Informatics, Inc.; 6000 Executive Boulevard;
Rockville, Md. 20852

AGENCY CONTACT: Patterson, Donald; 301-443-3864

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Alcoholism-Management & Information;
PHS-ADAMHA-Alcoholism-Community Programs

ABSTRACT: The purpose of this project was to develop a computer-based monitoring system to be implemented at all Alcohol Treatment Centers (ATCs) by NIAAA. This system was designed to provide on-going evaluation measures of the NIAAA/ATC program. The project required identification of ATC goals, development of basic general population data for ATC catchment areas, specification of measurable objectives, development/revisions of ATC reporting forms and design implementation of a computerized processing system. The report recommended on-going review of the monitoring systems by NIAAA and the ATCs and an annual evaluation of the system itself.

DESCRIPTORS: Alcoholism, Demonstration Projects, Information
Processing, Management Information and Reporting, State or Local
Administration, Health Care Delivery Systems
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0370

PROJECT NO: NIAAA 73-17

FUND SOURCE: Evaluation

NTIS NO: PB 245-322

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-42-73-106

COST (In Thousands): FY 73-\$87, FY 74-\$100

PROJECT STATUS: Study completed,

Executive summary at EDC,
Available from NTIS

START/END DATES: June '73 through June '75

0400

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Alcohol Abuse and
Alcoholism

PROJECT TITLE: Evaluation of Clients Who Complete or Drop
Out from Alcohol Treatment Centers

REPORT TITLE: A Follow-Up of Clients at Selected Alcoholism
Treatment Centers Funded by NIAAA

PERFORMER: Stanford Research Institute; Menlo Park, California
94025

AGENCY CONTACT: Patterson, Donald; 301-443-3864

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Alcoholism-Community Programs

ABSTRACT: This report is a follow-up study of persons with alcohol-related disabilities who received treatment from any eight Alcoholism Treatment Centers (ATC's) for periods ranging from one day to 18 months. The report discusses data collection, client characteristics, treatment outcome, and client retention. In addition to this detailed description of persons served by ATC's, the report includes analyses showing that about 70% reduced and maintained a reduction of alcohol consumption up to 18 months; that the six month's assessment of male non-driving while intoxicated clients is a good indicator of their alcohol behavior at 18 months; that behavioral impairment, social class, and social stability, as assessed during intake to the ATC's are the best predictors of recovery; that the degree of recovery is not necessarily related to the specific technique of treatment applied; and that the degree of recidivism varies according to particular client group. (Final Report 290 pages.)

DESCRIPTORS: Survey or Questionnaire Feature, Alcoholism, Case
or Site Study Feature, Clinics

EVALUATION TYPE: Program Impact

EDC ID NO: 0367

PROJECT NO: ADAMHA 74-1

FUND SOURCE: Evaluation

NTIS NO: PB 242-204

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: ADM-41-74-0008

COST (In Thousands): FY 74-\$395

PROJECT STATUS: Study completed,

Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: 74 through June '04 75

0401

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Alcohol Abuse and
Alcoholism

PROJECT TITLE: Four Year Followup Study of Alcoholism
Treatment Center Clients

REPORT TITLE: Not yet determined

PERFORMER: The Rand Corporation; 1700 Main Street; Santa
Monica, Calif. 90406; David Armor, 213-393-0411

AGENCY CONTACT: Patterson, Donald G.; Room 16-95, Pkln;
301-443-4883

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Alcoholism-Community Programs;
PHS-ADAMHA-Alcoholism-Management & Information

ABSTRACT: The clients from eight (8) Alcoholism Treatment Centers (ATC's) that report to NIAAA through the Alcohol Treatment Program Monitoring Monitoring System (ATPMS) were selected for a followup study. This study is to determine client condition and the relative effectiveness of treatment settings and treatment modes on different client groups and to study the life history of these clients. A second purpose of this study is to validate the client self reports.

DESCRIPTORS: Alcoholism, Client Records, Management Information and Reporting, Survey or Questionnaire Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1218 **PROJECT NO:** NIAAA 76-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: ADM 281-76-0006
COST (In Thousands): FY 76-\$399
PROJECT STATUS: Study in process
START/END DATES: April '76 through June '78

0402

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Alcohol Abuse and
Alcoholism

PROJECT TITLE: Development of an Integrated Alcohol
Management/ Evaluation System

REPORT TITLE: Integrated State Alcohol Management Evaluation
Information System, Phases I and II

PERFORMER: Touche Ross and Company; 1900 M St., NW;
Washington, D.C.; Ron Jenks

AGENCY CONTACT: Adams, Bertram; Rm. 16-95 Pkln;
301-443-4883

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Alcoholism-Management & Information

ABSTRACT: Information requirements of NIAAA, the State Alcohol Authority (SAA) and individual projects were reviewed in order to construct a model for a State alcohol management/evaluation information system. This model has been developed to 1) support internal SAA management activities, such as allocating resources and managing and accounting for use of funds; 2) support SAA monitoring and evaluation activities; and, 3) respond to information requests and requirements from NIAAA, State Government, other Federal State and local agencies. The model includes 18 modules which offers options to the SAA and include the capability for accumulating and

reporting information on sub-State levels as well as for the State as a whole. Data elements included in the model are those generally used on an ongoing basis. Data needed primarily for research are not included since information for that purpose can be collected through special one-time surveys. (Final report consists of 4 volumes.)

DESCRIPTORS: Alcoholism, Evaluation by State and Local Governments, Information Systems, Management Information and Reporting

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1338 **PROJECT NO:** NIAAA 77-3
FUND SOURCE: Evaluation **NTIS NO:** PB 296-956-59
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: ADM 281-77-0022
COST (In Thousands): FY 77-\$166
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: September '77 through September '78

0403

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Alcohol Abuse and
Alcoholism

PROJECT TITLE: Monitoring and Evaluating the Operation of the
DOT/NIAAA Joint Alcoholism Programs

REPORT TITLE: Monitoring and Evaluation of NIAAA-Funded
Problems Drinking Driver Programs, Final reports, Nov.
1975 and 1976

PERFORMER: Stanford Research Institute; Menlo Park, Ca.

AGENCY CONTACT: Patterson, Donald; ADAMHA; 301-443-2858

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Alcoholism-Community Programs-Project
Grants;
V-Non-HEW Programs-Transportation

ABSTRACT: The 1975 report consisted of an evaluation of sixteen problem Drinking Driver Programs (PDDPs) based on data collected by the NIAAA data collection and monitoring system and from information gathered from site visits and workshops. The emphasis of the program has been placed on early intervention and the PDDPs have established effective referral and reporting procedures with the courts. The report concludes that the PDDP treatment and rehabilitation efforts continue to effect a positive improvement on client drinking patterns and behavior; that these changes are accomplished at a relatively low cost per client-\$153 and that the programs be continued. The 1976 report examined the NIAAA Alcoholism Centers acting as an extension of the Alcohol Safety Action Projects funded by the Department of Transportation including a description of these centers, center characteristics, utilization of services, staffing patterns and progress of the Alcoholism Centers towards their objectives. This report concluded that although the PDDPs are quite diverse in organizational structure and geographic location, overall they appear to be meeting the objectives stated by the NIAAA for such programs. It is apparent that PDDP treatment and rehabilitation does effect a positive change on client drinking patterns and behavior as measured in various ways at intake and six months after intake. The report recommends the continuance of the PDDP programs.

DESCRIPTORS: Alcoholism, Transportation, Discretionary and Project Grant Performance

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0714 PROJECT NO: NIAAA-74-1
FUND SOURCE: Evaluation NTIS NO: Not yet determined
MECHANISM: Contract ERIC NO: Not available
CONTRACT NO: ADM-41-74-0032
COST (In Thousands): FY 74-\$180, FY 75-\$14
PROJECT STATUS: Study completed,
Executive summary at EDC
START/END DATES: June '74 through December '75

0404

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Alcohol Abuse and
Alcoholism

PROJECT TITLE: Data Collection and Evaluation of American
Indian Projects

REPORT TITLE: National Institute on Alcohol Abuse and
Alcoholism: American Indian Alcoholism
Evaluation-Monitoring-Design Project

PERFORMER: Tribal American/Training Consultants Associated;
Glendale, California 91206; J. Morris

AGENCY CONTACT: Patterson, D.; 301-443-3864

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Alcoholism-Community Programs;
PHS-ADAMHA-Alcoholism-Management & Information;
PHS-HSA-Indian Health-Services-Program Direction &
Management

ABSTRACT: The purpose of this study was to evaluate the data collection instruments, to revise the processing system, and to evaluate the analytical results based on data submitted by sixteen Native American Alcoholism Programs. The study found that improved training of local NAAP administrators was necessary to improve program performance, that the current data collection system worked reasonably well, and that administrative paperwork could be diminished. (Final report 230 pages)

DESCRIPTORS: Statistical Analysis Methods Feature, Survey or
Questionnaire Feature, Alcoholism, Native Americans, Health Care
Delivery Systems, Management Information and Reporting
EVALUATION TYPE: Program Impact

EDC ID NO: 0366 PROJECT NO: NIAAA 72-33
FUND SOURCE: Evaluation NTIS NO: PB 245-944
MECHANISM: Contract ERIC NO: Not available
CONTRACT NO: HSM 42-72-149
COST (In Thousands): FY 72-\$44, FY 73-\$115, FY 74-\$252
PROJECT STATUS: Study completed,
Executive summary at EDC,
Available from NTIS
START/END DATES: July '72 through March '75

0405

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Alcohol Abuse and
Alcoholism

PROJECT TITLE: Evaluation of the NIAAA Training Grant
Program (Phase I)

REPORT TITLE: NIAAA Training Grant Program Evaluation
Design (4 Vols.)

PERFORMER: Consad Research Corp.; 121 North Highland
Avenue; Pittsburgh, PA; Stuart Miller, 412-363-3500

AGENCY CONTACT: Reed, Patricia; Rm. 16-95 PKLN;
301-443-4883

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Alcoholism-Training;
PHS-ADAMHA-Alcoholism-Community Programs-Grants
to States

ABSTRACT: This study is twofold: 1) to design and field test a methodology to evaluate the Clinical Training Grant Program, (2) to provide statistical information for the past five years, regarding types and amount of training provided through the Clinical Training Grant Program, and numbers of trainees. Phase I of this study developed a program evaluation methodology, categorized the grants, and determined the effectiveness and impact measures of the Training Grant Program. The second phase applied the methods and measures to the entire group of grants, as appropriate, in order to provide information for planning and decisionmaking.

DESCRIPTORS: Alcoholism, , Health Manpower Training, Social
Work Manpower, Paraprofessionals, Nursing Manpower, Grants-in-
Aid

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1337 PROJECT NO: NIAAA 77-2
FUND SOURCE: Evaluation NTIS NO: PB 287-779-782
MECHANISM: Contract ERIC NO: Not available
CONTRACT NO: ADM 281-77-0016
COST (In Thousands): FY 77-\$55
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: September '77 through June '78

0406

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Alcohol Abuse and
Alcoholism

PROJECT TITLE: Data Collection and Evaluation of Public
Inebriate Projects

REPORT TITLE: Pilot Program for Monitoring and Evaluating the
Public Inebriate Program, Volumes I, II, and III

PERFORMER: Research Triangle Institute; Post Office Box 12194;
Raleigh, North Carolina 27709

AGENCY CONTACT: Patterson, Donald; Rm 1695 Pkln;
301-443-4883

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Alcoholism-Community Programs-Project
Grants;
PHS-ADAMHA-Alcoholism-Management & Information

ABSTRACT: This summary report consists of three volumes. Volume I presents the goals of the PIP program, the measures established to record progress toward those goals, and an analysis of the program. Volume II contains a description of the data processing system and recommendations relating to both data collection and data processing. Definitions for terms used are given in Appendix A. Volume III contains the procedures manual, which describes instructions provided to the centers to guide data collection operations. Copies of the preliminary forms and procedures adopted to implement the system are also shown. The report concluded that the pilot program is capable

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ble of measuring most of the data elements required to determine the effectiveness. A uniform continual operational model system was designed. This report covers the initial training period and nine-month operations period. (Final report 410 pages.)

DESCRIPTORS: Statistical Analysis Methods Feature, Alcoholism, Research and Evaluation Performance, Mental Health, Demonstration Projects

EVALUATION TYPE: Program Impact

EDC ID NO: 0397 **PROJECT NO:** NIAAA-72-34

FUND SOURCE: Evaluation **NTIS NO:** PB 245-819-821

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HSM-42-72-203

COST (In Thousands): FY 73-\$50, FY 74-\$29

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: September '72 through September '73

0407

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Alcohol Abuse and
Alcoholism

PROJECT TITLE: State Level Voluntary Impact Assessment Study

REPORT TITLE: Not yet determined

PERFORMER: Analysis, Planning and Management Inc.;
Cambridge, MASS

AGENCY CONTACT: Patterson, Donald G.; Rm 16-95 Pkln Bldg.;
301-443-4883

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Alcoholism-Community Programs-Grants
to States

ABSTRACT: NIAAA recognizes the invaluable contributions of the volunteer sector in the prevention and treatment of alcoholism. In many localities these volunteers have become well organized, often with paid staff to handle administrative functions. Of particular interest are State level efforts being undertaken by a number of volunteer service organizations both national and local, to develop, coordinate and expand such activities. In order to further the growth of volunteer activities, NIAAA initiated the Volunteer Resource Development Program. This study will assess the impact of the program and provide direction for future activities. Specifically, the focus of the contract will be to 1) assess the impact of volunteer activity on the prevention and treatment of problems associated with alcohol use; 2) compare these volunteer activities to other levels of alcohol related effort; 3) compare broad-based activities with alcohol specific approaches to alcohol prevention and treatment, and 4) make recommendations to NIAAA on how best to encourage volunteer treatment of alcoholism. This project requires outside consultants to augment limited manpower within the Institute, to provide broad-based input

DESCRIPTORS: Alcoholism, Grant and Contract Management, Administrative Personnel, Research and Evaluation Planning and Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1365 **PROJECT NO:** NIAAA-78-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: ADM-281-78-0022

COST (In Thousands): FY 78-\$101

PROJECT STATUS: Study in process

START/END DATES: September '78 through September '79

0408

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Alcohol Abuse and
Alcoholism

PROJECT TITLE: Study of Data Reliability of Not availablePIS
System and Design of a Quality Control System

REPORT TITLE: Study of Data Reliability and Quality Control from
National Alcoholism Program Information System

PERFORMER: Creative Socio-Medics Corp.; Arlington, Va.

AGENCY CONTACT: Patterson, Donald; Rm 16-95 PKLN;
301-443-4883

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Alcoholism-Management & Information

ABSTRACT: The purpose of this contract was to assess the reliability of the NAPIS system and make recommendations for improving the system. Overall, despite the fact that two of the forms are self reported, the NAPIS system was found to be basically reliable. Several questions on the forms resulted in low reliability and it was determined that the problems result from ambiguity or lack of definition. Other problems found were difficulty recall by clients and for several questions the refusal by the client to answer the question. As a result of this study, recommendations were suggested to: (1) Reexamine the system for reporting client services; (2) Provide more technical assistance; (3) Refine or eliminate questions on two forms that have low reliability; (4) Modify and refine the QF and Impairment Index; (5) Increase the number of software edit checks performed on NAPIS forms; and (6) Encourage increased State participation. Vol. I, the Short Final report, summarizes the background, objectives, and operations of the project and presents the conclusions and recommendations. Vol. II, the Final Report, is intended to be a complete and detailed report of all work under the contract. The major topics covered include an overview of NAPIS and an indepth description of the methodology. Also, results from the field exercise and the reliability and quality control analysis are reported in full, and; an assessment of the feasibility of future validity studies is presented.

DESCRIPTORS: Alcoholism, Statistical Analysis Methods Feature, Grant-in-Aid Formulas, Evaluation by State and Local Governments, Quality Assurance, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1339 **PROJECT NO:** NIAAA 77-4
FUND SOURCE: Evaluation **NTIS NO:** PB 80-132939
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: ADM-281-77-0028
COST (In Thousands): FY 77-\$173

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: September '77 through March '79

0409

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Alcohol Abuse and
Alcoholism

PROJECT TITLE: Cost of the Cost Benefits of Alcoholism
Treatment in Selected Communities

REPORT TITLE: A Study of the Costs and Benefits of Alcoholism
Treatment in Selected Communities

PERFORMER: JWK International Corporation; Annandale,
Virginia

AGENCY CONTACT: Patterson, Donald; Rm. 16-95 PKLN;
301-443-4883

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Alcoholism-Community Programs

ABSTRACT: A methodology was developed and tested for determining the benefits from treatment to the national economy, to the community, and to the individual treated. The data tested were from the ATC's for the first six months of 1974. This further study will apply the methodology to other programs such as occupational and cross-population, using more complete data than were available for the earlier study. (Final report 180 pp.)

DESCRIPTORS: Alcoholism, Cost-Effectiveness Analysis Feature,
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1341 **PROJECT NO:** NIAA 77-6

FUND SOURCE: Evaluation **NTIS NO:** PB 296-580

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: ADM 281-77-0013

COST (In Thousands): FY 77-\$68

PROJECT STATUS: Study completed,
Available from NTIS

START/END DATES: August '77 through June '78

0410

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Alcohol Abuse and
Alcoholism

PROJECT TITLE: To Implement the Alcoholism State Formula
Grant Information System

REPORT TITLE: Not yet determined

PERFORMER: Research Triangle Institute; Research Triangle Park;
North Carolina 27709; Dale Jones, 919-549-8311

AGENCY CONTACT: Patterson, Donald G.; Rm. 16-19, Pkln;
301-443-4883

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Alcoholism-Community Programs-Grants
to States

ABSTRACT: The State Alcoholism Profile Information System (SA-PIS) has been implemented by the National Institute of Alcohol Abuse and Alcoholism (NIAAA) within the States and Territories receiving formula grant monies. The States provide data on funding allocation to each functional program area as well as the source of revenues devoted to these areas. They also provide information on numbers of persons served, facilities involved, accreditation and certification information. NIAAA processes these data, adds information on funding at the Federal level, arrests, mortality data, etc. and provides semi-annual output reports. The purpose of this study has

been to determine impact of formula grant funding and to identify alcoholism program efforts on a national basis for NIAAA, Congress, OMB, etc.

DESCRIPTORS: Alcoholism, Evaluation by State and Local Governments, Grant and Contract Management, Management Information and Reporting, Information Processing

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1217

PROJECT NO: NIAAA 76-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: ADM 281-76-0003

COST (In Thousands): FY 76-\$95, FY 77-\$293

PROJECT STATUS: Study in process

START/END DATES: November '75 through February '78

0411

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Alcohol Abuse and
Alcoholism

PROJECT TITLE: Development and Pilot Test of a Methodology
for an Evaluation of the Occupational Program

REPORT TITLE: A Typology, Classification, and Evaluation
Criteria for NIAAA's Occupational Programs

PERFORMER: University Research Corporation; Arlington,
Virginia; Timothy Mannello

AGENCY CONTACT: Reed, Patricia; Rm. 16-95 PKLN;
301-443-4883

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Alcoholism-Management & Information

ABSTRACT: This project has provided a typology to be used to classify occupational alcoholism programs funded by the Institute. Evaluative criteria were established for each of four classes of occupational programs: (1) development of a typology for NIAAA's occupational programs and the classification of its active projects, (2) development of evaluative criteria for all NIAAA's occupational projects in our typology, (3) a pilot test of proposed evaluative criteria for NIAAA's occupational programs providing treatment services, (4) an assessment of the occupational consultant effort in NIAAA's Occupational Demonstration and Incentive Projects. An Assessment of the role of the occupational consultant, his activities and impact on the number of subsequent programs established and eventually the number of referrals into treatment was also conducted. Results include process and amounts of effort necessary to develop and implement successful programs in industry.

DESCRIPTORS: Alcoholism, Research and Evaluation Performance,
Labor Market Problems

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1340

PROJECT NO: NIAAA 77-5

FUND SOURCE: Evaluation

NTIS NO: PB 286-098

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: ADM 281-77-0030

COST (In Thousands): FY 77-\$45

PROJECT STATUS: Study completed,

Available from EDC,

Available from NTIS

START/END DATES: September '77 through July '78

National Institute on Drug Abuse

0412

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Drug Abuse

PROJECT TITLE: Drug Abusing Women

REPORT TITLE: Not yet determined

PERFORMER: Burt Associates, Inc.; 7315 Wisconsin Avenue;
Bethesda, Md.; Marvin B. Burt, Ph.D. 301-654-4436

AGENCY CONTACT: Hall, Margo; Rm. 10A-31 PKLN;
301-443-4060

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Drug Abuse

ABSTRACT: The purpose of this study is to tap information and individual resources that are available to ascertain what has already been learned about the treatment of female drug abusers; the nature of their drug problem; the capability of existing treatment systems to serve female drug abusers; and the implementation of new treatment approaches. The study includes the following components: (1) clinical investigation of the characteristics of drug abusing women as compared to men; (2) clinical investigation of outcomes of traditional treatment/services programs; (3) clinical investigation of the treatment process as it affects women and men differentially; (4) an investigation of the service needs of female addicts and their children.

DESCRIPTORS: Women, Drug Abuse, Case or Site Study Feature, Research and Evaluation Methods Feature, Social Attitudes and Public Opinion, Medical Practice, Needs and Needs Assessment
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1348
FUND SOURCE: Research/
Demonstration
MECHANISM: Contract
CONTRACT NO: 271-76-4401
COST (In Thousands): FY 76-\$240
PROJECT STATUS: Study in process
START/END DATES: June '76 through December '77

PROJECT NO: NIDA 76-1

NTIS NO: Not yet determined
ERIC NO: Not available

0413

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Drug Abuse

PROJECT TITLE: Employment Specialist Study-Evaluation

REPORT TITLE: Not yet determined

PERFORMER: Abt Associates, Inc.; 55 Wheeler Street; Cambridge,
Mass.

AGENCY CONTACT: Hastings-Black, Deborah; Rm. 10-A-17
PKLN; 301-443-4100

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Drug Abuse-Community Programs;
PHS-ADAMHA-Drug Abuse-Research

ABSTRACT: Through a two-year study this project will assess whether the addition of employment specialists as staff or consultants to treatment programs has any impact on clinic functioning and client employment outcomes. Specialists will be hired and placed on a staff in 8 clinics in three sites (Detroit, Chicago, New Jersey) and seven

specialists will be hired as consultants to 21 clinics the same cities. Through pre and post interviews with clients, staff, and employers, and a review of the changes in client outcomes as measured through drug use, criminality, retention in treatment and employment, the impact of the specialists will be measured. Data will be collected on all clients at all sites. A subsample of 225 clients will be followed up into the community.

DESCRIPTORS: Labor Market Problems, Training and Employment of the Disadvantaged

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1343
FUND SOURCE: Research
MECHANISM: Contract
CONTRACT NO: 271-77-4512

PROJECT NO: NIDA 77-1

NTIS NO: Not yet determined

ERIC NO: Not available

COST (In Thousands): FY 77-\$228
PROJECT STATUS: Study in process

START/END DATES: September '77 through September '79

0414

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Drug Abuse

PROJECT TITLE: Evaluation of TERROS Ambulance Service

REPORT TITLE: Not yet determined

PERFORMER: TERROS, Inc.; 502 W. Roosevelt Ave.; Phoenix,
Arizona

AGENCY CONTACT: Tims, Frank M.; Rm. 10A-31 PKLN;
301-443-4100

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Drug Abuse-Research

ABSTRACT: The TERROS ambulance services is designed to treat drug emergencies in the Maricopa County, Arizona area. This evaluation which TERROS is conducting with the assistance of external consultants, examines the effectiveness of TERROS ambulance service in relation to other available ambulance facilities (public or private) in the service area. The kinds of intervention available for drug overdose or adverse reaction are examined, and comparisons made of patient profiles to ascertain (a) the relative efficacy of TERROS ambulance service, and (b) whether particular types of patients turn to TERROS in preference to other available kinds of service. In addition TERROS is using emergency room record data and central intake records to ascertain the precrisis and post-crisis treatment status of drug crisis victims.

DESCRIPTORS: Emergency Medical Care, Feasibility Study Feature, Operations Research Feature, Statistical Analysis Methods Feature, Technology Assessment or Transfer
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1345
FUND SOURCE: Research
MECHANISM: Contract
CONTRACT NO: 271-77-4501

PROJECT NO: NIDA 77-3

NTIS NO: Not yet determined

ERIC NO: Not available

COST (In Thousands): FY 77-\$24, FY 78-\$5
PROJECT STATUS: Study in process

START/END DATES: November '76 through February '77

0415

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Drug Abuse

PROJECT TITLE: Evaluation of Treatment Approaches for Drug Abuse and Youth

REPORT TITLE: Not yet determined

PERFORMER: CONSAD Research Corporation; 121 N. Highland Ave.; Pittsburgh, Pa. 15206

AGENCY CONTACT: Murphy, Elaine; ADAMHA; 301-443-4060

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Drug Abuse-Community Programs

ABSTRACT: This study has resulted in a literature search and is currently conducting site visits examining existing evaluative protocols from which a NIDA-supported protocol will be developed and field tested for ultimate individual program utilization.

DESCRIPTORS: Drug Abuse, Literature Review Feature, Research and Evaluation Methods Feature, Evaluation by State and Local Governments

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0716

PROJECT NO: NIDA-75-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 271-75-4066

COST (In Thousands): FY 75-\$117

PROJECT STATUS: Study completed

START/END DATES: July '75 through December '76

0416

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Drug Abuse

PROJECT TITLE: Evaluation of Treatment for Drug Abuse: Post Treatment Followup of the DARP Population

REPORT TITLE: Not yet determined

PERFORMER: Institute of Behavioral Research; Texas Christian University; Fort Worth, Texas 76129

AGENCY CONTACT: Tims, Frank M.; Rm 10-A-31 PKLN; 301-443-4100

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Drug Abuse-Research

ABSTRACT: This project is a longitudinal followup of three cohorts of drug abuse clients admitted to treatment during the period 1969-1973, to assess their behavior after treatment with regard to such outcome variables as drug use, alcohol use, employment, and criminality. Clients from different treatment modalities are studied, including methadone maintenance, detoxification, outpatient drug-free, therapeutic community, and a comparison group composed of clients who left treatment within a few days following intake. Statistical analyses of large samples are used, including sophisticated linear models.

DESCRIPTORS: Demonstration Projects, Research and Evaluation Performance, Statistical Analysis Methods Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0416

PROJECT NO: NIDA 74-4

FUND SOURCE: Research

NTIS NO: Not yet determined

MECHANISM: Grant

ERIC NO: Not available

GRANT NO: 5 H81 DA 01598-04

COST (In Thousands): FY 75-\$735, FY 76-\$939, FY 77-\$468, FY 78-\$667

PROJECT STATUS: Study in process

START/END DATES: August '74 through July '78

0417

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Drug Abuse

PROJECT TITLE: Minorities and Drug Abuse

REPORT TITLE: Not yet determined

PERFORMER: Creative Socio-Medics Corporation; 1501 Wilson Boulevard; Arlington, Virginia 22209; Paul D. Phillips, 703-524-2320

AGENCY CONTACT: Hall, Margo; RM. 10A-31 PKLN; 301-443-4060

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Drug Abuse-Research

ABSTRACT: This study was undertaken as a portion of a larger contract to evaluate the impact of treatment on client performance where comparison is made between minority group members and majority group members exposed to same treatment forms. The minority groups represented in this study include mainland Puerto Ricans, Asian Americans, and American Indians. In each instance, samples of clients exposed to drug abuse treatment programs were compared with samples of Anglo-Americans exposed to same treatment forms. Evaluation was made in terms of drug use, criminality, employment and retention in treatment.

DESCRIPTORS: Minority Groups, Needs and Needs Assessment, Research and Evaluation Methods Feature, Drug Abuse

EVALUATION TYPE: Program Impact

EDC ID NO: 1349

PROJECT NO: NIDA 76-2

FUND SOURCE: Research/

NTIS NO: Not yet determined

Demonstration

ERIC NO: Not available

MECHANISM: Contract

CONTRACT NO: 271-76-4415

COST (In Thousands): FY 76-\$200

PROJECT STATUS: Study in process

START/END DATES: June '76 through June '77

0418

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Drug Abuse

PROJECT TITLE: Optimal Allocation Models for Narcotics Addicts

REPORT TITLE: Not yet determined

PERFORMER: CMDNJ - New Jersey Medical School; 100 Bergen Street; Newark, New Jersey 07130

AGENCY CONTACT: Tims, Frank M.; Rm 10A-31 PKLN; 301-443-4100

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Drug Abuse-Research

ABSTRACT: Two mathematical models will be developed, using data obtained from narcotic addicts who are processed through the central intake and referral system in Newark, N.J. Treatment and follow-up data will be incorporated into the models in order to develop predictors of treatment outcome for (1) a single period allocation model, and 2) a dynamic, time-dependent assignment model. The models will be used for assignment or allocation of narcotic addicts to different treatment modalities, in accordance with the highest probabilities of favorable treatment outcomes for different types of patients.

DESCRIPTORS: Health Care Techniques, Research and Evaluation Methods Feature, Drug Abuse, Statistical Analysis Methods Feature, Supportive Services, Drug Abuse
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1346 **PROJECT NO:** NIDA 77-4
FUND SOURCE: Demonstration **NTIS NO:** Not yet determined
MECHANISM: Grant **ERIC NO:** Not available
GRANT NO: 1 H81 DA 01899-01
COST (In Thousands): FY 77-\$96, FY 78-\$106
PROJECT STATUS: Study in process
START/END DATES: June '77 through May '79

0419

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Drug Abuse

PROJECT TITLE: Study of Methadone Treatment Evaluation

REPORT TITLE: Not yet determined
PERFORMER: Creative Socio-Medics Corporation; 130 E. 59th
Street; New York, N.Y. 10022
AGENCY CONTACT: Dubin, Dr. Gerald; ADAMHA; 301-443-4060
PROGRAM(S) EVALUATED:
PHS-ADAMHA-Drug Abuse-Community Programs

ABSTRACT: This study is currently examining and identifying philosophies, goals, criteria, performance variables and standards for methadone programs. It will identify operational methodologies consistent with existent laws, statutes and policies which can be used by programs for self-evaluation. This contract was extended without additional funds.

DESCRIPTORS: Drug Abuse, Evaluation by State and Local Governments, Research and Evaluation Methods Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0717 **PROJECT NO:** NIDA-75-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 271-75-4143
COST (In Thousands): FY 75-\$81
PROJECT STATUS: Study in process
START/END DATES: July '75 through November '79

0420

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Drug Abuse

PROJECT DESCRIPTIONS

PROJECT TITLE: Study of the Impact of Family Therapy on Client Outcomes and Program Functioning

REPORT TITLE: Not yet determined
PERFORMER: Burt Associates, Inc.; 7315 Wisconsin Avenue;
Bethesda, Md.; Marvin Burt, Ph.D.
AGENCY CONTACT: Basen, Michele M.; Rm. 10A-31 PKLN;
301-443-4100
PROGRAM(S) EVALUATED:
PHS-ADAMHA-Drug Abuse-Research

ABSTRACT: The primary objective of this study is to describe the family therapy processes as used with substance abusers in selected drug treatment agency settings. The secondary objective of this study is to investigate the impact of family therapy treatment services on client treatment outcomes as measured by changes in their drug use patterns, retention in treatment, family functioning, criminality, and employment. A major question to be addressed is whether successful techniques, clients with whom such techniques are effective, and treatment settings in which such success occurs can be described.

DESCRIPTORS: Research and Evaluation Methods Feature, Statistical Analysis Methods Feature, Case or Site Study Feature, Medical Practice, Drug Abuse
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1347 **PROJECT NO:** NIDA 77-5
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 271-77-4519
COST (In Thousands): FY 77-\$296
PROJECT STATUS: Study in process
START/END DATES: September '77 through March '79

0421

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute on Drug Abuse

PROJECT TITLE: Treatment Outcome Prospective Study
(In-Treatment Phase)

REPORT TITLE: Not yet determined
PERFORMER: Research Triangle Institute; Research Triangle Park,
N.C.; Vally Rachel, 919-541-6411
AGENCY CONTACT: Ginzburg, Harold; Rm 9-21 PKLN;
301-443-6420
PROGRAM(S) EVALUATED:
PHS-ADAMHA-Drug Abuse

ABSTRACT: The Treatment Outcome Prospective Study is comprised of an Intreatment and Followup Phase which permits clients entering treatment programs to be assessed, using the performance variables of criminal behavior, drug and alcohol usage patterns, and productive activities, including homemaking skills and employment, at the time they enter treatment, while they remain in treatment, and subsequent to their terminating treatment. Demographic factors will be correlated with process and outcome variables to develop expectation and predictor profiles of clients entering and remaining in treatment. This longitudinal study will follow clients entering methadone detoxification, methadone maintenance, drug free-outpatient, therapeutic community treatment program in six cities: Chicago, Des Moines, New Orleans, New York, Phoenix, and Portland, Oregon. The pretest plan has been completed and the project has moved into the pilot phase. Follow-up phase scheduled to commence in FY 79.

DESCRIPTORS: Drug Abuse, Medical Practice, Health Care Delivery Systems, Case or Site Study Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1350 **PROJECT NO:** NIDA 77-6
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: Various
COST (In Thousands): FY 77-\$830, FY 78-\$970, FY 79-\$555
PROJECT STATUS: Study in process
START/END DATES: August '77 through November '81

0422

AGENCY SPONSOR: Public Health Service
 Alcohol, Drug Abuse and Mental Health
 Administration
 National Institute on Drug Abuse

PROJECT TITLE: Wyoming Drug Abuse Treatment Program**REPORT TITLE:** Not yet determined

PERFORMER: Dept. of Health and Social Services; Div. of Health
 and Medical Services; Hathaway Bldg.; Cheyenne, Wyoming
 82002; Robert L. Adams 307-777-7351

AGENCY CONTACT: Brown, Barry; Rm. 10A-31 PKLN;
 301-443-4060

PROGRAM(S) EVALUATED:
 PHS-ADAMHA-Drug Abuse-Research;
 PHS-ADAMHA-Drug Abuse-Community Programs

ABSTRACT: Study is being made of the impact of treatment experiences on both client performance and the incidence and prevalence of heroin use. In this study, a treatment program has been newly initiated in the Cheyenne, Wyoming community and assessment will be made of the impact of that program on client performance as measured by drug use, vocational activity, social productivity and criminality. In addition, assessment will be made of the impact of initiating a drug abuse treatment program on the incidence and prevalence of heroin use in the Cheyenne community

DESCRIPTORS: Case or Site Study Feature, Statistical Analysis Methods Feature, Drug Abuse

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1344 **PROJECT NO:** NIDA 77-2
FUND SOURCE: Research/
 Demonstration **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 271-77-2434
COST (In Thousands): FY 77-\$170
PROJECT STATUS: Study in process
START/END DATES: May '77 through March '79

National Institute of Mental Health

0423

AGENCY SPONSOR: Public Health Service
 Alcohol, Drug Abuse and Mental Health
 Administration
 National Institute of Mental Health

PROJECT TITLE: Definition and Assistance on the Role and
 Functions of Program Evaluation in State Mental Health
 Agencies

REPORT TITLE: Applying State Mental Health Standards:

Management Uses

PERFORMER: Southern Regional Education Board; 130 Sixth
 Street; Atlanta, GA 30313

AGENCY CONTACT: Wurster, Cecil; Parklawn, 18C-26;
 301-443-3685

PROGRAM(S) EVALUATED:
 PHS-ADAMHA-Mental Health-Management & Information

ABSTRACT: Guidelines are presented to assist State Mental Health agencies developing, monitoring, and using standards. The guidelines are directed to State agency directors, to members of their management teams and support systems, and to persons charged with developing, monitoring, and generally administering standards. An overview of the development, monitoring, and use of standards is offered, together with discussions of potential problem areas for standards, programs, and trends in mental health care standards. Management uses of standards are discussed and conceptual issues are considered. A three phase standard setting process involving planning, writing, and promulgation is described, and aspects of using standards to monitor program performance are discussed. Potential problems in standards programs include overlaps and conflicts among standards of different agencies, use of standards for purposes other than those for which they were intended frequent changes in standards, credibility concerns that arise when the same persons both set and monitor standards, conflicts between quality assurance and cost control, and costs involved in site visits. A glossary and report on findings of a survey of standards development and use in southern state mental health agencies are included in an appendix. (Final report 134 pages.)

DESCRIPTORS: Mental Health, State or Local Administration, Management Information and Reporting, Administrative Personnel, Regulation and Standard Setting, Management Techniques, Evaluation by State and Local Governments

EVALUATION TYPE: Support

EDC ID NO: 0446.1 **PROJECT NO:** NIMH 75-16
FUND SOURCE: Salaries and
 Expenses (S&E) **NTIS NO:** SHR-0001888
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: ADM-42-74-90
COST (In Thousands): FY 75-\$79
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS
START/END DATES: June '75 through June '76

0424

AGENCY SPONSOR: Public Health Service
 Alcohol, Drug Abuse and Mental Health
 Administration
 National Institute of Mental Health

PROJECT TITLE: Assess State Capacity to Evaluate CMHCs in
 Rural Areas (State of Maine)

REPORT TITLE: Assess State Capacity to Evaluate CMHCs in
 Rural Areas (State of Maine)

PERFORMER: Collier County Mental Health Clinic; Creech Road,
 Naples, Florida; Dr. J. Lombillo

AGENCY CONTACT: Kelly, T.; 301-443-1596

PROGRAM(S) EVALUATED:
 PHS-ADAMHA-Mental Health-Community Programs &
 Centers

ABSTRACT: A study geared toward developing an evaluation system for use in a basically rural state. It should provide recommendations for use in similar locations.

DESCRIPTORS: Mental Health, Management Information and Reporting, Technical Assistance, Evaluation by State and Local Governments

EVALUATION TYPE: Support

EDC ID NO: 0669

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: HSM 42-73-170

COST (In Thousands): FY 73-\$95

PROJECT STATUS: Study completed

START/END DATES: June '73 through June '75

PROJECT NO: NIMH 73-20

NTIS NO: Not yet determined

ERIC NO: Not available

0425

AGENCY SPONSOR: Public Health Service

Alcohol, Drug Abuse and Mental Health
Administration

National Institute of Mental Health

PROJECT TITLE: Evaluation of Part I of Children's Amendment to CMHC Act

REPORT TITLE: Assessment of Child Mental Health Needs and Programs

PERFORMER: General Research Corp.; McLean, VA 22101;
Barbara J. Sowder

AGENCY CONTACT: Shapiro, Richard; Rm 7102 Parklawn;
301-443-3728

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Community Programs &
Centers;

PHS-ADAMHA-Mental Health-Research

ABSTRACT: This is an eight-volume study concentrating on the mental health needs and existing mental health programs for children. Volume I analyzes child mental health services provided by various facilities in 25 localities. Volume II reports case descriptions of child mental health programs for 25 facilities. Volume III is a compilation of all the questionnaires used in the 1974 survey. Volume IV is an analysis of the impact of Part F of P.L. 91-211 staffing grants on staff, direct and indirect services, referrals, and service case loads. Volume V is a compilation of all the questionnaires used in mailings. Volume VI is a report on the conference held in Silver Spring, Maryland on January 13-14, 1975. Volume VII presents three proposals for evaluating the impact of child mental health programs. Volume VIII is an executive summary of the final report. (Final report 7 volumes.)

DESCRIPTORS: Survey or Questionnaire Feature, Needs and Needs Assessment, Mental Health, Children and Youth, Conference Proceedings Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0491

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: PHS-HSM-42-73-78

COST (In Thousands): FY 73-\$137, FY 74-Not available

PROJECT STATUS: Study completed,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '73 through December '74

PROJECT NO: NIMH-73-9/1

NTIS NO: PB 249-778 to 786

ERIC NO: Not available

PROJECT DESCRIPTIONS

0426

AGENCY SPONSOR: Public Health Service

Alcohol, Drug Abuse and Mental Health
Administration

National Institute of Mental Health

PROJECT TITLE: Continuing Evaluation of Patient Care

REPORT TITLE: Automated Psychiatric Record Systems Today: An Assessment

PERFORMER: David M. Nitzberg, SC.D.; Bio-Dynamics, Inc.;
Cambridge, Mass.

AGENCY CONTACT: Wurster, Cecil; 301-443-3685

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Management & Information;

PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: This extensive study examines closely the automated record systems from five mental health systems, focusing on the issues of input/output system, operational status, computer processing, cost, update and retrieval, confidentiality, and usefulness to user. Findings and recommendations are drawn from these analyses, pinpointing particular and general difficulties that suggest a re-orientation of attention. A bibliography, list of users, and tabulations supplement the report. (Final report 430 pages)

DESCRIPTORS: Information Systems, Mental Health, Information Processing, Privacy and Confidentiality, Client Records, Handicapping Conditions and Problems, Health Care Delivery Systems

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0335

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: HSM-42-72-106

COST (In Thousands): FY 72-\$134

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: June '72 through May '73

PROJECT NO: NIMH 72-5

NTIS NO: EDC Only

ERIC NO: Not available

0427

AGENCY SPONSOR: Public Health Service

Alcohol, Drug Abuse and Mental Health
Administration

National Institute of Mental Health

PROJECT TITLE: Examination of CMHC Service Area Concept in Centers

REPORT TITLE: The Catchment Area Concept and Other Services
Delivery Concepts; Parameters of Human Service Delivery

PERFORMER: Arthur D. Little, Inc.; Cambridge, Massachusetts

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Community Programs
Center;

PHS-ADAMHA-Mental Health-Management & Information

ABSTRACT: This report gathers articles presented at a conference designed to provide and deal with alternative news of the catchment concept in CMHC Programs. It deals with theoretical and practical issues and difficulties in implementing the catchment concept effectively. Appendices include background history, Program Surveys, and charts comparing community services. (Final report 73 pages, appendices 53 pages)

DESCRIPTORS: Conference Proceedings Feature, Mental Health, State or Local Administration, Health Care Delivery Systems
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0336 **PROJECT NO:** NIMH 72-10
FUND SOURCE: Evaluation **NTIS NO:** PB 255-701
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSM-42-72-96
COST (In Thousands): FY 72-\$100
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: May '72 through August '73

0428

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Central Utah Community Mental Health Center
Impact Study

REPORT TITLE: Central Utah Community Mental Health Center
Impact Study

PERFORMER: Burton C. Kelly
AGENCY CONTACT: Not yet determined
PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: The effectiveness of the Central Utah Community Mental Health Center in reducing the number of Central Utah residents receiving inpatient care at the State Hospital is evaluated. The catchment area served by the Center comprises three counties in the north central sector of the State. A comparison catchment area, Weber County, was selected, against which data from the Central Utah area could be compared. The Center was established in 1967 to provide a variety of mental health-related outpatient services including is a survival training program in which individuals are taught to survive on their own in remote areas, the aim being to reorient them to the demands of reality. Examination of trends in the inpatient population from Central Utah before and after the establishment of the Center indicates that as the Center's outpatient load increased, the inpatient load decreased, as would be expected were the outpatient clinic operat to sustain people in the community. (Final report 59 pp).

DESCRIPTORS: Mental Health, Health Care Delivery Systems, Ambulatory and Outpatient Health Care
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0453 **PROJECT NO:** NIMH-70-9 (a)
FUND SOURCE: Evaluation **NTIS NO:** PB 213-286
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: Not yet determined
COST (In Thousands): FY 70-Not available
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: May '70 through May '71

0429

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Analysis and Interpretation of Utilization Data on Admission to State Hospitals

REPORT TITLE: CHMCs and State Hospital Utilization: Northeast Kingdom of Vermont

PERFORMER: Northeast Kingdom MHC; Newport, Vermont;
Gerald D. Errion

AGENCY CONTACT: Windle, Charles; Rm. 11C-03 PKLN;
301-443-3626

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: For many years it was felt that the utilization of state hospitals and patients' duration of stay would be reduced if sufficient community alternatives were available. The CMHC program was one alternative for evaluating this theory. This contract was let to (a) provide data on state hospital and CMHC residents at fixed points in time before and after the opening of the CMHC and (b) interpret the differences among various admissions and resident cohorts in the catchment area and comparison areas. The study confirmed that state hospital utilization decreases when community alternatives are utilized. (Final report 74 pages.)

DESCRIPTORS: Deinstitutionalization, Services Integration, Utilization Review

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0674 **PROJECT NO:** NIMH 70-11
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NIH 70-529
COST (In Thousands): FY 70-\$5
PROJECT STATUS: Study completed
START/END DATES: Unknown start date through June '74

0430

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Citation Review on NIMH Sponsored Research

REPORT TITLE: None

PERFORMER: Institute for Scientific Information; 325 Chestnut
Street; Philadelphia, Pa. 19106

AGENCY CONTACT: Sullivan, Frank; Rm. 17C-20 PKLN;
301-443-3104

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Research

ABSTRACT: A study to determine the feasibility of making quality judgments on NIMH research based on numbers and placements of citations in professional journals. (No final report required--data purchase.)

DESCRIPTORS: Research and Evaluation Performance, Social Research, Mental Health

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0719 **PROJECT NO:** NIMH 74-22
FUND SOURCE: Salaries and Expenses (S&E) **NTIS NO:** Not available
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: ADM-42-74-58
COST (In Thousands): FY 74-\$16
PROJECT STATUS: Study completed
START/END DATES: April '74 through June '75

0431

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Evaluation of NIMH Cognitive Enrichment Research

REPORT TITLE: Cognitive and Social Enrichment: Issues and Perspectives

PERFORMER: University of Kansas; Lawrence, Kansas
AGENCY CONTACT: Lord, Rebecca; ADAMHA PKLN Bldg.; 301-443-3104

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Research

ABSTRACT: The objective of the contract was to provide NIMH with an assessment of the overall field of cognitive and social enrichment research, and to recommend the level and direction of future NIMH research in this area. The evaluation has three volumes. Volume I, "Issues and Perspectives", covered the main types of stimulation programs, methodological validity, and other problems of current research. It points to the need to develop norms for disadvantaged children. Volume II (Dec. '75) contains a rating scale developed in order to evaluate 33 NIMH projects. It concludes that one glaring flaw for many projects was the lack of statistical sophistication. Volume III (Feb. 1976) recommends that three areas be given research priority—definition of the term "disadvantaged"; the lack of measurement instruments, especially for social and emotional development; and a multidisciplinary approach to this field, covering such aspects as medical, statistical, psychological, and sociological. Areas that were identified for improvement: (1) more precise definitions so that measurable areas can be better pinpointed. The term "disadvantaged" is one such example. (2) Measurement instruments need to be better developed. Since intelligence measures have been readily available, they have overshadowed the need for other measures. (3) The encouragement of a multi-disciplinary approach to the field. Typically looking at small aspects of personality development.

DESCRIPTORS: Child Development, Children and Youth, Research and Evaluation Performance, Research and Evaluation Planning and Administration, Social Research, Literature Review Feature
EVALUATION TYPE: Research and Data Development, Program Strategy

EDC ID NO: 0713 **PROJECT NO:** NIMH-75-15
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 278-75-00-40
COST (In Thousands): FY 75-\$22
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: December '75 through February '76

0432

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: A Comparative Analysis of the Utilization Patterns of Mental Health and Other Health Services in a Large Insurance Program

REPORT TITLE: A Comparative Analysis of the Utilization Patterns of Mental Health and Other Health Services in a Large Insurance Program

PERFORMER: Blue-Cross/Blue Shield Michigan; 600 Lafayette, East; Detroit, Michigan 48226 Taaffe, Gordon
AGENCY CONTACT: Liptzin, Benjamin M.D.; Rm 17C-05 Pkln; 301-443-3476

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Management & Information

ABSTRACT: This study has provided NIMH with very detailed data on MH utilization and costs of hospital and physicians' services by diagnostic category in a large insured population. Data were presented by age, sex, salaried status, and presence or absence of a mental disorder. Data were also presented on the use of psychiatric services provided by psychiatrists and non-psychiatrists by psychiatric diagnosis. The data are currently being analyzed and will be used to assist in forecasts of the costs and utilization expected under various options for MH benefits under NHI. The data will also assist the President's Commission on Mental Health in their deliberation with respect to insurance benefits. (Final report, 141 pages.)

DESCRIPTORS: Mental Health, Health Insurance and Financing, Health Care Delivery Systems, Research and Evaluation Methods Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1215 **PROJECT NO:** NIMH-76-18
FUND SOURCE: Salaries and Expenses **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 278-76-00020
COST (In Thousands): FY 76-\$25
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC
START/END DATES: June '76 through July '77

0433

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: The Cost of Mental Illness

REPORT TITLE: The Cost of Mental Illness
PERFORMER: Daniel S. Levine, Ph.D.; Diane R. Levine
AGENCY CONTACT: Not yet determined
PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Research

ABSTRACT: The purpose of this study was to measure the cost of mental illness. The cost of mental illness was, according to the reports "conservative" methodology, estimated at \$26.2 billion in 1971. The cost of medical care for mental illness totalled \$10.3 billion and the indirect costs (total disability, deaths, and time lost to individuals) were estimated to total at least \$14 billion. Construction, training,

research and administrative costs accounted for the remaining \$1.9 billion. The report concludes with a methodology for updating estimated mental health costs. (Final report 147 pages.)

DESCRIPTORS: Literature Review Feature, Economic Analysis Feature, Mental Health

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0347 **PROJECT NO:** NIMH 74-2

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Contract **ERIC NO:**

CONTRACT NO: ADM 4-074-82 (OP)

COST (In Thousands): FY 74-\$ Not available

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: June '74 through September '74

0434

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Design of a Panel Survey of Federally-Funded CMHCs

REPORT TITLE: Design of a Panel Survey of Federally-Funded CMHCs

PERFORMER: Applied Management Sciences; 962 Wayne Avenue;
Silver Spring, Md. 20910

AGENCY CONTACT: Taube, Carl; Parklawn, 18C-17; 301-443-3343

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: The 1975 CMHC amendment to PL 94-63 required CMHCs to expand and strengthen their programs. The objective of this study was to design and pilot a sample survey of persons served in CMHCs to collect information (1) on the costs of bringing CMHCs into the Biometry Inventory survey, (2) to ensure that the CMHCs and patients are properly identified and (3) to establish or blend NIMH data collection forms into the Center's internal data system. This study provided data information on feasibility of implementing this system, an instructional user's manual for use by CMHC personnel and its components, and an implementation manual for NIMH staff in assisting the Centers in initiating survey procedures. The study recommends a limited pilot study be undertaken before full-scale implementation so that more estimates could be made on the type and amount of technical assistance and provide a more realistic opportunity to demonstrate the potential uses of the data collected. (Final report, Vol. 1, 39 pages is available from NTIS; Volumes 1 and 2 are available at the EDC.

DESCRIPTORS: Mental Health, Survey or Questionnaire Feature, Feasibility Study Feature, Management Information and Reporting

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1210 **PROJECT NO:** NIMH 76-13

FUND SOURCE: Evaluation **NTIS NO:** PB 286-134

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 278-76-0091

COST (In Thousands): FY 76-\$45

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS

START/END DATES: June '76 through July '77

0435

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Evaluation of State Manpower Capacity

REPORT TITLE: Development and Pre-Test of a Methodology and Instruments for a Nationwide Survey of State Mental Health Manpower Development Capacity

PERFORMER: Abt Associates; 55 Wheeler Street; Cambridge,
Mass. Susan Richards-Windham, Ph.D.; 617-492-7100

AGENCY CONTACT: Liptzin, Benjamin; Rm. 17C-05 PKLN;
301-443-3476

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Management & Information;

PHS-ADAMHA-Mental Health

ABSTRACT: The objective of this study was to develop a method to assess the States' capacity for undertaking comprehensive mental health manpower development, by developing instruments, methodologies and protocols for collecting data; by field testing data collection instruments and methodologies; by conducting a conference on mental health manpower issues in the States to discuss preliminary pre-test findings; and preparing a final report on the study. As a result of the study and the manpower conference, the conclusions reached by the contractor were that the key to comprehensive mental health manpower at the State level depends on linkage between existing resources. Data sources must be linked with and made available to policy analysts; the capability of analysts in State agencies must be coordinated and used to serve policy planning and evaluation needs; and this should include monitoring of manpower supply and particular problems and issues. The most efficient utilization of different policy instruments requires the consultation and cooperation of facilities outside the State mental health authority, in addition to facilities inside the State mental health authority. Effective implementation of policy instruments requires that policy tools be focused on achieving planned goals. A major conclusion of the study was that States need more opportunities to share information on manpower development. (Final report 3 volumes.)

DESCRIPTORS: Feasibility Study Feature, Field Test Feature, Planning, Federal State and Local Relations, Health Manpower Other

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1326

PROJECT NO: NIMH 77-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: NIMH 278-77-0073(OP)

COST (In Thousands): FY 77-\$99

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC

START/END DATES: September '77 through December '78

0436

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Development and Test of a Cost Effectiveness Methodology for CHMC's

REPORT TITLE: Development and Test of a Cost-Effectiveness Methodology for CHMC's

**Public Health Service
Alcohol, Drug Abuse and Mental Health Administration**

Accession No. 0435

PERFORMER: Adams County Mental Health Center; 4371 East
72nd Avenue; Commerce City, Colorado 80022
AGENCY CONTACT: Wurster Cecil; Rm 18C26, Pkln. Bldg;
301-443-3683

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Community Programs &
Centers;
PHS-HSA-Health Services-Community

ABSTRACT: This report is divided into four parts. Part One is a nontechnical overview of the cost effectiveness model for CMHCs; part two is more detailed, a description of the generic methodology for cost-effectiveness analysis of CMHCs which is the DARE System (Data for Accounting Records and Evaluation. Major findings include: 1) clients at admission to CMHCs vary widely in community percentile-functioning scores; 2) estimate cost of services in 1974 for the time between admission and 3-month follow-up was \$100/client; 3) 3-month follow-up showed sizable improvement; 4) treatment delivered to chronic clients achieved poorer outcomes; and 5) three cost-effectiveness studies showed a) services delivered by an experimental "storefront" office were found to be as cost-effective as services delivered by the "main office" b) services delivered by therapists with higher training, etc., were not more expensive yet were more effective as of the 3-month follow-up; and c) routine random assignment of clients could be initiated without loss of efficiency or effectiveness. (Final report 492 pages.)

DESCRIPTORS: Management Techniques, Productivity, Cost-Effectiveness Analysis Feature, Mental Health, Ambulatory and Outpatient Health Care

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0418 **PROJECT NO:** NIMH-73-3
FUND SOURCE: Evaluation **NTIS NO:** PB 246-676-78
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSM 42-73-162-(OP)
COST (In Thousands): FY 73-5125
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: August '73 through September '74

0437

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Development and Testing of Methodology to
Assess the Quality Mental Health Care

REPORT TITLE: Development and Testing of Methodology to
Assess the Quality of Mental Health Care

PERFORMER: Columbia Medical/Johns Hopkins University;
Columbia, Maryland Hankin, Jan
AGENCY CONTACT: Liptzin, Benjamin, M.D.; Rm 17C-05 Pkln;
301-443-3476

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: P.L. 94-63 mandates CMHCs to implement ongoing programs of quality assurance (QA); however, currently there are no demonstrated assurance system in an organized setting (an HMO). This program was designed to meet the needs of a psychiatric service where utilization rates are high and short-term care is stressed, such as found in CMHCs. Their approach identifies patients with poor outcome. Then a search is made for errors in the process of the care.

Where this technique is experimental in nature, it still provides clues for explaining poor patient outcome. The benefits of this study include 1) documentation of the development and testing of a methodology to utilize outcome measures to assess the access to psychiatric care and to assess the effectiveness of that care. 2) It will demonstrate and evaluate the usefulness of an outcome-oriented QA program. 3) Because this is an ongoing project, the initial products and feedback can be made available to CMHCs within several months after contract award. As a result of these benefits, NIMH let this contract to develop and test a methodology utilizing outcome measures to assess the access to care and to assess the effectiveness of that care.

DESCRIPTORS: Mental Health, Research and Evaluation Methods
Feature, Access to Services, Quality Assurance

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1214 **PROJECT NO:** NIMH-76-15
FUND SOURCE: Salaries and
Expenses (S&E) **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 278-76-0090
COST (In Thousands): FY 76-\$25, FY 77-\$41
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: September '76 through September '78

0438

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Evaluation of CMHC Leadership Problems

REPORT TITLE: Development of a Methodology to Evaluate
Leadership Problems in CMHCs

PERFORMER: Wolfgang S. Price Associates; 8720 Georgia
Avenue; Silver Spring, Maryland 20910
AGENCY CONTACT: Woy, Richard; Rm. 17C-05 PKLN;
301-443-3476

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health;
PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: Problems in the leadership and management of Federally-funded CMHCs has been documented, but there are an exceedingly amount of complex factors which influence the management of this kind of organization. If, however, CHMCs are to maintain an effective program for meeting client needs, it is imperative to identify current and emerging administrative difficulties, and where practicable, develop appropriate remedies to alleviate such difficulties. This project developed, designed, and pre-tested a measurement instrument and procedures suitable for a nationwide survey of management practices in Community Mental Health Centers. The study found that few large scale assessments have been made of problems facing CHMCs. A large scale survey was recommended which would: 1) provide data for further assessment of CHMC administration; 2) provide information for researchers concerned with trends in CMHC program development; 3) yield information in developing the NIMH technical assistance program; 4) yield information for developing and changing mental health program administration training programs; and, 5) help solve current problems in CMHCs. (Final report 262 pages.)

DESCRIPTORS: Community Mental Health Centers, Field Test Feature, Research and Evaluation Performance, Survey or Questionnaire Feature, Management Techniques

EVALUATION TYPE: Program Management or Efficiency**EDC ID NO:** 1327**PROJECT NO:** NIMH 77-6**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** NIMH 278-77-0074(OP)**COST (In Thousands):** FY 77-\$49**PROJECT STATUS:** Study completed,
Available from EDC**START/END DATES:** September '77 through May '78**CONTRACT NO:** HEW-OS-72-208**COST (In Thousands):** FY 72-\$157**PROJECT STATUS:** Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS**START/END DATES:** June '72 through September '74

0439

AGENCY SPONSOR: Public Health ServiceAlcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health**PROJECT TITLE:** Evaluation of Alcoholism Treatment Center
Modalities**REPORT TITLE:** Development of a Pilot Program for Monitoring
and Evaluating the Operation of Ten DOT/NIAAA Joint
Alcoholism Programs-Evaluation of the ASAP/ AC
Program**PERFORMER:** Stanford Research Institutes, Menlo Park, Calif.
94025 Lee Towle, 415-326-6200**AGENCY CONTACT:** Not yet determined**PROGRAM(S) EVALUATED:**PHS-ADAMHA-Alcoholism-Community Programs;
PHS-ADAMHA-Alcoholism-Management & Information;
PHS-ADAMHA-Alcoholism-Community Programs-Project
Grants

ABSTRACT: This report contains the results of final analysis and evaluations of ten Alcohol Safety Action Projects (ASAP)/Alcoholism Center (AC) projects jointly funded by DOT and DHEW (NIAAA). In general, the data show that the ASAP/ACs are a highly productive mechanism for the intervention and treatment of alcohol abusers and problem drinkers. More specifically, DWIs (driving while intoxicated) who have a drinking problem seem to be reached earlier in their drinking milieu than their non DWI client counterparts; they receive a greater amount of (owner cost) outpatient treatment; and they stay in treatment longer. This is clearly a result of the ability and willingness of the courts to use coercive persuasion to place such persons on probation so long as they agree to treatment. Furthermore, treatment outcome based on client change six months after intake into treatment appears considerably more positive for DWI clients than for non DWI clients. Examination of program activities and operation shows wide variability particularly in the ASAP and the judicial process. The ASAP/AC projects also vary widely in size, client characteristics, and community relationships. The degree of cooperation between ASAPs and ACs ranges from almost nonexistent to excellent. The final analyses in the report severely limited and few meaningful conclusions can be drawn about assignment of individuals to countermeasures other than AC treatment because data on DWI's processed by ASAPs are not available.

DESCRIPTORS: Survey or Questionnaire Feature, Statistical Data
Feature, Alcoholism, Safety, Mental Health, Research and Evaluation
Performance, Demonstration Projects**EVALUATION TYPE:** Place Project or Technique**EDC ID NO:** 0396**PROJECT NO:** NIMH 72-31
(ASPE 72-12)**FUND SOURCE:** Evaluation**NTIS NO:** PB 245-904**MECHANISM:** Contract**ERIC NO:** Not available

0440

AGENCY SPONSOR: Public Health ServiceAlcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health**PROJECT TITLE:** Development of Models for Self-Evaluation and
Assessment by Rape Prevention and Treatment Programs**REPORT TITLE:** Not yet determined**PERFORMER:** Management Sciences for Health, Inc.; 1 Broadway;
Cambridge, Mass. 02142; Stage, Elizabeth J.**AGENCY CONTACT:** Levine, Gloria; Rm. 8C-23, PKLN;
301-443-1910**PROGRAM(S) EVALUATED:**PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: This study will identify and assess needs, program areas required and resources available, and develop rape prevention and treatment models. These objectives will be accomplished through 8 tasks: (1) literature review; (2) review of research-demonstration projects and development of program typologies; (3) review and development of evaluation strategies; (4) development of model self-evaluation packages; (5) development of staff training methodologies; (6) field testing of the evaluation models; (7) refinement of the model self-evaluation packages; and (8) analysis. (To be completed.)

DESCRIPTORS: Mental Health, Women, Literature Review Feature,
Research and Evaluation Planning and Administration, Evaluation
by State and Local Governments, Needs and Needs Assessment,
Management Information and Reporting**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 1207**PROJECT NO:** NIMH 76-Not yet
determined**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** 278-76-0062**COST (In Thousands):** FY 76-\$93**PROJECT STATUS:** Study in process**START/END DATES:** September '76 through September '77

0441

AGENCY SPONSOR: Public Health ServiceAlcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health**PROJECT TITLE:** Development of Standards for CMHC's**REPORT TITLE:** Not yet determined**PERFORMER:** Joint Commission on Accreditation of Hospitals; 875
N. Michigan A Chicago, Illinois 60611**AGENCY CONTACT:** Huxley, Matthew; ADAMHA; 301-443-3646

**Public Health Service
Alcohol, Drug Abuse and Mental Health Administration**

Accession No. 0444

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Community Programs & Centers

ABSTRACT: A contract with the Joint Commission on Accreditation of Hospital to develop clinical and management standards for CMHC's to allow better estimate of program worth and reimbursement under third party insurance.

DESCRIPTORS: Mental Health, Regulation and Standard Setting
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0708

PROJECT NO: ADAMHA-74-4

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: ADM-41-71-0008

COST (In Thousands): FY 74-\$155

PROJECT STATUS: Study in process

START/END DATES: April '74 through June '75

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC

START/END DATES: June '72 through June '74

0443

AGENCY SPONSOR: Public Health Service

Alcohol, Drug Abuse and Mental Health
Administration

National Institute of Mental Health

PROJECT TITLE: An Evaluation of Minority Mental Health
Research and Development Centers

REPORT TITLE: The Evaluation of a Concept: Minority Mental
Health Research and Development

PERFORMER: Urban Institute for Human Services, Inc.; P.O. Box
150-68; San Francisco, Cal. 94115

AGENCY CONTACT: Shapiro, Richard; Parklawn, 7-103;
301-443-3724

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Research;

PHS-ADAMHA-Mental Health-Management & Information

ABSTRACT: This study evaluated the ongoing National Mental Health Research and Development Centers for minority groups and developed ongoing procedures to effectively monitor the research and development center program. This was accomplished through 5 tasks: (1) development of evaluation criteria; (2) development of survey instrument/survey design; (3) survey; (4) analysis; (5) development of monitoring procedures. The results suggest that despite the necessity of the R and D Centers to devote considerable energy and institutional resources to the establishment of an operating model/procedures organization structure, staff recruitment, research prioritization, and long-range research goals and directions, the center programs already show clear indications of research productivity and program effectiveness. (Final report 185 pages.)

DESCRIPTORS: Mental Health, Research and Evaluation Performance, Minority Groups, Management Information and Reporting, Survey or Questionnaire Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 1224

PROJECT NO: NIMH 76-3

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 278-76-0023

COST (In Thousands): FY 76-\$50

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: June '76 through July '77

0444

AGENCY SPONSOR: Public Health Service

Alcohol, Drug Abuse and Mental Health
Administration

National Institute of Mental Health

PROJECT TITLE: Evaluation of Five Scholarship Programs
(Psychiatry, Psychology, Scholarship, Nursing and Social
Work)

REPORT TITLE: Not yet determined

PERFORMER: L. Miranda and Associates; Washington, D.C.

0442

AGENCY SPONSOR: Public Health Service

Alcohol, Drug Abuse and Mental Health
Administration

National Institute of Mental Health

PROJECT TITLE: Feasibility Study: Use of MSIS for Evaluation in
CMCHs

REPORT TITLE: Evaluating the Attainment of Process Objectives
of Community Mental Health Centers Using an Automated
Patient Data System (MSIS): Methodology and Application

PERFORMER: Information Sciences Division; Research Center;
Rockland State Hospital; Orangeburg, NY 10962; Carole
Siegel

AGENCY CONTACT: Pollack, Earl; Rm 18C26, Parklawn Bldg.;
301-443-3683

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Community Programs &
Centers;

PHS-ADAMHA-Mental Health-Management & Information

ABSTRACT: The attainment of process objectives by four community mental health centers is analyzed, based on the use of an automated patient data system. These centers are participants in the Multi-State Information System (MSIS), an automated patient management information system which collects demographic, clinical, and other patient information. Detailed summaries of each center are provided which illustrate the extent to which individual centers attained process objectives using the automated MSIS. Extensive differences existed among the four participating community mental health centers in terms of catchment areas, client populations, length of time in existence, and size and scope of therapeutic facilities. A bibliography is included, along with supporting tabular data (Final report 442 pages).

DESCRIPTORS: Mental Health, Information Systems, Client Records, Management Information and Reporting, Bibliography Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0490

PROJECT NO: NIMH-72-27

FUND SOURCE: Evaluation

NTIS NO: EDC only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-42-72-212

COST (In Thousands): FY 72-\$75, FY 73-Not available

AGENCY CONTACT: Shapiro, Richard; Parklawn, 7-103;
301-443-3724

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Training;
PHS-ADAMHA-Mental Health-Research

ABSTRACT: The objective of this study is to evaluate the ongoing national research minority fellowship programs through (1) development of evaluation criteria; (2) development of survey/instrument design; (3) sample survey; and (4) analysis.

DESCRIPTORS: Mental Health, Minority Groups, Curriculum Development, Survey or Questionnaire Feature, Scholarships Fellowships and Loans, Psychiatry and Psychology

EVALUATION TYPE: Program Impact

EDC ID NO: 1225

PROJECT NO: NIMH 76-4

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 278-76-0014

COST (In Thousands): FY 76-\$100

PROJECT STATUS: Study completed

START/END DATES: June '76 through December '77

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '72 through October '74

0446

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Evaluation of Primary Prevention Activities in CMHCs

REPORT TITLE: Not yet determined

PERFORMER: Joint Information Services; American Psychiatric Assoc; Washington, D.C.

AGENCY CONTACT: Goldston, Stephen; Rockwall Bldg, Room 512; 301-443-4688

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Community Programs & Centers

ABSTRACT: This state-of-the art of CMHC primary prevention activities will include identification of problems, issues and concerns. Eight model programs will be selected and studied; they will serve as a basis for developing model program types and preparing recommendations to NIMH.

DESCRIPTORS: Mental Health, Preventive Health Care
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1216

PROJECT NO: NIMH-76-19

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 278-76-0092

COST (In Thousands): FY 76-1-\$99

PROJECT STATUS: Study in process

START/END DATES: September '76 through September '77

0445

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Evaluation of the NIMH Aging Program

REPORT TITLE: Evaluation of NIMH Aging Programs with Special Focus on Services

PERFORMER: Socio-Technical Systems, Inc.; 29 Commonwealth Avenue; Boston Massachusetts 02116; Cecil D. Isaacs, M.D.

AGENCY CONTACT: Vischi, Thomas; 12-C26 Parklawn Bldg; 301-443-4564

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Community Programs & Centers;
PHS-ADAMHA-Mental Health-Research

ABSTRACT: This study explores the impact of the National Institute of Mental Health (NIMH) policies and practices on the provision of mental health services for the elderly in Federally funded community mental health centers. A literature review, site visits to eight community mental health centers throughout the country, and visits to NIMH-funded Social Work and Gerontology training institutions were meant to provide NIMH with information for future policy decisions. While centers were found generally to provide high quality care, numerous implementation and management problems, such as difficulties with centers as free-standing units, were addressed. Existing research was not seen by centers as helpful in service delivery. Training programs and centers could be better linked. (Final report-2 volumes)

DESCRIPTORS: Elderly, Mental Health, Literature Review Feature, Research and Evaluation Planning and Administration, Handicapping Conditions and Problems, Case or Site Study Feature, Social Work Manpower

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0417

PROJECT NO: NIMH 72-15

FUND SOURCE: Evaluation

NTIS NO: PB 247-183

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-42-72-197

COST (In Thousands): FY 72-\$91

0447

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Evaluation of Juvenile Offender Services in CHMC's and Community Based Drug Treatment Facilities

REPORT TITLE: Evaluation of Services Offered by CMHC's and ADAMHA Funded Drug and Alcohol Programs to Juvenile Delinquents: First of three volumes

PERFORMER: Arthur D. Little, Inc; Acorn Park; Cambridge, Massachusetts

AGENCY CONTACT: Ahmed, Paul; Rm 1887, Pkln Bldg; 301-443-4550

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Community Programs & Centers

ABSTRACT: The purpose of this contract was to determine the nature, extent and interrelationships of services offered by CMHC's and ADAMHA-funded, community based drug and alcohol programs to juvenile delinquents in order to help determine the appropriate role of mental health agencies in serving juvenile delinquents in the communi-

**Public Health Service
Alcohol, Drug Abuse and Mental Health Administration**

Accession No. 0450

ty. The broad objectives of the study were to 1) survey the nature and extent of services presently available; 2) provide an analytic-conceptual framework to help understand factors which promote or retard the development of programs; 3) examine the relationship between CMHC and ADAMHA funded programs and 4) encourage increased provisions of needed services to youthful delinquents by CMHC's drug and alcohol programs. The report includes a long list of finding and recommendations regarding the most appropriate and effective way to provide services to juveniles. (Final report 332 pages)

DESCRIPTORS: Dropouts and Runaways, Social Services Delivery Systems, Case or Site Study Feature, Literature Review Feature,
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0442 **PROJECT NO:** NIMH 73-10
FUND SOURCE: Evaluation **NTIS NO:** PB 249-552
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSM-42-73-81
COST (In Thousands): FY 74-\$117
PROJECT STATUS: Study completed,
Executive summary at EDC,
Available from NTIS
START/END DATES: August '73 through December '74

0448

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Evaluation of the Impact of the Film Series "One to Grow On" on Selected Teachers and Students

REPORT TITLE: Evaluation of the Impact of the Film Series "One to Grow On" on Selected Teachers and Students
PERFORMER: American Institutes for Research; 3301 New Mexico Ave, N.W.; Washington, D.C.
AGENCY CONTACT: Ahmed, Paul I
PROGRAM(S) EVALUATED:
V-Non-HEW Programs-Evaluation

ABSTRACT: This project is aimed at evaluating the extent to which the NIMH film/discussion experience, "One To Grow On," can influence in a positive way the attitudes and behaviors of the teacher in the classroom, and subsequently have a beneficial impact on the students. The results of the study can best be summarized in terms of levels of impact: 1) the film/discussion experience did have an immediate impact on the behavior and attitudes of many of the teachers; 2) the message of the film/discussion was received and understood; however, 3) the message was not internalized; therefore 4) it did not have a positive effect on the interpersonal relationship between the students and other teachers. Overall, the study concludes that the film/discussion experience was a success since it serves as a stimulus or catalyst for discussions around important issues relating to student/teacher interaction in the classroom. (Final report 135 pages.)

DESCRIPTORS: Educational Techniques, Educational Technology, Education Manpower, Elementary and Secondary Students
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0439 **PROJECT NO:** NIMH 73-21
FUND SOURCE: Evaluation **NTIS NO:** PB 249-451, 452
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSM-42-73-189 (CP)
COST (In Thousands): FY 74-\$75

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: July '73 through December '74

0450

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Development of ADAMHA Evaluation System

REPORT TITLE: Evaluation Planning at the National Institute of Mental Health: A Case History

PERFORMER: Urban Institute; 2100 M St. N.W.; Washington, D.C. 20037; Pamela Horst

AGENCY CONTACT: Stockhill, James; OPDA, NIMH

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Management & Information

ABSTRACT: The report documents the development of a process and a policy for guiding program evaluation work at NIMH. It is intended to provide both an institutional memory and a factual basis for improving the evaluation process. Much of the report focuses on the issue of program design and its relationship to evaluation and program management. The study found that the programs within NIMH lacked specification of objectives, an allegedly necessary prerequisite for carrying out performance evaluation; that managers were unable to define how they intended to use proposed evaluation studies; that it was hard to define any usable method of comparing proposed evaluation studies based upon the relative cost of getting and using the information and the relative value to the manager of having the information; and that evaluation planning was confused and ineffective. The study recommends altering the way programs are designed and managed; reducing the amount of evaluation; modifying evaluation strategy to focus on lower level managers; and administering evaluation as a research function. NIMH staff comments criticize the report on the ground that (1) the Urban Institute failed to make a serious study of the decision-making process in NIMH or to interview policy making officials; and (2) that the study was carried out during a period of much upheaval and change. See EDC Numbers 0154 and 0212 for related studies. (Final report 166 pp., also available as Urban Institute Publication URI-27000).

DESCRIPTORS: Research and Evaluation Performance, Research and Evaluation Planning and Administration, Case or Site Study Feature
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0670 **PROJECT NO:** NIMH 73-7
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 1-MH-2-0022(OP)
COST (In Thousands): FY 72-\$98, FY 73-\$150, FY 74-\$104, FY 75-\$11
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: March '72 through March '75

0451

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Evaluation of Minority Content in Curriculum of
Manpower Development and Training Programs

REPORT TITLE: Feasibility Study for Evaluation of Curriculum in
Professional Training Programs to Prepare Mental Health
Manpower to Serve Minority Populations

PERFORMER: A.L. Nellum and Assoc.; 1990 M Street, N.W.;
Washington, D.C.

AGENCY CONTACT: Mr. R. Shapiro; 301-443-3724

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health;
PHS-ADAMHA-Mental Health-Training

ABSTRACT: The ultimate purpose of this study was to determine conditions under which a full-scale evaluation study of curriculum for preparing mental health manpower serving minority populations is feasible. The study included a review of the literature, a review of NIMH Minority Mental Health Manpower Development grant process, site visits to selected projects, and establishment of a Technical Advisory Committee. The proposed evaluation was found to be a feasible undertaking and an outline for the actual evaluation is proposed. (Final report 128 pages).

DESCRIPTORS: Literature Review Feature, Curriculum Development, Health Manpower Training, Minority Groups
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0882 **PROJECT NO:** NIMH 75-11
FUND SOURCE: Evaluation **NTIS NO:** PB 251-236
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 278-75-47
COST (In Thousands): FY 75-\$42, FY 76 \$-Not available
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '75 through November '75

0452

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Feasibility Study for Developing Mental Health
Service Criteria to be Used by HSAs and SHCCs

REPORT TITLE: Feasibility Study for Developing Guidelines,
Criteria, and Standards for Health Planning Agency Review
of MH Services

PERFORMER: A. D. Little and Company; 25 Acorn Park;
Cambridge, Mass.

AGENCY CONTACT: Light, Enid; Rm. 17C-05 PKLN;
301-443-3476

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health;
PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: This study was conducted to determine the feasibility of developing guidelines, criteria, and standards for HSAs, SHPDAs, and SHCCs to use in reviewing mental health services under the

review functions mandated by Public Law 93-641--the National Health Planning and Resources Development Act of 1964, based on the state of the art in criteria and standards development in mental health, the experience of the health planning network to date in planning and review for mental health services, and the projected future needs of the mental health and health planning networks. The study found that the state of the art of criteria and standards development in MH services does not differ significantly from that of other health care services. Useful criteria and methodologies are available within many key review considerations. But there needs to be more information obtained about costs and utilization of MH services. Because recent surveys show that 40% of the HSAs believe their staffs do not possess expertise on MH, the study suggested that a technical assistance manual for MH services delivery should be developed and disseminated. (Final report 57 pages.)

DESCRIPTORS: Feasibility Study Feature, Health Care Delivery Systems, Planning, Needs and Needs Assessment, Mental Health
EVALUATION TYPE: Program Strategy

EDC ID NO: 1332 **PROJECT NO:** NIMH 77-17
FUND SOURCE: Salaries and
Expenses **NTIS NO:** PB 296-596
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 278-77-0024(OP)
COST (In Thousands): FY 77-\$46
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: September '77 through August '78

0453

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Role of Pediatricians in Delivery of Mental
Health Services to Children: A Feasibility Study

REPORT TITLE: Feasibility Study of the Role of Pediatricians in the
Delivery of Mental Health Services to Children

PERFORMER: University of Rochester; Biometry Div.; Rochester,
New York

AGENCY CONTACT: Roser, B.; NIMH PKLN; 301-443-3364

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: There were two objectives to this study: (1) to examine the feasibility of conducting a more comprehensive study of the role of pediatricians in the delivery of mental health services; and (2) to assess alternatives towards implementing such a study. It was found that a large scale study was feasible and NIMH plans to conduct such a study in FY 78. These data will help identify the amount and types of MH problems seen in pediatric practice. The preliminary data from this study have already been used in considerations of MH training for pediatricians and other primary care providers. (Final report 150 pages.)

DESCRIPTORS: Mental Health, Medical Practice, Children and
Youth, Health Care Delivery Systems
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0712 **PROJECT NO:** NIMH-75-13
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 278-75-0032
COST (In Thousands): FY 75-\$34
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: December '75 through April '76

0454

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health
PROJECT TITLE: Implementation of Several Developed Evaluation
Methodologies in 5 CMHC's in the State of Florida
REPORT TITLE: Florida Consortium for the Study of Community
Mental Health Evaluation Techniques
PERFORMER: Collier County MH Clinic; Creech Road; Naples,
Florida
AGENCY CONTACT: Kelly, Thomas; 301-443-1596
PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental-Health-Community Programs &
Centers;
PHS-ADAMHA-Mental-Health-Management & Information

ABSTRACT: The basic goals of the Florida Consortium for the Study of Community Mental Health Evaluation Techniques were to discover ways to meet the needs for evaluation information in three areas: 1) requirements of national, state and local funding agencies; 2) modification of established community mental health programs and development of new programs to meet changing needs for mental health care; and 3) long range planning for the delivery of therapeutic and preventive programs. General findings on program evaluation techniques based on the criteria of reliability, practicality, and utility are presented for 1) client outcome measures, 2) continuity of care measures, and 3) needs assessment. (Final report Vol 1, 120 pages, ppencides, Vol. 2)

DESCRIPTORS: Research and Evaluation Performance, Research and Evaluation Methods Feature, Mental Health, Evaluation by State and Local Governments
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0441 **PROJECT NO:** NIMH 73-19
FUND SOURCE: Evaluation **NTIS NO:** PB 250-738
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSM-42-73-179
COST (In Thousands): FY 73-\$125
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: June '73 through December '73

0455

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health
PROJECT TITLE: Reactions of Community Mental Health Centers
to a Handbook on Evaluation of Consultation and Education
Activities in School

REPORT TITLE: Impact of an Evaluation Methodology Report:
Reactions of Community Mental Health Centers to a
Handbook on Evaluation of Consultation and Education
Activities in School

PERFORMER: National Institute of Mental Health; HEW;
Rockville, MD

AGENCY CONTACT: Windle, Charles; Rm 11-C03 Pkin;
301-443-3628

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Management & Information;
PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: This report documents the results of a survey to obtain the reactions of federally-funded CMHC's to a handbook prepared by the Human Resources Research Organization entitled, Preliminary Handbook on Procedures for Evaluating Mental Health Indirect Service Program in schools. The purpose of dissemination to CMHCs of the HUMRRO handbook, was to obtain reactions from these centers on the value of the handbooks contents and suggestions for its improvement. The survey relied entirely upon a questionnaire sent to those CMHCs to which the preliminary handbook had been mailed. It was clear from the questionnaire returned that barriers impeded full dissemination and utilization of the handbook and that reaction to it varied, ranging from enthusiasm to rejection. The sharpest drop in the utilization process appeared to occur in the initial dissemination of the handbook to the centers. (Final report 75 pages.)

DESCRIPTORS: Community Mental Health Centers, Information Dissemination, Research and Evaluation Performance, Research and Evaluation Planning and Administration, Survey or Questionnaire Feature, Evaluation by State and Local Governments, Mental Health
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0448 **PROJECT NO:** NIMH 73-45
FUND SOURCE: Salaries and
Expenses (S&E) **NTIS NO:** PB 250-774
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT NO: Not available
COST (In Thousands): FY 73-Not available
PROJECT STATUS: Study completed,
Executive summary at EDC,
Available from NTIS
START/END DATES: October '72 through October '73

0456

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: A Study of the Impact of CMHCs on State
Mental Hospital Utilization

REPORT TITLE: Impact of Community Programs on State Hospital
Utilization

PERFORMER: Office of Program Analysis & Statistical Research;
Texas State Dept. of Mental Health and Mental Retardation;
Austin, TX; Leah E. Krantzler

AGENCY CONTACT: Windle, Charles; Rm 11C-03 Parklawn;
301-443-3628

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: The impact of community mental health centers on State hospitals is assessed to determine the relationship between operating characteristics of center programs and relative differences in impact.

Two specific study objectives are identified: (1) determine the relative impact on State mental hospitals of several types of mental health service delivery systems operating within the State of Texas as of fiscal year 1973; and (2) explore relationships which may exist between a set of measures associated with community mental health centers and measures of State hospital utilization. Texas counties contributed data for the study. Study results are presented in terms of differences in State hospital utilization based on four delivery systems (community centers, outreach, hospitals, and multiple facilities) and on selected characteristics of community mental health centers. Extensive tabular data are provided which support study results. Three appendices are included which concern hospital utilization measures, community center variables, and statistical information on group means. (Final report 50 pages).

DESCRIPTORS: Mental Health, Hospitals, Health Care Delivery Systems, Outreach and Referral

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0492

PROJECT NO: NIMH-75-20

FUND SOURCE: Evaluation

NTIS NO: PB-250-702

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 278-75-0010

COST (In Thousands): FY 75-\$7, FY 76-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: March '75 through February '76

0457

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Evaluation of the Impact of the Poverty
Amendment

REPORT TITLE: The Impact of Preferential Poverty Financing on
the Operations of CMHCs

PERFORMER: Littlejohn Associates; 1335 Green Court, N.W.;
Washington, DC. Roy Littlejohn 202-638-1388

AGENCY CONTACT: Ahmed, Paul; Rm. 17C-05 PKLN;
301-443-3476

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: Pre/post analysis of 1970 Poverty Amendments to determine what effect amendments have in bringing services to the poor in the catchment area.

DESCRIPTORS: Mental Health, Community Mental Health Centers,
Low-Income Population, Financial Management

EVALUATION TYPE: Program Impact

EDC ID NO: 0671

PROJECT NO: NIMH 72-11

FUND SOURCE: Evaluation

NTIS NO: PB 223-142

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-42-72-89

COST (In Thousands): FY 72-\$87

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: May '72 through April '73

0458

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Mental Health Accountability: Further
Development and Piloting Evaluation-Based Accountability
Package and Process

REPORT TITLE: Not yet determined

PERFORMER: WICHE; Drawer P, Post Office; Boulder, CO
80302

AGENCY CONTACT: Light, Enid; Rm 17C-05; Pkln Bldg.;
301-443-3476

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Management & Information

ABSTRACT: A variety of legitimately concerned "publics" have escalated their demands accountability. In an effort to assist States faced with accountability demands, contracted with the Western Interstate Commission for Higher Education (WICHE) (NIMH) contract 278-76-0091 (OP) to study the ways in which existing evaluation methods and activities could be used to meet accountability needs of the Western States. NIMH needs however, to further develop the accountability process. This contract will expand the assessments already begun at the State level to the local level; will pilot test the accountability package concept and approach; and will develop model processes of accountability.

DESCRIPTORS: Benefit-Cost Analysis Feature, Cost-Effectiveness
Analysis Feature, Evaluation by State and Local Governments, Federal
State and Local Relations, Field Test Feature, Health Planning,
Needs and Needs Assessment, Quality Assurance, Research and
Evaluation Methods Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1366

PROJECT NO: NIMH-78-14

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 278-78-0035 (OP)

COST (In Thousands): FY 78-\$169

PROJECT STATUS: Study in process

START/END DATES: June '78 through April '79

0459

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Comparison of CMHCs Efficiency and
Effectiveness With That of Alternative Care Systems

REPORT TITLE: Mental Health Project

PERFORMER: Dr. Richard J. Zeckhauser; 138 Irving Street;
Cambridge, Mass 02138

AGENCY CONTACT: Sharfstein, Steven; 301-443-3476

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Community Programs &
Centers;

PHS-ADAMHA-Mental Health-Management & Information

ABSTRACT: The objective of this project was to provide clear answers to these questions: 1) what methods, existing and to be developed, are most appropriately employed to measure the performance of mental health care delivery modes, 2) what extent will various NIMH and Federal service support or other financial reimbursement

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programs influence the efficiency of alternative mental health care delivery institutions?; and 3) what conditions enhance the efficient delivery of mental health care services in various modes and under alternative programs? Before each question is addressed separately, the system is studied in its totality. The information is offered in the form of descriptive and schematic models of the mental health care system, and is specifically designed to facilitate discussion of the type of intervention, particularly government intervention, that could enhance or hinder the efficient performance of the system. In answering the above questions, the study includes several findings, conclusions and recommendations. (Final report 101 pages.)

DESCRIPTORS: Health Care Delivery Systems, Mental Health, Cost-Effectiveness Analysis Feature, Literature Review Feature
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0449 **PROJECT NO:** ADAMHA 74-5
FUND SOURCE: **NTIS NO:** PB 230-557
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: ADM-42-74-57
COST (In Thousands): FY 74-\$15

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '74 through March '75

0460

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Methods of Federal and State Financing of
Transitional and Long Term Health, Mental Health, and
Community Support Services for Individuals Leaving Public
Mental Hospitals

REPORT TITLE: Not yet determined
PERFORMER: Arthur Bolton Associates; 1050 Potomac St. NW;
D.C. 20007; Valerie Bradley
AGENCY CONTACT: Turner, Judy; 301-443-3818
PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: Guide to Federal Housing Programs for Community Living Arrangements for Mentally Disabled Persons-this project will evaluate existing and proposed Federal legislation which provides resources for financing transitional and long term health, mental health and community support services for persons leaving public mental health hospitals. The report will recommend mechanisms for more cost-effective and appropriate use of available resources and identify existing and proposed legislation specific to barriers at the local level of comprehensive, transitional and long term community support. Materials will be developed on existing funding mechanisms to aid administrators in making more cost-effective use of resources (abstract to be rewritten to reflect cancellation).

DESCRIPTORS: Long Term Health Care, Mental Health
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0652 **PROJECT NO:** NIMH 75-22
FUND SOURCE: Evaluation **NTIS NO:** Not available
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 278-75-0033 (MH)
COST (In Thousands): FY 75-\$50

PROJECT DESCRIPTIONS

PROJECT STATUS: Study completed,
aAvailable from NTIS,
Study completed,
Executive summary at EDC,
bExecutive summary at EDC,
d

START/END DATES: June '75 through June '76

0461

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Effects of Psychotherapy Techniques

REPORT TITLE: Negative Effects in Psychotherapy: A Review of
Issues Together with Recommendations for a Program of
Research

PERFORMER: Vanderbilt University; Nashville, Tennessee; Hans
Histrupp

AGENCY CONTACT: Parloff, Dr. Morris; 301-443-4527

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Research

ABSTRACT: This study deals with the question of whether psychoanalysis can or does cause harm. The study involves assessment of research quality supplemented by a survey of experts. It concludes that most recent research purporting to show harm has methodological flaws and that further research is needed to establish the frequency of negative effects. The study reviews in detail each of many types of research problems in this area, such as defining and measuring negative outcomes; reviews all prior studies on the specific issue; and presents the outlines of a more valid study approach for future research. (Final report 110 pages).

DESCRIPTORS: Social Research, Psychiatry and Psychology, Mental Health, Literature Review Feature, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0508 **PROJECT NO:** NIMH 75-6
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 278-75-0036
COST (In Thousands): FY 75-\$19
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: June '75 through May '76

0462

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: North Carolina Community Mental Health Center
Evaluation Project

REPORT TITLE: North Carolina Community Mental Health Center
Evaluation Project, Final Report of Planning Phase

PERFORMER: University of North Carolina; Chapel Hill, N.C.;
Drs. Cecil Sheps and Harvey Smith

AGENCY CONTACT: Morrissey, Joseph

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: The aim of this project was the development of an evaluation protocol for the assessment of Community Mental Health Center impact at the levels of the individual client, interorganizationally, and community wide. Three task forces were formed to deal with the conceptual and methodological issues. The Services Evaluation Task Force primarily developed modes of assessing patterns of mental health facility usage delineating the impacts of the services upon recipients of those services. The Population Assessment Force gauged the feasibility and desirability of epidemiological analysis of illness rates and service needs. Programs of consultation and education were also within its scope. The Community Organization Force explored the issues involved in studying the community impact of the Center, especially its organization for the performance of its tasks, and its interorganizational relationships. This force was also responsible for site selection and the collection of relevant data. Findings from the completed work fall into the categories of overall organization, funding, the lack of reality orientation, use of resources, and possible deficiencies in the state of the arts. (Final report 174 pp).

DESCRIPTORS: Evaluation by State and Local Governments, Mental Health, Research and Evaluation Methods Feature, Technical Assistance

EVALUATION TYPE: Support

EDC ID NO: 0451

PROJECT NO: NIMH 73-1a

FUND SOURCE: Evaluation

NTIS NO: PB 250-670

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-42-72-120

COST (In Thousands): FY 72-\$91, FY 73-\$90, FY 74-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: May '72 through September '74

0463

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Development of Performance Criteria for CMHCs

REPORT TITLE: Principles for Accreditation of Community Mental Health Service Programs

PERFORMER: Joint Commission on the Accreditation of Hospitals;
875 N Michigan Avenue, Chicago, Illinois 60611

AGENCY CONTACT: Liptzin, Benjamin M.D.; Rm. 17C-05,
PKLN; 301-443-3476

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: The Joint Commission on Accreditation of Hospitals (JCAH) used material developed in an earlier contract (NIMH 74-4, ADM 42-74-22(OP) to develop specific criteria/indicators for the practical application of them in the CMHC evaluation process. NIMH has used the criteria and the standards as a basis for the National Standards for CMHC: A Report to Congress, which is pursuant to Section 304(b), Title III of the CMHC Act amendments of 1973, P.L. 94-63. These standards have been integrated into policy development with regard to renewal of the CMHC Act. When the standards have been validated and established, they could be used to certify CMHCs for third party reimbursement.

DESCRIPTORS: Mental Health, Research and Evaluation Planning and Administration, Regulation and Standard Setting, Evaluation by State and Local Governments, Management Information and Reporting

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1209

PROJECT NO: NIMH-76-11

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 278-76-0024

COST (In Thousands): FY 76-\$105

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: June '76 through March '77

0464

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Development of Standards for CMHC's

REPORT TITLE: Principles for the Accreditation of Community Mental Health Service Programs

PERFORMER: Joint Commission on Accreditation of Hospitals; 875
N. Michigan Avenue, Chicago, Ill.; John D. Portfield

AGENCY CONTACT: Huxley, Matthew; Rm. 17C-27 PKLN;
301-443-3646

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: The development of standards for accrediting community mental health service programs is documented, and a first draft of principles is presented. Development of the standards was undertaken by the Community Mental Health Centers Subcommittee, Standards Committee of the Accreditation Council for Psychiatric Facilities, Joint Commission on Accreditation of Hospitals. Input was obtained from Subcommittee members, consultants, and participants in nine advisory conferences. All assisted in the development of a model in which four conceptual state referents and nine operational state referents are applied to five functional areas--services, administration, community participation, research and evaluation, and staff development--to establish 65 principles. From the principles and derived subprinciples, indicators, standards, and guidelines. Appended are copies of monthly newsletters sent to some 1,000 individuals and agencies to keep them informed of the project's progress, a copy of the first draft of principles and subprinciples in each of the functional areas, application form, outline of survey procedures, survey instruments, multidisciplinary survey team training guides, standards validation methodology, and statement on nonqualifiable criteria. (Final report 209 pages).

DESCRIPTORS: Accreditation of Institutions, Regulation and Standard Setting, Mental Health, Evaluation by State and Local Governments, Citizen Participation

EVALUATION TYPE: Support

EDC ID NO: 0583

PROJECT NO: NIMH 74-4

FUND SOURCE: Evaluation

NTIS NO: PB 259-799

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: ADM-42-22(OP)

COST (In Thousands): FY 74-\$155, FY 75-Not available, FY 76-Not available

**Public Health Service
Alcohol, Drug Abuse and Mental Health Administration**

Accession No. 0467

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: April '74 through December '75

0465

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Definition and Assistance on the Role and
Functions of Progr Evaluation in State Mental Health
Agencies

REPORT TITLE: Program Evaluation in the State Mental Health
Agency; Activities, Functions and Management Uses
PERFORMER: Southern Regional Education Board; 130 Sixth St,
N.W.; Atlanta,

AGENCY CONTACT: Wurster, Cecil; Rm 18C-26 PKLN;
301-443-3685

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Management & Information

ABSTRACT: This study analyzed and described the existing state-level
evalu programs in the mental health agencies of the 14 states within
the SREB compact organization to determine the responsibilities,
functions, activities, organizat staffing and resources of these evalua-
tion programs.

DESCRIPTORS: Regulation and Standard Setting, Mental Health,
Evaluation by State and Local Governments
EVALUATION TYPE: Other

EDC ID NO: 0446.2 **PROJECT NO:** NIMH 75-16

FUND SOURCE: Salaries and
Expenses (S&E)

NTIS NO: PB 250-528
ERIC NO: Not available

MECHANISM: Contract

CONTRACT NO: ADM-42-74-90

COST (In Thousands): FY 74-\$72

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '74 through June '75

0466

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Evaluation of Public Educational Campaign in
Alcoholism

REPORT TITLE: Public Awareness of the NIAAA Advertising
Campaign and Public Attitudes Toward Drinking and
Alcohol Abuse, Phase Four

PERFORMER: Louis Harris and Associates, Inc.; 1270 Avenue of
the Americas; New York, New York 10020; Byard Hooper
212-245-7414

AGENCY CONTACT: Patterson, Donald; ADAMHA; 301-443-2858

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Alcoholism-Management & Information

ABSTRACT: The purpose of this project was to determine the effects
of the national public education campaign through changes in the
knowledge level of the target population. The study concluded that
there is some investment in seeing an alcoholic only as an individual
in extreme straits, for it permits behavior which may be dangerously
verging on problem drinking to be dismissed. The theme, that al-
coholoics are not just found in the gutter, must be emphasized To the
greatest extent possible, each ad should cover as much of the mora-
lism-rationalization spectrum as possible--without diluting the mes-
sages as to have no impact. Other ads should focus on behavior, not
consequences, and while alerting the public to recognize danger signs
in their drinking behavior, it is felt strongly that the real seriousness
of the behavior can best be brought home by showing people what
seemingly innocent behavior can lead to--broken homes, a lost job,
declining health, and a friendless existence. A perfect ad would cover
moralism-rationalization scale; it defines consequences of alcohol
abuse in a personally meaningful way while it describes the kinds of
behavior to which drinkers must be alert; and it has an appeal to the
media outlets to encourage them to use the ad.

DESCRIPTORS: Alcoholism, Social Attitudes and Public Opinion,
Drug Abuse

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0666

PROJECT NO: NIMH 72-30

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-42-72-155

COST (In Thousands): FY 72-\$225

PROJECT STATUS: Study completed

START/END DATES: June '72 through June '73

0467

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: The Quality of Mental Health Services in an
Organized Primary Health Care Setting

REPORT TITLE: Not yet determined

PERFORMER: Marshfield Medical Foundation; 510 N St.;
Marshfield, Wisconsin 54449

AGENCY CONTACT: Regier, Dr. Darrel; Rm. 18C-18 PKLN;
301-443-3364

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health;
PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: This pilot study will gather information to assess various
methods and characteristics within an organized primary health care
setting.

DESCRIPTORS: Feasibility Study Feature, Health Care Delivery Sys-
tems, Health Planning, Information Systems, Mental Health
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1333

PROJECT NO: NIMH 77-18

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 278-77-0071(DBE)

COST (In Thousands): FY 77-\$93

PROJECT STATUS: Study in process
START/END DATES: September '77 through December '78

0468

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Relationship Between Psychiatric Utilization and Socio-Economic Characteristics of Census Tracts and Catchment Areas, Monroe County, N.Y.

REPORT TITLE: None
PERFORMER: University of Rochester; Rochester, New York
AGENCY CONTACT: Goldberg, Irv; ADAMHA; 301-443-3364
PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health

ABSTRACT: Data bought and used by NIMH staff in developing a methodology for needs assessment relating 1970 Census data from the Mental Health Demographic Profile System for Monroe County to the psychiatric case register patient data. (No final report).

DESCRIPTORS: Mental Health, Needs and Needs Assessment, Psychiatry and Psychology
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0710 **PROJECT NO:** NIMH-75-2
FUND SOURCE: Evaluation **NTIS NO:** Not available
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 278-75-0027
COST (In Thousands): FY 75-\$11
PROJECT STATUS: Study in process,
Study completed
START/END DATES: June '75 through June '76

0469

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: A Pilot Study of Mentally Ill Patients Discharged from Not availablePA State Hospital

REPORT TITLE: Reentering the Community: A Pilot Study of Mentally Ill Patient Discharged from Not availablePA State Hospital

PERFORMER: Stanford Research Institute, Menlo Park, Calif.
94025; Dorothy Place and Samuel Weiner
AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Community Programs & Centers

ABSTRACT: The purpose of this study was to gather data which would assist in the development of a model to evaluate the process and outcomes of persons entering the network of community mental health services in the State of California. Information gathering for this project was gained through two forms of survey: a questionnaire and in depth interviews. Evaluators concluded that their study had shown that the existing programs did not provide successful inter-mediation with that environment. Findings also show that the group of patients' major problem was not the effects of their past mental former disorder, but their inability to structure their world after

leaving the hospital. Recommendations favor programs designed to provide discharged patients with the practical skills needed to function in an ordinary community. Social models need to be provided for integrating the client into the community at the highest level at which he is able to function. (Final report 36 pp).

DESCRIPTORS: Mental Health, Ambulatory and Outpatient Health Care, Survey or Questionnaire Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0452 **PROJECT NO:** NIMH 74-1
FUND SOURCE: Evaluation **NTIS NO:** PB 250-529
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: Not yet determined
COST (In Thousands): FY 74-Not available
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: October '73 through June '74

0470

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Evaluation of Community Mental Health Programs

REPORT TITLE: Resource Materials for Community Mental Health Program Evaluation (First Edition)

PERFORMER: Langley Porter Institute; Univ. of California; 1409 Parnassus Av.; San Francisco, Ca. 94122 Dr. William Hargreaves

AGENCY CONTACT: Windle, Dr. Charles; 11C-03 Parklawn; 301-443-3626

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Community Programs & Centers;
PHS-ADAMHA-Mental Health-Management & Information

ABSTRACT: These resource materials are provided in an attempt to strengthen evaluation capabilities in local mental health programs to assess the effectiveness of Federal programs that aid the delivery of mental health services as required by the CMHC legislation. These materials are to insure that every community-based mental health facility has the capability to produce useful ongoing evaluations of program effort, relevance, efficiency, and effectiveness. This report was revised and is now available in a Second Edition from the National Technical Information Service (NTIS). The accession number for the edition is PB 271-289. (See EDC ID No. 0718).

DESCRIPTORS: Health Planning, Research and Evaluation Planning and Administration, Evaluation by State and Local Governments, Mental Health, Needs and Needs Assessment, Technical Assistance, Management Information and Reporting
EVALUATION TYPE: Support

EDC ID NO: 0711 **PROJECT NO:** NIMH-72-24
FUND SOURCE: Evaluation **NTIS NO:** Not available
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSM-42-72-105
COST (In Thousands): FY 72-\$96, FY 73-\$92, FY 74-\$21

**Public Health Service
Alcohol, Drug Abuse and Mental Health Administration**

Accession No. 0473

PROJECT STATUS: Study completed
START/END DATES: June '72 through September '74

0471

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Evaluation of Community Mental Health
Programs

REPORT TITLE: Resource Materials for Community Mental Health
Program Evaluation (Second Edition)

PERFORMER: Langley-Porter Institute; Univ. of California; 1409
Parnassus Avenue; San Francisco, Calif. 94122

AGENCY CONTACT: Windle, Charles; Parklawn, 11C-03;
301-443-3626

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Community Programs &
Centers;
PHS-ADAMHA-Mental Health-Management & Information

ABSTRACT: This contract extension is to provide technical assistance to Regional Offices for their providing self-evaluation information to States and local mental health projects. The first edition (EDC ID 0711) was prepared by the Langley Porter Institute. This revised and expanded edition is issued to help administrators to further strengthen this important aspect of program management which will increase public accountability and effectiveness of service delivery. The major additions have been in the areas of management information systems, and on the utilization review procedures on the Mental Health Demographic Profile System. The bibliography has been extensively revised to include many new references that became available between 1973 and 1975.

DESCRIPTORS: Mental Health, Research and Evaluation Planning and Administration, Health Planning, Technical Assistance, Evaluation by State and Local Governments, Needs and Needs Assessment, Management Information and Reporting

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0718 **PROJECT NO:** NIMH-75-12
FUND SOURCE: Evaluation **NTIS NO:** PB 271-289
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 278-75-0020

COST (In Thousands): FY 75-\$107

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: September '74 through July '77

0472

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Review of Community Mental Health Centers'
Evaluation Activity

REPORT TITLE: Not yet determined

PERFORMER: Philadelphia Health Management Corporation;
Philadelphia, Pennsylvania

PROJECT DESCRIPTIONS

AGENCY CONTACT: Woy, Richard J; Rm. 17C-05 PKLN;
301-443-3476

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health;
PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: This study will evaluate current CMHC evaluation activities to determine the nature, level and quality of such efforts in light of the requirements of PL 94-63.

DESCRIPTORS: Community Mental Health Centers, Research and Evaluation Performance, Management Techniques, Needs and Needs Assessment

EVALUATION TYPE: Program Impact

EDC ID NO: 1328 **PROJECT NO:** NIMH 77-7
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NIH 77-0067(OP)
COST (In Thousands): FY 77-\$107
PROJECT STATUS: Study in process
START/END DATES: September '77 through March '79

0473

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Classification of Risk Factors (Human Subjects)

REPORT TITLE: Risk Factors in Mental Health Research: A
Scheme for Classification and Analysis

PERFORMER: Herner and Company; 2100 M Street, N.W.;
Washington, D.C. 20037; Leyman, Edward

AGENCY CONTACT: Lann, Irma; Rm. 9C-08; 301-443-3104

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Research

ABSTRACT: This report classifies risk factors relevant to NIMH (or any social or clinical) research with human subjects. The product is a set of codes that (1) provide a framework for examining risk in research; and (2) can be applied to describe and analyze the current and future research programs of the NIMH in terms of risk. The report presents six general (and many specific) aspects of a study which might, singly or in combination, involve potential risk of harm: characteristics of subject such as age or sex, characteristics of experimenters such as profession, research procedures, interaction with subject such as recruitment, etc. The schema was calibrated on a series of actual research proposals. The schema contains no scales representing degree of risk, and is claimed to be useful for sensitizing researchers, identifying potentially risky projects in review of proposals, and in identifying information to require of prospective researchers in their applications. The report also claims that its schema can be used in original research on protection of human subjects. (Final report 97 pages).

DESCRIPTORS: Research and Evaluation Planning and Administration, Biomedical Research, Social Research, Indices and Taxonomies
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1206 **PROJECT NO:** NIMH 76-5
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 278-76-0007
COST (In Thousands): FY 76-\$45

PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: May '76 through December '76

0474

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Setting and Monitoring Standards in a State
Mental Health Agency

REPORT TITLE: Setting and Monitoring Standards in a State
Mental Health Agency

PERFORMER: Southern Regional Education Board; 130 Sixth St.
N.W.; Atlanta, Ga. 30313

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Management & Information

ABSTRACT: This is a report of the conference on "Setting and Monitoring Standards in the State Mental Health Agency" held in Atlanta Georgia on March 10-12, 1975. The purpose of the conference was to bring together state level program evaluators, representatives of state and community drug abuse and alcohol programs, developmental disabilities programs, and standards and licensing administrators and staff from the Southern Regional Education Board. This paper summarizes and is a record of the proceedings of the standards conference. The intended readers of this report are administrators of state mental health agencies dealing with program evaluation. (Final report 59 pages.)

DESCRIPTORS: Mental Health, Regulation and Standard Setting,
Conference Proceedings Feature
EVALUATION TYPE: Support

EDC ID NO: 0446

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: ADM-42-74-90

COST (In Thousands): FY 74-Not available

PROJECT STATUS: Study completed,
Executive summary at EDC,
Available from NTIS

START/END DATES: March '75 through June '75

PROJECT NO: ADAMHA-74-26

NTIS NO: PB 250-528

ERIC NO: Not available

0475

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Evaluation of Juvenile Offender Services in
CHMC's and Community Based Drug Treatment Facilities

REPORT TITLE: Special Report on Runaways: Second of Three
Volumes

PERFORMER: Arthur D. Little, Inc.; Acorn Park; Cambridge,
Mass

AGENCY CONTACT: Ahmed, Paul; Rm 1887, Pkln Bldg.
301-443-4550

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: This report is the combination of findings from site visits and a series of documents which have been gathered and written specifically for particular experience and expertise in the issues surrounding the runaway problem. The major findings of the report include 1) in communities with no runaway center, no agency takes the lead in coordinating and taking responsibility for services to deal with and help runaways; 2) in communities with no runaway center, the police and probation departments come closest to being the primary focus for handling runaways; 3) the ADAMHA funded service programs are sometimes involved in offering runaway services; 4) in 11 of the 15 sites visited, running away was believed to be primarily a local phenomenon; 5) Police and others in 10 of the 15 sites visited confirmed the impression that girls constitute the majority of runaways who come to their attention. Attachments include "Runaways and Runaway Programs in Massachusetts", and "Toward Comprehensive State Service System for Runaway Children." (Final report 67 pages)

DESCRIPTORS: Dropouts and Runaways, Crime, Social Services
Delivery Systems, Case or Site Study Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0442.1

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: HSM 42-73-81 (OP)

COST (In Thousands): FY 74 \$117

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: August '73 through December '74

PROJECT NO: NIMH 73-10-1

NTIS NO: PB 251-399

ERIC NO: Not available

0476

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Cost Study of CMHC and Related Programs

REPORT TITLE: Status of the Financing of Mental Health Services

PERFORMER: Robert Kalmanowitz

AGENCY CONTACT: Vischi, Thomas; Rm. 12C-26 PKLN;
301-443-4564

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: This report was used to organize a work group (NIMH) to study mental health financing issues in relationship to NHI. It is a compilation and analysis of cost data relating to financing of DMHCs. Data analysis stimulated further consideration of the major issues in MH financing and laid the groundwork for further activities, using non one percent funding. Copies of this report are available from NIMH, 301-443-3476.

DESCRIPTORS: Mental Health, Cost-Effectiveness Analysis Feature
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0665

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: Not yet determined

COST (In Thousands): FY 72-\$30

PROJECT NO: NIMH 72-29

NTIS NO: Not yet determined

ERIC NO: Not available

**Public Health Service
Alcohol, Drug Abuse and Mental Health Administration**

Accession No. 0479

PROJECT STATUS: Study completed
START/END DATES: May '72 through August '72

0477

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Evaluation of the Impact of Several CMHC's on
Their Catchment Area Population

REPORT TITLE: Structure of Interorganizational Relations of
Community Mental Health Centers: A Framework and
Methods of Data Collection and Analysis

PERFORMER: North Carolina Univ., Chapel Hill, N.C.; C.G.
Sheps

AGENCY CONTACT: Kramer, M; 301-443-3648

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: A 1-year exploratory study of the structure of interorganizational relations among community mental health centers (CMHC's) and other human service agencies is documented. An approach to the study of interorganizational relations is developed which treats such relations as interactions within a network of organizations. The analytical focus of this relational approach is on properties of the network of interagency relations, rather than on a single organization. Methods of quantifying such interactions for the overall CMHC and for subunits of the CMHC are presented and applied to two North Carolina CMHC's. The methods are based on graph-theoretic scaling techniques and multidimensional scaling techniques. Interaction data are then used to develop quantitative indexes of coordination and integration for the two sites. The conceptual framework and methods of data collection and analysis provide a basis for examining the determinants and consequences of integration and coordination in the interorganizational networks of CMHC's. Supporting data and documents, copies of study instruments, flow diagrams, and a selected bibliography are included. (Final report 278 pp).

DESCRIPTORS: Mental Health, Program Coordination, Statistical Analysis Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0451.1 **PROJECT NO:** NIMH 73-1

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HSM-42-72-120

COST (In Thousands): FY 72-Not available, FY 73-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: July '72 through July '74

0478

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Differential Development of Mental Health
Resources

REPORT TITLE: A Study of Deficiencies and Differentials in the
Distribution of Mental Health Resources in Facilities

PERFORMER: University of Maryland; College Park, Maryland

AGENCY CONTACT: Taube, Carl; NIMH; Rm. 18C-26 PKLN;
301-443-3343

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health

ABSTRACT: This study had three purposes: (1) to develop a conceptual and methodological framework within which the availability and adequacy of MH resources can be assessed; (2) develop a conceptual and methodological framework for assessing the MH needs of the catchment areas; (3) to use these frameworks developed to study the relationships between needs and resources. The report described the MH resources available in every catchment area of the country. The NIMH used these results to develop the five-year plan requested by the Congress for extension of CMHCs to the whole nation. It provides a factual basis for NIMH attempts to deal with the geographical mal-distribution of MH manpower.

DESCRIPTORS: Mental Health, Urban or Rural Places or Problems, Psychiatry and Psychology, Health Planning

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0709

PROJECT NO: NIMH-75-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 278-75-0028

COST (In Thousands): FY 75-\$108

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: June '75 through April '77

0479

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Study of Impacts of NIMH/Regional Office
Management and Quality Control Activities and Changes in
CMHCs

REPORT TITLE: Not yet determined

PERFORMER: Albert Einstein School of Medicine; New York,
New York

AGENCY CONTACT: Windle, Charles; Rm. 11C-03 PKLN;
301-443-3626

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health;
PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: This project will determine the types, extent, impact, and costs of past government administrative oversight and assistance procedures

DESCRIPTORS: Cost-Effectiveness Analysis Feature, Quality Assurance, Community Mental Health Centers

EVALUATION TYPE: Program Impact

EDC ID NO: 1335

PROJECT NO: NIMH 77-21

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 278-77-0075(OP)

COST (In Thousands): FY 77-\$45

PROJECT STATUS: Study in process
START/END DATES: September '77 through March '79

0480

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Study of the Effect of Mental Health Benefit in
Pre-Paid Medical Care Program

REPORT TITLE: None

PERFORMER: Group Health Association, Inc.; 2121 Pennsylvania
Ave., N.W.; Washington, D.C. 20037; G. Krantz
202-965-2000

AGENCY CONTACT: Goldberg, Irv; ADAMHA; 301-443-3364

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Management & Information;
PHS-OASH-Health Maintenance Organizations

ABSTRACT: This project purchased data analysis to determine the quantity and quality of mental health care provided by a pre-paid medical care organization and the effect of such care on its subscribers.

DESCRIPTORS: Mental Health, Medical Practice, Health Maintenance Organizations

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0672

PROJECT NO: NIMH 72-20

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-42-72-134

COST (In Thousands): FY 72-\$26

PROJECT STATUS: Study completed

START/END DATES: June '72 through June '73

0481

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Study of the Relationship Between Community
Mental Health Centers and State Mental Hospitals

REPORT TITLE: Study of the Relationship Between Community
Mental Health Centers and State Mental Hospitals

PERFORMER: Technical Systems Associates, Inc.; 29
Commonwealth Avenue; Boston, Massachusetts 02116

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Community Programs &
Centers;

PHS-ADAMHA-Mental Health-Management & Information

ABSTRACT: This study attempts to evaluate the interaction and cooperative possibilities between the Community Mental Health Centers and the Public State mental hospitals which were statistically analyzed to derive those factors which encouraged or hindered shared information and servicing. Eight appendices, along with bibliographies, are included with the breakdown and analyses of the data. (Final report 125 pages & appendices)

DESCRIPTORS: Mental Health, Hospitals, Survey or Questionnaire Feature, Handicapping Conditions and Problems, Health Care Delivery Systems, State or Local Administration, Services Integration

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0338

PROJECT NO: NIMH 70-3

FUND SOURCE: Evaluation

NTIS NO: PB 249-485

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-42-70-107

COST (In Thousands): FY 70-Not available

PROJECT STATUS: Study completed,

Available from EDC,

Available from NTIS

START/END DATES: April '70 through August '72

0482

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: A Study of the Ways In Which Community
Mental Health Center Services Are Integrated Into Other
Health Care Giving Functions

REPORT TITLE: A Study of the Ways In Which Community Mental
Health Center Services Are Integrated Into Other Health
Care Giving Functions

PERFORMER: Socio-Technical Systems Associates; 29
Commonwealth Avenue; Boston, Massachusetts 02116; Frank
Baker, Ph.D.

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Community Programs &
Centers;

PHS-ADAMHA-Mental Health-Management & Information;

PHS-HSA-Health Services-Community-Health Centers

ABSTRACT: This study evaluates, through 12 case studies, efforts made to integrate mental health services and other health agencies. It pinpoints factors which hinder integration and those which aid integration, along with presenting tentative recommendations for providing effective integration. (Final report 289 pages)

DESCRIPTORS: Case or Site Study Feature, Literature Review Feature, Services Integration, Mental Health, Health Care Delivery Systems, Comprehensive Health Care, Program Coordination, State or local Administration

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0337

PROJECT NO: NIMH 71-5

FUND SOURCE: Evaluation

NTIS NO: PB 250-766

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-42-71-88

COST (In Thousands): FY 71-\$82

PROJECT STATUS: Study completed,

Available from EDC,

Available from NTIS

START/END DATES: July '71 through August '73

0483

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Evaluation of the NIMH Data Analysis System for the Early Clinical Drug Evaluation Unit (ECDEU) Program

REPORT TITLE: A Summary of An Evaluation of Early Clinical Drug Evaluation Unit (ECDEU)/BLIPS

PERFORMER: Research Foundation for Mental Hygiene, Inc.; Information Science Division; Rockland Research Institute; Orangeburg, N.Y. 10962

AGENCY CONTACT: Levine, Dr. J.; 301-443-310

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Research

ABSTRACT: The Early Clinical Drug Evaluation Unit of NIMH has collaborated with George Washington U. to produce a Biometric Laboratory Information Processing Systems (BLIPS). The purpose of BLIPS is to provide a full service for clinical trials research involving psychopharmacology, including forms, statistical analysis procedures, manuals, consultation, etc. This project was to evaluate the system, and involved review of documents, expert consultation, and a field inquiry to users. While in many respects BLIPS was found useful, the study found that it had major problems, including lack of intelligible documentation for users (no single users manual), a relatively inflexible system, a general scoring sheet which was difficult to use both mechanically and intellectually, lack of any generalized retrieval capability allowing cross-study comparisons, etc. BLIPS handles about 15% of all studies conducted in its areas, and the Lab is how underutilized. Most sophisticated investigators use other systems, but BLIPS is used at least occasionally by about half of all investigators. BLIPS is insufficient for documenting new drug applications to the FDA, but most NDA's contain at least one BLIPS study. At present BLIPS is free to users; the study recommends initiation of user charges at a fair market value and letting its responsiveness to users determine its success. A number of operational recommendations are made. (Final report 257 pages).

DESCRIPTORS: Biomedical Research, Clinical Trials Feature, User Charges, Statistical Analysis Methods Feature, Drugs Therapeutic and Devices, Demonstration Projects, Technical Assistance, Survey or Questionnaire Feature, Research and Evaluation Methods Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0509

PROJECT NO: NIMH 75-19

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 278-75-0026

COST (In Thousands): FY 75-\$25

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: June '75 through November '75

0484

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Treatment Goals, Utilization and Cost Differentials in Single vs. Dual Systems for Long Term Care of Mentally Disabled

REPORT TITLE: Not yet determined

PERFORMER: Kentucky Department of Mental Hygiene through National Institutes of Mental Health

AGENCY CONTACT: Turner, Judy; 301-443-3653

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Community Programs & Centers

ABSTRACT: The project will investigate the transfer of a State of Kentucky mental health hospital to the management of a citizens corporation. This hospital, located in the Louisville area, presents a unique opportunity to study the effectiveness of this new approach. Factors under evaluation will include the ability of the citizen's corporation to administer the integrated mental health delivery system; problems encountered; costs of the system; cost of the experimental approach; ability of the new system to find and develop alternate care facilities for the chronically ill; and ability of the new system to reduce inappropriate admissions to State mental hospitals and reduce current resident population in these hospitals.

DESCRIPTORS: Long Term Health Care, Mental Health, Hospitals, State or Local Administration, Handicapping Conditions and Problems, Case or Site Study Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0651

PROJECT NO: NIMH 75-23

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: Not yet determined

COST (In Thousands): FY 74-\$75

PROJECT STATUS: TExecutive summary at EDC,
Available from ERIC,
mStudy in process,
Available from NTIS,
atExecutive summary at EDC,
d

START/END DATES: March '75 through June '77

0485

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Trends in American Mental Health Care

REPORT TITLE: Trends in Mental Health: 1950, 1975

PERFORMER: Yale University; New Haven, Connecticut

AGENCY CONTACT: Liptzin, Dr. Benjamin; Rm. 17C-05 PKLN; 301-443-3476

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health;

PHS-ADAMHA-Mental Health-Management & Information

ABSTRACT: This study analyzed data collected in South Central Connecticut from 1950 to 1975 and compared treatment patterns, services, utilization, manpower, and equity, for assessing policy implications of 1975, compared to that of 1950.

DESCRIPTORS: Health Planning, Information Systems, Statistical Analysis Methods Feature, Trends and Forecasts, Mental Health
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1334

PROJECT NO: NIMH 77-20

FUND SOURCE: Salaries and Expenses

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 278-77-0072(OP)

COST (In Thousands): FY 77-\$71

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: July '77 through July '78

0486

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Two State Collaborative Study of Mental Health
Treatment Outcome Systems (State of Oregon)

REPORT TITLE: Not yet determined

PERFORMER: State of Oregon; Mental Health Division;
Department of Human Resources; Salem, Oregon

AGENCY CONTACT: Light, Enid; Rm. 17C-05 PKLN;
301-443-3476

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health;
PHS-ADAMHA-Mental Health-Management & Information

ABSTRACT: Treatment outcome data from CMHCs and other MH facilities potentially can be used by States to assess the differential impact of diverse programs and to monitor the quality at similar facilities and programs. Oregon and Washington have already completed a significant amount of development work in the area of statewide CMHC treatment outcome systems but have chosen different methodological approaches to securing information. This study will develop a methodological approach to statewide CMHC outcome systems. The process will include: (1) refining and developing training packages and data collection mechanisms; (2) test materials developed and established norms for clients as admission in treatment and follow-up; and (3) finalize all training materials, data collection procedures, strategies, etc.

DESCRIPTORS: Field Test Feature, Evaluation by State and Local Governments, Community Mental Health Centers, Statistical Data Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1331

PROJECT NO: NIMH 77-15

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 278-77-0029(OP)

COST (In Thousands): FY 77-\$58

PROJECT STATUS: Study in process

START/END DATES: June '77 through June '79

0487

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Two State Collaborative Study of Mental Health
Treatment Outcome Systems (State of Washington)

REPORT TITLE: Not yet determined

PERFORMER: State of Washington; Department of Social and
Health Services; P.O. Box 1788; Olympia, Washington 98504

AGENCY CONTACT: Light, Enid; Rm. 17C-05 PKLN;
301-443-3476

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health;
PHS-ADAMHA-Mental Health-Management & Information

ABSTRACT: Treatment outcome data from CMHCs and other MH facilities potentially can be used by States to assess the differential impact of diverse programs and to monitor the quality at similar facilities and programs. Oregon and Washington have already completed a significant amount of development work in the area of state-

wide CMHC treatment outcome systems but have chosen different methodological approaches to securing information. This study will develop a methodological approach to statewide Community Mental Health Centers outcome systems. The process will include: (1) refining and developing training packages and data collection mechanisms; (2) Test materials developed and establish norms for clients as admission in treatment and follow-up; and (3) finalize all training materials, data collection procedures, strategies, etc.

DESCRIPTORS: Field Test Feature, Information Systems, Evaluation by State and Local Governments, Community Mental Health Centers, Statistical Data Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1330

PROJECT NO: NIMH 77-14

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: NIMH 278-77-0030(OP)

COST (In Thousands): FY 77-\$58

PROJECT STATUS: Study in process

START/END DATES: June '77 through June '79

0488

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Social Outcomes of NIMH-Sponsored Research
in Aid of Assessment of Pre-Trial Competency

REPORT TITLE: The Use of NIMH-Supported Research on
Competency to Stand Trial in Four States

PERFORMER: Contract Research Corporation; 25 Flanders Road;
Belmont, Massachusetts 02178

AGENCY CONTACT: Lalley, Tom; Rm. 18C-04 PKLN;
301-443-3728

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health;
PHS-ADAMHA-Mental Health-Research

ABSTRACT: This project evaluated social outcomes that were previously developed (an NIMH grant, A. Lans McGarry, Harvard, 1966-74 developed two new types of clinical instruments for use by legal and MH professionals to assess competency to stand trial) to provide more legally relevant, efficient, and cost-effectiveness means for assessing competency to stand trial in the States of Tennessee, North Carolina, West Virginia, and Ohio. The study found that a statewide decentralization effort affecting the mental health delivery system creates the most thorough-going climate for change by spreading the responsibility for competency determination throughout the state system. Therefore, a need is thus created for instructional programs to turn professionals and paraprofessional throughout the system into examiners of competency capable of meeting the standards of the legal process. In places where decentralization has not proceeded very far, conditions for change in a competency instrument are likely to depend on the need or enthusiasm of particular individuals. In the future, more states will take steps to deinstitutionalize their forensic services as part of the overall deinstitutionalization of the MH system. Therefore, this study threw considerable light on implementation methods used. Further assessment, though, needs to be made in the state of Tennessee. (Final report 205 pages.)

DESCRIPTORS: Research and Evaluation Performance, Clinical Trials Feature, Mental Health, Health Care Delivery Systems,
EVALUATION TYPE: Place Project or Technique

**Public Health Service
Alcohol, Drug Abuse and Mental Health Administration**

Accession No. 0491

EDC ID NO: 1329 **PROJECT NO:** NIMH 77-11
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NIMH 278-77-0068(OP)
COST (In Thousands): FY 77-\$81
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: September '77 through September '78

0489

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Utilization and Costs of Caring for the
Chronically Ill in Prepaid Group Practice Programs

REPORT TITLE: Utilization and Costs of Caring for the Chronically
Ill in Prepaid Group Practice Programs

PERFORMER: The American Public Health Association;
Washington, D.C.; Howard R. Hunter

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Social Services V-Many HEW
Programs-Health V-Non-HEW Programs-Health

ABSTRACT: This study was designed to examine the utilization of treatment services in the interface between medical care and mental health care delivery with one particularly vulnerable group in society, the chronically mentally ill. It illustrates some of the strengths and weaknesses of five currently operating HMOs in the treatment of the mentally ill. One strength that was clearly demonstrated was the ability of the HMOs to identify and treat patients of all kinds, including chronically mentally ill patients. A major weakness within the current HMOs that is clearly demonstrated by this study is the lack of uniform data which would allow for comparisons of various HMOs. Therefore, the need exists for HMOs to collaborate on establishing standard definitions and a uniform data system. As national health insurance becomes a reality, important recommendations were made: 1) the continuation of demonstration projects which promote care for the chronically mentally ill; 2) projects that develop and refine predictive indices of pathology and utilization; 3) integration of health, mental health, social support and income maintenance services to vulnerable groups; 4) standards for supportive services; 5) coordination of ADAMHA services; and 6) NIMH monitoring of all NHI proposals. (Final report 262 pages.)

DESCRIPTORS: Mental Health, Health Maintenance Organizations, Health Care Delivery Systems, Comprehensive Health Care, Handicapping Conditions and Problems, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC NO: 0445
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract 13
Project No: NIMH 74-24 **ERIC NO:** Not available
CONTRACT NO: ADM-42-74-80 (OIP)
COST (In Thousands): FY -Not available
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: July '74 through June '75

PROJECT DESCRIPTIONS

0490

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Conduct Conference Workshops on the Use of
Evaluation for Fulfilling Accountability Demands

REPORT TITLE: What Do Our Mental Health Dollars Buy? The
WICHE Accountability Plan

PERFORMER: Western Interstate Commission for Higher
Education; P.O. Drawer P; Boulder, Colorado 80302
Alexander, Joe

AGENCY CONTACT: Light, Enid; Rm 17C-05 Pkin; 301-443-3476

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: A variety of legitimately concerned "public," (the general public, the consumers of services, the courts, governors, and other officials, and various legislative bodies) have begun placing programmatic and fiscal accountability demands on the MH service delivery system. In most States, the State MH Authority (SMHA), has become the focal pressure point for all issues of accountability directed towards the total State MH system, including CMHCs. In an effort to assist States facing this continued escalation of accountability demands, NIMH contracted with WICHE, an inter-State compact organization of the 13 Western States, to study the ways in which existing evaluation methods and activities could be used to meet the accountability needs of these states. This project, which focused on accountability issues and processes at the State level. These components are also compatible with NIMH's MH Statistics Improvement Program. During the next year, WICHE, under NIMH contract, will further develop and expand the accountability process to include the local level. (Final report 26 pages)

DESCRIPTORS: Mental Health, State or Local Administration, Conference Proceedings Feature, Technical Assistance, Evaluation by State and Local Governments

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1213 **PROJECT NO:** NIMH-76-14
FUND SOURCE: Evaluation **NTIS NO:** PB 280-147
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 278-76-0091
COST (In Thousands): FY 76.1-\$96
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: September '76 through January '78

0491

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Evaluation of Juvenile Offender Services in
CMHCs and Community-Based Drug Treatment Facilities

REPORT TITLE: Who can Help? Proceedings of a Conference on
the Role of the Mental Health System in Helping Juvenile
Offenders

PERFORMER: Arthur D. Little, Inc.; Acorn Park; Cambridge,
Mass

AGENCY CONTACT: Ahmed, Paul; Rm 1887 Pkin Bldg;
301-443-4550

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental-Community Programs & Centers

ABSTRACT: This volume is made up of the papers presented at the Conference on the Role of the Mental Health System in Helping Juvenile offenders. The conference was sponsored by NIMH, and it focused on one of the primary alternatives to correctional institutions--the mental health system. The conference was an outgrowth of the NIMH study entitled "Evaluation of Services offered by CMHCS and ADAMHA funded Drug and Alcohol Programs to Juvenile Delinquents (performed under same contract as this study). The purpose was to highlight some of the findings from sponsored research, and to set the stage more generally to inform mental health and criminal justice personnel as to the range of diversionary programs and approaches involving the mental health system, and which require collaborative efforts between that system and juvenile justice. The conference explored these issues and describes program models in the mental health system which reach and treat the juvenile offender. (Final report 162 pages):

DESCRIPTORS: Dropouts and Runaways, Crime, Mental Health, Social Services Delivery Systems, Social Research

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0442.2 **PROJECT NO:** NIMH 73-10-2

FUND SOURCE: Evaluation **NTIS NO:** PB 249-516

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HSM-42-73-81 (OP)

COST (In Thousands): FY 74 - \$117

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: June '73 through September '74

0492

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Development of Feasible, Balanced Models for
CMHC Local Program Evaluation

REPORT TITLE: A Working Manual of Simple Program Evaluation
Techniques for Community Mental Health Centers

PERFORMER: Arthur D. Little, Inc.; Acorn Park; Cambridge, MA
02140

AGENCY CONTACT: Windle, Charles D.; Rm 11C-03, Parklawn;
301-443-3626

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Community Programs &
Centers;
PHS-ADAMHA-Mental Health-Management & Information

ABSTRACT: CMHCs are expected to choose from various available evaluation possibilities those most important to improve their own operations. The purpose of this manual, therefore, is to assemble in convenient form a variety of techniques and approaches to program evaluation, useful to CMHCs as they extend their evaluation efforts under P.L. 94-63. The manual presents concepts and administrative materials first, then reviews several basic tools either preliminary or ancillary to program evaluation. It next takes up a series of technical topics in program evaluation: outcome studies, cost effectiveness analysis, evaluation of consultation and education, and quality assessment. The final section is on citizen review of CMHCs. The organization of the manual is meant to be compatible with a variety of

developmental strategies for program evaluation: opportunism, rational planning of the management information system and its uses, or strategy based on program improvement (Final report 404 pages).

DESCRIPTORS: Evaluation by State and Local Governments, Research and Evaluation Planning and Administration, Technical Assistance, Mental Health, Citizen Participation

EVALUATION TYPE: Support

EDC ID NO: 0493

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: 278-75-0031

COST (In Thousands): FY 75-\$78

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: June '75 through June '76

PROJECT NO: NIMH 75-3

NTIS NO: SHR-000-1889

ERIC NO: Not available

0493

AGENCY SPONSOR: Public Health Service
Alcohol, Drug Abuse and Mental Health
Administration
National Institute of Mental Health

PROJECT TITLE: Evaluation of NIMH Final Reporting System for
Research Grants

REPORT TITLE: 1) Evaluation of NIMH Final Reporting System
for Research Grants, 2) An Assessment of Research
Monitoring Procedures and Practices

PERFORMER: Macro Systems, Inc.; 1110 Fidler Lane; Silver
Spring, Md. 20910 Anglin, Carol

AGENCY CONTACT: Lorj, Rebecca; Rm. 9C-08, PKLN;
301-443-3104

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Research;
PHS-ADAMHA-Mental Health-Management & Information

ABSTRACT: In 1975, NIMH's Division of Extramural Research Programs (DERP) developed and implemented Final Report Guidelines for the principal investigator's use in reporting research results and activities. These guidelines were implemented to achieve the following goals: (1) Provide an identifiable end product for all DERP terminating grants; (2) Capture essential elements of the research process and outcome in a standardized format; (3) Achieve uniformity in size, content, and level of technical exposition; and (4) increase the rate of return of final reports. The contract was supplemented in Sept. 1977, in order to assess the needs of one of the DSMHP centers (the Center for Metropolitan Problems) in the specific area of grant monitoring procedures. This supplemental study responded to one of the major findings in the initial study which documented the questionable utility of the FRG for the centers in the DSMHP. The supplemental report is entitled "An Assessment of Research Grant Monitoring Procedures and Practices." It presents description of grant monitoring and final report procedures used in selected research programs outside of NIMH; these programs were selected on the basis of their resemblance to the research focus of the Metro Center as opposed to that of the DERP programs. The supplemental report is available at EDC. The final and supplementary reports provide recommendations on operational, system, and policy issues.

DESCRIPTORS: Mental Health, Grant and Contract Management, Research and Evaluation Planning and Administration, Management Information and Reporting

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1208
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: 278-76-0045
COST (In Thousands): FY 76-\$49, FY 77-\$11
PROJECT STATUS: Study completed
START/END DATES: June '76 through December '77

PROJECT NO: NIMH 76-10
NTIS NO: Not yet determined
ERIC NO: Not available

COST (In Thousands): FY \$89
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC
START/END DATES: September '78 through July '79

CENTER FOR DISEASE CONTROL

0494

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: Evaluation of VDCD Data Sets and Data
Strategy for Policy Making

REPORT TITLE: Analysis and Recommended Alternatives for
VDCD Information Systems

PERFORMER: Mariscal and Company; 1054 Thomas Jefferson St.,
N.W. Washington, D. C. 20007

AGENCY CONTACT: Sumner, Andrew, Sc.D.; Room 2035,
Building 1; 404-329-3243

PROGRAM(S) EVALUATED:
PHS-CDC-Preventive Health-Disease Control-Veneral
Disease Grants

ABSTRACT: The objectives of this study were to analyze existing Veneral Disease Control Division's (VDCD) data sets, identify problems with the data sets, identify the uses of the data sets, and develop alternative strategies for improved collection and use of data in the future. VDCD information needs were identified by analyzing the objectives of VDCD and conducting extensive discussion with key members of VDCD staff. The information needs were identified and grouped into four basic information categories: incidence, trends and effects of sexually transmitted diseases (STDs) on the population; planning and technical assistance; monitoring and evaluating the effectiveness of program activities; and research and development. Data which should be collected to estimate the incidence of disease from cases reported are: number of cases diagnosed; the number of people in the service area; the number of diagnosticians from which the sample was obtained; and the number of cases diagnosed per diagnostician. Alternatives for VDCD have been recommended to evaluate which will significantly improve the effectiveness of the information system to meet VDCD's current and projected information needs. The feasibility and pilot studies recommended will allow VDCD management to determine the level of confidence and resources required to maintain an information system which will allow VDCD and project areas to effectively manage STD control programs.

DESCRIPTORS: Statistical Data Feature, Veneral Disease, Information Processing, Research and Evaluation Methods Feature
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1649
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: 200-78-0847

PROJECT NO: CDC-78-004
NTIS NO: Not yet determined
ERIC NO: Not available

0495

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: Analysis of Data from the Hospital Interview
Survey of SENIC

REPORT TITLE: Not yet determined

PERFORMER: UCLA; Los Angeles, CA

AGENCY CONTACT: Sumner, Andrew, Sc.D.; Room 2035,
Building 1; 404-329-3243

PROGRAM(S) EVALUATED:
PHS-CDC-Preventive Health-Disease Investigation &
Surveillance

ABSTRACT: To process and analyze data from the Hospital Interview Survey of the "Study on Efficacy of Nosocomial Infection Control (SENIC). See also EDC ID No. 0702.

DESCRIPTORS: Hospitals, Research and Evaluation Performance,
Communicable Disease

EVALUATION TYPE: Program Impact

EDC ID NO: 1652

PROJECT NO: CDC-78-101

FUND SOURCE: Evaluation

NTIS NO: Not available

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 200-76-0600

COST (In Thousands): FY 78-\$200, FY 79-\$100

PROJECT STATUS: Study in process

START/END DATES: April '78 through January '80

0496

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: Childhood Lead Poisoning Prevention Program:
An Evaluation

REPORT TITLE: Childhood Lead Poisoning Prevention Program:
An Evaluation

PERFORMER: F. D. Kennedy, Ph.D.; Preventive Medicine and
Community Health Program; Emory University; Atlanta,
GA

AGENCY CONTACT: Communicable Disease Center, Atlanta, Ga.

PROGRAM(S) EVALUATED:
PHS-CDC-Preventive Health-Disease Control

ABSTRACT: Childhood lead poisoning prevention programs are evaluated in relation to intentions specified in Title I of the Lead-Based Poisoning Prevention Act. Sources of data in the evaluation were legislative directives in Title I of the Lead-Based Paint Poisoning Prevention Act, Guidelines and statements distributed by the Center for Disease Control to assist in setting operational objectives for federally assisted childhood lead poisoning prevention programs, a survey of 16 programs to determine program activity levels and changes in the health status of children identified as having lead poisoning or undue lead absorption, quarterly activity reports submitted to the Center for Disease Control by the 16 programs, and a survey of funded programs to determine the use of Federal funding sources. A study by the National Bureau of Standards in the late

1960's indicated that the number of deaths due to lead poisoning was 200 per year. During 1967 and 1977, some 15 local communities in conjunction with the Center for Disease Control screened 2,735 children living in high risk areas (old deteriorating neighborhoods). A total of 182 were found with undue lead absorption. Legislative directives of the Lead-Based Paint Poisoning Prevention Act, as amended in 1976, stipulate that local programs include education intensive community testing programs, intensive followup programs, and opportunities for employing residents of communities affected by lead-based paint poisoning.

DESCRIPTORS: Maternal and Child Health, Morbidity and Mortality, Environmental Health, Preventive Health Care, Children and Youth
EVALUATION TYPE: Program Impact

EDC ID NO: 0703 **PROJECT NO:** CDC 78-008
FUND SOURCE: Evaluation **NTIS NO:** SHR 000-3096
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT NO: Not available
COST (In Thousands): Not available
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: Unknown start date through August '78

0497

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: Data Processing and Analysis for the Study on the Efficacy of Nosocomial Infection Control (SENIC)

REPORT TITLE: Not yet determined

PERFORMER: University of North Carolina; Chapel Hill, North Carolina

AGENCY CONTACT: Sumner, Andrew, Sc.D.; Room 2035, Building 1; 404-329-3243

PROGRAM(S) EVALUATED:
PHS-CDC-Preventive-Health-Disease Investigation & Surveillance

ABSTRACT: To evaluate the impact of CDC's national program to control nosocomial infections in U.S. hospitals. The study involves measuring and comparing the infection surveillance and control program implemented in the 1970's following CDC's national intervention with charges of nosocomial infection rates between 1970 and 1976 in a statistical sample of U.S. hospitals. See also EDC, 0702.

DESCRIPTORS: Hospitals, Research and Evaluation Performance, Communicable Disease
EVALUATION TYPE: Program Impact

EDC ID NO: 1651 **PROJECT NO:** CDC-78-100
FUND SOURCE: Evaluation **NTIS NO:** Not available
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 200-77-0705
COST (In Thousands): FY 78-\$1750, FY 79-\$450
PROJECT STATUS: Study in process
START/END DATES: April '78 through January '80

0498

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: Evaluation of Alternative Methods of Delivering Venereal Disease Education

REPORT TITLE: Development of a Methodology to Evaluate VD Education in the Nation's Schools

PERFORMER: Automated Services, Inc; Washington, D.C. 20015

AGENCY CONTACT: Kerr, Miller; CDC BLDg 1, Rm 2055; 404-633-3311

PROGRAM(S) EVALUATED:
PHS-CDC-Preventive Health-Disease Investigation & Surveillance

ABSTRACT: The intent of this study was to develop a methodology for evaluating alternative methods of delivering venereal disease education in the Nation's schools. The methodology consisted of a questionnaire which tested the students' knowledge, attitudes, and behavior toward the prevention, detection, and treatment of VD. A pilot test of the methodology was conducted in nine schools in six States. The results of this study indicate that nationwide implementation of the methodology would be inadvisable due to difficulties in obtaining a representative sample of schools and students and measuring behavior while preserving individual privacy. (Final report 73 pages).

DESCRIPTORS: Preventive Health Care, Venereal Disease, Privacy and Confidentiality, Consumer Education, Secondary Education, Survey or Questionnaire Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0514 **PROJECT NO:** CDC 74-8
FUND SOURCE: Evaluation **NTIS NO:** SHR-0001361
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: CDC 21-74-532
COST (In Thousands): FY 76-\$9
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: June '74 through August '76

0499

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: Effectiveness of Proficiency Testing in Cytology in Relation to Early Detection of Uterine Cancer

REPORT TITLE: Effectiveness of Cytologic Screening on Reducing Cervical Cancer Morbidity and Mortality

PERFORMER: School of Public Health; UCLA; Los Angeles, CA; Elizabeth Stern

AGENCY CONTACT: Rochat, Roger; Tolsma, Dennis

PROGRAM(S) EVALUATED:
PHS-CDC-Preventive Health-Program Direction & Management;
PHS-CDC-Preventive Health-Disease Control

ABSTRACT: The Impact of cervical cytologic screening on cancer morbidity and mortality is assessed. Emphasis is placed on methods for carrying out a screening program and for evaluating the impact of screening and on a plan for implementation in which operational aspects of a screening program are defined in relation to identified high risk target communities. Study results suggested that, with careful planning, a cervical cancer screening program is feasible. References on cervical cancer were assembled and utilized in developing

the proposed screening program methodology and are included in a bibliography. Appendices contain forms used in the household survey and feasibility study and information on feasibility study units (Final report 208 pages plus Appendix of 74 pages).

DESCRIPTORS: Morbidity and Mortality, Cancer, Survey or Questionnaire Feature, Preventive Health Care, Feasibility Study Feature, Bibliography Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0479

PROJECT NO: CDC-74-03

FUND SOURCE: Evaluation

NTIS NO: PB-256-298

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: PHS-CDC-21-74-550

COST (In Thousands): FY 74-\$161

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '74 through September '75

0500

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: The Effect of Discontinuing Categorical Project Grants for Tuberculosis Control

REPORT TITLE: Effects of Discontinuing Categorical Project Grants for Tuberculosis Control-A Pilot Study

PERFORMER: Geomet, Inc; 15 Firstfield Road; Gaithersburg, Md. 20760

AGENCY CONTACT: Kerr, Mike and Axnick, N.W.

PROGRAM(S) EVALUATED:

PHS-CDC-Preventive Health-Disease Control;

PHS-CDC-Preventive Health-Program Direction & Management

ABSTRACT: This study evaluates the effect of discontinuing categorical grants for state and local tuberculosis control programs. This discontinuation was a result of the "Partnership for Health Act" of 1966 which supplants block grants for categorical. The pilot study focused on nine selected sites and addressed the feasibility of relating measures of the changing Federal fiscal role to measures of change in other funding roles as well as change in the provisions of tuberculosis services during the study years (1965, 1969, 1973). The study found that state plans required for submission under the Partnership for Health Act are not representative of the commitment to tuberculosis control and that the change in funding mechanism did not affect total expenditures for tuberculosis control nor decrease performance at the nine sites. The study recommended annual quality and outcome oriented reporting of state and/or local health services to CDC. It also recommended that the Center for Disease Control maintain its central and HEW regional office state responsible for tuberculosis control and that CDC develop impact measures for tuberculosis control. (Final report 157 pages)

DESCRIPTORS: Statistical Analysis Methods Feature, Communicable Disease, State or Local Administration, Grants-in-Aid, Preventive Health Care

EVALUATION TYPE: Program Impact

EDC ID NO: 0372

PROJECT NO: CDC-74-9

FUND SOURCE: Evaluation

NTIS NO: PB 245-324

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: CDC-21-74-539

COST (In Thousands): FY 74-\$51

PROJECT DESCRIPTIONS

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '74 through November '74

0501

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: Validation of Data Used in Evaluating National Immunization Status

REPORT TITLE: Estimation of Community Immunization Levels

PERFORMER: Department of Preventative Medicine and Environmental Health; College of Medicine; University of Iowa

AGENCY CONTACT: Axnick, Norman; CDC; Atlanta, GA

PROGRAM(S) EVALUATED:

PHS-CDC-Preventive Health-Disease Control-Immunization Grants

ABSTRACT: The purpose of this study was to assess the accuracy of Immunization Survey (USIS) to determine the reliability of results. USIS is sponsored by the Center for Disease Control (CDC) and is conducted each September as a supplement to the Current Population Survey of the U.S. Census Bureau. Approximately 30,000 randomly selected households are surveyed and data are collected on immunization status and history of infection for each person under 14. Methods of procedure for assessment included: literature review and personal contact for independent immunization surveys to compare to the USIS; USIS design and methodology examination; review of biological and social factors pertinent to vaccination history; and statistical analysis of national reporting. The reviewing group concluded that the single most crucial element with respect to the maximum utilization of USIS and to fulfillment of its specific recommendations was the active participation of CDC personnel with expertise in statistics. (Final report 90 pages plus 7 appendices and synopsis)

DESCRIPTORS: Communicable Disease, Preventive Health Care, Literature Review Feature, Statistical Analysis Methods Feature, Statistical Data Feature, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0436

PROJECT NO: CDC 74-5

FUND SOURCE: Evaluation

NTIS NO: PB 249-525

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: CDC-21-74-545

COST (In Thousands): FY 75-\$156

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: July '74 through July '75

0502

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: Evaluation of the Effectiveness and Impact of the Urban Rat Control Program

REPORT TITLE: Not yet determined

PERFORMER: Systems Research and Development Corporation;
P.O. Box 12221; Research Triangle Park, North Carolina
27709

AGENCY CONTACT: Stange, Paul; Room 2035, Building 1;
404-329-3243

PROGRAM(S) EVALUATED:
PHS-CDC-Preventive Health-Disease Control-Rat Control
Grants

ABSTRACT: To determine the impact and effectiveness of the program and to develop and analyze alternatives for program improvements.

DESCRIPTORS: Statistical Data Feature, Research and Evaluation Performance, Preventive Health Care, Urban or Rural Places or Problems

EVALUATION TYPE: Program Impact

EDC ID NO: 1653 **PROJECT NO:** CDC-78-001
FUND SOURCE: Evaluation **NTIS NO:** Not available
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 200-78-0819
COST (In Thousands): FY 78-\$47
PROJECT STATUS: Study in process
START/END DATES: September '78 through February '79

0503

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: Evaluation of the Effectiveness of the NIOSH
Health Hazard Evaluation Program

REPORT TITLE: An Evaluation of the Effectiveness of NIOSH's
Health Hazard Evaluation Program

PERFORMER: Urban Systems Engineering; Cambridge,
Massachusetts

AGENCY CONTACT: Kolsky, Kenneth; Room 8A09; Parklawn
Building; 202-443-4346;

PROGRAM(S) EVALUATED:
PHS-CDC-Preventive Health-Occupational Health Research
& Training

ABSTRACT: This report was designed to evaluate the Health Hazard Evaluation Program, and to develop some techniques for an ongoing program evaluation. Program evaluations, such as the one undertaken in this project, must begin with a review of the program's goals. The Health Hazard Evaluation Programs has a number of explicit objectives, including: 1) providing a service or technical assistance to employer and employee requestors in determining the existence of toxic hazards in the workplace; 2) generating information to support the standard setting process and the research community concerned with health effects; and 3) improving conditions in the workplace through recommendations for the reduction and elimination of toxic hazards. The study, in general, found that the Health Hazard Evaluation (HHE) program, as conducted by the NIOSH, has provided satisfactory technical assistance in evaluating toxic conditions in the workplace. The majority of the respondents reported improvements in working conditions, achieved through compliance with NIOSH recommendations. For approximately half of the HHEs reviewed, the requests came from large plants, which indicates that the program is not reaching the small establishments which represents the majority of American workplaces. Further, employee requestors in the small plants report dissatisfaction with the program more frequently than their counterparts in large plants.

DESCRIPTORS: Survey or Questionnaire Feature, Technical Assistance, Research and Evaluation Performance, Safety

EVALUATION TYPE: Program Impact

EDC ID NO: 1657 **PROJECT NO:** CDC-78-010
FUND SOURCE: Not yet determined **NTIS NO:** Not available
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 210-78-0013
COST (In Thousands): FY 78-\$85
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC
START/END DATES: April '78 through May '79

0504

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: Evaluation of the NIOSH Query and Answer
Technical Information Service

REPORT TITLE: An Evaluation of the National Institute for
Occupational Safety and Health Query and Answer
Technical Information Service

PERFORMER: Arthur D. Little, Inc.; Acorn Park; Cambridge,
Mass. 02140

AGENCY CONTACT: Oser, James; Kolsky, Kenneth; 301-443-4364

PROGRAM(S) EVALUATED:
PHS-CDC-Preventive Health-Occupational Health Research
& Training;

ABSTRACT: The Query and Answer Technical Information Service handles mail inquiries for the NIOSH Technical Information Service Branch. This study was designed to meet the following objectives: improve understanding of the present market, the people who request assistance from the Technical Information Service Branch (TISB); evaluate the requestor satisfaction; evaluate the effectiveness of the information; evaluate different methods of answering a request; and recommend ways TISB can improve its services. In order to accomplish these objectives, several phases of data collection was done concurrently. The primary emphasis was devoted to the design and development of a telephone survey of people who had made requests of TISB. The survey design was preceded by: examination of TISB and of other information services, derivation of the sample from TISB log and letter files, and the generation of hypotheses to be tested. The study found that the requestors who ask for TISB information is writing in connection with his job, college-educated; and is defined (by TISB) as either an industrial manager or a private citizen--seeking information about health hazards in regard to chemicals. Those who have contacted TISB are satisfied with the services. They are most satisfied when they receive a pamphlet or a report (as compared to a letter or bibliography). Increased use of pamphlets should decrease TISB reply time. NIOSH should increase the amount of publicity given to TISB. (Final report 115 pages.)

DESCRIPTORS: Information Dissemination, Information Processing, Technical Assistance, Consumer Evaluation Feature, Safety, Statistical Data Feature, Preventive Health Care

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0331 **PROJECT NO:** NIOSH 72-5
FUND SOURCE: Evaluation **NTIS NO:** PB 240-650
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSM-99-72-113
COST (In Thousands): FY 72-\$35

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: June '72 through May '73

0505

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: NIOSH Training Grant Program Evaluation

REPORT TITLE: Evaluation of the National Institute for
Occupational Safety and Health Training Grant Program
PERFORMER: General Research Corp; McLean, VA; Howard C.
Olson

AGENCY CONTACT: Thelen, David S.; Kolsky, Ken; 404-633-3311

PROGRAM(S) EVALUATED:

PHS-CDC-Preventive Health-Occupational Health Research
& Training;
PHS-CDC-Preventive Health-Health Education

ABSTRACT: An Evaluation of the National Institute for Occupational Safety and Health (NIOSH) training grant program is provided. The evaluation is concerned with the extent to which NIOSH-assisted students have chosen the occupational safety and health (OSH) field for employment, the activities in which they are engaged, and the impact of these activities in contributing to the prevention of occupational injury and disease. Data was obtained from a mailed questionnaire to all trainees who had received grant assistance from 1969 to 1974, and from a questionnaire sent to employers of all former trainees. In addition, site visits were made to nine grantee institutions where program directors provided summarized information about the grant program. Survey responses indicated that 78 percent of the trainees were employed. In terms of the effect of grant assistance on OSH, it was found that about 80 percent of former trainees are in OSH. It was concluded that without NIOSH grant funds to stimulate interest and strengthen training, the numbers of OSH professional personnel employed in this field would be less (Final report 147 pages).

DESCRIPTORS: Grant and Contract Management, Survey or Questionnaire Feature, Health Manpower Training, Labor Market Problems

EVALUATION TYPE: Program Impact

EDC ID NO: 0478 **PROJECT NO:** CDC-74-10

FUND SOURCE: Evaluation **NTIS NO:** PB-257-901

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: CDC-99-74-80

COST (In Thousands): FY 74-\$42, FY 75-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '74 through October '75

0506

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: Evaluation of the NIOSH Criteria Documentation
Process

PROJECT DESCRIPTIONS

REPORT TITLE: Not yet determined

PERFORMER: Policy Research Incorporated; 2500 North Charles
St., Baltimore, Maryland 21218;

AGENCY CONTACT: Kolsky, Ken; Room 8A09; Parklawn
Building; 202-443-4364;

PROGRAM(S) EVALUATED:

PHS-CDC-Preventive Health-Occupational Health Research
& Training

ABSTRACT: To identify the problems and factors of affected parties associated with the development of NIOSH criteria documents. To identify improvements which enhance acceptability, timeliness, and effectiveness of criteria documents.

DESCRIPTORS: Research and Evaluation Performance
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1656

PROJECT NO: CDC-78-009

FUND SOURCE: Not yet determined

NTIS NO: Not available

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 210-78-0048-0000

COST (In Thousands): FY 78-\$180

PROJECT STATUS: Study in process

START/END DATES: April '78 through June '79

0507

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: Evaluation of VDCD Data Sets and Data
Strategy for Policy Making

REPORT TITLE: Not yet determined

PERFORMER: Mariscal and Company; 1054 Thomas Jefferson St.,
N. W.; Washington, D. C. 20007

AGENCY CONTACT: Sumner, Andrew, Sc. D.; Room 2035;
Building 1; 404-329-3243;

PROGRAM(S) EVALUATED:

PHS-CDC-Preventive Health-Disease Control-Venereal
Disease Grants

ABSTRACT: To analyze existing VDCD data sets, identify problems with the data sets, identify the uses of the data sets, and develop alternative strategies for improved collection and use of data in the future.

DESCRIPTORS: Statistical Data Feature, Venereal Disease, Information Processing, Research and Evaluation Methods Feature
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1655

PROJECT NO: CDC-78-004

FUND SOURCE: Evaluation

NTIS NO: Not available

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 200-78-0847

COST (In Thousands): FY 78-\$90

PROJECT STATUS: Study in process

START/END DATES: September '78 through March '79

0508

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: Information Dissemination Strategy for NIOSH

REPORT TITLE: NIOSH Information Dissemination Strategy

PERFORMER: John Short and Associates, Inc.; Salt Lake City, Utah

AGENCY CONTACT: Kolsky, Ken; 404-633-3311

PROGRAM(S) EVALUATED:

PHS-CDC-Preventive Health-Occupational Health Research & Training;
PHS-CDC-Preventive Health-Program Direction & Management

ABSTRACT: The development of a National Institute of Occupational Safety and Health (NIOSH) information dissemination model is described. The model was developed by NIOSH's Division of Technical Services (DTS) to relay appropriate occupational safety and health information to the proper audience. The results of a survey of NIOSH's membership to determine the audience to be reached are reported. Analogous dissemination models in use in the public and private sector are evaluated. The proposed model includes the following clusters of activities: dissemination planning process, DTS work plan, review of publication, implementation, and evaluation of the system. Each of these activities is described in detail and illustrated by sample forms. An overview of the maintenance and data retrieval functions for the NIOSH Master Readership File is provided. It is recommended that the proposed dissemination system be implemented. Recommendations were made to strengthen DTS's reviewing function, its interaction with GPO and NTIS, and its role as a dissemination consultant with NIOSH. Also, it was recommended that DTS continue to monitor and evaluate its dissemination effectiveness by updating and expanding dissemination.

DESCRIPTORS: Information Dissemination, Survey or Questionnaire Feature, Information Systems

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0477

PROJECT NO: CDC 74-12

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: PHS-CDC-99-74-82

COST (In Thousands): FY 74-\$43, FY 75-Not available

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC

START/END DATES: June '74 through June '75

0509

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: School Health Curriculum Project (Phase I)

REPORT TITLE: A Plan for the Evaluation of the School Health Curriculum Project, 2 Vols.

PERFORMER: Development and Evaluation Associates; Midtown Plaza; 700 E. Water Street; Syracuse, New York 13210

AGENCY CONTACT: Sumner, Andrew, Sc.D.; Room 2035, Building 1; 404-329-3243

PROGRAM(S) EVALUATED:

PHS-CDC-Preventive Health-Health Education

ABSTRACT: The School Health Curriculum project is an approach to health education developed to facilitate understanding of and responsibility for personal health in elementary school age children. Presently six curriculum units (Grades 2-7) are available. Each unit's content theme is a particular body system: The Ear and Hearing (Grade 2), the Eye and Seeing (Grade 3) the digestive system (Grade 4), the respiratory system (Grade 5), the circulatory system (Grade 6) and the nervous system (Grade 7). Each unit is intended as a 10-week continuous instructional sequence, averaging 45-60 minutes per day, 5 days per week. Data presently available indicate that at

least 230 school districts in 33 states have adopted and are presently implementing the SCHP in the instructional program. Vol. I contains a descriptive overview of the recommended evaluation plan—a "Macro-level" presentation. Vol. II presents the "micro-level;" it details specific evaluation implementation procedures and guidelines in operational terms. ?

DESCRIPTORS: Research and Evaluation Methods Feature, Feasibility Study Feature, Field Test Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1650

PROJECT NO: CDC-78-002

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT/GRANT NO: 200-78-0812

COST (In Thousands): FY 78-577

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC

START/END DATES: September '78 through September '79

0510

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: Development of Data Base for Assessing Impact of Newly Established Venereal Disease Control Program

REPORT TITLE: Review and Analysis of Gonorrhea Data Systems for Evaluation of Control Programs, Columbus, Ohio

PERFORMER: Ohio Dept. of Health; P.O. Box 118; Columbus, Ohio 43216; Ronald K. St. John, M.D.

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-CDC-Preventive Health-Disease Control-Venereal Disease Grants

ABSTRACT: Columbus, Ohio's gonorrhea control program was evaluated on the basis on data generated by each control measure and on the efficiency with which control measures were applied. The report also contains discussion of the data, data collection and reporting systems that relate trends in cases treated in the public and private sector, trends in selected complications associated with gonorrhea, indices for determining trends in the infection rate, and recommendations regarding standardized data reporting systems. (Final Report 30 pages.)

DESCRIPTORS: Statistical Analysis Methods Feature, Health Care Delivery Systems, Venereal Disease, Morbidity and Mortality, Preventive Health Care, State or Local Administration, Management Information and Reporting

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0359

PROJECT NO: CDC-72-5

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-21-72-585

COST (In Thousands): FY 72-\$65

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: June '72 through October '73

0511

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: School Health Curriculum Project (Phase I)

REPORT TITLE: Not yet determined

PERFORMER: Development and Evaluation Associates; Midtown
Plaza; 700 E. Water Street; Syracuse, New York

AGENCY CONTACT: Sumner, Andrew, Sc.D.; Rm 2035; Bldg. 1;
404-329-3243

PROGRAM(S) EVALUATED:
PHS-CDC-Preventive Health-Education

ABSTRACT: To develop and analyze alternative evaluation research
designs for Phase II--an evaluation of the impact and effectiveness of
the School Health Curriculum Project.

DESCRIPTORS: Research and Evaluation Methods Feature, Feasibili-
ty Study Feature, Field Test Feature, Curriculum Development
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1654 **PROJECT NO:** CDC 78-002

FUND SOURCE: Evaluation **NTIS NO:** Not available

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 200-78-0812

COST (In Thousands): FY 78-\$77

PROJECT STATUS: Study in process

START/END DATES: September '78 through August '79

0512

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: Evaluation of Surveillance and Hospital Infection
Program Standards--Design and Development of Data
Collection Instruments

REPORT TITLE: SENIC PROJECT, Phase I: CDC's National
Program to Encourage the Adoption of Infection
Surveillance and Control Program, an Evaluation

PERFORMER: The Regents of the University of California; Univ.
of N.C.; Medicus Systems Corp.; 1175 Peachtree Street,
Atlanta, GA 20261; Emory University Univ.; Atlanta, GA

AGENCY CONTACT: Haley, Robert W., M.D.; 404-633-3311

PROGRAM(S) EVALUATED:
PHS-CDC-Preventive Health-Disease Investigation &
Surveillance

ABSTRACT: A Preliminary screening questionnaire was developed
and tested by the Center for Disease Control (CDC) for use by
hospitals in infection surveillance and control programs (ISCP's).
Activities comprising the CDC program to encourage infection con-
trol are outbreak investigations, consultations, methodological devel-
opment, scientific conferences, scientific publications, training, and
establishment of guidelines in cooperation with other organizations.
The design of the preliminary screening questionnaire began in 1974,
and the questionnaire was mailed to hospitals in 1976. Major design
in the design process include the following: the formulation of
nomenclature, hypotheses, models and indexes of infection control
activities; the development of stratification parameters for use in the
sampling of hospitals; the completion of a comprehensive literature
review; the definition of a target universe for the questionnaire; the
design, pretesting, and redesign of the questionnaire form; the deriva-
tion of strategies to maximize the response rate. ISCP activities and
characteristics were categorized into the content areas of surveil-
lance, control, personnel, and preventive patient care practices. Most
specific items contained in CDC recommendations and measured by

the questionnaire were implemented by a substantial number of hospi-
tals. A strong and consistent association was observed between the
adoption of various ISCP approaches and two indications of CDC
influence.

DESCRIPTORS: Hospitals, Communicable Disease, Regulation and
Standard Setting, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0702

PROJECT NO: CDC-75-1,2,3,4,5,6

FUND SOURCE: Evaluation **NTIS NO:** PB 287-955

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 200-75-0510, 0514 0518, .0552,0553

COST (In Thousands): FY 75-\$105, FY 76-\$83

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: January '75 through February '78

0513

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: Effectiveness Criteria in Venereal Disease Control
Programs

REPORT TITLE: Summary: Examination, Comparison, and Data
Analysis Re: National VD Morbidity Reporting System

PERFORMER: Emory University; Depart. of Biometry and
Statistics; Atlanta, Georgia 30322; IMS America, Ltd;
Amber, Pennsylvania

AGENCY CONTACT: Kerr, Mike; Tolma, Dennis

PROGRAM(S) EVALUATED:
PHS-CDC-Preventive Health-Disease Control-Venereal
Disease Grants;
PHS-CDC-Preventive Health-Disease Control

ABSTRACT: This paper is a summary of work performed on the
national venereal disease morbidity data. On a quarterly basis from
July, 1966 to March, 1973, the morbidity data of the Public Health
Service and that collected by the Center for Disease Control were
examined, analyzed, and compared to that obtained from independent
research institutions. Details of the analyses performed is followed by
graphs comparing the two data sets. (Final report 49 pages)

DESCRIPTORS: Venereal Disease, Morbidity and Mortality, Com-
municable Disease, Public Health Services
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0353

PROJECT NO: CDC-74-1

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: CDC-21-74-553

COST (In Thousands): FY 74-\$83

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: June '74 through May '75

0514

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: Study for the Evaluation of Dissemination of
Surveillance Data

REPORT TITLE: Surveillance Information: Quality and Use, a Study in State and Local Health Departments

PERFORMER: University of Washington; Seattle, Washington 98195; James L. Gale, M.D.

AGENCY CONTACT: Brachman, Philip S.; and Axnick, Norman W.; 404-633-3311

PROGRAM(S) EVALUATED:

PHS-CDC-Preventive Health-Disease Investigation & Surveillance

ABSTRACT: The objective of this study was to determine the feasibility of collecting reliable data for evaluating the dissemination and use of surveillance information. State and local health departments were surveyed to identify the collection, analysis, dissemination and uses of surveillance information. The study indicated that it is feasible to evaluate the use of surveillance information, but it is difficult to evaluate the impact of surveillance information on disease control given the number of confounding factors. Based on this study, a second study was conducted under contract number 200-76-0671 P, Surveillance Data: Quality, Use and Effect on Public Health Divisions in Local and State Health Departments. This study developed and pretested an evaluation methodology for a national study of the uses of surveillance information in State disease control programs. One interesting finding was that national surveillance information contributes to Federal disease control policy decisions, but available Federal, State, and local resources, as well as surveillance data, exert a stronger influence on control policy decisions at the State and local level. This study has served to sensitize the Bureau of Epidemiology to the many unanswered questions surrounding surveillance information.

DESCRIPTORS: Information Dissemination, Management Information and Reporting, Communicable Disease, Preventive Health Care
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0707

PROJECT NO: CDC-75-7

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 200-75-0567 200-76-0671

COST (In Thousands): FY 75-\$62, FY 76.1-\$28

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: June '74 through March '77

0515

AGENCY SPONSOR: Public Health Service
Center for Disease Control

PROJECT TITLE: Extent and Characteristics of Venereal Disease Education in School Systems

REPORT TITLE: Survey of Venereal Disease Education in the Nation's Schools, 1973-74 School Year

PERFORMER: Automated Services, Inc; 5513 Connecticut Avenue, N.W.; Washington, D.C. 20015

AGENCY CONTACT: Dyal, W.W.

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary;

PHS-CDC-Preventive Health-Disease Control-Venereal Disease Grants;

PHS-CDC Preventive Health-Disease-Investigation & Surveillance

ABSTRACT: The report examines the programs which attack the ignorance and confusion surrounding venereal disease. A survey was set at all fifty States to determine units of instruction and noncurricular activities to educate on VD. Approximately 42 percent of the nation's schools provide some VD instruction in both curricular and noncurricular activities, 20 percent only in instruction units and 30 percent only in noncurricular activities. (Final report 480 pages.)

DESCRIPTORS: Curriculum Development, Venereal Disease, Elementary Education, Secondary Education, Preventive Health Care
EVALUATION TYPE: Program Impact

EDC ID NO: 0421

PROJECT NO: CDC 73-4 (also 74-2)

FUND SOURCE: Evaluation

NTIS NO: PB-246 706-707

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM 21-73-542

COST (In Thousands): FY 73-\$43,

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS

START/END DATES: June '73 through January '74

FOOD AND DRUG ADMINISTRATION

0516

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Modernizing Techniques of Inspection

REPORT TITLE: Abbreviated Inspections and Reporting

PERFORMER: Executive Director of Regional Operations; Office of the Assistant Commissioner for Planning and Evaluation

AGENCY CONTACT: Bengtson, P.; 301-443-3722

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug-Foods

ABSTRACT: Project IDEA 35 was designed to determine if in-compliance firms can be separated from out-of-compliance firms by means of an abbreviated inspection, where only critical points in the plants are checked. The results of the study indicate that abbreviated inspections can be substituted for normal inspections with a high degree of confidence. This report recommends that abbreviated inspections be continued for the six commodities covered in the study (Bottling Plants, Bakeries, Specialty Products, Mills, Candy Manufacturers, Warehouses); it further recommends that abbreviated inspections be extended to other food commodities. Based on the results of Project IDEA 35, the Division of Field Operations has modified 29 inspection programs to include abbreviated inspection and reporting techniques. (Final report 56 pages).

DESCRIPTORS: Food and Nutrition, Regulation and Standard Setting, Management Techniques, Consumer Products or Protection, Federal Administration

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0729

PROJECT NO: FDA 73-1

FUND SOURCE: Salaries and Expenses

NTIS NO: PB 274-371

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 73-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: July '72 through September '73

PROJECT STATUS: Study completed
START/END DATES: February '73 through May '73

0517

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Alternative Regulatory Approaches to Cosmetics

REPORT TITLE: Not yet determined
PERFORMER: Evaluation Staff; Office of the Asst. Commissioner;
Planning and Evaluation
AGENCY CONTACT: Beardsley, Dan R.; FDA; 301-443-4032
PROGRAM(S) EVALUATED:
PHS-FDA-Food & Drug-Foods

ABSTRACT: The purpose of this study is to analyze alternatives available under existing law for regulating cosmetics.

DESCRIPTORS: Regulation and Standard Setting, Consumer Products or Protection

EVALUATION TYPE: Program Strategy

EDC ID NO: 0725 **PROJECT NO:** FDA-72-3

FUND SOURCE: Salaries and
Expenses (S&E)

MECHANISM: In-house

CONTRACT NO: Not available

COST (In Thousands): FY 72-\$30

PROJECT STATUS: Study in process

START/END DATES: January '72 through June '72

NTIS NO: Not yet determined
ERIC NO: Not available

0519

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Analysis and Evaluation of Fords Data: Part II

REPORT TITLE: Not yet determined
PERFORMER: Food and Drug Administration; 5600 Fishers Lane,
Rockville Md.
AGENCY CONTACT: Madden, Dale; 15-82 Pkln Bldg;
301-443-3240
PROGRAM(S) EVALUATED:
PHS-FDA-Food & Drugs-Drugs & Devices

ABSTRACT: This study will be the second in a series of statistical analyses of assay results to identify factors affecting drug quality. The analyses use data on batches of drugs tested by the National Center for Drug Analysis as part of the Formulator Oriented Rx Drug Program (FORDS).

DESCRIPTORS: Drugs Therapeutic and Devices, Regulation and Standard Setting, Consumer Products or Protection, Statistical Analysis Methods Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1092 **PROJECT NO:** FDA 77-6

FUND SOURCE: Salaries and
Expenses (S&E)

MECHANISM: In-house

CONTRACT NO: Not available

COST (In Thousands): FY 77-Not available

PROJECT STATUS: Study in process

START/END DATES: July '76 through January '77

NTIS NO: Not yet determined
ERIC NO: Not available

0518

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Alternatives for Planning Field Resources

REPORT TITLE: None
PERFORMER: On Line Decisions, Inc.; 666 5th Ave.; New York,
New York 10019; R. Murphy, 212-586-5840
AGENCY CONTACT: Rosenthal, B.; 202-245-7536
PROGRAM(S) EVALUATED:
PHS-FDA-Food & Drug-Program Direction & Management

ABSTRACT: The objective of this evaluation was to develop and test a computer model as an alternative for planning field resources. The product of this project is a set of computer programs which are successfully used to plan and redirect FDA's field resources.

DESCRIPTORS: Information Processing, Management Techniques, Federal Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0730 **PROJECT NO:** FDA 73-4

FUND SOURCE: Salaries and
Expenses

MECHANISM: Contract

CONTRACT NO: FDA-73-45

COST (In Thousands): FY 73-\$90

NTIS NO: Not available
ERIC NO: Not available

0520

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Assessment of the Impact of Bilateral Agreements

REPORT TITLE: An Analysis of FDA's Bilateral Agreements on the
Importation of Foods
PERFORMER: Food and Drug Administration; 5600 Fishers Lane,
Rockville Md.
AGENCY CONTACT: Condraski, Susan; 15-74, Parklawn Bldg;
301-443-4075

PROGRAM(S) EVALUATED:
PHS-FDA-Food & Drugs-Food

ABSTRACT: This report presents the results of an analysis of nine of the bilateral agreements which FDA has with foreign governments. The nine agreements cover dried milk, shellfish, and frog legs. The measure of product quality used in the study is detention rates defined as the ratio of detentions to sample examinations from which they originate. Six of the nine agreements give indications that they are a benefit to FDA. The five agreements on dried milk appear to have conserved resources without adversely affecting quality during a time of increased imports. The agreement on frog legs appears to have improved product quality. The three agreements on shellfish appear equivocal because of small sampling data, although the data do indicate a quality product. (Final report 41 pages).

DESCRIPTORS: Food and Nutrition, Regulation and Standard Setting,
Consumer Products or Protection

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1090

PROJECT NO: FDA 77-4, OPE
Study 38

FUND SOURCE: Salaries and
Expenses (S&E)

NTIS NO: PB 264-454

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 77-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: July '76 through February '77

0521

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Analysis of Pre-Release Clearance Batch Testing
as an Approach to Drug Sampling and Testing

REPORT TITLE: Analysis of Pre-Release Clearance Batch Testing as
an Approach to Drug Sampling and Testing

PERFORMER: Messer Associates, Inc; 8555 16th St. Suite 706;
Silver Spring, MD

AGENCY CONTACT: Terselic, Richard; Rm 13B 44 Pkln;
301-443-4154

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug-Drugs & Devices

ABSTRACT: This project focused on statistical aspects of pre-clearance batch testing. The primary emphasis was to develop methods for improving statistical sampling protocols for the batch-by-batch pre-clearance program now used for antibiotics, insulin, and color certification. The study was designed to improve methods used to determine how many samples to test to support a pass or fail decision for that test or batch. The study states that major improvements can be made in classification with an overall increase of 20 percent to 30 percent in sample test and analysis resources. For the longterm, it is recommended that the value of converting the current sampling procedures into formal "batch acceptance" plans based on standard statistical hypothesis testing be studied. (Final report 104 pages).

DESCRIPTORS: Research and Evaluation Methods Feature, Drugs
Therapeutic and Devices, Statistical Analysis Methods Feature, Literature Review Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0432.1

PROJECT NO: FDA-73-3

FUND SOURCE: Evaluation

NTIS NO: PB 247-811

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: FDA 72-118 Task 2

COST (In Thousands): FY 73-\$20

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: May '73 through October '73

0522

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: An Analysis of the Blood Program

REPORT TITLE: An Assessment of Plasmapheresis and Blood
Collection Establishments 1972-1976

PERFORMER: Food and Drug Administration; 5600 Fishers Lane;
Rockville MD

AGENCY CONTACT: Hass, Arthur; 15-82, Pkln Bldg; 301-443-3240

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug-Drugs & Devices

ABSTRACT: This report presents an evaluation of plasmapheresis centers and blood banks. It assesses change in these establishments since FDA was given responsibility for their regulation in 1972. Overall, the data show that plasma centers have shown a considerable improvement since 1974. (Final report 32 pages)

DESCRIPTORS: Blood Bank, Regulation and Standard Setting, Consumer Products or Protection

EVALUATION TYPE: Program Impact

EDC ID NO: 1091

PROJECT NO: FDA 77-5, OPE
Study 41

FUND SOURCE: Salaries and
Expenses (S&E)

NTIS NO: PB 272-269

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 77-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: July '76 through September '77

0523

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Study of U.S. Food Imports, Phase II

REPORT TITLE: A Comparison of U.S. Food Imports with FDA
Import Resources

PERFORMER: Food and Drug Administration; 5600 Fishers Lane;
Rockville, Maryland

AGENCY CONTACT: Lange, Loren

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug-Foods

ABSTRACT: This study presents the results of an analysis of imported food and FDA import regulation resources. The study emphasis is on identifying field resource intensive commodities and linking these commodities with their leading countries of export. This link identifies targets of opportunity for bilateral agreements in terms of countries exporting large volumes of resource-intensive commodities to the U.S. The study also presents a picture of where FDA, both nationally and regionally, has to invest its food import regulation resources. Finally, the study identifies commodities that consume high or low levels of resources relative to their respective levels of import.

DESCRIPTORS: Regulation and Standard Setting, Safety, Food and
Nutrition, International Issues

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1430 **PROJECT NO:** FDA 76-7, OPE
Study 5
FUND SOURCE: Salaries and
Expenses (S&E) **NTIS NO:** PB 251-004
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT/GRANT NO: Not available
COST (In Thousands): FY 76-Not available
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: July '75 through December '75

0524

AGENCY SPONSOR: Public Health Service
Food and Drug Administration
PROJECT TITLE: Compliance Program Evaluations
REPORT TITLE: Not yet determined
PERFORMER: Food and Drug Administration; 5600 Fishers Lane,
Rockville Md.
AGENCY CONTACT: Tim Hegarty, 15-74 Parklawn Bldg;
301-443-6250
PROGRAM(S) EVALUATED:
PHS-FDA-Food & Drug
ABSTRACT: (To be written.)
DESCRIPTORS: Regulation and Standard Setting, Consumer Products
or Protection, Drugs Therapeutic and Devices, Food and Nutrition
EVALUATION TYPE: Program Management or Efficiency
EDC ID NO: 1087 **PROJECT NO:** FDA 77-1
FUND SOURCE: Salaries and
Expenses (S&E) **NTIS NO:** Not available
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT NO: Not available
COST (In Thousands): FY 77-Not available
PROJECT STATUS: Study in process
START/END DATES: July '76 through January '77

0525

AGENCY SPONSOR: Public Health Service
Food and Drug Administration
PROJECT TITLE: Survey of OTC Drug Information/Education
Project
REPORT TITLE: Consumer Attitudes Toward Over-the-Counter
Drug Labels
PERFORMER: Laurence Johnson and Associates, through Small
Business Administration
AGENCY CONTACT: McCutchenon, John W.; 301-443-4230
PROGRAM(S) EVALUATED:
PHS-FDA-Food & Drug-Drugs & Devices
ABSTRACT: The purpose of this study was to evaluate consumer
understanding of current over-the-counter (OTC) labeling, and to
determine how information on labels might be presented more effec-
tively. The major findings of this study are concerned with reader-
ship of OTC labels in general, and factors relative to the warning
statement. (Final report 113 pages).
DESCRIPTORS: Consumer Education, Drugs Therapeutic and De-
vices, Survey or Questionnaire Feature, Educational Techniques,
Safety

PROJECT DESCRIPTIONS

EVALUATION TYPE: Research and Data Development
EDC ID NO: 0749 **PROJECT NO:** FDA 75-10
FUND SOURCE: Salaries and
Expenses **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 223-75-8052
COST (In Thousands): FY 75-\$42
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: February '75 through June '75

0526

AGENCY SPONSOR: Public Health Service
Food and Drug Administration
PROJECT TITLE: Critical Evaluation of FDA Initiated Remedial
Devices
REPORT TITLE: None
PERFORMER: Market Facts, Inc.
AGENCY CONTACT: Feather, Ken; 301-443-3730
PROGRAM(S) EVALUATED:
PHS-FDA-Food & Drug-Drugs & Devices
ABSTRACT: This contract will examine remedial devices to correct
deceptive drug advertisement. It will determine what format of
"Dear Doctor" letter or remedial advertisement is most effective in
correcting deceptive ads.
DESCRIPTORS: Drugs Therapeutic and Devices, Consumer Educa-
tion, Consumer Products or Protection, Survey or Questionnaire
Feature, Regulation and Standard Setting
EVALUATION TYPE: Place Project or Technique
EDC ID NO: 0745 **PROJECT NO:** FDA 75-6
FUND SOURCE: Salaries and
Expenses **NTIS NO:** None
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 223-75-3031
COST (In Thousands): FY 75-\$24
PROJECT STATUS: Study completed,
aAvailable from NTIS,
Study completed,
Executive summary at EDC,
lExecutive summary at EDC,
id
START/END DATES: June '75 through March '76

0527

AGENCY SPONSOR: Public Health Service
Food and Drug Administration
PROJECT TITLE: Evaluation of Alternative Compliance
Classification Systems
REPORT TITLE: Not yet determined
PERFORMER: Food and Drug Administration; 5600 Fishers Lane,
Rockville Md.
AGENCY CONTACT: Blozan, Carl; Rm. 15-82, Pkln Bldg;
301-443-3240
PROGRAM(S) EVALUATED:
PHS-FDA-Food & Drugs
ABSTRACT: This project will test the feasibility and effectiveness of
instituting a finer numeric classification system to identify the compli-

ance status of regulated industries. Traditionally, FDA has successfully used a triad alpha classification scheme to fix compliance profiles. The project will test the traditional system against the proposed system on a random sample of Rx Drug repackers.

DESCRIPTORS: Regulation and Standard Setting, Management Information and Reporting

EVALUATION TYPE: Research or Data Development

EDC ID NO: 1093

PROJECT NO: FDA 77-7

FUND SOURCE: Salaries and

Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 77-Not available, FY 78-Not available

PROJECT STATUS: Study in process

START/END DATES: March '77 through September '77

0528

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Evaluation of Alternative Recall Effectiveness Checks

REPORT TITLE: Not yet determined

PERFORMER: Food and Drug Administration; and Chilton Research Services; Radnor, Pennsylvania

AGENCY CONTACT: Soviero, Carmin

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug-Foods

ABSTRACT: This study will measure the effectiveness and cost of various alternatives to FDA's traditional method of employing its inspectors to conduct recall effectiveness checks. The alternatives that will be tested are the use of phones, mails, mailgrams, and field representatives, and their combinations.

DESCRIPTORS: Cost-effectiveness Analysis Feature, Regulation and Standard Setting, Safety

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1428

PROJECT NO: FDA 76-5

FUND SOURCE: Salaries and

Expenses

NTIS NO: Not yet determined

MECHANISM: In-house, Contract

ERIC NO: Not available

CONTRACT NO: Not yet determined

COST (In Thousands): FY 76-Not available

PROJECT STATUS: Study in process

START/END DATES: July '75 through September '76

0529

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Evaluation of Alternative Recall Effectiveness Checks

REPORT TITLE: Not yet determined

PERFORMER: Food and Drug Administration; and Chilton Research Services; Radnor, Pennsylvania

AGENCY CONTACT: Soviero, Carmin

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug-Foods

ABSTRACT: This study will measure the effectiveness and cost of various alternatives to FDA's traditional method of employing its inspectors to conduct recall effectiveness checks. The alternatives that will be tested are the use of phones, mails, mailgrams, and field representatives, and their combinations.

DESCRIPTORS: Cost-Effectiveness Analysis Feature, Regulation and Standard Setting, Safety

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1427

PROJECT NO: FDA 76-5

FUND SOURCE: Salaries and

Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: In-house, Contract

ERIC NO: Not available

CONTRACT/GRANT NO: Not yet determined

COST (In Thousands): FY 76-Not available

PROJECT STATUS: Study in process

START/END DATES: July '75 through December '77

0530

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Evaluation of Clinical Research Monitoring Regulatory Structure and Monitoring Program

REPORT TITLE: Not yet determined

PERFORMER: Not yet determined

AGENCY CONTACT: Terselic, Richard; FDA; 301-443-4154

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug

ABSTRACT: To be written.

DESCRIPTORS: Biomedical Research, Regulation and Standard Setting

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0723

PROJECT NO: FDA-71-9

FUND SOURCE: Salaries and

Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: Not yet determined

COST (In Thousands): FY 71-\$30

PROJECT STATUS: Study in process

START/END DATES: July '70 through December '70

0531

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Evaluation of IND-NDA Process

REPORT TITLE: Not yet determined

PERFORMER: Auerbach Corporation; 121 North Broad Street; Philadelphia, Pa. 19107; Herbert Bilandau-215-491-8200

AGENCY CONTACT: Leong, Dr. George F.

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug-Drugs & Devices

ABSTRACT: The purpose of this study is to evaluate and design alternatives for the format & control of IND-NDAs and for the scientific and management information systems associated with the approval of drugs.

**Public Health Service
Food and Drug Administration**

Accession No. 0535

DESCRIPTORS: Drugs Therapeutic and Devices, Management Information and Reporting, Information Systems, Federal Administration
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0726 **PROJECT NO:** FDA 72-11
FUND SOURCE: Salaries and Expenses
MECHANISM: Contract
CONTRACT NO: FDA 72-63
COST (In Thousands): FY 72-\$64
PROJECT STATUS: Study completed
START/END DATES: February '72 through March '73

0532

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: An Evaluation of Some Economic Effects of Releasing Trade Secret Data in Support NDA's

REPORT TITLE: Not yet determined
PERFORMER: Pracon, Inc; 7700 Leesburg Pike, Falls Church, Va
AGENCY CONTACT: Updegraff, Gail, Rm 15-74, Pkln Bldg. 301-443-6250

PROGRAM(S) EVALUATED:
PHS-FDA-Food & Drug-Drugs & Devices

ABSTRACT: This project will assess the possible effects on drug development and R & D investment of making public the clinical data in new drug applications

DESCRIPTORS: Regulation and Standard Setting, Drugs Therapeutic and Devices, Economic Analysis Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1098 **PROJECT NO:** FDA 77-12
FUND SOURCE: Salaries and Expenses (S&E) **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 223-77-8052
COST (In Thousands): FY 77-\$21
PROJECT STATUS: Study in process
START/END DATES: June '77 through December '77

0534

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: FDA Neighborhood Youth Project

REPORT TITLE: Evaluation of the Effectiveness of the Neighborhood Youth Consumer Education Project
PERFORMER: National Institute for Community Development, Inc.

AGENCY CONTACT: Weaver, B.D.; FDA; 301-443-4075

PROGRAM(S) EVALUATED:
PHS-FDA-Food & Drug-Program Direction & Management

ABSTRACT: The purpose of this study is to determine the feasibility of an FDA-Youth Group Cooperative Program and to test the effectiveness of youth groups in designing and conducting consumer information projects in their neighborhoods. The contractor was unable to determine the consumer behavioral change that may have come about as a result of the project's efforts because no pre or post-assessments to specific project objectives were possible under the study constraints. There was substantially increased awareness and understanding of FDA and of selected consumer problems by the teenagers involved.

PROJECT DESCRIPTIONS

DESCRIPTORS: Children and Youth, Citizen Participation, Consumer Education, Demonstration Projects
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0735 **PROJECT NO:** FDA 74-6
FUND SOURCE: Salaries and Expenses
MECHANISM: Contract **NTIS NO:** EDC Only
CONTRACT NO: FDA-74-243 **ERIC NO:** Not available
COST (In Thousands): FY 74-\$25
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: June '74 through December '74

0535

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Evaluation of the FDA Consumer Deputy Program

REPORT TITLE: Evaluation of the FDA Consumer Deputy Program

PERFORMER: Messer Associates, Inc.; 8595 16th St; Silver Spring, MD 20910

AGENCY CONTACT: Clay, Donald; 301-443-3160

PROGRAM(S) EVALUATED:
PHS-FDA-Food & Drug-Program Direction & Management

ABSTRACT: This report presents an evaluation of the FDA pilot Consumer Deputy Program. This pilot program is designed to test the effectiveness of an effort by FDA to increase meaningful participation by consumer in the FDA's consumer protection activities. The pilot program involves the surveillance of retail stores by consumers to identify banned toys as defined in the current banned toy list developed by the FDA. The primary purposes of this evaluation is to determine whether the overall program and each of the individual programs adhered to the designed objectives, and to determine those factors that have the greatest influence on the program's degree of success. The study finds that the basic management objectives of the program have been adhered to. The program has demonstrated that consumers can work effectively with FDA in the consumer protection process, and that FDA itself can support this type of program. The study recommends that a comparative analysis be conducted to compare the volunteer concept with the more traditional public affairs activities practiced in the field by FDA personnel to determine how they can be proportioned to best complement each other. (Final report 70 pages).

DESCRIPTORS: Consumer Education, Citizen Participation, Safety, Case or Site Study Feature
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0432 **PROJECT NO:** FDA-73-5
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: FDA 72-118 Task 1
COST (In Thousands): FY 73-\$10
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC
START/END DATES: February '73 through April '73

0536

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Evaluation of the FDA Consumer Deputy Program

REPORT TITLE: Evaluation of the FDA Consumer Deputy Program

PERFORMER: Messer Associates, Inc.; 8555 16th St.; Silver Spring, Md. 20910; M.L. Cohen 589-5955

AGENCY CONTACT: Clay, Don; 443-3160

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug-Program Direction & Management

ABSTRACT: The objective of this project was to assess the effectiveness of working with consumer volunteers through FDA's pilot Consumer Deputy Program: to determine whether the overall program and each of its individual programs achieved the designed objectives, and to determine those factors that have the greatest influence on the programs degree of success. The findings are that the basic management objectives of the program have been achieved. The program has demonstrated that consumers can work effectively with FDA in the consumer protection process; that FDA, itself, can support this type of program; that FDA's image was improved; that consumer education and awareness were increased; that there was a small impact on supplementing FDA's field surveillance capabilities, and that the Consumer Deputies succeeded in getting a considerable number of banned toys removed from the stores they surveyed. (Final Report 44 pages plus appendices).

DESCRIPTORS: Citizen Participation, Consumer Products or Protection, Demonstration Projects

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0731

PROJECT NO: FDA 73-5

FUND SOURCE: Salaries and Expenses

NTIS NO: Not available

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: FDA-72-118

COST (In Thousands): FY 73-\$10

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: February '73 through April '73

0537

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Evaluation of the Label Use Behavior of Users of In-Vitro Diagnostic Products

REPORT TITLE: Not yet determined

PERFORMER: Food and Drug Administration; 5600 Fishers Lane; Rockville, MD

AGENCY CONTACT: Gouvea, Daniel; Rm 1231 B Silver Spring Plaza; 301-427-7184

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug-Drugs & Devices

DESCRIPTORS: Drugs Therapeutic and Devices, Safety, Laboratories, Consumer Products or Protection

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1094

PROJECT NO: FDA 77-8

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: Not available

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 223-76-5023

COST (In Thousands): FY 77-\$34

PROJECT STATUS: Study in process

START/END DATES: July '76 through December '77

0538

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Cost/Benefit Study of Antibiotic Certification

REPORT TITLE: Evaluation Study of the Antibiotic Certification Program

PERFORMER: Koba Associates, Inc.; and Messer Associates, Inc.

AGENCY CONTACT: Zerby, Ray; 301-443-3357; and Nicolette, Benjamin; 301-443-4594

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug-Drugs & Devices

ABSTRACT: The purpose of the report is to describe the results of a cost/benefit evaluation analysis of the antibiotic certification program, and to specify recommendations which could result in manpower savings and could produce improvements in effectiveness of quality control monitoring efforts. Under this project, Messer Associates, Inc. did a study of statistical sampling protocols and related decision rules that are used in the batch-by-batch pre-clearance testing program. Koba Associates, Inc. conducted a study of technical and administrative flow process in the certification program; including alternatives to the current sampling and testing approaches, and a description of cost implications of alternative approaches. The analysis of the pre-release batch certification was separated into three major areas: medical significance, quality assurance, and cost distribution. The Assistant Director for Planning and Analysis, Bureau of Drugs, concluded that the two studies, unfortunately, proved to be superficial; not extensive enough to produce significant conclusions. However, they were useful in analyzing the technical aspects of the certification testing process. (Final report 97 pages).

DESCRIPTORS: Drugs Therapeutic and Devices, Quality Assurance, Benefit-Cost Analysis Feature, Statistical Analysis Methods Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0734

PROJECT NO: FDA 74-5

FUND SOURCE: Salaries and Expenses

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: FDA-73-159 & 72-118

COST (In Thousands): FY 74-\$65

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: April '73 through July '74

0539

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Further Evaluation of IND/NDA Process

REPORT TITLE: Further Evaluation of IND/NDA Process

PERFORMER: Not yet determined

AGENCY CONTACT: Terselic, Richard; FDA; 301-443-4154

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug-Drugs & Devices

ABSTRACT: Concerns the drug approval process. To be written.

DESCRIPTORS: Drugs Therapeutic and Devices, Regulation and Standard Setting

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0724

PROJECT NO: FDA-71-10

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: Not yet determined

COST (In Thousands): FY 71-\$50

PROJECT STATUS: Study completed

START/END DATES: August '70 through August '71

0540

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Influenza Vaccine's Safety

REPORT TITLE: Influenza Consumer Survey

PERFORMER: Bureau of Census

AGENCY CONTACT: Tully, Michael; 301-443-4191

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug-Drugs & Devices

ABSTRACT: This study was undertaken in order to obtain reasons from persons 65 years of age and over for their low demand for the influenza vaccine. To determine this, the study sought from a sample population information regarding the proportion that received the vaccine during 1974, and where it was obtained; the attitude and knowledge about the safety and effectiveness of the vaccine; the perceptions of the danger of catching the flu; the opinions of the optimal period during which the vaccine should be obtained for maximum protection; and the awareness of federal policy encouraging the annual vaccination of certain high-risk groups. The study found that only slightly over one-fourth of the 65-and-over age group received the vaccine during 1974; that among that small percentage, the private physician was the main source for obtaining the vaccine; and that the low percentage suggests that either the physicians are not recommending the shots to their patients, or that many of the 65-and-over age groups do not visit a private physician. Another possible explanation is that approximately 40 percent of the sample population did not feel that a flu shot was necessary. (Final report 34 pages).

DESCRIPTORS: Elderly, Preventive Health Care, Communicable Disease, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0748

PROJECT NO: FDA 75-9

FUND SOURCE: Salaries and Expenses

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 224-75-8051

COST (In Thousands): FY 75-\$45

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: December '74 through February '76

0541

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Investigation of Consumer Perceptions of
Adverse Reactions to Cosmetic Products

REPORT TITLE: Investigation of Consumer Perceptions of Adverse
Reactions to Cosmetic Products

PERFORMER: Westat, Incorporated; 11600 Nebel Street;
Rockville, Maryland 20852

AGENCY CONTACT: Gordon, Evelyn W.; Rm 890 Parklawn Bldg;
301-443-4192

PROGRAM(S) EVALUATED:

PHS-FDA-Food and Drug-Drugs & Devices;

PHS-FDA-Food & Drug-Research Toxicological & Other

ABSTRACT: This report investigated consumers' perceptions of adverse reactions to cosmetic products. It utilized an epidemiological study design characterized by two major goals. The first goal was to develop and test accurate, cost-effective mechanisms for collecting consumer-experience data. To accomplish this, the FDA evaluated the capability of a consumer panel to report detailed information on cosmetic use and adverse reactions. The second goal of the study includes the collection of empirical data which define the frequency and type of cosmetic-related adverse reactions experienced by a panel group of American consumers. The major issues studied through this goal include: 1) consumer-perceived adverse reactions associated with these products; 2) the extent to which consumer-perceived reactions are verified by physicians as being cosmetic-related injuries; 3) the severity and characteristics of adverse reactions verified by physicians; 4) overall usage patterns of cosmetics by the panel of consumers; and 5) the rate of incidence of cosmetic-related adverse reactions. Empirical findings on these issues are not projectable beyond the consumer panel or to annual usage or

DESCRIPTORS: Consumer Evaluation Feature, Consumer Products or Protection, Citizen Participation, Safety, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0423

PROJECT NO: FDA 74-8

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: PB 247-329

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 223-73-8230

COST (In Thousands): FY 74-\$233

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '73 through June '75

0542

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Investigation of Consumer's Perceptions of
Adverse Reactions to Cosmetic Products, Part II

REPORT TITLE: Investigation of Consumer's Perceptions of
Adverse Reactions to Cosmetic Products

PERFORMER: Westat, Inc.; 11600 Nebel Street; Rockville,
Maryland

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug-Drugs & Devices

ABSTRACT: This study completes the analysis of the data collected in Part I on consumer's use and experience with cosmetics. The study involved a consumer panel of 10,050 families using a mail diary questionnaire and a WATS line data collection system. The families recorded information on cosmetic use and on adverse reactions which members of the families perceived as being caused by cosmetics.

DESCRIPTORS: Survey or Questionnaire Feature, Safety, Consumer Products or Protection

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1432

PROJECT NO: FDA 76-9

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: PB 264-395

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: FDA 223-76-8099

COST (In Thousands): FY 76-\$34

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: September '75 through January '77

0543

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: FDA Manpower Utilization Program for FY 74

REPORT TITLE: Manpower Management Program; Executive Director of Regional Operations; Field Organization, FDA

PERFORMER: Not yet determined

AGENCY CONTACT: Russo, W.; 443-3300

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug-Program Direction & Management

ABSTRACT: This study was designed to assist in implementing in FDA the HEW Manpower Management Program. The major purpose of this study was to validate the existing FDA Program Oriented Data System (PODS) data and demonstrate the capability of the current Executive Director Region Operations (EDRO) system for providing manpower management program coverage for all FDA field activities. Such a system could be used to determine manpower needed to meet forecasted workloads, to review manpower utilization, and to support budgeting for manpower. Through modified work measurement studies and verification studies conducted in six district offices, the study team determined the existence of an acceptable level of accuracy in the PODs data base. The study recommends that a performance productivity index for inspectors and analysts be calculated for each District on a yearly basis, and that a performance/ productivity index be forecasted for use in the coming year. As future considerations, the study suggests that steps be taken to expand this manpower system to the remainder of the field; that computer systems support should be obtained to provide PODs data in such a form that it can easily be analyzed, and that PODs data should be reviewed and revalidated every two years in accordance with the regular cyclical studies. (Final report 140 pages plus attachments).

DESCRIPTORS: Federal Administration, Management Techniques, Management Information and Reporting

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0732

PROJECT NO: FDA 74-2

FUND SOURCE: Salaries and Expenses

NTIS NO: EDC Only

MECHANISM: Contract, In-house

ERIC NO: Not available

CONTRACT NO: Not yet determined

COST (In Thousands): FY 74-\$250

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: July '73 through June '74

0544

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Evaluation of the Government-Wide Quality Assurance Program

REPORT TITLE: Performance Evaluation of the Government-Wide Quality Assurance Program for Drugs, Biologics, and in-Vitro Diagnostic Products

PERFORMER: Food and Drug Administration; 5600 Fishers Lane; Rockville, MD 20857

AGENCY CONTACT: Burke, Dale, 15-74 Parklawn Bldg; 301-443-4075

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drugs-Drugs & Devices

ABSTRACT: This study will identify how well FDA is meeting its commitments to assure the quality of drugs, biologics, and in-vitro diagnostic products purchased by the Department of Defense, Health Services Administration, and the Veterans Administration.

DESCRIPTORS: Drugs Therapeutic and Devices, Management Information and Reporting, Quality Assurance, Consumer Products or Protection

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1089

PROJECT NO: FDA 77-3

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 77-Not available

PROJECT STATUS: Study in process

START/END DATES: July '76 through January '77

0545

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Validation and Extension of Compliance Model

REPORT TITLE: Preliminary Field Resource Allocation Model

PERFORMER: National Bureau of Standards

AGENCY CONTACT: Soviero, Carmin; FDA; 301-443-3240

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug-Program Direction & Management

ABSTRACT: This report presents a model of the FDA inspection process to estimate the compliance rates of the industries; to relate these rates to FDA's inspection resources; and to identify time intervals for inspections that will maximize the number of firms in compliance. A model of the inspection process has been developed to relate resources to compliance rate. The study concludes that FDA has a resource allocation tool that appears to relate resources to compliance rates; that significant increases in compliance status can be effected with a reasonable increase in the resource levels; that the most cost-effective inspectional tactic is to reduce the time between inspection of out of compliance firms to a practical minimum before reducing the reinspection time for in-compliance firms; and that FDA can improve its reporting of compliance rates by the use of the mathematical relationships derived to equate the various measures of compliance. The study recommends several steps that could be taken in conjunction with any extensions or applications of the model: (1) obtain independent review of its mathematics; (2) initiate the necessarily long-term investigation of the decay phenomenon; and (3) utilize any randomized surveys of the establishment inventory. (Final report 98 pages).

DESCRIPTORS: Statistical Analysis Methods Feature, Management Techniques, Operations Research Feature, Federal Administration
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0733

FUND SOURCE: Salaries and Expenses

NTIS NO: PB 248-271

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: FDA-1AG 73-17(0)

COST (In Thousands): FY 74-\$200

PROJECT STATUS:

PROJECT NO: Study completed,
Available from EDC,
Available from NTIS Available from EDC,
DA 74-3

START/END DATES: October '72 through September '74

0546

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Preliminary Study of Adverse Reaction Reporting

REPORT TITLE: Preliminary Study of Adverse Reaction Reporting

PERFORMER: Not yet determined

AGENCY CONTACT: Terselic, Richard; FDA; 301-443-4154

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug-Drugs & Devices

ABSTRACT: To be written.

DESCRIPTORS: Drugs Therapeutic and Devices

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0720

PROJECT NO: FDA-71-3

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: Not yet determined

COST (In Thousands): FY 71-\$20

PROJECT STATUS: Study completed

START/END DATES: August '70 through December '70

0547

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Project IDEA: To Determine Compliance Status of Industries

REPORT TITLE: Not yet determined

PERFORMER: Special Studies Staff; Office of the Assistant Commissioner for Planning and Evaluation

AGENCY CONTACT: Madden, Dale; 301-443-3240

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug-Foods

ABSTRACT: This study is only one of the many which are conducted under Project IDEA. Some of the studies have been completed, some have not. This study is to measure effects of compliance actions, determine compliance status of industries, test new surveillance and compliance techniques, and increase productivity of field manpower resources.

DESCRIPTORS: Regulation and Standard Setting, Food and Nutrition, Federal Administration

PROJECT DESCRIPTIONS

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0727

PROJECT NO: FDA 72-15

FUND SOURCE: Salaries and Expenses

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 72-Not available

PROJECT STATUS: Study completed

START/END DATES: July '71 through July '72

0548

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Assessment of Alternatives for OTC Drug Labeling

REPORT TITLE: Research Recommendations for FDA Consumer Product Labeling

PERFORMER: Human Sciences Research Inc.; 7710 Old Spring House Road; McLean, Virginia

AGENCY CONTACT: Blanken, Gary; Rm. 320 CHAP Bldg.; 301-443-6289

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug-Drugs & Devices

ABSTRACT: This study presents the results of research to determine whether generic criteria or standards can be developed for consumer labeling, and to identify the research necessary to develop such standards.

DESCRIPTORS: Consumer Education, Regulation and Standard Setting, Consumer Products or Protection, Drugs Therapeutic and Devices

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1429

PROJECT NO: FDA 76-6

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: FDA 223-76-8100

COST (In Thousands): FY 76-\$14

PROJECT STATUS: Study completed,

Executive summary at EDC

START/END DATES: January '76 through October '76

0549

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Evaluation of the Bioresearch Monitoring Program

REPORT TITLE: Results of the Nonclinical Toxicology Laboratory Good Laboratory Practices Pilot Compliance Program

PERFORMER: Food and Drug Administration; 5600 Fishers Lane; Rockville, MD 20857

AGENCY CONTACT: Blozan, Carl; 15-18, Pkln Bldg; 301-443-3240

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug

ABSTRACT: This report is the first in a series of evaluations of the Bioresearch Monitoring Program. It presents the results of the pilot compliance program to inspect nonclinical toxicology laboratories. The report addresses one major aspect of the pilot program: How

well do nonclinical toxicology laboratories adhere to the proposed Good Laboratory Practice (GLP) regulations? Overall findings are that sponsor laboratories met more of the GLP requirements than contract facilities, which, in turn, met more than university facilities. High areas of adherence to GLP's were facilities, animal care, and personnel. Low areas of adherence were the quality assurance unit, test substance control, and record retention. (Final report 41 pages).

DESCRIPTORS: Regulation and Standard Setting, Laboratories, Drugs Therapeutic and Devices, Biomedical Research, Consumer Products or Protection

EVALUATION TYPE: Program Impact

EDC ID NO: 1088

PROJECT NO: FDA 77-2, OPE Study 42

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: PB 272-269/AS

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 77-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: November '76 through September '77

0550

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Evaluation of the Economic Consequences of Restricting the Subtherapeutic Use of Tetracyclines in Feedlot Cattle and Swine

REPORT TITLE: Some Economic Consequences of Restricting the Subtherapeutic Use of Tetracyclines in Feedlot Cattle and Swine

PERFORMER: Food and Drug Administration; 5600 Fishers Lane; Rockville, MD

AGENCY CONTACT: Dworkin, Fay; Rm 15-74, Pkln; 301-443-6250

PROGRAM(S) EVALUATED:
PHS-FDA- Food & Drug-Drugs & Devices

ABSTRACT: This report presents the results of a study of the economic impact of restricting the subtherapeutic use of tetracycline in feed. The analysis shows that 1) the ban would affect the feedlot industry and consumers in opposite ways; 2) the use of an equivalent feed additive for growth promotion reduces the magnitude of the impact on both sectors of the economy; and 3) the overall impact is reduced if feedlot procedures maintain pre-ban levels of beef and swine production. (Final report 45 pages.)

DESCRIPTORS: Regulation and Standard Setting, Safety, Consumer Products or Protection, Economic Analysis Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1097

PROJECT NO: FDA 77-11, OPE Study 33

FUND SOURCE: Salaries and Expenses

NTIS NO: PB 262-996

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 77-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: July '76 through November '76

0551

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Technical Plan

REPORT TITLE: Technical Plan (Computer Printout)

PERFORMER: Not yet determined

AGENCY CONTACT: Helm, Donald

PROGRAM(S) EVALUATED:
PHS-FDA-Food & Drug-Foods

ABSTRACT: To be written. See also EDC 0722.

DESCRIPTORS: Food and Nutrition

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0721

PROJECT NO: FDA-71-5

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: Not yet determined

COST (In Thousands): FY 71-\$75

PROJECT STATUS: Study completed

START/END DATES: August '70 through December '70

0552

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Technical Plan Supplement

REPORT TITLE: Technical Plan Supplement (Computer Printout)

PERFORMER: Not yet determined

AGENCY CONTACT: Helm, Donald

PROGRAM(S) EVALUATED:
PHS-FDA-Food & Drug-Foods

ABSTRACT: To be written. See also EDC 0721.

DESCRIPTORS: Food and Nutrition

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0722

PROJECT NO: FDA-71-6

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: Not yet determined

COST (In Thousands): FY 71-\$50

PROJECT STATUS: Study completed

START/END DATES: January '71 through July '71

0553

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Third Survey of Consumer Nutritional Knowledge

REPORT TITLE: Not yet determined

PERFORMER: Response Analysis, Corp, 8555-16th St, Silver Spring, MD.

AGENCY CONTACT: Fusillo, Alice 3013 FB-8, 202-245-1547

PROGRAM(S) EVALUATED:
PHS-FDA-Food & Drug-Foods

ABSTRACT: This project is the third in a series of studies to measure the impact of FDA's nutrition labeling. Regulations on food buyers knowledge and habits, and to assess the use to change or expand those regulations.

DESCRIPTORS: Food and Nutrition, Regulation and Standard Setting, Consumer Products or Protection

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1096

PROJECT NO: FDA 77-10

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 223-74-2227

COST (In Thousands): FY 77-\$67

PROJECT STATUS: Study in process

START/END DATES: January '77 through December '77

0554

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: Measure-Act-Measure of the Medicated Feed Industry

REPORT TITLE: VET-MAM: Measure-Act, Measure Medicated Animal Feed

PERFORMER: FDA; 5600 Fishers Lane; Rockville, Maryland

AGENCY CONTACT: Hooker, Thomas; Rm. 12-57 PKLN;
301-443-1136

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug-Drugs & Devices

ABSTRACT: This study presents the results of a measure-act-measure study which tested the effectiveness of a new regulatory device, the regulatory letter, in the medicated feed industry. The first measure showed that a significant portion of the animal feed industry had critical deficiencies in their manufacturing and control procedures for medicated feed. The second measure inspections of the control group showed a significant reduction in the number of deficiencies. Statistical analysis of the test group data showed that the total number of deficiencies per firm was not further reduced to a significant extent by the regulatory letter. The regulatory letter, however, was effective in bringing out higher correction rates of the specific critical indicators which were found deficient in the first measure.

DESCRIPTORS: Regulation and Standard Setting, Safety, Statistical Data Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0739

PROJECT NO: FDA 76-1

FUND SOURCE: Salaries and Expenses

NTIS NO: PB 274-592

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 76-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: September '75 through January '76

0555

AGENCY SPONSOR: Public Health Service
Food and Drug Administration

PROJECT TITLE: The World Food Crisis, The U.S. Food Supply, and FDA

REPORT TITLE: The World Food Crisis, The U.S. Food Supply and FDA

PERFORMER: Food and Drug Administration; 5600 Fishers Lane; Rockville, Maryland

AGENCY CONTACT: Condaski, Susan; Rm 1574 PKLN;
301-443-4075

PROGRAM(S) EVALUATED:

PHS-FDA-Food & Drug-Foods

ABSTRACT: This study presents the results of research on several aspects of the world food situation. First, it discusses present and projected world food surpluses and shortages. Next, it identifies U.S. food surpluses and their distribution. Last, it compares amounts of food diverted from supply by FDA actions with relevant data on the

DESCRIPTORS: International Issues, Food and Nutrition

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1431

PROJECT NO: FDA 76-8, OPE
Study 29

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: PB 254-175

MECHANISM: In-house

ERIC NO: Not available

CONTRACT/GRANT NO: Not available

COST (In Thousands): FY 76-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: September '75 through February '76

HEALTH RESOURCES ADMINISTRATION

0556

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Adaptation of Longitudinal Data File for Health Service Areas to SPSS and SAS

REPORT TITLE: Adaptation of Longitudinal Data File for Health Service Areas to SPSS and SAS

PERFORMER: Dirigo Associates; 37 White Oak Trail; Chapel Hill, North Carolina 27514, George M. Stiles

AGENCY CONTACT: Brandt, Melanie; CB 2 Rm 10-22;
301-436-8996

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: The Health Resources Administration recently sponsored a contract (OA 77-1) to develop a national data base which would be useful in assessing the consequences of certain specific aspects of the environment on the behavior and performance of

health planning organizations. The original purpose of this data file was to provide HRA with information on individual health service areas and on groups of areas by type, and to permit comparative and evaluative examination of areas. It became apparent that the data file would be useful to various health planning agencies as well as to other organizations and individuals. The Health Service Area Profile Data File was originally in Binary Coded Decimal (BCD) format. This raw data, though severely limited its use by smaller, less sophisticated agencies. In order to maximize the utility of the file for a wide range of agencies and individuals the file has been adapted to two preprogrammed formats--the Statistical Package for the Social Sciences and the Statistical Analysis System. The final products of this project are a data tape containing the BCD, SPSS and SAS files and codebook and documentation which are being made available to HSAs through the Centers for Health Planning.

DESCRIPTORS: Information Processing, Statistical Data Feature, Statistical Analysis Methods Feature, Health Planning, Research and Evaluation Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1029

PROJECT NO: OA 77-6

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 230-77-0049

COST (In Thousands): FY 77-\$4

PROJECT STATUS: Study completed

START/END DATES: June '77 through July '77

0557

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Agency Assessment Program for CHP

REPORT TITLE: Not yet determined

PERFORMER: Site Assessment Branch; Office of Resource Development and Assistance

AGENCY CONTACT: Crane, Robert; 301-443-5430

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: The purpose of this project is to identify evaluation criteria and to design a program for documenting agency strengths and weaknesses. HRA has no additional information on this project.

DESCRIPTORS: Health Planning, Management Information and Reporting

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0757

PROJECT NO: CHP 73-2

FUND SOURCE: Salaries and Expenses

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 73-\$20

PROJECT STATUS: Study in process

START/END DATES: July '72 through June '73

0558

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Agency Program Reporting System

REPORT TITLE: Not yet determined

PERFORMER: Computer Science Corporation; 6565 Arlington Blvd; Falls Church, Va. 22046; C. Rughling; 703-553-8877

AGENCY CONTACT: Perry, D.; 301-443-1671

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: The purpose of this project is to perform those tasks required to make APRS a fully operational management information system. HRA has no additional information on this project.

DESCRIPTORS: Information Systems, Management Information and Reporting

EVALUATION TYPE: Support

EDC ID NO: 0756

PROJECT NO: CHP-73-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-110-73-326

COST (In Thousands): FY 73-\$34

PROJECT STATUS: Study in process

START/END DATES: January '73 through July '73

0559

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Analysis and Assessment of Non-Federal Funding of Health Systems Agencies

REPORT TITLE: An Analysis and Assessment of Non Federal Funding of HSAs

PERFORMER: JRB Associates, Inc.; 8400 Westpark Drive; McLean, Virginia 22101

AGENCY CONTACT: Peterson, Roland L.; FCB 2 Room 6-27

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: The National Health Planning and Resources Development Act of 1974 contemplates that Federal funding will be the principal source of support for HSAs. Although it is not required that these Federal grant funds be matched, the HSAs are eligible to receive additional federal funds if they do raise matching funds. Federal grant funding to HSAs in FY 1976 totalled \$63M. Certified matching funds totalled \$4.9M. The purpose of this study is to analyze matching funds and other contributions made to HSAs in their first year of operation in terms of (1) amounts and sources of allowable matching funds and acceptable contributions, (2) anticipated matching as certified compared with actually received, (3) outlook for non-Federal funding of HSAs in the next several years, (4) variables explaining success/failure of HSAs in attracting funds, and (5) possible strategies, legislative changes, etc., which might enhance non-Federal HSA funding. This study will provide the BHPRD with a more complete information base for predicting or forecasting non-Federal contributions to HSAs and with evaluative information for defending or rebutting proposed legislative alternatives regarding matching funds for HSAs.

DESCRIPTORS: Grants-in-Aid, State or Local Government, Field Test Feature, Trends and Forecasts, Health Planning, Research and Evaluation Planning and Administration

EVALUATION TYPE: Program Strategy

EDC ID NO: 1049

PROJECT NO: BHPRD 77-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

**Public Health Service
Health Resources Administration**

Accession No. 0562

CONTRACT NO: 230-76-0211 T.O. 2
COST (In Thousands): FY 77-\$48
PROJECT STATUS: Study completed,
Executive summary at EDC
START/END DATES: February '77 through August '77

0560

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Analysis of Data for OHRO-IHS Evaluation of
Native American Manpower Projects

REPORT TITLE: None

PERFORMER: The BLK Group; 1730 M Street, N.W.;
Washington, D.C. 20036 Ken Gramza

AGENCY CONTACT: Walkington, Robert; Rm. 10-22 CB 2;
301-436-7274

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Manpower-Special
Education Programs

ABSTRACT: The purpose of this contract is to support a current, time limited in-house evaluation study (OA 76-101 and EDC 685) being conducted as a cooperative effort of the Office of Health Resources Opportunity and the Office of Planning, Evaluation, and Legislation. Tasks involved preparation of the data for analysis, preliminary analysis and drawing tentative conclusions.

DESCRIPTORS: Native Americans, Health Manpower Training,
Minority Groups

EVALUATION TYPE: Research and Data Development

EDC ID NO: 685.1 **PROJECT NO:** OA-76-102

FUND SOURCE: Evaluation **NTIS NO:** None

MECHANISM: Contract **ERIC NO:** None

CONTRACT NO: HRA-230-75-0092 CO

COST (In Thousands): FY 76-\$14

PROJECT STATUS: Study completed

START/END DATES: February '76 through April '76

0561

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Analysis of Performance in Career Patterns of
U.S. Foreign Medical Transfers Under Sec. 771 (b) (3)

REPORT TITLE: Analysis of Deficiencies in the Foreign Medical
Education of U.S. Foreign Medical Student Transferees (3
Vols.)

PERFORMER: Macro Systems, Inc.; 8630 Fenton Street; Silver
Spring, Md. 20910 Michael Cohen

AGENCY CONTACT: Rayner, Jeannette; Rm. 3-22; Center Bldg.;
301-436-6595

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Manpower-Special
Education Programs

ABSTRACT: Section 782 of the Health Professions Educational Assistance Act of 1976 mandated the identification of educational deficiencies in U.S. citizen foreign medical students (USFMS) who had transferred to U.S. medical schools or who were attempting to transfer and the provision of retraining programs. The twenty-one U.S. medical schools participating in the grant program submitted reports to the Secretary of Health, Education and Welfare in order that an

analysis and an evaluation of the deficiencies identified in the foreign medical education of USFMS could be undertaken and reported to Congress. Major curricular data sources were foreign medical school transcripts, anecdotal reports of the USFMS transferees and data provided by the participating schools on the USFMS performances on the examinations and other assessing instruments. Limitations of the study, i.e., secondary data, lack of standardized examinations and limited number of USFMS from any one of the foreign medical schools are discussed and appropriate caveats offered. Despite these limitations, the major objectives of the study were met and an identification and analysis of the impact of the retraining programs on U.S. medical schools' curriculum, teaching methods and faculty are provided.

DESCRIPTORS: Curriculum Development, Grants-in-Aid, Medical
Training, Medical Students, International Issues

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1660

PROJECT NO: BHM 78-005

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 232-78-0068

COST (In Thousands): FY 78-\$42

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: September '78 through March '79

0562

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Assessment of the Applicability of Simulation
Models for Analysis of Health Manpower Supply/Demand
Problems

REPORT TITLE: Analysis of Health Manpower Models--Vol. I of a
Health Manpower Model Evaluation Study

PERFORMER: Vector Research, Inc; P. O. Box 1506; Ann Arbor,
Michigan 48106; Seth Bonder

AGENCY CONTACT: Krystnak, Dr. Leonard; Rm 1011 PKLN;
301-443-1893

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Manpower

ABSTRACT: This report first identifies and describes analysis areas and political issues concerned with the current or potential health manpower needs. Following a comparative study of these areas and the subject matter covered by 56 health manpower models developed and/or reported during the period 1960-1973, an in-depth evaluation of two large-scale models sponsored by the Division of Manpower Intelligence is performed. The study focuses on the usefulness of these models in forecasting demand and supply and their interaction, and for policy analysis purposes. Each model is analyzed in terms of applicability, generality, validity, and operational feasibility. The study concludes that most of the models, in spite of use of sophisticated simulation techniques, were not useful in policy analysis settings. Few of the models had been tested for predictions vs actual behavior. The two large-scale models were among the best, and one had promise for use in health planning. (Final report 224 pages.)

DESCRIPTORS: Health Manpower Projections, Literature Review
Feature, Research and Evaluation Performance, Operations
Research Feature, Economic Analysis Feature, Health Planning, Needs
and Needs Assessment

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0412 **PROJECT NO:** BHME 72-18(b)
FUND SOURCE: Evaluation **NTIS NO:** PB 247-342
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: N01-M1-24313
COST (In Thousands): FY 72-\$84
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS
STATE/END DATES: June '72 through January '74

0563

AGENCY SPONSOR: Public Health Service
 Health Resources Administration
PROJECT TITLE: Description and Analysis of Practice Profiles of
 Dentists in Relation to General Practice Training
REPORT TITLE: Analysis of Practice Profiles of Dentists in
 Relation to General Practice Training
PERFORMER: Eastman Dental Center; 625 Elmwood Avenue;
 Rochester, N.Y. 14620; Stanley Handelman;
AGENCY CONTACT: Balzer, Jay; Rm 3-22 Center Bldg.;
 301-436-6514
PROGRAM(S) EVALUATED:
 PHS-HRA-Health Resources-Health Manpower-Dental
 Health

ABSTRACT: In recent years there has been a trend toward specialization in dentistry. Title VIII, Section 786 (b) of P.L. 94-484 (Health Professions Educational Assistance Act of 1976) is attempting to address concern over this trend by supporting training programs in general dentistry. The purpose of this study was to conduct a survey of graduates and a control sample of nongraduates of general practice residency programs. Efforts undertaken included statement of practice objectives of General Practice Residency programs, identification of indices for measuring achievement of the objectives and a survey instrument was developed. The study tested the hypothesis that General Practice Residency experience leads to differences in the profiles of a dental practice shown by graduates as compared to non-graduates. The test of this hypothesis provides input into later decisions to continue or deny Federal support to general practice residency programs. (Final report 60 pages.)

DESCRIPTORS: Dental Manpower, Dental Schools, Field Test Feature, Health Manpower Training, Medical Practice, Survey or Questionnaire Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 1663 **PROJECT NO:** BHM 78-014
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 232-78-0190
COST (In Thousands): FY 78-\$71
PROJECT STATUS: Study completed,
 Available from EDC
START/END DATES: September '78 through June '79

0564

AGENCY SPONSOR: Public Health Service
 Health Resources Administration
PROJECT TITLE: An Analysis of Comments on the National Health
 Planning Guidelines

REPORT TITLE: Analysis of Responses to the Draft National Health
 Policy Planning Guidelines
PERFORMER: The Orkand Corporation; 8630 Fenton Avenue;
 Silver Spring, Maryland 20910; Franz Jagger
AGENCY CONTACT: Zwick, Daniel; Parklawn Bldg., Rm. 10A56;
 301-443-1206
PROGRAM(S) EVALUATED:
 PHS-HRA-Health Resources-Health Planning &
 Development

ABSTRACT: Section 1501 of PL 93-641 requires that the Secretary consult with Health Systems Agencies, State Health Planning and Development Agencies, Statewide Health Coordination Councils, medical and professional groups, and others in the development of the National Guidelines for Health Planning. HRA has distributed almost 1,500 copies of initial draft Guidelines for review and comment. The purpose of this analysis is to provide summary information on the reactions of a variety of individuals and groups to the drafts. Responses to the Guidelines are assigned to one or eight categories of responder types: Health Planning Agencies, Financial Intermediaries, Consumer Organizations, Health Organizations, Federal, State and Area-wide Programs and Agencies, Providers, Philanthropic, Educational and Research Organizations, and others. Scores were assigned based on information from checklists distributed by HRA or on review of comments addressing a given statement. Responses were scored according to five categories: Agree, Modify, Disagree, No Comment, or No Response. There are three major components to the analysis of responses: (1) determination of whether respondents agree or disagree with various drafts of the Guidelines, (2) summary of specific suggestions for revising the Guidelines and (3) development of a summary of general comments on the Guidelines as a whole.

DESCRIPTORS: Health Planning, Regulation and Standard Setting, Citizen Participation

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0688 **PROJECT NO:** OA-76.1-15
FUND SOURCE: Evaluation, Salaries and Expenses **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA-230-76-0210 TO
COST (In Thousands): FY 76.1-\$45
PROJECT STATUS: Study completed
START/END DATES: September '76 through March '77

0565

AGENCY SPONSOR: Public Health Service
 Health Resources Administration
PROJECT TITLE: Evaluation of the Impact of HRA Programs on
 State Departments of Human Resources
REPORT TITLE: An Analysis of the Effects of State Departments of
 Human Resources on Selected Health Resources/NIMH
 Programs
PERFORMER: Linton & Company, Inc.; Marshall, Kaplan, Gans,
 and Kahn; The Research Group, Inc.
AGENCY CONTACT: Price, Isabel; HRA; 301-443-1040
PROGRAM(S) EVALUATED:
 PHS-HRA-Health Resources-Health Planning &
 Development;
 PHS-HRA-Health Resources-Facilities Construction-Medical;
 PHS-OASH-Health Statistics;
 PHS-HRA-Health Resources-Regional Medical Programs;
 PHS-ADAMHA-Mental Health-Community Programs &
 Centers

ABSTRACT: The effect of consolidating State health and human service programs into a department of human resources (DHR) is compared for Massachusetts, Georgia, Arizona and Wisconsin. Six selected programs of the Health Resources Administration and NIMH are covered: Comprehensive Health Planning; Hill-Burton; Regional Medical; Cooperative Health Statistics; Community Mental Health Centers; and State Psychiatric Hospitals. Significant factors explored included (1) changes in relationships among the six programs and other human service programs and governmental entities; (2) changes in the structure, process, and product of the six programs; (3) the effect of the six programs on the State DHR; and (4) the effect of The National Health Planning and Resources Development Act of 1974 and Title XX on the State DHR and on the six programs. The study concludes that the impact of consolidation on Federal categorical programs will vary, that the six programs have little impact on the creation and operation of a DHR, and that the impact of human service planning initiatives on health programs will be significant only when funding sources are involved. The final report includes Recommendations for Modification of the Research Design, Comparative Analysis, and four state case studies. See also EDC 0689.1 for a seventh bibliographic volume. (Final report six volumes and 522 pages).

DESCRIPTORS: Reorganization, State or Local Administration, Health Planning, Program Coordination, Case or Site Study Feature, Bibliography Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0689 **PROJECT NO:** HRA-74-2-1 to 6
FUND SOURCE: Evaluation **NTIS NO:** PB 259-217-222
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA-230-75-0089
COST (In Thousands): FY 75-\$ND
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: February '75 through October '76

0566

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: A Follow-up Analysis of Minority and Other
Forms of Representation for HSA's and SHPDA's

REPORT TITLE: An Analysis of the Representation of Minority and
Other Target Groups in Health Systems Agencies and State
Health Planning and Development Agencies

PERFORMER: Koba Associates; 2001 S Street, N.W. Suite 302;
Washington, D.C. 2

AGENCY CONTACT: Hausner, Tony; CB 2 Room 10-50,
301-436-7220

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning &
Development

ABSTRACT: This study represents an assessment of factors which affect minor and other forms of representation on HSAs and SHPDAs. It analyzes the represent of "target groups" on both agency boards and staffs. Interest in the represents of these groups stems from the legal mandate of the National Health Planning and Resources Development Act (PL 93-64) and from a desire to uncover those practice which foster representation. The report finds that confusion as to the meaning the phrase "broadly representative" has resulted in variant efforts to respond t the mandate for such representation. Two interpretations of the phrase were fou to be operational: (1) a requirement for parity; that is, an exact numerical reflection of the region's population and (2) as allowing greater flexibility; t is,

"advocates" for target groups. Depending on the interpretation operating wi each agency, the factors affecting the representation of target groups were foun vary; however, the analysis reveals that perhaps the major factor affecting such representation is the individual agency's understanding of the meaning of the la The report presents analysis related to the issue of target group representation HSA and SHPDA staffs. It also notes that awareness of the total issue was found quite low; both boards and staffs were more concerned with the on-going planning review activities. While target group resignations or terminations were found t significant, the rates and reasons for turnover did not differ significantly fro the rate for non-minorities. (Final report 2 volumes.)

DESCRIPTORS: Minority Groups, Health Planning, Access to Services, State or Local Administration, Research and Evaluation Performance

EVALUATION TYPE: Program Impact

EDC ID NO: 1036 **PROJECT NO:** OA 77-104
FUND SOURCE: Evaluation **NTIS NO:** PB 284-411, 301-352
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 230-77-0127
COST (In Thousands): FY 77-\$78, FY 78-\$7
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: September '77 through June '78

0567

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Continuing Dental Education Evaluation

REPORT TITLE: An Approach to the Evaluation of the
Effectiveness of Continuin Dental Education

PERFORMER: MIND, Inc; 3 Prospect Street; Vermillion, South
Dakota

AGENCY CONTACT: Angerbauer, Kent

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Manpower-Dental
Health;
PHS-HRA-Health Resources-Health Manpower-Health
Professions;
E-OE-Higher Education

ABSTRACT: This report describes techniques designed for evaluation studies in the area of continuing dental education. Emphasis is placed on the evaluatio of the classification, comparison, and analysis of continuing dental education activities for five states focused on (1) activity, (2) sponsoring agencies, (3) participants, (4) funding agencies, (5) relation to the State Board of Dental Examiners, and (6) dental patients. The study concludes that continuing dental education in the five state area studied consists of fragmented efforts by many sponsoring agencies presenting a variety of types of activities. Manpower distributions of dental personnel have illustrated that problems of distance and isolation in the five state area, and that little information has b collected or is available concerning the defined aspects for the components of continuing dental education. The study recommends that institutions responsible for continuing dental education concentrate on the development of a coordinated system for much education, preferably on a regional basis. Such a system should include a flexible curriculum, training programs, an ongoing process of evaluation, and research on an instructional model for continuing dental education. (Final report 222 pages.)

DESCRIPTORS: Higher Education, Dental Manpower, Dental Schools, Health Manpower Training, Survey or Questionnaire Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0410

PROJECT NO: BHME 72-14

FUND SOURCE: Evaluation

NTIS NO: PB 246-937

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: NIH 72-4312

COST (In Thousands): FY 72-\$113

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '72 through June '74

PROJECT STATUS: Study in process

START/END DATES: September '78 through September '79

0569

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Assessment of Dental EFA Training Programs

REPORT TITLE: Not yet determined

PERFORMER: Educational Testing Service; Princeton, N.J.

AGENCY CONTACT: McKenzie, Neil; 301-496-3137

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower-Dental Health

ABSTRACT: To categorize Federally-supported EFA training programs according to selected educational characteristics and to assess the relative effectiveness of various educational approaches by examining to what extent graduate EFA's are being employed in dental practices and how they are being utilized.

DESCRIPTORS: Dental Health Care, Dental Manpower, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0800

PROJECT NO: DDH-74-2

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: N01-DH-44145

COST (In Thousands): FY 74-\$106

PROJECT STATUS: Study in process

START/END DATES: June '74 through December '75

0568

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: An Assessment and Analysis of HRA Legislation and Programs as They Relate to Women and Health

REPORT TITLE: Not yet determined

PERFORMER: ABT Associates; 55 Wheeler Street; Cambridge, MA 02138; Naomi Naerman

AGENCY CONTACT: Wells, Charles; Rm 10-50, Center Bldg.; 301-436-7220

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower;

PHS-HRA-Health Resources-Program Direction & Management;

PHS-HRA-Health Resources-Health Planning & Resources Development

ABSTRACT: The Health Resources Administration has made a commitment to correct the imbalances in the distribution and supply of women health workers which has historically been evident. The purpose of this study is to conduct a policy overview of HRA legislation and related Senate and House documents, the regulations, etc., to determine the positive aspects of the current legislation and programs relating to women. It also will assess and analyze the negative restrictions/requirements currently existing in the legislation and HRA programs which restrict women's participation in the system. Secondly, the study will attempt to 1) determine what is currently ongoing in HRA which directly relates to women and health, 2) determine where key policy decisions within HRA are made that influence women's issues, and 3) determine the special needs and concerns of minority women. It is anticipated that the findings of the study will indicate relatively little mandated in the legislation regarding women. As a result, a minimum of programmatic effort in HRA is directly related to women's special needs and concerns. It is hoped that by identifying where key program decisions are made, sensitivity can be developed regarding the special needs of women and consideration of these needs incorporated into program development.

DESCRIPTORS: Survey or Questionnaire Feature, Literature Review Feature, Women, Needs and Needs Assessment, Health Manpower Other, Labor Market Problems

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1675

PROJECT NO: OA 78-104

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 232-78-0183

COST (In Thousands): FY 78-\$90

0570

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Assessment of Health Manpower Modelling Efforts and Development of Alternate Modelling Strategies

REPORT TITLE: Assessment of Health Manpower Modelling Efforts and Development of Alternate Modelling Strategies

PERFORMER: Applied Management Sciences; 962 Wayne Avenue, Suite 701; Silver Spring, Md. 20910; Dr. Robert Deane

AGENCY CONTACT: Cole, Roger; NIH Bldg 31, Rm 3B03; 301-496-1178

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower;
V-Many HEW Programs-Health

ABSTRACT: The purpose of this study was to design a model (or models) of the total health care system. A review of strengths and weaknesses of existing models indicated that a new model was needed. To develop this new model, available modelling techniques were reviewed. These techniques included Micro vs. Macro Modelling, Simulation vs. Recursive Models, Linear vs. Non-Linear Models, Categorization by Estimation Technique, Simulation vs. Hypothesis Testing, and Econometric Models vs. Systems Dynamics. Based on review of existing models and modelling techniques, it was determined that the Systems Dynamics approach was the most feasible. The report characterizes the Systems Dynamics approach and develops a prototype. (Final report 26 pages).

DESCRIPTORS: Health Care Delivery Systems, Health Manpower Projections, Health Planning, Research and Evaluation Methods Feature, Feasibility Study Feature, Operations Research Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0587 **PROJECT NO:** BHM 76-1
FUND SOURCE: Evaluation **NTIS NO:** PB 253-983
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA-230-75-0079 CO3
COST (In Thousands): FY 76-\$60
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: September '75 through March '76

0571

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Assessment of HSA Governing Body Selection
and Operational Process

REPORT TITLE: Not yet determined

PERFORMER: TARP, Inc.; 706 Seventh St., S.E.; Washington,
D.C. 20003; Marc Grainer

AGENCY CONTACT: Gervis, Barbara; Rm 5-30, Center Bldg.;
301-436-6104

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Planning &
Development

ABSTRACT: P.L. 93-641, the National Health Planning and Resources Development Act of 1974, provides for the establishment of local Health Systems Agencies (HSAs), responsible for effective health planning and resources development activities. Each HSA must have a governing body for health planning, with exclusive responsibility for the operation of the agency, including the establishment of long range health systems plans and shorter range annual implementation plans, making recommendations to State health planning agencies on certificate of need, and the approval/disapproval of the use of certain Federal funds. The governing bodies of HSAs are to be composed of a consumer majority and providers. The purpose of this study is to obtain information and analyze that information concerning: 1) the processes by which HSA governing bodies (and as appropriate, Executive Committee Members) are selected; and 2) the processes by which HSA governing bodies operate and conduct their business. Specifically, this study will concentrate on the extent to which different modes of selection further participation of health services area interest groups and populations, and the extent to which composition of the HSA governing body reflects the requirements of legislation and regulations. In regard to the operation of the governing body, the study will concentrate on the extent to which participation and other "openness" requirements enhance further effective participation by residents in planning agency activities.

DESCRIPTORS: Case or Site Study Feature, Health Planning, Literature Review Feature, Survey or Questionnaire Feature, Federal State and Local Relations

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1670 **PROJECT NO:** BHPRD 77-012
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 232-78-0165
COST (In Thousands): FY 78-\$74

PROJECT DESCRIPTIONS

PROJECT STATUS: Study in process

START/END DATES: September '78 through April '78

0572

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: An Evaluation of Retention and Recruitment
Activities in HRA's Special Health Career Opportunity
Grants and Special Project Awards

REPORT TITLE: An Assessment of Recruitment and Retention
Activities in HRA's Special Health Career Opportunity
Grants and Special Project Awards

PERFORMER: Lawrence Johnson & Associates; 2001 S Street,
N.W.; Washington, D.C. 20009; Lawrence B. Johnson

AGENCY CONTACT: Gill, Norma; Parklawn Room II-34;
301-443-7220

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower-Special
Education Programs

ABSTRACT: The Special Health Career Opportunity Grants and Special Project Awards funded by HRA are designed to facilitate the identification, motivation, recruitment, retention, and eventual placement of minority and disadvantaged students in health careers. To carry out these activities funds were awarded to public or non-profit health or education entities including legally recognized schools, colleges, universities, hospitals, community organizations, and professional health organizations. An evaluation of the program indicates that the general objective of increasing the number of minority and disadvantaged individuals in the health professions is being met. The most effective techniques used in recruiting were visits to schools and community groups and distribution of printed materials. Effective retention techniques were tutorial services, pre-enrollment summer sessions, and study skills classes. Inaccurate records of project activities prevented obtaining data on demographic characteristics of project participants such as economic and educational histories. The methodology used to conduct the study was a mail questionnaire to 172 projects that were identified as being operable during FY 1975. This was followed up by site visits to 31 projects to gain an indepth picture from project personnel and participants.

DESCRIPTORS: Health Manpower Training, Minority Groups, Low-Income Population, Scholarships Fellowships and Loans, Benefit-Cost Analysis Feature, Survey or Questionnaire Feature, Case or Site Study Feature

EVALUATION TYPE: Program Strategy

EDC ID NO: 0691 **PROJECT NO:** HRA 74-5
FUND SOURCE: Evaluation **NTIS NO:** PB 271-926
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HRA 230-75-0099
COST (In Thousands): FY 75-\$194, FY 76-\$112, FY 77-\$30
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: July '75 through June '77

0573

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of the Medical Preceptorship Program

REPORT TITLE: An Assessment of the Influence of Medical Preceptorship and Other Factors on Physician Career Choice and Medical Education

PERFORMER: Applied Management Sciences; 962 Wayne Avenue, Suite 701; Silver Spring, Md. 20910; Douglas Skinner

AGENCY CONTACT: Barriash, Anna; Rm. 4-50, CB2; 301-436-6580

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower-Special Education Programs

ABSTRACT: Between FY 1972 and 1974 the Bureau of Health Manpower supported approximately 70 medical preceptorship programs under the authority provided in Section 772(a) of the PHS as amended by PL 92-157. These programs were set up to enable full time students to secure a part of their education under a preceptor in family medicine, pediatrics, or internal medicine, or in rural or other areas having a shortage of physicians. In addition, BHM plans to support over 200 students in preceptorships at NHSC sites. Preceptorship programs, federal or non-federally supported, have emerged in a variety of forms and without any uniform evaluation strategy. The purpose of this project was to assess the development and operational experiences thus far associated with medical preceptorship programs and to test the utility and impact of these programs on a comparative basis. This project defined the characteristics of these programs, identified a data base needed for continuing evaluation, and analyzed the apparent impact of these programs on a variety of factors including choice of specialty and practice location.

DESCRIPTORS: Medical Practice, Health Manpower Training, Medical Training, Urban or Rural Places or Problems

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0698

PROJECT NO: BHM 75-4

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: HRA-231-76-0040

COST (In Thousands): FY 76-\$141, FY 77-\$36

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: June '76 through December '77

0574

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Collection of Data on Linkage Systems
Mechanisms and Their Relationship to New Agencies and
Plans Under PL 93-641

REPORT TITLE: Collection of Data on Linkage Systems
Mechanisms and Their Relationship to New Agencies and
Plans Under PL 93-641

PERFORMER: Moshman Associates; 6400 Goldsboro Road;
Washington, D.C. 20034; B. Ralph Stauber

AGENCY CONTACT: Merrill, Ronald; NIH, Building 31 Rm 5C35;
301-496-6821

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower;

PHS-HRA-Health Planning & Development

ABSTRACT: The Bureau of Health Manpower and the Regional Offices funded linkage system contracts in about 20 states in FY 1974. The purpose of the linkage system contracts was to bring together existing data on health manpower for the development of a plan which would be used by state legislative and executive agencies in allocating resources for health manpower education. Knowledge of the linkage system contracts, their progress and problems, could be helpful to the HSAs being developed under PL 93-641. Thus, the

study examined ten linkage contracts operations in order to examine their methodologies and review their progress and problems. The results of the study found that mechanisms can be developed, based on the laws, governmental structures, and practices of the individual states, that will promote coordination of planning, training, credentialing, and utilization of health manpower with the decision-making levels of state government. These various mechanisms and their application are mentioned in the report. Factors which favor successful linkage operations and those which are liabilities to the success of the linkage projects are also reviewed in the final report.

DESCRIPTORS: Health Manpower Projections, Health Manpower Training, Health Planning

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0486

PROJECT NO: OA-76-05

FUND SOURCE: Evaluation

NTIS NO: PB-255-067

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HRA-230-75-0082-CO8

COST (In Thousands): FY 76-\$42

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS

START/END DATES: March '76 through June '76

0575

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Biomedical Communications Planning and
Evaluation Process

REPORT TITLE: Communication Technology Satellite Biomedical
Communications Experiment

PERFORMER: Applied Management Sciences; 962 Wayne Ave.,
Suite 701; Silver Spring, Maryland 20910; Alfred Meltzer

AGENCY CONTACT: Henderson, Earl; National Library of
Medicine; 301-496-1306

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Library of Medicine

ABSTRACT: The U.S. and Canada plan to join for the launch and support of a K-Band Communications Satellite for social service experiments. The Communications Technology Satellite will provide opportunities for the expansion of medical education, health care delivery and medical research programs. The study provided planning for the evaluation of this medical communications program. The results of this planning effort are being used to develop a Biomedical Communications Program supported by a high powered satellite system. The final program will evaluate broadband satellite systems as a means to minimize transportation costs, extend health care into remote areas, reduce the cost of medical education and improve the distribution of medical research discoveries.

DESCRIPTORS: Biomedical Research, Broadcast Technology, Information Dissemination, Medical Technology

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0679

PROJECT NO: OA-75-9

FUND SOURCE: Research

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HRA 230-75-0079 CO

COST (In Thousands): FY 75-\$30, FY 76-\$6

**Public Health Service
Health Resources Administration**

Accession No. 0578

PROJECT STATUS: Study completed
START/END DATES: April '75 through July '75

0576

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Comparative Analysis of the Factors Contributing
to the Ranking of Regional Medical Programs

REPORT TITLE: Not yet determined
PERFORMER: Evaluation Branch; Office of Planning and
Evaluation

AGENCY CONTACT: Ensor, J.

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Regional Medical Programs

ABSTRACT: This study is aimed at isolating salient factors in the
classification of RMP's as either successful or unsuccessful programs.
HRA has no additional information on this project.

DESCRIPTORS: Discretionary and Project Grant Performance,
Health Manpower Training, Health Manpower Other
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0760 **PROJECT NO:** RMPS 73-51
FUND SOURCE: Salaries and **NTIS NO:** Not yet determined
Expenses **ERIC NO:** Not available

MECHANISM: In-house
CONTRACT NO: Not available

COST (In Thousands): FY 73-Not available

PROJECT STATUS: Study in process

START/END DATES: March '73 through September '73

0577

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Comparative Evaluation of Federally and
Nonfederally Supported Primary Care Oriented Medical
Residency Programs

REPORT TITLE: Not yet determined

PERFORMER: U. of California, S.F.; Office of Medical Education;
50 Kirkham Street; San Francisco, CA 94143;

AGENCY CONTACT: Brown, Joseph; Rm. 4-44 Center Bldg.;
301-436-6587

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Manpower-Special
Education Programs

ABSTRACT: Since passage of the Comprehensive Health Manpower
Training Act in 1971, the Bureau of Health Manpower has awarded
approximately \$54 million for the development and operation of fam-
ily practice residency training programs. To complement graduate
training in family medicine, a number of organizations have begun
residency programs in internal medicine and pediatrics oriented to-
ward primary care training. The Division of Medicine is supporting
seven such model primary care residency programs. In addition, the
Robert Wood Johnson Foundation is supporting eleven model pro-
grams. The purpose of this study is to assess the development and
operational experiences thus far relating to these nonfamily practice
primary care residency programs and to test the utility and impact of
this support. Using data collected for the Robert Wood Johnson
Foundation, study efforts will be directed toward determining and
analyzing the characteristics of all primary care residency training

programs including incentives for initiating program capacity, train-
ing methods, costs of training and financial viability, comparing the
experiences of institutions using various training models in terms of
their impact on institutions, residents, and aspects of care delivery;
determining the number and characteristics of enrolled trainees; de-
termining the actual location and type of practice that graduate resi-
dents are moving toward; and determining the probable location and
type of practice future graduates will choose.

DESCRIPTORS: Medical Training, Grants-in-Aid, Health Manpower
Training, Medical Practice, Statistical Analysis Methods Feature
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1664 **PROJECT NO:** BHM 75-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 232-78-0115

COST (In Thousands): FY 78-\$159

PROJECT STATUS: Study in process

START/END DATES: April '78 through December '79

0578

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: A Study of the Cost of Care in Teaching and
Non-Teaching Hospitals

REPORT TITLE: Comparing Costs of Inpatient Care in Teaching
and Non-Teaching Hospitals: Methodology and Data

PERFORMER: The Rand Corporation; 1700 Main Street; Santa
Monica, California 90406

AGENCY CONTACT: Brown, Joseph; CB

PROGRAM(S) EVALUATED:
HCFA-Medicare-Hospital Insurance;
HCFA-Medicaid-Basic Care;
PHS-HRA-Health Resources-Health Manpower-Health
Professions

ABSTRACT: Teaching hospitals serve the function of training future
physicians as well as providing medical care to patients. Consequent-
ly, the payments for medical services obtained in teaching hospitals
cover the joint production of teaching and of medical care. The
research identified evaluation options for analyzing the costs of in-
patient care in teaching and nonteaching hospitals. The report consid-
ered problems of research design, alternative analytic models, and
data availability and the relationship of each to health care reimburse-
ment policy issues. Since a major shortcoming of past analyses has
been the omission of physician costs, a substantial component of the
assessment concerned the availability of data that would permit anal-
ysis of both physician costs and hospital costs.

DESCRIPTORS: Hospitals, Health Care Delivery Systems, User
Charges, Laboratories, Economic Analysis Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0701 **PROJECT NO:** BHM 75-10
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 231-75-0612

COST (In Thousands): FY 75-\$103

PROJECT STATUS: Study completed

START/END DATES: June '75 through June '77

0579

AGENCY SPONSOR: Public Health Service
Health Resources Administration**PROJECT TITLE:** Analysis of Issues Affecting Comprehensive Health Planning**REPORT TITLE:** Cooperation Between Health Systems Agencies and Professional Standards Review Organizations/Selected Use of Competition by HSAs**PERFORMER:** University of California at San Francisco; School of Medicine; San Francisco, Ca. 94122**AGENCY CONTACT:** Tabb, Jessie; Rm 5-22, CB2; 301-436-6120**PROGRAM(S) EVALUATED:**

PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: This study was designed to examine the potential relationship between two new types of regulatory agencies-HSAs and PSROs-established under federal law to regulate the supply and use of medical care resources. Specifically, this study analyzes to what extent cooperation between these agencies is desirable and workable and how the federal government should promote such cooperation. The approach used in the study was to analyze how the functional processes of HSAs and PSROs may be interrelated and to test that analysis against the actual experience of predecessor agencies and the experience of functioning HSAs and PSROs-many still in the early stages of development. The fundamental problem of balance and proportion, seeking enough cooperation on specific issues yet retaining enough autonomy on broad goals, is illuminated through a series of recommendations. The study examined within HSAs should encourage or approve the development of competing systems of care as a way of controlling costs, even though there may be some duplication of resources in the short run. The study concentrates on HMOs and ASFs while examining the potential conflict between such a market incentive approach to cost control and the desire to prevent an unnecessary duplication of health resources. The study approach has made use of historical cost characteristics, developed estimates of overall community costs, and a survey of the use of information disclosure by consumer and provider groups.

DESCRIPTORS: Health Planning, Quality Assurance, Case or Site Study Feature, Technical Assistance**EVALUATION TYPE:** Program Management or Efficiency**EDC ID NO:** 0754**PROJECT NO:** BHPRD-75-4**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HRA-230-75-71**COST (In Thousands):** FY 75-\$436**PROJECT STATUS:** Study completed**START/END DATES:** October '75 through January '77

0580

AGENCY SPONSOR: Public Health Service
Health Resources Administration**PROJECT TITLE:** Analysis of the Health and Health Related Human Resource Planning System at the State and Substate Levels: A Pilot Study**REPORT TITLE:** The Coordination of Health and Human Resources Planning Literature Review and Field Report**PERFORMER:** Miller and Byrne, Inc., 6110 Executive Boulevard, Su. 700, Rockville, Maryland 20852**AGENCY CONTACT:** Buntz, Gregory, C.; Federal Center Bldg. 2; Rm 10-22; 301-436-8996**PROGRAM(S) EVALUATED:**

PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: This is an investigation of health and health related planning coordination at the state and substate levels. The project was designed as a pilot study to assess the need for a full-scale investigation; and (3) make recommendations as to how the study focuses on the coordinative relationships of PL 93-641 entities to seven other programs with health-related components. These inter-program relationships were studied through an extensive literature review and through a field survey of two states and four health service areas. The literature review indicated little consensus as to what constitutes the health and health-related human resource system, planning, or coordination. Documents produced by the state and substate agencies provide significant general background information on health and health-related human resource system, planning, or coordination. Documents produced by the state and substate agencies provide significant general background information on health and health-related planning processes as well as insight into program priorities, the planning process, cooperation with other agencies in the development of plans, and the use of advisory councils. Although the findings can only be viewed as suggestive, program coordination, or at least the attempt to achieve substantial and effective results, appears to occur only under two conditions: (1) when a crisis situation develops and/or (2) when two programs experience simultaneous development. (Final report 173 pages).

DESCRIPTORS: Case or Site Study Feature, Feasibility Study Feature, Federal State and Local Relations, State or Local Administration, Health Planning, Literature Review Feature, Program Coordination
EVALUATION TYPE: Research and Data Development**EDC ID NO:** 1045**PROJECT NO:** OA 76-14**FUND SOURCE:** Evaluation**NTIS NO:** PB 271-900**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** 230-76-0274**COST (In Thousands):** FY 77-\$49**PROJECT STATUS:** Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS

START/END DATES: January '77 through July '77

0581

AGENCY SPONSOR: Public Health Service
Health Resources Administration**PROJECT TITLE:** Determine the Cost of In-Hospital Orientation Training and Other In-Service Education for RN's of Differing Educational Preparation**REPORT TITLE:** Costs of Hospital-Sponsored Orientation and Inservice Education for Registered Nurses**PERFORMER:** Arthur D. Little, Inc.; Acorn Park; Cambridge, Mass.**AGENCY CONTACT:** Moses, Evelyn**PROGRAM(S) EVALUATED:**

PHS-HRA-Health Resources-Health Manpower-Nursing-Institutional Aid

ABSTRACT: This survey was conducted to determine the nature and costs of hospital orientation and inservice education programs for registered nurses. The study design included analysis for differences in orientation costs for new nurses with three different types of educational preparation (diploma, associate degree, and baccalaureate) and for cost differences in hospitals of varying sizes. Retrospective data were supplied by a stratified random sample of 394 hospitals in order

to make projections on the designated population of 5,865 hospitals. The total national cost of in-hospital education was estimated at \$226 million, with \$135 million or 60 percent for orientation and \$91 million or 40 percent for inservice education. In all hospitals, the combined cost for in-hospital education averaged \$1 per patient day. Associate degree graduates generally averaged more hours of clinical unit orientation than either diploma or baccalaureate graduates. Average salary costs for training staff for both orientation and inservice ranged from \$7,176 in smaller hospitals to \$80,062 in larger hospitals. Additional information on survey procedures and results is appended, and supporting tabular data are provided. (Final report 63 pages plus appendices).

DESCRIPTORS: Nursing Manpower, Adult Education, Hospitals, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0602

PROJECT NO: DN 73-7

FUND SOURCE: Evaluation

NTIS NO: PB 255-014

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: N01-NV-34082

COST (In Thousands): FY 74-\$95

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '73 through December '74

0582

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Assistance in the Design of Evaluation of
Programs of Decentralized Health Professional Education

REPORT TITLE: Decentralized Health Professional Education: Final
Report on Evaluation

PERFORMER: Applied Management Sciences; 962 Wayne Ave.;
Suite 701; Silver Spring, Md. 20910; Marianne Miller

AGENCY CONTACT: Armstrong, Felton; 301-443-4173

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower-Special
Education Programs

ABSTRACT: A discussion of decentralized and regionalized health professional education programs (D/R HPEP's) is provided as background material for the development of a plan to evaluate D/R HPEP's. The ideas and observations are drawn from conference proceedings and prepared papers of HRA staff and members of an Advisory Group. Three main types of D/R HPEP's are: the Area Health Education Centers, which develop remote site training programs; Health Services/Education Activities, which are independent, community-based consortia of providers of health services and education and training; and Veterans' Administration hospitals, which conduct health professional education programs. A statement of D/R HPEP goals, subgoals, and objectives is presented, and the activities of D/R HPEP's are reviewed. The second part of the document is concerned with whether or not to undertake a national evaluation of D/R HPEP's and sets forth an extensive evaluation agenda. Alternatives related to the evaluation design are discussed and problems evaluating D/R HPEP's are considered: measurement difficulties, delayed impacts, data availability and comparability, attribution, and project heterogeneity. (Final report 45 pages).

DESCRIPTORS: Health Manpower Training, Physicians, Medical Training, Conference Proceedings Feature, Decentralization, Research and Evaluation Planning and Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0752

PROJECT NO: OA 75-6

FUND SOURCE: Evaluation

NTIS NO: PB 254-299

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HRA 230-75-0079

COST (In Thousands): FY 75-\$68

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: March '75 through December '75

0583

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of Regional Medical Program
Activities Affecting the Health of Children

REPORT TITLE: Description of Regional Medical Program
Activities Affecting the Health of Children

PERFORMER: Western Interstate Commission for Higher
Education; P.O. Box P, Boulder, Colorado 80302

AGENCY CONTACT: Berg, Gordon; Rm 1022, FCB2; 301-436-7263

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Regional Medical Programs

ABSTRACT: Regional Medical Program (RMP) child-health projects funded from 1974 through 1975 are described and analyzed. The study involved identification of most frequent aspects of the RMP projects, based on a mail survey of 53 RMP programs and a series of interviews at 14 RMPs reflecting a broad scope of projects. It was found that RMP projects differ from many federally supported demonstration grant programs in that there is frequent and extensive intermingling between RMP staff and staff of other local organizations. Project staffs were generally found to be a mixture of paid professionals and volunteer workers. During the 18 months studied, RMPs invested over \$7 million or six percent of total program budgets in projects related to child health. Sources other than RMPs invested \$4.9 million during the same period. Of the total invested, 53% was expended for direct services, 21% for training programs; and 18% for health education. The following points emerged as necessary to the successful development and operation of RMP projects: the personal qualities of the project director; a project management staff working closely with patient care providers; a successful pilot activity; and the ability of local leaders to demonstrate clearly the need for such a project. (Final report 107 pp).

DESCRIPTORS: Children and Youth, Health Planning, Health Care Delivery Systems, Demonstration Projects

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0457

PROJECT NO: OA-75-1

FUND SOURCE: Evaluation

NTIS NO: PB 256-205

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HRA-23-75-0093

COST (In Thousands): FY 75-\$51

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: March '75 through October '75

0584

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Development and Design of a Survey Instrument to be used to Abstract Medical Malpractice Insurance Claims Files

REPORT TITLE: Not yet determined

PERFORMER: Moshman Associates, Inc.; 6400 Goldsboro Rd.; Washington, D.C. 20034

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Health

ABSTRACT: To be Written.

DESCRIPTORS: Quality Assurance, Medical Practice, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0683

PROJECT NO: OA-76-3

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HRA-230-75-0082

COST (In Thousands): FY 75-\$20

PROJECT STATUS: Study completed

START/END DATES: December '75 through March '76

0585

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Development of a Prototype HRA Evaluation Plan

REPORT TITLE: Development of a Prototype HRA Evaluation Plan

PERFORMER: Transaction Systems, Inc; 800 Peachtree Street, N.E.; Atlanta, Georgia 30308; Robert Clinscale

AGENCY CONTACT: Walkington, Robert; Rm. 10-2, CB 2; 301-436-7214

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Program Direction & Management

ABSTRACT: The statement of Policies and Procedures for Evaluation of Health Programs along with the HEW Health Evaluation Planning Guidance, 1976, outlines agency responsibility for development of an evaluation plan for utilization of funds allocated under Section 513 of the PHS Act. To meet the evaluation planning calendar deadlines and to provide the agency and its bureaus/centers with additional perspectives of their programs, this contract developed an agency prototype comprehensive evaluation plan and an annual plan including a suggested evaluated technical review system.

DESCRIPTORS: Research and Evaluation Planning and Administration

EVALUATION TYPE: Support

EDC ID NO: 0681

PROJECT NO: OA-76-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HRA-230-75-0080 CO

COST (In Thousands): FY 76-\$42

PROJECT STATUS:

: Study completed

START/END DATES: July '75 through September '75

0586

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Development of an Integrated Approach to Evaluating the Impact of Health Planning-Phase I

REPORT TITLE: Not yet determined

PERFORMER: Codman Research Group; 1605 22nd St, N.W.; Washington, D.C.

AGENCY CONTACT: Phillips, Richard; DHEW Region I Boston Mass.; 617-223-4256

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: As the new health planning agencies authorized by PL 93-641 begin to function across the country, it is important to develop effective evaluation mechanisms as promptly as possible. The purpose of this study is to develop population-based methods to measure the amount and direction of changes in the utilization of inpatient services that result from new health planning processes in 5 New England States and design methodologies which track decisionmaking within health planning entities; which link key planning decisions to observed changes in hospital service delivery patterns; and which take into account and specify the major non-planning events in the health care system that are potential causes for observed changes. Data to be gathered will include (1) baseline data on per capital use of hospital services in rural States (Maine and Vermont) which already have complete hospital data system (CHDS); (2) Feasibility data concerning the use of the disaggregated community-based approach to analyzing hospital utilization in an urban CHDS State (Rhode Island); (3) data that test the feasibility of using Medicare data to predict the total population use of hospital services and (4) data from case studies of the planning processes and the major non-planning events that influence hospital utilization. It is anticipated that the results of this project will be used to assess the effectiveness of health planning policies and regulations.

DESCRIPTORS: Feasibility Study Feature, Case or Site Study Feature, Statistical Data Feature, Health Planning, Research and Evaluation Planning and Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1043

PROJECT NO: OA 76-12

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 291-76-0003

COST (In Thousands): FY 76-\$204, FY 77-\$468

PROJECT STATUS: Study in process

START/END DATES: June '76 through June '79

0587

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Development of Criteria for Future Assessment of Minority Representation in HSA's and the Impact of HSAs on Minorities

REPORT TITLE: Not yet determined
PERFORMER: The Orkand Corporation; #630 Fenton Street; Silver Spring, Md. 20910; Dr. Elliott Hurwitz
AGENCY CONTACT: Hausner, Tony; Parklawn Bldg., Rm. 11-34; 301-443-1516
PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: The needs of minorities and disadvantaged need to be given special attention in the implementation of P.L. 93-641. To facilitate responsiveness to these needs, this study will focus on minority and other forms of representation on HSA and State agency staffs, HSA governing boards, and Statewide Health Coordinating Councils and will develop criteria to assess the performance and impact of HSA's with special reference to the needs of racial minorities. Information relating to representation in HSAs will be made available to interested groups. Performance and impact criteria developed will be used by BHPRD in determining what information about HSAs to gather and store for availability for assessment purposes.

DESCRIPTORS: Health Planning, Minority Groups, Management Information and Reporting

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0755 **PROJECT NO:** BHPRD 76-5
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA 230-76-0210 TO 1
COST (In Thousands): FY 76.1-\$58, FY 77-\$6
PROJECT STATUS: Study in process
START/END DATES: September '76 through March '77

0588

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Development of Evaluation Data Base for Health Planning

REPORT TITLE: Development of Evaluation Data Base for Health Planning Analysis of HSA Profile Data File

PERFORMER: Dirigo Associates, 37 White Oak Trail, Chapel Hill, North Carolina 27514, George M. Stiles

AGENCY CONTACT: Brandt, Melanie; CB

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: A data base appropriate for the continuing assessment of the impact of environmental conditions on health planning was developed relative to this study. The major objective of the study is to provide Federal program managers, state and local planning officials and others with a data source which can facilitate an understanding of the contextual factors which influence the behavior/performance of health systems agencies. The data file is aggregate at the county (or county-equivalent) level, the HSA level, and by certain primary characteristics of the regional areas. Data were gathered from a variety of sources including the Bureau of Census (Human Resource Profile Tape and County City Data Book Tapes), Department of Agriculture (Components of Population Change Tapes), and HEW Area Resource File.

DESCRIPTORS: Statistical Data Feature, Statistical Analysis Methods Feature, Health Planning, Research and Evaluation Methods Feature
EVALUATION TYPE: Research and Data Development

PROJECT DESCRIPTIONS

EDC ID NO: 1026 **PROJECT NO:** OA 77-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 230-77-0004
COST (In Thousands): FY 77-\$13
PROJECT STATUS: Study in process
START/END DATES: January '77 through May '77

0589

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Development of Monitoring Instruments for OHRO's Research and Development Projects

REPORT TITLE: Development of Office of Health Resources Opportunity Contract Monitoring System

PERFORMER: Kappa Systems, Inc.; 1501 Wilson Blvd.; Arlington, Va. 22209

AGENCY CONTACT: Hausner, Tony; Parklawn Bldg., Rm. 11-34; 301-443-1516

PROGRAM(S) EVALUATED:
PHS-OASH-Health Services Research

ABSTRACT: The primary objectives of this study are to (1) develop and pilot test instruments and procedures for on-site project review and monitoring both on a day-to-day basis and a longer term basis for the various research and development projects; (2) design an on-site project review and monitoring system to be used by OHRO subsequent to the contract; (3) to assess the effectiveness of the various OHRO research and development projects. (To be completed).

DESCRIPTORS: Research and Evaluation Planning and Administration, Demonstration Projects, Minority Groups, Grant and Contract Management, Management Information and Reporting
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0686 **PROJECT NO:** OA 76.1-103
FUND SOURCE: Evaluation **NTIS NO:** PB 270-325
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 230-76-0262 T.O.1
COST (In Thousands): FY 76.1-\$67
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: September '76 through March '77

0590

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Development of Performance Standards, Measures, and Quality Indicators for State Health Planning and Development Agencies

REPORT TITLE: Not yet determined

PERFORMER: Analysis Management and Planning; 11 Mifflin Place, Cambridge Mass. 02138

AGENCY CONTACT: Zislavsky, Frank; Center Bldg. 2 Room 6-27; 301-436-6247

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: Section 1535(b) of PL 93-641 states that the Secretary shall prescribe performance standards covering the structure, operation and performance of State agencies and that a reporting system based on the performance standards shall be established that allows for continuous review of the structure. Additionally, Section 1535(d) states that the Secretary shall review in detail at least every three years the structure, operation and performance of the functions of each State agency. The purpose of this project was to develop performance standards, measures and quality indicators required by BHPRD to comply with the provisions of PL 93-641.

DESCRIPTORS: Case or Site Study Feature, Federal Administration, Health Planning, Literature Review Feature, Regulation and Standard Setting

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1054 **PROJECT NO:** BHPRD 77-10
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 230-76-0028
COST (In Thousands): FY 77-\$108
PROJECT STATUS: Study in process
START/END DATES: April '77 through November '77

0591

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Development of a Methodology for Evaluation of the Centers for Health Planning

REPORT TITLE: Development of Validated Methodologies for the Evaluation of the Centers for Health Planning

PERFORMER: JRB Associates, Inc; 8400 Westpark Drive; McLean, Virginia 22101

AGENCY CONTACT: Henderson, Marsha; Center Bldg. 1 Rm 5-22; 301-436-6730

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: A Center for Health Planning has been established in each HEW Region under Section 1534 of PL 93-641. The Centers' technical assistance efforts at present are limited to training, consulting and producing generic documents to support current efforts. Over time it is intended that Centers will also take on a role in assisting in the development of new approaches and methodologies. All 10 Centers began their second year of operation in July 1977. This project was designed to develop evaluation methods which can be used to assess the performance and impact of the ten Centers. The project was twofold: (1) to provide the Bureau with a method to evaluate the Centers and (2) to provide the Centers with a method to carry out a continuous selfevaluation. To achieve these purposes, the methods were developed, field tested at two Centers and refined as a result of the field test findings and inputs from the Project Advisory Panel and other reviewers. The method for self-evaluation to be implemented by Center staff is presented in manual form to assist Center staff in assessing the extent to which compliance with contractual requirements is being met; the services provided are consistent with the strategy for meeting client needs, and the strategy remains relevant as defined by changing priorities for assistance, changing resource availability and the success or failure of previous services delivered. The method for external evaluation to be implemented by BHPRD staff. (Final report 140 pages.)

DESCRIPTORS: Research and Evaluation Methods Feature, Bibliography Feature, Literature Review Feature, Health Planning, Technical Assistance

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1051 **PROJECT NO:** BHPRD 77-4
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 230-76-0211 T.O.3
COST (In Thousands): FY 77-\$61
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: June '77 through March '78

0592

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Training in Successful Techniques for Disseminating and Utilizing Evaluation Findings

REPORT TITLE: A Dissemination Resource Handbook for HRA Evaluation Project Officers

PERFORMER: Dale G. Lake; 304 Arundel Beach Road; Severn Park, MD 21146

AGENCY CONTACT: Price, Isabel; CB 2 Rm 10-22; 301-436-7274

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Program Direction & Management

ABSTRACT: This handbook has been designed as a guide for evaluation project officers on how to systematically include dissemination and utilization as an integral part of evaluation project activities. The project officer role described is mainly that related to contracted out federal evaluation and the inclusion of dissemination/utilization concepts and activities applies to the development of the project summary, request for contract, research design, final report, recommendations, and other transformations of the findings extending beyond the life of the contract. The major theme emphasizes the project officer's potential for taking the initiative in linking evaluation information to potential users, and gives practical examples of ways in which individual project officers have gone about doing this. The handbook contains two types of material: (1) background material relating to concepts from literature on research and diffusion, on dissemination and utilization, and on dissemination and utilization in regard to evaluation, and (2) practical example of how HRA project officers have engaged in dissemination/utilization activities and tools they have found useful in carrying out these activities. These examples were culled from interviews and discussions with a sample of HRA evaluation project officers.

DESCRIPTORS: Information Dissemination, Utilization Review, Research and Evaluation Methods Feature
EVALUATION TYPE: Support

EDC ID NO: 1028 **PROJECT NO:** OA 77-5
FUND SOURCE: Evaluation **NTIS NO:** PB 284-558
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 230-77-0036
COST (In Thousands): FY 77-\$5
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: May '77 through November '77

0593

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Documentation and Assessment of the Pilot
Arthritis Program Initiative

REPORT TITLE: Documentation and Assessment of the Regional
Medical Program Pilot Arthritis Program Initiative

PERFORMER: Public Accountability Reporting; 310 West Idaho
Street; P.O. Box 957; Boise, Idaho 83701

AGENCY CONTACT: Spear, Matt; 301-443-1582

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Regional Medical Programs

ABSTRACT: The purpose of this report is to describe and assess experiences of the Regional Medical Programs (RMP) Pilot Arthritis Program Initiative funded during the period July 1, 1974 through June 30, 1976. The study gathered data from a mail survey of thirty-one Regional Medical Programs with arthritis projects and from on-site interviews with eleven RMPS. The study identified the need for a model system for developing and implementing cooperative linkages and a national mechanism for assistance in evaluating, reporting, and information exchange among arthritis centers and new community service programs, patients with arthritis and their families, and the Federal government. The final report grouped the conclusions and recommendations into four sections: (1) Project Organization and Management, (2) Education and Manpower, (3) Community Involvement and (4) Outreach Models. Resultant Information will be used (1) for policy considerations by national professional organizations, (2) for development and improvement of critically-needed services, and (3) for planning and operating future arthritis community service projects. (Final report 88 pages.)

DESCRIPTORS: Case or Site Study Feature, Demonstration Projects, Information Processing, Information Dissemination, Management Information and Reporting, Morbidity and Mortality, Research and Evaluation Performance, Survey or Questionnaire Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0751

PROJECT NO: BHPRD 75-1

FUND SOURCE: Evaluation

NTIS NO: PB 255-290

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 230-75-0196

COST (In Thousands): FY 75-\$27

PROJECT STATUS: Study completed,

Available from EDC,

Available from NTIS

START/END DATES: June '75 through June '76

0594

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Effect of Admissions Criteria and Standards in
Health Professions Schools on Specialty and Geographic
Distribution

REPORT TITLE: Effect of Admissions Criteria and Standards in
Health Professions Schools on Specialty and Geographic
Distribution

PERFORMER: Applied Management Sciences; 962 Wayne Avenue,
Suite 701; Silver Spring, Maryland 20910; Dr. Thomas
McCord

AGENCY CONTACT: McGuire, Kate; NIH Bldg 31, Rm 5C27;
301-496-2551

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower

ABSTRACT: The two main topics addressed by this study were 1) what has been the role of health professional school admissions policies and curriculum programs in the career choices of graduates and 2) given growing concern with the maldistribution issues, what recent policies and practices have health professional schools adopted to address these problems. A literature search and review indicated that while there is currently a wide body of empirical literature dealing with the practice location and specialty choices of physicians and the determinants thereof, far fewer empirical studies exist which deal with the practice location choices of the other health professions or even with policies and programs of those health professional schools. A profile of existing health professional school admissions policies and curriculum programs revealed that present medical school admissions policies to influence the career choices of medical students appear to be limited. Phone interviews were held with health professional school personnel to determine the effectiveness of recent changes in admissions policies and curriculum programs, as well as the reasons such policies and programs were instituted, when they were instituted, and their costs. A research design to study admissions criteria and standards of the schools and determinants of practice location choices is also presented. (Final report 3 volumes and 260 pages).

DESCRIPTORS: Urban or Rural Places or Problems, Medical Training, Literature Review Feature, Health Manpower Projections, Medical Practice, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0590

PROJECT NO: BHM 75-6

FUND SOURCE: Evaluation

NTIS NO: PB 254-306

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: HRA-230-75-0079 CO4

COST (In Thousands): FY 76-\$57

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS

START/END DATES: September '75 through April '76

0595

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Energy Implications for National Health Policy

REPORT TITLE: Not yet determined

PERFORMER: Chi Associates; 1011 Arlington Blvd., Arlington,
VA 22201; M. Chi

AGENCY CONTACT: Berg, Gordon; Rm. 10-22, Center Bldg.;
301-436-7263

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Program Direction &
Management

ABSTRACT: Energy shortages threaten the ability of the Nation's hospitals and nursing homes to remain open and to continue to deliver quality care. Thus energy has great implications for health policy. The purpose of this study is to 1) assess the impact of training and technical information provided by the Energy Action Staff (EAS) to encourage improved energy use management and alternate energy opportunity planning; 2) assess the current status of energy consciousness and energy conservation in those health care institutions whose staff have not participated in the training; and 3) develop and recommend policy for energy requirements for health. The study is designed to analyze the user population, detect energy usage patterns

in health care facilities, and come to an assessment on the use of energy in health care facilities. Thus the direction of the study will be to analyze the data collected, develop descriptive and predictive models of energy consumption patterns in health care institutions, and their ability to use alternate fuel, as well as cope with energy cutbacks. Conclusions will be drawn as to the type of policies that need to be developed and recommended for energy requirements for health.

DESCRIPTORS: Energy, Facilities, Field Test Feature, Hospitals, Survey or Questionnaire Feature, Trends and Forecasts, Nursing Homes, Statistical Analysis Methods Feature
EVALUATION TYPE: Program Impact

EDC ID NO: 1671 **PROJECT NO:** OA 78-001
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 232-78-0152
COST (In Thousands): FY 78-\$149
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '79

0596

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Analysis of Economic and Non-Economic
Incentives to Attract Nurses to Underserved Areas

REPORT TITLE: Equalizing Access to Nursing Services: The
Geographic Dimension

PERFORMER: University of Florida; Gainesville, Florida 32601;
Frank Sloan 904-392-0147

AGENCY CONTACT: Evelyn Moses; 301-496-6031

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Manpower-Health
Professions

ABSTRACT: Phase I involved conducting a national survey of hospital directors of nursing and of staff nurses, and presented some preliminary policy inferences. Phase II has involved (1) a more detailed analysis of the two surveys, and (2) detailed analysis of other data bases—a sample of nurses selected from the 1970 Public Use Sample of the U.S. Census, and a survey of newly licensed nurses conducted in 1973 by the National League for Nursing. Phase II analyzed the relationship between the "shortage" measure, turnover, and vacancy rates, substitution of non-RN's in "RN" functions and closing down beds because RN's are not available. Although a few patterns are found, the results on the whole are mixed and no definitive shortage measure emerges. While young RN's are apparently highly mobile as a group, this decreases monotonically with nurse age. Older nurses (among the newly licensed RN's) stress proximity to family and friends as do RN's with rural backgrounds. RN's married to students, those with young children, and those married to persons in non-professional occupations emphasize salary and fringe benefits. Nurses with comparatively high grade point averages in nursing school also stress salary. The analysis supports the conclusion found in Phase I, i.e., that nurse mobility is largely dependent on the personal characteristics of the nurses employed by the hospital rather than by the economic and non-economic incentives offered.

DESCRIPTORS: Economic Analysis Feature, Urban or Rural Places or Problems, Nursing Manpower, Social Attitudes and Public Opinion
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0377.1 **PROJECT NO:** DN 74-1
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: NOI-NU-24264
COST (In Thousands): FY 72-\$112
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: June '72 through May '76

0597

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of the Effects of Missouri's Decision
to Cancel its Section 1122 Agreement with HEW

REPORT TITLE: Evaluating the Effects of Missouri's Cancellation
of the 1122 Program

PERFORMER: University of Missouri; 215 University Hall;
Columbia, Missouri 65201 Thomas Dolan

AGENCY CONTACT: Ermann, Dan; CB

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning &
Development

ABSTRACT: Cost containment efforts by the Federal Government for hospitals and nursing homes within a State are provided for under the Social Security Administration Section 1122 Program. Missouri became the first State to cancel an 1122 agreement (after 3 years in existence) on June 30, 1976. This report evaluates capital expenditure and service controls prior to the Section 1122 program; the structure, organization and operation of the program; the effects and impact of the program on Missouri's health care system; and the changes or effects within Missouri since cancellation of the program. Factors related to termination considered in this evaluation are: capital expenditure and service controls in Missouri prior to the 1122 program; a review of the structure process, and decisions associated with the program; the use of institutional resources, financial and organizational data in measuring the impact of the program on the Missouri health care system; the reaction of health care officials, consumers, and other related interests in Missouri on the discontinuation of 1122; the decision resulting in and the impact of the program termination; a description of certificate-of-need and other capital expenditure and service control programs in that State; and whether the need is warranted for continued monitoring of CES controls in Missouri. The report proposes a 3-year project funded by HEW to study changes in Missouri's health care system influenced by the 1122 Program. (Final report 460)

DESCRIPTORS: Regulation and Standard Setting, Research and Evaluation Performance, Health Planning, Health Care Delivery Systems

EVALUATION TYPE: Program Impact

EDC ID NO: 1056 **PROJECT NO:** BHPRD 76-9
FUND SOURCE: Evaluation **NTIS NO:** HRP-0900091/OWW;
92/8WW
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 297-77-0001
COST (In Thousands): FY 77-\$103
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: December '76 through October '77

0598

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Utilization of Graduates of Advanced Training
Programs in Allied Health

REPORT TITLE: An Evaluation of Allied Health Advanced
Traineeship Grants

PERFORMER: Interstudy; Health Manpower Division; 123 East
Grant Street; Minneapolis, Minn. 55403

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower-Allied
Health;
PHS-HRA-Health Resources-Health Manpower-Health
Professions-Student Aid

ABSTRACT: This report presents the findings of a study on the subject of Advanced Traineeship Grants, one of four types of grants-in-aid authorized by the Allied Health Professions Personnel Training Act of 1966. The purpose of the report was to evaluate the extent to which the grants aided institutions in attracting health professionals to advanced study and in increasing the number of individuals assuming occupational roles in teaching, administration, and specialized practice. The report found that the traineeships are important in recruiting allied health professionals for advanced study and concludes that the recipients do more into the kind of roles the assistance program intended for them. (Final report 339)

DESCRIPTORS: Survey or Questionnaire Feature, Services Integration, Health Manpower Training, Grants-in-Aid, Educational Finance, Health Manpower Other

EVALUATION TYPE: Program Impact

EDC ID NO: 0352 **PROJECT NO:** BHM 72-11

FUND SOURCE: Evaluation **NTIS NO:** PB-244 560

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: NIH-72-4236

COST (In Thousands): FY 72-\$78

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '72 through May '74

0599

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE:

Evaluation of Alternative Health Area Definition Methods

REPORT TITLE: Evaluation of Alternative Health Area Definition
Methods

PERFORMER: Transaction Systems, Inc.; 800 Peachtree Street,
N.E.; Atlanta, Georgia 30308; Richard L. Leatherwood

AGENCY CONTACT: Cole, Roger; NIH, Building 31 Room 3B03;
301-496-1178

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower;
PHS-HRA-Health Resources-Health Planning &
Development;
PHS-HSA-Health Services-Community-National Health
Service Corps

ABSTRACT: At present, the designation of health manpower shortage areas is being conducted on the basis of single county units because of the lack of acceptable multicounty definitions of health areas. All known multicounty area definitions have the basic fault that isolated rural counties are attached to some urban center. While this is acceptable for economic analysis of flow of trade, it is not acceptable for analysis of health manpower shortage areas because the manpower shortages of isolated rural counties must be specifically identified for the designation program to be effective. This study evaluated the state of the art of area designation and evaluated the usefulness of several variants of the proposed health area definition methodology to shortage area designation. The final report includes a literature survey, development and application of the Health Care Commuting Analysis (HCCA) Model, selection of a set of national health analysis areas, recommendations and conclusions. (Final report 43 pages).

DESCRIPTORS: Health Care Delivery Systems, Health Planning, Feasibility Study Feature, Urban or Rural Places or Problems, Literature Review Feature, Needs and Needs Assessment

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0605

PROJECT NO: BHM 76-2

FUND SOURCE: Evaluation

NTIS NO: PB 260-672

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HRA 230-75-0080-CO

COST (In Thousands): FY 76-\$58

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: September '75 through October '76

0600

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of Alternative Goals and Standards to
Access and Quality of Health Care

REPORT TITLE: Evaluation of Alternative National Goals and
Standards for Access to Quality of Health Care

PERFORMER: Transaction System, Inc.; 800 Peachtree Street,
N.E.; Atlanta, GA 30308

AGENCY CONTACT: Phillips, Richard; Parklawn Bldg., Rm. 14-33;
301-443-3352

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Planning &
Development

ABSTRACT: The purpose of this study was to perform several of the basic tasks required to assist the Health Services Administration in performance of its role as part of the Interagency Task Force on National Guidelines for Health under Section 1501 of P.L. 93-641. Specifically, it developed preliminary conceptual models for establishing national goals and standards in the areas of access to primary care in underserved areas and the quality of health care. These models were prepared for consideration by the Task Force and also by the Departmental Committee on the Implementation of P.L. 93-641. Appendices contain information on methodological aspects involved in the cluster technique used to identify population groups, provision of primary care, quality care indicators, and the application of screening criteria to quality indicators. (Final report 218 pages.)

DESCRIPTORS: Health Planning, Quality Assurance, Ambulatory and Outpatient Health Care, Regulation and Standard Setting

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0488 **PROJECT NO:** OA-76-06
FUND SOURCE: Evaluation **NTIS NO:** PB 256-673
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 230-75-0080 C.O. 2
COST (In Thousands): FY 76-\$38
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS
START/END DATES: July '75 through October '75

0601

AGENCY SPONSOR: Public Health Service
 Health Resources Administration
PROJECT TITLE: Evaluation of the Usefulness of Health Goals and Standards Developed by CHP and Other Agencies for Implementation of Health Planning
REPORT TITLE: Evaluation of CHP Goals and Standards in Relation to the Formulation of National Guidelines for Health Planning
PERFORMER: The Orkand Corporation; 8630 Fenton Street; Silver Spring, Maryland 20910; Franz M. Jaggard
AGENCY CONTACT: Irion, Lou Anne; Parklawn Building Rm 10A-56; 301-443-1206
PROGRAM(S) EVALUATED:
 PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: The purpose of the project was to evaluate the goals and standards developed by the CHP (a) and (b) agencies with regard to their relevance and potential contribution to formulation of national health planning guidelines. The report comprises five chapters and an Appendix. Chapter I introduces the report and presents its purpose and organization. Chapter II delineates the study methodology. Chapters III and IV present the findings and the final chapter presents recommendations for development of national health planning goals and standards. Appendix A lists CHP documents reviewed in the study grouped by (a) and (b) agencies and according to whether or not the documents were suitable for selection of goals and standards. Appendix B presents results of the telephone interviews with six CHP agencies along with the topic guide which structured discussions. Another report was also prepared under this project: "Identification of Goals and Standards Developed by Manpower Professional Associations" (see EDC 0682.1). (Final report 58 pages).

DESCRIPTORS: Health Planning, Regulation and Standard Setting
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0682 **PROJECT NO:** OA-76-2
FUND SOURCE: Evaluation **NTIS NO:** PB 253-963
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA-230-75-0081 CO
COST (In Thousands): FY 76-\$30
PROJECT STATUS: Study completed,
 Available from EDC,
 Available from NTIS
START/END DATES: November '75 through February '76

0602

AGENCY SPONSOR: Public Health Service
 Health Resources Administration
PROJECT TITLE: Evaluation of Criteria Being Used in Conducting Project Reviews by HSAs and SHPDAs
REPORT TITLE: Not yet determined
PERFORMER: Analysis Management and Planning, Inc.; 11 Mifflin Place; Cambridge, Mass. 02138;
AGENCY CONTACT: Terry, Marie; Rm. 6-50, Center Bldg.;
PROGRAM(S) EVALUATED:
 PHS-HRA-Health Resources-Health Planning & Development;
 PHS-HSA-Health Services;
 PHS-HRA-Health Resources-Health Manpower-Public Health

ABSTRACT: The purpose of this study is to evaluate the criteria adopted and used by the Health Systems Agencies (HSAs) and the State Health Planning and Development Agencies (SHPDAs) created by P.L. 93-641, assess the advantages and disadvantages of these criteria, and identify areas where additional criteria are needed by HSAs and SHPDAs. In addition, the sources of criteria and the process of development of criteria by HSAs and SHPDAs will be considered. The responsibility for project reviews by HSAs and SHPDAs comes from Titles XV and XVI of the Public Health Service Act, as amended by P.L. 93-641. The type of project to be reviewed are those concerning: (1) modernization, conversion, replacement, and construction of health facilities, including Certificate of Need (CON) regulations; (2) relocation of health facilities or services; and (3) the impact on accessibility of services; (4) the impact on manpower staffing; and (5) a consideration of alternate ways of providing services. The conclusion of this study will help supply information in formulating policy and in providing technical assistance to HSAs and SHPDAs in the development and use of project review criteria.

DESCRIPTORS: Case or Site Study Feature, Health Planning, Survey or Questionnaire Feature, Planning, Research and Evaluation Planning and Administration

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1665 **PROJECT NO:** BHPRD 78-004
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 232-78-0080 T.O. 5
COST (In Thousands): FY 78-\$70
PROJECT STATUS: Study in process
START/END DATES: September '78 through March '79

0603

AGENCY SPONSOR: Public Health Service
 Health Resources Administration
PROJECT TITLE: Evaluation of Deficiencies in Program Study Design as it Relates to OMB Forms Clearance
REPORT TITLE: Evaluation of Deficiencies in Program Study Designs (as they relate to OMB Forms Clearance)
PERFORMER: Moshman Associates; 6400 Goldsboro Road; Washington, D.C. 20034
AGENCY CONTACT: Berg, Gordon; Rm 1022, FCB2; 301-436-7263
PROGRAM(S) EVALUATED:
 V-Many HEW Programs-Planning & Evaluation;
 V-Non-HEW Programs-Other;

ABSTRACT: A study dealing with the problem of reducing the time needed to obtain Office of Management and Budget (OMB) approval

for surveys to collect data relating to Health Resources Administration (HRA) programs is reported. Findings discuss problems relating to 470 defects cited by reviewers of HRA requests for OMB clearance during 1974. Other findings relate to reasons for delays in clearance time; lack of clear program relevance in requests; communication channels; and results of interviews with reviewers. The most important areas for improvement are in project technical design and clearer justifications of priorities. The study found that clearance time varied widely from 13 days to over 300 days. Among the most frequent reasons for delay were incomplete supporting materials, design questions, lack of inter-agency coordination, and confidentiality issues, with questionnaire construction as such rarely a problem. Studies with multiple defects were delayed longer. The most important areas for HEW improvement are in project technical design, clearer justification of priorities, and reductions in HEW review delays. (Final report 95 pp).

DESCRIPTORS: Research and Evaluation Planning and Administration, Bureaucratic Behavior, Regulation and Standard Setting
EVALUATION TYPE: Support

EDC ID NO: 0458 **PROJECT NO:** OA 75-8
FUND SOURCE: Evaluation **NTIS NO:** PB 256-307
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA 230-75-0082
COST (In Thousands): FY 75-\$19
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: March '75 through May '75

0604

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of Alternative Approaches to
Collecting Data on Availability of Health Professional
Education and Training Programs

REPORT TITLE: Evaluation of Directories of Training Programs in
Allied Health Occupations, Volume 1

PERFORMER: Educational Testing Service; Princeton, New Jersey
08540

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Manpower-Allied
Health;
E-OE-Occupational & Adult Education

ABSTRACT: This study set out to develop guidelines for collecting and publishing information on allied health occupation training programs. Research and methodology involved analyzing and evaluating program directories and used questionnaires; interviewing program directors and directory publishers; and reviewing the work of federally funded state Health Manpower Intelligence Facilities in producing training program directories. Results of each task are discussed in detail. The report concludes with recommendations as to the optimum format for and methods of producing and disseminating future directories.

DESCRIPTORS: Survey or Questionnaire Feature, Career or Vocational Education, Statistical Analysis Methods Feature, Health Manpower Training, Health Manpower Other, Information Dissemination

EVALUATION TYPE: Place Project or Technique

PROJECT DESCRIPTIONS

EDC ID NO: 0360 **PROJECT NO:** BHME 72-12
FUND SOURCE: Evaluation **NTIS NO:** PB 244-910
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NIH-72-4313
COST (In Thousands): FY 72-\$121
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: January '72 through November '73

0605

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of Dissemination of Professional
Information from HRA Program Activities

REPORT TITLE: None

PERFORMER: Messer Associates, Inc.; 8555 16th St, 706; Silver
Spring, Maryland 20910

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-OASH-Health Statistics;
PHS-HRA Health Resources-Program Direction &
Management

ABSTRACT: The scope of this study includes determining the processes by which information produced by various HRA program activities is disseminated to ultimate users, and identifying relevant characteristics of the users and potential users of its health data and information. The objectives are (1) to identify the kinds of information HRA is capable of producing and the information actually produced; (2) to identify the users and non-users of the HRA-produced information; (3) to determine the acceptability of this information to users and the reasons for non-usage by populations for whom it was intended; (4) to analyze the processes by which health information is disseminated; (5) to determine the adequacy of the feedback mechanism; (6) to increase coordination and cross fertilization of activities among programs and bureaus; and (7) to determine other sources of similar information production and distribution.

DESCRIPTORS: Research and Evaluation Performance, Information Dissemination, Survey or Questionnaire Feature, Information Systems

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0692 **PROJECT NO:** HRA 74-6
FUND SOURCE: Evaluation **NTIS NO:** Not available
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA-106-74-192
COST (In Thousands): FY 74-\$108
PROJECT STATUS: TExecutive summary at EDC,
Available from ERIC,
mStudy in process,
Available from NTIS,
atExecutive summary at EDC,
d

START/END DATES: June '74 through April '75

0606

AGENCY SPONSOR: Public Health Service
Health Resources Administration**PROJECT TITLE:** Methodology for Annual Dental Team Program Assessment**REPORT TITLE:** Evaluation of Education and Training in TEAM Programs**PERFORMER:** Trustees of Boston University; 881 Commonwealth Avenue; Boston, Massachusetts**AGENCY CONTACT:** Smith, Lowell; Rm. 3-30 Center Bldg.; 301-436-6514**PROGRAM(S) EVALUATED:**PHS-HRA-Health Resources-Health Manpower-Dental Health;
PHS-HRA-Health Resources-Health Manpower-Health Professions;
PHS-HRA-Health Resources-Program Direction & Management

ABSTRACT: The goal of this project was to provide a systematic evaluation procedure which could be applied to all TEAM programs annually to assess the quality and cost-effectiveness of dental school training programs across the nation. An advisory committee was established to provide advice and direction on all phases of work. It was responsible for making recommendations concerning the manner of conducting evaluation of all TEAM schools. Included in the final report is a copy of the manual, "Work Manual for Evaluating Program Effectiveness and Cost Allocation of a TEAM Program," which presents step-by-step guidelines for conducting annual assessment of individual TEAM training programs. This manual will provide government officials with the necessary tool to evaluate TEAM programs in the future, thus providing a complete methodology.

DESCRIPTORS: Dental Manpower, Health Manpower Training, Dental Schools, Cost-Effectiveness Analysis Feature, Curriculum Development**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 0801**PROJECT NO:** DDH 74-3**FUND SOURCE:** Evaluation**NTIS NO:** HRP 0900015**MECHANISM:** Contract**ERIC NO:** Not yet determined**CONTRACT NO:** NO1-DH-44107**COST (In Thousands):** FY 74-\$216**PROJECT STATUS:** Study completed,

Available from EDC,

Available from NTIS

START/END DATES: June '74 through October '76

0607

AGENCY SPONSOR: Public Health Service
Health Resources Administration**PROJECT TITLE:** Ways to Increase Energy Conservation in Health Facilities**REPORT TITLE:** Evaluation of Energy Conservation Within Health Facilities**PERFORMER:** Transaction Systems, Inc.; 800 Peachtree Street, N.E.; Atlanta, Georgia 30308 Richard T. Leatherwood**AGENCY CONTACT:** Kline, Burton; Parklawn Building Rm 10A-41; 301-443-5014**PROGRAM(S) EVALUATED:**

PHS-HRA-Health Resources-Facilities Construction

ABSTRACT: Four regional energy conferences were staged by the Health Resources Administration (HRA) to increase the awareness

of health care facility administrators and engineers concerning the impact of energy shortages on the facility's ability to operate. The conferences were also designed to suggest various strategies to conserve energy or otherwise minimize the impact of rapidly rising fuel costs. A handbook on energy conservation was produced by HRA for use by small facility hospital engineers. Recommendations for further HRA initiatives in the field of energy include: additional conferences, a national blueprint and a high level policy conference. Appendices contain evaluation forms, conference rosters, and a brochure advertising the conferences. (Final report 56 pages).

DESCRIPTORS: Conference Proceedings Feature, Facilities, Energy, Technical Assistance**EVALUATION TYPE:** Support**EDC ID NO:** 0464**PROJECT NO:** OA 75-5**FUND SOURCE:** Evaluation**NTIS NO:** PB 256-006**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HRA 230-75-0080 CO1**COST (In Thousands):** FY 75-\$98, FY 76-\$4**PROJECT STATUS:** Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS

START/END DATES: February '75 through December '75

0608

AGENCY SPONSOR: Public Health Service
Health Resources Administration**PROJECT TITLE:** Evaluation of Financial Factors Affecting U.S. Medical Schools Efforts to Achieve Equal Representation of Minority Students**REPORT TITLE:** Evaluation of Financial Factors Affecting U.S. Medical Schools Efforts to Achieve Equal Representation of Minority Students**PERFORMER:** Expand Associates; 8630 Fenton Street, Suite 508; Silver Spring, Maryland 20910; Dr. Reid E. Jackson**AGENCY CONTACT:** Gill, Norma; Parklawn Building Rm 11-34; 301-443-1516**PROGRAM(S) EVALUATED:**

PHS-HRA-Health Resources-Health Manpower-Health Professions;

PHS-HRA-Health Resources-Health Manpower-Special Education Programs

ABSTRACT: Under evaluation study OA 75-102 (EDC 0680), an Exploratory Evaluation of U.S. Medical Schools' Efforts to Achieve Equal Representation of Minority Students was conducted. Its purpose was to assess the degree to which the goals established by an AAMC Task Force Report were met and the extent to which the recommendations of the exploratory report (which focused on short-term goals of the AAMC Task Force Report) were implemented. This report covers Phase 1 of a projected two phase overall project. This study has developed an objective methodology and quantitative data collection instruments to measure the extent to which such factors as the size of the family of origin, the geographic locality of the medical school, the student's home of origin, the types/amounts of financial resources and the amount of the previously existing educational and personal debt have upon the academic performance, selection of medical practice specialty, and the location of the physician in a medically underserved area. Once implemented, the research proposed here will be the first effort of its type, with the scope and comprehensiveness required, to illuminate adequately the financial needs of all segments of the medical population.

DESCRIPTORS: Medical Training, Minority Groups, College Students, Survey or Questionnaire Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0687

PROJECT NO: OA-76.1-104

FUND SOURCE: Evaluation

NTIS NO: PB 274-744-746

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: HRA-230-76-0283

COST (In Thousands): FY 76.1-\$72

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: September '76 through May '77

0609

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of Health Manpower Shortage Area Criteria

REPORT TITLE: Evaluation of Health Manpower Shortage Area Criteria

PERFORMER: Mathematics Policy Research, Inc.; P.O. Box 2393; Princeton, N.J. 08540; Barbara H. Kehrler;

AGENCY CONTACT: Lee, Richard; Rm. 4-41; Center Bldg.; 301-436-6764

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower

ABSTRACT: The Bureau of Health Manpower has supported a number of programs designed to alleviate area health manpower shortages. This report consists of five major pieces: a detailed exposition of the criteria; a review of pertinent literature; a review and evaluation of comments on the criteria; a report on an independent analysis of the criteria using a Canadian data set; and, drawing upon all the earlier pieces, final conclusions regarding the usefulness of the criteria and suggestions for improvements. A major conclusion of the evaluation is that the MHSA criteria permit entities to be designated that are characterized by quite different economic conditions without explicitly identifying them by such characteristics. As a result, a "health manpower shortage area" cannot be clearly defined. Moreover, the same remedial policies are not likely to be appropriate for all the types of market conditions that the criteria are likely to identify. The source of this problem may be the criteria attempt to respond to several sometimes inconsistent policy objectives. Notwithstanding this important underlying shortcoming, a number of possible improvements to be made in the current criteria were identified.

DESCRIPTORS: Urban or Rural Places or Problems, Health Manpower Other, Literature Review Feature, Access to Services

EVALUATION TYPE: Program Strategy

EDC ID NO: 1661

PROJECT NO: BHM 78-011

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 232-78-0156

COST (In Thousands): FY 78-\$74

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: September '78 through June '79

PROJECT DESCRIPTIONS

0687

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of Hill-Burton Consultation Activities
5 Report Title: Evaluation of the Health Care Facilities Service Consulting Function

PERFORMER: A. T. Kearney, Inc.; 100 Wacker Drive; Chicago, Illinois 60606

AGENCY CONTACT: Miller, Sheldon A.

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources;

PHS-HRA-Health Resources-Program Direction & Management;

PHS-HRA-Health Resources-Facilities Construction

ABSTRACT: This study is an evaluation of the Health Care Facilities Service (HCFS) formal consultation function. These services are provided by the Office of Equipment Consultation and the Office of Consultation on Hospital Functions. Although there are several deficiencies in the HCFS consulting program, the problems are related to the administration and organization of the services rather than to the technical ability of the consulting staff and their performance as consultants. The consultations that have been provided in the past have been beneficial to the health facilities who have used them and should be continued to be offered. The problems confronting the HCFS consulting program are solvable. Recommendations are provided with suggested methods to implement change. It is thought that these recommendations will give new direction to the program and can make it more viable, useful resource to health facilities and to the Hill Burton program (Final report 174 pages).

DESCRIPTORS: Survey or Questionnaire Feature, Literature Review Feature, Health Care Delivery Systems, Facilities, Management Techniques, Research and Evaluation Performance

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0379

PROJECT NO: HCFS-72-3

FUND SOURCE: Evaluation

NTIS NO: PB 245-908

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM 110-72-370

COST (In Thousands): FY 72-\$63

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: September '71 through June '73

0610

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of Hill-Burton Technical Standards and Guidelines

REPORT TITLE: Evaluation of Hill-Burton Standards

PERFORMER: Arthur T. Little; Acron Park; Cambridge, Mass 02140; T.C. Debinder, 617-864-5770

AGENCY CONTACT: Smith, James; Parklawn Bldg Rm 8-12; 301-443-2276

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Facilities Construction-Medical

ABSTRACT: A review of hospitals throughout the United States was conducted to determine whether the Hill-Burton requirements for construction and equipment were contributing to efficiency and good patient care. Survey teams, consisting of a hospital nurse, consultant,

architect, and engineer, visited 45 sites. Findings were reported on fires and accidents, relations with Hill-Burton agencies, plans to expand, medical and surgical nursing, pediatric units, psychiatric units, operating rooms, recovery rooms, intensive care units, delivery suites and nurseries, emergency rooms, outpatient facilities, laboratories, nuclear medicine, housekeeping, staff facilities, and electrical and mechanical systems. The overall impression of the survey team was that most of the hospitals are excellent in all important respects. Some of the flaws cited are traceable to misjudgements in programming and failure to estimate space needed for services. It is suggested that HRA could do more to encourage good design. Proposed additions to the concept of the regulations involve: new requirements for documented planning, additional guidelines incorporated in the requirements, guidelines for review by Hill-Burton agencies, and development of concepts to promote desirable features in building design.

DESCRIPTORS: Hospitals, Regulation and Standard Setting, Laboratories, Emergency Medical Care, Health Planning
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0799 **PROJECT NO:** HCFS 72-1
FUND SOURCE: Evaluation **NTIS NO:** PB 256-396
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSM 110-73-380
COST (In Thousands): FY 73-\$141
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: June '73 through September '75

0611

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of Impact of Development and
Operation of Family Medicine Residency Training Programs

REPORT TITLE: None
PERFORMER: Applied Management Sciences; 926 Wayne Avenue,
Suite 701; Silver Spring, Md. 20910; Dr. Thomas McCord
AGENCY CONTACT: Brown, Joseph; NIH Bldg. 31, Rm. 3C36;
301-496-1501
PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Manpower-Special
Education Programs

ABSTRACT: Between FY 1972 and 1974, the Bureau of Health Manpower awarded approximately \$33.5 million for the development and operation of family practice residency programs. Nationally there are approximately 280 of these projects of which about half have received federal funding. It is now important to assess the development and operation experiences thus far relating to the initial Federal Family Practice Residency Program and to test the utility and impact of this support in its present form. The study will look at the institutional impact of the family practice residencies, characteristics of the family practice residents, the curricula of family practice residencies and will compare residency programs receiving grant support under Section 767 of the PHS Act to those not receiving such support.

DESCRIPTORS: Health Manpower Training, Medical Practice, Medical Training
EVALUATION TYPE: Program Impact

EDC ID NO: 0696 **PROJECT NO:** BHM 75-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HRA 231-76-0002

COST (In Thousands): FY 76-\$179

PROJECT STATUS: TExecutive summary at EDC,
Available from ERIC,
mStudy in process,
Available from NTIS,
atExecutive summary at EDC,
id

START/END DATES: June '76 through December '77

0612

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of OHRO-IHS Projects for
Recruitment and Training of Native American Health
Professionals

REPORT TITLE: Evaluation of OHRO-IHS Projects for
Recruitment and Training of Native American Health
Professionals

PERFORMER: Office of Health Resources Opportunity; Parklawn
Building Room 11-34; Rockville, Maryland 20857

AGENCY CONTACT: Testoff, Arthur; Rm.10-50, CB2;
301-436-7220

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Manpower-Special
Education Programs

ABSTRACT: Manpower programs for Native Americans have received Federal funding for several years from the Bureau of Health Manpower and other sources. These projects focus primarily on recruitment, training or education, and retention of Native American youth in a program which will provide educational prerequisites for entering a health career. The research project used a case study approach to evaluate ten study projects. Field visits were made to each project site by a research team to extract data from project documents and to interview project directors, staff, participants, and other knowledgeable persons such as project advisory committee members. Other information was obtained from the Area Indian Health Offices. Data was obtained about the status and process of the projects and participants or target population, and about the organizational environments for each project. The focus of the case studies was to describe each project and its activities, and to measure success according to the objectives of each project. The results of the study will be used in strengthening on-going projects and for developing effective projects in the future. Results had immediate utilization in administrative and program decisions about these Native American manpower projects. (Number of pages unknown).

DESCRIPTORS: Native Americans, Health Manpower Training,
Grant and Contract Management, Minority Groups, Case or Site
Study Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0685 **PROJECT NO:** OA-76-101
FUND SOURCE: Salaries and
Expenses (S&E) **NTIS NO:** Not yet determined
MECHANISM: In-House **ERIC NO:** Not yet determined
CONTRACT NO: Not available
COST (In Thousands): FY 76-Not available
PROJECT STATUS: Study completed
START/END DATES: January '76 through March '76

0613

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: An Evaluation of Project ACORDE

REPORT TITLE: An Evaluation of Project ACORDE

PERFORMER: Harvard University; Office of Research Contracts;
Holyoke Center; Rm. 458; Cambridge, Massachusetts 02138

AGENCY CONTACT: Weaver, Richard; Rm. 3-30 Ctr. Bldg.;
301-436-6510

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower-Dental
Health;
PHS-HRA-Health Resources-Health Manpower-Special
Education Programs

ABSTRACT: Project ACORDE was a consortium-based, instructional materials development effort for dental education. The purpose of the evaluation was to assess ACORDE's impact, including utilization of materials, benefits, instructional effectiveness, barriers to adoption, and materials development process. Seven populations of potential users were identified. All users and a sampling of non-users in each population were surveyed. The findings indicate full or partial use of ACORDE by 24 dental schools and 53 dental auxiliary training programs. This represents 36 percent of dental, 20 percent of hygiene and 6.5 percent of assistant students. Users reported that costs of materials adoption were low, faculty needs were not reduced, lesson components were useful in their original form, student and faculty acceptance was high, and the course of effectively teaches the required skills. ACORDE produced three major benefits: new channels of communication among educators; uniform standards for performance quality; and materials useful for teaching students and as models for developing other materials. Auxiliary educators cited dental practice acts and costs as major barriers to adoption. In dental schools there was an apparent unwillingness to accept the standardized, consensus-based approach. Material adoption was not significantly related to developmental involvement. Improve dissemination and marketing efforts would likely help further material adoption.

DESCRIPTORS: Dental Manpower, Survey or Questionnaire Feature, Health Manpower Training, Statistical Data Feature, Cost-Effectiveness Analysis Feature, Case or Site Study Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0699

PROJECT NO: BHM 75-5

FUND SOURCE: Evaluation

NTIS NO: PB 292-602

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT: 231-75-0415

COST (In Thousands): FY 75-\$157, FY 77-\$193

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: July '75 through November '76

0614

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of State Hill-Burton Reporting System
for Free Care Community Services to the Poor

REPORT TITLE: Evaluation of State Hill-Burton Reporting System
for Free Care Community Services to the Poor

PERFORMER: Orkand Corporation; 8630 Fenton St; Silver Spring,
Md. 20910

AGENCY CONTACT: Jackson, Charles; HRA; 301-443-1798

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Facilities Construction-Medical

ABSTRACT: One responsibility of each State Hill-Burton Agency is to provide a specified level of uncompensated care to the indigent population and to alleviate primary care shortages by provision of community services to poverty areas. A survey was conducted in 15 geographically dispersed States to obtain information on the completeness and effectiveness of the State Reporting System utilized by State Hill-Burton Agencies to monitor free care and community services. The study found a lack of national uniformity in both the procedures and criteria used in making such evaluations and outlined a series of steps that should be taken by the Federal government to insure that the law will be complied with.

DESCRIPTORS: Management Information and Reporting, Hospitals, Urban or Rural Places or Problems, Low-Income Population, Survey or Questionnaire Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0678

PROJECT NO: OA-75-7

FUND SOURCE: Evaluation

NTIS NO: PB 255-312

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HRA 230-75-0081

COST (In Thousands): FY 75-\$19

PROJECT STATUS: Study completed,
Available from NTIS

START/END DATES: June '75 through July '75

0615

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of State Hospital Construction Rates
from 1968-1976

REPORT TITLE: Not yet determined

PERFORMER: Inner City Fund; 1990 M Street, N.W., Suite 400,
Washington, D. C. 20036; John Valiante

AGENCY CONTACT: Smith, Billie; CB

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Planning &
Development

ABSTRACT: From 1972 to 1974 hospital construction and renovation rates dropped from \$3 billion to \$2.4 billion. This trend is believed to have been influenced by enactment of certificate-of-need laws in many states and the development of Section 1122 programs as well as the increasing use of state and local bonding authorities by hospitals to finance hospital construction and renovation. Since 1974 hospital construction rates have reversed their downward turn and are growing at pre-1972 rates. This rate increase, along with the increase in use of state and local sponsored financing programs could threaten to reverse the gains which certificate-of-need laws and Section 1122 programs may have achieved. The purpose of this study is to assess the impact of certificate-of-need laws, Section 1122 programs and the use of state and local bond financing on hospital construction rates from 1968-1976. Data will be used to make projections of expected target levels of construction for each state so that individual states can achieve objectives consistent with overall national targets. Additionally, findings will be directed to certificate-of-need operational components within HRA to aid in identification and assessment of factors affecting program development.

DESCRIPTORS: Hospitals, Trends and Forecasts

EVALUATION TYPE: Program Impact

EDC ID NO: 1030
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: 230-77-0083
COST (In Thousands): FY 77-\$73
PROJECT STATUS: Study in process
START/END DATES: September '77 through May '78

PROJECT NO: OA 77-7
NTIS NO: Not yet determined
ERIC NO: Not available

0616

AGENCY SPONSOR: Public Health Service
 Health Resources Administration

PROJECT TITLE: Evaluation of the Administration of the Direct Loan, Loan Guarantee and Mortgage Insurance Programs for Medical Facilities

REPORT TITLE: Evaluation of the Administration of the Direct Loan, Loan Guarantee and Mortgage Insurance Programs for Construction & Modernization of Medical Facilities

PERFORMER: Orkand Corporation; 8630 Fenton Street; Silver Spring, MD Joseph Sgarlata

AGENCY CONTACT: Gascoyne, John; Federal Bldg, Rm 404; 301-496-9131

PROGRAM(S) EVALUATED:
 PHS-HRA-Health Resources-Facilities Construction

ABSTRACT: The purpose of this study was to assess the extent to which HEW organization, technical processes, and staffing of the Title VI (Hill-Burton) and HUD/FHA programs were adequate in terms of program effectiveness and efficiency. Specific attention was directed toward: (1) description of how the program is administered, (2) assessment of overall program organization, (3) evaluation of the strength of the policies and procedures through which program operations are conducted, and (4) assessment of the adequacy of staffing levels required to effectively administer the program. The report presents findings, conclusions and recommendations for each of these areas (to be completed). (Final Report 88 pages.)

DESCRIPTORS: Hospitals, Grant and Contract Management, Federal Administration, Loans and Loan Guarantees

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0487
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: HRA-230-75-0081-CO8
COST (In Thousands): FY 76-\$46

PROJECT NO: BHPRD-76-04
NTIS NO: PB 255-065
ERIC NO: Not available

PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS

START/END DATES: January '76 through June '76

0617

AGENCY SPONSOR: Public Health Service
 Health Resources Administration

PROJECT TITLES EVALUATION OF THE APPLICATION OF INSTRUCTIONAL TECHNOLOGY IN SELECTED BASIC NURSING EDUCATION PROGRAMS:

REPORT TITLE: Evaluation of the Application of Instructional Technology in Selected Basic Nursing Education Programs

PERFORMER: American Institutes for Research; Daniel B. Felker, 710 Chatham Center; Pittsburgh, PA 15219

AGENCY CONTACT: Brugger, John; Rm 350, FCB2-301-436-6690

PROGRAM(S) EVALUATED:
 PHS-HRA-Health Resources-Health Manpower-Special Education Program

ABSTRACT: Findings of a study to evaluate the application of Instructional Technology (IT) to basic nursing education are presented. The evaluation is selective in that it: (1) focused on nursing education programs using instructional technology effectively, and (2) included nursing education programs funded by Division of Nursing, Public Health Service, HEW through special project grants. Programs that used television, programmed instruction, films, computers, multimedia and audiotutorial methods are discussed; and an assessment of the literature and its implications is presented. The methodology is descriptive, rather than experimental. A panel was selected to provide guidance throughout the project, a sample of nursing education programs was identified, and data collection instruments and procedures were documented. Both validations of the findings and limitations of the study are discussed. Contents and implementation of an instructional module are analyzed, and module strengths and weaknesses noted. The selection of commercially prepared software is discussed. (Final report 172 pp.)

DESCRIPTORS: Nursing Manpower, Health Manpower Training, Demonstration Projects

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0462
FUND SOURCE: Evaluation

PROJECT NO: BHME-72-3
NTIS NO: PB 256-288

MECHANISM: Contract
ERIC NO: Not available

CONTRACT NO: NIH-72-4296

COST (In Thousands): FY 72-\$146, FY 73-Not available, FY 74-Not available

PROJECT STATUS: Study completed,
 Available from EDC,
 Available from NTIS

START/END DATES: June '72 through August '75

0618

AGENCY SPONSOR: Public Health Service
 Health Resources Administration

PROJECT TITLE: Evaluation of the Effectiveness of the CHPS Agency Assessment Program, Performance Standards, and Technical Assistance

REPORT TITLE: Evaluation of the Effectiveness of CHPS Agency Assessment, Performance Standards and Technical Assistance Activities in Strengthening CHP Agency Performance

PERFORMER: Wolfe and Co., 1101 17th Street, N.W.; Washington, D.C.

AGENCY CONTACT: Massey, Marilyn

PROGRAM(S) EVALUATED:
 PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: The final report of a study to evaluate the Agency Assessment Program and the contributions of its components to the strengthening of Comprehensive Health Planning agencies is presented. The study was designed to determine the relative effect of the assessment program on improving agency performance status; how well the objectives of the assessment program were met; and the extent to which technical assistance needs of the agencies are met by Department of Comprehensive Health Planning efforts. The study was not able to answer these questions. It found that the content and role of the performance standards needs to be refined; the relationship of the performance standards to the assessment/monitoring process needs to change; the measurement systems need to be pre-defined; the

assessment/monitoring system should be based on agency self-reporting with selective verification; the evaluation process needs to be implemented in a more uniform, consistent manner; there is a need to evaluate agency performance; and the development and dissemination of generic materials needs to receive immediate attention. (Final report 203 pp.).

DESCRIPTORS: Health Planning, Management Information and Reporting, Evaluation by State and Local Governments, Management Techniques

EVALUATION TYPE: Program Impact

EDC ID NO: 0461 **PROJECT NO:** CHP-74-7
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA-106-74-75
COST (In Thousands): FY 74-\$247, FY 75-Not available
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC
START/END DATES: June '74 through October '75

0619

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of the Effects of Hospital Cost Finding Procedures on the Determination of Ambulatory Service Costs

REPORT TITLE: Evaluation of the Effects of Hospital Cost Finding Procedures on the Determination of Ambulatory Cost

PERFORMER: Orkand Corp.; 8630 Fenton St.; Silver Spring; Maryland 20910

AGENCY CONTACT: Zwick, Daniel; Rm 1022 FCB2 Bldg. 301-436-7270

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Health

ABSTRACT: Hospital cost finding procedures are evaluated in this study in terms of their effect on the determination of ambulatory service costs such as Emergency Rooms and outpatient clinics. The study focused on eight hospitals on the basis of bed size range (under or over 200 beds) and control/ownership classification (non-profit, municipal, proprietary, teaching). A literature search also was conducted and third party payers were interviewed. Significant limitations were revealed in the use of current hospital cost finding procedures to determine ambulatory service costs. The allocation of direct costs to revenue producing cost center is merely a mechanical process intended to facilitate cost allocations and is insufficient by itself to determine ambulatory costs. Costs attributable to non-revenue producing cost centers are uncontrollable by the revenue producing cost centers to which they are allocated. In addition, techniques used to compute inpatient and outpatient costs under Medicare and Medicaid procedures are ineffective in identifying ambulatory services costs. A bibliography and supporting tables and graphs are included. (Final report 93 pp).

DESCRIPTORS: Health Insurance and Financing, User Charges, Ambulatory and Outpatient Health Care, Hospitals, Economic Analysis Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0455 **PROJECT NO:** HRA (OA) 75-4
FUND SOURCE: Evaluation **NTIS NO:** PB 256-805
MECHANISM: Contract **ERIC NA:**
CONTRACT NO: HRA-230-75-0081

PROJECT DESCRIPTIONS

COST (In Thousands): FY 75-\$21
START/END DATES: March '75 through April '75

0620

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of the Effectiveness and Efficiency of the Section 1122 Review Process

REPORT TITLE: Evaluation of the Efficiency and Effectiveness of the Section 1122 Review Process

PERFORMER: Lewin and Associates, Inc.; 470 L'Enfant Plaza, S.W.; Washington, D. C.

AGENCY CONTACT: Gillespie; 301-443-6547

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning & Development;
PHS-HRA-Health Resources-Facilities Construction

ABSTRACT: A Study to evaluate the efficiency and effectiveness of Section 1122 of the Social Security Act is presented to aid in filling gaps in knowledge about capital expenditure and services (CES) controls. CES controls are efforts by the Federal Government to contain rising health costs and are predicated on the belief that the supply of health capital assets and services is a critical determinant of the cost and utilization of health care. Data was obtained from a field study in 20 states and from secondary sources. The findings indicate that: regardless of the type of controls, States approved more than 93 percent of projects submitted and 90 percent of expenditures proposed; expansion proposals, which make up a large proportion of hospital proposals, have a comparatively high approval rate; and nursing home proposals are denied more frequently than those for hospitals or other facilities. It is concluded that CES controls do not perform effectively in preventing unnecessary capital investment in health facilities and services and are, therefore, not an effective means of containing health costs. (Final report 269 pages.)

DESCRIPTORS: Facilities, Survey or Questionnaire Feature, Hospitals, Nursing Homes, Regulation and Standard Setting, Health Planning

EVALUATION TYPE: Program Impact

EDC ID NO: 0463 **PROJECT NO:** CHP 74-6
FUND SOURCE: Evaluation **NTIS NO:** PB 256-109
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA-106-74-183
COST (In Thousands): FY 74-\$247, FY 75-Not available
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: June '74 through September '75

0621

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of the Expanded Function Dental Auxiliary (EFDA) Training Program

REPORT TITLE: Not yet determined

PERFORMER: Applied Management Sciences, 962 Wayne Ave, Suite 701; Silver Spring, Maryland 20910

AGENCY CONTACT: Not yet determined**PROGRAM(S) EVALUATED:**

PHS-HRA-Health Resources-Health Manpower-Dental Health

ABSTRACT: The goals of this evaluation project are to (1) ascertain the effectiveness of EFDA training in preparing dental auxiliaries to perform expanded functions; (2) assess the degree to which program graduates are being utilized in practice to the level of their EFDA training, and to the extent allowed by State practice laws; (3) describe the attitudes of patients, dentists, and other auxiliaries regarding their acceptance of delegation of expanded functions to trained auxiliaries; (4) establish criteria and develop an evaluation instrument for assessment of EFDA projects and (total) EFDA Program Evaluation; and (5) interpret the descriptive information obtained, and make recommendations for development and implementation of Evaluation Methodology.

DESCRIPTORS: Dental Manpower, Dental Health Care, Certification and Licensing, Paraprofessionals, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development, Program Management or Efficiency

EDC ID NO: 0695**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not yet determined**CONTRACT NO:** 231-76-0026**COST (In Thousands):** FY 76-\$168**PROJECT STATUS:****PROJECT NO:** Study completed BHM-74-2**START/END DATES:** June '76 through March '79

0622

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE:

: Evaluation of the Feasibility of an Independent HSA Data Base Relative to Proposed HSA Budget and Staffing

REPORT TITLE: Evaluation of the Feasibility of an Independent HSA Data Base Relative to Proposed HSA Budget and Staffing

PERFORMER: Moshman Associates; 6400 Goldsboro Road; Washington, D.C. 20034 Howard West

AGENCY CONTACT: Lauber, Robert; Parklawn Bldg., Room 12-14; 301-443-5450

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: This study compares the feasibility of independent health planning data bases for Health Systems Agencies (HSA) versus centralized data bases at alternative levels, i.e., sub-State, State, and multi-State, further compares the relative efficacy of these alternatives and considers standards and criteria for determining if and when such centralization is indicated. The study, which involved visits to nine selected CHP (a predecessor planning agency) agencies, concluded that (1) the annual cost of managing a typical HSA data base may be conservatively estimated at \$40,000-\$50,000, (2) some HSA data activities are not amenable to centralization, and (3) a State-level Data Center is generally the most appropriate, primarily to ease collection and processing of data available from State agencies dealing with vital statistics, licensure, regulatory and/or supervisory functions relative to manpower and facilities. (Final report 141 pages).

DESCRIPTORS: Statistical Data Feature, Feasibility Study Feature, Research and Evaluation Planning and Administration, Health Planning, Information Systems, Needs and Needs Assessment
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0603**PROJECT NO:** BHPRD 76-2**FUND SOURCE:** Evaluation**NTIS NO:** PB 254-920**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HRA 230-75-0082-CO**COST (In Thousands):** FY 75-\$46**PROJECT STATUS:** Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS

START/END DATES: October '75 through February '76

0623

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of the Impact of HRA Programs on State Government: Phase II

REPORT TITLE: Not yet determined

PERFORMER: Miller and Byrne, Inc; 6110 Executive Boulevard; Rockville, Maryland 20852; Ted Macaluso, Ph.D.

AGENCY CONTACT: Rocheleau, Bruce; CB 2 Rm 10-22; 301-436-8996

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: The Health Resources Administration has recently completed a pilot study of the impact of selected PHS programs on the health goals and activities of state governments. That study was designed to (1) describe the process of implementation of selected health programs at the State level in six states; (2) determine whether State priorities have been reordered; (3) determine the positive and negative influence selected PHS programs have had on State government organizations; and (4) determine the influence State implementation has had on Federal goals and upon Federal program administration. Because of the limited nature of this pilot study the findings are merely suggestive. This second phase of the study is intended to build upon and go beyond the knowledge gained under the first phase. Phase I is specifically intended to (1) develop testable hypotheses and/or research questions which would in part be based upon the findings of the pilot study; (2) refine the methodology utilized in phase one; and (3) extend this refined and more rigorous methodology to data collection, analysis and hypothesis testing in ten additional states. This study will be useful to HRA and other federal health agencies as they attempt to improve federal-state-relationships. Additionally, the findings should be sufficiently generalizable so that they may provide guidance to the Department in developing policy management assistance or capacity building programs.

DESCRIPTORS: Case or Site Study Feature, Federal Role, Federal State and Local Relations, Field Test Feature, Program Coordination, State or Local Government

EVALUATION TYPE: Program Impact**EDC ID NO:** 1027**PROJECT NO:** OA 77-4**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** 230-77-0135**COST (In Thousands):** FY 77-\$169

PROJECT STATUS: Study in process
START/END DATES: September '77 through March '79

0624

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of the Impact of PL 94-484 on
Residency Slot Distribution among Primary and Nonprimary
Care Specialties in First Year of Graduate Medical
Education

REPORT TITLE: Evaluation of the Impact of P.L. 94-484 on
Distribution of Residencies

PERFORMER: JWK International Corp.; 7617 Little River
Turnpike; Suite 800; Annandale, Va. 22003; Dr. Hernandez;

AGENCY CONTACT: Nelson, Thomas; Rm. 2-63; Center Bldg.;
301-436-6574;

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower-Health
Professions-Institutional Aid

ABSTRACT: Section 771 (b)(2) of the Public Health Service Act, amended by the Health Professions Educational Assistance Act of 1976 (PL 94-484), requires that for medical schools to be eligible for participation in the Capitation Grant program, a specified percentage of filled first-year residencies in direct and affiliated medical residence training programs have to be in primary care. The legislation set certain percentages which were greatly exceeded in the first year of its enactment. This may be due to the ambiguity in the definition of terms in the legislation, as well as an ambiguous definition of the duration of primary care practices for residents, e.g., one year or more. The study recommends that current instructions and definitions used in capitation grant applications be simplified and clarified. For example, the instructions can simply state "Report all programs that admit residents directly from medical school." The definition of program affiliation should also be tightened and made less confusing by replacing the word "students" with "residents" in all cases. The reported attrition used to adjust the filled first-year positions reflect mainly the transfer to nonprimary care training. Shifting to subspecialty training is missed by the current system of reporting, since this attrition occurs mainly after the third year of training. It is therefore recommended that future reporting systems require the accounting of the status of residents after the third year of graduate medical education.

DESCRIPTORS: Medical Training, Survey or Questionnaire Feature,
Field Test Feature, Grants-in-Aid, Health Manpower Training

EVALUATION TYPE: Program Impact

EDC ID NO: 1662 **PROJECT NO:** BHM 78-012
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 232-78-0133
COST (In Thousands): FY 78-\$144

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: September '78 through September '79

0625

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of the Impact of HRA Programs on
State Government--Phase I: Design

REPORT TITLE: Evaluation of the Impact of PHS programs on
State Health Goals and Activities

PERFORMER: Miller and Byrne, Inc.; 6110 Executive Blvd, Suite
700; Rockville, Md 20852

AGENCY CONTACT: Buntz, Greg; Parklawn Bldg, Rm 10A-40;
301-443-4173

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Planning &
Development;
PHS-HRA-Health Resources-Health Statistics;
PHS-HRA-Health Resources-Health
Manpower-Nursing-Institutional Aid;
PHS-ADAMHA-Mental Health-Community Programs &
Centers;
V-Many HEW Programs-Health

ABSTRACT: The rapid growth of federal domestic programs in the 1960's and early 1970's has contributed to the constancy of change in intergovernmental relationships. This pilot study was undertaken in order to develop an analytical framework which would provide HRA with information on the impact of selected PHS programs on state health goals and activities and thus with a better understanding of federal and state roles in health policy formulation. The key findings of the study are: 1) state health goals frequently flow from federal program initiative, 2) federal health policy can support conflicting health goals within states, 3) a primary impact of federal programs is the placing of an issue on a state's active agenda, 4) federal health programs are not forcing states to shift their goals or activities in any fundamental way, 5) states frequently use federal programs for their own ends, 6) the relative maturity of Federal program goals is a major influence on state satisfaction/dissatisfaction, and 7) PHS programs that bypass state officials (e.g. CMHC) engender opposition on that basis. (Final report 137 pages).

DESCRIPTORS: Federal Role, Grants-in-Aid, Discretionary and Project Grant Performance, Bibliography Feature, Federal State and Local Relations, State or Local Government, Survey or Questionnaire Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 0591 **PROJECT NO:** OA 76-7
FUND SOURCE: Evaluation **NTIS NO:** PB 266-839
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA 230-76-0264 TO1

COST (In Thousands): FY 76-\$76

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: September '76 through May '77

0626

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Impact of HSA Decisions on HMO Development

REPORT TITLE: Evaluation of the Impact of Planning Agency
Review Actions and Decisions on Health Maintenance
Organization Development

PERFORMER: Analysis Management, and Planning, Inc.; 11 Mifflin Place; Cambridge, Mass 02137

AGENCY CONTACT: Constantin, Nancy; Rm. 6-50, Center Bldg.; 301-436-6144

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Planning, & Development;

PHS-OASH-Health Maintenance Organizations

ABSTRACT: Health Maintenance Organizations and Health Planning are relative new areas in the health care field which have a potential for contributing to better service delivery at more controlled costs. We do not know, however what health planning agencies' experience is in regard to HMO Development. The purpose of this study is the examination of the impact of the health planning agency (Subarea Councils (SACs), Health Systems Agencies (HSAs), State Health Planning and Development Agencies (SHPDAs), review actions and decisions on the development of Health Maintenance Organizations (HMOs). A further purpose of this study is to provide information on types of problems HMOs may have experienced and will continue to experience as a result of SAC/HSAs/SHPDA review activities. The main focus of this study are: (1) planning agencies having stated objectives related to the development of HMOs; (2) project review findings consistent with their stated goals towards HMOs; (3) positive or negative effects of planning an project review activities of planning agencies on HMOs; (4) planning agencies application of special criteria for HMOs; (5) discrimination on the part of planning agencies; and (6) reasons for denials on HMO applications. The tasks of this study will be accomplished largely through site visits and literature reviews, especially concerning Certificate on Need (CON) regulations and the Notice of proposed Rulemaking (NPRM) statement of March 17, 1978.

DESCRIPTORS: Case or Site Study Feature, Health Maintenance Organizations, Health Planning, Regulation and Standard Setting, Literature Review Feature, Evaluation by State and Local Governments

EVALUATION TYPE: Program Impact

EDC ID NO: 1667

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: 232-78-0080 T.O.4

COST (In Thousands): FY 78-\$76

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC

START/END DATES: September '78 through July '79

PROJECT NO: BHPRD 78-007

NTIS NO: Not yet determined

ERIC NO: Not available

0627

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of the Impact of Selected PHS Programs on Substate Health Goals and Activities

REPORT TITLE: Evaluation of the Impact of Selected PHS Programs on Substate Health Goals and Activities

PERFORMER: Miller and Bryne, Inc; 1370 Piccard Drive; Rockville MD. 20852; Theodore Macaluso

AGENCY CONTACT: Bruce Rocheleau; CB 2 Room 10-22; 301-436-8996

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: This study examines the impact which two Public Health Service Programs, health planning under PL 93-641 and community

mental health under PL 94-63, have on the goals and activities of localities. The report is based on site visits to localities in South Carolina and Michigan. Findings are based on informal discussions with 73 persons in these states in addition to examination of budgetary information and other documents. The report focuses on a key inter-governmental aspect of the impact of these two programs: To what extent are local governments forced to go in directions they oppose or are they helped to do what they want to do in the regard to health goals? (Final report 118 pages)

DESCRIPTORS: Federal State and Local Relations, State or Local Government, Case or Site Study Feature, Survey or Questionnaire Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 1034

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: 230-77-0079

COST (In Thousands): FY 77-\$22

PROJECT STATUS: Study completed,

Available from EDC,

Available from NTIS

START/END DATES: August '77 through March '78

PROJECT NO: OA 77-12

NTIS NO: PB 284-362

ERIC NO: Not available

0628

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of the Impact of the SHPDA on the Functioning of Other Health Agencies of the State

REPORT TITLE: Evaluation of the Impact of SHPDAs on the Activities of Other State Agencies

PERFORMER: Government Studies and Systems, Inc.; 3401 Market Street; Philadelphia, Pa 19104; Seymour Burchman

AGENCY CONTACT: Lubran, Robert; Rm. 4-42, Center Bldg.; 301-436-6104

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: P.L. 93-641, the National Health Planning and Resources Development Act of 1974, provides that the State Health Planning and Development Agencies (SHPDAs) conduct the health planning activities of the State and implement those parts of the State Health Plan (SHP) and the plans of health systems agencies (HSAs) within the State which relate to the government of the State. The health planning activities of the State include data management, plan development, plan implementation, and regulatory review. The SHPDA does not have the authority to direct the actions of those responsible for health programs and policy, and must therefore work to influence their decisions. The purpose of this contract is to evaluate the impact of the SHPDA on the health activities of other State governmental agencies, such as a Department of Human Resources, a Department of Health, or a Department of Education. The result of this study will indicate how well SHPDAs operate in the State government arena and what factors are involved in the SHPDAs impact on other State agencies. This data will lead to further policy guidance, as well as an assessment of existing statutes, regulations, guidelines, and general policies which relate to SHPDAs. The results of this study will affect a related study which will develop SHPDA compliance standards.

DESCRIPTORS: Case or Site Study Feature, Research and Evaluation Planning and Administration, Health Planning, State or Local Administration, Evaluation by State and Local Governments

EVALUATION TYPE: Program Impact

EDC ID NO: 1668
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: 232-78-0084 T.O. 1
COST (In Thousands): FY 78-\$68
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC
START/END DATES: September '78 through February '79

PROJECT NO: BHPRD 78-011
NTIS NO: Not yet determined
ERIC NO: Not available

0629

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of the Impact of the National Area
Health Education Center Program.

REPORT TITLE: Not yet determined

PERFORMER: Contracts Research Corp.; 25 Flanders Road;
Belmont, Ma 02178; Judith Dernburg; (617) 489-3150;

AGENCY CONTACT: Buckner, Donald; Center Bldg.; Rm. 4-22;
301-436-6773;

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower-Special
Education Programs

ABSTRACT: Area Health Education Centers have sought to address the problem of geographic and specialty maldistribution of health practitioners through educational interventions directed toward changes in health professions education programs and processes. Section 802(b) of PL 94-484 mandates an assessment of the AHEC Program to determine the impact of the AHEC projects on the distribution of health manpower and on the access to and quality of health care in the areas in which such projects are located. The purpose of the study is to (1) evaluate the 11 AHEC projects funded by BHM in terms of their impact on geographic and specialty distribution of manpower in AHEC target areas and (2) develop a methodology to assess the effects of AHEC project areas and develop recommendations for application of that methodology.

DESCRIPTORS: Access to services, Research and Evaluation Methods Feature, Survey or Questionnaire Feature, Field Test Feature, Urban or Rural Places or Problems, Health Manpower Training, Medical Training, Case or Site Study Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 1658
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: 232-78-0114
COST (In Thousands): FY 78-\$329
PROJECT STATUS: Study in process
START/END DATES: May '78 through November '79

PROJECT NO: BHM
NTIS NO: Not yet determined
ERIC NO: Not available

0630

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of the Linkage System-Concept: How
to Make Health Manpower Planning Effective

REPORT TITLE: Not yet determined

PERFORMER: Urban Systems Research and Engineering, 1218
Mass Ave, Cambridge, MA 02138

AGENCY CONTACT: Ronald Merrill, DB

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower;
PHS-HRA-Health Resources-Health Planning &
Development

ABSTRACT: The Bureau of Health Manpower and HEW Regional Offices sponsored a series of 22 contracts in 1973 and 1974 to develop relationships (linkages) that would help make manpower planning and resources allocation more effective. The contracts are in the process of being completed. This study will assess and evaluate the linkage system concept as a process for promoting efficient production and utilization of health manpower through the major mechanisms established by PL 93-641. The methodology is developed around the CIPP evaluation model; site visits to each State and Regional Office Project officer will be made. Recommendations based upon analysis will concern how a State Health Planning and Development Agency might proceed to analyze their local situation and develop a linkage system to make health manpower planning effective.

DESCRIPTORS: Case or Site Study Feature, Health Planning, State or Local Government

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1046
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: 231-77-0071
COST (In Thousands): FY 77-Not available
PROJECT STATUS: Study in process
START/END DATES: September '77 through March '79

PROJECT NO: BHM 77-2
NTIS NO: Not yet determined
ERIC NO: Not available

0631

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of the Management Reporting and
Evaluation System (MRES)

REPORT TITLE: Evaluation of the Management Reporting and
Evaluation System (MRES)

PERFORMER: Government Studies and Systems, Inc.; University
City Science Center; 3401 Market Street; Philadelphia, PA
19104; William Carlson

AGENCY CONTACT: Ott, Joseph; Parklawn Building Rm 11A5;
301-443-1780

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Planning &
Development

ABSTRACT: The purpose of the study was twofold: to assess the extent to which each of the processes in the Management Reporting and Evaluation System developed for use by Regional Medical Programs was transferrable to HSAs and SHPDAs, and to recommend modifications that may be necessary to make MRES usable to HSA and SHPDAs. The primary conclusion reached was that the MRES is indeed applicable to the HSA environment, and specifically that the MRES would be an extremely useful management system for an HSA. The feasibility of using the MRES to support SHPDA activities is less obvious. Although the greatest value of MRES is the structure it can provide for management activities, the MRES would also provide sufficient data for meeting most external reporting requirements as currently envisioned. Recommendations are made concerning several minor modifications which would enhance the utility of the MRES and HSAs. It is suggested that these modifications be made as part of a field test of MRES in selected HSAs. A conceptual design of the MRES for an HSA is outlined. In small agencies, it is

recommended that the MRES be implemented as a manual system. Computerization of some elements may be necessary in larger agencies. (Final report 83 pages.)

DESCRIPTORS: Information Systems, Health Planning, Management Techniques, Research and Evaluation Planning and Administration, Management Information and Reporting
EVALUATION TYPE: Program Strategy

EDC ID NO: 0816 **PROJECT NO:** BHPRD 76-8
FUND SOURCE: Evaluation **NTIS NO:** PB 265-239
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 230-76-0209 TO 1
COST (In Thousands): FY 76-\$13
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: August '76 through February '77

0632

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of the National Health Planning Information Center (NHPIC)

REPORT TITLE: Evaluation of the National Health Planning Information Center (NHPIC)

PERFORMER: JRB Associates, Inc.; 1651 Old Meadow Road; McLean, Virginia 22101; Dr. Benjamin Duggar

AGENCY CONTACT: Gold, Jon; 11-19, Parklawn Bldg; 301-443-1710

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: The National Health Planning Information Center (NHPIC) was established in response to P.L. 93-461 primarily to support the health planning and resource development program of health systems agencies, and state health planning agencies. The objectives of NHPIC are to establish an information base for health planning and to facilitate access to this information base through a variety of products and services. This evaluation assessed the extent to which the NHPIC is meeting its objectives, particularly with regard to the needs of health systems agencies and state health planning agencies. This evaluation involved the examination of documentation concerning NHPIC operations observations of the NHPIC Management Information System (MIS) to extract numeric data on NHPIC operations. Findings indicate that 1) the primary target group has been reached by NHPIC, 2) NHPIC is servicing users in a timely fashion, 3) many materials included in NHPIC are not relevant to users, 4) the NHPIC management control system has significant gaps in its extent and coverage, and 5) since the NHPIC reference specialists are the only health planning oriented staff, they are key to NHPIC operations. Based on these findings it is recommended that the NHPIC, MIS be revised and that an ongoing evaluation process be established. A recommendation is also made for improving the document processing function by developing screening criteria.

DESCRIPTORS: Health Planning, Information Dissemination, Technical Assistance

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0761 **PROJECT NO:** BHPRD 76-7
FUND SOURCE: Evaluation **NTIS NO:** PB 272-276,277
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HRA 230-76-0211 TO 1

COST (In Thousands): FY 76.1-\$62

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: September '76 through March '77

0633

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Analysis of the Effectiveness and Efficiency of the Three Types of Nursing Programs (PHASE II)

REPORT TITLE: Evaluation of the Nurse Training Act

PERFORMER: Cresap, McCormick, and Paget, Inc.; Management Consultants; 245 Park Avenue; New York, New York 10017, E. Frederick

AGENCY CONTACT: Groner, E.;

PROGRAM(S) EVALUATED:

E-EO-Higher Education-Institutional Aid;
PHS-HRA-Health Resources-Health Manpower;
PHS-HRA-Health Manpower-Nursing Student Aid;
PHS-HRA-Health Resources-Health Manpower-Nursing-Institutional Aid

ABSTRACT: This evaluation develops a data base and conducts an exploratory study of the effectiveness of the Nurse Training Act of 1964, as amended, in increasing the supply of registered nurses to meet national needs for skilled nursing care. In general, cash benefits to students, directed to students at particular levels, were the most effective aid programs; also, larger institutions grew faster than the smaller ones. (Final report III pages)

DESCRIPTORS: Higher Education, Educational Finance, Statistical Analysis Methods Feature, Nursing Manpower, Health Manpower Training

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0381 **PROJECT NO:** BHME 71-4

FUND SOURCE: Evaluation **NTIS NO:** PB 246-238

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: NIH-71-4059

COST (In Thousands): FY 71-\$145, FY 73-\$69

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: September '72 through September '74

0634

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Subarea Advisory Council Operations

REPORT TITLE: An Evaluation of the Operation of Subarea Advisory Councils

PERFORMER: Arthur D. Little, Inc.; Acorn Park; Cambridge, Ma 02140; Ms. A. Walcott

AGENCY CONTACT: Williams, Jacquelyn; Rm. 5-22, Center Bldg.; 301-436-6710

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: P.L. 93-641 permits but does not require HSAs to establish Subarea Advisory Councils (SACs) "representing parts of the agency's health service area to advise the governing body of the agency on the performance of its functions." Many agencies have established SACs, but many others have local councils (county councils, area advisory groups, county health resource committees, and the like) that serve in similar roles. This report describes a study of 20 HSAs—nine with SACs, five with local councils, one with both SACs and local councils, and five with no subarea organizations. The study looked at historical development of councils, organizational structure, operating processes and procedures, roles and activities, resource requirements, and the impact of these aspects of councils on the HSAs, on the subarea and on public participation, representation, and accountability. The study's findings indicate that subarea organizations operate to assist as well as to advise their HSA. Councils do have positive impact on their agencies and subarea across a wide range of differing approaches and emphases and their advantages outweigh the additional costs and time involved in maintaining councils. It is recommended that subarea organizations be encouraged but not mandated, and that HSAs be given guidelines and technical assistance for operation of councils. (Final report 320 pages.)

DESCRIPTORS: Case or Site Study Feature, State or Local Administration, Research and Evaluation Planning and Administration, Health Planning

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1669 **PROJECT NO:** BHPRD 78-012

FUND SOURCE: Evaluation **NTIS NO:** PB 296-287

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 232-78-0078 T.O. 1

COST (In Thousands): FY 78-\$97

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: September '78 through February '79

DESCRIPTORS: Health Manpower Training, Nursing Manpower, Minority Groups

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0758 **PROJECT NO:** DN 73-1

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: NO1-NU-34801

COST (In Thousands): FY 73-\$162

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: June '73 through June '76

0636

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of the Use of Health and Systems Plans (HSPs) and State Health Plans (SHPs) as a Basis for Project Reviews

REPORT TITLE: Not yet determined

PERFORMER: Government Studies and Systems; 3401 Market Street; Philadelphia, Pa 19104; Adele Hibb

AGENCY CONTACT: Nakayama, Von; Rm. 5-22, Center Bldg.; 301-436-6740

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: Health Systems Agencies (HSAs) and State Health Planning and Development Agencies (SHPDAs), as created through PL 93-641, are responsible for health planning and resources development in local areas in States, respectively. HSAs and SHPDAs are responsible for implementing project reviews concerning Certificate of Need (CON) and proposed uses of Federal funds in line with the cost containment priority of PL 93-641. HSAs are also responsible for developing Health Systems Plans (HSPs), while SHPDAs develop State Health Plans (SHPs). Annual Implementation Plans (AIPs) attempt to make operational the goals of the HSP. The purpose of this study is to evaluate the processes by which HSPs, AIPs, and SHPs are developed, the contents of the plans, and their use in the performance of regulatory reviews. More specifically, the purpose of this study is to: (1) evaluate the state-of-the-art in health plan development, the content of plans, and the process by which plans are developed under PL 93-641; (2) assess the extent to which plans are being used in performing regulatory reviews and the manner in which they are used; (3) identify the reasons for use or non use of the plans in regulatory reviews; (4) evaluate Federal technical assistance and guidance materials, and where possible, develop TA and guidance materials addressing those goals.

DESCRIPTORS: Case or Site Study Feature, Health Planning, Literature Review Feature, Regulation and Standard Setting, Planning, Technical Assistance

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1666 **PROJECT NO:** BHPRD 78-005

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 232-78-0153

COST (In Thousands): FY 78-\$179

PROJECT STATUS: Study in process

START/END DATES: September '78 through July '79

0635

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of the Student Selection Process in Schools of Nursing

REPORT TITLE: Evaluation of the Student Selection Process in Nursing Schools

PERFORMER: National League for Nursing; 10 Columbus Circle; New York, N.Y. 10019

AGENCY CONTACT: Siegel, Stanley; 301-496-6546

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Manpower-Nursing-Institutional Aid

ABSTRACT: The purpose of this study was to identify factors which contribute to the growth rate in graduates from nursing programs—both prior to entry at the recruitment and selection stages, and later, once the student is in the program. The study recommends pooling manpower resources with local schools in order to gain wider and more comprehensive recruitment coverage; altering the geographic scope of recruitment coverage; anticipating the effect of insufficient funds on such things as publications; sending special brochures to minority applicants; having a minority group faculty member or recruiting officer available to answer questions of minority applicants; assisting recruitment of minority students by contacting clergymen and professionals from the minority community; increasing efforts to re-direct applicants to other programs; and canvassing the community for alternative sources of assistance to students (Final report 297 pages).

PROJECT DESCRIPTIONS

0637

AGENCY SPONSOR: Public Health Service
Health Resources Administration**PROJECT TITLE:** Evaluation of Three Motion Pictures for the
Recruitment of Minorities onto the Health Professions**REPORT TITLE:** Evaluation of Three Minority Recruitment Motion
Pictures**PERFORMER:** Mariscal and Company; 2600 Wilshire Boulevard;
Los Angeles, Calif. 90057; Daniel H. Straub, Ph.D.**AGENCY CONTACT:** Sybor, Adrian W.; Parklawn Bldg, Rm
10A30; 301-443-5442**PROGRAM(S) EVALUATED:**PHS-HRA-Health Resources-Health Manpower-Special
Education Programs**ABSTRACT:** Open-ended discussions were conducted nationally at various high school sites to determine the effectiveness of three 28 minute recruitment films designed to recruit minority persons into the health professions. The study also included a technical analysis which was performed by professional filmmakers. The data collected from these two areas of investigation was correlated and analyzed. The three films were found to be average both technically and in their ability to motivate the viewer. (Final report 157 pages).**DESCRIPTORS:** Educational Technology, Minority Groups, Health Manpower Other, Demonstration Projects**EVALUATION TYPE:** Place Project or Technique**EDC ID NO:** 0598**PROJECT NO:** BHM 75-9**FUND SOURCE:** Evaluation**NTIS NO:** PB 253-986**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HRA 231-76-0002**COST (In Thousands):** FY 76-\$30**PROJECT STATUS:** Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS

START/END DATES: November '75 through June '76

0638

AGENCY SPONSOR: Public Health Service
Health Resources Administration**PROJECT TITLE:** Development of the Evaluation Strategy for the
Health Professions Educational Assistance Act, PL 94-484**REPORT TITLE:** Evaluation Plan 1978-1980 for PL 94-484**PERFORMER:** Family Health Care, Inc.; 1910 K Street, N.W.;
Washington, D.C.; Dr. Stanley Scheyer**AGENCY CONTACT:** Kate McGuire; Center Bldg. Rm. 4-30;
301-436-6770**PROGRAM(S) EVALUATED:**

PHS-HRA-Health Resources-Health Manpower

ABSTRACT: In October 1976, the Health Professions Educational Assistance Act of 1976, PL 94-484, was enacted. This law requires that 17 reports be submitted to Congress assessing program achievement and performance. The information needed for these reports can be generated partially through effectively designed and implemented evaluation strategy. The purpose of this study is to design a strategy to meet the evaluative and policy information needs required to measure the effectiveness of PL 94-484 and to develop the means to assess the data information. The products of this project will include an evaluation plan for PL 94-484 and a management information system for program monitoring. The strategy and recommendations regarding mechanisms designed as a result of this project would be imple-

mented over the next 2-3 years to meet the several information needs associated with PL 94-484 to evaluate the effectiveness of the law in achieving its goals, and to support policy decisions for legislation, program and budget recommendations.

DESCRIPTORS: Research and Evaluation Performance, Medical Training, Health Manpower Training, Management Information and Reporting**EVALUATION TYPE:** Support**EDC ID NO:** 1048**PROJECT NO:** BHM-77-7**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** 230-76-0213**COST (In Thousands):** FY 77-\$103**PROJECT STATUS:** Study completed**START/END DATES:** June '77 through December '77

0639

AGENCY SPONSOR: Public Health Service
Health Resources Administration**PROJECT TITLE:** Evaluation Study to Design and Develop a
Hill-Burton Assurance Monitoring System**REPORT TITLE:** Evaluation Study to Design and Develop a
Hill-Burton Assurance Monitoring System**PERFORMER:** Orkand Corporation; 8630 Fenton Street; Silver
Spring, Md 20910; Joseph Sgarlada**AGENCY CONTACT:** Davis, Ella; Federal Bldg, Rm 402;
301-496-9125**PROGRAM(S) EVALUATED:**

PHS-HRA-Health Resources-Facilities Construction;

PHS-HRA-Health Resources-Health Planning &
Development**ABSTRACT:** The purpose of the Hill-Burton Assurance Monitoring System is to collect and process data submitted by all health care facilities which have received Federal financial assistance under Title VI of the PHS Act or Title XVI of PL 93-641. The major component of the system is the computer processing operation which will be used to process pertinent data and to generate the necessary management reports. These reports will be used to monitor the efforts of the facilities to meet their obligation to provide a reasonable volume of free care to persons unable to pay and to provide a community service. In addition, several documents which were produced as a result of the study, including a System Operations Manual and a Users Guidelines Manual, will be used by selected personnel responsible for implementing the system at the Central Office, Regional Office, and State Agency levels. (Final report 25 pages).**DESCRIPTORS:** Hospitals, Information Systems, Facilities, Grant and Contract Management, Management Information and Reporting**EVALUATION TYPE:** Support**EDC ID NO:** 0589**PROJECT NO:** BHPRD 76-3**FUND SOURCE:** Evaluation**NTIS NO:** PB 255-461**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HRA 230-75-0081 CO5**COST (In Thousands):** FY 76-\$98**PROJECT STATUS:** Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS

START/END DATES: October '75 through May '76

0640

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: An Exploratory Evaluation of U.S. Medical
Schools Efforts to Achieve Equal Representation of
Minority Students

REPORT TITLE: An Exploratory Evaluations of U.S. Medical
Schools Efforts to Achieve Equal Representation of
Minority Students

PERFORMER: The Orkand Corporation; 8630 Fenton Street; Silver
Spring, Maryland 20910; Franz M. Jagger

AGENCY CONTACT: Gill, Norma; Parklawn Building Room 11-34;
301-443-1516

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower-Health
Professions;
PHS-HRA-Health Resources-Health Manpower-Special
Education Programs

ABSTRACT: A 1970 Task Force report outlined existing trends in the recruitment of minorities into medical schools, projected future trends, and made recommendations as to how to increase minorities into the medical school pathway. This study will attempt to (1) determine what methods U.S. medical schools have utilized since to increase minority student enrollment; (2) determine if the Task Force Report projections were obtained; (3) determine utilization of Federal financial aid by students during pre-medical and medical school attendance; (4) determine utilization of counseling and informational services for retention and recruitment of minority students; and (5) determine the feasibility of a larger national impact study of federal efforts. The findings of this study will be used to supplement existing studies which deal with special projects aimed at the recruitment and retention of minorities into health professional schools. See also the follow-on study, EDC 0687. (Final report, Vol. I 340 pages, Vol. II 25 pages).

DESCRIPTORS: Minority Groups, Medical Training, Feasibility Study Feature, College Students, Civil Rights and Integration, Trends and Forecasts

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0680

PROJECT NO: OA-75-102

FUND SOURCE: Evaluation

NTIS NO: PB 262-126/AS and
127/AS

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: HRA-230-75-0081 CO

COST (In Thousands): FY 75-\$49, FY 76-\$6

PROJECT STATUS:

: Study completed,

Available from EDC,
Available from NTIS

START/END DATES: May '75 through November '75

0641

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Feasibility Study of Using Program Recipients to
Evaluate Selected HRA Activities

REPORT TITLE: Feasibility of Using Program Recipients to
Evaluate Selected PHS Programs

PERFORMER: Miller and Byrne, Inc.; 6110 Executive Boulevard;
Rockville, MD 20852; T. Macaluso, Ph.D.

AGENCY CONTACT: Penn, Wayne; CB

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Program Direction &
Management

ABSTRACT: Two PHS programs were selected for study: the National Health Service Corps Scholarship Program and the National Health Planning Information Center Program. The recipients of the NHSCS Program were defined as medical students who receive NHSC scholarships; the recipients of the NHPIC Program were defined as client agencies which use NHPIC services (Health Systems Agencies, State Health Planning and Development Agencies, and Centers for Health Planning). Recipients of these two programs were used to plan and conduct evaluations of the programs. The study concluded that recipient evaluations of PHS programs are feasible, but certain practical considerations should be taken into consideration, such as: (1) Individual recipient evaluations are more feasible than institutional recipient evaluations (2) Program recipient and program managers tend to have different evaluation agendas; (3) Program recipients tend to develop a greater sense of program awareness and become politicized during the course of an evaluation; (4) Program managers tend to react defensively to recipient evaluations, and; (5) Program managers tend to become frustrated and alienated when program managers do not respond to the results of recipient evaluations. The recipients' greatest contribution to evaluations is to define and interpret familiar problems in new ways.

DESCRIPTORS: Medical Students, Health Care Delivery Systems, State or Local Government, Consumer Evaluation Feature, Research and Evaluation Performance, Feasibility Study Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1042

PROJECT NO: OA 76-9

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 230-77-0132

COST (In Thousands): FY 77-\$74

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: September '77 through December '78

0642

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: An Overview of HRA's Programs, Policies as
They Affect Minority and Low Income Groups; Implications
for Future Financing Patterns

REPORT TITLE: Final Overview of HRA Programs and Policies as
They Affect Minority and Low Income Groups

PERFORMER: The Orkand Corporation; 8630 Fenton Street; Silver
Spring, MD 20910; Franz Jagger

AGENCY CONTACT: Gill, Norma; Parklawn Bldg Rm 11-34;
301-443-1516

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources;
PHS-HRA-Health Resources-Health Planning &
Development;
PHS-HRA-Health Resources-Health Manpower-Health
Professions-Institutional Aid;
PHS-HRA-Health Resources-Facilities
Contribution-Teaching

ABSTRACT: Evaluative criteria and strategies are presented for three Health Resources Administration (HRA) programs, together with a preliminary conceptual evaluation design of each of the three programs. These programs are: Health resources development; capita-

tion grants to health professions schools; and construction grants to health professions schools. The health resources development program is designed to provide financial assistance. The capitation program is a mechanism through which the Federal Government provides financial support to designated health professions schools. The construction grant program authorizes matching grants of up to 80 percent of the total costs of construction, replacement or renovation of educational facilities in the health sciences. The key finding of the study is that data do not exist to estimate minority impact of these programs. (Final report 241)

DESCRIPTORS: Minority Groups, Low-Income Population, Scholarships Fellowships and Loans, Grants-in-aid

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0454 **PROJECT NO:** OA 75-101

FUND SOURCE: Evaluation **NTIS NO:** PB 256-242

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HRA 230-75-0081 CO2

COST (In Thousands): FY 75-\$27, FY 76-\$19

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: May '75 through November '75

0643

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of Alternative Methodologies for Determining Hospital Ambulatory Services Costs

REPORT TITLE: Final Report on an Analysis and Evaluative Methodologies for Determining Hospital Ambulatory Services Cost.

PERFORMER: The Orkand Corporation; 8630 Fenton Street; Silver Spring, Maryland 20910; Frederick L. Olmstead

AGENCY CONTACT: Anderson, Arne; Parklawn Building Rm 15A-55; 301-443-4520

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: The methodology utilized for the measurement and determination of hospital ambulatory service costs has become an increasingly important issue in the continued promotion of ambulatory care by the Federal government. In an earlier study (OA 75-4) a basic examination of the cost allocation methodologies of a number of hospitals indicated that there might be meaningful cost differences for ambulatory/outpatient services costs compared to inpatient service costs, based on allocation methodology. The purpose of this study was to determine the differences in "cost" for hospital associated ambulatory and outpatient services which can be said to be attributable to differing allocation methodologies particularly in comparison with inpatient "costs." Data was collected from two study hospitals through personal interviews and records review and documentation. Findings indicate cost-finding and cost allocation methodologies currently used are beset with problems and alternative approaches do not appear to offer an easy or rapid solution. Conclusions indicate (1) the Step Down method for allocating costs should be maintained for the short-term and (2) HRA should consider development of alternative cost finding methodologies for future implementation. (Final report 93 pages.)

DESCRIPTORS: Economic Analysis Feature, Hospitals, Ambulatory and Outpatient Health Care, User Charges, Management Information and Reporting

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0684 **PROJECT NO:** OA-76-4

FUND SOURCE: Evaluation **NTIS NO:** PB 263-456

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HRA-230-75-0081 CO

COST (In Thousands): FY 76-\$49

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES:

: February '76 through August '76

0644

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Pilot Project on Evaluation Training

REPORT TITLE: Final Report on Pilot Project on Evaluation Training

PERFORMER: The Urban Institute; 2100 M Street, N.W.; Washington, D.C. 20037; Joseph Wholey

AGENCY CONTACT: Price, Isabel; Parklawn Building 10A-56; 301-443-1040

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Program Direction & Management

ABSTRACT: This study describes and documents an evaluation training plan that HRA, working with the Urban Institute, developed in 1976. The plan includes seven training modules, four which were pilot tested: planning, evaluation, designing evaluation, data collection and analysis techniques, and evaluation techniques for managers. The appendices present each pilot workshop program, the curriculum materials, background reading material, and follow-up evaluation of each pilot effort, description of the HRA skill's survey and the individualized follow-up training that followed the third pilot workshop. The six components of the report are (1) Summary Report on Evaluation Training for HRA Evaluators and Managers, (2) Appendix A-Training Module 1, (3) Appendix B-Training Module 2, (4) Appendix C-Training Module 3, (5) Appendix D-Responses to Skills Survey and Appendix E-Individualized Follow-up Training and (6) Directory of Evaluation Training Resources Relevant to the HRA Evaluation Training Design. (Final report six volumes).

DESCRIPTORS: Research and Evaluation Planning and Administration, Demonstration Projects, Administrative Personnel, Planning, Statistical Data Feature, Research and Evaluation Methods Feature
EVALUATION TYPE: Support

EDC ID NO: 0677 **PROJECT NO:** OA 75-2

FUND SOURCE: Evaluation **NTIS NO:** PB 259-258 to 263/AS

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HRA 230-75-0202

COST (In Thousands): FY 75-\$80, FY 76-\$26

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: June '75 through September '76

0645

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of Methods Currently Used to
Determine Student Financial Needs

REPORT TITLE: Financial Assistance to Health Professions
Students: Patterns of Institutional Administration of Loans
and Scholarships

PERFORMER: Educational Testing Service; Princeton, NJ; James
L. Bowman

AGENCY CONTACT: Hejduk, Jerald

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower-Health
Education Loans;
E-OE-Higher Education-Student Assistance Health
Professions Graduate Loan Fund

ABSTRACT: A study of financial aid practices at health profession institutions was conducted through a survey covering: (1) financial aid administration; (2) staffing of financial aid offices; (3) selection of HPSSLs (Health Professions Student Loan and Scholarship) program recipients; (4) needs analysis criteria; and (5) procedures used to package aid. The HPSSLs programs assist students who need financial assistance to pursue a course of study leading to a degree of doctor of medicine, dental surgery, osteopathy, optometry, or veterinary medicine and to a bachelor of science degree in pharmacy. The goal of the programs is to increase health manpower resources in the United States by providing assistance in the form of long-term, low-interest loans to students in need who have been accepted for enrollment or are already enrolled in specified health profession schools. A questionnaire was sent to all institutions participating in HPSSLs programs during the spring of 1973. The study results provide information on the administration of HPSSLs programs, the selection of HPSSLs program recipients, and criteria for measuring student financial need. Case studies are presented to illustrate procedures used in the packaging of financial aid. (Final Report 117 pages.)

DESCRIPTORS: Health Manpower Training, Medical Students, Scholarships Fellowships and Loans, Survey or Questionnaire Feature, Educational Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0489 **PROJECT NO:** BHM-72-07

FUND SOURCE: Evaluation **NTIS NO:** PB 256-407

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: N01-PE-24082

COST (In Thousands): FY 72-\$21

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '72 through June '75

0646

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Follow-Up of 1972 Inventory of Registered
Nurses

REPORT TITLE: None

PERFORMER: Pacific T&T Corporation; 1900 L Street, N.W.;
Washington, D.C. 20036; Ms. Sandra J. Anderson

AGENCY CONTACT: Moses, Evelyn; Federal Bldg., Rm. 610;
301-496-6546

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower

ABSTRACT: This project resulted in a computer data tape. Data was analyzed on the initial preparation of the registered nurse population and the highest degrees held.

DESCRIPTORS: Nursing Manpower, Statistical Data Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0340

PROJECT NO: DN 74-4

FUND SOURCE: Evaluation

NTIS NO: Not available

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: N01-NU-44121

COST (In Thousands): FY 74-\$93, FY 75-\$28, FY 76-\$10

PROJECT STATUS: Study completed

START/END DATES: June '74 through June '76

0647

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Adequacy of HSA Funding and Staffing Under
the Present Population Based Formula

REPORT TITLE: Funding Adequacy and Staffing Needs in Health
Systems Agencies

PERFORMER: Arthur D. Little, Inc.; Acorn Park, Cambridge,
Mass 02140; Stanley H. Werlin

AGENCY CONTACT: Rosinko, Paul; Center Bldg. 2 Room 6-27;
301-436-6856

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Planning &
Development

ABSTRACT: What constitutes adequate funding and staffing of Health Systems Agencies (HSAs) and how funding methods could be enhanced relative to the current per capita, population-based formula are analyzed in this project. Data on 33 out of 40 HSAs employing regression statistical analysis are used. Six major issues are addressed. Adequacy of HSA funding, relative merits of alternative funding formulas, current and projected allocation of funds and staff, staffing and expertise requirements, and alternatives to changing the legislation on HSA funding. Among the major conclusions of the study were: (1) HSA funding should be equitable and cover necessary costs; (2) not all legitimate costs are related to service area population; (3) wide variability exists in levels of costs incurred by HSAs; (4) the current population-based funding formula is inadequate; (5) there is a need for a significant increase in HSA appropriation levels; and (6) satisfactory evaluative methods do not yet exist. Recommended short-term modifications of the population based formula included increasing minimum grants or maximum allowable per capita awards, incorporating an inflation factor, and providing special funding for HSAs covering large geographic areas. A long-term solution would entail more fundamental change in the funding formula which would fund staff compensation costs and expenses as two separate components of the HSA grant. Numerous tables and figures are included. (Final report 260 pages.)

DESCRIPTORS: Economic Analysis Feature, Grant-in-Aid Formulas, Health Planning, Research and Evaluation Planning and Administration

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1055

PROJECT NO: BHPRD 77-11

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 230-76-0212 T.O.2

COST (In Thousands): FY 77-\$111
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC
START/END DATES: June '77 through March '78

0648

AGENCY SPONSOR: Public Health Service
 Health Resources Administration

PROJECT TITLE: A Study of the Economic Incentives Needed to
 Get RN's to Work in Underserved Areas

REPORT TITLE: Geographic Distribution of Nurses and Public
 Policy

PERFORMER: Florida University; Gainesville, Florida; Frank A.
 Sloan; 904-392-0147

AGENCY CONTACT: Siegal, Stanley E; 301-496-6546

PROGRAM(S) EVALUATED:
 PHS-HRA-Health Resources-Health Manpower-Health
 Professions

ABSTRACT: This Phase I "study" sought improved measures of nursing manpower distribution and to ascertain whether any single incentive or combination of incentives is likely to motivate nurses to work in underserved areas. Literature on incentive is reviewed, including discussions of wages and income, fringe benefits, working conditions, characteristics of the nurse affecting labor force participation and mobility status, mobility, job search, and general environmental conditions. In addition, literature on normative measures of nurse distribution is reviewed, including market signals, health manpower population ratios, administrator perceptions of shortage, and health service scarcity areas, pointing out the deficiencies in each approach. The designs and findings of two national surveys, one of hospital directors of nursing and one of hospital-based registered nurses are discussed. Included are examinations of evidence drawn from the survey of directors concerning normative measures of distribution; nursing turnover; nurse mobility patterns; attractiveness of financial and nonfinancial employment incentives; and determinants of nurse retention in employment and nurse hours of work. Lists of references and supporting tabular data accompany the text. (Final report 223 pages)

DESCRIPTORS: Nursing Manpower, Literature Review Feature, Labor Market Problems, Survey or Questionnaire Feature, Urban or Rural Places or Problems

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0377 **PROJECT NO:** BHME-72-9
FUND SOURCE: Evaluation **NTIS NO:** PB 245-776
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NIH 72-4264
COST (In Thousands): FY 72-\$112, FY 73-Not available, FY 74-\$98

PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS

START/END DATES: June '72 through June '74

0649

AGENCY SPONSOR: Public Health Service
 Health Resources Administration

PROJECT TITLE: Evaluation of Hill-Burton Funding and its
 Relationship to Other Health Facilities Financing
 Approaches

REPORT TITLE: Health Care Capital: Competition and Control
PERFORMER: University of Pittsburgh; Graduate School of Public
 Health; 3017 Cathedral of Learning; Pittsburg, Pa. 15206

AGENCY CONTACT: McCarthy, Thomas; CB

PROGRAM(S) EVALUATED:
 PHS-HRA-Health Resources-Facilities Construction

ABSTRACT: This study dealt with specific consideration of the changing role on the Federal government in financing health facilities; the financial relationship between major types of health facilities; the financing of ambulatory care facilities; the problem of financing health care facilities in inner city and rural areas; and the role of depreciation payments under government financing mechanisms. A conference was held November 19-21, 1976, to address some of these issues and to develop a policy position on capital expenditure needs for health facilities. Papers developed relative to this conference addressed such topics as "Marketplace and Regulatory Trade-offs", "The Impact of Legislation on Capital Development for Health Facilities", "Health Care Capital Financing: Regulation, the Market and Public Policy", "Investments in Health Manpower: A Possible Alternative", "Capital Requirements and Capital Financing in a Hospital-Based Group Practice Prepayment Plan", "Inducements and Impediments for Private Corporate Investment in the Delivery of Health Services", "A Survey of the History and Current Outlook of Philanthropy as a Source of Capital for the Needs of the Health Care Field", "A Study of the American Capital Market and its Relationship to the Capital Needs of the Health Care Field", "The Projected Response of the Capital Markets to Health Facilities Expenditures."

DESCRIPTORS: Facilities, Hospitals, Loans and Loan Guarantees, Grant-in-Aid Formulas, Urban or Rural Places or Problems, Ambulatory and Outpatient Health Care, Federal Role

EVALUATION TYPE: Program Strategy

EDC ID NO: 0772 **PROJECT NO:** OA 75-3
FUND SOURCE: Evaluation **NTIS NO:** PB259-257 set
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA-230-75-0208
COST (In Thousands): FY 75-\$134, FY 76-\$19
PROJECT STATUS: Study completed,
 Available from NTIS
START/END DATES: June '75 through August '77

0650

AGENCY SPONSOR: Public Health Service
 Health Resources Administration

PROJECT TITLE: Evaluation of Projects for Manpower Intelligence
 Facilities in Five States

REPORT TITLE: Health Manpower Planning: A Survey of
 Alternative Approaches at the State Level

PERFORMER: ABT Associates Inc; 55 Wheeler St.; Cambridge,
 MA 02138

AGENCY CONTACT: Schwab, Paul Rm 1027 FCB2; 301-436-7170

PROGRAM(S) EVALUATED:
 E-OE-Special Projects & Training-Career Training;
 PHS-HRA-Health Resources-Health Manpower;
 PHS-HRA-Health Resources-Health Manpower-Health
 Professions;

ABSTRACT: This study explored and documented, in five states, the general characteristics of health manpower planning activities being carried out and the relationships between various planning activities which might constitute a system in each state. In particular it describes a conceptual framework for health manpower planning and presents its findings on health manpower intelligence facilities (HMIF). The study found that only one of the three Health Manpower Intelligence Facility (HMIF) projects visited had succeeded in achieving its HMIF goals: to obtain presently available data; analyze it, and make it available to users; to establish a health manpower data bank; to develop a long-range plan for health management study and analysis; and to establish a reference library and provide assistance and consultation to other researchers. In general, problems with project organization and sponsorship, advisory council participation, funding, access to technical and analytic tools, and the development of a conceptual framework for planning within which they could function hampered the HMIF projects in achieving their goals. A detailed list of conclusions is followed by the complete results of each State survey. (Final report 179 pages.)

DESCRIPTORS: Health Manpower Projections, Health Manpower Training, Information Processing, Case or Site Study Feature, Planning, Survey or Questionnaire Feature, State or Local Administration, Federal Role

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0411 **PROJECT NO:** DMI-73-11

FUND SOURCE: Evaluation **NTIS NO:** PB-247-267

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: NOI-MI-34097

COST (In Thousands): FY 73-\$107

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '73 through June '74

0651

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Health Needs of the Disadvantaged

REPORT TITLE: Health Needs of the Disadvantaged

PERFORMER: Boone, Young & Associates, Inc.; 551 Fifth Avenue;
New York, N.Y. 10017; 212-661-8044

AGENCY CONTACT: Walkington, Robert A.; Rm. 10A-56
Parklawn 301-436-7274

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Health

ABSTRACT: This study will focus on the imbalances, inefficiencies, and deficiencies in the distribution, supply, utilization and cost of health care resources and services from the perspective of disadvantaged peoples of the nation.

DESCRIPTORS: Morbidity and Mortality, Low-Income Population, Needs and Needs Assessment

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0693 **PROJECT NO:** HRA 74-7

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 230-75-0085

COST (In Thousands): FY 75-\$ND

PROJECT STATUS: Study completed

START/END DATES: March '75 through March '77

0652

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of the Performance of HSAs with
Special Reference to the Needs of the Disadvantaged, Phase
I, Research Design

REPORT TITLE: Health Planning Agencies and Disadvantaged
Groups: Performance Assessment Methodology

PERFORMER: National Institute for Advanced Studies; 600 E St.,
N.W. Suite 100; Washington, D.C. 20014; Jim Fosdick

AGENCY CONTACT: Hausner, Tony; CB

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning &
Development

ABSTRACT: This project consisted of the development of a methodology which would provide a descriptive picture of what health planning agencies are doing in addressing the needs of the disadvantaged, such as minorities, low-income groups, and medically underserved populations. The methodology focused on health plans development and the project review activities of planning agencies. The methodology was than pilot tested with five health planning agencies. In developing the performance evaluation methodology, emphasis was placed on the following three issues with respect to health plans: (1) Do the health plans address the needs of the disadvantaged? Which health needs are addressed? (2) Are the goals of each plan with respect to the disadvantaged related to specific objectives to accomplish these goals? (3) Are the health needs of the disadvantaged a priority? With respect to review decisions, the following three issues were emphasized: (1) What review decisions have been made? (2) Have the health needs of the disadvantaged been addressed in reaching review decisions? Were the health needs of the disadvantaged a priority? (3) What is the estimated effect of the review decision on the disadvantaged? Are estimates made by the agencies themselves? if so, how? what are they?

DESCRIPTORS: Minority Groups, Access to Services, Health Planning, State or Local Administration, Research and Evaluation Performance

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1037 **PROJECT NO:** OA 77-105

FUND SOURCE: Evaluation **NTIS NO:** PB 297-386

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 230-77-0130

COST (In Thousands): FY 77-\$125

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: September '77 through July '78

0653

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of the Impact of HRA Programs on
State Departments of Human Resources

REPORT TITLE: HRA Working Bibliography-Evaluation of the
Impact on HRA Programs of State Departments of Human
Resources

PERFORMER: Linton & Company, Inc.; Marshall, Kaplan, Gans and Kahn; The Research Group, Inc.

AGENCY CONTACT: Price, Isabel; HRA; 301-443-1040

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Planning & Development;
PHS-HRA-Health Resources-Facilities Construction-Medical;
PHS-OASH-Health Statistics;
PHS-HRA-Health Resources-Regional Medical Programs;
PHS-ADAMHA-Mental Health-Community Programs & Centers

ABSTRACT: This report comprises the bibliographic volume of the project to determine the effect of consolidating state health and human services programs into a department of human resources. See EDC 0689 (HRA 74-2-1 to 6). (Final report 72 pages).

DESCRIPTORS: Reorganization, State or Local Administration, Health Planning, Program Coordination, Case or Site Study Feature, Bibliography Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0689.1

PROJECT NO: HRA 74-2

FUND SOURCE: Evaluation

NTIS NO: PB 254-260

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HRA-230-75-0089

COST (In Thousands): FY 75-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: February '75 through October '76

0654

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of the Usefulness of Health Goals and Standards Developed by CHP and Other Agencies for Implementation of Health Planning

REPORT TITLE: Identification of Goals and Standards Developed by Health Manpower Professional Associations

PERFORMER: The Orkand Corporation; 8630 Fenton Street; Silver Spring, Maryland 20910; Franz M. Jaggard

AGENCY CONTACT: Irion, Lou Anne; Parklawn Building Rm. 10A-56; 301-443-1206

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: This report was prepared to document the goals and standards developed by health manpower professional associations for consideration in the development of the National Guidelines for Health Planning as mandated by Section 1501 of the National Health Planning and Resources Development Act. The study was to assemble a compendium of goals and standards statements identified in health manpower professional associations documents. The study was completed through three major tasks: (1) Document acquisition, (2) document abstracting and (3) identification, compilation and categorization of health goals and standards. The findings show that documents by professional associations do not typically contain many goals and standard statements. If statements are made they usually address only one area. The most frequently addressed areas were manpower education, specialty care and comprehensive systems of care. Two appendices are included-Appendix A is a listing of all documents reviewed and Appendix B contains the abstracts of each document. Another report was also prepared under this project.

"Evaluation of CHP Goals and Standards in Relation to the Formulation of National Guidelines for Health Planning" (see EDC 0682). (Final report 96 pages).

DESCRIPTORS: Health Planning, Regulation and Standard Setting, Literature Review Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0682.1

FUND SOURCE: Evaluation

NTIS NO: PB 254-320 and 321

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HRA 230-75-0081 CO

COST (In Thousands): FY 76-\$58

PROJECT STATUS:

PROJECT NO: Study completed,
Available from EDC,
Available from NTISOA-76-2

START/END DATES: November '75 through February '76

0655

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: The Effect of Consumer Education on Health Manpower Requirements

REPORT TITLE: The Impact of Consumer Health Education on Health Manpower Requirements

PERFORMER: Transaction Systems, Inc.; 800 Peachtree Street, NE; Atlanta, Georgia 30308; Dr. David English

AGENCY CONTACT: Shonubi, Patricia; NIH Bldg 31, Rm 5C08; 301-496-4111

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower-Special Education Programs

ABSTRACT: The objectives of the study were to 1) design a general protocol which could be used to identify impact of consumer health education programs on health manpower requirements, and 2) develop a work plan for testing the protocol on specific consumer education programs. A literature review and contact with practitioners yielded few studies directed at measuring the health education/manpower utilization relationship. Four representative diabetes program sites were visited, individual protocols were designed and a work plan for testing the protocols was developed. The study recommends that the protocol be tested on the four representative programs. Another recommendation, presented in the form of research questions for future study, seeks to measure the effectiveness of consumer health education programs. (Final report 296 pages).

DESCRIPTORS: Consumer Education, Health Manpower Projections
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0586

PROJECT NO: BHM 76-3

FUND SOURCE: Evaluation

NTIS NO: PB 254-697

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HRA 230-75-0080 COS

COST (In Thousands): FY 76-\$55

PROJECT STATUS: Study completed,

Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: November '75 through June '76

0656

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Impact of Health Planning and Use of General
Revenue Sharing Funds for Health Purposes

REPORT TITLE: Not yet determined

PERFORMER: Berkeley Planning Assoc.; 2320 Channing Way;
Berkeley, CA 94704; Deborah Kogan

AGENCY CONTACT: Durand, Roger; Rm 10-22, Center Bldg.;
436-8996

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning &
Development

ABSTRACT: The Revenue Sharing Act, as amended in 1976, provides that State and local governments may spend revenue sharing funds for any purpose which is considered a permissible use of the government's own revenues under applicable State and local law. Thus the amount of money spent on health resources through revenue sharing funds will have implications for persons conducting planning for health at the local, state and national levels. The purpose of this study is to analyze the relationship between revenue sharing, and health planning and health resources of state and local governments. The planning aspect of the study will concentrate on the effects of the planning bodies created through the Comprehensive Health Planning legislation and PL 93-641. In addition, the level of government providing the most revenue sharing funds directed to health planning and health resources, as well as possible Federal-area differences in the percentages of funds allocated to health planning and health resources will be considered. Lastly, changes over time (from 1972 to the present) concerning General Revenue Sharing funds and health planning and health resources will be examined. A search of relevant literature and data bases, both governmental and non-governmental, will supply much of the necessary information.

DESCRIPTORS: Case or Site Study Feature, Federal State and Local Relations, Health Planning, Literature Review Feature, Grants-in-Aid, State or Local Administration, Evaluation by State and Local Governments

EVALUATION TYPE: Program Impact

EDC ID NO: 1673 **PROJECT NO:** OA 78-004
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 232-78-0196
COST (In Thousands): FY 78-\$59
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '79

0657

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Assessment of the Impact of Structural
Constraints on Health Systems Agencies' and States

REPORT TITLE: The Impact of the Structural and Procedural
Provisions of PL 93-641 on HSAs, SHPDAs, and SHCCs

PERFORMER: Arthur D. Little, Inc.; Acorn Park; Cambridge,
Mass. 02140 Homer Hagedorn

AGENCY CONTACT: Gold, Jon; Rm 11-19, Parklawn;
301-443-1710

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning &
Development

ABSTRACT: The objective of this project was to identify and assess major problems and issues relating to the establishment, functioning and maintenance of health systems agencies (HSAs) and their governing boards, state agencies and SHCCs. The methodology included a survey of a sample of HSAs, state agencies and SHCCs. The survey utilized a standardized questionnaire applied to key actors in the development and operation of the agencies. The findings and recommendations are to be taken into consideration in the revision of P.L. 93-641 which expires June 30, 1977, and to be appropriately utilized in developing and revising implementing regulations. The study found that while agencies were frustrated at having to follow some 100 provisions dictating boundaries, structure, process, etc., none claimed to be so utterly exhausted that they could not proceed with their functions. The study recommends various reforms in law and regulations, as well as further study, particularly in the areas of funding formula, board authority, "broad representation" requirements, non-metropolitan representation, an excessive penalty provision, excessive public availability of records, coordination with PSROs and A-95, state role, and SHPDA authority. The major conclusion was that overall success of the national program will not require substantive legislative reform in the near future. (Final report 2 volumes of 17 and 178 pages).

DESCRIPTORS: Health Planning, State or Local Administration, Regulation and Standard Setting, Grant-in-Aid Formulas, Citizen Participation, Urban or Rural Places or Problems, Privacy and Confidentiality

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0762 **PROJECT NO:** BHPRD 76-6
FUND SOURCE: Evaluation **NTIS NO:** PB 268-930
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA-230-76-0212 Task Order 1
COST (In Thousands): FY 76.1-\$76
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: September '76 through May '77

0658

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of the National Area Health
Education Center Program

REPORT TITLE: Initial Description of the National Area Health
Education Center Program

PERFORMER: Abt Associates; 55 Wheeler Street; Cambridge,
Massachusetts 02138; Paul Grigoriouff

AGENCY CONTACT: Armstrong, Felton; Parklawn Building Room
10A-40; 301-443-4173

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Manpower-Special
Education Programs

ABSTRACT: The final report aggregates data on the eleven Bureau of Health Manpower supported Area Health Education Center (AHEC) projects in order to describe salient characteristics of AHEC's development, organization and program activities that might affect program outcomes. Using the individual site reports as a base, selected characteristics of individual project activities, i.e., type, expenditures, students involved, site and sponsor organizations are analyzed and categorized. Other significant processes within the National AHEC program are clustered according to types and levels of previous experience. Final section discusses implications of this study for further evaluation activities and relevance to policy issues (Final report 232 pages).

DESCRIPTORS: Health Manpower Training, Minority Groups, Management Techniques, Case or Site Study Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0694

PROJECT NO: OPEL 74-2

FUND SOURCE: Evaluation

NTIS NO: PB 254-673

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: NO1-MB-44070

COST (In Thousands): FY 74-\$119, FY 75-\$113, FY 76-\$63

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '74 through June '76

0661

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Manpower/Population Ratios for Calculating Need Standards

REPORT TITLE: Manpower/Population Ratios for Calculating Need Standards

PERFORMER: Applied Management Sciences; 962 Wayne Avenue, Suite 701; Silver Spring, Md. 20910; Douglas Skinner

AGENCY CONTACT: Stambler, Howard; NIH Bldg 31, Rm 3B05; 301-496-6935

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower;
PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: This study is a literature review and evaluation of over 200 articles and reports on health manpower requirements. To aid HSAs in their development of a set of guidelines for assessing local health manpower, the report identifies, describes and analyzes existing requirements/standards. The studies reviewed are those prescribing an "appropriate" supply of health professionals and include expressions of existing ratios plus normative judgments and empirical calculations as to what health manpower availability "should be". Eight major health professions groups are addressed including medicine and osteopathy, dentistry, pharmacy, optometry, veterinary medicine, podiatry, nursing and allied health. Specialty areas within the field of medicine and different types of nurses are also addressed. The first section of the report is a discussion of requirements ratios including a detailed table of the various requirements located in the literature. The report also has a section on recommendations for further research. The report shows that existing standards and studies are notoriously contradictory and largely without objective foundation. (Final report 90 pages).

DESCRIPTORS: Health Manpower Projections, Health Planning, Literature Review Feature, Needs and Needs Assessment, Research and Evaluation Planning and Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0599

PROJECT NO: BHM 76-4

FUND SOURCE: Evaluation

NTIS NO: PB 254-310

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HRA 230-75-0079-CO5

COST (In Thousands): FY 76-\$23

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: February '76 through May '76

0659

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of Employment Opportunities for Newly Licensed Nurses

REPORT TITLE: Investigation and Evaluation of Employment Opportunities for Newly Licensed Nurses

PERFORMER: National League for Nursing; Division of Research; 10 Columbus Circle; New York, New York 10019

AGENCY CONTACT: LeJoty, Dolores M.

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower-Health Professions

ABSTRACT: This report studies the employment opportunities for newly licensed nurses. Most are not only employed but are employed by their preferred type of employer. Only three percent of the nurses were unemployed and actively looking for a job. Three out of four newly-licensed nurses reported that they either had a job waiting for them or found a sufficient number of jobs when looking for their first position as a newly-licensed nurse. Responses did vary according to the region of the country the nurse was from. The job market for newly licensed nurses tended to be best in the South (in the Atlanta and Dallas regions) and poorer in the populated coastal areas (the San Francisco and the New York regions). While the most frequent reason given for difficulty in finding a position was because of the lack of jobs in the area, a substantial proportion of newly licensed nurses, especially graduates of Associate Degree programs, reported that the employers wanted nurses with more experience. Eighty-one percent of the nurses, are employed in either public or private hospitals. (especially Diploma graduates)

DESCRIPTORS: Survey or Questionnaire Feature, Statistical Analysis Methods Feature, Labor Market Problems, Nursing Manpower

EVALUATION TYPE: Other

EDC ID NO: 0378

PROJECT NO: BHME-72-8

FUND SOURCE: Evaluation

NTIS NO: PB-245-785

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: NIH-72-4275

COST (In Thousands): FY 72-\$77

PROJECT STATUS: Study completed,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '72 through December '73

0662

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of State Mechanisms and Linkages between Health Manpower Planning and the Resource Allocation for Health Manpower Education

REPORT TITLE: Methodology for Studying Linkages for Health Manpower Education Planning and Decision Making

PERFORMER: Abt Associates, Inc.; 55 Wheeler Street, Cambridge, Massachusetts 02138; Nancy Lombardo

AGENCY CONTACT: McGuire, Mary C; Merrill, M.

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower;
PHS-HRA-Health Resources-Health Planning &
Development

ABSTRACT: A conceptual framework for studying linkage development efforts is delineated and a methodology for studying State linkage development efforts related to planning and decision-making for health manpower is proposed. A series of field visits was made to New Jersey to study linkage efforts involved in resource allocation for health manpower education. The results of these visits and interviews were discussed at a workshop which included discussions of the theoretical framework of the study, its goals and objectives, and decision-making processes. It found two types of problems with prevailing health manpower planning and decision-making processes; one area concerns technical inputs to decision-making and the other concerns the politics of decisionmaking. Linkage development efforts are seen as one approach to correcting deficiencies in the politics of decision-making and in the inadequate availability and utilization of health manpower information and policy analysis. The methodol recommended for the study is exploratory and descriptive. It involves assessing and comparing linkage development efforts in several states in order to draw generalized conclusions regarding major research questions. Alternatives for implementation of the methodology are included. (Final report 158 pages.)

DESCRIPTORS: Program Coordination, Health Manpower Training, Survey or Questionnaire Feature, Health Planning
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0460 **PROJECT NO:** DMI 74-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-MB-44115
COST (In Thousands): FY 74-\$38
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC
START/END DATES: June '74 through October '74

0663

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Preliminary Analysis of Review and Approval

REPORT TITLE: Methodology to Evaluate the Performance and Impact of the HSA and SHCC Function of Review and Approval of Proposed Uses of Federal Funds

PERFORMER: CDP Associates, Inc, 6000 Executive Blvd. Suite 510, Rockville, Maryland 20852; Lily O. Engstrom
AGENCY CONTACT: Somers, Brian; Center Bldg. 2 Rm 6-50; 301-436-6150

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning &
Development

ABSTRACT: The National Health Planning and Resources Development Act of 1974 gives HSA's and SHCC's the authority to review and approve or disapprove proposed uses of certain Federal health-related funds. From a conceptual standpoint, the review and approval/disapproval function involves three elements: input, process, and outcome. Evaluation issues of importance are identified for HSA's and SHCC's within the framework of indicators (measurable characteristics of the review and approval function), data requirements (data necessary to construct indicators), and data collection methods and sources. It is recommended that the Bureau of Health Planning and Resources Development undertake the following steps: (1) conduct

evaluation in discrete phases, with each phase focusing on the assessment of a specific number of issues; (2) develop a plan for implementing evaluation efforts, with input from selected regional offices, centers for health planning, HSA's SHCC's, and State health planning and development agencies; (3) conduct a formal pretest of the evaluation methodology involving a small representative group of HSA's, SHCC's, State health planning and development agencies, and Public Health Service programs; (4) develop and disseminate to HSA's and SHCC's guidelines for documenting and collecting data required for evaluation; and (5) coordinate with Federal funding agencies. (Final report 152 pages.)

DESCRIPTORS: Health Planning, State or Local Administration, Research and Evaluation Planning and Administration
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1053 **PROJECT NO:** BHPRD 77-8
FUND SOURCE: Evaluation **NTIS NO:** HRP 0029152/622
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 231-77-0089
COST (In Thousands): FY 77-\$28, FY 78-\$4
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: September '77 through March '78

0665

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Models of Implementation of Coordinated Health and Human Services at the State and Local Levels

REPORT TITLE: Not yet determined

PERFORMER: University of Denver; Colorado Seminary; University Park; Denver Colorado 80210, Dr. Edward Baumheier

AGENCY CONTACT: Isabel Price; CB 2 Rm 10-22; 301-436-7274
PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Planning &
Development

ABSTRACT: State and local governments have attempted to strengthen their planning, management and delivery capability by consolidating health and social service programs into comprehensive human service agencies called Departments of Human Resources (DHR). The federal government, especially HEW has viewed this trend with great interest because of the many changes that have occurred. The purpose of this study is to collect data in approximately eight to ten states to validate the above preliminary hypotheses and to suggest additional hypotheses related to the implementation of health programs and coordination of health and human services under a DHR. The findings will be of use to HRA and PHS in providing information: (1) in decisions to redesign HRA programs to support coordination under a DHR, (2) identify federal health regulations, guidelines and practices which have impeded development and those which have facilitated development, (3) models for predicting the impact of health programs on a DHR.

DESCRIPTORS: Federal State and Local Relations, Field Test Feature, Health Planning, Program Coordination, Services Integration, State or Local Government, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1031 **PROJECT NO:** OA 77-9
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 230-77-0153
COST (In Thousands): FY 77-\$96, FY 78-\$69
PROJECT STATUS: Study in process
START/END DATES: September '77 through March '79

0666

AGENCY SPONSOR: Public Health Service
 Health Resources Administration

PROJECT TITLE: Operational Testing and Implementation of a Set of Measures of Nursing Effectiveness and Patient Care to Provide Criteria for Evaluation

REPORT TITLE: Monitoring Quality of Nursing Care

PERFORMER: Rush Presbyterian St. Lukes Medical Center; 1753 W. Congress Parkway; Chicago, Ill. 60612; R.K. Dieter Haussman, Ph. D.

AGENCY CONTACT: Gortner, Dr. Susan; HRA; 301-496-6955

PROGRAM(S) EVALUATED:
 V-Non-HEW Programs-Health

ABSTRACT: This report presents a detailed review of the activities, results and findings of the second phase of a project to develop an improved methodology for monitoring quality of nursing care. It describes a national trial of the quality-monitoring methodology developed during the first phase of the contract. It also reports the relationships between the quality of the nursing process and a number of organizational and environmental factors, as well as exploration of the relationship between nursing process and patient outcome. This phase of the project has found that the process instrument designed in Phase I is valid; that the process measures have some positive relation to outcomes; and that many variables of nursing quality are influenced by hospital management control. These findings imply that nursing management is very important in regard to unit organization, staff coordination, attitude determination, and control of nursing processes. The study points out that nursing education should have a broader focus in order to develop competency in the complete scope of the nursing process, including nursing management. (Final report of 128 pages has been published as DHEW Publication No. (HRA) 76-7).

DESCRIPTORS: Nursing Manpower, Hospitals, Quality Assurance, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0606 **PROJECT NO:** DN 73-6

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: NOI NV 24299

COST (In Thousands): FY 72-\$136, FY 73-\$180, FY 74-\$130

PROJECT STATUS: Study completed,
 Available from EDC

START/END DATES: June '73 through July '76

0667

AGENCY SPONSOR: Public Health Service
 Health Resources Administration

PROJECT TITLE: A National Study of the Impact of the SHCOG and Health Professions Special Project Grants on the Disadvantaged

REPORT TITLE: Not yet determined

PERFORMER: Lawrence Johnson; 2001 S Street, N.W.; Washington, D.C. 20009

AGENCY CONTACT: Gill, Norma; CB 2 Room 10-50; 301-436-7220

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower-Special Education Programs

ABSTRACT: Both PL 94-484 and PL 94-63 authorize HRA programs aimed at identification, motivation, recruitment, counseling, tutoring, remedial training, and placement of health professionals from disadvantaged populations who might serve in currently underserved areas. OHRO is currently conducting a study which focuses on the effectiveness of recruitment and retention activities of the Special Health Career Opportunity Grants (SHCOG) and Special Projects in BHM. The purposes of this project were (1) to study the cost effectiveness or cost benefits of the SHCOG and Special Projects and (2) determine the feasibility of conducting a national impact study including the impact of the program on the participants. Limited preliminary results of this study indicate that a national impact of the SHCOG and health professions special project grants on the disadvantaged. Data is to be gathered from program participants and individuals in minority and low income communities to determine the actual effect of the effort on the consumer. The results of the study will be disseminated through a monograph and articles for appropriate journals. The findings will provide guidance for administering the special projects program. The findings will provide guidance for administering the special projects program and will also serve as background for drafting legislation, and for possible reprogramming of funds for other resources to promote equal access to health careers.

DESCRIPTORS: Minority Groups, Cost-Effectiveness Analysis Feature, Low-Income Population, Educational Finance, Health Manpower Training

EVALUATION TYPE: Program Impact

EDC ID NO: 1038

PROJECT NO: OA 77-106

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 230-77-0134

COST (In Thousands): FY 77-\$212

PROJECT STATUS: Study in process

START/END DATES: September '77 through March '79

0668

AGENCY SPONSOR: Public Health Service
 Health Resources Administration

PROJECT TITLE: An Exploratory Evaluation of Alternatives to Institutional Care for the Minority Aged

REPORT TITLE: Participation Rates and the Barriers and Facilitators to Minority Older Person Use of Community-Based Alternatives in Long-Term Care

PERFORMER: Community Research Applications, 1560 Broadway, Suite 1214, New York, N.Y. 10036; Dr. Douglas Holmes

AGENCY CONTACT: Hausner, Tony, CB 2 Rm 10-50, 301-436-7220

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Program Direction & Management;

HD-Aging-Community Services

ABSTRACT: This study was designed to provide information on participation rates and barriers and facilitators to participation among older minority persons in community-based alternatives to long-term institutionalization. Federal, and in some cases State, data were reviewed for Titles XVIII, XIX, XX of the Social Security Act and for Titles III and VII of the Older Americans Act. Limitations of these data are spelled out in the report, as well as the actual participation rates. These data on minority participation rates were supplemented

by telephone discussions with 205 service providers in 32 specially selected counties in the United States. The 32-county study also sought to provide information on barriers and facilitators to minority participation. Agencies which serve a relatively high proportion of older minority persons relative to county population statistics are likely to have a high proportion of minority staff, to be located in a minority neighborhood, and to be under the sponsorship of a public rather than a proprietary or even a nonprofit agency. The annotated bibliography presents articles, papers, reports, and books relevant to geriatric daycare, home health services, homemaker services, and nutrition programs for possible data and information on minority use. In addition, all

DESCRIPTORS: Elderly, Deinstitutionalization, Minority Groups, Supportive Services, Research and Evaluation Performance, Bibliography Feature

EVALUATION TYPE: Program Strategy

EDC ID NO: 1035 **PROJECT NO:** OA 77-101
FUND SOURCE: Evaluation **NTIS NO:** PB 297-672,3
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 230-77-0139
COST (In Thousands): FY 77-\$82
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: September '77 through December '78

0669

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: A Study of Rural Physician Supply

REPORT TITLE: Physician Distribution and Rural Access to
Medical Services

PERFORMER: The Rand Corp.; 1700 Main St.; Santa Monica, CA
90406

AGENCY CONTACT: Brown, Joseph; Rm. 4-42, CB 2;
301-436-6587

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Manpower-Health
Professions

ABSTRACT: The implications of different ways of defining physician shortage in rural areas are explored, and the effects of policies which encourage medical school graduates to locate in rural areas are examined. Data analyses were conducted (1) at the aggregate level, relating physician density to demographic, economic, and other characteristics of an area; and (2) at the individual level, examining some of the factors that enter into the location decision of individual physicians (a RAND-AMA survey of M.D.s was available for analysis). Both levels of analysis document the importance of the presence of a medical center or graduate training institution in the area to the location of nongeneral practitioners. Primary care physicians are found to be more likely than nonprimary care physicians to choose a rural location. Opportunity to join a group practice or partnership appears to have no marginal effect on the urban-rural choice, but payment of a forgiveness loan strongly affects the probability of a rural practice. It is concluded that encouraging primary care residencies will have an indirect effect on physician distribution by altering a trend that works to the disadvantaged or rural areas. Preferential medical school admissions for applicants from rural backgrounds would also increase the proportion of physicians who choose a rural practice. Survey instruments are appended. (Final report 115 pages.)

DESCRIPTORS: Medical Practice, Urban or Rural Places or Problems, Morbidity and Mortality, Medical Training, Physicians, Scholarships Fellowships and Loans, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0697 **PROJECT NO:** BHM 75-3
FUND SOURCE: Evaluation **NTIS NO:** PB 259-435
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA 231-75-0613
COST (In Thousands): FY 75-\$33
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: June '75 through April '76

0670

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Preliminary Analysis of Certificate of Need and
Development of Certificate of Need Data System

REPORT TITLE: Not yet determined

PERFORMER: Policy Analysis, Inc.; 1577 Beacon Street;
Brookline, Massachusetts Jerry Cromwell

AGENCY CONTACT: Ermann, Dan; CB 2 Room 6-50; 301-436-6140

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: The impetus for this study is based on the growing concern over the rise in health care expenditures together with questions of access, availability and quality of health care which have forced both State and Federal Government into more comprehensive regulation of the health care industry. Presently 30 states and the District of Columbia have Certificate of Need Programs and due to PL 93-641 requirements, every state by 1980 will be expected to administer a certificate of need program. The purpose of this evaluation is to study the effects of Certificate of Need programs as a regulatory mechanism. The evaluation will provide the basis for informing the HEW, Congress, and the States concerning the effect of different types of Certificate of Need programs in terms of at least cost, distribution of facilities, services offered, and access. The methodology will focus on the effects of C/N programs in various states. Econometric methods, descriptive statistical techniques, cost, case studies, etc., will be used. The sample of states will include states with different types of programs and will be analyzed over time in order to measure the impact of changes as well as cross-sectionally. The findings will be used by the Bureau when reviewing the Federal regulatory requirements for State C/N programs. In additions, the findings and recommendations will assist in informing interested states and in reporting to Congress on the effects of C/N programs as well as for new policy development.

DESCRIPTORS: Case or Site Study Feature, Research and Evaluation Planning and Administration, Federal Administration, Health Planning, Hospitals, State or Local Administration
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1050 **PROJECT NO:** BHPRD 77-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 230-77-0114
COST (In Thousands): FY 77-\$241, FY 78-\$275
PROJECT STATUS: Study in process
START/END DATES: September '77 through September '79

0671

AGENCY SPONSOR: Public Health Service
Health Resources Administration**PROJECT TITLE:** Preliminary Analysis and Plan for Compilation
and Evaluation of Statistical Data on Public and Community
Health Personnel**REPORT TITLE:** Public Health Data System**PERFORMER:** Information and Communications Applications, Inc.;
6110 Executive Boulevard; Rockville, Maryland 20852**AGENCY CONTACT:** Thomas Hatch; CB 2 Rm 5-41, 301-436-6838**PROGRAM(S) EVALUATED:**

PHS-HRA-Health Resources-Health Manpower

ABSTRACT: Section 793 of PL 94-484, requires that the Secretary of HEW, in coordination with the National Center for Health Statistics (NCHS), shall "continuously develop, publish, and disseminate on a nationwide basis statistics and other information regarding public and community health personnel, including (1) detailed descriptions of the various types of activities in which public and community health personnel are engaged, (2) the current and anticipated needs for the various types of public and community health personnel, and, (3) the number, employment, geographic locations, salaries, and surpluses and shortages of public and community health personnel, and the cost of training such personnel." The first report is to be submitted to the Congress no later than December 1, 1978. The purpose of this study was to develop alternative designs for a data system that could furnish the statistics and other information required by Section 793. Among the recommendations presented in the report are (1) identify all ongoing data surveys that can identify members of the Public and Community Health (PCH) work force, (2) determine if organizations are willing to modify their surveys in order to capture better data on PCH work force members, and (3) discuss with NCHS the extent to which the Cooperative Health Statistics System (CHSS) can become the dominant data collecting mechanism for the new system and design necessary modifications. (Final report 109 pages).

DESCRIPTORS: Grants-in-Aid, Health Manpower Projections, Health Manpower Training, Information Systems, Research and Evaluation Methods Feature, Needs and Needs Assessment

EVALUATION TYPE: Research and Data Development**EDC ID NO:** 1033**PROJECT NO:** OA 77-11**FUND SOURCE:** Evaluation**NTIS NO:** PB 280-988**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** 230-76-0231 Task 1**COST (In Thousands):** FY 77-\$43

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: July '77 through December '77

0672

AGENCY SPONSOR: Public Health Service
Health Resources Administration**PROJECT TITLE:** Evaluation of the Impact of HRA Programs on
Regionalization of Health Care Delivery**REPORT TITLE:** Regionalization of Patient Care: Case Studies of
Interhospital Sharing**PERFORMER:** Macro Systems, Inc.; 1110 Fedler Lane; Silver
Spring, Md. 20910**AGENCY CONTACT:** Crane, Anabel; HRA; 301-443-1206**PROGRAM(S) EVALUATED:**PHS-HRA-Health Resources-Health Planning &
Development

ABSTRACT: This study was undertaken in order to determine how prevalent regionalization of patient care services has become in the U.S., and what forms it takes most frequently; what conditions and forces most often interact to precipitate individual cases of regionalization of patient care services; and what effects, if any, reorganization has on the quality, accessibility, and cost of patient care. A major portion of the project was devoted to development of a detailed research design and accompanying data collection instruments. The first study conclusion was that the level of patient care regionalization actually taking place in the nation is substantially lower than had been thought. Of 15 forces thought to be of importance to the development of regionalization, only 5 were of any significance--geographic proximity, economic pressure, leadership of administrators, medical staff support, and influence of Health Planning Agencies. The study was unsuccessful in demonstrating any changes in quantitative indicators of the quality, accessibility, and cost of patient care attributable to regionalization. The study concludes, finally, that future research should be focused more narrowly on the mechanisms and impact of large, hierarchical regionalization (Final report four volumes).

DESCRIPTORS: Decentralization, Reorganization, Hospitals, Health Care Delivery Systems, Health Planning, Case or Site Study Feature, Literature Review Feature

EVALUATION TYPE: Research and Data Development**EDC ID NO:** 0690**PROJECT NO:** HRA-74-4**FUND SOURCE:** Evaluation**NTIS NO:** PB 261-725 to 728**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HRA-104-74-136**COST (In Thousands):** FY 74-\$237

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: July '74 through October '76

0673

AGENCY SPONSOR: Public Health Service
Health Resources Administration**PROJECT TITLE:** Relation of Technological Advance in Health
Services to Health Planning**REPORT TITLE:** Not yet determined**PERFORMER:** Arthur D. Little; Acorn Park; Cambridge, Ma.**AGENCY CONTACT:** Sturm, Herman; 301-443-6430**PROGRAM(S) EVALUATED:**PHS-HRA-Health Resources-Health Planning &
Development

ABSTRACT: New and spreading advances in health services technology hold major implications for health planning. This project will develop and test a methodology for identifying resource requirements and costs of these advances and for rapidly determining their impacts and implications. Areas to be considered are manpower requirements, capital expenditures, operating costs and other aspects significant to health planning. The results of the study will be presented in a form which will be useful as a tool to local health planners. Findings will also include an assessment of need for continuous monitoring of technological advance at the Federal level.

DESCRIPTORS: Health Planning, Medical Technology, Technology Assessment or Transfer, Technical Assistance

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0753 **PROJECT NO:** BHPRD 75-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA-230-75-0063
COST (In Thousands): FY 75-\$302
PROJECT STATUS: Study in process
START/END DATES: October '74 through March '76

0674

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Assessment of NCHS Activities Relating to
Statistics on Minorities

REPORT TITLE: Requirements for Minority Statistics
PERFORMER: Koba Associates; 2001 S St., N.W., Suite 302;
Washington, D.C. 20009
AGENCY CONTACT: Testoff, Arthur; Rm 10-50, Center Bldg.;
301-436-7220

PROGRAM(S) EVALUATED:
PHS-OASH-Health Statistics

ABSTRACT: The purpose of this contract was to convene a panel of 21 experts on health statistics and the minority community in order to evaluate NCHS's program to help guide the Center's future actions in designing and implementing a program more responsive to the need for improved minority health statistics and minority participation in NCHS' activities. The major areas addressed by the panel were: user problems; specification problems; survey process problems; surveys, data, and analyses not provided by NCHS; minority involvement in the survey cycle; and the development of an NCHS Civil Rights Mechanism. Pre conference activities included the development of three major background papers; (1) Status of and recommendations for change in NCHS data; (2) What should the NCHS do to bring about closer involvement and assistance from members of the minority community in the activities of the Center. The final report contains the recommendations of the panel and supporting rationale. The recommendations can be grouped under six major captions: (1) Improving communications with the minority community; (2) Providing better technical assistance to users of minority statistics; (3) Provide needed training to users of minority data; (4) utilization of minority consultants by NCHS; (5) Improve the Center's data production with respect to minorities; and (6) Improve outputs of the Center's data with respect to minorities. (Final report 131 pages.)

DESCRIPTORS: Minority Groups, Information Dissemination, Information Processing, Research and Evaluation Performance, Morbidity and Mortality, Statistical Data Feature, Conference Proceedings Feature

EVALUATION TYPE: Program Strategy

EDC ID NO: 1674 **PROJECT NO:** OA 78-103
FUND SOURCE: Evaluation **NTIS NO:** PB 80-114093
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 232-78-0143
COST (In Thousands): FY 78-\$101, FY 79-\$5
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: September '78 through April '79

PROJECT DESCRIPTIONS

0675

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of the Utilization of Nursing Personnel

REPORT TITLE: A Review and Evaluation of Nursing Productivity
PERFORMER: Medicus System Corporation; 1725 West Harrison
Street; Chicago, Illinois 60612; John R. Freeman, Ph.D.
AGENCY CONTACT: Levine, Dr. Eugene; Center Bldg, Room
3-27; 301-436-6655

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health
Manpower-Nursing-Institutional Aid;
PHS-HRA-Health Resources-Health Services Research

ABSTRACT: Many isolated studies have demonstrated that RNs are often not used to the maximum of their capabilities. The objectives of this project were to (1) evaluate efforts to assess and improve nursing productivity, (2) develop recommendations to improve nursing productivity, and (3) have the findings reviewed by experts at a national conference. The study contains a description of the framework for evaluating nursing productivity; a detailed review of the literature and research in the subject of nursing productivity, including a bibliography of over 3,300 items; and the report of the National Conference on Nursing Productivity held in 1975. The study found that many of studies are poorly designed and inadequately controlled, and their results must be interpreted as suggestive rather than conclusive. It found that research in nursing productivity has had certain sources of funding, certain kinds of investigators, and is following a complex of trends. A third set of findings deal with input, technology, environment and output. The study recommends implementation of approaches which have been determined to be almost immediately beneficial to nursing productivity, makes policy suggestions based on analysis of the findings, and recommends integration of knowledge into a single unified model which can be used to study the interrelationship of the facets of nursing which have only been studied to date in isolation. The report may be purchased from the GPO. (Final report 392 pages).

DESCRIPTORS: Bibliography Feature, Conference Proceedings Feature, Literature Review Feature, Nursing Manpower, Productivity, Social Research, Research and Evaluation Performance
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0548 **PROJECT NO:** DN 74-2
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-NU-44124
COST (In Thousands): FY 74-\$131
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: June '74 through January '76

0676

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Development of Measures to Evaluate the Effect
of Societal Factors on Excess Hospital Bed Capacity: Phase
I

REPORT TITLE: Societal Factors and Excess Hospital Factors: An
Exploratory Study
PERFORMER: Lewin and Associates, Inc; 470 L'Enfant Plaza East,
S.W.; Washington, D.C. 20024; Lawrence Lewin

AGENCY CONTACT: Crane, Anabel; CB 2 Room 10-22;
301-436-8996

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning &
Development

ABSTRACT: The ratio of short-term general care hospital beds per 1,000 population has been rising over the past decade. In large urban areas there is a strong body of opinion and some evidence that indicates an excess hospital bed capacity for provision of short-term inpatient care. Studies have shown that most planning for facilities construction and capital expansion in urban areas is done on "demand-based methods" which frequently result in excess hospital bed capacity. The purpose of this study is to develop a testable model or models relating to the impact of several special factors on ratios of hospital beds to population. Among the factors to be explored are: Racial/ethnic group considerations, employment concerns, religious group sponsorship of hospitals. The study will be conducted in two phases and will rely on the active participation of a working advisory panel. Phase I will be exploratory and will carry the project through to a completed research design and questionnaire package as well as provide preliminary information on the impact of the factors being studied based on the review of secondary data for five local areas. This phase will provide the substantive and methodological basis for a subsequent effort which will attempt to measure the strength of these societal pressures and identify actions or policies that could be used to influence behaviors that contribute to overbedding.

DESCRIPTORS: Hospitals, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1032 **PROJECT NO:** OA-77-10
FUND SOURCE: Evaluation **NTIS NO:** PB 80-142169
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 230-77-0157
COST (In Thousands): FY 77-\$91
PROJECT STATUS: Study completed,
Available from NTIS
START/END DATES: September '77 through June '79

0677

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of Health Manpower Estimation and
Forecasting Methodologies

REPORT TITLE: A Study of Health Manpower Needs
Methodologies

PERFORMER: Applied Management Sciences; 962 Wayne Avenue;
Suite 701; Silver Spring, MD 20910; Robert Deane

AGENCY CONTACT: Stambler, Howard; Center Bldg. Rm 4-50;
301-436-6308

PROGRAM(S) EVALUATED:
PHS-HRA Health Resources-Health Manpower

ABSTRACT: The Health Professions Educational Assistance Act of 1976 (PL 94-484) directs the Secretary to evaluate and project the supply and requirements for health professions. There are currently a large number and variety of methods being used by different organizational units within PHS and by other health planning organizations to estimate and forecast health manpower requirements. The most active agency within the federal government in determining health manpower requirement efforts is the Bureau of Health Manpower. The most widely-used technique for determining health manpower requirements is the service utilization based approach. Such an approach accounts for consumer demand for health services, as represented by utilization rates. The second most widely-used techniques

are simple/population ratios and regression analysis. There is little formal interaction between offices in HEW which develop requirement efforts and non-HEW agencies involved in similar efforts. The study also found that methodologies which are developed among the offices of PHS are largely inconsistent with each other. There is no formal mechanism within PHS for the management and direction of analytical requirement efforts. Methodologies are developed independently of PHS policy-planning efforts; they may or may not meet the needs of the decision-makers at a higher level within the Public Health Service (PHS). (Final report 138 pages.)

DESCRIPTORS: Health Manpower Projections, Health Planning,
Needs and Needs Assessment, Trends and Forecasts
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1047 **PROJECT NO:** BHM 77-3
FUND SOURCE: Evaluation **NTIS NO:** PB 80-106214
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 230-76-0260 T.O. 1
COST (In Thousands): FY 77-\$34, FY 78-\$10
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: June '77 through March '78

0678

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: A Study of Medical School Admissions and the
Effect of Affirmative Action Programs

REPORT TITLE: Not yet determined

PERFORMER: The Rand Corporation; 1700 Main Street; Santa
Monica, California 90406

AGENCY CONTACT: Brown, Joseph; Rm. 4-42, CB 2;
301-436-6587

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Manpower-Health
Professions-Institutional Aid;
PHS-HRA-Health Resources-Health Manpower-Special
Education Programs

ABSTRACT: This is a study of medical school admissions practices in ten medical schools in an effort to determine what changes have occurred in the treatment of women and minority applications since 1972, to make comparisons between the minority and majority applicant pools and admitted applications over time; and to examine the effect of state of residence on an applicant's chance of admission to medical school. The study also compares the medical school performance of individual minority and majority students with their predicted performance, based on pre-medical school data. The study finds that programs to recruit qualified minority applicants are reflected in very heavy weight given to minority status; that state of residence is more important to admission than expected; and that student performance can be predicted, based on pre-admission characteristics. The study recommends further study to update the analysis of the admissions process with data drawn from the entering classes of 1973, 1974, and possibly 1975; to further analyze the effect of residence on medical school admission; and to examine the experience of medical schools with the increased numbers of minority group students which they have been accepting since the early 1970's.

DESCRIPTORS: Civil Rights and Integration, Minority Groups, Medical Training, Educationally Disadvantaged, Trends and Forecasts
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0700
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT: 231-75-0614
COST (In Thousands): FY 75-\$100
PROJECT STATUS: Study completed
START/END DATES: June '75 through June '77

PROJECT NO: BHM-75-7
NTIS NO: Not yet determined
ERIC NO: Not yet determined

0679

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Study of RMP Funds Turnover and Continuation Support

REPORT TITLE: Not yet determined
PERFORMER: Evaluation Branch; Office of Planning and Evaluation
AGENCY CONTACT: Sullivan, Calvin; 301-443-1500
PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Regional Medical Programs

ABSTRACT: The objective of this effort is to determine the extent of and reasons for continued local support of activities from which RMP funding has been withdrawn. HRA has no additional information on this project.

DESCRIPTORS: Urban or Rural Places or Problems
EVALUATION TYPE: Not yet determined

EDC ID NO: 0759
FUND SOURCE: Salaries and Expenses
MECHANISM: In-house
CONTRACT NO: Not available
COST (In Thousands): FY 73-Not available
PROJECT STATUS: Study in process
START/END DATES: March '73 through September '73

PROJECT NO: RMPS 73-13
NTIS NO: Not yet determined
ERIC NO: Not available

0680

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Study of Shared Schedule Residencies

REPORT TITLE: Not yet determined
PERFORMER: Koba Associates; SBA; 1441 L Street, N.W.; Washington, D.C. 20417
AGENCY CONTACT: Pollitt, Anthony; Rm 4-50; Center Bldg.; 301-436-6570
PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Manpower-Special Education Programs

ABSTRACT: Section 709 of the Health Professions Educational Assistance Act (PL 94-484) requires that all primary care residency training programs receiving Federal Assistance, establish a "reasonable number" of shared-schedule residency positions. A shared-schedule residency position is one that is shared by two residents which permits such residents to conduct part or all of their postgraduate training on a "part-time" basis. The Law also requires submission of a report to Congress by January 1, 1979, on compliance with this provision, including recommendations for legislation to ensure compliance. Data will be collected and analyzed to determine (1) potential and effective demand for shared-schedule positions, (2) supply of shared-schedule positions, (3) compliance with Section 709, Title VII of the PHS Act,

and (4) variations among residents and programs regarding their interest in shared schedule positions. The analysis from this project will be used to report to Congress as required in the Law. A directory of shared-schedule residency programs will also be produced as a part of this study.

DESCRIPTORS: Health Manpower Training, Medical Training, Survey or Questionnaire Feature
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1659
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: 232-78-0122
COST (In Thousands): FY 78-\$138
PROJECT STATUS: Study in process
START/END DATES: August '78 through August '79

PROJECT NO: BHM 78-002
NTIS NO: Not yet determined
ERIC NO: Not available

0681

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Evaluation of 1536 States and Single HSA States

REPORT TITLE: Study of Single HSA States and Section 1536 States
PERFORMER: Arthur D. Little, Inc.; Acorn Park; Cambridge, MASS 02140
AGENCY CONTACT: Wilson, Robert, Center Bldg. 2 Rm 5-30; 301-436-6740
PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: Health planning operations under PL 93-641 were studied in 13 single Health Systems Agency (HSA) States and in 3 Section 1536 States in terms of structure, roles, relationships, function performance, and identified situations unique to a particular organizational structure. The research design for the study involved the development of interview checklists. Data obtained from these checklists showed that the single HSA structure is workable and has the potential to meet the objectives of PL 93-641 without unnecessary duplication. It was also determined that the Section 1536 structure may be an appropriate alternative structure in some States and that attempts should be made by HEW to recognize and address the issue of the role of State government in health planning and regulation. Recommendations resulting from the study pertained to developing criteria for the designation of a statewide health service area for single HSA and Section 1536 States, clarifying the role of the State health coordinating council, developing guidance for health plan development and project review procedures in single HSA States, requiring additional review and commend responsibilities for the State health coordinating council and subarea advisory councils in Section 1536 States, and developing guidelines to address requested changes in the health service area designation of States. Checklists used in the study are appended. (Final report 230 pages.)

DESCRIPTORS: Case or Site Study Feature, Health Planning, Research and Evaluation Planning and Administration
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1052
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: 230-76-0212 TO
COST (In Thousands): FY 77-\$99

PROJECT NO: BHPRD 77-7
NTIS NO: Not yet determined
ERIC NO: Not available

PROJECT STATUS:

: Study completed,

Available from EDC,
Executive summary at EDC**START/END DATES:** June '77 through December '77

0682

AGENCY SPONSOR: Public Health Service
Health Resources Administration**PROJECT TITLE:** Clinical Schools for Allied Health Professions
Education**REPORT TITLE:** A study of the Problems Encountered in the
Provision of Clinical Training for Allied Health Professions**PERFORMER:** Booz, Allen and Hamilton Management Consultants;
1025 Connecticut Ave, N.W.; Washington, DC 20036**AGENCY CONTACT:** Hoover, D.B.; 301-436-7175**PROGRAM(S) EVALUATED:**PHS-HRA-Health Resources-Health Manpower-Allied
Health;
PHS-HRA-Health Resources-Health Manpower-Special
Education Programs

ABSTRACT: This study identifies major weaknesses in the clinical education of allied health manpower and makes a number of recommendations for alleviating problems identified. Among its recommendations are the following: 1) efforts to improve clinical education, particularly continuing education, should be intensified; 2) accreditation standards should be directly related to accepted clinical education objectives and systematic methods for evaluating individual competence should be developed; 3) provision for clinical education should be a major element in planning an allied health education program; 4) objectives for clinical education should be clearly established; 5) additional types of health care agencies should be involved in clinical education, and the educational function in health care agencies currently involved in clinical education should be strengthened; 6) the government must provide the major share of financial support necessary to improve clinical education. (Final report, Four Volumes 1058 pages)

DESCRIPTORS: Paraprofessionals, Health Manpower Other, Health Manpower Training, Health Technicians**EVALUATION TYPE:** Place Project or Technique**EDC ID NO:** 0376**PROJECT NO:** BHME 72-6**FUND SOURCE:** Evaluation**NTIS NO:** PB-247-646-649**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** NIH-72-4345**COST (In Thousands):** FY 72-\$220**PROJECT STATUS:** Study completed,Available from EDC,
Executive summary at EDC,
Available from NTIS**START/END DATES:** June '72 through August '73

0683

AGENCY SPONSOR: Public Health Service
Health Resources Administration**PROJECT TITLE:** Study of the Use of Medicare Part A Data File as
National Data Resource to Monitor the Impact of Health
Planning and Regulations**REPORT TITLE:** Not yet determined**PERFORMER:** Codman Research Group, Inc.; 1730 Rhode Island
Ave, N.W.; Washington, D.C.; Dr. Richard Greene**AGENCY CONTACT:** Anabel Burgh Crane; Rm 10A-56 PKLN;
301-443-1040**PROGRAM(S) EVALUATED:**

PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: During the last 5 years a series of federal and state health planning and regulatory programs have been enacted with the purpose of controlling costs and rationalizing the distribution of medical services. It is assumed that these programs will form the precursor to a regulatory strategy aimed at cost containment and equitable resource allocation under any future national health insurance program. This study will explore whether the quality of the Medicare Claims data is sufficiently adequate to allow its use by health planners and regulators and whether the nationally available 20% sample of Medicare Part A claims is sufficiently large to support analyses of hospital use patterns in small areas within acceptable confidence limits. The project will be based on the 1975 Medicare Part A inpatient file for the five New England States provided by SSA and existing hospital files covering total population experience for Vermont, Maine and Rhode Island. The Medicare Part A file will be contrasted with patients over 65 from state files in terms of items included in both data systems. Comparability of distributions and averages within hospitals will be used to measure the correspondence of the two data sets. Description of hospital service areas based on patients over 65 and the 20% Medicare Part A sample.

DESCRIPTORS: Statistical Data Feature, Health Planning, Regulation and Standard Setting, Research and Evaluation Planning and Administration, Federal State and Local Relations**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 1044**PROJECT NO:** OA 76-13**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Interagency
Agreement**ERIC NO:** Not available**TRANSFER NO:** 600-77-0039**COST (In Thousands):** FY 77-148**PROJECT STATUS:** Study in process**START/END DATES:** December '76 through December '77

0684

AGENCY SPONSOR: Public Health Service
Health Resources Administration**PROJECT TITLE:** Analysis of the CHP Area Resource File**REPORT TITLE:** A Task Ordering Agreement for the Evaluation of
the Area Resource File for Utility in Health Planning for the
Disadvantaged.**PERFORMER:** BLK Group, Inc.; 1730 M Street, NW; Washington,
DC 20036**AGENCY CONTACT:** Barnes, Margaret; 301-443-1935**PROGRAM(S) EVALUATED:**PHS-HRA-Health Resources-Health Planning &
Development;
PHS-HRA-Health Resources-Program Direction &
Management

ABSTRACT: A study to assess the value of the Area Resource File (ARF) to health planners is reported. The study was based on the assumption that health planners need accessibility to relevant and timely data. A questionnaire was distributed to all 274 statewide and/or areawide health agencies; 138 responded. The items were designed to collect data on agency identification, general information about the ARF, and specific information on data categories in ARF.

Over 60 percent of the respondents indicated that ARF would be of assistance in planning efforts. Of responses relating to specific uses of ARF in planning, 32 percent were in the comparative studies category, 29 percent were in the historical community statistical profile category, and 20 percent were in the current planning category. Sixty percent of the respondents indicated that ARF data are too old, but 68 percent desired to receive the data even if it were not regularly updated. Respondents suggested that ARF could be improved as a planning tool by increasing the frequency of data collection and correction, using smaller geographical areas, using dates to denote when data are collected, and adding more demographic data. A listing of secondary sources is contained in a separate volume. (Final report 99 pages).

DESCRIPTORS: Health Planning, Survey or Questionnaire Feature, Statistical Data Feature, Information Systems

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0584 **PROJECT NO:** BHPRD 75-3
FUND SOURCE: Evaluation **NTIS NO:** PB 259-751
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: PHS-HRA-230-75-0092
COST (In Thousands): FY 75-\$20

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: May '75 through September '75

0685

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Use and Impact of the National Guidelines for Health Planning

REPORT TITLE: Not yet determined

PERFORMER: Science Management Corp.; 1120 Connecticut Ave., N.W.; Washington, D.C. 20036; Thomas Lantry

AGENCY CONTACT: Shannon, Laurel; Rm 10-22 Center Bldg.; 301-436-7270

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: Public Law 93-641, through the National Guidelines for Health Planning, has created an infrastructure for the nation's health resources. The purpose of the National Guidelines is to set standards respecting the appropriate supply, distribution and organization of health resources. The evaluation of the use and impact of the National Guidelines needs to be examined. The purpose of this project is fourfold: 1) to evaluate the application and adjustment of the National Guidelines in Health Systems Plans (HSPs), State Health Plan (SHPs) and State Medical Facilities Plans (SMFPs); 2) to explore the relationship of the National Guidelines to existing State practices; 3) to identify additional goals and standards for potential contributions to further development of the National Guidelines; and 4) to develop and pilot test a methodology for evaluation of the impact of selected standards in the National Guidelines on the performance of the health system. The study through a representative sample of one-third of all certified HSPs, SHPs, and approved SMFPs, will analyze the compliance of local and State plans with the National Guidelines. The extent to which the National Guidelines are applied locally and in States, along with other local and State sources of goal formulation, such as State Health Coordinating Councils, will help determine how the National Guidelines fit into the health resource planning structure, as well as determine potential contribution and development of the National Guidelines.

DESCRIPTORS: Case or Site Study Feature, Federal Role, Federal State and Local Relations, Field Test Feature, Health Planning, Regulation and Standard Setting, Evaluation by State and Local Governments

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1672 **PROJECT NO:** OA 78-002
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 232-78-0131
COST (In Thousands): FY 78-\$139
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '79

0686

AGENCY SPONSOR: Public Health Service
Health Resources Administration

PROJECT TITLE: Development of Criteria for Future Assessment of Minority Representation Performance, and Impact of HSA on Minorities

REPORT TITLE: Vol I, Assessment of Representation and Parity for HSA and SHPDAs: Vol. II, Criteria for Assessing HSA Performance and Impact with Respect to the Minority/Disadvantaged

PERFORMER: The Orkand Corporation; 8630 Fenton Street; Silver Spring, MD; Dr. Elliott Hurwitz

AGENCY CONTACT: Hausner, Tony; PKLN Bldg., Rm. 11-34; 301-443-1516

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning & Development

ABSTRACT: This study was undertaken to assess minority representation in HSAs and SHPDAs and to develop criteria to assess HSA performance and impact with respect to the minority/disadvantaged population. The assessment of representation in HSAs and SHPDAs involved the analysis of data collected by BHPRD in 1976 on the number of individuals on HSA Governing Boards, Executive Committees, and Professional and Support Staffs, as well as SHPDA Professional and Support staffs. This data was examined to determine the number of individuals present who "represented" women, all minorities, consumers/providers, types of providers, public officials, individuals with specific expertise, and programmatic representatives. Performance was defined as the processes or activities undertaken by an HSA, to include structure, process and outputs. Impact was defined to be the outcome or result of HSA activities-changes in health status or in health system characteristics. Criteria developed to assess impact were organized by the six characteristics of the health system contained in PL 93-641: availability, accessibility, acceptability, cost, quality, and continuity. The last aspect of the study consisted of the recommendation of those modifications to the HSA Reporting System necessary to assess performance and impact. (Final report 427 pages.)

DESCRIPTORS: Health Planning, Minority Groups, Management Information and Reporting

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1063 **PROJECT NO:** OA 76-105
FUND SOURCE: Evaluation **NTIS NO:** PB 268-186-187
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 230-76-0210 T.O. 1
COST (In Thousands): FY 76-\$52, FY 77-\$6

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: September '76 through May '77

HEALTH SERVICES ADMINISTRATION

0688

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Short Term Assistance to OPEL: Adequacy of
Community Health Service Programs in Penetrating Target
Populations

REPORT TITLE: Adequacy of BCHS Programs in Penetrating
Target Populations

PERFORMER: Geomet, Inc.; Gaithersburg, MD; Brent L. Norton

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Community-Migrant Health;
PHS-HSA-Health Services-Community-Health Centers;
PHS-HSA-Health Services-Community-Maternal & Child
Health;
PHS-HSA-Health Services-Community-Family Planning;
PHS-HSA-Health Services-Community-National Health
Service Corps

ABSTRACT: The "adequacy" of five service programs in reaching their intended target populations is assessed. The five programs include migrant health, comprehensive health centers, maternal and child health, family planning, and the National Health Service Corps. Adequacy is approached in the study by calculating the ratio of persons served to persons in the target population. No allowance is made for other public or private resources available to the same persons. The study describes in detail, for each program, the demographic characteristics of those served. (Final report 112 pages.)

DESCRIPTORS: Health Care Delivery Systems, Minority Groups, Statistical Data Feature, Eligibility Issues, Needs and Needs Assessment, Urban or Rural Places or Problems, Low-Income Population
EVALUATION TYPE: Program Impact

EDC ID NO: 0495 **PROJECT NO:** HSA(C)-74-4
FUND SOURCE: Evaluation **NTIS NO:** PB 247-302
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: PHS-HSA-105-74-7
COST (In Thousands): FY 75-\$40
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: February '75 through October '75

0689

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Alaska Quality of Care Follow-Up Study

REPORT TITLE: Not yet determined

PERFORMER: Alaska Native Health Board; Anchorage, Alaska

AGENCY CONTACT: Indian Health Service; Rm 5A-22, Pkln
Bldg., 301-443-4724

PROGRAM(S) EVALUATED:
PHS-HSA-Indian Health-Services

ABSTRACT: The study is a follow-up of studies to examine the quality of ambulatory health care provided to Alaska Natives. The specific changes suggested in the previous studies would be addressed over a one year period of time. It would be planned that new protocol and procedures would be designed so that, if proven effective during evaluation, they would be incorporated into the daily operations of the health service for Alaska Natives.

DESCRIPTORS: Native Americans, Consumer Evaluation Feature, Ambulatory and Outpatient Health Care, Quality Assurance
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1584 **PROJECT NO:** IHS-78-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 243-78-0222
COST (In Thousands): FY 78-\$100
PROJECT STATUS: Study in process
START/END DATES: October '78 through October '79

0690

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Analysis of Contract Health Service System

REPORT TITLE: Not yet determined

PERFORMER: Northwest Portland Indian Health Board; Rm 510,
1220 S.W. Morriso Portland, Oregon 97205

AGENCY CONTACT: Indian Health Service; Rm 5A-22, Pkln Bldg.
301-443-4724

PROGRAM(S) EVALUATED:
PHS-HSA-Indian Health-Services-Program Direction &
Mangement

ABSTRACT: The purpose of this study is to conduct an evaluation of the cost effectiveness of the Portland Area Indian Health Service Contract Health Services Program.

DESCRIPTORS: Native Americans, Consumer Evaluation Feature, Cost-Effectiveness Analysis Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1590 **PROJECT NO:** IHS-78-7
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSA-248-79-0053
COST (In Thousands): FY 79-\$54
PROJECT STATUS: Study in process
START/END DATES: November '78 through November '79

0691

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Identification of Factors That Result in Successful
Recruitment and Retention of Necessary Professional
Manpower

REPORT TITLE: Analysis of Factors Influencing Physician
Retention, Location of Practice and Related Assignments

PERFORMER: Abt Associates, Inc.; 55 Wheeler Street; Cambridge,
MASS 02138

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services;
PHS-HSA-Health Services-Community-National Health
Service Corps

ABSTRACT: The objective was to identify factors influencing retention of Public Health Service Corps physicians. Twelve studies were reviewed, several containing the results of surveys of physicians. It was concluded that physicians feel that the following five factors were the most important influences upon their decisions to remain in or leave the Corps: salaries; opportunities for residencies or other advanced training; living conditions and social amenities for the physician and his family; working conditions including equipment, facilities, paper work, freedom to make decisions, access to specialists, etc.; and career-guidance on opportunities within the Corps. Three peripheral tasks not related to the above were also completed. Two involved computer programming support and the third consisted of writing a Users Manual for a program which performs step-wise multiple regression when all variables are in dummy (i.e., zero-one) form. (Final report 42 pages.)

DESCRIPTORS: Physicians, Access to Services, Facilities, Housing, Medical Training, Economic Growth, Income Maintenance
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0838 **PROJECT NO:** HSA (C) 74-5, Task
9
FUND SOURCE: Evaluation **NTIS NO:** PB 243-034
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSA-105-74-8
COST (In Thousands): FY 75-\$48
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: July '74 through December '74

0692

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Analysis of Nationally Reported Data from
Comprehensive Health Service Projects

REPORT TITLE: Not yet determined

PERFORMER: Systems Sciences, Inc.; 4720 Montgomery Lane;
Bethesda Md. 20014

AGENCY CONTACT: Kleinman, K.; 301-443-1030

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-Comprehensive
Health Grants to States

ABSTRACT: The purpose of this project is to provide the Community Health Service and all Federally funded 314 (e) and 8 management comprehensive health service projects with quarterly and annual summary and analytical reports.

DESCRIPTORS: Comprehensive Health Care
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0878 **PROJECT NO:** CHS 73-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSM-110-73-496
COST (In Thousands): FY 73-\$507
PROJECT STATUS: Study in process
START/END DATES: June '73 through June '75

0693

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Analysis of Potential Impact of National Health
Insurance on BCHS and their Families

REPORT TITLE: Not yet determined

PERFORMER: Westat, Inc; 11600 Nebel Street; Rockville, Md.
20852

AGENCY CONTACT: Christoffel, William; Rm 14-49 Parklawn
Bldg.; 301-443-3352

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community

ABSTRACT: This study assumes that some form of national health insurance or other major changes in existing legislation governing the financing of health care will be forthcoming in the foreseeable future. The overall study objective is to assess the impact that such major changes will have upon BCHS program. This is a very large scale study in two phases: Phase I is a survey designed to collect data from 2500 families which have had at least one member receive care through a project receiving support from BCHS. The end result will be a series of computer tapes containing survey data and a manual for their use. Analysis of the data will proceed as Phase II. The study results will constitute important statistical information for making basic and wide-range decisions concerning provision of medical care to BCHS target populations.

DESCRIPTORS: Health Care Delivery Systems, Survey or Questionnaire Feature, Health Insurance and Financing,
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0806 **PROJECT NO:** HSA- (C) 75-8
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 240-75-0003
COST (In Thousands): FY 75-\$432, FY 76-\$39
PROJECT STATUS I:
START/END DATES: July '75 through February '77

0694

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Analysis of Reimbursement of HSA
Comprehensive Health Centers-Expenses under NHI

REPORT TITLE: Analysis of the Potential Impacts of CHIP on
HSA Comprehensive Health Centers

PERFORMER: Geomet, Inc.; 15 Firstfield Road, Gaithersburg, Md.

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-Health Centers

ABSTRACT: The purpose of the study was to determine the potential impact of CHIP on the activities of HSA Comprehensive Health Centers in terms of (1) benefit coverage; (2) center financing; (3) billing and administrative workload effects; and (4) patient cost-sharing features. Utilization and financial data from 12 CHC's was explored. It was determined that CHIP would lead to a reduction of center services offered (covered) by about one-third. Center financing for supportive and preventive services would not be forthcoming under CHIP. Billing and administrative workloads could be considerably increased and patient cost-sharing for those services that would be covered by CHIP would be increased from about 4 to 50 percent. (The 50 percent figure was later discovered to be upward-biased--a better estimate is probably about 30 percent.)

DESCRIPTORS: Mental Health, Health Insurance and Financing
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0836 **PROJECT NO:** HSA (c) 74-4
FUND SOURCE: Evaluation **NTIS NO:** PB 243-072
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSA-105-74-7
COST (In Thousands): FY 74-\$13
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: June '74 through October '74

0695

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Emergency Medical Services Adequacy Study and Study Plan

REPORT TITLE: Assessment of Emergency Medical Systems Adequacy

PERFORMER: Transactions Systems, Inc.; 800 Peachtree Street, NE; Atlanta, Georgia 30308

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Emergency Medical Services

ABSTRACT: This study reports on the adequacy of emergency medical services resources (ambulances, personnel, emergency departments, communication systems) by planning district within states, by state, by HEW Region and nationally. It also reports on the adequacy of putative emergency medical services systems, using a model to assess system adequacy. The results are presented in tabular form and narratively. The appendix provides a delineation of EMS service area identification, EMS resources by health planning district, and demographic data. (Final report 374 pages.)

DESCRIPTORS: Emergency Medical Care, Facilities, Administrative Personnel

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0840 **PROJECT NO:** HSA(C) 74-6, Task 3/7
FUND SOURCE: Evaluation **NTIS NO:** PB 243-808
MECHANISM: Contract **ERIC NO:** HSA-105-74-9
CONTRACT NO: HSA-105-74-9
COST (In Thousands): FY 74-\$60
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: March '74 through September '74

0696

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Assessment of Environmental Health (Oklahoma City Area)

REPORT TITLE: Not yet determined

PERFORMER: Indian Tribal Organization

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-HSA-Indian Health-Services

ABSTRACT: This is one of a series of contracts awarded to different tribal organizations for carrying out evaluation projects on various aspects of the IHS program. (To be completed).

DESCRIPTORS: Native Americans, Environmental Health, Preventive Health Care

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1140 **PROJECT NO:** HSA(C)75-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: Not yet determined
COST (In Thousands): FY 75-Not available
PROJECT STATUS: Study in process
START/END DATES: July '74 through June '75

0697

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Evaluation of Elderly Health Needs of the Laguna Reservation

REPORT TITLE: Assessment of Needs and Recommendations for a Service Delivery System for the Elderly

PERFORMER: The Gerontology Center and The Department of Family and Community Medicine; University of Mexico; Albuquerque, New Mexico 87131

AGENCY CONTACT: Lessans, Dana; 10A56 Parklawn Bldg.; 301-443-1040

PROGRAM(S) EVALUATED:
PHS-HSA-Indian Health-Services;
HD-Aging-Community Services-State Agency Activities

ABSTRACT: The objective of this project was to develop recommendations for delivery of multiple services to elderly Indians living at Laguna Pueblo. These recommendations were to be comprehensive in scope and consistent with needs; centralized for efficiency of operation; structured to maximize sensitivity to tribal values; designed to utilize existing programs; and feasible to implement and operate within the limits of resources of the Pueblo. The project involved both review of existing data and conduct of an extensive survey on medical, social, nutritional, and coping problems of the elderly. Those surveyed assigned health care a low priority, presumably because they have extensive health care services available, and perhaps because of low expectations. The conclusions of the study stress the importance of providing better transportation for the elderly, a need for a centrally located facility which would provide all necessary services, better coordination between services and services providers, and a modification of the Food Stamps eligibility determination procedures. The study also finds that the aging programs in New Mexico significantly limit services to Indians by use of the County as the administrative and planning unit. Transportation is reported as the gravest problem although local Community Health Representatives apparently specialize in providing it. (Final report 98 pages).

DESCRIPTORS: Native Americans, Elderly, Health Care Delivery Systems, Needs and Needs Assessment, Survey or Questionnaire Feature, Transportation, Supportive Services, Consumer Evaluation Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1141
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: Not yet determined
COST (In Thousands): FY 75-Not available
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: July '74 through September '75

PROJECT NO: HSA(C)75-3
NTIS NO: Not yet determined
ERIC NO: Not available

0698

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Bureau of Community Health Services (BCHS)
1978 National Grantee Immunization Survey

REPORT TITLE: Not yet determined
PERFORMER: Medicus Systems Corp.; 7315 Wisconsin Ave.,
Washington, D.C. 20014; Lyman C. Dennis II, Ph.D.;
301-652-3510

AGENCY CONTACT: Kerle, Rosemary; 14-43 Pkln Bldg.;
301-443-1900

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Health;
PHS-HSA-Health Services-Community

ABSTRACT: The Secretary of HEW has initiated a campaign to immunize pre-sch and school-age children against the preventable childhood diseases. The Bureau Community Health Services (BCHS), HSA, has established a goal of 90% immunization for two-year old children who receive medical services from their projects. Internal strategies were initiated and some additional funding was provided. To determine the impact of the BCHS immunization activities, two surveys were planned. The first, conducted in 1977, collected baseline data on the level of immunization prior to the BCHS immunization initiatives and the current 1978 survey measured change in immunization levels. The 1978 survey examined approximately 12,000 records distributed among 100 projects. The immunization survey of two-year-olds has been expanded to include additional clinical indicators and other age groups. For two-year-olds, data covers immunization, anemia screening, growth charts, problem lists and histories and physicals. For six-year-olds, data includes immunization, hypertension screening, family planning counseling, prenatal care, problem lists, and histories and physicals. Another survey objective was to identify barriers to achieving the 90% immunization goal for all three age group and to recommend procedures for overcoming these barriers.

DESCRIPTORS: Children and Youth, Communicable Disease, Maternal and Child Health, Preventive Health Care, Client Records, Statistical Data Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1696
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: 240-78-0025
COST (In Thousands): FY 78-\$60, FY 79-\$30

PROJECT NO: BCHS 78-5
NTIS NO: Not yet determined
ERIC NO: Not yet determined

PROJECT STATUS: Study in process

START/END DATES: September '78 through September '79

0699

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Capacity Building Options for the BCHS Family
Planning Programs FY 1977-1981

REPORT TITLE: Capacity Building Options for the BCHS Family
Planning Programs FY 1977-1981

PERFORMER: Macro Systems, Inc.; Twin Towers; 1110 Fidler
Lane; Silver Spring, Md. 21910

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-Family Planning

ABSTRACT: This study discusses the difficulties of projecting continuing need for the Bureau of Community Health Services family planning program; in its present or any alternative form, the potential impact of national health insurance (NHI) on that need stems from: (1) persuasive, but inconclusive evidence of cost effectiveness of program; (2) lack of demonstrated program effectiveness; and (3) extremely conservative third party reimbursement coverages (including NHI) for family planning services. The merits of four capacity-building alternatives are discussed; (1) continuing the program at present level of funding; (2) phasing out the program; (3) expanding the program; and (4) redirecting the program. No specific recommendations are offered with respect to pros and cons of the alternatives. The report suggests that, through legislative change in CHIP (Comprehensive Health Insurance Plan), family planning services could be covered as general preventive services. (Final report 21 pages.)

DESCRIPTORS: Family Planning, Needs and Needs Assessment, Cost-Effectiveness Analysis Feature

EVALUATION TYPE: Program Strategy

EDC ID NO: 0833
PROJECT NO: HSA(C) 74-3, Task
22

FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: HSA-105-74-6

COST (In Thousands): FY 75-\$11
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: March '75 through December '75

0700

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Development of a Child Health Strategy Impact
Evaluation Methodology

STATUS:

REPORT TITLE: CFNDevelopment of a Child Health Strategy
Impact Evaluation Methodology

PERFORMER: Maximus, Inc.; 6723 Whittier Avenue.; McLean,
VA 22101

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-Maternal & Child
Health

ABSTRACT: The Child Health Strategy (CHS) is a set of policies promulgated by HSA to focus BCHS-supported programs on the delivery of health care services to needy mothers and children. A theoretical methodology for evaluating certain aspects of the CHS based on vital statistics data was attempted focusing on three outcome measures: birth cohort characteristics, survival probabilities, and infant mortality rates. Essentially, the methodology relies on comparing expected and observed values of each measure for areas having CHS maternal and infant programs. Certain methodological problems were encountered: the estimation of program penetration rates, the level of data aggregation, and the role of exogenous factors in an accurate evaluation. To address these problems and assess the applicability of the methodology to real world conditions, four site visits were undertaken to Maternal and Infant Care (MIC) projects in Region IV. The results of these visits indicated that the data to utilize evaluation model are available at some sites but vary in quality, that program penetration rates are estimable, and that changes in vital statistics can be related to specific programs. It was concluded that evaluation of CHS components can be undertaken at some sites using vital statistics data.

DESCRIPTORS: Children and Youth, Maternal and Child Health, Infants, Statistical Data Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1592

PROJECT NO: BCHS 77-2

FUND SOURCE: Evaluation

NTIS NO: PB 295-647

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSA-240-77-0138

COST (In Thousands): FY 77-Not available

START/END DATES: October '77 through September '78

0701

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Changes in Hospitalized Morbidity on the Navajo Indian Reservation

REPORT TITLE: Not yet determined

PERFORMER: Navajo Health Authority; P.O. Box 643; Window Rock, Arizona 86515

AGENCY CONTACT: Indian Health Service; Rm 5A-22, Pkln Bldg.; 301-443-4724

PROGRAM(S) EVALUATED:
PHS-HSA-Indian Health-Services

ABSTRACT: The purpose of this contract will be to design and conduct an epidemiologic study utilizing the existing IHS data system to determine the exact nature of health status change or transition which is taking place among the Navajo and prepare a written report discussing the implications for adapting the health care system to meet the needs caused by the change.

DESCRIPTORS: Native Americans, Consumer Evaluation Feature, Health Care Delivery Systems, Ambulatory and Outpatient Health Care, Morbidity and Mortality

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1587

PROJECT NO: IHS-78-4

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSA-245-78-0187

COST (In Thousands): FY 78-\$15

PROJECT STATUS: Study in process

START/END DATES: September '78 through September '79

0702

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Comparative Cost and Financial Analysis of Ambulatory Care

REPORT TITLE: Comparative Cost and Financial Analysis of Ambulatory Care Providers

PERFORMER: Geomet, Inc.; 15 Firstfield RD; Gaithersburg, Maryland 20760; Harley L. Heaton; 301-948-0755

AGENCY CONTACT: Dobson, A.; 301-443-1900

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services Program Direction & Management

ABSTRACT: This study was undertaken by the Health Services Administration Office of Planning, Evaluation, and Legislation (HSA/OPEL) to evaluate and plan health care strategies. This study concentrates on a comparison of the costs of ambulatory services by HSA and non-HSA providers. The unit of service selected for measurement is the cost per medical visit, or encounter for ambulatory care. Tables are included which show variations in provider costs and compare the providers by functional cost per encounter. The findings show that: the functional cost per encounter is highest in the Family Health Center program and lowest in the Maternal and Infant Care program; Federally sponsored providers of Health care services tend to have higher medical encounter costs than private sector providers; encounters in an urban setting are more costly than those in a rural setting; and encounters in the South are the least costly in the U.S. The details of the data and the methodology applied to the data as well as comments and analysis of costs data are covered. The study attempts to justify the choice of variables usually considered in measurements of costs for supplying medical services. In addition to the accompanying tables, a bibliography is provided. (Final report 243 pages.)

DESCRIPTORS: Ambulatory and Outpatient Health Care, Management Techniques, Economic Analysis Feature, User Charges, Health Care Delivery Systems, Bibliography Feature, Research and Evaluation Performance

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0815

PROJECT NO: HSA (C) 74-3

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HRA-105-74-68

COST (In Thousands): FY 74-\$175

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: June '74 through June '75

0703

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Comparative Evaluation of the Quality of Health Care in NHC's Prepaid Group Practice, Hospital Outpatient Departments and Private Practice

REPORT TITLE: Not yet determined

PERFORMER: Albert Einstein College of Medicine; 1165 Morrispark Ave; Bronx, N.Y. 10461; Dr. M.A. Morehead
AGENCY CONTACT: Dr. Colby; 301-443-1380
PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Program Direction & Management

ABSTRACT: The purpose of this project is to compare and evaluate the quality of ambulatory health care provided by NHC's and other major delivery system alternatives.

DESCRIPTORS: Ambulatory and Outpatient Health Care, Research and Evaluation Performance, Quality Assurance
EVALUATION TYPE: Program Strategy

EDC ID NO: 0881 **PROJECT NO:** CHS-73-6
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSM-110-73-527
COST (In Thousands): FY 73-\$416
PROJECT STATUS: Study completed,
aAvailable from NTIS,
Study completed,
Executive summary at EDC,
bExecutive summary at EDC,
d

START/END DATES: June '73 through August '75

0704

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Comparative Evaluation of Health Delivery Projects Serving the Navajo Population

REPORT TITLE: Comparative Evaluation Project
PERFORMER: Navajo Health Authority; P.O. Box 643; Window Rock, Navajo Nation; Arizona 86515
AGENCY CONTACT: Davis, C.
PROGRAM(S) EVALUATED:
PHS-HSA-Indian Health Facilities

ABSTRACT: In this study the Navajo Health Authority undertook to develop and to perform a comparative evaluation of factors affecting the quantity, quality, costs, and commitment to comprehensive health care on the Navajo Reservation. The most notable finding was that data collection was problematic the result of no common data collection format. The only areas in which comparisons were possible were provider survey and services description. Results are based on the study of four selected health care facilities including their satellite field clinics. Background information on the Navajo Reservation is supplied to provide perspective. (Final report 94 pages).

DESCRIPTORS: Statistical Analysis Methods Feature, Native Americans, Health Care Delivery Systems, Minority Groups, Comprehensive Health Care
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0393 **PROJECT NO:** HSMHA-73-2
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSM-110-73-383
COST (In Thousands): FY 73-\$75
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC
START/END DATES: June '73 through March '74

PROJECT DESCRIPTIONS

0705

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Comparative Health Services Evaluation Project-Third Phase

REPORT TITLE: Not yet determined
PERFORMER: Navajo Health Authority
AGENCY CONTACT: Not yet determined
PROGRAM(S) EVALUATED:
PHS-HSA-Indian Health-Services

ABSTRACT: This phase of the project will complete the Comparative Health Services Evaluation Project. Two-hundred Indian households will be surveyed in the Kayenta Service Unit. All service providers will be surveyed in the Kayenta, Gallup, Shiprock, and Winslow Service Units. The findings of the Study will be used to confirm known or suspected deficiencies in programs at service units evaluated. The Navajo Area Office will follow up on recommendations made in the study in an effort to reduce or eliminate deficiencies. This project is actually a continuation of an earlier project which was terminated in 1975 upon exhaustion of funding.

DESCRIPTORS: Native Americans, Health Care Delivery Systems, Consumer Evaluation Feature, Survey or Questionnaire Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1134 **PROJECT NO:** IHS-76-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: Not yet determined
COST (In Thousands): FY 76-\$55
PROJECT STATUS: Study in process
START/END DATES: July '75 through September '77

0706

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Comparison of Program Indicators Across Programs Using the BCHS Common Reporting Requirements (BCRR)

REPORT TITLE: Comparison of Program Indicators Across Programs Using the BCHS Common Reporting Requirements (BCRR)

PERFORMER: National Institute for Advanced Studies; 600 E St. N.W., Washington, D.C. 20004

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Program Direction & Management

ABSTRACT: The objective of this project was to develop a computer system to access and analyze data collected semi-annually from 1400 grantees of the Bureau of Community Health Services (BCHS). A system was developed and a Users' Manual published which permits BCHS personnel and others to access and statistically analyze the data. The system has been applied successfully by many individuals. An analysis of productivity in Community Health Centers indicated physicians Assistants are more productive than Nurse Practitioners but that the marginal productivity of both groups is quite low. This finding, if verified by additional work, could affect substantially the present Government policy of encouraging employment of PAs and NPs. A similar study in National Health Service Corps freestanding sites indicates that marginal productivity of physician extenders in one physician site is reasonably high but quite low in two-physician

sites. This finding will guide the allocation of PEs to NHSC sites. Costs per encounter were calculated for four BCHS programs revealing considerable variation. A follow-on study will attempt to identify the causes of the variation before any actions are taken. Sources of revenue for BCHS programs were calculated resulting in some leads for alternative sources of funds for BCHS programs.

DESCRIPTORS: Administrative Personnel, Research and Evaluation Performance, Statistical Analysis Methods Feature
EVALUATION TYPE: Support

EDC ID NO: 1594 **PROJECT NO:** BCHS 77-7
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSA 240-77-0145
COST (In Thousands): FY 77-Not available, FY 78-Not available
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: September '77 through October '78

0707

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Comparison of Program Indicators Across
Programs Using the BCRR

REPORT TITLE: None
PERFORMER: National Institute for Advanced Studies;
Washington, D.C.
AGENCY CONTACT: Calvin, Daniel A.; Rm. 1436 PKLN;
301-443-1126

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services

ABSTRACT: This project developed a computer file of all reports received for the period January-June 1978, under the BCHS Common Reporting Requirements data system.

DESCRIPTORS: Information Processing, Management Information and Reporting
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1599 **PROJECT NO:** BCHS
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Purchase Order **ERIC NO:** Not available
PURCHASE ORDER NO:
COST (In Thousands): FY 78-\$10
PROJECT STATUS: Study completed
START/END DATES: November '78 through October '79

0708

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Evaluation of the Effectiveness of Outreach and
Transportation Services on Utilization

REPORT TITLE: A Compilation of Case Studies of Outreach and
Transportation Services at Selected Community Health
Centers
PERFORMER: Abt Associates, Inc.; 55 Wheeler Road; Cambridge,
Mass.
AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Community Health Centers

ABSTRACT: Case studies are documented concerning transportation and outreach services provided by 20 community health centers (CHCs) across the U.S. The CHCs studied were selected as a proportionate mix of large and small, urban and rural centers. Data concerning utilization and other aspects of transportation and outreach services were collected during site visits, but the data have not been validated and much of the information involved guesswork. Most of the centers defined transportation services as the transport of both patients and items. While all CHCs defined outreach as any health-related service provided either in patient's homes or in the community, there were no outreach departments per se. The twelve discrete outreach services found to be provided by CHCs are: case-finding, follow up, assessment, health education, home care, social service, mental health, facilitating, referrals, medical services, field registration, and counseling. (Final report 270 pages.)

DESCRIPTORS: Multiservice Center, Transportation, Outreach and Referral, Case or Site Study Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0839 **PROJECT NO:** HSA (C) 74-5 Task
7
FUND SOURCE: Evaluation **NTIS NO:** PB 256-214
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSA-105-74-8
COST (In Thousands): FY 75-\$44
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: March '75 through September '75

0709

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Consumer Evaluation of Clinical Services

REPORT TITLE: Not yet determined
PERFORMER: Indian Tribal Organization; Not yet determined
AGENCY CONTACT: Not yet determined
PROGRAM(S) EVALUATED:
PHS-HSA-Indian Health-Services

ABSTRACT: This was one of a series of contracts awarded to different tribal organizations for carrying out evaluation projects on various aspects of the IHS program. It was terminated because (To be completed).

DESCRIPTORS: Native Americans, Health Care Delivery Systems, Ambulatory and Outpatient Health Care
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1135 **PROJECT NO:** HSA(C)75-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: Not yet determined
COST (In Thousands): FY 75-Not available
PROJECT STATUS: Executive summary at EDC,
Available from ERIC,
Study in process,
Available from NTIS,
Executive summary at EDC,
d
START/END DATES: July '74 through June '75

0710

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Contracting for Surveys in the Health Services
Administration

REPORT TITLE: Not yet determined

PERFORMER: Dr. Marie Wann

AGENCY CONTACT: Krueger, Gladys; 301-443-1126

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Program Direction &
Management

ABSTRACT: This handbook was written for the potential use of project officers responsible for considering, planning and contracting for surveys.

DESCRIPTORS: Planning, Management Techniques, Grant and Contract Management, Technical Assistance
EVALUATION TYPE: Support

EDC ID NO: 0813

PROJECT NO: HSA (C) 75-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 245-75-011

COST (In Thousands): FY 75-\$10

PROJECT STATUS: Study in process

START/END DATES: February '75 through September '75

0711

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Cost Effectiveness Studies of Outreach Activities
and Team Care

REPORT TITLE: Not yet determined

PERFORMER: Minnesota Systems Research, Inc.; 2412 University
Avenue; E. Minneapolis, Minn. 55414

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-Health Centers

ABSTRACT: The purpose of this study is to assess the effectiveness and efficiency of outreach and team care in the comprehensive ambulatory health service centers.

DESCRIPTORS: Ambulatory and Outpatient Health Care, Outreach
and Referral, Cost-Effectiveness Analysis Feature
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0875

PROJECT NO: CHS 72-3

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-110-72-297

COST (In Thousands): FY 72-\$100

PROJECT STATUS: Study in process

START/END DATES: July '72 through June '73

0789

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Contracting for Surveys in the Health Services
Administration

REPORT TITLE NYD:

PERFORMER: Dr. Marie D. Wann; Consultant; Bureau of
Community Health Science; PHS/HSA; Rockville, Md

AGENCY CONTACT: Krueger, Gladys; 301-443-1126

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Program Direction &
Management

ABSTRACT: This handbook was written for the potential use of project officers responsible for considering planning and contracting for surveys.

DESCRIPTORS: Health Planning
EVALUATION TYPE: Support

EDC ID NO: 0876

PROJECT NO: HSA (C) 75-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Purchase Order

ERIC NO: Not yet determined

CONTRACT NO: Not available

COST (In Thousands): FY 75-\$10

PROJECT STATUS: Study completed

START/END DATES: February '75 through September '75

0712

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Development of a Financial Information System
for the Determination of Ambulatory Health Care Costs by
Disease Diagnosis

REPORT TITLE: Not yet determined

PERFORMER: Ernst & Ernst; 150 S. Wacker Dr; Chicago, Ill.
60606

AGENCY CONTACT: Tolbert, G.; 301-443-2226

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-Health Centers

ABSTRACT: The purpose of this project is to develop, document and test a financial information system that will provide full and complete determination of ambulatory facility health care costs by specific disease diagnosis.

DESCRIPTORS: Ambulatory and Outpatient Health Care, Information
Systems, Morbidity and Mortality
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0803

PROJECT NO: HSA (C) 75-4

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 240-76-0004

COST (In Thousands): FY 75-\$60

PROJECT STATUS: Study in process

START/END DATES: July '75 through July '76

0713

AGENCY SPONSOR: Public Health Service
Health Services Administration**PROJECT TITLE:** Development of a Minimum Data Set for
Emergency Medical Services Patient Record Keeping**REPORT TITLE:** Development of a Minimum Data Set for
Emergency Medical Services Patient Record Keeping**PERFORMER:** Macro Systems, Inc.; Twin Towers; 1110 Fidler
Lane; Silver Spring, Md.**AGENCY CONTACT:** Hickman, William**PROGRAM(S) EVALUATED:**
PHS-HSA-Health Services-Emergency Medical Services

ABSTRACT: This project developed a minimum data set for patient recordkeeping Emergency Medical Services (EMS) Systems. As this data set is implemented by EMS grantees throughout the Nation, a major step will be taken toward fulfilling one of the intents of the Emergency Medical Services Systems Act of 1973 (P.L. 93-154): patient recordkeeping which tracks the patient from the time he enters an EMS System until he leaves it, and which is consistent with records of his subsequent care. The data set also will greatly facilitate program evaluation at both local and national levels. However, the primary product of this project is embodied in a separate document, entitled EMS Record-Keeping System Handbook, EDC 1385 and 0827. This report describes how that handbook was developed and contains some of the underlying documentation. (Final report 40 pages.)

DESCRIPTORS: Client Records, Emergency Medical Care, Informa-
tion Systems, Information Processing**EVALUATION TYPE:** Support**EDC ID NO:** 0829**FUND SOURCE:** Evaluation**MECHANISM:** Contract**CONTRACT NO:** HSA-105-74-6**COST (In Thousands):** FY 75-\$51**PROJECT STATUS:** Study completed,
Available from EDC,
Available from NTIS**START/END DATES:** June '74 through July '74**PROJECT NO:** HSA(C) 74-3, Task 7**NTIS NO:** PB 243-822**ERIC NO:** Not available

0714

AGENCY SPONSOR: Public Health Service
Health Services Administration**PROJECT TITLE:** Evaluating a National Community Health
Representative Program**REPORT TITLE:** Development of a Workload Data Reporting
System for Tribal Health Workers**PERFORMER:** Office of Research & Development, Indian Health
Service, Tucson, Arizona**AGENCY CONTACT:** Shea, T. 301-443-4734**PROGRAM(S) EVALUATED:**

PHS-HSA-Indian Health-Services;

PHS-HSA-Indian Health-Services-Program Direction &
Management

ABSTRACT: Tribal health providers and the Office of Training Management Services, Office of Research and Development, Indian Health Service, developed a system for reporting workload data. Participative management concepts and motivational techniques used in the planning, design, training, and implementation phases encouraged and facilitated positive attitudes toward the reporting system in general, and incentives for accurate workload reporting and

health program accountability in particular. For these reasons, is is recommended to managers that, to assure the success of data system implementation users should be included in the planning, design and teaching, and implementation phases. The major emphasis and thrust from design to implementation has been in health provider participation in the development and implementation. The focus is on the contribution of the people who designed the system rather than on the system itself. It is believed that this workload data reporting system will assist in the evaluation of community health representative and tribal health programs. (Final report 20 pages.)

DESCRIPTORS: Native Americans, Management Information and Re-
porting, Information Processing, Health Care Techniques
EVALUATION TYPE: Support**EDC ID NO:** 0818**FUND SOURCE:** Salaries and
Expenses**MECHANISM:** In-house**CONTRACT NO:** Not available**COST (In Thousands):** FY 74-\$40**PROJECT STATUS:** Study completed,
Available from EDC**START/END DATES:** June '74 through June '75**PROJECT NO:** HSA (I) 74-3**NTIS NO:** EDC Only**ERIC NO:** Not available

0715

AGENCY SPONSOR: Public Health Service
Health Services Administration**PROJECT TITLE:** Development of Background Material for
Evaluation Strategy--HMO**REPORT TITLE:** Not yet determined**PERFORMER:** Macro Systems, Inc.; Twin Towers; 1110 Fidler
Lane; Silver Spring, MD**AGENCY CONTACT:** Not yet determined**PROGRAM(S) EVALUATED:**
PHS-OASH-Health Maintenance Organizations**ABSTRACT:** To be written.**DESCRIPTORS:** Research and Evaluation Methods Feature, Health
Maintenance Organizations**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 0821**FUND SOURCE:** Evaluation**MECHANISM:** Contract**CONTRACT NO:** HSA-105-74-6**COST (In Thousands):** FY 74-\$23**PROJECT STATUS:** Study completed**START/END DATES:** February '74 through June '74**PROJECT NO:** HSA (C) 743-Task
2**NTIS NO:** Not yet determined**ERIC NA:**

0716

AGENCY SPONSOR: Public Health Service
Health Services Administration**PROJECT TITLE:** Establishing a Baseline for the Overall Evaluation
of a 314 (e) Project Through a Household Interview Survey**REPORT TITLE:** Not yet determined**PERFORMER:** Community Health Services, Inc.; 9207 Foster
Road, S.E.; Portland, Oregon 97206 N. Silburg 503-777-3624
AGENCY CONTACT: Stewart, John

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Community-Comprehensive
Health Grants to States

ABSTRACT: The objective of this study is to provide baseline data from household interviews to identify health problems toward which health center can allocate resources, increase efficiency of operation and to provide data for long range planning.

DESCRIPTORS: Comprehensive Health Care, Health Planning, Survey or Questionnaire Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0877

PROJECT NO: CHS 72-8

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-110-72-176

COST (In Thousands): FY 72-\$45

PROJECT STATUS: Study in process

START/END DATES: July '72 through September '73

0717

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Evaluating Maternal and Child Health Service
(Emphasis on High Risk Pregnancies)

REPORT TITLE: Not yet determined

PERFORMER: Cherokee Nation Health Committee, P.O. Box 948;
Tahlequah, Oklahoma 74464

AGENCY CONTACT: Indian Health Service; Rm 5A-22, Pkln
Bldg.; 301-443-4724

PROGRAM(S) EVALUATED:

PHS-HSA-Indian Health-Services;
PHS-HSA-Indian Health-Services-Program Direction &
Management;
PHS-HSA-Health Services-Community-Maternal & Child
Health

ABSTRACT: The project will evaluate the complete maternal and child health services in the Tahlequah Service Unit, Oklahoma City Area, IHS. Emphasis will be placed on high risk pregnancies with a goal of working toward solutions for this problem (study may have IHS-wide program impact). Also evaluated will be the health staff capabilities and staffing patterns to determine if the staff is capable of providing adequate services for increased workload.

DESCRIPTORS: Native Americans, Consumer Evaluation Feature, Maternal and Child Health, Administrative Personnel

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1588

PROJECT NO: IHS-78-5

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: Not yet determined

COST (In Thousands): FY 78-\$51

PROJECT STATUS: Study in process

START/END DATES: October '78 through October '79

0718

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Evaluation of Community Health Representatives
Program; Oklahoma City Area

REPORT TITLE: Evaluation of CHR Program FY 75

PERFORMER: Oklahoma City Area Indian Health Service
Advisory Board; 2500 South Broadway; Edmond, Oklahoma
73034

AGENCY CONTACT: Rice, Terry; 405-231-4465

PROGRAM(S) EVALUATED:

PHS-HSA-Indian Health-Services

ABSTRACT: This study took a look at nine different Indian Service Units and twenty of the tribes served by those units. The study is primarily composed of three page summaries of meetings of several dozen groups of tribal leaders, IHS staff, and others serving as evaluation committees. Each committee presented its own report and the study presents a cross-committee set of recommendations. The evaluation study makes eleven recommendations to the IHS, focusing mainly on the need for continued improvements in "communication" between Service Unit Directors, Community Health Representatives, and IHS. The study makes fourteen recommendations to the tribes, focusing on the importance of the "position" of the CHR. It is a final observation of the Evaluation Committee that the majority of the CHR's are a dedicated group of individuals. (Final report 65 pages).

DESCRIPTORS: Native Americans, Ambulatory and Outpatient Health Care, Consumer Evaluation Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1142

PROJECT NO: HSA(I)74-1-IV

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-75-74a-2212

COST (In Thousands): FY 73-\$40, FY 74-\$40

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: June '73 through August '75

0720

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Evaluation of County Public Health Services to
Indians (Portland Area)

REPORT TITLE: Not yet determined

PERFORMER: Indian Tribal Organization; Not yet determined

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-HSA-Indian Health-Services

ABSTRACT: This is one of a series of contracts awarded to different tribal organizations for carrying out evaluation projects on various aspects of the IHS program. (To be completed).

DESCRIPTORS: Native Americans, Ambulatory and Outpatient Health Care

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1136

PROJECT NO: HSA(C)75-3

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: Not yet determined

COST (In Thousands): FY 75-Not available

PROJECT STATUS: Study in process

START/END DATES: July '74 through June '75

0722

AGENCY SPONSOR: Public Health Service
Health Services Administration**PROJECT TITLE:** Evaluation of Environmental Health Projects: A
Practical Guide**REPORT TITLE:** Not yet determined**PERFORMER:** Indian Tribal Organization; Not yet determined**AGENCY CONTACT:** Not yet determined**PROGRAM(S) EVALUATED:**
PHS-HSA-Indian Health-Services**ABSTRACT:** This is one of a series of contracts awarded to tribal organizations for carrying out evaluation projects on various aspects of the IHS program.**DESCRIPTORS:** Native Americans, Environmental Health, Preventive Health Care**EVALUATION TYPE:** Place Project or Technique**EDC ID NO:** 1144**PROJECT NO:** HSA(C)75-3**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** Not yet determined**COST (In Thousands):** FY 75-Not available**PROJECT STATUS:** Study in process**START/END DATES:** July '74 through June '75**AGENCY CONTACT:** Domingo, Priscilla; 602-294-3291**PROGRAM(S) EVALUATED:**

PHS-HSA Indian Health;

PHS-HSA-Indian Health Services-Indian Self Improvement

ABSTRACT: The purposes of this study is to assess the experience of employee career development programs, the need for additional training positions, and the utilization of employees completing work under this program.**DESCRIPTORS:** Native Americans, Career or Vocational Education
EVALUATION TYPE: Research and Data Development**EDC ID NO:** 0820**PROJECT NO:** HSA (I) 74-6**FUND SOURCE:** Salaries and
Expenses (S&E)**NTIS NO:** Not yet determined**MECHANISM:** In-house**ERIC NO:** Not available**CONTRACT NO:** Not available**COST (In Thousands):** FY 74-\$31**PROJECT STATUS:** Study in process**START/END DATES:** September '73 through April '74

0723

AGENCY SPONSOR: Public Health Service
Health Services Administration**PROJECT TITLE:** Evaluation of Health Needs of USET**REPORT TITLE:** Not yet determined**PERFORMER:** Indian Tribal Organization; Not yet determined**AGENCY CONTACT:** Not yet determined**PROGRAM(S) EVALUATED:**
PHS-HSA-Indian Health-Services**ABSTRACT:** This is one of a series of contracts awarded to different tribal organizations for carrying out evaluation projects on various aspects of the IHS program. (To be completed).**DESCRIPTORS:** Native Americans, Health Care Delivery Systems**EVALUATION TYPE:** Place Project or Technique**EDC ID NO:** 1137**PROJECT NO:** HSA(C)75-3**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** Not yet determined**COST (In Thousands):** FY 75-Not available**PROJECT STATUS:** Study in process**START/END DATES:** July '74 through June '75

0725

AGENCY SPONSOR: Public Health Service
Health Services Administration**PROJECT TITLE:** Evaluation of Medical Care Provided to Alaskan
Natives**REPORT TITLE:** Evaluation of Medical Care Provided to Alaskan
Natives**PERFORMER:** Alaska Native Health Board; Health Care
Evaluation Projects; Box 4-1808; Anchorage, Alaska 99509**AGENCY CONTACT:** Not yet determined**PROGRAM(S) EVALUATED:**
PHS-HSA-Indian Health-Services**ABSTRACT:** A specific objective of this study was to identify the strengths and weaknesses of the current health care delivery system which includes the Alaska Area Native Health Service, State of Alaska Division of Public Health, and regional and village health boards. The study assumes that the functional elements of problem solving (information gathering, assessment, and treatment planning) and the clinical elements of problem solving (primary prevention, screening, diagnostic work-up, treatment, and follow-up) are necessary functions of the health care delivery system. The study examines, using the tracer methodology, the actual delivery of care for anemia, hypertension, and streptococcal disease, based on records at two service units of the IHS in Alaska. Natives were involved in designing and approving the study design. The study found that in a population otherwise without regular medical care, a combination of more persons screened and better follow-up could increase dramatically the current rate of cure for such non-acute conditions. It also**DESCRIPTORS:** Native Americans, Consumer Evaluation Feature, Preventive Health Care, Ambulatory and Outpatient Health Care, Client Records**EVALUATION TYPE:** Place Project or Technique**EDC ID NO:** 1132**PROJECT NO:** IHS 76-3-1**FUND SOURCE:** Evaluation**NTIS NO:** EDC Only**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** 243-75-0358**COST (In Thousands):** FY 75-\$133

0724

AGENCY SPONSOR: Public Health Service
Health Services Administration**PROJECT TITLE:** Evaluation of Indian Health Service Upward and
Horizontal Mobility Programs**REPORT TITLE:** Not yet determined**PERFORMER:** Office of Research and Development, U. S. Public
Health Service, Indian Health Service; Tucson, Arizona

PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: June '75 through April '76

0726

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Evaluation of Medical Care Utilization and
Unmet Needs in Federal Correctional Institutions

REPORT TITLE: Not yet determined
PERFORMER: La Jolla Health Systems, Inc.; Bethesda, Maryland
AGENCY CONTACT: Calvin, Daniel A; Rm. 1436 PKLN;
301-443-1126

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Patient Care & Special Health

ABSTRACT: The purpose of this study is to evaluate the degree to which the need for health care in Federal Correctional Institutions is being met and to make recommendations for correcting problems in meeting needs. (FY 79 funding is non-evaluation monies.)

DESCRIPTORS: Health Care Techniques, Needs and Needs Assessment, Preventive Health Care, Facilities

EVALUATION TYPE: Program Strategy

EDC ID NO: 1598 **PROJECT NO:** BMS 78-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 240-78-0045
COST (In Thousands): FY 78-\$56, FY 79-\$60
PROJECT STATUS: Study in process
START/END DATES: October '78 through September '80

0727

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Evaluation of State Dental Health Programs for
Mothers and Children

REPORT TITLE: Not yet determined
PERFORMER: J. Robb Associates; West Loop Bldg., 4848 Guiton;
Houston, Texas 77027; Mr. Owen McCrory, 713-626-4464
AGENCY CONTACT: Turner, Otis; Rm 6A-14 Pkln; 301-443-1760

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-Maternal & Child
Health;
PHS-HRA-Health Resources-Health Manpower-Dental
Health

ABSTRACT: The purpose of this study is to determine the content and methodology of State Dental Health Programs established to deliver health services to mothers and children. The current study was preceded by another which was concerned only with the training background of staff working with handicapped children. A major task of this study is the development of a classification system. The strategy developed was essentially an integration of existing disciplinary and functional approaches. In the broad field of health concerned with an array of human activity and illness, the subject of the evaluation, the specific process, and the evaluative results are diverse.

DESCRIPTORS: Dental Health Care, Technical Assistance, Children and Youth, Maternal and Child Health, Evaluation by State and Local Governments

PROJECT DESCRIPTIONS

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1581 **PROJECT NO:** BCHS 78-1
FUND SOURCE: Research **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 240-78-0002
COST (In Thousands): FY 78-\$165
PROJECT STATUS: Study in process
START/END DATES: November '77 through May '79

0728

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Evaluation of the BCHS-Funded Hemophilia
Diagnosis and Treatm Centers to Determine Objectives and
Goals Set by BCHS: Final Report and Executiv Summary

REPORT TITLE: Evaluation of the BCHS-Funded Hemophilia
Diagnosis and Treatm Centers to Determine Objectives and
Goals Set by BCHS: Final Report and Executiv Summary
PERFORMER: National Institute for Advanced Studies; 2021 K St.,
N.W.; Washington, D.C. 20006

AGENCY CONTACT: Magee, Eamon M.; McPherson, Merle, M.D.
PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-Hemophilia
Treatment Centers

ABSTRACT: This report presents the findings of an analysis of the administrative operations, medical services, and other comprehensive services of the BCHS-funded Hemophilia Diagnosis and Treatment Centers (HDTC) program, based on site visits to nine centers. The primary purpose of this study is to provide the Health Services Administration with a more detailed understanding of the impact of BCHS funding and the effectiveness of HDTCs in delivering comprehensive service to hemophiliacs. The evaluation focuses on the following areas: administrative operations of the centers; the type and scope of comprehensive services provided, including direct patient care, psychological, social, and educational-vocational services; the desirability of home therapy in terms of cost and outcome; patient outcomes and utilization of plasma products under comprehensive care; coordinating procedures between the center and other institutions and organizations; third-party coverage; mechanisms designed to facilitate the effective and efficient delivery of comprehensive services; and the effectiveness of program components.

DESCRIPTORS: Case or Site Study Feature, Morbidity and Mortality, Comprehensive Health Care, Administrative Personnel
EVALUATION TYPE: Program Impact

EDC ID NO: 1596 **PROJECT NO:** BCHS 77-6
FUND SOURCE: Evaluation **NTIS NO:** PB 295-787
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSA 240-77-0168
COST (In Thousands): FY 77-Not available, FY 78-Not available
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: October '77 through October '78

0729

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Effectiveness of the California Rural Indian
Health Board (CRIHB)

REPORT TITLE: Evaluation of the California Rural Indian Health Board: Final Report

PERFORMER: RJ Associate Inc.; 1018 Wilson Blvd.; Arlington, Virginia; 2209; 703-524-3360

AGENCY CONTACT: Austin, Thomas; 503-221-1083

PROGRAM(S) EVALUATED:

PHS-HSA Indian Health-Services;
PHS-HSA-Indian Health-Facilities-Outpatient Care;
PHS-HSA-Indian Health-Services-Program Direction & Management

ABSTRACT: The California Rural Indian Health Board (CRIHB) provides 40,000 rural Indians with dental clinics, medical clinics, and outpatient facilities. Many suggestions are made in this evaluation for further management improvements within the CRIHB central office operation. The office has solved some past problems with the Indian Health Service (IHS), but much more needs to be done in other areas. The central office must provide guidelines, technical assistance, and training of project directors in its 16 local projects. Planning of a medical facility is another responsibility. Unfortunately, the office cannot do all these things and simultaneously implement the comprehensive statewide data reporting systems which it urgently requires. The central office CRIHB must prepare financial statements for all projects. A quarterly performance report is mandatory for compliance with its contract. Monitoring legislation and endeavoring to secure new funds from Federal and State grants is a primary, but neglected, function of the CRIHB office. In the delicate matter of allocation of funds to its projects, it is suggested that the office should utilize outside consulting assistance. (Final report 24 pages)

DESCRIPTORS: Urban or Rural Places or Problems, Native Americans, Health Care Delivery Systems, Research and Evaluation Performance, State or Local Administration, Federal State and Local Relations, Facilities, Management Techniques

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0817

PROJECT NO: HSA (I) 74-2

FUND SOURCE: Evaluation

NTIS NO: EDC ONLY

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM 79-74-251

COST (In Thousands): FY 74-\$35

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: June '74 through October '74

0730

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Evaluation of the Capability of the BCHS Data System (BCRR) to Measure the Efficiency and Effectiveness of Selected BCHS Programs

REPORT TITLE: Not yet determined

PERFORMER: National Institute for Advanced Studies;
Washington, D.C.

AGENCY CONTACT: Calvin, Daniel A.; Rm. 1436 PKLN;
301-443-1126

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Community PHS-HSA-Health Services-Program Direction & Management

ABSTRACT: The overall objective is to evaluate the capability of the Bureau of Community Health Services Common Reporting Requirements data (BCRR) to measure the efficiency and effectiveness of selected programs of the BCHS. Study 1 is to examine the completeness, accuracy, and consistency of the BCRR data in order to (a) provide a basis for establishing data sets for performing studies 2-5;

and (b) provide information for determining whether the data are of sufficiently high quality to support the purposes for which the data are collected. Study 2 is to determine if there are exogenous factors influencing three program indicators now being used to monitor the efficiency and effectiveness of six BCHS programs: Community Health Center, Rural Health Initiative, Health Underserved Rural Areas, Migrant Health, Family Planning, and National Health Service Corps. Study 3 is to develop methods for comparing cost per encounter across grantees within the same program and across programs. Study 4 is to develop and apply methods for measuring changes overtime in productivity and costs for each of the six programs. Study 5 is to determine whether the BCRR serves any useful purpose for the four programs of the Maternal and Child Health Program of Projects: Dental Health, Maternal and Infant, Children and Youth, and Family Planning. The sixth, and final study, is to integrate the results of the first five tasks to provide recommendations concerning the overall objective.

DESCRIPTORS: Information Systems, Research and Evaluation Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1699

PROJECT NO: BCHS 78-6

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSA-240-78-0029

COST (In Thousands): FY 78-\$99

PROJECT STATUS: Study in process

START/END DATES: October '78 through December '79

0731

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Evaluation of the Financial Management Aspects of the NHSC Projects

REPORT TITLE: An Evaluation of the Financial Management Aspects of National Health Service Corps Sites

PERFORMER: Macro Systems, Inc.; 1110 Fidler Lane; Silver Spring, Md 20910

AGENCY CONTACT: Calvin D.

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Community-National Health Service Corp;
PHS-HSA-Health Services-Program Direction & Management

ABSTRACT: This study, initiated at the beginning of Fiscal Year 1974, describes the financial operations and policies of the National Health Service Corps (NHSC) based upon a data analysis of thirty NHSC field stations. Recommendations for improvement of their financial management are subsequently offered. The study found that project budgets do not reflect a well developed plan for the year and are seldom used for management purposes; cash flow at the NHSC sites were not being maximized; sites visited do not manage their accounts receivable effectively. (Final report 61 pages.)

DESCRIPTORS: Statistical Data Feature, Statistical Analysis Methods Feature, Federal Administration, Budgeting, Economic Analysis Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0392

PROJECT NO: NHSC 73-1

FUND SOURCE: Evaluation

NTIS NO: PB-249 855

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM 110-73-535

**Public Health Service
Health Services Administration**

Accession No. 0734

COST (In Thousands): FY 73-\$79
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: April '73 through July '74

0732

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Assessment of Medical Records Function in IHS

REPORT TITLE: Evaluation of the Health Records Function Within
the Indian Health Service (IHS), Vols. I and II

PERFORMER: Transaction Systems, Inc.; 800 Peachtree Street,
NE; Atlanta, Georgia 30308

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-HSA-Indian Health-Services PHS-HSA-Indian
Health-Services-Program Direction & Management

ABSTRACT: A widely tested Health Records Staffing Methodology was adapted to IHS and applied in five Service Units. The results of this application indicated that a large staffing shortage existed, less than that estimated by the Zero-Base formula but greater than a later modified Zero-Base formula. Also, the results indicated that the standards used by Zero-Base formula should be changed, with the inpatient standard being raised from 2.5 to 3.6 staff hours per admission and the outpatient standard being decreased from 0.41 to 0.24 staff-hours per visit. A second pair of objectives included: (1) determining the consequences of inadequate health records staffing, and (2) discussing ways of improving the utilization of health records personnel, to the extent possible within the time and resource constraints of the project. (Volume I, 82 pages, Volume II, Appendix, 198 pages.)

DESCRIPTORS: Administrative Personnel, Client Records, Native Americans, Health Care Delivery Systems, Utilization Review
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0844 **PROJECT NO:** HSA (c) 74-6, Task

FUND SOURCE: Evaluation **NTIS NO:** PB 246-661,662

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HSA-105-74-9

COST (In Thousands): FY 75-\$55

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: February '75 through August '75

0733

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Evaluation of the Implementation of the
Supplemental Security Income Legislative Amendments
Related to Medically Disabled Children

REPORT TITLE: Not yet determined

PERFORMER: Information Sciences; Research Institute; 8720
Georgia Avenue; Silver Spring, MD 20910

AGENCY CONTACT: Roberts, James K.; Rm 7-22 Pkln Bldg.;
301-443-2370

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Community-Maternal & Child
Health;
V-Many HEW Programs-Health;
V-Many HEW Programs-Income Security

ABSTRACT: The purpose of this contract is to evaluate the implementation of the Supplemental Security Income (SSI) legislative amendments pursuant to Section 1615 of the Social Security Act, as amended by P.L. 94-566, as they relate to medically disabled children and the impact of such implementation on the provision of services to severely handicapped children. A determination will be made in a limited number of States of the extent to which State plans are in conformity with the legislative requirements and the applicable regulations and the degree to which SSI recipients under Section 1615 in these same States have received or are receiving services as described in such State plans and have benefited from the provision of such services.

DESCRIPTORS: Child Care, Program Coordination, Handicapping
Conditions and Problems, Health Care Delivery Systems, Survey or
Questionnaire Feature, Maternal and Child Health, Long Term
Health Care

EVALUATION TYPE: Program Impact

EDC ID NO: 1579

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: 240-77-0171

COST (In Thousands): FY 77-\$63, FY 79-\$53

PROJECT STATUS: Study in process

START/END DATES: October '77 through June '79

PROJECT NO: BCHS-77-3

NTIS NO: Not yet determined

ERIC NO: Not available

0734

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Evaluation of the Improved Pregnancy Outcome
Program

REPORT TITLE: Evaluation of the Improved Pregnancy Outcome
Program

PERFORMER: GEOMET, Incorporated; 15 Firstfield Road;
Gaithersburg, MD. 20760

AGENCY CONTACT: Kathryn E. Fox

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-Family Planning

ABSTRACT: The purpose of the study was to evaluate states' activities associated with the Improved Pregnancy Outcome (IPO) program, utilizing an evaluation manual developed by GEOMET under a prior contract. Descriptive and statistical comparisons were made across programs implemented in five states which had received grants during the first year of funding. Comparisons were also made between the areas in which the five programs were operating and five similar areas without IPO resources. The evaluative results indicated that the IPO program met with mixed success during its first year of operation. Several programs experienced improvements in measures of pregnancy outcome and/or activity levels, but two of the programs made no measurable achievements. Despite some political problems, management problems, and hiring difficulties, the programs filled numerous gaps in the perinatal care systems surrounding their operation. Relative to areas without IPO resources, the IPO areas took more advanced steps toward development of a regionalized system of care and generally placed a greater emphasis on identification/care of high-risk patients, tracking of patients, and efforts to assess quality of care and monitor pregnancy outcomes. Recommendations were also provided to assist the future direction of the Federal IPO initiative. (Final report 328 pages.)

DESCRIPTORS: Family Planning, Evaluation by State and Local Governments**EVALUATION TYPE:** Program Impact**EDC ID NO:** 1595**PROJECT NO:** BCHS 77-5**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** 240-77-0166**COST (In Thousands):** FY 77-Not available, FY 78-Not available**PROJECT STATUS:** Study completed,
Available from EDC**START/END DATES:** September '77 through October '78

0735

AGENCY SPONSOR: Public Health Service
Health Services Administration**PROJECT TITLE:** Assessment of the Unmet Resource Needs of the IHS**REPORT TITLE:** Evaluation of the Indian Health Service Unmet Needs Estimating Methodology**PERFORMER:** Transaction Systems, Inc.; 800 Peachtree Street, NE; Atlanta, Georgia 30308**AGENCY CONTACT:** Not yet determined**PROGRAM(S) EVALUATED:**

PHS-HSA-Indian Health Services-Program Direction & Management

ABSTRACT: The purpose of this project is to provide a more refined statement of and methodology for computing Indian Health Service (IHS) resource shortfalls that can be used for establishing justifications for new resource requests and in assisting IHS Management and Tribal leadership in achieving equitable allocation of such resources. At present, projections made by IHS indicate resource shortfalls in various health service categories ranging from 28 percent in direct patient care services to 80 percent in other areas. These shortfalls represent the additional resources needed to equip these health services to meet the levels of utilization required by the eligible Indian populations. The project includes assisting representatives from the IHS and from the Office of Planning, Evaluation and Legislation (OPEL) in the final implementation of a Resource Allocation Model (RAM) as an effective basis for making resource planning and allocation. The project begins with the definition of remaining RAM implementation needs. The second task will be the verification and explication of the inputs, computations, and output of the model and in explaining in a straightforward manner how the 'RAM' works. Next, the estimates produced by RAM will be compared with those of earlier methods. The final task will be the development of implementation support materials to maximize the effectiveness of the RAM.

DESCRIPTORS: Native Americans, Needs and Needs Assessment, Planning, Health Care Delivery Systems**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 0828**PROJECT NO:** HSA(C) 74-6, Task 20**FUND SOURCE:** Evaluation**NTIS NO:** PB 243-038**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HSA-105-74-9**COST (In Thousands):** FY 75-\$29**PROJECT STATUS:** Study completed,
Available from EDC**START/END DATES:** March '75 through December '75

0736

AGENCY SPONSOR: Public Health Service
Health Services Administration**PROJECT TITLE:** An Evaluation of the Present and Potential Indian Health Service Utilization of Third-Party Payments (Project 9)**REPORT TITLE:** Not yet determined**PERFORMER:** Macro Systems, Inc. 1110 Fidler Lane; Silver Spring, MD**AGENCY CONTACT:** Shea, T. 301-443-4734**PROGRAM(S) EVALUATED:**

PHS-HSA-Indian Health

ABSTRACT: The purpose of this study is to determine the feasibility of increased third-party reimbursements to Indian health services such as the California Rural Indian Health Board, and to explore the possibility of alternative methods for funding IHS indirect operations.

DESCRIPTORS: Native Americans, Health Care Delivery Systems, Grants-in-Aid**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 0819**PROJECT NO:** HSA (I) 74-4**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HSA-105-74-6**COST (In Thousands):** FY 74-\$54**PROJECT STATUS:** Study in process**START/END DATES:** July '74 through February '75

0737

AGENCY SPONSOR: Public Health Service
Health Services Administration**PROJECT TITLE:** Evaluation of Tuberculosis Control Program of the Indian Health Service**REPORT TITLE:** Evaluation of Tuberculosis Control Program of the Indian Health Service**PERFORMER:** American Lung Association; 1740 Broadway; New York, New York Lewis B. Clayton, M.D.**AGENCY CONTACT:** Not yet determined**PROGRAM(S) EVALUATED:**PHS-HSA-Indian Health-Services-Preventive Health;
PHS-CDC-Preventive Health-Disease Control;
PHS-HSA-Indian Health-Facilities

ABSTRACT: This report provides an overall view of the Indian Health Service Tuberculosis Control Program based on research done between May and September 1973. Emphasis is put on diagnosis and treatment, preventive therapy, control and surveillance, manpower training and education, and consumer participation. (Final report 34 pages.)

DESCRIPTORS: Native Americans, Health Care Delivery Systems, Communicable Disease, Health Manpower Training, Research and Evaluation Performance, Public Health Services
EVALUATION TYPE: Place Project or Technique**EDC ID NO:** 0355**PROJECT NO:** IHS 73-6**FUND SOURCE:** Evaluation**NTIS NO:** PB-244-731**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HSM 110-73-370**COST (In Thousands):** FY 73-\$25

**Public Health Service
Health Services Administration**

Accession No. 0742

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: May '73 through April '75

0738

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Evaluation Studies on Maternity and Infant Care

REPORT TITLE: Evaluation Studies on Maternity and Infant Care

PERFORMER: University of Maryland; School of Medicine;
Baltimore, Maryland; Matthew Tayback, Sc.D.

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-CDC-Preventive Health-Health Education;
PHS-HSA-Health Services-Community-Maternal & Child
Health

ABSTRACT: This project isolated an operational population and gathered Statistics relating to prenatal activities between trained and normal samples of women. It attempts to examine specific components of prenatal cases and relate practices to outcome of pregnancy on both high-risk and low-risk women. Eleven appendices provide profiles, papers, and data collection forms. (Final report 383 pages.)

DESCRIPTORS: Access to Services, Maternal and Child Health, Consumer Education, Preventive Health Care

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0344

PROJECT NO: MCHS 71-4

FUND SOURCE: Evaluation

NTIS NO: PB 240-403

MECHANISM: Grant

ERIC NO: Not available

GRANT NO: MCR-240036

COST (In Thousands): FY 72-\$184

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: July '72 through September '73

0740

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: The Family Health Service Household Interview
Survey

REPORT TITLE: Not yet determined

PERFORMER: Memorial General Hospital Association, Inc; Elkins,
West Virginia

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Community-Family Planning

ABSTRACT: (To be written)

DESCRIPTORS: Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0874

PROJECT NO: CHS 71-8

FUND SOURCE: Not yet determined

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: CS-E00001

COST (In Thousands): FY 71-\$65

PROJECT STATUS: Study in process

START/END DATES: July '71 through December '72

0741

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Evaluation of Health Committees' Involvement in
Local Indian Health Affairs

REPORT TITLE: A Final Report of an Evaluation of a Select
Health Board and Health Committees Involvement in Local
Health Affairs

PERFORMER: National Indian Health Boards; Fort Benthold
Agency; Newton, N.D. 58763; Douglas L. Sakiestewa

AGENCY CONTACT: Halsey, W.

PROGRAM(S) EVALUATED:

PHS-HSA-Indian Health-Services

ABSTRACT: The objective of this project was to evaluate consumer involvement in the management of Indian Health Services delivery systems. The contract required the NIHB to establish criteria for identification of health board involvement in the decision-making process, and to develop methods and techniques for use by a board to determine current and desired levels of involvement and necessary priorities to achieve those levels. A third objective of the project was to use the findings to develop and implement guidelines for board involvement in program management. The study recommends 1) that IHS encourage its Area Directors and Service Unit Directors to actively plan relevant developmental experiences for their respective health boards and health committees; and 2) that IHS, through cooperative measures of NIHB and local health boards, develop a refined technical assistance capability in order to address the needs of local health boards in areas of training for health issues, board management, evaluation, planning, and financial management. (Final report 68 pages).

DESCRIPTORS: Native Americans, Health Care Delivery Systems, Citizen Participation, Survey or Questionnaire Feature, Consumer Evaluation Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1145

PROJECT NO: HSA(I)74-1-3

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-110-72-246

COST (In Thousands): FY 72-\$98, FY 74-\$59

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: July '72 through December '75

0742

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Fort Peck Laboratory Feasibility Study

REPORT TITLE: Not yet determined

PERFORMER: Fort Peck Tribal Health Board; Fort Peck Indian
Reservation; Box 1027; Poplar, Montana 59255

AGENCY CONTACT: Indian Health Service; Rm 5A-22 PkIn Bldg;
301-443-4724

PROGRAM(S) EVALUATED:

PHS-HSA-Indian Health-Services;
PHS-HSA-Indian Health-Facilities

ABSTRACT: This study is an evaluation of tribal capability to administer the laboratory component of the Fort Peck Service Unit. The purposes of the study are 1) to determine if and at what time Tribal Health can realistically enter into a direct medical service via a contract to provide service, 2) to demonstrate Tribal Health Dept. capabilities in terms of managing and operating a direct patient medical service, 3) to ascertain the current state of medical lab services provided in the Billings Area and Fort Peck Reservation in terms of quality, quantity, cost, and variety, 4) to determine if there is a need for a reference lab in the Billings Area as opposed to the advantages and disadvantages of IHS and Tribal operation.

DESCRIPTORS: Native Americans, Consumer Evaluation Feature, Health Care Delivery Systems, Feasibility Study Feature, Statistical Analysis Methods Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1586

PROJECT NO: IHS-78-3

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSA-244-78-0114

COST (In Thousands): FY 78-\$60

PROJECT STATUS: Study in process

START/END DATES: September '78 through September '79

0743

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Forward Plan for BCHS Family Planning Program

REPORT TITLE: Not yet determined

PERFORMER: Macro Systems, Inc. Twin Towers 1110 Fidler Lane, Silver Spring

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Community-Family Planning

ABSTRACT: This document outlines the section of the Forward Plan dealing with objectives, including Public Education to all persons of reproductive capability; professional education to all health care practitioners and institutions, keeping them informed of adequate and accurate information; counseling and clinical services to stimulate and support development of projects delivering family planning services. The document discusses alternative strategies toward attaining those objectives. It also focuses upon resource requirements and interfaces with other HEW service delivery and third-party payment programs.

DESCRIPTORS: Family Planning, Consumer Education, Health Planning

EVALUATION TYPE: Program Strategy

EDC ID NO: 0824

PROJECT NO: HSA (C) 74-3 Task 5

FUND SOURCE: Evaluation

NTIS NO: PB 243-030

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSA-105-74-6

COST (In Thousands): FY 74-\$4

PROJECT STATUS: Study completed,
Available from NTIS

START/END DATES: April '74 through April '74

0744

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Guidelines for Patient Recordkeeping Systems for Emergency Medical Services

REPORT TITLE: Guidelines for Patient Recordkeeping Systems for Emergency Medical Services, Volume I; Guidelines for Patient Recordkeeping and Model System, Volume

PERFORMER: Macro Systems, Inc.; Twin Towers; 1110 Fidler Lane; Silver Spring, Md. 20910

AGENCY CONTACT: Gemma, Dr. William; 301-443-2460

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Emergency Medical Services

ABSTRACT: This two-volume report is designed to guide managers of Emergency Medical Service Systems programs by providing key information in order that they may make decisions more effectively. It provides the necessary documentation for submission of EMS-recordkeeping forms to OMB for clearance and presents a conceptual design of a patient recordkeeping system. (See also EDC ID numbers 0829 and 1385.) Appendices are included: Suggested EMS Patient Recordkeeping Reports, Glossary of Terms; and Site Visit Reports. (Final report 179 pages.)

DESCRIPTORS: Information Systems, Emergency Medical Care, Client Records

EVALUATION TYPE: Support

EDC ID NO: 0827

PROJECT NO: HSA (c) 74-3, Task 12/17

FUND SOURCE: Evaluation

NTIS NO: PB 243-781,782

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSA-105-74-6

COST (In Thousands): FY 75-\$54

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: Unknown start date through September '74

0745

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: To Develop a Handbook for Patient Recordkeeping Systems for Emergency Medical Services

REPORT TITLE: Handbook for Patient Recordkeeping Systems for EMS

PERFORMER: Arthur Young and Company; 1025 Connecticut Ave.; Washington, DC 20036; Salisbury Adams

AGENCY CONTACT: Dobson, Al; Rm 14-43 Parklawn; 301-443-1126

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Emergency Medical Services

ABSTRACT: The Handbook for Patient Recordkeeping for Emergency Medical Services Systems has been developed as a guide to HEW/EMS grantees across the country in their development, implementation, and modification of record keeping systems designed to monitor ongoing program activities. The Handbook is divided into four major areas: Introduction to Standardized Patient Record Keeping, List of Minimum Data, Utilization of the List of Minimum Data, and Examples of Currently Used Data Collection Forms. The introduction provides an overview of the design, utilization, and rationale of a statistically rigorous record keeping system. The chapter on List of Minimum Data outlines four categories of data: General, Communi-

cations, Transportation/Intervention, and Emergency Facility Data. Section III concerns the utilization of such data for management information, process evaluation, and clinical impact studies. The final chapter presents several data collection forms and briefly discusses the strengths and weaknesses of each. A data system based on it can be used internally as well as by outside evaluators and researchers. It also accounts for differences in management systems, program designs and different stages of program sophistication and development. See also EDC 1385. The report is being revised internally prior to issuance. (Final report 52 pages).

DESCRIPTORS: Emergency Medical Care, Evaluation by State and Local Governments, Information Systems, Management Information and Reporting, Technical Assistance
EVALUATION TYPE: Support

EDC ID NO: 0594 **PROJECT NO:** BMS 76-3
FUND SOURCE: Evaluation **NTIS NO:** PB 262-775
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 240-75-0066/2
COST (In Thousands): FY 76-\$4
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: July '76 through May '77

0746

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Home Health Care Services Evaluation Project

REPORT TITLE: Not yet determined
PERFORMER: Santa Fe Indian Health Board; Field Health
Building; Santa Fe, New Mexico 87501
AGENCY CONTACT: Indian Health Service; Rm 5A-22, Pkin
Bldg.; 301-443-4724
PROGRAM(S) EVALUATED:
PHS-HSA-IHS-Indian Health-Services

ABSTRACT: The stated goal and objectives of this project specify the necessary tasks and activities to conduct an evaluation of home health care activities among various IHS and tribal programs within the Santa Fe Service Unit Area. The approach is research-oriented and the intent is to obtain a data-base so that comprehensive planning can be initiated to effect a more humanistic administration of services for the improved health status of American Indians.

DESCRIPTORS: Native Americans, Consumer Evaluation Feature, Home Health Care
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1585 **PROJECT NO:** IHS-78-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: Not yet determined
COST (In Thousands): FY 78-\$60
PROJECT STATUS: Study in process
START/END DATES: October '78 through September '79

0747

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Pretest Summary Report for the Identification of High Frequency User Characteristics of Community Health Centers

REPORT TITLE: Identification of High Frequency User Characteristics of Community Health Centers; Procedures for Analysis of High Frequency Users
PERFORMER: Koba Associates, Inc.; 1133 15th Street, NW; Suite 508; Washington, DC 20005
AGENCY CONTACT: Nottingham, Stuart; 301-443-1900
PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-Health Centers;
PHS-ADAMHA-Mental Health-Community Programs & Centers

ABSTRACT: A simple procedure which community health centers can follow to investigate high frequency use by their patient population is documented. Through this procedure, center staff can assess the care requirements of high frequency users and develop strategies to meet their needs while containing utilization costs. Data necessary for the analysis incorporates information already available to the center personnel for HEW reports and information from registration and encounter data files. The information to be reviewed includes: number of encounters for each user, demographic characteristics for each user, medical conditions being treated, services received, provider delivering services, and cost of services. The objectives of this analysis are to determine characteristics of high frequency users; to determine conditions precipitating high frequency use; to determine staff workload relating to high frequency use; and to identify high frequency users whose needs can be met through alternate methods of delivery at lower cost and acceptable standards. The procedure is outlined in a step-by-step format, as are data analysis and application of the analysis results. (Final report 2 volumes and 133 pages).

DESCRIPTORS: Ambulatory and Outpatient Health Care, Health Care Delivery Systems, Information Processing, Management Techniques, Operations Research Feature, Statistical Analysis Methods Feature, Client Records, Technical Assistance, Utilization Review
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0592 **PROJECT NO:** HSA (C) 75-2(2)
FUND SOURCE: Evaluation **NTIS NO:** PB 252-598-599
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 240-75-0002
COST (In Thousands): FY 75-\$53, FY 76-Not available
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: June '75 through February '76

0748

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: IHS Health Care Delivery: Four State Aberdeen Area

REPORT TITLE: IHS Health Care Delivery: Four State Aberdeen Area
PERFORMER: Four State Indian Health Board
AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-HSA-Indian Health-Services

ABSTRACT: The major emphasis of this project was to assess the existing contract care system with the underlying general purpose of determining if this system could be made more effective. The study was able to use existing data on services received and general information to determine "probable need" parameters without undertaking a special survey. It finds that the probable number of cases of many major diseases far exceeds the current number of cases served. In general, the objectives of this project were to describe the current health care delivery situation for Indian people in the Aberdeen Area, to suggest possible alternatives for improving secondary and tertiary health care delivery and to detail the additional information and analysis required to arrive at a choice among the alternatives. The alternatives discussed range from simply increasing yearly funding under the present contract care system, to establishing a major IHS operated medical center in the Aberdeen Area. The report also outlines the additional cost and other comparisons which must be made in order to choose among these alternatives. (Final report 43 pages).

DESCRIPTORS: Native Americans, Health Care Delivery Systems, Ambulatory and Outpatient Health Care, Vouchers, Needs and Needs Assessment

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1143 **PROJECT NO:** HSA(C)75-3
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: Not yet determined
COST (In Thousands): FY 75-Not available
PROJECT STATUS: Study completed,
 Available from EDC
START/END DATES: February '75 through February '76

0749

AGENCY SPONSOR: Public Health Service
 Health Services Administration

PROJECT TITLE: Tribal Evaluation Organizations: Evaluation of Fort Belknap Indian Reservation

REPORT TITLE: Impact Study of PL 86-121 Sanitation Projects on the Fort Belknap Indian Reservation

PERFORMER: Oklahoma City Area IHS Advisory Board;
 American General Bldg; 621 North Roginson; Oklahoma City, Oklahoma 73102

AGENCY CONTACT: Stapleton, W; Indian Health Service;
 406-245-6426

PROGRAM(S) EVALUATED:
 PHS-HSA-Indian Health-Facilities-Sanitation

ABSTRACT: This report studies the impact of Public Law 86-121 Sanitation Projects on the Fort Belknap Indian Reservation. Evaluation was based on data collected and compared from four of the nine tribal communities at Fort Belknap. Concurrent with a household sanitation facility survey, health records of the members of each home in the study were reviewed to determine health status prior to and after the installation of sanitation facilities. The sanitation study focused on water, waste, and water waste disposal systems. The study also examines and reports on the extent of the tribal community involvement in the P.L. 86-121 program, and on their acceptance and utilization of the program. The study found that few of the new wells, sinks, septic tanks, etc. were operational, and that this was due in large part to the failure of IHS staff to follow IHS installation guidelines. The attempt to measure health effects was vitiated because many families did not use the new water due to odor and chemical content. A number of managerial, construction standards, and O&M recommendations were made (Final report 56 pages.)

DESCRIPTORS: Native Americans, Environmental Health, Survey or Questionnaire Feature, Federal Administration, Facilities
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0420 **PROJECT NO:** IHS 73-2(d)
FUND SOURCE: Evaluation **NTIS NO:** PB 247-328
MECHANISM: **ERIC NO:** Not available
CONTRACT NO: HSM-85-73-116
COST (In Thousands): FY 73-\$36
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS
START/END DATES: June '73 through June '74

0750

AGENCY SPONSOR: Public Health Service
 Health Services Administration

PROJECT TITLE: Evaluation of Service Networks and Utilization Patterns of IHS Mental Health Programs

REPORT TITLE: Indian Consumer Evaluation of Mental Health Services

PERFORMER: Association of American Indian Social Worker;
 1018 North View Lane; Aberdeen, South Dakota 57401

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
 PHS-HSA-Indian Health;
 PHS-ADAMHA-Mental Health-Community Programs & Centers

ABSTRACT: This report attempts to gain impressions of the mental health program for American Indians from patients and advisory board members in four participating cities. The suitability of treatment, personal and tribal involvements, and community-based treatment and planning features are emphasized. The report found that though the program was accomplishing some of its goals, the fact that tribal leaders saw it as a threat to tribal autonomy and the fact that program staff had not been able to integrate their services with other available community resources, considerably weakened the chances for program success. (Final report 34 pages)

DESCRIPTORS: Survey or Questionnaire Feature, Native Americans, Health Care Delivery Systems, Mental Health
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0354 **PROJECT NO:** IHS 73-3
FUND SOURCE: Evaluation **NTIS NO:** PB 244-742
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSM-110-73-342
COST (In Thousands): FY 73-\$25
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS
START/END DATES: April '73 through April '74

0751

AGENCY SPONSOR: Public Health Service
 Health Services Administration

PROJECT TITLE: Information System for U.S. Coast Guard Medical Support

REPORT TITLE: Information Systems for U.S. Coast Guard Medical Support

PERFORMER: Geomet, Inc.; 15 Firstfield Road; Gaithersburg, Md.

AGENCY CONTACT: Enger, Isadore;

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services;

PHS-HSA-Health Services-Program Direction & Management

ABSTRACT: A management information system was developed and tested for collecting and presenting information on the amount and type of services provided by the U.S. Coast Guard Medical Program. The system consists of a visit form to be completed for each visit, a set of instructions for completing the form, and a series of thirteen tables containing information on the number and type of visits, nature of services provided, characteristics of the patients, and training level of the providers. The system was tested at clinics in Washington, D.C., Miami, FL and Cape May, NJ. Based on the tests, improvements were made to the form and to the tables. The final form and instructions are presented in the report along with the thirteen tables from each of the three sites. Examples of additional uses of the data are given; it was also recommended that the use of sampling techniques be investigated. (Final report 155 pages.)

DESCRIPTORS: Ambulatory and Outpatient Health Care, Information Systems, Case or Site Study Feature, Research and Evaluation Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0837 **PROJECT NO:** HSA (C) 74-4, Task 6

FUND SOURCE: Evaluation **NTIS NO:** PB 252-577

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HSA-105-74-7

COST (In Thousands): FY 74-\$40

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: June '74 through April '76

0752

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Manpower Management Program for Health Services Administration

REPORT TITLE: Initial Manpower Management Program

PERFORMER: Macro Systems, Inc.; 1110 Fidler Lane, Silver Spring, Md.

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Program Direction & Management

ABSTRACT: This document presents the 1974 Manpower Zero Base Analysis of the Health Services Administration. The document consists of four volumes covering the Bureau of Quality Assurance, Medical Services and Community Health Services, the Indian Health Service, as well as the HSA Office of the Administrator. As part of the overall Manpower Management Program of HSA, approximately 88 percent of the positions were analyzed through work measurement techniques or manpower survey methodologies. Staffing levels, budgets and organizational efforts were analyzed. Staffing shortages and overages were identified within HSA. Staffing standards, workload definitions and summary tables are presented for each Bureau and for the entire HSA. Bibliographies and sources are presented in Volumes III and IV for the direct health operations. Conclusions for meeting staffing problems are presented. (Final report 349 pages, Executive Summary 25 pages.)

PROJECT DESCRIPTIONS

DESCRIPTORS: Federal Administration, Grant and Contract Management, Productivity

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0832 **PROJECT NO:** HSA(C) 74-3, Task 11

FUND SOURCE: Evaluation **NTIS NO:** PB 243-734,735

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HSA-105-74-6

COST (In Thousands): FY 75-\$30

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: July '74 through February '75

0754

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: PSRO Review of Prescription Drugs

REPORT TITLE: Issue Paper: PSRO Review of Prescription Drugs

PERFORMER: Health Application System; 1633 Bayshore Highway; Burlingame, California 94010

AGENCY CONTACT: Knoben, James

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services PHS-HSA-Health Services-Program Direction & Management

ABSTRACT: Part I of this report describes the problems and issues associated with the review of prescription drugs in inpatient facilities and the attempts that have been made toward overcoming the problems and doing something about the inappropriate use of drugs. Part II consists of the basic information used to form the framework upon which effective drug utilization review programs within the structures of PSRO's can be built and implemented. This effort involved the development of conceptual needs for drug usage review, incorporating differing but currently practical methodologies. Part II also suggests reasonable approaches to the implementation and evaluation of the candidate models including what could be expected in their cost-effectiveness if successfully installed and operated. Part III, 371 pages is the Appendix, Parts I & II 71 pages each.)

DESCRIPTORS: Drug Abuse, Hospitals, Health Care Delivery Systems, Quality Assurance, Utilization Review

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0842 **PROJECT NO:** HSA (c) 74-7

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HSA-105-74-10

COST (In Thousands): FY 75-\$4

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: January '75 through July '75

0755

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Issue Paper on Impact of Evaluation Studies on Policy

REPORT TITLE: Issue Paper on Impact of Evaluation Studies on Policy

PERFORMER: Marcro Systems, Inc. Silver Spring Md.
AGENCY CONTACT: Not yet determined
PROGRAM(S) EVALUATED:
 PHS-HSA-Health Services-Program Direction &
 Management

ABSTRACT: This study related relevant evaluation research to policy making and illustrates through an analysis of two studies the factors which result in high impact of this research on policy making. The analysis describes nine factors which play a role in linking relevant evaluation research with policy making.

DESCRIPTORS: Research and Evaluation Performance, Statistical Analysis Methods Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0822 **PROJECT NO:** HSA(c) 74-3 Task 3
FUND SOURCE: Evaluation **NTIS NO:** PB 243-032
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSA-105-74-6
COST (In Thousands): FY 74-\$2
PROJECT STATUS: Study completed,
 Available from NTIS
START/END DATES: February '74 through April '74

0756

AGENCY SPONSOR: Public Health Service
 Health Services Administration

PROJECT TITLE: Levels of Immunization of Children and Adolescents Receiving Services from the Bureau of Community Health Services (BCHS) Funded Clinics

REPORT TITLE: Not yet determined
PERFORMER: Gary T. Ford, Ph.D.; 309 Highview Ave.; Silver Spring, MD 20902; 301-454-5732
AGENCY CONTACT: Kerle, Rosemary; 14-43 Pkln Bldg.; 301-443-1900
PROGRAM(S) EVALUATED:
 PHS-HSA-Health Services-Community-Health Centers

ABSTRACT: The purpose of this contract is to perform a feasibility study to identify methods to increase the levels of immunization of children and adolescents receiving services from BCHS funded clinics. A recent survey of medical records indicates that children receiving services from BCHS funded clinics have immunizations levels far below the goal of 90% established by the Secretary, HEW. Among the actions being taken to encourage immunization of children and adolescents is a campaign to utilize various types of outreach, including posters, speeches, telephone solicitations, and visits. The purpose here is to assess this campaign and develop methods for improving it; in particular, to bring to the campaign techniques from marketing which have proven successful in other areas. The results of this exploratory study will include: a review of the marketing and social science literature relevant to strategies for increasing immunization levels, development of a research protocol for a controlled experiment, a demonstration of the most promising technique using a rural BCHS clinic, and a final report of the findings with particular emphasis on the demonstration/experiment and the problems encountered.

DESCRIPTORS: Case or Site Study Feature, Children and Youth, Communicable Disease, Consumer Education, Literature Review Feature, Maternal and Child Health, Preventive Health Care
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1695 **PROJECT NO:** BCHS 78-4
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Purchase Order **ERIC NO:** Not yet determined

PURCHASE ORDER NO: HSA 78-104(P) 3
COST (In Thousands): FY 78-\$10
PROJECT STATUS: Study in process
START/END DATES: September '78 through November '79

0758

AGENCY SPONSOR: Public Health Service
 Health Services Administration

PROJECT TITLE: Development and Implementation of the Internal Medical Audit-Quality of Medical Care

REPORT TITLE: Manual for Internal Quality Review in Comprehensive Health Service Programs
PERFORMER: Geomet, Inc.; 30 Monroe Street; Rockville, Maryland 20850 John Ward
AGENCY CONTACT: Richard Davey
PROGRAM(S) EVALUATED:
 PHS-HSA-Health Services-Community-Health Centers

ABSTRACT: This study presents a manual to assist health centers in implementing patient care evaluation, with an emphasis on creation and organization of simple, beginning activities. It presents model formats and standards and background information as well. In a separate volume the study presents a limited investigation into the current status of existing quality review systems in seven centers, out of 21 located with some sort of system. The evaluation includes audit forms for baseline and progress data on many dimensions of care. The study found that few HEW-funded centers had effectively operating quality assurance systems. (Final Report 464 Pages.)

DESCRIPTORS: Ambulatory and Outpatient Health Care, Client Records, Quality Assurance, Technical Assistance
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0351 **PROJECT NO:** CHS 72-5
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSM-110-72-226
COST (In Thousands): FY 72 - \$123
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC
START/END DATES: July '72 through May '74

0760

AGENCY SPONSOR: Public Health Service
 Health Services Administration

PROJECT TITLE: Menominee Tribe Health Care Program Planning

REPORT TITLE: Menominee Tribal Health Care Program Planning
PERFORMER: Transaction Systems, Inc.; 800 Peachtree Street, NE; Atlanta, Georgia 30308
AGENCY CONTACT: Not yet determined
PROGRAM(S) EVALUATED:
 PHS-HSA-Indian Health Services

ABSTRACT: The purpose of the project was to design a health care program and implementation plan for use by the Menominee Indians in Wisconsin. Central to the project was an assessment of Tribal health care needs and the evaluation of available services and facilities. Data on health care needs and available services both from onsite investigations and interviews as well as secondary sources were compiled. Health service needs were compared with available effective services to determine the nature and magnitude of unmet needs and

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the corresponding requirements for services. Specific recommendations are made, including what health services are needed, how they could be financed and organized, when they could be instituted, and how responsibility for administering the services could be allocated.

DESCRIPTORS: Health Planning, Native Americans, Needs and Needs Assessment

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0843

PROJECT NO: HSA (C) 74-6, Task 12

FUND SOURCE: Evaluation

NTIS NO: PB 243-707

MECHANISM: Contract

ERIC NO:

CONTRACT NO: HSA 105-74-9

COST (In Thousands): FY 75-\$48

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: November '74 through December '75

0761

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Methodology Development and Implementation
to Analyze the Impact of Third Party Reimbursement on
Developing Primary Care Centers in Rural Areas

REPORT TITLE: Not yet determined

PERFORMER: JRB Associates, Inc.; 8400 West Park Drive;
McLean, VA 22102

AGENCY CONTACT: Dollar, Melvin L.; Rm 7A55, Pkln Building;
301-443-2220

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services;
PHS-HSA-Health Services-Project Care & Special Health

ABSTRACT: The evaluation of demonstration health care projects providing health services to medically underserved rural areas is being conducted to determine the most effective and most feasible modes of health service delivery in varying demographic situations existing in rural areas. The results will be used to provide the basis for planning health care programs to meet the needs in various types of rural communities. The evaluation is being developed through site visits, by observations of project operations made by the contractor's staff who are experienced in the field of health service delivery. These site visits are limited to the selected group of projects referred to above. Statistical data regarding the operation of all projects is being derived from the BCRP (Bureau Common Reporting Requirement).

DESCRIPTORS: Access to Services, Demonstration Projects, Citizen Participation, Ambulatory and Outpatient Health Care, Low-Income Population, Benefit-Cost Analysis Feature, Urban or Rural Places or Problems

EVALUATION TYPE: Program Strategy

EDC ID NO: 1576

PROJECT NO: BCHS 76-6

FUND SOURCE: Research

NTIS NO: Not available

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 240-76-0100

COST (In Thousands): FY 77-\$200, FY 78-\$200, FY 79-\$200

PROJECT STATUS: Study in process

START/END DATES: September '76 through July '79

0762

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Methodology for Evaluating Accessibility
Comprehensiveness and Continuity of Care in Ambulatory
Care Projects

REPORT TITLE: Not yet determined

PERFORMER: Messer Associates, Inc.; 8355 16th Street; Silver
Spring, Md. 20910

AGENCY CONTACT: Dobson, A.; 301-443-1900

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Community-Health Centers

ABSTRACT: The purpose of this study is to develop a standardized approach for comparing ambulatory care centers supported by HSA with other health services providers with respect to accessibility of service, comprehensiveness of service, and continuity of service.

DESCRIPTORS: Health Care Delivery Systems, Research and Evaluation Methods Feature, Management Information and Reporting, Access to Services

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0814

PROJECT NO: HSA (C) 74 1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSA-105-74-187

COST (In Thousands): FY 74-\$130

PROJECT STATUS: Study in process

START/END DATES: June '74 through February '75

0763

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Development of a Clientele Perceptual Evaluation
and Final Survey for 314(e) Project

REPORT TITLE: Not available

PERFORMER: Technical Assistance Research Program Institute;
54-A G Street, S.W.; Washington, D.C.; Dr. J. Falkson

AGENCY CONTACT: Stewart, J. 301-443-1022

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Community-Comprehensive
Health Grants to States

ABSTRACT: The purpose of this project is to test and revise materials developed under contract HSM-110-72-179 (which developed survey instruments to ascertain 314(e) client population attitudes toward health care especially relating to barriers of health care in client attitudes.) to determine costs involved in fielding the survey and to determine minimum sample size required for such survey.

DESCRIPTORS: Survey or Questionnaire Feature, Economic Analysis Feature, Research and Evaluation Methods Feature, Comprehensive Health Care

EVALUATION TYPE: Support

EDC ID NO: 0879

PROJECT NO: CHS 73-2

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-110 73-434

COST (In Thousands): FY 73-\$70

PROJECT STATUS: Study completed
START/END DATES: June '73 through June '74

0764

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Evaluate Impact and Effectiveness of SIDS
Information and Counseling Projects

REPORT TITLE: A National Study of the Impact and Effectiveness
of SIDS Information and Counseling Projects

PERFORMER: Lawrence Johnson and Associates; 4545 42nd
Street, N.W.; Washington, D.C. 20009

AGENCY CONTACT: Funke, Arthur, Ph.D.; Rm 7-36, Pkin;
301-443-6600

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Maternal & Child Health

ABSTRACT: This is a two-phase project to evaluate the Sudden Infant Death Syndrome (SIDS) Program authorized under PL 93-270, as amended. The evaluation will assess the impact of the national program and local SIDS projects on: 1) parents who received services; 2) on institutions which prepare health, emergency and other personal service providers; and 3) on key personnel involved in a SIDS death—coroners, police, emergency room personnel, and local project staff. Phase I involved the development and testing of instruments. Phase II will involve data collection analysis and interpretation.

DESCRIPTORS: Privacy and Confidentiality, Maternal and Child Health, Morbidity and Mortality, Outreach and Referral, Survey or Questionnaire Feature, Information Processing, Research and Evaluation Performance

EVALUATION TYPE: Program Impact

EDC ID NO: 1577 **PROJECT NO:** BCHS 77-1

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 240-77-0136

COST (In Thousands): FY 77-\$86

PROJECT STATUS: Study in process

START/END DATES: September '77 through September '79

0765

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: An Operational Test of the "Staging"
Methodology for Measuring the Quality of Ambulatory Care

REPORT TITLE: An Operational Test of the "Staging"
Methodology for Measuring the Quality of Ambulatory Care

PERFORMER: Systemetrics, Inc.; 3710 State Street; Santa Barbara,
Ca. 93105

AGENCY CONTACT:
: Willging, Paul; 202-245-0445

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-Health Centers

ABSTRACT: This study represents an application of the hospitalization "staging" methodology to quality assessment in a Neighborhood Health Center (NHC). The staging methodology uses the hospital as the capture point for patients processed through the ambulatory care program. The stage of the disease (as indicated by the array of complications or severity) at time of hospitalization for certain diseases

reflects on the adequacy of antecedent care. Staging criteria for 40 conditions have been developed (representing about 35 percent of all admissions for NHC patients), although only 21 were used to infer "quality" during the present study. Although needs for further refinement of staging criteria were found, the staging method for outcome assessment was judged feasible for continuous monitoring of quality. (Final report 65 pages)

DESCRIPTORS: Hospitals, Quality Assurance, Ambulatory and Out-patient Health Care, Research and Evaluation Methods Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0329 **PROJECT NO:** HSA(C) 74-6 Task

FUND SOURCE: Evaluation **NTIS NO:** PB 252-581

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HSA 105-74-9

COST (In Thousands): FY 75-\$55

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: January '75 through December '75

0766

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Otitis Media Program Evaluation

REPORT TITLE: Not yet determined

PERFORMER: University of Utah; Salt Lake City, Utah 84112

AGENCY CONTACT: Stewart, J. 301-443-4243

PROGRAM(S) EVALUATED:
PHS-HSA-Indian Health-Service

ABSTRACT: The purpose of this study is to assess the impact of the Otitis Media Program on the prevention of disease; to assess the reliability of certain techniques for predicting surgical success and to assess the effectiveness of auditory rehabilitation programs in the Indian population.

DESCRIPTORS: Native Americans, Morbidity and Mortality, Handicapping Conditions and Problems, Media
EVALUATION TYPE: Program Impact

EDC ID NO: 0867 **PROJECT NO:** IHS 73-5

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HSM-110-73-377

COST (In Thousands): FY 73-\$57

PROJECT STATUS: Study in process

START/END DATES: June '73 through April '74

0767

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Overall Effectiveness of IHS Health Delivery
System

REPORT TITLE: Not yet determined

PERFORMER: National Tribal Chairman Association

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-HSA-Indian Health-Services

ABSTRACT: This is one of a series of contracts awarded to different tribal organizations for carrying out evaluation projects on various aspects of the IHS program.

DESCRIPTORS: Native Americans, Health Care Delivery Systems
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1139 **PROJECT NO:** HSA(C)75-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: Not yet determined
COST (In Thousands): FY 75-Not available
PROJECT STATUS: Study in process
START/END DATES: July '74 through June '75

0768

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Overview of Staffing and System for PHS
Hospitals and U.S. Indian Health Service Hospitals

REPORT TITLE: Overview of Staffing and System for PHS
Hospitals and U.S. Indian Health Service Hospitals
PERFORMER: Transactions Systems, Inc.; 800 Peachtree Street,
NE; Atlanta, Georgia 30308

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community;
PHS-HSA-Indian Health-Services-Program Direction &
Management;
PHS-HSA Indian Health-Facilities-Outpatient Care

ABSTRACT: This report provides reliable estimates of staffing requirements at PHS hospital (Staten Island, N.Y.) and IHS hospital (San Carlos, Ariz). Methodology for nurses: (a) on-site data collection of patient workload for acuity of patients diagnosis mix related to percentage and number of patients in self-care, intermediate and complete care for levels of nursing skill (RN, LPN, NA) for each nursing unit related to: (1) average census data; (2) data for weekdays vs weekends and day, evening and night shifts; (b) data comparisons made on a budget, actual and recommended by unit basis; (c) historical workloads analyzed for trends to verify and/or modify (a) above. Conclusions: (a) more resources needed for outpatient services and slightly less for inpatient services; (b) more RNs needed relative to LPNs; (c) review wages for nurses. Recommendations: (a) in short run develop a Nursing Audit Plan; (b) in long run medical staff should set criteria and document care given patients to meet PSROs, HCAH and third-party payors standards in preparation for future program participation; (c) recommended better uses of nursing personnel; (d) reduce slightly plant engineering personnel. (Final report 268 pages.)

DESCRIPTORS: Ambulatory and Outpatient Health Care, Hospitals, Planning, Nursing Manpower, Native Americans, Utilization Review, Administrative Personnel
EVALUATION TYPE: Program Strategy

EDC ID NO: 0841 **PROJECT NO:** HSA (c) 74-6, Task 4
FUND SOURCE: Evaluation **NTIS NO:** PB 248-736
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSA-105-74-9
COST (In Thousands): FY 74-\$46
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: January '74 through May '74

0769

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Patient Data Analysis

REPORT TITLE: Not yet determined

PERFORMER: URC, Inc; 5530 Wisconsin Ave, N.W.; Washington,
D.C. 20015

AGENCY CONTACT: Naing, K. 301-443-2430

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-Family Planning

ABSTRACT: The purpose of this study is to analyze data on family planning patients relative to: characteristics of patients, contraceptive data, types of categories of services provided per patient, cost per patient served, quality of services, etc., and to validate the data for reliability.

DESCRIPTORS: Ambulatory and Outpatient Health Care, Statistical Analysis Methods Feature, Family Planning
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0804 **PROJECT NO:** HSA (C) 75-6
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 240-76-0009
COST (In Thousands): FY 75-\$50
PROJECT STATUS: Study in process
START/END DATES: July '75 through April '76

0770

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Manpower Analysis for PHS Hospitals

REPORT TITLE: PHS Hospitals Manpower Analysis
PERFORMER: Abt Associates, Inc.; 55 Wheeler Street; Cambridge,
Mass. Jerry Cromwell and Diane Hamilton

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services PHS-HSA-Health
Services-Program Direction & Management

ABSTRACT: A 1973 manpower analysis of the eight Public Health Service hospitals show that six hospitals needed increases in staff, ranging from an increase of 12 positions in the Galveston hospital to an increase of 81 positions in the Boston hospital. Two hospitals, however, show December staffing levels above the January levels; San Francisco, with 18 more positions and Staten Island, with 37 more positions. Thus the net total staff necessary to reach the January levels is 180 positions. The average occupancy rate for all hospitals fell from 69 percent in January 1970 to 56 percent in December 1973 due to the falling average daily patient load (ADPL) and number of operating beds. ADPL dropped from 1704 to 1182, a decrease of 30 percent, while personnel dropped from 4,508 to 3,959, a decrease of only 12 percent. Since ADPL has been declining more rapidly than the number of personnel, fewer and fewer patients are being cared for per staff member, indicating a decrease in staff productivity. (Final report 27 pages.)

DESCRIPTORS: Hospitals, Administrative Personnel, Management Techniques, Utilization Review
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0845 **PROJECT NO:** HSA (c) 75-5, Task 1
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSA-105-74-8
COST (In Thousands): FY 74-\$4
PROJECT STATUS: Study completed,
 Available from EDC
START/END DATES: January '74 through March '74

0771

AGENCY SPONSOR: Public Health Service
 Health Services Administration
PROJECT TITLE: Feasibility of Launching a Program to Collect Third-Party Reimbursement by the Indian Health Service (IHS)
REPORT TITLE: Potential Medicare and Medicaid Reviews for the Indian Health Service
PERFORMER: Macro Systems, Inc.; Twin Towers; 1110 Fidler Lane; Silver Spring, Md.
AGENCY CONTACT: Not yet determined
PROGRAM(S) EVALUATED:
 PHS-HSA-Indian Health HCFA-Medicare HCFA-Medicaid

ABSTRACT: The objectives of the study are to determine: Collections IHS might make, were the Indian Health Care Improvement Act to be enacted; Costs IHS would incur to capture collections; Net revenues that IHS would realize; and issues that could be raised by a decision to implement a collection program. The approach, which included an initial central office analysis and subsequent extensive field work analysis, built up calculations for 73 service units based on five factors: provider status, patient eligibility, service coverage, reimbursement rate, and billing efficiency. The results indicated potential net revenues ranging from \$6 to 26 million dollars over a five year period depending upon alternative implementation strategies.

DESCRIPTORS: User Charges, Native Americans, Health Care Delivery Systems

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0826 **PROJECT NO:** HSA (C) 74-3, Task 9
FUND SOURCE: Evaluation **NTIS NO:** PB 243-070
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSA-105-74-6
COST (In Thousands): FY 74-\$44
PROJECT STATUS: Study completed,
 Executive summary at EDC,
 Available from NTIS
START/END DATES: 7406 through April '75

0772

AGENCY SPONSOR: Public Health Service
 Health Services Administration
PROJECT TITLE: Preliminary Evaluation of Two Priority Components of Title V State Programs
REPORT TITLE: Not yet determined
PERFORMER: Ebon Systems, Inc.; Washington, D.C.
AGENCY CONTACT: Greer, Joanne G., Ph.D.; Rm 14-36 Pkln Bldg.; 301-443-1126

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Community-Maternal & Child Health

ABSTRACT: This study has several purposes. One is to identify short term and long term indicators of impact of PHS efforts to decrease unwanted pregnancies among minors. A second purpose is to devise an instrument to measure the impact of educational segments on family life education, family planning education, and sex education. A third is to ascertain the extent to which Title V funds are being used for such programs.

DESCRIPTORS: Children and Youth, Maternal and Child Health, Research and Evaluation Methods Feature, Consumer Education
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1694 **PROJECT NO:** BCHS 78-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 240-78-0033
COST (In Thousands): FY 79-\$83
PROJECT STATUS: Study in process
START/END DATES: September '78 through October '79

0775

AGENCY SPONSOR: Public Health Service
 Health Services Administration
PROJECT TITLE: Assessment of Child Health Care Delivery and Organization
REPORT TITLE: The Provision of Health Care Services to Adolescents in the 1970's
PERFORMER: Minnesota Systems Research, Inc.; 2412 University Avenue Southeast; Minneapolis, Minnesota 55414
AGENCY CONTACT: Lamberty, G.
PROGRAM(S) EVALUATED:
 PHS-HSA-Health Services-Community-Maternal & Child Health;
 PHS-HSA-Health Services-Community-Maternal & Child Care-Grants

ABSTRACT: This study develops a framework for decision making regarding the use of funds for adolescent health care needs. The special needs of adolescents and the available resources to serve these needs are identified. Various types of programs designed to deal with adolescent health problems are analyzed. The study found that the evidence of process effectiveness and outcome results of the adolescent programs is almost non-existent, that the most common concern expressed by adolescent programs was funding continuity. Federal grant mechanisms were not effectively meeting program needs, that inadequate training of providers of adolescent services, including doctors, is a problem. That much research evidence had not been converted to practice, that local programs needed to be provided with more flexibility in order to meet specific local needs and that nebulous OE guidelines needed to be restructured. The report made specific recommendations for alleviating each of the weaknesses noted above. (Final report 375 pages)

DESCRIPTORS: Survey or Questionnaire Feature, Literature Review Feature, Children and Youth, Federal Administration, Grants-in-Aid, Health Care Delivery Systems, Maternal and Child Health
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0368 **PROJECT NO:** MCHS 71-1
FUND SOURCE: Not yet determined **NTIS NO:** PB 245-373
MECHANISM: Grant **ERIC NO:** Not available

GRANT NO: MC-R-270058-06-0
COST (In Thousands): FY 71-\$408, FY 72-\$239
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: May '71 through II '72

0776

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Reimbursable Agreement to Center for Disease
Control

REPORT TITLE: Not yet determined
PERFORMER: Family Planning Evaluation Branch; Communicable
Disease Center; Atlanta, Georgia
AGENCY CONTACT: Rickard, R.; 301-443-4036
PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-Family Planning

ABSTRACT: The purpose of this project is to provide technical consultation and training to health agencies in how to design, implement and revise on-going service statistics systems and to conduct studies which develop and implement evaluation methodologies which optimize the use of available family planning data sources.

DESCRIPTORS: Family Planning, Technical Assistance, Health Manpower Training
EVALUATION TYPE: Support

EDC ID NO: 0868 PROJECT NO: NCFPS 73-1
FUND SOURCE: Evaluation NTIS NO: Not yet determined
MECHANISM: Transfer ERIC NO: Not available
CONTRACT NO: Not available
COST (In Thousands): FY 73-\$305
PROJECT STATUS: Study in process
START/END DATES: July '72 through August '73

0777

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: National Public Health Program Reporting
System

REPORT TITLE: Report 1: Inventory of Programs and Expenditures
of State and and Territorial Health Agencies, Report 2:
Comprehensive NPHPRS Report
PERFORMER: Assn. of State and Territorial Health Officials; 962
Wayne Avenue; Silver Spring, MD 20910; Ronald Whorton
301-589-2520
AGENCY CONTACT: Duffy, Edward A.; PkIn Bldg.; Rm 9A-31
PROGRAM(S) EVALUATED:
V-Many HEW Programs-Health

ABSTRACT: The principal purpose of the contract is the operation of a uniform national health program reporting system. It provides for a system through which information will be collected, tabulated, analyzed, and reported by ASTHO as to the current status of State and local public health programs and agencies; total expenditures by these agencies and their various sources of funding; expenditures and sources of funds by program area; and services provided by various programs including, for selected programs, descriptions of target populations and the number of persons served by age group. The

system thus serves to indicate how, when, and by whom funds under a broad range of Federal health programs (including Section 314(d), PHS Act) are being spent. The final reports to be prepared by the contractor on the HURA study are now being prepared and will cover such subjects as evaluation methodology and findings, research methodology and findings, a manual on management intervention and strategies, and a comprehensive monograph on the research and demonstration findings based on the experience of the HURA projects.

DESCRIPTORS: Evaluation by State and Local Governments, Federal State and Local Relations, Grant and Contract Management, State or Local Administration
EVALUATION TYPE: Support

EDC ID NO: 1580 PROJECT NO: BCHS-77-4
FUND SOURCE: Evaluation NTIS NO: Not yet determined
MECHANISM: Contract ERIC NO: Not available
CONTRACT NO: HSA 240-77-0073
COST (In Thousands): FY 76-\$508, FY 77-\$750, FY 78-\$652, FY 79-\$597, FY 80-\$725
PROJECT STATUS: Study in process
START/END DATES: May '78 through May '79

0778

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Review and Evaluation of Contract Health
Delivery System Serving the Minnesota Chippewa Tribe

REPORT TITLE: Not yet determined
PERFORMER: Indian Tribal Organization; Not yet determined
AGENCY CONTACT: Not yet determined
PROGRAM(S) EVALUATED:
PHS-HSA-Indian Health-Services

ABSTRACT: This is one of a series of contracts awarded to different tribal organizations for carrying out evaluation projects on various aspects of the IHS program.

DESCRIPTORS: Native Americans, Health Care Delivery Systems, Ambulatory and Outpatient Health Care
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1138 PROJECT NO: HSA(C)75-3
FUND SOURCE: Evaluation NTIS NO: Not yet determined
MECHANISM: Contract ERIC NO: Not available
CONTRACT NO: Contract
COST (In Thousands): FY 75-Not available
PROJECT STATUS: Study in process
START/END DATES: July '74 through June '75

0779

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: A Study of Medicare Standards for Automated
Hospital and Independent Laboratories

REPORT TITLE: A Study of Automation in Hospital and
Independent Clinical Laboratories, Phase II
PERFORMER: Auerbach Associates, Inc.; 121 North Broad Street;
Philadelphia, Pennsylvania 19107
AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:PHS-HSA-Health Services-Community-Hemophilia
Treatment Centers

ABSTRACT: This study was undertaken to determine how extensively clinical laboratories use automated methods, for what kinds of test, and what impact automation has on their operating procedures. Automated equipment use is fairly extensive among Medicare provider laboratories. Essentially all of the automation, as defined here, occurs in clinical chemistry and hematology. Future usage of automated equipment will increase as workloads increase, as new tests are automated, and as additional laboratories automate. Automated equipment reduces human involvement in the test process, and demands greater attention to quality control procedures. Automated equipment and the methodologies need to be evaluated and certified for use in provider laboratories since these are important determinants of test accuracy and precision. Because of the impact that automation has had, and will continue to have, on clinical laboratory procedures and personnel, certain additions and changes to Medicare regulations are now warranted.

DESCRIPTORS: Information Processing, Laboratories,**EVALUATION TYPE:** Program Management or Efficiency**EDC ID NO:** 0869**PROJECT NO:** CHS 71-3**FUND SOURCE:** Not yet determined**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HSM-110-71-183**COST (In Thousands):** Not available**PROJECT STATUS:** Study completed,
Available from EDC**START/END DATES:** June '71 through October '72

0781

AGENCY SPONSOR: Public Health Service
Health Services Administration**PROJECT TITLE:** Study Plan to Conduct a Management and
Manpower Study**REPORT TITLE:** Study Plan to Conduct a Management and
Manpower Study**PERFORMER:** Macro Systems, Inc.; 1110 Fidler Lane; Silver
Spring, Md. 21910**AGENCY CONTACT:** Not yet determined**PROGRAM(S) EVALUATED:**PHS-HSA-Health Services-Program Direction &
Management**ABSTRACT:** To be written.**DESCRIPTORS:** Research and Evaluation Planning and Administration,
Management Techniques**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 0830**PROJECT NO:** HSA(C) 74-3, Task
19**FUND SOURCE:** Evaluation**NTIS NO:** PB 243-071**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HSA-105-74-6**COST (In Thousands):** FY 75-\$26**PROJECT STATUS:** Study completed,

Available from NTIS

START/END DATES: December '74 through March '75

0782

AGENCY SPONSOR: Public Health Service
Health Services Administration**PROJECT TITLE:** Relationship of Community Health Centers and
CMHC's**REPORT TITLE:** Study to Determine the Relationship of
Community, Mental Health, and Drug Treatment Centers for
the Provision of Mental Health Services**PERFORMER:** Abt Associates, Inc.; 55 Wheeler Street; Cambridge,
Mass. 02138; Rachel Schwartz**AGENCY CONTACT:** Purvis, J.; 301-443-1020**PROGRAM(S) EVALUATED:**PHS-ADAMHA-Drug Abuse-Community Programs;
PHS-ADAMHA-Mental Health-Community Programs &
Centers;
PHS-HSA-Health Services-Community-Health Centers

ABSTRACT: Relationships between three Federally funded health service programs are examined at the local level to determine how mental health and drug treatment services are provided. The three programs are Community Mental Health Centers (CMHC), Community Health Centers (CHC), and Drug Treatment Centers (DTC). Six sites were visited to study relationships between programs, and written case studies were prepared, detailing the background, relationships discovered, and factors affecting the interaction between programs. It was found that physical proximity of programs represents an important relationship, since the sharing of physical space results in interaction of staffs. Relationships exist in the areas of medical records and billing, but funding appears to be an uncommon relationship. Five sites exhibited some relationship at the Board level, but only two were significant. Planning and policy decisionmaking involving administrative staff was found at three sites, and interaction between professional staff was noted at four sites. Environmental factors which appear to influence the establishment of relationships

0780

AGENCY SPONSOR: Public Health Service
Health Services Administration**PROJECT TITLE:** Study of Physician Director and Physician
Extender Direct Clinical Setting**REPORT TITLE:** Not yet determined**PERFORMER:** Aileen Sirey Associates; 54 Riverside Drive, 5C;
New York, New York 10024**AGENCY CONTACT:** Villacius, H.; 301-443-3163**PROGRAM(S) EVALUATED:**

PHS-HSA-Health Services-Community-Family Planning

ABSTRACT: The purpose of this study is to examine, analyze, and conduct a comparative study of two modes of family planning services.

DESCRIPTORS: Family Planning, Clinics**EVALUATION TYPE:** Place Project or Technique**EDC ID NO:** 0805**PROJECT NO:** HSA (C) 75-7**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NA:****CONTRACT NO:** 240-76-0005**COST (In Thousands):** FY 75-\$58**PROJECT STATUS:** Study in process**START/END DATES:** July '75 through July '76

include relationship of the catchment areas, timing of funding, existence of leadership, community interaction, and existence of complementary services. Barriers to interaction are lack of leadership, lack of community representation, the medical model as the mode of delivery, and the view by patients that seeking mental health is shameful. (Final report 201 pages).

DESCRIPTORS: Ambulatory and Outpatient Health Care, State or Local Administration, Survey or Questionnaire Feature, Program Coordination, Outreach and Referral, Mental Health
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0593 **PROJECT NO:** HSA(C) 75-5
FUND SOURCE: Evaluation **NTIS NO:** PB 254-237
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 240-75-0027
COST (In Thousands): FY 75-\$11
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: June '75 through November '75

0783

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Analytical Support for HSA Forward Plan Issues
Related to National Health Insurance

REPORT TITLE: Support for Forward Plan Preparation (FY 1969 to
FY 1980) National Health Insurance Impact on HSA
Activities

PERFORMER: Geomet, 15 Firstfield Rd.; Gaithersburg, MD 20706

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Program Direction &
Management

ABSTRACT: The purpose of this study was to determine the impact of the administration's Bill (CHIP) on HSA target populations and to determine what impact CHIP might have on the availability of health care in areas which are currently underserved. The CHIP benefit package was compared to existing HSA program benefits, and the relative strengths and weaknesses of CHIP were isolated. The impact of CHIP on scarcity areas, while for the most part indeterminate, was likened to the influence of previous Federal expenditures for health care. HSA programs offer their target populations a broader range of services. Accessibility of services to groups like the migrant populations was questioned under CHIP. The impact of CHIP on the provision of services to shortage areas was seen as at best neutral and at worst harmful. Federal expenditures of this magnitude may subsidize the locational preferences of physicians, contribute to oversupply of specialized medical care in urban areas, and increase time costs to those currently having

DESCRIPTORS: Health Insurance and Financing, Health Planning, Health Care Delivery Systems, Services Integration
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0949 **PROJECT NO:** HSA (C) 74-4
FUND SOURCE: Evaluation **NTIS NO:** PB 243-758
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: Not yet determined
COST (In Thousands): FY 75-\$19

PROJECT DESCRIPTIONS

PROJECT STATUS: Study completed,
Available from NTIS
START/END DATES: February '75 through June '75

0784

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Transportation and Outreach Data Analysis

REPORT TITLE: Not yet determined

PERFORMER: Geomet, Inc; 15 Firstfield Rd; Gaithersburg, Md.
20760

AGENCY CONTACT: Enger, Isadore; Rm. 14-36 Parklawn;
301-443-1126

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-Health Centers

ABSTRACT: The purpose of this study is to analyze previously collected data for 32 Neighborhood Health Centers, using regression and correlation techniques. The study is intended to provide information to the program office for Comprehensive Health Centers and to Regional offices to aid in policy decisions concerning the proportion of resources a center should devote to transportation and outreach as opposed to the provision of direct medical services. The study may also point to those circumstances under which transportation and outreach might be most effective.

DESCRIPTORS: Ambulatory and Outpatient Health Care, Supportive Services, Transportation, Outreach and Referral, Statistical Analysis
Methods Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 0861 **PROJECT NO:** CHS-76-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 240-75-0073/1
COST (In Thousands): FY 76-\$21, FY 77-\$11
PROJECT STATUS: Study in process
START/END DATES: March '76 through February '77

0785

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Transportation for Health Services

REPORT TITLE: Not yet determined

PERFORMER: Indian Tribal Organization; Not yet determined

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-HSA-Indian Health-Services

ABSTRACT: This is one of a series of contracts awarded to different tribal organizations for carrying out evaluation projects on various aspects of the IHS program.

DESCRIPTORS: Native Americans, Ambulatory and Outpatient Health Care

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1133 **PROJECT NO:** HSA(C)75-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: Not yet determined
COST (In Thousands): FY 75-Not available

PROJECT STATUS: Study in process
START/END DATES: July '74 through June '75

0786

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Tribal Involvement Evaluation Project

REPORT TITLE: Not yet determined

PERFORMER: Inter-Tribal Council of Arizona; 124 West Thomas Rd.; Phoenix, Arizona 85013

AGENCY CONTACT: Indian Health Service; Rm 5A-22 Pkln Bldg.; 301-443-4724

PROGRAM(S) EVALUATED:

PHS-HSA-Indian Health-Services;
PHS-HSA-Indian Health-Facilities

ABSTRACT: The goal of this project will be to have a model for involvement which can be used by other Tribal Health Departments, Health Boards, Committees and other Tribal entities which have primary concerns in the area of health services, health facilities and health delivery systems.

DESCRIPTORS: Native Americans, Consumer Evaluation Feature, Health Care Delivery Systems, Research and Evaluation Planning and Administration

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1589

PROJECT NO: IHS-78-6

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSA-247-0211

COST (In Thousands): FY 78-\$64

PROJECT STATUS: Study in process

START/END DATES: September '78 through September '79

0788

AGENCY SPONSOR: Public Health Service
Health Services Administration

PROJECT TITLE: Planning Budgeting and Management System for HSA-Work Planning and Monitoring System User Manual

REPORT TITLE: Work Planning and Monitoring System User Manual

PERFORMER: Transactions Systems, Inc 800 Peachtree Street, N.E. Atlanta, Georgia 30308

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-HSA-Program Direction & Management

ABSTRACT: This document outlines the operational procedures and characteristics of the 1974 Work Planning and Monitoring System (WPMS) for the Health Services Administration (HSA), PHS/DHEW. The Document consists of 4 chapters and 3 appendices: Overview of the System; Procedures; Monitoring; and Format for Documentation Preparation. In the overview, the system is described as a mechanism for interaction between HSA components in developing and administering activities for desired programmatic and management results. It specifies capabilities and benefits of the system and defines the basic design. In the section entitled Procedures, the method for development and approval of work plans is delineated. These plans reflect desired goals for the approaching fiscal year and activities necessary for achievement. The monitoring section describes the method and frequency of recording the progress of activity. The last

section, Document Preparation, provides guidance regarding the content and format of principle WPMS documents. The planning schedule schedule (calendar dates), multi-organizational participation, and standing needs are discussed in the appendices. (Final report 68 pages)

DESCRIPTORS: Management Information and Reporting, Information Systems, Planning, Federal Administration

EVALUATION TYPE: Support

EDC ID NO: 0851

PROJECT NO: HSA (C) 74-6, Task 11

FUND SOURCE: Evaluation

NTIS NO: PB 243-659

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSA-105-74-9

COST (In Thousands): FY 75 \$40

PROJECT STATUS: Study completed,

Available from EDC,

Available from NTIS

START/END DATES: September '74 through December '7

Office of the Administrator

0790

AGENCY SPONSOR: Public Health Service
Health Services Administration
Office of the Administrator

PROJECT TITLE: Adequacy of BCHS Programs

REPORT TITLE: Adequacy of BCHS Programs--Analysis of BCHS Program Penetration

PERFORMER: Geomet, Inc.; 15 Firstfield Rd.; Gaithersburg, Md. 20760

AGENCY CONTACT: Enger, Isadore; Rm 14-36 Parklawn Bldg.

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Community;

PHS-HSA-Health Services-Community-Health Centers

ABSTRACT: A question frequently asked of the Bureau of Community Health Services (BCHS) programs concerns the degree to which these programs meet the needs of their target populations. A major objective of the study is to define a target population for each BCHS program and then to determine the penetration of the target population. For some of the programs, identification of target population and/or availability of data on utilization will preclude determination of adequacy. For such programs, statements will be prepared giving the reason why adequacy cannot be computed. The second major objective of the study is to conduct a more comprehensive analysis of the Neighborhood Health Center (NHC) target population penetration by analyzing data collected by the Follow-on Survey of NHCs. It will be determined if NHC users are similar to catchment area non-users in their demographic and utilization characteristics. It is anticipated that the first objective can answer a basic question asked of all programs. This will provide information for planning, budgeting, and possibly, to aid in planning the proposed Urban Health Initiative.

DESCRIPTORS: Ambulatory and Outpatient Health Care, Survey or Questionnaire Feature, Statistical Data Feature, Needs and Needs Assessment

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1383

PROJECT NO: BCHS 76.1-2

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 240-75-0073/9
COST (In Thousands): FY 76.1-\$45
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC
START/END DATES: September '76 through October '77

0791

AGENCY SPONSOR: Public Health Service
Health Services Administration
Office of the Administrator
PROJECT TITLE: Assessing the Adequacy of Existing Data for
Evaluating the Effectiveness of Preventive Health Services
Provided by HSA
REPORT TITLE: The Adequacy of Existing Data in Assessing
HSA's Preventive Health Activities
PERFORMER: Birch and Davis Associates, Inc.; 1112 Spring
Street; Silver Spring, MD 20910; Kerry G. Treasure
589-6760
AGENCY CONTACT: Bogner, Sue, Ph.D.; Rm 14-36 Pkln Bldg.;
301-443-1134
PROGRAM(S) EVALUATED:
PHS-HSA-Health Services;
PHS-HSA-Health Services-Program Direction &
Management

ABSTRACT: This project identified data systems that can be used to assess the extent of preventive health activity provided by the various programs of the Health Services Administration (HSA) which are conducted through the three bureaus of HSA: the Indian Health Services (IHS), the Bureau of Community Health Services (BCHS), and the Bureau of Medical Services (BMS). The various information systems of the Bureaus were reviewed and data elements relevant to prevention were identified according to several criteria: antecedent conditions types of disease amenable to preventive in the description of any activity. The report contains an overview of each information system including contact person and phone number, short description of the system, input forms, years spanned by the system, number of cases in the data base, contributors to the system, system access procedures, known problems with the data, evaluations of prevention-related activities, and changes in the data elements. Each input form with prevention-related elements was analyzed in terms of its contents of purpose, the timing and filing of the form, and possible evaluations that could be supported by the data on the form. The prevention activities were classified as primary, secondary, or tertiary, as well as the level of evaluation that might be performed using the data, i.e., input evaluation, output evaluation, and impact evaluation.

DESCRIPTORS: Information Systems, Preventive Health Care
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1582 **PROJECT NO:** OPEL 78-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Purchase Order **ERIC NO:** Not available
CONTRACT NO: HSA 78-133 (P)
COST (In Thousands): FY 78-\$10
PROJECT STATUS: Study in process
START/END DATES: September '78 through December '78

0792

AGENCY SPONSOR: Public Health Service
Health Services Administration
Office of the Administrator
PROJECT TITLE: Analysis of HSA Program Potential Under
Alternative Health Care Financing Strategies
REPORT TITLE: Analysis of BCRR Evaluation Potential for
OPEL/HSA Use
PERFORMER: Geomet, Inc.; 15 Firstfield Rd.; Gaithersburg, Md.
20760
AGENCY CONTACT: Carolyn Martinelli; Rm 14-36 Parklawn
Bldg.; 301-443-2460
PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community

ABSTRACT: The purpose of this study is to analyze the potential impact of alternative Federal financing strategies on the accessibility to comprehensive health care of HSA program target populations. The study will seek to determine which of the three roles (Federal provision of health care-HSA programs; Federal participation as third-party payer - Medicare, proposed Health Security Act; Federal funding of State directed programs - proposed Financial Assistance for Health Care Act) is most appropriate to achieving HSA's objectives of developing health delivery capacity in underserved areas and in providing services to target populations and to suggest strategies for HSA to pursue under each role.

DESCRIPTORS: Health Care Delivery Systems, Federal Role, Health Insurance and Financing
EVALUATION TYPE: Program Strategy

EDC ID NO: 1381 **PROJECT NO:** BCHS 76-5
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 240-75-0073/5
COST (In Thousands): FY 76-\$39
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: June '76 through April '77

0793

AGENCY SPONSOR: Public Health Service
Health Services Administration
Office of the Administrator
PROJECT TITLE: An Analysis of NHSC Cost and Revenue
Structure, Working Paper No. 3
REPORT TITLE: Not yet determined
PERFORMER: Office of Analysis; Public Health Service; Health
Services Administration Rockville, Md.
AGENCY CONTACT: Emery, David; Dobson, Allen; 301-443-1126,
1900
PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-National Health
Service Corps

ABSTRACT: This report analyzes the performance of NHSC medical sites with respect to costs and revenues and financial support and compares those with private physicians and other Federal physicians.

DESCRIPTORS: Health Care Delivery Systems, Physicians, Grants-in-Aid
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0811 **PROJECT NO:** HSA (O) 75-4
FUND SOURCE: Salaries and Expenses (S&E)
MECHANISM: In-house **NTIS NO:** Not yet determined
CONTRACT NO: Not available **ERIC NO:** Not yet determined
COST (In Thousands): FY 75-Not available
PROJECT STATUS: Study in process
START/END DATES: September '75 through March '76

0794

AGENCY SPONSOR: Public Health Service
 Health Services Administration
 Office of the Administrator

PROJECT TITLE: Feasibility of Measuring Changes in the Health Care System for Mothers and Children

REPORT TITLE: "Assessment of the Feasibility of Identifying and Measuring Changes in the Organization, Structure and Processes of the State and Local Health Care System for Mothers and Children"

PERFORMER: Information Sciences Research Institute; 8720 Georgia Avenue, Suite 1006; Silver Spring, MD 20910; Margaret W. Pratt, 301-585-5505

AGENCY CONTACT: Kerle, Rosemary; Rm. 14-43 Pkln Building; 301-443-1900

PROGRAM(S) EVALUATED:
 PHS-HSA-Health Services-Maternal & Child Health

ABSTRACT: The study hypothesized that there are two general categories of characteristics for situations in which health programs operate (i.e., the characteristics of the area and its population and the characteristics of the health care systems), so that when a defined program intervention is planned it should be possible (1) to specify expected changes in one or more characteristics as well as the direction and extent of the expected change (the baseline of the characteristics must exist), (2) to review the characteristics at a later time to determine if the change occurred as expected, and (3) to determine whether the intervention operated by itself or if other factors were also active in bringing about the change in the characteristic (if one is found). It is believed that the materials collected and analyzed demonstrate it is feasible to document that stated expectations of impact on the structure and processes of the local programs for mothers and children in the study area did or did not occur.

DESCRIPTORS: Feasibility Study Feature, Health Care Delivery Systems, Maternal and Child Health

EVALUATION TYPE: Place Research and Data Development

EDC ID NO: 1578 **PROJECT NO:** BCHS-78-02
FUND SOURCE: Evaluation **NTIS NO:** Not available
MECHANISM: Purchase Order **ERIC NO:** Not available
CONTRACT NO: HSA 78-114(P)
COST (In Thousands): FY 78-\$10
PROJECT STATUS: Study in process
START/END DATES: July '78 through November '78

0795

AGENCY SPONSOR: Public Health Service
 Health Services Administration
 Office of the Administrator

PROJECT TITLE: Not yet determined

REPORT TITLE: A Cost and Utilization Evaluation of Migrant Farmworker Health Care Entitlement Programs
PERFORMER: GEOMET, Incorporated; 15 Firstfield Road; Gaithersburg, Maryland 20760; Harley H. Heaton, Michael D. Koontz

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
 PHS-HSA-Health Services-Community-Migrant Health

ABSTRACT: The main purpose of the study was to use secondary data sources as a means of (1) comparing patterns of utilization both across different modes of service delivery for migrants and with a subset of the national population and (2) estimating annual costs of model programs of service delivery both per capita and for the Migrant Health Program (MHP) overall. The results indicate that migrants experience fewer, but more intensive, ambulatory visits and fewer annual admissions/hospital days than the general population of the U.S. South Census Region. Reduced geographic access is considered to be the main factor influencing utilization rates. Rates for migrants served by three demonstration projects examined during the study are significantly higher than those served by 146 MHP-supported clinics. Costs for ambulatory services for project beneficiaries resemble those for the farm population of the rural South. Per diem migrant hospitalization costs closely resemble those for the U.S. population at large. Overall costs for the three demonstration projects are at or below the estimated cost for the South farm population. Recommendations were offered concerning MHP efforts to develop service delivery models which should improve accessibility of services for the eligible population. (Final Report 195 pages.)

DESCRIPTORS: Migrants, Urban or Rural Places or Problems, Health Care Delivery Systems, Cost-Effectiveness Analysis Feature, Access to Services, Utilization Review

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1700 **PROJECT NO:** OPEL 77-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 2450-77-0147
COST (In Thousands): FY 77-Not available
PROJECT STATUS: Study completed
START/END DATES: September '77 through March '79

0796

AGENCY SPONSOR: Public Health Service
 Health Services Administration
 Office of the Administrator

PROJECT TITLE: Cost and Utilization Evaluation of Migrant Hospital Program

REPORT TITLE: Cost and Utilization Evaluation of Migrant Hospital Program

PERFORMER: Geomet, Inc.; 15 Firstfield Rd.; Gaithersburg, Md. 20760; Nancy M. Pindus

AGENCY CONTACT: Enger, Isadore; Rm 14-36 Parklawn; 301-443-1126

PROGRAM(S) EVALUATED:
 PHS-HSA-Health Services-Community-Migrant Health

ABSTRACT: The purpose of this project is to compare the Hospital Demonstration project with other projects providing hospital care to migrant farmworkers and their dependents with respect to accessibility, costs, and patterns of utilization. Data for four projects were collected and analyzed to provide information upon which to base policy decisions concerning the best mechanism for financing hospital care for migrant farmworkers and their families. It was concluded that (a) hospital admission rates and annual days of hospitalization per

1,000 eligibles were somewhat lower for migrants than for general populations, costs per day were similar; (b) it would cost \$50-60 million in 1975 dollars to provide hospital care, including inpatient physician costs; (c) expansion of an HMO-type concept used by one program is probably a superior alternative but may not work in low-density areas, (d) a Blue Cross/Blue Shield financing mechanism provided a workable solution to the problem of accessibility at reasonable cost; and (e) a financing mechanism involving fixed-price per diem contracts with hospitals had reasonable costs but cannot provide access for all migrants. (Final report 96 pages).

DESCRIPTORS: Migrants, Hospitals, Demonstration Projects, Health Insurance and Financing

EVALUATION TYPE: Program Strategy

EDC ID NO: 1380 **PROJECT NO:** BCHS 76-4

FUND SOURCE: Evaluation **NTIS NO:** PB 265-748/AS

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 240-75-0073/4

COST (In Thousands): FY 76-\$55, FY 76.1-\$4

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '76 through January '77

0797

AGENCY SPONSOR: Public Health Service
Health Services Administration
Office of the Administrator

PROJECT TITLE: Critical Health Manpower Shortage Area
(CHMSA) Designation and Physician Manpower
Requirements

REPORT TITLE: Not yet determined

PERFORMER: Geomet, Inc.; 15 Firstfield Rd.; Gaithersburg, Md.
20760

AGENCY CONTACT: Daniel, Calvin; Rm 14-36 Parklawn Bldg.;
301-443-1126

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Community-National Health
Service Corps

ABSTRACT: The purpose of this study is to further develop and modify a physician distribution prediction model from which the number of Critical Health Manpower Shortage Area (CHMSA) counties as well as the number of primary care physicians required to increase their primary care physician to population ratios to one per 2,000 persons are projected through 1985. Alternative projections using different assumptions shall be derived and analyzed. This analysis will provide vital information for the planning of the National Health Service Corps and associated HSA programs. If validated, the model shall be institutionalized as an operational policy/planning tool.

DESCRIPTORS: Health Manpower Projections, Urban or Rural Places or Problems, Health Care Delivery Systems

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1377 **PROJECT NO:** BCHS 76-1

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 240-75-0073/2

COST (In Thousands): FY 76-\$20, FY 77-\$7

PROJECT STATUS: Study completed

START/END DATES: April '76 through December '76

0798

AGENCY SPONSOR: Public Health Service
Health Services Administration
Office of the Administrator

PROJECT TITLE: Data and Analyses for 1976 Revision of DHEW
Five-Year Plan for Family Planning Services

REPORT TITLE: Not yet determined

PERFORMER: Alan Guttmacher Inst.; 515 Madison Ave, New
York, N.Y. 10022

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

HD-Social Services-Family Planning;
PHS-HSA-Health Services-Community-Family Planning

ABSTRACT: Data on organized family planning programs are presented and analyzed, with a view to applications for the 1976 revision of DHEW's 5-year plan for family planning services. The tables and accompanying narrative analyses cover the following: estimated number of women at risk of unwanted pregnancy who met Federal and State criteria for medical family planning service in 1975 and 1976 (by region and by State); data on women served in organized pro and by private physicians in fiscal year 1975; characteristics of women served in organized programs; characteristics of family planning service providers; and in evaluation of organized family planning programs. Trend data show a strong rate of growth in the number of women served by organized clinics from 1968 through fiscal 1972, with relatively lower rates of growth thereafter. Almost all patients served in organized clinics have low or marginal incomes. In general, family planning program patients are young, white, low parity, and not on welfare. Prior to clinic enrollment, 47 percent of all new patients fiscal 1975 had used contraceptive methods less effective than birth control pill or intrauterine devices, or no method at all. At their last clinic visit 81 percent were using the more effective methods. Of all patients in organized programs, 43 percent were served by health departments, 25 percent by Planned Parenthood affiliates, 16 percent by hospitals, and the remainder by other agency (Final Report 185 pages.)

DESCRIPTORS: Family Planning, Statistical Data Feature, Women, Social Services Delivery Systems, Health Care Delivery Systems, Health Planning

EVALUATION TYPE: Program Strategy

EDC ID NO: 0871 **PROJECT NO:** NCFPS 74-1

FUND SOURCE: **NTIS NO:** SHR-0001927

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HSA 105-74-193

COST (In Thousands): FY 74-Not available

PROJECT STATUS: Study completed,
Available from NTIS

START/END DATES: September '74 through September '76

0799

AGENCY SPONSOR: Public Health Service
Health Services Administration
Office of the Administrator

PROJECT TITLE: Preliminary Evaluation of the Adequacy,
Technical Soundness and Redundancy of the Roles,
Resources, and Responsibilities of All Federal Programs
Relating to EMS

REPORT TITLE: Emergency Medical Services: A Preliminary Evaluation**PERFORMER:** Birch and Davis Associates, Inc.; 1112 Spring Street; Silver Spring, Md. 20910; Rebecca Rubenstein 301-589-6760**AGENCY CONTACT:** Richmond, Frederick; Rm. 14-36 PKLN; 301-443-1126**PROGRAM(S) EVALUATED:**

PHS-HSA-Health Services-Emergency Medical Services

ABSTRACT: This study was done within the legislative mandates of P.L. 94-573 Section 1209(c)(1) which requires that the Division of Emergency Medical Services (DEMS) through the Interagency Committee on Emergency Medical Services study on a continuing basis (including evaluating the adequacy, technical soundness and redundancy of the roles, resources, and responsibilities) of all Federal programs and activities relating to emergency medical services. Information of two major types was compiled and analyzed, (1) description of Federal programs which provide primary support to emergency medical services throughout the Nation, (2) indicators of the extent to which these programs are adequate, sound and redundant. Interviews were held with officials of ten federal programs with primary activities in EMS and nine state EMS coordinators with the following results: (1) Federal and State officials generally consider funding of EMS activities to be inadequate, (2) technical assistance from DEMS is less than adequate, (3) differing program priorities determine distribution of Federal support, (4) information about availability of Federal support is disseminated mainly through informal channels, (5) application procedures for DEMS funding could be improved, (6) Federal and State officials identify program redundancies which is inherent in the legislation and cannot be corrected by administrative action, and (7) federal officials rely mainly on one-to-one communications for interagency coordination.

DESCRIPTORS: Emergency Medical Care, State or Local Administration, Program Coordination, Federal State and Local Relations, Management Information and Reporting**EVALUATION TYPE:** Program Management or Efficiency**EDC ID NO:** 1698**PROJECT NO:** OPEL 78-4**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HSA-78-100(P)DN**COST (In Thousands):** FY 78-\$10**PROJECT STATUS:** Study completed**START/END DATES:** July '78 through October '78

0800

AGENCY SPONSOR: Public Health Service
Health Services Administration
Office of the Administrator**PROJECT TITLE:** End Stage Renal Disease Evaluation Protocol and Pretest**REPORT TITLE:** Not yet determined**PERFORMER:** Minneapolis Medical Research Foundation, Inc.; 501 Park Avenue; Minneapolis, Minnesota 55415**AGENCY CONTACT:** Hammond, John; Rm 14-36, Parklawn Bldg.; 301-443-1126**PROGRAM(S) EVALUATED:**

SSA-Medicare-Hospital Insurance-Benefit Payments

ABSTRACT: The purpose of this study is to develop a methodology to evaluate the accessibility and quality of End Stage Renal Disease (ESRD) services provided to Medicare beneficiaries on the basis of data elements extracted from the California Kidney Disease Information System and the Minneapolis Regional Medical Program Kidney

Disease Program. The methodology that is developed will be used to refine the input variables and the output formats of the ESRD MIS and to monitor and evaluate the program when both it and its medical information system become fully operational during FY 1977.

DESCRIPTORS: Health Insurance and Financing, Client Records, Research and Evaluation Methods Feature, Management Information and Reporting**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 1376**PROJECT NO:** BQA 76.1-3**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** 240-76-0102**COST (In Thousands):** FY 76.1-\$30**PROJECT STATUS:** Study completed**START/END DATES:** September '76 through December '77

0801

AGENCY SPONSOR: Public Health Service
Health Services Administration
Office of the Administrator**PROJECT TITLE:** An Analysis of the Barriers to Implementation of the Program of Projects**REPORT TITLE:** Evaluate the Barriers to Implementation of the Program of Projects**PERFORMER:** Macro Systems, Inc.; 1110 Fidler Lane; Silver Spring, MD 20910**AGENCY CONTACT:** Nottingham, Stuart; Room 14-43 Parklawn Bldg.; 301-443-1900**PROGRAM(S) EVALUATED:**

PHS-HSA Health Services-Community-Maternal & Child Health-Grants

ABSTRACT: Recent (1974) amendments to Title V of the Social Security Act provide that grants previously allocated by the Federal Government directly to grantees must now be allocated to States, i.e., a project grant program was converted to formula. To receive allocations, each State is required to implement a Program of Projects (POP): Maternal and Child Health, Family Planning Services, Intensive Infant Care, Child and Youth Services, and Dental Health Care. The objective of this study was to diagnose problems and present solutions to spotty progress in state assumptions of these new responsibilities. The study was carried out through extensive interviews with State and Federal staff, supplemented by records. The study found that major barriers were present, including delinquent, ambiguous and overly rigid Federal regulations, scarcity of effective Federal assistance in planning and development, limited state capacity, fiscal constraints (e.g., "grandfathered" projects conflicted with POP), and state foot-dragging. For example, the Federal regulations were not issued in NPRM form until one year after a twice-delayed legislated start-up date, and whether the objective of POP was maintenance of effort or fostering of demonstrations and reforms was unclear. The study recommended improved regulations (citing numerous specific deficiencies), more technical assistance, improved training, and information systems, and other steps. (Final report 73 pages.)

DESCRIPTORS: Grant-in-Aid Formulas, Regulation and Standard Setting, State or Local Administration, Maternal and Child Health, Dental Health Care, Family Planning, Federal State and Local Relations, Survey or Questionnaire Feature**EVALUATION TYPE:** Program Management or Efficiency**EDC ID NO:** 0948**PROJECT NO:** BCHS 76-6**FUND SOURCE:** Evaluation**NTIS NO:** PB 265 766/AS**MECHANISM:** Contract**ERIC NO:** Not available

CONTRACT NO: 240-75-0064/1
COST (In Thousands): FY 76-\$40
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: June '76 through January '77

0802

AGENCY SPONSOR: Public Health Service
Health Services Administration
Office of the Administrator

PROJECT TITLE: Evaluation of Emergency Medical Services
Systems Impact

REPORT TITLE: Evaluation of Emergency Medical Services
Systems Impact

PERFORMER: Transaction Systems Inc.; 800 Peachtree St., N.E.
Suite 664; Atlanta, Georgia 30308

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Emergency Medical Services

ABSTRACT: The purpose of this project is to analyze and evaluate the capabilities of existing regional Emergency Medical Service (EMS) systems in their delivery of specific emergency medical care needs throughout the nation. The scope of the project includes the gathering of pertinent data to aid in the evaluation and analysis of the need and the level of need of certain specialized health delivery systems within the EMS system, and to determine whether or not these regional systems make a difference on mortality and morbidity statistics. The report found that available data indicate that EMS reduces mortality and morbidity; that it impacts on hospital admissions, and utilization of rehabilitation services for poison, drug overdoses, alcoholism, and psychiatric categories. The results of this project provide HSA/OPEL with sufficient data to determine the level of need for more extensive evaluation of impact of regionalized systems on major user groups. (Final report 26 pages)

DESCRIPTORS: Health Care Delivery Systems, Emergency Medical Care, Research and Evaluation Performance, Literature Review Feature, Operations Research Feature
EVALUATION TYPE: Program Impact

EDC ID NO: 0419 **PROJECT NO:** HSA-74-14
FUND SOURCE: Evaluation **NTIS NO:** PB 243-714
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HSA-105-74-9

COST (In Thousands): FY 74-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '74 through June '75

0803

AGENCY SPONSOR: Public Health Service
Health Services Administration
Office of the Administrator

PROJECT TITLE: Evaluation of HSA Health Education/Prevention
Activities

REPORT TITLE: Evaluation of HSA Health Education/Prevention
Activities

PERFORMER: The Horizon Institute for Advanced Design, Inc.;
51 Monroe St., Suite 1401; Rockville, MD 20850

AGENCY CONTACT: Tash, William R., Ph.D.

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services

ABSTRACT: This study provides an analysis and categorization of major HSA health education/prevention components. It includes estimates relative to the level of effort expended for such programs, the extent to which present programs address Congressional mandates, and an analysis of barriers to the effective implementation of health education activities across HSA programs. An evaluation strategy is suggested to assess selected categories of health education/prevention activities.

DESCRIPTORS: Consumer Education, Public Health Services
EVALUATION TYPE: Support

EDC ID NO: 1593 **PROJECT NO:** OPEL 77-1
FUND SOURCE: Evaluation **NTIS NO:** PB 295-808
MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: 240-77-0131

COST (In Thousands): FY 77-Not available, FY 78-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: September '77 through September '78

0804

AGENCY SPONSOR: Public Health Service
Health Services Administration
Office of the Administrator

PROJECT TITLE: An Evaluation of Variables Affecting
Effectiveness of Consolidated/Non-consolidated and
Integrated/Non-Integrated Projects of Family Planning

REPORT TITLE: Not yet determined

PERFORMER: National Institute for Advanced Studies; 600 E.
Street NW, Suite 100; Washington, D.C. 20004

AGENCY CONTACT: Dobson, Allen; Rm 14-36 Parklawn;
301-443-1126

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-Family Planning

ABSTRACT: The purpose of this study is to evaluate the impact of consolidation and integration of family planning projects upon the effectiveness and efficiency of these projects. Basically, the study will define types of consolidation and integration practices, measures of performance and provide a comparative analysis of the performance of consolidation/utilization. End results will provide documented information that can be used for (a) more effective and efficient management and operation of family planning projects; (b) possible input to the Annual Report to Congress; (c) future evaluation of family planning projects; and (d) understanding historical evaluation of family planning project and program development.

DESCRIPTORS: Family Planning, Services Integration, Federal State and Local Relations
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1379 **PROJECT NO:** BCHS 76-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 240-76-0097

COST (In Thousands): FY 76-\$55

PROJECT STATUS: Study in process
START/END DATES: May '76 through February '77

0805

AGENCY SPONSOR: Public Health Service
Health Services Administration
Office of the Administrator

PROJECT TITLE: Evaluation Study of Rural Health Initiative (RHI) Sites

REPORT TITLE: Not yet determined

PERFORMER: Geomet, Inc.; 15 Firstfield Rd.; Gaithersburg, Md. 20760

AGENCY CONTACT: Daniel, Calvin; Rm 14-36 Parklawn Bldg.; 301-443-1126

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-National Health Service Corps

ABSTRACT: The purpose of this study is to assemble a data base in order to (1) evaluate the economic performance of Rural Health Initiative Sites and the impact of RHI expenditures on performance; (2) identify those factors related to RHI sites becoming financially self-supporting and/or independent providers of health care; (3) develop a technique for adjusting site performance measures to allow comparisons among sites. The knowledge gained regarding the factors affecting site self-support and independence will permit improved location and staffing of NHSC and RHI sites, and more accurate evaluation to the relative performance of sites. The evaluation protocols will be applied to HURA sites during FY 1977 and FY 1978.

DESCRIPTORS: Health Care Delivery Systems, Economic Analysis Feature, Management Techniques, Management Information and Reporting

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1378 **PROJECT NO:** BCHS 76-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 240-75-0073/3
COST (In Thousands): FY 76-\$73, FY 76.1-\$3
PROJECT STATUS: Study completed
START/END DATES: May '76 through February '77

0806

AGENCY SPONSOR: Public Health Service
Health Services Administration
Office of the Administrator

PROJECT TITLE: Evaluation Workbook for Emergency Medical Services

REPORT TITLE: Evaluation Workbook for Emergency Medical Services

PERFORMER: Arthur Young and Company; 1025 Connecticut Ave. NW; Washington DC 20036

AGENCY CONTACT: Dobson, Al; Rm 14-43 Parklawn; 301-443-1126

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Emergency Medical Services

ABSTRACT: The Evaluation Workbook for Emergency Medical Services (EMS) has been developed as a guide to HEW/EMS grantees across the country to evaluate the efficiency and effectiveness of their

programs. The Workbook is divided into 5 major areas: Introduction, Narrative Description of the Program, General Emergency Care, Critical Care and Outcome evaluation. The introduction provides an overview of EMS evaluation and instructions on the use of the Workbook. The narrative section provides a detailed outline for a qualitative description of the EMS system. The sections on General Emergency and Critical Care require quantitative data for completion. Specific measurement criteria including inventory process and compliance measures are established. Strict definitions and parameters of data elements are set as well as the methodology for measurement. The Outcome Evaluation Section outlines measurements and methodologies for patient outcomes in terms of morbidity and mortality. The Workbook can be used both for internal management as well as impact evaluation. It takes into consideration differences in program sophistication as varying management systems. See also EDC 0394. (Final report 136 pages).

DESCRIPTORS: Emergency Medical Care, Evaluation by State and Local Governments, Technical Assistance, Grant and Contract Management

EVALUATION TYPE: Support

EDC ID NO: 1385 **PROJECT NO:** BMS 76-2
FUND SOURCE: Evaluation **NTIS NO:** PB 254-043
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 240-75-0066/1
COST (In Thousands): FY 76-\$12

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: February '76 through July '76

0808

AGENCY SPONSOR: Public Health Service
Health Services Administration
Office of the Administrator

PROJECT TITLE: Feasibility of Replicating the IHS Mississippi Choctaw Indian Tribe's Health Education Program in Other HSA/IHS Settings

REPORT TITLE: Not yet determined

PERFORMER: Pantek Incorporated; 1100 17th Street, N.W.; Washington, D.C. 20036; Raj Chanda, 202-659-2268

AGENCY CONTACT: Bogner, Sue, Ph.D.; 14-36 Pkln Bldg.; 301-443-1134

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Indian Health;
PHS-HSA-Indian Health-Services-Indian Self Improvement

ABSTRACT: In the performance of this study the feasibility of replicating the Indian Health Services (IHS) Mississippi Choctaw Indian Tribe's health education program in other IHS settings will be determined. Since 1972, the Tribe has contracted annually with IHS, the United Southeastern Tribes (USET), to operate a health education program to improve health care and services to Indian residents. When the program was initiated, the Tribe itself was responsible for its design and implementation; however, two years ago, IHS developed guidelines for implementation of P.L. 93-638, the "Indian Self-Determination and Education Assistance Act," which included a model for implementing a health education program. The study will examine the monitoring requirements of this arrangement, the effectiveness of such contracting for implementing a health education program and the accomplishments of the program to date. In addition, the utility and value of the aforementioned health education guidelines to the ongoing program will be examined along with the possibility of measuring the impact of the health education effort. The analysis of these factors will determine the feasibility of conducting this contract arrangement in other Indian Health Service settings.

DESCRIPTORS: Native Americans, Consumer Education, Health Care Delivery Systems

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1583

PROJECT NO: OPEL 78-2

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Purchase Order

ERIC NO: Not available

PURCHASE ORDER NO: HSA-78-115 (P)

COST (In Thousands): FY 78-\$7

PROJECT STATUS: Study in process

START/END DATES: September '78 through February '79

0809

AGENCY SPONSOR: Public Health Service
Health Services Administration
Office of the Administrator

PROJECT TITLE: Final Report: Rural Health Initiative Evaluation Study

REPORT TITLE: Final Report: Rural Health Initiative Evaluation Study

PERFORMER: Applied Management Sciences; 962 Wayne Avenue; Silver Spring, MD 20910

AGENCY CONTACT: Calvin, Daniel

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Community-Comprehensive Health Grants to States

ABSTRACT: This evaluation is based on a quantitative analysis of the performance of 48 RHI grantees and on a case study analysis of 9 grantees. The performance measures used are encounters per provider, revenue per encounter, cost per encounter, and Regional Office project success rating. Major findings are that (1) information available at grant award can probably be used for good forecasts of grantee success, (2) cost minimization is achieved at some 24,000 encounters per year, (3) loss minimization is achieved at roughly 30,000 encounters per year, (4) revenues per visit are maximized at roughly 38,000 encounters per year. Further, virtually all RHIs are substantially short of breakeven and can be expected to remain so as long as they received grant funding. Major problems for the sites are location, management quality and goals, and phasing in expenditures in line with output volume. (Final report 245 pages.)

DESCRIPTORS: Grant-in-Aid Formulas, Urban or Rural Places or Problems, Case or Site Study Feature, Management Information and Reporting

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1597

PROJECT NO: OPEL 77-2

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: HSA 240-77-0173

COST (In Thousands): FY 77-Not available, FY 78-Not available

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: September '77 through January '79

0810

AGENCY SPONSOR: Public Health Service
Health Services Administration
Office of the Administrator

PROJECT TITLE: Home Health Cost Effectiveness and Protocol

PROJECT DESCRIPTIONS

REPORT TITLE: Home Health Grant Program Evaluation Methodology

PERFORMER: National Inst. for Advanced Studies; Suite 100, 600 E St. NW; Washington, DC

AGENCY CONTACT: Hammond, John; Rm 14-36, Parklawn; 301-443-1134

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Community-Home Health Services

ABSTRACT: The purpose of this study is (1) to develop an interpretive analysis of the home health care evaluative literature on costs and benefits of home health care; and (2) to develop an evaluation protocol appropriate to the demonstration of home health service programs, including the provision of technical assistance in the development of home health care reporting requirements. This protocol will be employed during FY 1977 to determine whether grantee agencies represent effective alternatives to institutional care. HSA's recommendations concerning the future of this grant program will rely heavily upon the results of this study.

DESCRIPTORS: Home Health Care, Technical Assistance, Management Information and Reporting, Literature Review Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1382

PROJECT NO: BCHS 76.1-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 240-76-0097

COST (In Thousands): FY 76.1-\$35

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: September '76 through March '77

0811

AGENCY SPONSOR: Public Health Service
Health Services Administration
Office of the Administrator

PROJECT TITLE: Develop Manual for Evaluating Improved Pregnancy Outcome Program

REPORT TITLE: Improved Pregnancy Outcome Program Evaluation Manual

PERFORMER: Geomet, Inc.; 15 Firstfield Rd.; Gaithersburg, MD 20760

AGENCY CONTACT: Kerle, Rosemary; Rm 14-43 Parklawn Bldg.; 301-443-1900

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Maternal & Child Health-Grants

ABSTRACT: This manual presents a methodology to evaluate the Improved Pregnancy Outcome (IPO) Program and outlines the implementation of this methodology. The methodology consists of four evaluation components: Program Scope, Program Performance, Program Tasks, and Additional Areas. The first component, Program Scope, is designed to check for the presence or absence, as well as the scope, of specified components, of the IPO program; this will identify the extent to which programs have filled gaps in existing services. The second component, Program Performance, is intended to develop measures of success for the IPO programs in achieving their stated objectives and to develop additional measures which aid in the understanding of reasons for such success (or failure). These measures have been grouped according to final outcomes, intermediate outcomes, other influences, program activities, and program inputs. The analysis of program tasks, which is the third component, monitors each IPO program to verify whether the proposed activities were accomplished and whether they were undertaken in the appro-

appropriate time frame. The final evaluation component provides guidelines to assess additional areas of concern, such as quality of care, regionalization, program continuation, and cooperation with other health programs. The overall evaluation methodology provides a structure to analyze and understand the changes effected by the IPO program. (Final report 67 pages)

DESCRIPTORS: Research and Evaluation Methods Feature, Maternal and Child Health

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0947 **PROJECT NO:** BCHS-76.1-3

FUND SOURCE: Evaluation **NTIS NO:** PB 265-736/AS

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 240-75-0073/7

COST (In Thousands): FY 76.1-\$19

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: September '76 through March '77

0812

AGENCY SPONSOR: Public Health Service
Health Services Administration
Office of the Administrator

PROJECT TITLE: The Relationship of HSA Rural Capacity
Building Activities to the Post NHI Era (Working paper)

REPORT TITLE: The Relationship of HSA Rural Capacity Building
Activities to the Post NHI Era (Working paper)

PERFORMER: Office of Evaluation; Health Services
Administration; Rockville, Md.

AGENCY CONTACT: Calvin D; Dobson, A; 301-443-1126, 1900

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Program Direction &
Management;
PHS-HSA-Health Services

ABSTRACT: This paper analyzes the interrelationships of the health problems of rural areas, the condition of rural health delivery systems and the impact of National Health Insurance on rural problems and delivery systems. Resulting from the evaluation and analysis, an Optimal Model for Rural Areas has been developed with the following goals: effective demand by improving services accessibility for populations of high risk; increase the overall availability of service by the input and productive utilization of additional health care resources; produce additional primary care physicians compatible with rural social, and cultural conditions through structural changes in medical training institutions; affect physician retention through the development of professionally attractive rural practices; and, develop economically viable medical support systems capable of being self-sufficient without Federal resources through improved management and productivity. A recycling process for Federal resources, continually combined with additional state and local resources, in a "seed" money/capacity building approach would be used. The four components necessary to this model are: National Health Insurance; National Manpower Training Strategy; A Designation, Planning, Placement Mechanism; and An Integrated Rural Health Delivery System. Final report 187 page

DESCRIPTORS: Health Care Delivery Systems, Physicians, Urban or Rural Places or Problems, Federal State and Local Relations, Health Planning, Health Insurance and Financing

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0809

FUND SOURCE: Salaries and
Expenses (S&E)

MECHANISM: In-house

CONTRACT NO: Not available

COST (In Thousands): FY 75-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: March '75 through May '75

PROJECT NO: HSA (O) 75-2

NTIS NO: PB 249-715

ERIC NO: Not available

0813

AGENCY SPONSOR: Public Health Service
Health Services Administration
Office of the Administrator

PROJECT TITLE: US Medical Schools Efforts to Achieve Equal
Representation of Minority Students--Preparation and
Delivery of Camera Ready Copy

REPORT TITLE: Not yet determined

PERFORMER: The Orkand Corp; 8630 Fenton Street; Silver
Spring, MD 20910; Donald Orkand

AGENCY CONTACT: Gill, Norma; CB 2 Rm. 10-50; 301-436-7220

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Program Direction &
Management

ABSTRACT: In 1975 HRA sponsored a study dealing with "Evaluation of U.S. Medical Schools Efforts to Achieve Equal Representation of Minority Students." That report was completed in November 1976. The data and analyses contained in the final reports are vital information that should be disseminated to all medical schools, undergraduate schools, Government and private organizations that are involved in the medical profession. The most relevant data from the report needs to be extracted in order that a publication can be disseminated. The objective of this study is to obtain a "camera-ready copy" of a report for publication.

DESCRIPTORS: College Students, Educational Finance, Medical
Training, Minority Groups

EVALUATION TYPE: Support

EDC ID NO: 1039

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: 230-77-0080

COST (In Thousands): FY 77-\$10

PROJECT STATUS: Study in process

START/END DATES: September '77 through July '78

PROJECT NO: OA 77-108

NTIS NO: Not yet determined

ERIC NO: Not available

0814

AGENCY SPONSOR: Public Health Service
Health Services Administration
Office of the Administrator

PROJECT TITLE: Validation of the Accuracy, Completeness, and
Comparability of the EMS Systems Data Needed to Meet
the Reporting Requirements of PL 93-154 and 94-573

REPORT TITLE: Not yet determined

PERFORMER: Arthur Young and Company; 1025 Connecticut
Ave., N.W.; Washington, D.C. 20036; Salisbury Adams;
301-828-7112

AGENCY CONTACT: Richmond, Frederick; Rm 14-36, Pkln Bldg.; 301-443-1126

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Emergency Medical Services

ABSTRACT: The purpose of this study is to validate the accuracy, completeness and comparability of program and clinical data reported by the Emergency Medical Services (EMS) systems. This data is used by DEMS program officials to evaluate the structure, process and outcome of the regional EMS systems.

DESCRIPTORS: Emergency Medical Care, Research and Evaluation Performance, Health Care Delivery Systems, Information Systems, Management Information and Reporting

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1697

PROJECT NO: OPEL 78-3

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSA 240-78-0041

COST (In Thousands): FY 78-\$66

PROJECT STATUS: Study in process

START/END DATES: September '78 through October '79

The report recommends that additional institutions be encouraged to compete for, and displace existing, clinical research centers; that funding for biotechnology be increased; that primate research resources be increased; that minority biomedical support be better staffed; that general biomedical research support be better justified and increased; better program coordination between DRR and the NIH institutes; and more and better data collection analysis and planning by DRR. (Final report 96 pages and 5 appendices)

DESCRIPTORS: Biomedical Research, Research and Evaluation Planning and Administration, Research and Evaluation Performance, Medical Technology, Consumer Evaluation Feature, Minority Groups, Grant and Contract Management, Case or Site Study Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0899

PROJECT NO: DRR 75-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: NO1-RR-6-2101

COST (In Thousands): FY 76-\$413

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC

START/END DATES: July '75 through October '76

NATIONAL INSTITUTES OF HEALTH

Division of Research Resources

0815

AGENCY SPONSOR: Public Health Service

National Institutes of Health

Division of Research Resources

PROJECT TITLE: Evaluation of the Scientific Mission of the Division of Research Resources

REPORT TITLE: Assuring the Resources for Biomedical Research (October 31, 19)

PERFORMER: Research Resources Evaluation Panel; Coordinated by Bolt, Beranek and Newman, Inc.; Cambridge, MA

AGENCY CONTACT: O'Donnell, James F.; NIH Bldg 31, Rm 5B03; 301-496-6611

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Program Management;

PHS-NIH Biomedical Research-Research Resources

ABSTRACT: The Division of Research Resources (DRR) has conducted a comprehensive evaluation of its scientific missions, and of the goals and management performance of all major programs. A panel of national leaders from both academic and lay communities was appointed, supported by an additional group of technical consultants. This panel analyzed data obtained from NIH grants and contract records and conducted extensive interviews with the staff of all of the Institutes of the NIH. Site visits were made to representative scientific resource installations supported by DRR, e.g., clinical research centers, biomedical computer facilities, primate research. The reports have been reviewed and analyzed by program staff; these analyses now constitute background for the current forward planning effort.

0816

AGENCY SPONSOR: Public Health Service

National Institutes of Health

Division of Research Resources

PROJECT TITLE: Evaluation of the Role of Clinical Research Centers in Clinical Trials with Emphasis on Information Processing

REPORT TITLE: Not yet determined

PERFORMER: RAND Corporation; Santa Monica, CA 90406

AGENCY CONTACT: DeCesare, William R., M.D.; Rm 5B-51, Bldg. 31; 301-496-6595

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Research Grants & Contracts;

PHS-NIH-Biomedical Research-Research Resources

ABSTRACT: The President's Biomedical Research Panel recommended that the support for General Clinical Research Centers (GCRC) of the NIH be reviewed with the intention of making this program more widely available as a proper method of supporting clinical trials for inpatients and outpatients and of paying the proper Federal costs. NIH has taken the position that this proposition has merit and should be looked into further. It is probable that with increased emphasis on clinical trials, resources such as case workers, skilled outpatient research nurses, and computer-based record systems could be made available from the GCRC as instruction-wide resources for facilitating high priority clinical trials. The objectives of the proposed project are 1) to describe the kinds of clinical trials currently supported by NIH, both within the GCRCs and cooperative multi-center trials of the Institutes; 2) to determine and assess whether resources, especially information processing, now provided in GCRCs are adequate to meet the increasing needs of clinical trials; and 3) to determine whether existing methods of handling data collection and analysis for clinical trials are adequate and, if not, whether additional computer resource development would be helpful. DRR will provide an additional \$90 K to this project.

DESCRIPTORS: Information Processing, Clinical Trials Feature, Needs and Needs Assessment

PROJECT DESCRIPTIONS

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1749 **PROJECT NO:** DRR 77-2
FUND SOURCE: Evaluation/
 Salaries and Expenses **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-RR-8-2122
COST (In Thousands): FY 78-\$100, FY 79-\$165, FY 80-\$65
PROJECT STATUS: Study in process
START/END DATES: June '78 through March '80

0817

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 Division of Research Resources

PROJECT TITLE: Training Impact of the NIH Research Grant Program

REPORT TITLE: Manpower Engaged on NIH Research Grants in 1970

PERFORMER: Manpower and Education Research Associates

AGENCY CONTACT: Casey, C.

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Intramural Research;
 PHS-NIH-Biomedical Research-Activity-Research Grants & Contracts;
 PHS-NIH-Biomedical Research-Training-Fellowships;
 PHS-NIH-Biomedical Research-Training

ABSTRACT: This report consists of two volumes based on the findings of the National Institute survey of manpower engaged on its research grants awarded in FY 1969. It was prepared to meet immediate NIH management needs and to serve as baseline data for future use in trend analysis. Volume I provides a general overview of all students and postdoctoral trainees-their personal characteristics and educational backgrounds. Volume II makes a detailed analysis of hours worked and earnings of dates for bachelor's degrees or professional doctors' degrees, and students not seeking degrees, and those working on "general research support" grants). Analysis is made separately for those who were and those who were not paid for their work. Within these two categories, data is compiled in each of four academic levels for all combined. Volume II concludes with a comparison of those who were paid and who were not. (Final Report 2 Volumes, 209 pages.)

DESCRIPTORS: Grants-in-Aid, Survey or Questionnaire Feature, Higher Education, Medical Training, Biomedical Research, Statistical Data Feature, Labor Market Problems

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0369 **PROJECT NO:** DRG-72-1
FUND SOURCE: Salaries and Expenses/Evaluation **NTIS NO:** PB-213-221
MECHANISM: In-house and Contract **ERIC NO:** Not available
CONTRACT NO: NIH 73-C-916
COST (In Thousands): FY 72-\$60, FY 73-\$40
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS
START/END DATES: September '71 through October '73

0818

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 Division of Research Resources

PROJECT TITLE: Pilot Evaluation of Animal Resources Diagnostic Laboratories

REPORT TITLE: Methodology for Cost-Benefit Analysis of Research Animal Diagnostic and Investigative Laboratories

PERFORMER: U. of Missouri, Columbia, Missouri 65201, Dr. William Glueck, 314-882-4061

AGENCY CONTACT: McPherson, Dr. C.; 301-496-5451

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Research Resources;
 PHS-NIH-Biomedical Research-Activity-Program Management

ABSTRACT: A pilot project undertaken to develop a method for quantifying the value of a variety of benefits of service, research, and teaching in research animal diagnostic and investigative laboratories (RADILs) is documented. The report summarizes the development and testing of the methodology and contains page references to a separate, more detailed supplement. The methodology developed uses independent, judgmental, expert estimates of benefits of service and research. The design was tested in the University of Missouri RADIL and validated in the Johns Hopkins RADIL. Test and validation procedures, and results are summarized. Not surprisingly, all three activity areas -- service, research, and teaching -- were judged to have positive benefit-cost ratios at each RADIL. Confidence intervals could be developed for the benefit-cost ratios of service and research. Service demonstrated the highest benefit-cost ratio. It is observed that many of the benefits of research and teaching will appear indirectly as service benefits in future years. The overall benefit-cost ratios were 6.1 for Johns Hopkins and 3.9 for Missouri. These ratios are said to substantiate continued funding of the RADIL's. Recommendations for further development of the methodology and suggested applications in conjunction with onsite visits are presented. (Final report 24 pages.)

DESCRIPTORS: Biomedical Research, Laboratories, Benefit-Cost Analysis Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0600 **PROJECT NO:** DDR 73-1
FUND SOURCE: Evaluation **NTIS NO:** PB 259-728&729
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-RR-3-2138
COST (In Thousands): FY 73-\$53
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS

START/END DATES: June '73 through August '74

0819

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 Division of Research Resources

PROJECT TITLE: Evaluation Study of Primate Research Centers

REPORT TITLE: Primate Research Centers Evaluation Study Final Report

PERFORMER: JRB Associates; 8400 Westpark Drive; McLean VA 22101

AGENCY CONTACT: Whitehair, Leo A.; 301-496-6595

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Research Grants & Contracts;

PHS-NIH-Biomedical Research-Research Resources

ABSTRACT: The contract provided for administrative support for a Panel, composed of nine distinguished and knowledgeable non-federal scientists and administrators, which addressed key issues related to the missions, administrative policies, and overall management of the seven Primate Research Centers. The Panel also provided advice regarding the nature and extent of other regional or national primate resources which are considered necessary for support of biomedical research in the United States. The Study involved site visits to each of the centers and their host institutions by the Panel, which reviewed all pertinent historical documents. Discussions were held with the center directors, staff scientists, and academic (host institution) officials in several major areas of inquiry, including policy, scientific program, fiscal affairs, personnel, animal usage, physical plant and major equipment, and communication. The Panel concluded that the program continues to be sound and well justified, and has made many important contributions to the advancement of science, medicine, and primate biology. It was the Panel's consensus that certain changes are required in the Primate Research Centers to ensure their maximum effectiveness and productivity in the future. Twelve areas of specific findings and recommendations were outlined to assist in accomplishing this objective. (Final report 258 pages.)

DESCRIPTORS: Biomedical Research, Facilities

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1505

PROJECT NO: DRR 77-1

FUND SOURCE: Evaluation

NTIS NO: PB 80-107634

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: NO1-RR-7-2143

COST (In Thousands): FY 77-\$60, FY 79\$(45)

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS

START/END DATES: September '77 through January '79

0820

AGENCY SPONSOR: Public Health Service

National Institutes of Health

Division of Research Resources

PROJECT TITLE: Survey of Laboratory Animal Facilities and Resources Supporting Biomedical Research

REPORT TITLE: Not yet determined

PERFORMER: Institute of Laboratory Animal Resources, National Academy of Sciences; 2101 Constitution Avenue; Washington, D.C.

AGENCY CONTACT: Holman, John E. Dr.; Rm. 5B-59 Bldg. 31; 301-496-5175

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Research Grants & Contracts;

PHS-NIH-Biomedical Research-Resources

ABSTRACT: The purpose of the survey is to provide information on the status of laboratory animal facilities and resources as they currently exist and on estimates of future needs. The areas to be covered include animal care costs, administration, personnel, facilities, equipment, and animal sources and use. The survey will cover all known users of laboratory animals. Data will be prepared in a final report suitable for publication. The survey will update and expand data

gathered through a similar contract in 1967-1968. The information will be of direct value to the Animal Resources Branch, DRR, in selecting future areas of emphasis; i.e., unmet needs required for domestic breeding programs of nonhuman primates, and manpower requirements. The data should also be useful to individual institutional administrators in planning animal facilities and their operation. DRR will co-fund this project \$75,000 from other funds.

DESCRIPTORS: Facilities, Laboratories, Health Planning, Biomedical Research, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1506

PROJECT NO: DRR 77-5

FUND SOURCE: Evaluation, Research

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: RR-7-2114

COST (In Thousands): FY 77-\$50

PROJECT STATUS: Study in process

START/END DATES: August '77 through December '79

National Cancer Institute

0821

AGENCY SPONSOR: Public Health Service

National Institutes of Health

National Cancer Institute

PROJECT TITLE: Value and Effectiveness of the Technical Publications Program of Drug Research and Development (Chemotherapy)

REPORT TITLE: Cancer Chemotherapy Abstracts and Cancer Chemotherapy Reports Evaluation

PERFORMER: Information Systems Co.; 6000 Executive Blvd.; Rockville, Maryland 20852

AGENCY CONTACT: Kalikow, A.; 496-6503

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Institute Specific-Cancer;

PHS-NIH-Biomedical Research-Research Resources;

V-Non-HEW Programs-Health

ABSTRACT: This study seeks to evaluate two journals: Cancer Chemotherapy Reports and Cancer Chemotherapy Abstracts. The study findings suggest that over 78 percent favor its continuation moderately. Users of the CCR felt that the publication had brought to their attention information that prevented the duplication of research efforts conducted elsewhere and 31 percent thought it had significantly changed the direction or emphasis of a research effort. For CCA, over 67 percent of its users favor the continuation very strongly and 24 percent favor its continuation moderately. Users of the CCA felt it had prevented duplication and changed the direction of their research. An overwhelmingly majority (68 percent) of the users of CCR are M.D.s and most are practicing clinicians. The primary role for both of these publications appear to be one of current awareness. The study recommended for CCR and CCA a cumulative index that can be supplemental to the last cumulative index.

DESCRIPTORS: Cancer, Biomedical Research, Survey or Questionnaire Feature, Consumer Evaluation Feature, Medical Practice, Information Dissemination

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0327

PROJECT NO: NCI 73-1

FUND SOURCE: Evaluation

NTIS NO: PB 241-112

MECHANISM: Contract

ERIC NO: Not available

PROJECT DESCRIPTIONS

281

best copy available

CONTRACT NO: NO1-CO-35403
COST (In Thousands): FY 73-\$57
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS
START/END DATES: June '73 through March '74

0822

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 National Cancer Institute

PROJECT TITLE: Effectiveness of Information Activities in the
 Carcinogenesis Program

REPORT TITLE: Not yet determined
PERFORMER: MITRE Corporation, 1820 Dolley Madison Blvd.;
 McLean, Va. 22101

AGENCY CONTACT: Siegel, Dr. Sidney; 301-496-1152

PROGRAM(S) EVALUATED:
 PHS-NIH-Biomedical Research-Institute Specific-Cancer

ABSTRACT: This project will evaluate the requirements for and utility of present information products disseminated by the NCI Carcinogenesis Bioassay program and evaluate the form and content of these products in effectively meeting the needs of the user.

DESCRIPTORS: Biomedical Research, Information Dissemination
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1147 **PROJECT NO:** NCI 75-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-CO-55424
COST (In Thousands): FY 75-\$50
PROJECT STATUS: Study in process
START/END DATES: June '75 through December '77

0823

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 National Cancer Institute

PROJECT TITLE: Evaluation of Regulations Affecting the Conduct
 of Clinical Cancer Research

REPORT TITLE: Not yet determined
PERFORMER: Carnegie-Mellon Institute of Research; 4400 Fifth
 Avenue; Pittsburgh, PA 15213
AGENCY CONTACT: Henney, Jane, Dr.; Rm 3A-49 Bldg. 31;
 301-496-6711

PROGRAM(S) EVALUATED:
 PHS-NIH-Biomedical Research Institute Specific-Cancer

ABSTRACT: Increased awareness of a responsibility to provide adequate protection to patients who are involved in clinical research has led to a number of statutes and regulatory actions such as the DHEW and NIH regulations governing the protection of human subjects, procedures for clearance of investigation on new drugs, etc. Problems resulting from these regulations may impact significantly on the cancer treatment program. This study will evaluate the impact of these laws and regulations and develop recommendations, guidelines and procedures to meet these requirements without adversely affecting either the conduct of cancer clinical research or the public (patient) interest. The study approach will include a combination of

surveys, conferences, and workshops involving representatives at various organizational levels engaged in or associated with clinical trials research, including chairmen of investigational review boards, legal and public interests, consultants, NCI staff and representatives from other relevant government agencies. Issues and problems associated with the implementation of various government regulations in these areas on clinical research will be identified and requirements established by the workshops. Recommendations, guidelines and procedures to meet the established requirements will be also be developed.

DESCRIPTORS: Regulation and Standard Setting, Health Care Delivery Systems, Survey or Questionnaire Feature
EVALUATION TYPE: Program Impact

EDC ID NO: 1746 **PROJECT NO:** NCI 77-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** N/A
CONTRACT NO: NO1-CM-87173
COST (In Thousands): FY 78-\$145, FY 79-\$150
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '80

0824

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 National Cancer Institute

PROJECT TITLE: Evaluation of Technology Transfer in Cancer

REPORT TITLE: Not yet determined
PERFORMER: CDP Associates Inc.; 6000 Executive Blvd., Suite
 510; Rockville, MD 20852
AGENCY CONTACT: Dr. B. Keele; NIH Westwood, Rm 826;
 301-496-7427
PROGRAM(S) EVALUATED:
 PHS-NIH-Biomedical Research-Institute Specific-Cancer

ABSTRACT: There has been considerable debate recently concerning the transfer of biomedical knowledge and technology from initial discovery to clinical application and general availability to the public (technology transfer) and whether or not the present transfer process permits certain technologies to be introduced into clinical practice too rapidly and others too slowly. This issue is of particular concern within the National Cancer Program because of the importance and magnitude of the program, the increased responsibilities, and new programs and activities (e.g., the Cancer Control Program) undertaken since the National Cancer Act was passed. The overall objective of this project is to assess the transfer process in the field of cancer research, development, and care in terms of the extent to which it ensures the appropriate and timely movement of technology from discovery to a widespread application and identify specific areas where improvements are needed and feasible. Information obtained from reports of and source material (e.g., published papers) used in previous studies will serve as a point of departure. This and additional information obtained through discussions with key individuals involved in these studies and cancer research, control, and care will be analyzed and conclusions developed.

DESCRIPTORS: Comprehensive Health Care, Health Care Delivery Systems, Literature Review Feature, Information Systems
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1747 **PROJECT NO:** NCI 77-8
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-CO-75350

COST (In Thousands): FY 78-\$110
PROJECT STATUS: Study in process
START/END DATES: October '76 through October '78

0825

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Cancer Institute

PROJECT TITLE: Evaluation of the Contributions of Categorical Research to Basic Science

REPORT TITLE: Not yet determined
PERFORMER: Dr. Judith Swazey; Boston University Medical Center

AGENCY CONTACT: Cantarow, Abraham Dr.; Bldg 31, Rm 10A52; 301-496-1423

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Activity-Program Management;
PHS-NIH-Biomedical Research-Institute Specific-Cancer

ABSTRACT: This manuscript shall determine where in history categorical research has contributed to basic science and provides a balanced picture between categorical and basic research to assist in making decisions for future planning. This manuscript shall serve the entire NCI.

DESCRIPTORS: Cancer, Biomedical Research, Research and Evaluation Performance, Case or Site Study Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0895 **PROJECT NO:** NCI 75-4
FUND SOURCE: Salaries and Expenses (S&E) **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-CO-55315
COST (In Thousands): FY 75-\$82
PROJECT STATUS: Study in process
START/END DATES: January '76 through December '77

0826

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Cancer Institute

PROJECT TITLE: Evaluation of the National Cancer Institute Program Information Systems

REPORT TITLE: Not yet determined
PERFORMER: Enviro Control, Inc.; One Central Plaza; 11300 Rockville Pike; Rockville, MD 20852
AGENCY CONTACT: Dr. B. Morrison; Rm 11A51, Bldg. 31; 301-496-3308

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Institute Specific-Cancer

ABSTRACT: The rapid growth of the National Cancer Program and the associated increase in responsibilities and the need for particular types of information regarding program content to support program planning and management has resulted in the development and use of several information systems. Within these systems there has been some redundancy in function and capabilities, differences in classification of science, certain needs for information are still not met, and occasional confusion exists as to which system to use to meet the increasing demands for information. This study is designed to evaluate

the existing science program information systems within NCI and NIH in terms of their content, quality capabilities and usage. The study approach will include collection and analysis of all available data on the existing systems, including the nature and quality of the input data, data base structure and content, data classification scheme, system features and capabilities such as search/retrieval capabilities and output options, and current uses of the system. Data will be collected by interviewing the various system managers and users throughout NCI and by obtaining all available systems documentation, example outputs, and evidence of uses. Similarities and differences between systems will be clarified and recommendations will be developed concerning possible consolidation, elimination, and/or modification of the systems.

DESCRIPTORS: Information Systems, Information Processing, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1745 **PROJECT NO:** NCI 77-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-CO8-85414
COST (In Thousands): FY 78-\$75, FY 79-\$75
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '80

0827

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Cancer Institute

PROJECT TITLE: Optimal Sampling Procedures for Cancer Incidence and Patient Survival

REPORT TITLE: Evaluation of the Surveillance Epidemiology and End Results Program (SEER) of the National Cancer Institute (Phase I)

PERFORMER: Norris Cotton Cancer Center; Dartmouth-Hitchcock Medical Center; Hanover, New Hampshire 03755

AGENCY CONTACT: Meyers, Max Dr.; Landow Bldg. Rm. C5-03 NIH; 301-496-4408

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Institute Specific-Cancer

ABSTRACT: The descriptive incidence data for the Cancer Surveillance, Epidemiology and End Results (SEER) Program are obtained from a group of population-based registries covering defined geographic areas which record for each cancer patient pertinent data on a standard list of characteristics including age, race, sex, place of residence, cancer site, and histologic type. These registries periodically produce statistical reports and supply data on individual patients in accordance with a uniform code. At present there are 14 cooperating units covering portions of the U.S. which were selected based on a number of factors including geographic location and state of readiness of the registries. This project will evaluate the current SEER Program to determine its adequacy and the need for additional participants to provide a statistically sound representative sample of incidence data across the U.S. The approach will be to examine present methods of deriving data on the present SEER participants, review characteristics of populations for other potential participants, and match sampling theory with sampling feasibility to produce defensible recommendations regarding the acquisition of required data. (Final report 258 pages.)

DESCRIPTORS: Information Systems, Information Processing, Research and Evaluation Methods Feature, Client Records, Statistical Analysis Methods Feature, Statistical Data Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1510 **PROJECT NO:** NCI 77-6
FUND SOURCE: Evaluation **NTIS NO:** PB 80-105182
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: NO1-CP-7-1047**COST (In Thousands):** FY 77-\$110

PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS

START/END DATES: September '77 through December '78

0828

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 National Cancer Institute

PROJECT TITLE: Health Education Message Testing System**REPORT TITLE:** Not yet determined

PERFORMER: Porter, Novelli and Associates, Inc.; 3240 Prospect
 Street, N.W.; Washington, D.C.

AGENCY CONTACT: Bractic, Elaine; Rm.10A-30 Bldg. 31;
 301-496-6792

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Institute Specific-Heart Lung
 & Blood;
 PHS-NIH-Biomedical Research-Activity-Research Grants &
 Contracts

ABSTRACT: This project, cosponsored by NHLBI and NCI, will evaluate health message testing systems. Such systems are not now widely used to pretest health messages before the final messages are produced. A refined system would be a cost effective answer to pretesting health education messages and would provide a means for comparing the effect of different messages. NHLBI and NCI have, with the assistance of a working group, taken the first steps to create a message testing system which would be useful both in pretesting Institute messages and those of related voluntary agencies. This project will select and refine a system, and over a one-year period test a variety of health messages to both prove the system and to establish a base line for comparison between messages. Messages for this initial testing period will be solicited from prospective users, both Government and non-Government. This test period will provide a demonstration of the methodology and a projection of user costs. Adoption of message pretesting would assure that the limited amount of media time available for health education would be allotted to the most effective messages.

DESCRIPTORS: Heart Disease, Cancer, Preventive Health Care,
 Broadcast Technology

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1509 **PROJECT NO:** NCI/NHLBI 77-5
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: NO1-DO-7-5360**COST (In Thousands):** FY 77-\$75, FY 78-\$100, FY 79-\$200**PROJECT STATUS:** Study in process**START/END DATES:** September '77 through June '81

0829

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 National Cancer Institute

PROJECT TITLE: Measurement of the Cost of Cancer Care**REPORT TITLE:** Not yet determined**PERFORMER:** Abt Associates; 55 Wheeler Street; Cambridge, Ma.

AGENCY CONTACT: Acton, Ben; NIH Blair Bldg, Room 726;
 301-427-7298

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Disease Control;
 PHS-NIH-Biomedical Research-Institute Specific-Cancer

ABSTRACT: The objective of this project is to determine the present costs of cancer care, disaggregated in sufficient detail to support various data needs for program planning and development. The study is divided into three phases: (1) identification of important categories of costs and forms of payment and their relationships to clinical variables, and review of previous studies; (2) development of methodology and pilot test, and (3) data collection, analysis and reporting. In Fiscal Year 1976, the set-aside program provided \$233,000. In addition, \$38,000 of in-house funds were added in FY 1977.

DESCRIPTORS: Cancer, Morbidity and Mortality, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development**EDC ID NO:** 1238 **PROJECT NO:** NCI 76-1

FUND SOURCE: Evaluation,
 Salaries and Expenses

NTIS NO: Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** NO1-CN-6-5216**COST (In Thousands):** FY 76-\$223**PROJECT STATUS:** Study in process**START/END DATES:** June '76 through June '77

National Eye Institute

0830

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 National Eye Institute

PROJECT TITLE: Critique of Available Data Concerning the
 Prevalence and Economic and Social Costs of Visual
 Disorders

REPORT TITLE: Summary and Critique of Available Data and the
 Prevalence and Economic and Social Costs of Visual
 Disorders and Disabilities (Feb 16, 1976)

PERFORMER: Westat, Inc.; 11600 Nebel Street; Rockville, Md
 20014

AGENCY CONTACT: Morris, Julian; NIH Bldg 31, Rm 6A27;
 301-496-5248

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Program
 Management;
 PHS-NIH-Biomedical Research-Institute Specific-Eye

ABSTRACT: This study has undertaken because although there is a great deal of data available about the prevalence and costs of visual disorders and the costs of medical and rehabilitative care associated with them, the data are scattered and often present apparently conflicting information. Emphasis in the past has been placed on blind-

ness with passing attention given to other visual disorders. Through a thorough and critical analysis of all available data and their sources, it has been possible to identify strengths and weaknesses of the available information and thus to achieve a better understanding of the national distributions related to a variety of visual disorders. The data have enabled NEI to begin to relate research needs and priorities to the social and economic impacts of eye disorder on society. The study provides background for the National Advisory Eye Council's second major planning report, and is being used by NEI as a basis for further development of program and evaluation plans. (Final report 375 pages).

DESCRIPTORS: Biomedical Research, Morbidity and Mortality, Health Planning, Research and Evaluation Planning and Administration, Literature Review Feature, Economic Analysis Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0566 **PROJECT NO:** NEI 74-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-EY-5-2108
COST (In Thousands): FY 75-\$68
PROJECT STATUS: Study in process,
Study completed,
Available from EDC
START/END DATES: November '74 through February '76

0831

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Eye Institute

PROJECT TITLE: Evaluation of Implementation of the National Eye Institute (NIE) Plan

REPORT TITLE: Vision Research--A National Plan, 1978-1982: The 1977 Report of the National Advisory Eye Council

PERFORMER: National Advisory Eye Council, National Eye Institute; National Institutes of Health; Bethesda, MD 20014

AGENCY CONTACT: Morris, Julian; Rm. 6A-25 Bldg. 31 NIH; 301-496-5248

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Activity-Program Management;
PHS-NIH-Biomedical Research-Institute Specific-Eye

ABSTRACT: This project completes the evaluation begun by Project NEI 76-1. This final phase of the NEI's second comprehensive program evaluation and planning activity was completed in April 1978 when Vision Research--A National Plan: 1978-1982 was published. This report and its predecessor Support for Vision Research (NEI-76-1) were based upon the FY 1975 Council report, Vision Research Program Planning, published in spring 1975. The public response to the latter document indicated the need for preparation of a more complete, detailed, and far-reaching report; yet one that retained the original's essential philosophy, principles, and approach. Volume One of this report contains a summary of the National Advisory Eye Council's latest evaluation of the national vision research program, its recommendations for future program development, and a discussion of important cross-cutting policy and administrative issues facing the National Eye Institute. Volume Two presents the complete reports of six panels of experts convened by the Council to assist in the evaluation and planning of the NEI's research and research training programs. Finally, Volume Three presents the extensive evaluative data on vision research supported in the United States which served as an important and informative resource for the work of the panels and the Council.

DESCRIPTORS: Biomedical Research, Research and Evaluation Planning and Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1239 **PROJECT NO:** NEI 76-1/77-1
FUND SOURCE: Evaluation **NTIS NO:** PB 297-174/SET
MECHANISM: Various **ERIC NO:** Not available
GRANT NO: 5 R09EY00525-0255 Contract No: 263-76-C-0737
COST (In Thousands): FY 76-\$50, FY 77-\$60
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: October '75 through September '77

National Heart Lung and Blood Institute

0832

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Heart Lung and Blood Institute

PROJECT TITLE: Blood and Hypertension Research Manpower Data Base

REPORT TITLE: Not yet determined

PERFORMER: Not yet determined

AGENCY CONTACT: Helene Guttman, Ph.D.; Bldg. 31, Rm 5A27; 301-496-5031

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Institute Specific-Heart, Lung, & Blood

ABSTRACT: The goal of this project is to establish a data base to enable the Institute to measure the effectiveness of past training programs which have supplied research manpower and to develop future projections for research training requirements. The efforts will constitute a pilot project to determine the feasibility and usefulness of such data collection and analysis on an all-Institute basis. The methodology proposed has been pretested on a small number of hypertension and blood researchers. This project will expand the pretest methodology to encompass two fields, store the data in machine retrievable form and to develop automated analysis methods. The plan is to develop data over two fiscal years. The final phase of the project will evaluate the usefulness of the data base and the feasibility of expanding the effort to more research areas. The evaluation will be based on the program staff's assessment of the potential usefulness of the system. Data will be collected from a number of categories of researchers in hypertension, blood diseases and resources. From this data the following will be determined: (1) Number and percent of investigators who move into and out of fields being tracked; and (2) patterns of movement in/out of a tracked field.

DESCRIPTORS: Biomedical Research, Health Manpower Training, Utilization Review, Information Systems, Statistical Analysis Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1517 **PROJECT NO:** NHLBI 78-6
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: In-house and Contract **ERIC NO:** Not available
CONTRACT NO: Not yet determined
COST (In Thousands): FY 78-Not available, FY 79-Not available

PROJECT STATUS: Study in process
START/END DATES: June '78 through August '80

0833

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Heart Lung and Blood Institute

PROJECT TITLE: Development of a Coordinating Center Model for National Heart Lung and Blood Institute (NHLBI) Clinical Trials

REPORT TITLE: Not yet determined

PERFORMER: University of Maryland; 600 W. Redwood Street; Baltimore, Md. 21201

AGENCY CONTACT: Kaelber, Charles; NIH Landown Bldg., Rm C837B; 301-496-5905

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Activity-Program Management;
PHS-NIH-Biomedical Research-Institute Specific-Heart Lung & Blood

ABSTRACT: There is a need to maximize the effectiveness of clinical trial coordinating centers. The annual costs of ongoing NHLBI coordinating centers currently total over four million dollars. These coordinating centers alone usually account for 10-15% of the annual budget of clinical trials and a single clinical trial may cost as much as 12 million dollars per year. Furthermore, these large-scale clinical trials are likely to become more expensive in the future due to inflationary pressures and public resolve to deal finally and effectively with heart and vascular diseases. The specific objectives to be achieved by this project are as follows: (a) the formulation and clear understanding of coordinating center policies, objectives, roles, responsibilities and authority; and (b) the establishment of baseline cost and other coordinating center management data for initiating, planning, operating and evaluating clinical trials. It is anticipated that (1) the criteria or bases of planning and evaluation, (2) the guidelines for applying these criteria, and (3) the mechanism(s) for carrying them out that are to be either developed, or improved through this model might be used by NHLBI advisory committees, contract and grant review committees, by NHLBI staff and by the steering committees of NHLBI clinical trials.

DESCRIPTORS: Biomedical Research, Laboratories, Clinical Trials Feature, Research and Evaluation Planning and Administration
EVALUATION TYPE: Research and Data Development, Program Management and Efficiency

EDC ID NO: 1247 **PROJECT NO:** NHLI 73-5B
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-HV-6295
COST (In Thousands): FY 76-\$157, FY 77-\$317, FY 78-\$196
PROJECT STATUS: Study in process
START/END DATES: June '76 through June '79

0834

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Heart Lung and Blood Institute

PROJECT TITLE: Evaluation of NHLI Supported Clinical Trials in Central Laboratories

REPORT TITLE: Evaluation of Central Laboratories Serving NHLI Clinical Trials

PERFORMER: Division of Heart Vascular Diseases, NIH; and Consultants

AGENCY CONTACT: Sordik, Dr. Edward; OPPE; 301-496-6332

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Institute Specific-Heart Lung & Blood;
PHS-CDC-Preventive Health-Laboratory Improvement

ABSTRACT: The roles played by central laboratories associated with the National Heart and Lung Institute (NHLI) clinical trials are reviewed. The laboratories studied were located at: the Center for Disease Control (CDC) in Atlanta, Georgia; Northwestern University Medical Center in Chicago, Illinois; BioScience Laboratories in Van Nuys, California; and the Institutes of Medical Sciences in San Francisco, California. Each laboratory director provided information about sample handling, data management, quality control procedures, and test methods, and on-site visits were conducted for discussions with laboratory staff and review of operations. It was found that all four central laboratories have collected and handled large numbers of specimens satisfactorily; the CDC laboratory attained greater precision than the other laboratories, but no striking differences are apparent in other precision levels. All four central laboratories were found to have instrumentation, space, and other facilities adequate for the performance of their contract responsibilities. All of the laboratories have competent staff, but the depth of staff varies. The central laboratories appear to have had their main difficulties in relating to various committees within the institution, rather than in dealing with the users of services. These difficulties appear due to three causes: inadequately defined lines of authority, deficient methods of communications, and inadequate NHLI program office staff with laboratory expertise. Recommendations are included. (Final report 110 pages).

DESCRIPTORS: Biomedical Research, Laboratories, Case or Site Study Feature, Research and Evaluation Planning and Administration

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0569 **PROJECT NO:** NHLI 73-5A

FUND SOURCE: Salaries and Expenses **NTIS NO:** SHR 1350

MECHANISM: In-house, Contract **ERIC NO:** Not available

CONTRACT NO: Not yet determined

COST (In Thousands): FY 75-\$23

PROJECT STATUS: Study in process,
Study completed,
Executive summary at EDC,
Available from NTIS

START/END DATES: May '75 through August '75

0835

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Heart Lung and Blood Institute

PROJECT TITLE: Evaluation of Patient Tracking Systems

REPORT TITLE: Not yet determined

PERFORMER: Kappa Systems, Inc.; 1501 Wilson Blvd.; Arlington, Va.

AGENCY CONTACT: Ward, Graham; Landow Bldg. NIH; 301-496-1051

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Institute Specific-Heart Lung & Blood;
PHS-NIH-Biomedical Research-Activity-Research Grants & Contracts

ABSTRACT: The National High Blood Pressure Education Program (NHBPEP) was established in 1974 to increase public and professional awareness of the major proportions of the problem of uncontrolled hypertension. Measuring the blood pressure of large segments of the population is far less a problem than is achieving an initiation of therapy in response to any elevations which are discovered. Referral and follow-up between detection and initiation of therapy surrounding confidentiality and program costs have prevented or deterred most communities from actively pursuing patient tracking systems as an ongoing management tool. Between eight and twelve communities have what field experience shows to be relatively well functioning patient tracking systems. The purpose of this project is to evaluate representative systems in detail so that the NHBPEP will be able to advise others on the use of this tool. Specifically, the proposed evaluation effort has three goals: 1) to develop evaluation methodologies for patient tracking system monitoring; 2) to apply the methodology to a representative set of systems; and 3) to develop models of alternate approaches to patient tracking so that recommendations can be provided to programs creating or altering such systems.

DESCRIPTORS: Management Techniques, Information Systems, Heart Disease, Preventive Health Care

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1511 **PROJECT NO:** NHLBI 77-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-HV52942
COST (In Thousands): FY 77-\$75, FY 78-\$50
PROJECT STATUS: Study in process
START/END DATES: June '77 through December '79

0836

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Heart Lung and Blood Institute

PROJECT TITLE: Evaluation of Progress in Hypertension and Pulmonary Research

REPORT TITLE: Not yet determined

PERFORMER: Computer Horizons; 1060 Kings Highway N.;
Cherry Hill, N.J. 08034

AGENCY CONTACT: E.J. Sondik, Ph.D.; Bldg. 31, 5A27;
301-496-6331

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Institute Specific-Heart,
Lung & Blood

ABSTRACT: In 1972, through the National Heart, Blood Vessel, Lung, and Blood Act, the Institute was given increased mandates in a number of research areas. This evaluation project will focus on assessing progress since the Act in hypertension research and in two pulmonary research areas: the structure and the function of the lung and chronic obstructive pulmonary diseases. The project will employ bibliographic and citation analysis methods to develop its measures. In the past, most such analyses have relied solely on subjective judgments by eminent professionals. In recent years, there has been increasing interest in augmenting such judgments with quantitative measures developed from analysis of journal publications. Such analyses appear to be the best quantitative surrogate for measuring research progress. The Office of the Director, NIH is currently supporting such analysis of NIH-sponsored publication. This project goes beyond the current efforts by focusing in detail on three specific research areas. In particular, the project seeks to determine if Institute action following the enactment of the 1972 legislation have led to quantifiable progress in the research areas. The questions to be answered will indicate whether Institute efforts have led to such progress and enable the Institute to take actions to maximize such progress in the future.

DESCRIPTORS: Research and Evaluation Methods Feature, Biomedical Research, Bibliography Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 1516 **PROJECT NO:** NHLBI 78-4
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-OD-8-2938
COST (In Thousands): FY 78-\$120
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '79

0837

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Heart Lung and Blood Institute

PROJECT TITLE: The Effect of Emergency Medical Systems on Prehospital Cardiovascular Care: An Evaluation of Studies and Inventory of Data Bases

REPORT TITLE: Evaluation of Studies and Inventory of Data Bases on the Effect of Emergency Medical Systems on Pre-Hospital Cardiovascular Care

PERFORMER: Boston City Hospital; 818 Harrison Ave.; Boston, Mass. 02118; Michael W. Pozen, M.D., Sc.D.; 617-424-5108

AGENCY CONTACT: E.J. Sondik, Ph.D.; Bldg. 31, 5A27;
301-496-6331

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Institute Specific Heart,
Lung & Blood

ABSTRACT: The overall purpose of this evaluation project is to determine the state of knowledge of the realized and potential impact of emergency medical systems toward reducing the toll of sudden death from cardiovascular disease in the United States. The study will determine the extent and nature of analyses already performed in various sections of the United States on the effects of prehospital emergency medical facilities and services. In addition, the project will document the past and ongoing relevant data collection activities as well as already available data in those areas of the country which (1) have improved or upgraded emergency medical facilities over the past decade; or, (2) have not significantly changed their prehospital emergency medical systems over that period. Meeting these objectives will enable the sponsoring agencies (NHLBI and Dept. of Transportation) to determine whether longitudinal studies to assess impact are required, and if so, to identify those areas where sufficient data now exists or will exist to determine the efficacy of the past and future efforts. The contractor's report has been received and additional in-house analysis is now being conducted. The Department of Transportation contributed \$80 K to this project.

DESCRIPTORS: Heart Disease, Literature Review Feature, Bibliography Feature, Emergency Medical Care

EVALUATION TYPE: Program Impact

EDC ID NO: 1515 **PROJECT NO:** NHLBI 78-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-HO-7-2988
COST (In Thousands): FY 78-\$72
PROJECT STATUS: Study completed
START/END DATES: October '77 through May '79

0638

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Heart Lung and Blood Institute

PROJECT TITLE: Evaluation of the Impact of Clinical Trials on Medical Practice

REPORT TITLE: Not yet determined

PERFORMER: Market Facts Inc.; 1750 K St.; N.W.; Washington, D.C.; 202-659-6422

AGENCY CONTACT: Friedman, Lawrence, M.D.; Federal Building; 301-496-4323

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Institute Specific-Heart, Lung & Blood

ABSTRACT: Clinical trials are prospective tests of preventive and prophylactic measures and medical therapies. NIH has extensive investment in clinical trials and individual trials may run as long as ten years and have a total cost exceeding \$100M. Because of their great potential for improving medical practice, NIH wishes to optimize dissemination of results that will lead to critical review and prudent adoption into practice by the practicing professions. This study is an early step toward that end. The project, conducted by NHLBI, will develop and test a methodology to evaluate the impact on medical practice of the results of two clinical trials. The study results are expected to contribute to the improvement of post-trial dissemination techniques. Specifically, the project will evaluate the relationship between current practice and the information dissemination of the results of the Coronary Drug Project (CDP) and the projected results of the Aspirin Myocardial Infarction Study (AMIS). The CDP is a recently completed trial on drug therapy of post-myocardial infarction patients. AMIS is determining the effectiveness of aspirin treatment in post-myocardial infarction patients. Medical practice related to the AMIS results will be assessed before and after the trial results are published.

DESCRIPTORS: Clinical Trials Feature, Heart Disease, Medical Practice, Information Dissemination

EVALUATION TYPE: Program Impact

EDC ID NO: 1518

PROJECT NO: NIH 78-5

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: NO1-HV-8294

COST (In Thousands): FY 78-\$140, FY 79-\$75

PROJECT STATUS: Study in process

START/END DATES: September '78 through August '80

0639

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Heart Lung and Blood Institute

PROJECT TITLE: Evaluation of the Sickle Cell Education Program: Part I

REPORT TITLE: Not yet determined

PERFORMER: Ebon Research System; 10108 Quinby St.; Silver Spring, MD 20901

AGENCY CONTACT: Reid, Clarice, M.D.; Rm 4A14 NIH Bldg. 31, 301-496-6931

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Institute Specific-Heart Lung & Blood;
PHS-NIH-Biomedical Research-Activity-Research Grants & Contracts

ABSTRACT: The National Sickle Cell Disease Program was initiated in 1972 as a major collaborative effort to accelerate research in, and to improve diagnosis, education and treatment of sickle cell anemia. The National Heart, Lung and Blood Institute within the National Institutes of Health (NIH), was named as the lead agency with responsibility for the coordination of the national program. The purpose of this project is to evaluate a major component of the National Program, the Sickle Cell Education Program. The Education Program was created to increase public awareness and understanding about sickle cell anemia and trait. Toward removing the misconceptions and improving public awareness of the problem the program has sponsored continuing education programs by centers and clinics and provided factual information through films, lectures, workshops, seminars, tutorial conferences and printed material. The program is aimed at three target populations: those population(s) at risk, the medical community and the general public. This project will evaluate the program's progress and strategies thus far, emphasizing the effectiveness of the program for each of the target populations: the project will also outline areas for future emphasis and develop base line data on the target populations' awareness and behavior toward sickle cell disease.

DESCRIPTORS: Black Americans, Statistical Data Feature, Social Attitudes and Public Opinion

EVALUATION TYPE: Program Impact

EDC ID NO: 1744

PROJECT NO: NHLBI 77-4

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: NO1-HB-8-2935

COST (In Thousands): FY 78-\$140, FY 79-\$40

PROJECT STATUS: Study in process

START/END DATES: September '78 through September '80

0640

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Heart Lung and Blood Institute

PROJECT TITLE: Social Impact Analysis of NHLBI Heart and Circulatory Disease Programs

REPORT TITLE: Measuring the Social Impact of Heart and Circulatory Disease Programs: Preliminary Framework and Estimates

PERFORMER: The Rand Corporation; 1700 Main Street; Santa Monica, CA; Jan Acton

AGENCY CONTACT: Jacoby, Gail; Grodsky, James; Ringler, Robert; Thom, Thomas; National Heart and Lung Institute, NIH; Bethesda, Md.

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Institute Specific-Heart Lung & Blood;
PHS-NIH-Biomedical Research;
V-Many HEW Programs-Health;
V-Non-HEW Programs-Health & Safety Regulation

ABSTRACT: This project was intended to produce measures of the potential benefits of biomedical research as measured by consumer "willingness to pay" for life-saving. These measures could, when coupled with measures of the costs and expected probability of life-saving discoveries, be used to determine cost-beneficial types and levels of investment in research. The research method requires ascertaining of consumer preferences through surveys. Unfortunately, the necessary forms clearance was not obtained from OMB due to misunderstanding of the theoretical basis of the methodology, which relies on the economic theory of consumer welfare (and in fact underlies all B/C studies which usually use less valid proxy measures

of benefits). The study therefore became exploratory in nature. It shows that the willingness to pay approach is superior to such alternatives as human capital measures and will lead to different results in many cases. The report presents a nine-step procedure for systematically enumerating, quantifying, and measuring social impacts, applicable to evaluation of any social, health, or life-saving program, in terms of the values of the persons whose lives are potentially affected. The report presents an illustrative application to nine categories of heart and circulatory disease, based on a wide variety of data sources including a successful pre-test of the survey. (Final report 135 pages).

DESCRIPTORS: Research and Evaluation Methods Feature, Benefit-Cost Analysis Feature, Survey or Questionnaire Feature, Morbidity and Mortality, Safety, Consumer Products or Protection, Biomedical Research, Consumer Evaluation Feature, Research and Evaluation Performance, Biomedical Research

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0550 **PROJECT NO:** NHLBI 73-4
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NIH-75-C-62
COST (In Thousands): FY 73-563, FY 74-Not available
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC
START/END DATES: May '73 through April '75

0841

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Heart Lung and Blood Institute

PROJECT TITLE: Review, Evaluation, and Updating of the National Heart, Blood Vessel, Lung and Blood Program Advisory Council Report

REPORT TITLE: National Heart, Blood Vessel, Lung, and Blood Program-Second Annual Report of the Advisory Council, and of the Director, NHLI

PERFORMER: JRB Associates, Inc.; 1651 Old Meadow Rd.; McLean, Va 22101

AGENCY CONTACT: Grodsky, James; 301-496-5031

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Institute Specific-Heart Lung & Blood

ABSTRACT: A Congressionally-mandated annual report on the progress of the NHLBI Program is provided by the National Advisory Council. The accomplishments of the program are cited for disease areas such as atherosclerosis, coronary heart disease, and hypertension; lung diseases of infancy and childhood and respiratory failure; and blood diseases such as thrombosis, hemophilia, hemorrhagic disorders, and sickle cell anemia. The status of implementation of a national program is assessed in terms of resources and mechanisms and in terms of prevention, control, and education. It is concluded that continued improvement in national health requires a steady flow of young investigators developing into mature scientists. Recommendations include establishment of a stable policy of manpower development in biomedical research; increased funds for search grants; expansion of the contract mechanism; and a nationwide study to determine if blood resources are being made available to patients in the most effective manner. Physical plant was found overcrowded and antiquated and the level of staffing within the National Heart and Lung Institute inadequate to carry out expanded programs. Recommendations on construction of facilities, staff, location, and increased budget are included. A second volume presents the Director's report on the status of the program and updating the Five-Year Plan. These are the second annual reports, and are available as DHEW publication No. NIH 75-747. (Final report 2 volumes, 226 pages.)

DESCRIPTORS: Biomedical Research, Research and Evaluation Planning and Administration, Research and Evaluation Performance, Blood Bank, Morbidity and Mortality
EVALUATION TYPE: Program Strategy

EDC ID NO: 0554 **PROJECT NO:** NHLI 74-1
FUND SOURCE: Evaluation **NTIS NO:** SHR 1352
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-HO-4-2935
COST (In Thousands): FY 74-\$207, FY 75-\$273
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: April '74 through March '75

0842

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Heart Lung and Blood Institute

PROJECT TITLE: Availability of Behavioral Scientists and Social Psychologists for Cardiovascular Research

REPORT TITLE: Register of Scientists Working in Social and Behavioral Science Areas of Interest to the NHLI

PERFORMER: Office of Program Planning and Evaluation;
National Heart Blood and Lung Institute; Bethesda, Md.

AGENCY CONTACT: Leyton, Dr. R.; 301-496-5031

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Activity-Program Management;
PHS-NIH-Biomedical Research-Institute Specific-Heart Lung & Blood

ABSTRACT: This register is intended to serve as a device for locating scientists working in social and behavioral science areas of interest to the NHLI. The Institute is interested in expanding its outreach into the community of scientists in order to fulfill its mandate. The scientists whose names are listed within are concerned with prevention, prediction, treatment, and mechanisms of disease prior to the manifestation of illness, and with clinical aspects, pharmacologic observations, behavior, cultural anthropology, and rehabilitation during both the acute disease and in the post-disease or chronic period. This register includes scientists working in the fields of anthropology, biostatistics, clinical medicine, economics, education, epidemiology, nursing, nutrition, pediatrics, pharmacology, physiology, psychiatry, psychology, social work, and sociology. The list is not an exhaustive one. The index may be used to obtain a scientist's descriptors, institutions, and department. When the appropriate descriptor is determined, the key word index may be used to determine the names of other scientists working the same social and behavioral science areas. The department and institution index can be consulted to obtain information on social and behavioral science aspects under study at the scientist's department or institution. (Final report 61 pages).

DESCRIPTORS: Scientific Manpower, Biomedical Research, Social Research, Indices and Taxonomies
EVALUATION TYPE: Support

EDC ID NO: 0561 **PROJECT NO:** NHLI 74-3
FUND SOURCE: Salaries and Expenses **NTIS NO:** SHR 1354
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT NO: Not available
COST (In Thousands): FY 74-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: August '74 through June '75

0843

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Heart Lung and Blood Institute

PROJECT TITLE: 5-Year Follow-Up Survey of Public Knowledge
and Self-Reported Behavior Vis a Vis High Blood Pressure

REPORT TITLE: Not yet determined

PERFORMER: Urban Behavioral Research Assoc.; St. Louis, MO;
Lew Harris; 5th Avenue; New York, N.Y.

AGENCY CONTACT: E.J. Sondik, Ph.D.; Bldg. 31, 5A27;
301-496-6331

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Institute Specific-Heart,
Lung, & Blood

ABSTRACT: Since mid-1972, the NHLBI has coordinated the National High Blood Pressure Education Program, a public-private sector cooperative effort to reduce death and disability due to uncontrolled hypertension. A major process step toward control and a secondary objective is improvement, in public knowledge and acceptance of: the nature of hypertension, the seriousness of the health threat of uncontrolled hypertension, the value of periodic blood pressure measurements and the need for life-long adherence to therapy if prescribed. In 1973, baseline data on these and related issues were established through a national interview survey. This project is to conduct a five-year follow-up study to ascertain changes from this baseline as a measure of progress and to assist future planning of the nature and direction of the educational effort. The project has not yet begun; however, it is anticipated that a household interview will be conducted on a national probability sample of U.S. adults. Desired sample size is approximately 10,000 individuals with over-sampling among blacks, who have a particularly high prevalence of high blood pressure. The sample will be stratified by age, race, education, household income, inner-city/urban/rural, ever told hypertensive and now hypertensive. If economically feasible, over-sampling of Hispanic populations using a Spanish language questionnaire will be attempted.

DESCRIPTORS: Preventive Health Care, Heart Disease, Black Americans, Survey or Questionnaire Feature, Health Planning, Research and Evaluation Methods Feature, Social Attitudes and Public Opinion

EVALUATION TYPE: Program Impact

EDC ID NO: 1514

PROJECT NO: NHLBI 78-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: NOI-HO-E-2939

COST (In Thousands): FY 78-\$250

PROJECT STATUS: Study in process

START/END DATES: September '78 through September '79

National Institute on Aging

0844

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute on Aging

PROJECT TITLE: Assessment of Federal Research Support in
Aging: Evaluation of Existing Information Systems Relevant
to Research in Aging

REPORT TITLE: Not yet determined

PERFORMER: Dr. DeWayne Oberlander; 1610 19th Street, N.W.;
Washington, DC 20009

AGENCY CONTACT: Solon, Jerry; NIH Bldg 31, Room 4B44;
301-496-1752

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Program
Management;

PHS-NIH-Biomedical Research-Institute Specific-Aging;
HD-Aging-Research

ABSTRACT: The Research on Aging Act of 1974 (P.L. 93-296) established the National Institute on Aging (NIA) and directed the Secretary to submit to Congress and the President an HEW-wide plan for aging research. Because of the diversity of aging research and the large number of agencies maintaining relevant data sources, development of an integrated data base may be useful for a coordinated research program on aging. Before beginning to design this system, the NIA must assess the content, ease of retrieval, and timeliness of existing systems. This project was a first step in this assessment. Over half of the agencies surveyed were found to be maintaining data sources of value to aging research. Proper use of these sources was immediately valuable for HEW decision-making activities as well as for collaborative research activities within the Department and externally. (See EDC 1240 for financial data and 1241-43 for other related projects).

DESCRIPTORS: Elderly, Biomedical Research, Social Research, Information Systems, Information Dissemination

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1244

PROJECT NO: NIA 76-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: Not yet determined

COST (In Thousands): FY 76-Not available

PROJECT STATUS: Study in process

START/END DATES: February '76 through July '77

0845

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute on Aging

PROJECT TITLE: Assessment of Federal Research Support in
Aging: Assessment of Federal Research Activities in Aging

REPORT TITLE: Directions in Research in Aging (Staff Working
Paper)

PERFORMER: Various

AGENCY CONTACT: Jacoby, Gail; Bldg 31, NIH, Rm 5C35;
301-496-9322

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Program
Management;

PHS-NIH-Biomedical Research-Institute Specific-Aging;
HD-Aging-Research;
V-Many HEW Programs-Social Research & Statistics

ABSTRACT: The Research on Aging Act of 1974 (P.L. 93-296) established the National Institute on Aging (NIA) and directed the Secretary to submit to Congress and the President an HEW-wide plan for aging research. The NIA undertook a series of projects in support of the planning process. The first of these was a survey of R,D, & E related to the aging process and the aged population. The survey instrument developed by NIA was sent to 37 HEW agencies and 20 other Federal departments and agencies involved in such research. NIA's analysis of the responses assessed the scope and magnitude of Federal agingresearch activities and the emphasis given aging relative to the other HEW research areas. In particular, the data collected were analyzed to determine the research areas being studied (broadly grouped into biomedical, behavioral-social, and human services and delivery systems); the numbers and costs of projects associated with these areas and the priorities implied by these investments; and the comprehensiveness of the Federal research effort in aging. The data and analysis from this assessment were used to determine a recommended allocation of organizational responsibilities and resources for the HEW Research Plan on Aging. (The other projects are described in EDC 1240.1 to 1240.4. The cost data above covers all five projects).

DESCRIPTORS: Elderly, Biomedical Research, Social Research, Research and Evaluation Planning and Administration, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1240 **PROJECT NO:** NIA 76-1
FUND SOURCE: Evaluation **NTIS NO:** None
MECHANISM: In-house, Contract **ERIC NO:** Not available
CONTRACT NO: Various
COST (In Thousands): FY 76-\$63
PROJECT STATUS: Study completed
START/END DATES: August '75 through July '76

0846

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute on Aging

PROJECT TITLE: Assessment of Federal Research Support in Aging: Assessment of Existing Legislative Authority Related to Aging Research

REPORT TITLE: The Legislative Underpinnings of the Research Effort on Aging of the DHEW (Staff Working Paper)

PERFORMER: Millman & Greenberg; 1730 M St., NW, Suite 908; Washington, DC 20036; James N. Broder

AGENCY CONTACT: Solon, Jerry; NIH Bldg 31, Room 4B44; 301-496-1752

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Activity-Program Management;
PHS-NIH-Biomedical Research-Institute Specific-Aging; HD-Aging-Research;
V-Many HEW Programs-Social Research & Statistics

ABSTRACT: The Research on Aging Act of 1974 (P.L. 93-296) established the National Institute on Aging (NIA) and directed the Secretary to submit to Congress and the President an HEW-wide plan for aging research. This project was a review and analysis of existing legislation relevant to the Department's aging research activities. This analysis ascertained the respective responsibilities and functions

of the major agencies within HEW that have a specific charge to support research and research training in aging. The assessment helped the NIA to develop recommendations for directing research efforts within HEW and provided the basis for formulating coordinating mechanisms to interrelate the activities to the various Departmental units involved in aging research. (See EDC 1240 for financial data and 1241, 1242, and 1244 for other related projects).

DESCRIPTORS: Elderly, Biomedical Research, Program Coordination, Legal Issues, Research and Evaluation Planning and Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1243 **PROJECT NO:** NIA 76-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: PD-132964-6
COST (In Thousands): FY 76-Not available
PROJECT STATUS: Study in process,
Study completed
START/END DATES: February '76 through May '76

0847

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute on Aging

PROJECT TITLE: Assessment of Federal Research Support in Aging: Assessment of Demographic and Epidemiologic Data on the Aged

REPORT TITLE: The Need for Research in "Our Future Selves: A Research Plan for Understanding Aging" (Staff Working Paper)

PERFORMER: Bernice L. Neugarten, Ph.D.; University of Chicago; 5730 South Woodlawn; Chicago, Illinois 60637

AGENCY CONTACT: Solon, Jerry; NIH Bldg 31, Room 4B44; 301-496-1752

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Activity-Program Management;
PHS-NIH-Biomedical Research-Institute Specific-Aging; HD-Aging-Research

ABSTRACT: The Research on Aging Act of 1974 (P.L. 93-296) established the National Institute on Aging (NIA) and directed the Secretary to submit to Congress and the President an HEW-wide plan for aging research. This project was undertaken because the Institute was in need of accurate data on the aged population, its subgroups and cohorts, and projected population trends, such as changing size, composition, and characteristics, especially on the ever-increasing segment of frail elderly persons over 75 years of age. The NIA therefore commissioned experts in the fields of demography and epidemiology to assess the validity and coherency of the data already collected and in the process of being collected on the aged population and to advise the Institute on how these data could be used. The results of this analysis were used in developing an evaluation methodology and in determining the allocation of resources for research as well as for program and service needs. (See EDC 1240 for financial data and 1241, 1243 and 1244 for other related projects).

DESCRIPTORS: Elderly, Social Research, Statistical Data Feature, Research and Evaluation Performance, Research and Evaluation Planning and Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1242
 FUND SOURCE: Evaluation
 MECHANISM: Contract
 CONTRACT NO: Not yet determined
 COST (In Thousands): FY 76-Not available
 PROJECT STATUS: Study in process,
 Study completed
 START/END DATES: April '76 through June '76

PROJECT NO: NIA 76-1
 NTIS NO: Not yet determined
 ERIC NO: Not available

0848

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 National Institute on Aging

PROJECT TITLE: Assessment of Federal Research Support in
 Aging: State of the Science Assessment of Aging Research

REPORT TITLE: Report of Panel on Biomedical Research (Staff
 Working Paper)

PERFORMER: National Advisory Council on Aging

AGENCY CONTACT: Solon, Jerry; NIH Bldg 31, Room 4B44;
 301-496-1752

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Program
 Management;
 PHS-NIH-Biomedical Research-Institute Specific-Aging;
 HD-Aging-Research

ABSTRACT: The Research on Aging Act of 1974 (P.L. 93-296) established the National Institute on Aging (NIA) and directed the Secretary to submit to Congress and the President an HEW-wide plan for aging research. In this project a wide variety of experts were consulted to assess the state of the national research effort in aging. Information in specific content areas supplied by these experts in biomedical, behavioral-social, and service delivery research were analyzed to determine how fully the research community has addressed problem areas and research objectives of importance to the aged. In particular, the assessment highlighted gaps in the research effort. This assessment provided the context for Departmental decision-making on short- and long-term priorities in aging research. The current evaluation also served as a baseline for future evaluation efforts. (See EDC 1240 for financial data and EDC 1242-1244 for other related projects).

DESCRIPTORS: Elderly, Biomedical Research, Research and Evaluation Planning and Administration, Social Research

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1241
 FUND SOURCE: Evaluation
 MECHANISM: Contract
 CONTRACT NO: Not yet determined
 COST (In Thousands): FY 76-Not available
 PROJECT STATUS: Study in process,
 Study completed
 START/END DATES: August '75 through June '76

PROJECT NO: NIA 76-1
 NTIS NO: Not yet determined
 ERIC NO: Not available

National Institute of Allergy and Infectious
Diseases

0849

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 National Institute of Allergy and Infectious
 Diseases

PROJECT TITLE: Task Force on Immunologically Mediated
 Diseases

REPORT TITLE: Immunology: Its Role in Disease and Health

PERFORMER: Task Force on Immunology and Disease Assisted
 by Association Advisory, Inc.; 1100 17th St., N.W.;
 Washington, D.C. 20034

AGENCY CONTACT: Chamberlayne, Earl C.; Bldg. 31, Rm 2C15;
 301-496-2516

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Program
 Management;
 PHS-NIH-Biomedical Research-Institute Specific-Allergy &
 Infectious Disease

ABSTRACT: This task Force was formed to evaluate present knowledge of immunology and its role in the cause, prevention and treatment of disease. Included in the evaluation were estimates of the socio-economic impact of related diseases and the cost benefits of research in these areas; identification of possibilities for clinical usefulness and the feasibility of rapid achievement and an indication of priority areas of research to increase needed knowledge and abilities. The Task Force operations involved a Directing Group which divided the broad subject into 10 subheadings. Each subheading was explored in depth by a different Panel of senior scientists through a series of meetings, correspondence and invited submissions from contributors. Each of the 10 Panels prepared a lengthy scientific report and a summary report written in less scientific language. The summary report has been published and made widely available to the interested professional and lay readership. The scientific report is in the final stages of editorial preparation. This effort provided information that has been useful in adjusting the content and direction of NIAID programs and priorities. Greater attention and emphasis are now being given to

DESCRIPTORS: Biomedical Research, Medical Technology, Needs and Needs Assessment, Trends and Forecasts, Research and Evaluation Planning and Administration, Literature Review Feature, Economic Analysis Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0886
 FUND SOURCE: Evaluation
 MECHANISM: Contract
 CONTRACT NO: NIH 73-C-175
 COST (In Thousands): FY 73-\$116, FY 74-\$6
 PROJECT STATUS: Study completed
 START/END DATES: July '72 through April '77

PROJECT NO: NIAID 73-1
 NTIS NO: Not yet determined
 ERIC NO: Not available

0851

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 National Institute of Allergy and Infectious
 Diseases

PROJECT TITLE: Determination of Meaningful Measurements of the Infectious and Allergic Disease Impact on Health and the Quality of Life

REPORT TITLE: Infectious and Allergic Diseases and Their Impacts on the Quality of Life: Annotated Bibliography

PERFORMER: University of Florida; 219 Grinter Hall; Gainesville, Florida 32611

AGENCY CONTACT: Dr. John R. Seal; Bldg. 3; Rm. 7A 03; NIH; 301-496-9118

PROGRAM(S) EVALUATED:

PHS-NIH Biomedical Research-Activity-Program Management;

PHS-NIH Biomedical Research-Institute Specific-Allergy & Infectious Diseases

ABSTRACT: The University of Florida conducted methodological research on the measurement of the impacts of disease on health and quality of life. Of particular concern was the development of valid tools for the collection and analysis of data necessary to measure the cost of infectious and allergic diseases with respect to their socioeconomic impacts. Workshops and extensive literature review and analysis were utilized in this study. An annotated bibliography of pertinent literature, a report on the more useful techniques, and a report describing recommended new services for accomplishing such assessments were prepared. In specific, quantifying differences between two groups of children, one with illness and the other without, was felt to be the best experimental tactics. Computer simulation models for evaluating disease impact, using influenza as an example, was included.

DESCRIPTORS: Morbidity and Mortality, Social Indicators, Bibliography Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0887

PROJECT NO: NIAID 74-1

FUND SOURCE: Evaluation

NTIS NO: PB 288-729

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: NOI-AI-4-2558

COST (In Thousands): FY 74-\$22, FY 75-\$51, FY 76-\$4, FY 77-\$44

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: June '74 through September '78

0852

AGENCY SPONSOR: Public Health Service

National Institutes of Health

National Institute of Allergy and Infectious Diseases

PROJECT TITLE: Evaluation of the Special Emphasis Program--Sexually Transmitted Diseases

REPORT TITLE: Summary Report of the Evaluation of the NIAID Special Emphasis Program on Sexually Transmitted Diseases

PERFORMER: NIAID Chartered Infectious Disease Committee

AGENCY CONTACT: Puzios, Milton; Rm. 704 Westwood Bldg.; 301-496-7728

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Institute Specific-Allergy & Infectious Disease

ABSTRACT: This project involved the evaluation of the National Institute of Allergy and Infectious Diseases (NIAID) special emphasis program on Sexually Transmitted Disease. The evaluation provided an opportunity to review the program goal and needs statements

for adequacy and to assess the project portfolio against this standard. Highlighted were shortcomings and means to overcome them as well as major accomplishments. The review was conducted by an NIAID chartered committee, the Infectious Disease Committee with four ad-hoc reviewers to increase committee expertise in the area of STD. Grantees and project directors, representing the range of program endeavors were asked to present their most recent research results and to submit a one-page abstract well in advance of the meeting. Evaluations were also provided with background literature on the program against which the program portfolio was assessed. Recommendations divided: (1) Adopt the more inclusive definition of STD as a basis for program development; (2) Increase program emphasis in (a) Epidemiologic characterization of the complex of disease entities that comprise the STD problem, (b) Viral diseases of relevance to STD including herpes virus hominis type II and CMV, (c) In VITRO cultivation of the treponeme causing syphilis, and (d) infections caused by the B group of streptococci; (3) Initiate evaluation of public health policy aspects of STD-related vaccines developed in the near future. (Summary report 122 pages.)

DESCRIPTORS: Biomedical Research, Venereal Disease, Conference Proceedings Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1512

PROJECT NO: NIAID 76-1

FUND SOURCE: Evaluation

NTIS NO: PB 282-506

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 263-076-0752

COST (In Thousands): FY 77-\$8

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: March '77 through December '77

National Institute of Arthritis Metabolism and Digestive Diseases

0853

AGENCY SPONSOR: Public Health Service

National Institutes of Health

National Institute of Arthritis Metabolism and Digestive Diseases

PROJECT TITLE: Evaluation of Research Alternatives to Minimize SSA Medicare Costs for Dialysis Treatment

REPORT TITLE: Not yet determined

PERFORMER: Art. Kid. Chron. Uremia Program; NIAMDD/NIH; Bethesda, MD; Robert J. Wineman; 301-496-4881

AGENCY CONTACT: Wineman, Robert J.; NIH Bldg. 31; Rm 9A-05; 301-496-4881

PROGRAM(S) EVALUATED:

HCFA-Medicare-Hospital Insurance;

PHS-NIH-Biomedical Research-Activity-Research Grants & Contracts;

PHS-NIH-Biomedical Research-Institute-Specific-Arthritis Metabolic & Digestive

ABSTRACT: The purpose of this project is to produce a report to serve as a basis for decisions on Artificial Kidney Chronic Uremia Program (AKCUP) content with the objective of reducing costs of renal dialysis treatment. Such care is estimated to cost the Federal government about \$1 billion by 1980. Data will be collected on the incidence of complications of dialysis patients; the relationship of the complication to mode of therapy and to the patient's medical history; and the cost

of treatment. Using this data, consultants will be asked to suggest research alternatives directed towards minimizing complications and other therapies which may reduce cost of dialysis treatment. These alternatives will be evaluated by staff to produce final report. Staff of the AKCUP will design the data collection format to be used by five major providers of dialysis services for chronic uremia; failure patients. NIH computer facilities will be used to analyze the data and costs of complications will be determined from the number of hospital days. With the background of this data and research alternatives provided by consultants, a summary document will be produced which will present research directions judged to have high feasibility and to be most cost effective. (In-house staff costs not shown above.)

DESCRIPTORS: Biomedical Research, Morbidity and Mortality, Research and Evaluation Planning and Administration
EVALUATION TYPE: Program Strategy

EDC ID NO: 1227 **PROJECT NO:** NIAMDD 75-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT/GRANT NO: Not available
COST (In Thousands): FY 77-\$36, FY 78-\$10
PROJECT STATUS: Study in process
START/END DATES: July '75 through June '78

0854

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute of Arthritis Metabolism and Digestive Diseases

PROJECT TITLE: An Evaluation of Research Needs in Endocrinology and Metabolic Diseases

REPORT TITLE: Not yet determined

PERFORMER: Beth Israel Hospital; Boston, Massachusetts; Ingbar, Sidney H., M.D., 617-735-2028

AGENCY CONTACT: Salans, Lester, M.D.; Rm. 9A-47 BLDG 31; 301-496-6983

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-research Grants & Contracts;
PHS-NIH-Biomedical Research-Institute Specific-Arthritis Metabolic & Digestive

ABSTRACT: The purpose of this project is to evaluate the fields of endocrinology and metabolic diseases using these objectives: 1) highlight past accomplishments of research in these fields and relate them to improvements in human health and well-being; 2) summarize the current state of knowledge in these fields to provide background and documentation for recommendations for future directions and emphasis of research programs. The feasibility of research opportunities will be assessed as will the requirement for technical and methodological advances and manpower resources to implement them. An Advisory Committee has been recruited, seventeen topic areas have been identified and work group chairpersons designated. The topics to be explored are: 1) cellular and molecular mechanisms of hormone action; 2) hormone biosynthesis, secretion and metabolism; 3) aging; 4) cancer; 5) growth and development; 6) conception, reproduction, parturition, sexual differentiation; 7) bone and mineral metabolism; 8) thyroid; 9) energy metabolism and exchange; 10) lipid metabolism and atherosclerosis; 11) neuroendocrinology; behavior and brain function; 12) hypertension and cardiovascular-renal disease; 13) adrenal cortex: medulla and catecholamines; 14) metabolic diseases; 15) hormones in paracrine secretions. Following completion of topic studies (Phase II), the reports will be collated for the final report (Phase III).

DESCRIPTORS: Biomedical Research, Research and Evaluation Planning and Administration, Scientific Manpower
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1501 **PROJECT NO:** NIAMDD 77-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-AM-7-2236
COST (In Thousands): FY 77-\$65, FY 78-\$150
PROJECT STATUS: Study in process
START/END DATES: September '77 through February '80

0855

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute of Arthritis Metabolism and Digestive Diseases

PROJECT TITLE: Evaluation of the Hematology Program of NIAMDD in Relation to Identification of Research Needs

REPORT TITLE: Not yet determined

PERFORMER: Albert Einstein College of Medicine; 1300 Morris Park Ave.; New York, N.Y. 10461; Dr. Ernest Jaffe

AGENCY CONTACT: David G. Badman, Ph.D.; Westwood Building, Room 621; 301-496-7458

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Research Grants & Contracts;
PHS-NIH-Biomedical Research-Institute Specific-Arthritis, Metabolism & Digestive Diseases

ABSTRACT: The project will analyze the current state of research in hematology identifying gap areas and the technological advances needed to close them, assess the need for a detailed study of hematology research manpower, and evaluate the extramural hematology program of NIAMDD in relation to the identified needs. The purpose of the evaluation is to 1) provide a rational basis for planning to meet the needs for fundamental and clinical research on hematologic diseases 2) analyze and evaluate overlap with other NIH programs and the means for resolving any overlaps 3) identify areas of research not receiving sufficient emphasis 4) identify new or static areas of research which would benefit from stimulation and 5) explore the need for a detailed study to assess manpower needs for research. The study will be supported by a contract under which a steering committee of consultants will oversee the activities of about ten work groups addressing areas of hematology research within NIAMDD's mission. Close coordination with the blood programs of other NIH B/I/Ds will be maintained.

DESCRIPTORS: Biomedical Research, Needs and Needs Assessment, Health Manpower Projections, Medical Technology, Health Planning

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1513 **PROJECT NO:** NIAMDD-78-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-AM-8-2219
COST (In Thousands): FY 78-\$100, FY 79-\$75
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '80

0856

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute of Arthritis Metabolism and
Digestive Diseases

PROJECT TITLE: Evaluation of the Effect of the National Pituitary
Agency on the field of Endocrinology

REPORT TITLE: An Evaluation of the National Pituitary Agency
PERFORMER: National Research Council; National Academy of
Sciences; 2101 Constitution Ave., N.W. Washington D.C.
20418

AGENCY CONTACT: Tolman, R.

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research Institute Specific-Arthritis
Metabolic & Digestive

ABSTRACT: The NPA has had a far reaching impact on the biomedical scientific community and on medical practice in a broad segment in the field of endocrinology. Although the NPA has contributed substantially to the advancement of the study and knowledge of the structure and function of human pituitary hormones and their clinical application, the shortcomings can largely be attributed to the fact that this has an entirely new undertaking with no established guidelines, understaffing, and absence of the benefits and safeguards to be derived from periodic external expert review by competent representation from the scientific, medical, legal and managerial professions. The NPA operation should be reviewed periodically by an external body. Longrange proposals for the pituitary agency include total centralization production of hormones in one central laboratory facility and expansion of the agency to include processing and distribution of product from other human tissues require additional study as well as additional sources of money. The Institute plans continuing studies along these lines.

DESCRIPTORS: Biomedical Research, Research and Evaluation Performance, Federal Administration, Trends and Forecasts, Health Care Delivery Systems, Health Planning, Drugs Therapeutic and Devices, Medical Technology

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0389 **PROJECT NO:** NIAMD 72-3
FUND SOURCE: Evaluation **NTIS NO:** PB-252-627
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NOI-AM-3-2211
COST (In Thousands): FY 73-\$75

PROJECT STATUS: Study completed,
Executive summary at EDC,
Available from EDC,
Available from NTIS

START/END DATES: March '73 through April '74

0857

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute of Arthritis Metabolism and
Digestive Diseases

PROJECT TITLE: Evaluation of the Needs and Priorities of
Dermatologic Research

REPORT TITLE: Not yet determined

PERFORMER: University of Washington; Seattle, Washington 9819;
George P. Odland, M.D., 206-543-5290

AGENCY CONTACT: Miller, Laurence, M.D.; Rm. 607 Westwood
Bldg; 301-496-7326

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Research Grants &
Contracts;
PHS-NIH-Biomedical Research-Institute Specific-Arthritis &
Metabolic Digestive

ABSTRACT: The questions to be answered by this project include: 1) What is the current knowledge of the pathogenesis of major dermatologic diseases? 2) What is the extent of ongoing basic and clinical investigation into these conditions? 3) Which dermatologic diseases require additional research support in order to develop more effective therapy? 4) Is there need to expand research resources in dermatology? 5) How many demonstrated research priorities in dermatology be translated into a realistic funding plan for NIH? The NIAMD has been directed to carry out this analysis of the needs and priorities for dermatologic research by the Congress and the completed study is expected to serve as a basis for planning future program activity not only in NIAMD but also in NCI, NIAID, and NIOSH. A special coordination committee has begun work on 1) psoriasis 2) eczematous and immunologic disease 3) acne and sebaceous gland disease 4) infections and infestations 5) malignant and benign tumors 6) disorders of pigmentation 7) drug and instrument development 8) aging and other hazards 10) prevalence, morbidity and costs 11) birth defects and genetic diseases and 12) itch, pain and sweat. Reports from the work groups will be collated and edited to serve as the basis for the final report expected by the end of the contract period.

DESCRIPTORS: Biomedical Research, Research and Evaluation Planning and Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1502 **PROJECT NO:** NIAMD 77-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NOI-AM-7-2215
COST (In Thousands): FY 77-\$124, FY 78-\$126
PROJECT STATUS: Study in process
START/END DATES: July '77 through July '79

0858

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute of Arthritis Metabolism and
Digestive Diseases

PROJECT TITLE: Evaluation of Research Needs in Nephrology and
Urology

REPORT TITLE: Research Needs in Nephrology and Urology (5
Volumes)

PERFORMER: University of North Carolina; Chapel Hill, N.C.
27514; Dr. Carl Gottschalk

AGENCY CONTACT: Cummings, Dr. Nancy; Rm 9A-17; Bldg. 31;
301-496-9091

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Research Grants &
Contracts;
PHS-NIH-Biomedical Research-Institute Specific-Arthritis
Metabolic & Digestive

ABSTRACT: The objectives of this study were to assess the state of the art research in the fields of nephrology and urology and make recommendations for future research in these areas. Eleven specialty committees made detailed study of their areas and reported their findings and recommendations to a coordinating committee. This committee reviewed the findings to avoid overlap and to ensure that critical areas were covered. A need for intensified research was identified in

the following areas: immunopathologic mechanisms in glomerulonephritis; interaction of the kidney and environmental toxins; epidemiologic studies; pre-tion, transplantation and rejection of donor kidneys; the kidney and high blood pressure; blood clotting disturbances in kidney disease; prevention of urinary tract infection; therapy of benign prostatic hyperplasia; neuromuscular function of the urinary tract; the formation and prevention of kidney stones; impaired growth and nutrition in pediatric nephrology; and the normal structure and function of the urinary system. The report is expected to serve as a basis for designing future program activity, as a guide to constructing research budgets, and for informing the general public concerning the needs for research in nephrology and urology. (Five volumes, approximately 600 pages) Evaluation funds of \$127,000 were supplemented by \$133,000 of NIAMDD research funds. DHEW Publication No. (N 78-1481. Copies may be obtained from project officer.

DESCRIPTORS: Needs and Needs Assessment, Morbidity and Mortality, Research and Evaluation Methods Feature, Biomedical Research
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0884 **PROJECT NO:** NIAMD 74-1
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-AM-4-2228
COST (In Thousands): FY 74-\$127
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: June '74 through June '76

0859

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute of Arthritis Metabolism and Digestive Diseases

PROJECT TITLE: An Evaluation of Research Needs in Digestive Diseases

REPORT TITLE: A Survey of Opportunities and Needs in Research on Digestive Diseases

PERFORMER: University of California; Office of Extramural Research; 405 Hilgard Avenue; Los Angeles, CA 90024, Dr. Morton Grossman

AGENCY CONTACT: Roth, Harold, Dr.; 301-496-1333

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Institute Specific-Arthritis Metabolic & Digestive

ABSTRACT: This evaluation was to determine the status of current knowledge, primary problems, obstacles to research progress and future research objectives in all or selected areas of digestive diseases. Key research needs and opportunities were identified, directions and plans for future development were addressed and priorities were determined within identified research areas. The amount of research activity in digestive diseases being supported by government, industry and academic institutions will be determined. A coordinating committee composed of experts in the many aspects of gastrointestinal and liver function, in health and disease, and with knowledge of current research activities, will be responsible for organizing, planning and conducting the evaluation with contract support. For each of twelve study areas, a summary report reviews the current research effort and proposed recommendations, directions and strategies for future developments. For reprints see Gastroenterology, Vol. 69, No. 5, November 1975. (Final report 129 pages.)

DESCRIPTORS: Needs and Needs Assessment, Morbidity and Mortality, Research and Evaluation Methods Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0883 **PROJECT NO:** NIAMD 73-2
FUND SOURCE: Evaluation **NTIS NO:** Not available
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-AM-3-2207
COST (In Thousands): FY 73-\$92, FY 74-\$81
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: November '72 through June '77

National Institute of Child Health and Human Development

0860

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute of Child Health and Human Development

PROJECT TITLE: Evaluation of NICHD Mental Retardation and Population Research Centers

REPORT TITLE: Evaluation of the NICHD Research Centers Mental Retardation and Population Science

PERFORMER: Orkand Corporation; 8630 Fenton Street; Silver Spring, Md.

AGENCY CONTACT: Gaines, George W.; Rm. 2A-16 Bldg. 3J; 301-496-1877

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Activity-Research Grants & Contracts;
PHS-NIH-Biomedical Research-Activity-Training;
PHS-NIH-Biomedical Research-Institute Specific-Child Health & Human Development

ABSTRACT: Two major research investments made by NICHD in pursuit of its mission were the establishment and continued support of its Mental Retardation Research Centers' and Population Research Centers' Programs. The specific objectives of the project are to develop and implement a review and evaluation of the research performance of these two Centers' programs. The evaluation will seek to provide the Director of NICHD, the Institute's staff and the leadership of the Centers with significant data about the research performance of the Centers upon which to base informed decisions affecting the future of the programs. The conduct of the evaluation is the responsibility of the NICHD Centers Evaluation Task Force established by the Planning and Evaluation Committee of the National Advisory Child Health and Human Development Council and the NICHD Director. The Task Force, composed of four nongovernment experts, will, with the assistance of a support contractor and scientific consultants, make the final evaluative judgments in the study. As of September 1977, the members of the Task Force have been selected and approved. A support contractor has been selected and the project is on schedule.

DESCRIPTORS: Biomedical Research, Grant and Contract Management, Maternal and Child Health, Health Manpower Training, research and Evaluation Performance, Mental Health, Family Planning
EVALUATION TYPE: Program Impact

EDC ID NO: 1503 **PROJECT NO:** NICHD 77-1
FUND SOURCE: Evaluation **NTIS NO:** PB 292-727
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-HD-7-2850

COST (In Thousands): FY 77-\$144, FY 78-\$77

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: September '77 through December '78

0861

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute of Child Health and Human Development

PROJECT TITLE: Study of Research and Training Activity in Maternal and Child Health

REPORT TITLE: Maternal and Child Health Research

PERFORMER: National Academy of Sciences; 2101 Constitution Avenue; Washington, D.C. 20418

AGENCY CONTACT: Hill, James G.; Bldg 31, Rm 2A08; 301-496-1848

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Training;
PHS-NIH-Biomedical Research-Institute Specific-Child Health & Human Development

ABSTRACT: The committee's report identified a number of "correctable deficiencies: in the U.S. research and training efforts and calls upon NICHD to assume "lead agency" responsibility for initiating and supporting the needed programs and changes. One of the major deficiencies, according to the report, has been inadequate support for fundamental research in areas such as developmental human genetics, developmental biology, developmental pharmacology, neurobiology, and behavioral sciences. Research embracing a variety of basic disciplines must be supported and major multidisciplinary research centers organized, while at the same time continuing support of meritorious investigator initiated research, particularly that pursuing answers to fundamental problems. Other research priorities cited here include pregnancy, embryonic fetal development, and the causes of prematurity and initiation of labor. Inasmuch as no comprehensive data on U.S. maternal, fetal, and child health research exists, a national registry of federally funded programs in these areas is recommended. Expanded training of investigators at all levels is "essential", the report says, with the suggestion of special programs to attract researchers into maternal and child health research. (Final report 108 pages plus 2 appendices).

DESCRIPTORS: Maternal and Child Health, Biomedical Research, Scientific Manpower, Research and Evaluation Planning and Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0896

PROJECT NO: NICHD-75-1

FUND SOURCE: Evaluation

NTIS NO: PB 256-025

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: NO1-HD-5-2818

COST (In Thousands): FY 75-\$60, FY 76-\$20

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: February '75 through September '76

National Institute of Dental Research

0862

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute of Dental Research

PROJECT TITLE: Evaluation of the NIDR Periodontal Disease Research Activities

REPORT TITLE: Evaluation of the NIDR Periodontal Disease Research Activity: Report of the Ad Hoc Scientific Evaluation Panel (April 1976)

PERFORMER: JRB Associates, Inc.; 1651 Old Meadow Rd.; McLean, Va 22101; and Ad Hoc Scientific Evaluation Panel (Dr. William D. McHugh, Chairman)

AGENCY CONTACT: Riches, Helen; NIH Bldg 31, Rm 2C39

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Intramural Research;
PHS-NIH-Biomedical Research-Activity-Research Grants & Contracts;
PHS-NIH-Biomedical Research-Institute Specific-Dental

ABSTRACT: The final report provides an organized description of all NIDR periodontal research activities (extramural, intramural and centers), the interrelationships between sectors, the major thrusts of each activity, and research needs and opportunities. The program's strengths were found to be that it yields a great deal of information about the etiology of periodontal disease, contributes greatly to the knowledge of connective tissues and understanding of immune responses, and is notable for the high scientific quality of many research projects. Two major program weaknesses were identified: (1) program imbalance in the relative lack of clinical research and lack of adequate support for research in periodontal microbiology; and (2) the unevenness in scientific quality among research projects. It was found that two major research areas received inadequate attention and support: prevention, diagnosis, and treatment of periodontal disease; and periodontal microbiology. The recommendations of the report concerning program balance and allocation of NIDR resources to periodontal research have already been reflected in budget projections. A meeting of the Periodontal Disease Advisory Committee endorsed the report and recommended that action be taken to announce NIDR interest in developing clinical research programs and programs for training clinical investigators. The Senate HEW Appropriations Committee Report (No. 94-997, pg. 48) took note of this evaluation effort in allowing an increase for more emphasis on periodontal disease. (Final report 69 pages plus appendices).

DESCRIPTORS: Biomedical Research, Research and Evaluation Planning and Administration, Dental Health Care

EVALUATION TYPE: Program Strategy

EDC ID NO: 0551

PROJECT NO: NIDR 75-2

FUND SOURCE: Evaluation

NTIS NO: SHR-0001362

MECHANISM: Contract, In-house

ERIC NO: Not available

CONTRACT NO: NIH-75-C-354

COST (In Thousands): FY 75-\$80, FY 76-\$44, FY 77-\$2

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: February '75 through December '76

0863

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute of Dental Research

PROJECT TITLE: Psychosocial Aspects of Craniofacial Malformations

REPORT TITLE: None

PERFORMER: Workshop under Direction of Craniofacial Anomalies Program, NIDR

AGENCY CONTACT: Hausch, George H.; NIH Westwood Bldg., Rm 509; 301-496-7749

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Activity-Research Grants & Contracts;
PHS-NIH-Biomedical Research-Institute Specific-Dental

ABSTRACT: This workshop, under the guidance of Craniofacial Anomalies Program of the NIDR, was designed to be an in-depth assessment of the achievements, directions, and needs of research in the psychosocial aspects of craniofacial malformations. Since the most common of such malformations is malocclusion, the Workshop was intended to generate information primarily applicable to it. However, the intent was that considerations also be given to the more severe congenital malformations such as cleft lip and craniofacial dysostosis. The objectives of this project, i.e., evaluating the state-of-the-art of research in the subject research area and generating recommendations for future research, have essentially been met. Some of the recommendations have been utilized to generate one contract and to program several grant applications. Plans for a final published report have not materialized. Summary of the workshop conference is available from NIDR.

DESCRIPTORS: Biomedical Research, Dental Health Care, Research and Evaluation Planning and Administration
EVALUATION TYPE: Support

EDC ID NO: 0570

PROJECT NO: NIDR 74-1H

FUND SOURCE: Evaluation

NTIS NO: Not available

MECHANISM: In-house, Contract

ERIC NO: Not available

CONTRACT NO: Not yet determined

COST (In Thousands): FY 75-\$14, FY 76-\$3

PROJECT STATUS: Study in process,
Study completed

START/END DATES: October '74 through October '76

0864

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute of Dental Research

PROJECT TITLE: Scientific Progress Assessments

REPORT TITLE: Not yet determined

PERFORMER: Caries Grant Programs Branch; National Institute of Dental Research; Bethesda MD 20014

AGENCY CONTACT: Riches, Helen; 301-496-7455

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Institute Specific-Dental

ABSTRACT: The objective of this project is to periodically assess the level of accumulated knowledge and future direction of research in various program areas of oral health. A series of scientific assessments to answer the question, "what is the state-of-the-art?" in the subject component selected will determine if the subject warrants research, the degree of emphasis, the appropriateness of directions and methods, and assess the likelihood of "pay-off" and its relevance to oral health.

DESCRIPTORS: Biomedical Research, Dental Health Care, Literature Review Feature, Research and Evaluation Planning and Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0904

PROJECT NO: NIDR 73-1

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 73-\$16, FY 74-\$19

PROJECT STATUS: Study completed

START/END DATES: April '73 through April '74

National Institute of General Medical Sciences

0865

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute of General Medical Sciences

PROJECT TITLE: Applications of Bibliometric Methods to the Analysis and Tracing of Scientific Discoveries

REPORT TITLE: Not yet determined

PERFORMER: Institute for Scientific Information; 325 Chestnut St.; Philadelphia, PA. 19106

AGENCY CONTACT: Marvin Cassman, Ph.D.; 301-496-7463

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Activity-Research Grants & Contracts;
PHS-NIH-Biomedical Research-Activity-Intramural Research

ABSTRACT: The purpose of this evaluation project is to provide a methodology for tracing the manner by which basic biomedical research leads to clinical applications. The approach to be used involves tracing patterns of citations in the scientific literature. Both retrospective analysis of clinical applications and prospective analysis of basic science discoveries are to be attempted. Ultimately, it is hoped that transition points can be identified at which emphasis changes from basic to clinical, and, perhaps, to develop hypotheses as to how transitions occur. Studies are under way linking basic research and clinical studies for Lesch-Nyhan and Tay-Sachs diseases, and studies on methotrexate, a drug used in the treatment of acute leukemia and choriocarcinoma. Computer tracings have been completed for the Lesch-Nyhan case, while such tracings are nearing completion for the Tay-Sachs study. The major remaining tasks are collection of demographic and funding acknowledgement for key papers, and interviews with specialists to evaluate the data. NIGMS initiated this project with a \$24K contract in FY 78. It is receiving evaluation set-aside support in FY 79.

DESCRIPTORS: Biomedical Research, Program Coordination, Research and Evaluation Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1525

PROJECT NO: NIGMS-79-301

FUND SOURCE: Evaluation, Research

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: NO1-GM-8-2126

COST (In Thousands): FY 78-\$24, FY 79-\$20

PROJECT STATUS: Study in process
START/END DATES: May '78 through April '79

0866

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute of General Medical Sciences

PROJECT TITLE: SOSSUS Evaluation of Surgical Research

REPORT TITLE: Contributions of Surgical Research to Health Care, 1945-1970: Report of the Research Subcommittee on Surgical Services, U.S.

PERFORMER: Regents of the University of California San Diego;
P.O. Box 109; La Jolla, California 92037

AGENCY CONTACT: Chen, P.

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Institute Specific-General Medical

ABSTRACT: The essence of this report is a collection and analysis of data that portrays the role of surgical research in health care during the years 1945 to 1970. Contributions to health care, data on the effect of these contributions, analysis on the advances in surgery and funding of biomedical research in general are covered in the report. (Final report 681 pages).

DESCRIPTORS: Survey or Questionnaire Feature, Statistical Data Feature, Statistical Analysis Methods Feature, Biomedical Research, Health Care Delivery Systems, Economic Analysis Feature, Grants-in-Aid, Health Care Techniques

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0390 **PROJECT NO:** NIGMS 72-4
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: NIH-NIGMS-72-2096

COST (In Thousands): FY 72-\$41

PROJECT STATUS: Study completed,
Executive summary at EDC

START/END DATES: July '72 through June '74

0867

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute of General Medical Sciences

PROJECT TITLE: A Study to Evaluate the Usefulness of Existing Genetic Registries

REPORT TITLE: Various

PERFORMER: National Institute of General Medical Sciences;
NIH; Bethesda, Md.

AGENCY CONTACT: Bergman, F.; 301-496-7087

PROGRAM(S) EVALUATED:
Not yet determined

ABSTRACT: The following publications are inhouse products conducted by the National Institute of General Medical Sciences: Anesthesiology: Its Expanding Role in Medicine: A Research Conference Report; The Future of Research in Trauma; and, a Monthly Newsletter, Genetic Counseling, June 1975 are available from the EDC.

DESCRIPTORS: Counseling, Consumer Education, Morbidity and Mortality, Demonstration Projects, Family Planning
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0563 **PROJECT NO:** NIGMS 74-1

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Not available **ERIC NO:** Not available

CONTRACT NO: Not available

COST (In Thousands): FY 74-\$ND, FY 75-\$7

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: July '75 through December '75

0868

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute of General Medical Sciences

PROJECT TITLE: A Study to Evaluate the Usefulness of Existing Genetic Registries

REPORT TITLE: Not yet determined

PERFORMER: Parry, Dilys Ph.D.; 3040 Idaho Ave, NW;
Washington DC

AGENCY CONTACT: Bergman, F.; 301-496-7087

PROGRAM(S) EVALUATED:
Not yet determined

ABSTRACT: The aim is to gather information concerning the objectives, methodologies, content, operational policies, and accomplishments of existing genetic registries in the US and Canada. Information is to be obtained by site visits and other inquiries to registries. The degree of compatibility and pros and cons of pooling will be explored.

DESCRIPTORS: Counseling, Consumer Education, Morbidity and Mortality, Demonstration Projects, Family Planning
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0564 **PROJECT NO:** NIGMS 74-1

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: Not yet determined

COST (In Thousands): FY 74-\$ND, FY 75-\$7

PROJECT STATUS: Study in process

START/END DATES: July '75 through December '75

National Institute of Neurological and Communicable Diseases and Stroke

0869

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute of Neurological and Communicable Diseases and Stroke

PROJECT TITLE: The Comprehensive Disease Statistics Survey

REPORT TITLE: Not yet determined

PERFORMER: National Center for Health Statistics and Contractor

AGENCY CONTACT: Weinfeld, Frederic Dr.; Rm. 7C-14, Federal Bldg.

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Research Grants &
Contracts PHS-NIH-Biomedical Research-Institute
Specific-Neurological Communicative & Stroke

ABSTRACT: A study to develop methodology for testing the feasibility of a data collection system for obtaining hospital incidence and prevalence data, from a national sample of hospital discharge records, for selected neurological and other diseases of interest. A key objective of a successful pilot study would be to develop a survey program which would permit the annual collection of data on these disorders in order to develop trends of their incidence and prevalence. A comprehensive approach to the collection of disease statistics is required in order to reduce the redundancy, costs, and respondent burden associated with the collection of these data. (NINCDS has provided an additional \$800,000 to this project) The contractor is in the process of developing the clinical algorithms with an advisory group of physicians, preparing the data abstraction forms, and designing the sampling methodology for the survey.

DESCRIPTORS: Morbidity and Mortality, Survey or Questionnaire Feature, Hospitals, Biomedical Research

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1504

PROJECT NO: NINCDS 77-1

FUND SOURCE: Evaluation,
Research

NTIS NO: Not yet determined

MECHANISM: Contract/In-house

ERIC NO: Not available

CONTRACT NO: N01-NS-2379

COST (In Thousands): FY 77-\$245

PROJECT STATUS: Study in process

START/END DATES: September '77 through August '80

0870

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute of Neurological and
Communicable Diseases and Stroke

PROJECT TITLE: Development and Test of Methods for Collection of Supplementary Survey Data for Determining Trends

REPORT TITLE: Not yet determined

PERFORMER: Research Triangle Institute; Research Triangle Park, NC

AGENCY CONTACT: Anderson, Dr. Dallas W.; Rm 7C-14 Federal Bldg.

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Research-Grants &
Contracts;
PHS-NIH-Biomedical Research-Institute
Specific-Neurological Communicative & Stroke

ABSTRACT: The National Institute of Neurological and Communicative Disorders and Stroke (NINCDS) is interested in developing the capability of producing periodic estimates of the incidence, prevalence, and health costs for selected neurological disorders. NINCDS experience to date suggests that it is too expensive to keep funding major surveys which would have to be repeated every few years to investigate trends every time. The Institute is currently investigating, through the contract mechanism, a few approaches which promise to be relatively cost-effective in that several neurological disorders could be studied on a continuing basis for the price of one or two major surveys of the current type. One of these approaches, the methodology developed specifically for the Head and Spinal Cord Injury (HSCI) Survey, appears to lend itself particularly well to periodic implementation; furthermore, it provided information valuable for other periodic approaches. This

DESCRIPTORS: Survey or Questionnaire Feature, Trends and Forecasts, Communicable Disease, Program Coordination
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1748

PROJECT NO: NINCDS 77-3

FUND SOURCE: SAF and
NINCDS

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: N01-NS-4-2334

COST (In Thousands): FY 77-\$41

PROJECT STATUS: Study completed

START/END DATES: October '76 through December '78

0871

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute of Neurological and
Communicable Diseases and Stroke

PROJECT TITLE: Teaching and Research Manpower Needs in Basic Neurologic and Communicative Sciences

REPORT TITLE: Manpower in Basic Neurologic and
Communicative Sciences: Present Status and Future Needs

PERFORMER: National Academy of Sciences; 2101 Constitution Ave., N.W.; Washington, D.C.

AGENCY CONTACT: Ray, Malcolm; NIH Federal Bldg., Rm 1020C; 301-496-9234

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Institute Specific-Neurological
Communicative & Stroke;
PHS-NIH-Biomedical Research Activity-Training

ABSTRACT: There are now 7,500 scientists working in the basic neurobehavioral and communicative sciences areas, 89 percent in academic and 11 percent in nonacademic settings. 65 percent have Ph.D.s, 23 percent have M.D.s, and 12 percent hold both degrees. The main source of neuroscientists is new doctorates. During 1961-1973, 160 institutions awarded more than 2,400 doctorates in the neuro- and communicative sciences. Annual growth rates in this area for 1969-1973 have been about 10 percent, in contrast to declining, zero, or negative growth of Ph.D.s in biology, basic medical sciences and biopsychology. A pool of 15,000 neuroscientists will be needed by 1985, double the present number. Expanding employment opportunities are anticipated in medical faculty staffing as compared to the traditional disciplines. (243 pages).

DESCRIPTORS: Health Manpower Training, Health Manpower Projections

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0885

PROJECT NO: NINCDS 73-1

FUND SOURCE: Evaluation

NTIS NO: PB 282-079

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: N01-NS-5-2314

COST (In Thousands): FY 73-\$85, FY 74-\$34, FY 75-\$25

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS

START/END DATES: June '73 through October '76

0872

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute of Neurological and
Communicable Diseases and Stroke

PROJECT TITLE: Manpower Resources and Needs in Audiology
and Speech Pathology; Research and Training

REPORT TITLE: Manpower Resources and Needs in Speech
Pathology/Audiology

PERFORMER: American Speech and Hearing Association; 9030
Old Georgetown Rd. Washington, D.C. 20014

AGENCY CONTACT: Summers, Raymond; 301-496-725

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Manpower-Health
Professions;
PHS-NIH-Biomedical Research-Institute
Specific-Neurological Communicative & Stroke

ABSTRACT: This study describes the demographic and professional characteristics of the speech pathology/audiology work force and those students currently in training; identifies current patterns of manpower utilization in speech pathology and audiology; estimates the need for additional speech pathologists in the areas of teaching, research, and client care through 1985; specifies those manpower resources and/or needs that require additional study and analysis; recommends appropriate goals for meeting the needs of the communicatively handicapped; and suggests means for meeting these goals. (Final report 203 pages)

DESCRIPTORS: Statistical Data Feature, Handicapping Conditions
and Problems, Health Manpower Projections, Health Manpower
Training

EVALUATION TYPE: Other

EDC ID NO: 0333
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: NIH-NINDS-72-2304
COST (In Thousands): FY 72-\$52, FY 73-\$25
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: May '72 through June '74

PROJECT NO: NINDS 72-4
NTIS NO: PB 240-369
ERIC NO: Not available

0873

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute of Neurological and
Communicable Diseases and Stroke

PROJECT TITLE: National Institute of Neurological and
Communicable Diseases and Stroke (NINCDS) Program
Information System

REPORT TITLE: Not yet determined

PERFORMER: JRB Associates, Inc.; 8400 Westpark Drive;
McLean, Virginia 22101

AGENCY CONTACT: Greenblatt, Cynthia; NIH Bldg 31, Rm 8A34;
301-496-9271

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Activity-Program
Management;
PHS-NIH-Biomedical Research-Institute
Specific-Neurological Communicative & Stroke

ABSTRACT: The Program Information System (PINS) is a project to develop the methodology for, and system design to support, the efficient input, storage and retrieval of the scientific and programmatic data required to effectively plan, analyze, evaluate, and manage program activities at all levels of the NINCDS. In addition to assessing the present and future informational needs of the Institute, available information data systems both within and outside of the Institute will be reviewed and analyzed. The PINS will be designed to enable Program Managers to have more effective command and control of their program's information in terms of the scientific substance, as well as the budgetary and administrative data.

DESCRIPTORS: Information Systems, Research and Evaluation Planning and Administration, Biomedical Research, Management Information and Reporting

EVALUATION TYPE: Support

EDC ID NO: 1245
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: NOI-NS-6-2345
COST (In Thousands): FY 76-\$150, FY 77-\$100, FY 78-\$120
PROJECT STATUS: Study in process
START/END DATES: September '76 through November '77

PROJECT NO: NINCDS 76-1
NTIS NO: Not yet determined
ERIC NO: Not available

0874

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Institute of Neurological and
Communicable Diseases and Stroke

PROJECT TITLE: The Nationwide Study of Stroke

REPORT TITLE: The Nationwide Study of Stroke

PERFORMER: Westat, Inc.; 11600 Nebel Street; Rockville, Md.
20852

AGENCY CONTACT: Weinfeld, Dr. Frederic D.; Rm 7C-14
Federal Bldg; Wisconsin Ave.; Bethesda, MD 20014;
301-496-3256

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Institute
Specific-Neurological Communicative Stroke;
PHS-NIH-Biomedical Research-Activity-Research Grants &
Contracts

ABSTRACT: Since strokes are a major cause of death and disability, directly accounting for about 200,000 deaths annually and indirectly influencing the premature death of perhaps another 200,000 individuals a year, NINCDS authorized Westat, Inc. to devise and conduct a nationwide study of stroke. This study was designed to provide: (1) reliable estimates of incidence of hospitalized acute strokes, by age and sex and their survival over time; (2) the prevalence of persons with a history of a hospitalized stroke and indices of their disability status; and (3) the economic impact of the disease on the patient, the patient's family and society. While clinical investigators have provided some information concerning the distribution of strokes by type, statistically sound incidence figures are lacking which relate to the total population. Nor is the prevalence of strokes well documented, owing to the uncertain prognosis and variation in life expectancy. The answers to these and other questions are of interest to NINCDS for documenting the need for medical research and continuing education in stroke and for determining the proper allocation of their funds. Of prime importance is the development of a totally new methodology required for collecting needed basic data from hospital discharge records. (Formerly Survey of Incidence, Prevalence and Cost of Stroke.)

DESCRIPTORS: Morbidity and Mortality, Client Records, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1226 **PROJECT NO:** NINDS 74-1b
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-NS-6-233
COST (In Thousands): FY 76-\$300, FY 77-\$74
PROJECT STATUS: Study completed
START/END DATES: July '75 through 78

PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS
START/END DATES: May '72 through July '75

0876

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 National Institute of Neurological and
 Communicable Diseases and Stroke

PROJECT TITLE: Review and Assessment of NINCDS Research
 Strategies

REPORT TITLE: Not yet determined
PERFORMER: CDP Associates, Inc.; Rockville, MD; Institute
 Staff; and Outside Consultants
AGENCY CONTACT: Dr. George C. Murray; Bldg. 31, Rm 8A-03;
 301-496-9271
PROGRAM(S) EVALUATED:
 PHS-NIH-Biomedical Research-Institute-Neurological
 Communicative & Stroke

ABSTRACT: The NINCDS is conducting a review and assessment of its current research strategies, program balance, and research activities in light of evolving public health needs and research opportunities to address those needs. The review and assessment, carried out as a joint effort of the Institute, its National Advisory Council, program advisory committees, study panels composed of scientists, clinicians, and public members, will serve as the basis for evaluating and re-setting long range goals and research program strategy in developing a National Research Plan for the areas of neurobiology mandated to the Institute. The NINCDS Council Working Group on Research Strategies established seven categorical disease-oriented panels and two crosscutting task groups to cover the areas of concern of the Institute and held, also, a Public Forum for input from lay voluntary groups. The Epidemiology Task Group was formed from the epidemiologists working with each of the panels in order to try to overcome the unexpected difficulty of acquiring validity from the literature, analyzing and reaching agreement on working values and ranges of uncertainty in the data on incidence, prevalence, social economic impact, etc., of the diseases in support of the panel's work. Additional work beyond the scope anticipated in the original project will be required to refine these data for future program balance and priority setting.

DESCRIPTORS: Research and Evaluation Planning and Administration, Morbidity and Mortality
EVALUATION TYPE: Program Strategy

EDC ID NO: 1524 **PROJECT NO:** NINCDS 78-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-NS-2382
COST (In Thousands): FY 78-\$3, *\$225
PROJECT STATUS: Study in process
START/END DATES: March '78 through December '78

0877

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 National Institute of Neurological and
 Communicable Diseases and Stroke

0875

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 National Institute of Neurological and
 Communicable Diseases and Stroke

PROJECT TITLE: Manpower Resources and Needs in
 Otolaryngology: Research, Teaching, Patient Services

REPORT TITLE: Report on Manpower Resources and Needs on
 Otorhinolaryngology
PERFORMER: American Council of Otolaryngology; 1100 17th St.
 NW; Suite 406; Washington, DC 20036
AGENCY CONTACT: Kroll, Dr. B.; NINDS; 301-496-3567
PROGRAM(S) EVALUATED:
 PHS-NIH-Biomedical Research-Institute
 Specific-Neurological Communicative & Stroke;
 PHS-HRA-Health Resources-Health Manpower-Health
 Professions

ABSTRACT: Four integrated but separate surveys covering the various aspects of otolaryngology ("o'logy") were conducted. Questionnaires were mailed to o'logists in practice, teaching, research, and training to determine demographic, educational and professional characteristics. It was found that an estimated 5,584 physicians were engaged in o'logy in 1973; 4,460 of these were medical o'logists. Hawaii and Connecticut reported the greatest o'logist per population ratios and South Dakota and Arkansas reported the lowest ratios. Approximately 86 primary residency training programs reported 1,560 faculty members; two thirds were clinical o'logists and five percent were research o'logists. In 1973, an estimated 950 o'logy residents were enrolled. The manpower and utilization data from the four surveys are analyzed to project the number of o'logists available in future years and the services they will be required to perform. Calculations for demand are based on the number of office visits seen during an 11 month year by o'logists. It is concluded that 500 additional o'logists are needed to satisfy the country's unmet needs. Recommendations are offered concerning: teaching, residency, training, foreign medical graduates, continuing education, allied health personnel, and distribution of o'logists. A summary is also provided of the results of four integrated but separate surveys of various aspects of o'logy: practice, teaching, research, and training. (Final report 307 pages plus 89 page summary).

DESCRIPTORS: Health Manpower Projections, Physicians, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0555 **PROJECT NO:** NINDS 72-3
FUND SOURCE: Evaluation **NTIS NO:** SHR 0001423, 1424
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-NS-2-2305
COST (In Thousands): FY 72-\$49, FY 73-\$25, FY 74-\$27

PROJECT TITLE: Manpower Resources and Needs in Neurology;
Research, Teaching, Patient Services

REPORT TITLE: Summary Report of the Joint Commission on
Neurology

PERFORMER: American Neurological Assn.; 234 Goodman Street;
Cincinnati, Ohio 45229; Dr. Samuel Trufant 513-872-5431

AGENCY CONTACT: Goldstein, Dr. M.; 301-496-7705

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Institute
Specific-Neurological Communicative & Stroke;
PHS-NIH-Biomedical Research-Activity-Training

ABSTRACT: This project was to provide information on the demographic and professional characteristics of neurologists, including those in training, their planned and actual participation in research, teaching, and patient care, as well as informed opinion about neurological manpower requirements in the 1980's. The study concluded that the number of neurologists in 1985 was likely to be about 6,700, a major increase over the 2,700 of 1971, but several thousand short of the number "required". Over half of all neurologists spend some time in research though only about 10% devote themselves exclusively to research. A summary by a Joint Commission on Neurology was published in "Neurology", June 1975, Vol. 25, No. 6. The final report presenting the data is not available at EDC. (Summary report 5 pages).

DESCRIPTORS: Morbidity and Mortality, Biomedical Research,
Health Manpower Projections, Scientific Manpower
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0557

PROJECT NO: NINDS 72-1

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: NOI-NS-2-2307

COST (In Thousands): FY 72-\$100

PROJECT STATUS: Study completed,
Executive summary at EDC

START/END DATES: June '72 through June '73

0878

AGENCY SPONSOR: Public Health Service

National Institutes of Health
National Institute of Neurological and
Communicable Diseases and Stroke

PROJECT TITLE: Development and Test of Methods for collection
of Supplementary Survey Data for Determining Trends in
Head and Spinal Cord Injury

REPORT TITLE: Survey of the Incidence, Prevalence, and Costs of
Head and Spinal Cord Injury: Phase IV: Evaluation of
Periodic Implementation

PERFORMER: Research Triangle Institute, Research Triangle Park,
N.C.

AGENCY CONTACT: Anderson, Dr. Dallas W.; Rm. 7C-14, NIH
Federal Bldg.; 301-496-3256

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Institute
Specific-Neurological Communicative & Stroke

ABSTRACT: To be written. See EDC 0902, 1226, and 1227 for similar
surveys on other diseases.

DESCRIPTORS: Morbidity and Mortality, Survey or Questionnaire
Feature

EVALUATION TYPE: Research and Data Development

PROJECT DESCRIPTIONS

EDC ID NO: 1228

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: NOI-NS-42334

COST (In Thousands): FY 74-\$41

PROJECT STATUS: Study in process

START/END DATES: October '76 through December '77

PROJECT NO: NINCDS 77-3

NTIS NO: Not yet determined

ERIC NO: Not available

0879

AGENCY SPONSOR: Public Health Service

National Institutes of Health
National Institute of Neurological and
Communicable Diseases and Stroke

PROJECT TITLE: Survey of the Incidence, Prevalence and Costs of
Intracranial Neoplasms

REPORT TITLE: Not yet determined

PERFORMER: Westat, Inc.; 1600 Nebel Street; Rockville, MD
20852

AGENCY CONTACT: Weinfeld, F.D.; NIH Federal Bldg, Room
7C14A; 301-496-3256

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Institute
Specific-Neurological Communicative & Stro

ABSTRACT: The purpose of this project was to develop a method that
would be applicable on a national sample basis for measuring the
incidence, prevalence and costs of intracranial neoplasms, to carry
out the survey designed, and to present the results of the survey. A
sample of 217 hospitals were selected and a sample of about 3,000
1971-74 patient discharges was drawn. For each case abstracted sub-
stantive information was gathered on the type of tumor, basis of
diagnosis, treatment, outcome, demographic characteristics and di-
rect medical costs incurred. Physician followups provided certain
information not available in the medical record. Also, there were
approximately 350 interviews with patients or their close relatives to
collect information on the direct and indirect costs incurred by the
patient and family during the survey years. See EDC 1226-28 for
similar surveys on other diseases.

DESCRIPTORS: Morbidity and Mortality, Survey or Questionnaire
Feature, Cancer

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0902

PROJECT NO: NINDS 74-1a

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: NOI-NS-4-2336

COST (In Thousands): FY 74-\$275, FY 77-\$62

PROJECT STATUS: Study completed

START/END DATES: June '74 through July '77

National Library of Medicine

0880

AGENCY SPONSOR: Public Health Service

National Institutes of Health
National Library of Medicine

PROJECT TITLE: Analysis of Interlibrary Loan Requests for
Monographs

REPORT TITLE: Not yet determined
PERFORMER: Computer Performance Assoc.
AGENCY CONTACT: Duane Arenales; NIH, Bldg. 38, Rm M119;
 301-496-5511
PROGRAM(S) EVALUATED:
 PHS-NIH-Biomedical Research-Library of Medicine

ABSTRACT: The National Library of Medicine holds the world's largest specialized collection of monographs and journals in medicine and allied health sciences. This material is available to other institutions through the Regional Medical Library Network. Although the major demand on NLM's loan services is for items from the journal literature, the demand for monographs and congresses is increasing. The purpose of this study is to perform an analysis of NLM's interlibrary loan request traffic for monograph and congresses. The major objectives are 1) to establish base line data and a methodology for future evaluation studies, 2) to improve program management through analysis of client institution characteristics and needs; 3) and to measure NLM's ability to satisfy these needs by comparing request information on ILL forms with NLM disposition. A special purpose data base will be designed and loaded using data coded from a random sample of FY 1978 ILL requests. Tabulations of data by parameter and cross-parameter will be computer produced and the results evaluated using standard techniques of statistical analysis. A final narrative report will be prepared.

DESCRIPTORS: Library Services, Statistical Data Feature, Statistical Analysis Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1521 **PROJECT NO:** NLM-78-5
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-LM-8-4730
COST (In Thousands): FY 78-\$12
PROJECT STATUS: Study in process
START/END DATES: August '78 through December '78

0881

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 National Library of Medicine

PROJECT TITLE: Not yet determined

REPORT TITLE: ATS-6 Satellite Evaluation
PERFORMER: Office of Research in Medical Education; School of Medicine; University of Washington
AGENCY CONTACT: Not yet determined
PROGRAM(S) EVALUATED:
 PHS-NIH-Biomedical Research-Library of Medicine

ABSTRACT: This report is based on OMRE's efforts to evaluate Washington's, Alaska's, Montana's, and Idaho's (WAMI) ATS-6 Experiment in terms of user acceptance, technical feasibility and interaction characteristics. The emphasis of the report is on evaluation methods employed, evaluation mechanisms developed and results of those efforts. Results of this study may be useful in planning future applications of the technology in medical education programs. The overall purpose of the Satellite evaluation was to assess the effectiveness of the Satellite in full-duplex audio and video communications in the WAMI experiment. The study concludes that satellite technology is effective for teaching in the WAMI program. The study supports this conclusion with a long list of other conclusions. (Final report 223 pages).

DESCRIPTORS: Medical Technology, Educational Technology, Broadcast Technology, Medical Training, Health Manpower Training, Case or Site Study Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0444 **PROJECT NO:** NLM 73-46
FUND SOURCE: Evaluation **NTIS NO:** PB 247-303
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: NO1-LM-4-4734
COST (In Thousands): FY 74-Not available
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS
START/END DATES: June '73 through September '75

0882

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 National Library of Medicine

PROJECT TITLE: Study to Develop Evaluation Criteria and Methodology for the Educational Components of the Data Communications Service

REPORT TITLE: Computer Aided Instruction Network Evaluation
PERFORMER: Medical Computer Services Association; 100-1107
 NE 45th St.; Seattle, Washington 98105
AGENCY CONTACT: Wooster, H.; 496-4441
PROGRAM(S) EVALUATED:
 PHS-NIH-Biomedical Research-Library of Medicine

ABSTRACT: The National Library of Medicine made possible a national trial of medical computer-aided instruction techniques. Three principal sites of CAI development (the University of Illinois, Ohio State University, and Massachusetts General Hospital) were linked through communications computers and a national communications service (Tymshare Service). They were linked via phone lines to user institutions throughout the country. The major tasks of this project were to study use of materials by users, evaluation of new materials, and transferability of CAI technology, with some emphasis upon financial aspects in these areas. The principal method used in this study was to study the educational and evaluation plans of each user, including 59 users reports supplied by NLM, personal visitation, and user's meetings. The study found after the initial year of operation that the network falls short of the envisioned "network of ideas" to foster interinstitutional cooperation. There was little true sharing in the sense of mutual exchange of services. The network had not reached optimal efficiency, and there was no accepted plan to foster optimal use and contain it there. The study recommended that every user should have his own initial plan for participating in the development of CAI; a strong, responsible, user-responsible organization should be formed and aware of shifts in the state-of-the-art. Standard protocols need to be established for development revision of programs by users. (Final report 76 pages.)

DESCRIPTORS: Information Processing, Medical Training, Research and Evaluation Performance, Research and Evaluation Methods Feature, Educational Technology

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0330 **PROJECT NO:** NLM 73-4c
FUND SOURCE: Evaluation **NTIS NO:** PB 226-484
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-LM-3-4718
COST (In Thousands): FY 73-\$12

PROJECT STATUS: Study completed,
Executive summary at EDC,
Available from NTIS
START/END DATES: March '73 through November '73

0883

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Library of Medicine

PROJECT TITLE: Evaluation of Information Transfer Project

REPORT TITLE: Not yet determined

PERFORMER: King Research; 6110 Executive Blvd.; Rockville,
Md. 20852

AGENCY CONTACT: Elliot R. Siegel, Ph.D.; Bldg. 38, Rm M 137;
301-496-4441

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Library of Medicine

ABSTRACT: The National Library of Medicine's Lister Hill National Center for Biomedical Communications has developed a prototype computerized information transfer system that is intended to provide clinically meaningful and applicable knowledge in a language, format, and time frame immediately useful to the health practitioner. The diseases "viral hepatitis" have been selected to serve as the initial test model. The present evaluation study will assess our experiences in creating and maintaining this innovative mechanism. It will measure the extent to which the system is used and provides useful information at an acceptable cost to physicians in primary, secondary, and tertiary health settings. Its utility for medical decision making and medical education will be of central interest. Data on various aspects of system performance and user characteristics (generated by operating records, sample survey, and questionnaires) will provide feedback to guide the ongoing development and refinement of the system; these data will also be used to determine whether or not to establish this experimental system as a service operation.

DESCRIPTORS: Information Systems, Information Processing, Library Services, Physicians, Research and Evaluation Methods Feature, Field Test Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1519 **PROJECT NO:** NLM-78-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-LM-8-4733
COST (In Thousands): FY 78-\$40, FY 79, \$60
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '79

0884

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Library of Medicine

PROJECT TITLE: Evaluation of National Library of Medicine
Media Distribution Update

REPORT TITLE: Not yet determined

PERFORMER: Abt Associates; 55 Wheeler Street; Cambridge,
Mass 02138

AGENCY CONTACT: White, Dr. Kay S.; National Medical
Audiovisual Center; Atlanta, Ga.; FTS 8-236-3521

PROJECT DESCRIPTIONS

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Library of Medicine

ABSTRACT: The purpose of this evaluation project is to determine how audiovisual materials are being used in the health sciences to enhance the educational program and to what extent the National Medical Audiovisual Center's (NMAC) film loan distribution and videotape duplication system assists in enhancing the educational programs in schools of the health sciences. A mail questionnaire will be used to collect the data necessary for this study which will focus on (a) usage patterns, including the characteristics of the main user, Secondary user, and audiences, and reasons and frequency for usage, and (b) user evaluation of services, including attitudes toward audiovisuals in general, rating of their most recent NMAC film/videotape, and evaluations of NMAC on key dimensions, as well as other questions concerning the effect/impact of the NMAC's distribution program on the biomedical education process.

DESCRIPTORS: Curriculum Development, Health Manpower Training, Library Services, Survey or Questionnaire Feature, Educational Technology, Consumer Evaluation Feature
EVALUATION TYPE: Program Impact

EDC ID NO: 1508 **PROJECT NO:** NLM 77-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-LM-7-4723
COST (In Thousands): FY 77-\$62
PROJECT STATUS: Study in process
START/END DATES: September '77 through September '78

0885

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Library of Medicine

PROJECT TITLE: Evaluation of National Medical Audiovisual
Center Workshop/Seminar Program

REPORT TITLE: Not yet determined
PERFORMER: Carkhuff Assoc. Inc.; 22 Amherst Rd. Bx. 228;
413-253-3488
AGENCY CONTACT: Michael Weisberg, Ed.D.; NIH, Bldg. 3,
B-43; Atlanta, GA 30345
PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Library of Medicine

ABSTRACT: A systematic and comprehensive evaluation of the National Medical Audiovisual Center Training Program is necessary to assess the impact of training activities on the practice of health sciences education. Such an evaluation would provide: (1) a method for measuring the effectiveness of NMAC training activities in terms of health science faculty performance; (2) a method for measuring the attitudes and feelings of faculty with regard to NMAC training; (3) a method for obtaining feedback for the revision of training courses/materials and the reallocation of resources, and (4) a data collection system for the ultimate assessment of how NMAC training activities affect the delivery of health care services. Types of data to be collected include, but are not limited to: (a) biographical information on participants, (b) information on sponsoring organization, (c) pre- and post test performance of participants, and (d) subjective attitudes and feelings.

DESCRIPTORS: Health Manpower Training, Medical Training
EVALUATION TYPE: Program Impact

EDC ID NO: 1520 **PROJECT NO:** NLM-78-4
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-LM-8-4719
COST (In Thousands): FY 78-\$30
PROJECT STATUS: Study in process
START/END DATES: August '79 through January '80

0886

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 National Library of Medicine

PROJECT TITLE: Evaluation of On-Line Analysts

REPORT TITLE: Not yet determined

PERFORMER: Cuadra Associates Inc.; 1523 6th St.; Santa Monica, CA 90401

AGENCY CONTACT: Laura Kassebaum; NIH, Bldg. 38, Rm A-30; 301-496-6217

PROGRAM(S) EVALUATED:
 PHS-NIH-Biomedical Research-Library of Medicine

ABSTRACT: The on-line system of the National Library of Medicine is accessed by approximately 800 organizations in various health-related fields. Searches of the NLM data bases are typically performed for the requestors or end users by search analysts. Organizations seeking to become members of the on-line network must send one employee for formal training in NLM-sponsored courses. Additional staff members may also be trained; however, about 50% of the analysts currently searching the system have received only informal on-the-job or in-service instruction. The purpose of this evaluation project is to assess the quality of searches being performed by both formally and informally trained analysts, to compare the efficiency and effectiveness of the two groups and to correlate their performance with the specific type(s) of training received. NLM will provide an additional \$10K in funding.

DESCRIPTORS: Information Systems, Health Manpower Training, Management Information and Reporting, Library Services
EVALUATION TYPE: Program Strategy

EDC ID NO: 1522 **PROJECT NO:** NLM-78-7
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract, In-house **ERIC NO:** Not available
CONTRACT NO: NO1-LM-8-4734
COST (In Thousands): FY 78-\$40
PROJECT STATUS: Study in process
START/END DATES: July '78 through July '79

0887

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 National Library of Medicine

PROJECT TITLE: Computer Aided Instruction (CAI) Network Evaluation

REPORT TITLE: Evaluation of the Experimental CAI Network (1973-1975) Communications of the Lister Hill Nat'l Center for Biomedical Communications

PERFORMER: Human Resources Research Organization; 300 North Washington St. Alexandria, Virginia 22314

AGENCY CONTACT: Wooster, H.; 301-496-4441

PROGRAM(S) EVALUATED:
 PHS-NIH-Biomedical Research-Library of Medicine

ABSTRACT: The report describes the evaluation of the biomedical Computer Aided Instruction (CAI) Network Experiment, established by the National Library of Medicine in 1973 to test the feasibility of sharing CAI learning materials through a national Library of Medicine computer network. The evaluation is designed to assist decision makers in planning future mechanisms for distributing biomedical CAI. The study is focused on the institutions (95 as of October, 1974) and people who use the Network and the contractors who supplied the CAI Library. Data sources include case studies, user reports, and interviews with hospital and medical school administrators, faculty librarians, computer laboratory staff, and students. Network usage by program type, by user institution, and by class of user is analyzed. Also analyzed is the impact of the Network curricula, faculty students, libraries, and media centers as well as the cost/benefits. The report includes a roster of participants in the Network Experiment. (Final report 85 pages.)

DESCRIPTORS: Biomedical Research, Information Processing, Information Dissemination, Cost-Effectiveness Analysis Feature, Feasibility Study Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0422 **PROJECT NO:** NLM 73-4C
FUND SOURCE: Evaluation **NTIS NO:** PB 239-358
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-LM-4-4725
COST (In Thousands): FY 73-\$12, FY 74-\$27
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS

START/END DATES: June '73 through January '75

0888

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 National Library of Medicine

PROJECT TITLE: Evaluation of User Utilization of the National Medical Audiovisual Center Media Distribution Collection Program

REPORT TITLE: Evaluation of User Utilization of the National Medical Audiovisual Center Media Distribution Program

PERFORMER: ABT Associates, Inc.; 55 Wheeler Street; Cambridge, MA; Larry C. Kerpelman
AGENCY CONTACT: Lasco, Richard A; Center for Disease Control; Atlanta, GA 30324

PROGRAM(S) EVALUATED:
 PHS-NIH-Biomedical Research-Library of Medicine

ABSTRACT: An evaluation of the National Medical Audiovisual Center (NMAC) program for evaluating, acquiring, and distributing audiovisual materials is documented. The objectives of the evaluation were twofold: to determine how audiovisual materials are being used in the health sciences to enhance educational programs, and to determine the extent to which the NMAC film loan distribution system and videotape duplication system contribute to this enhancement. A questionnaire was sent to 2,600 film requesters (57 percent response) and 386 videotape requesters (53 percent response), and site visits were made to a medical school and nursing school using NMAC materials. Survey findings indicate that NMAC serves a heterogeneous population of biomedical educators, serves that clientele well, and is viewed as a valuable resource. (Final report 108 pages.)

DESCRIPTORS: Educational Technology, Survey or Questionnaire
Feature, Library Services, Medical Training
EVALUATION TYPE: Program Impact

EDC ID NO: 0476 **PROJECT NO:** NLM 73-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: N01-LM-3-4738
COST (In Thousands): FY 73-Not available
PROJECT STATUS: Study completed,
Executive summary at EDC
START/END DATES: June '73 through August '74

0889

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Library of Medicine

PROJECT TITLE: Evaluation of Laboratory Animal Data Bank

REPORT TITLE: Laboratory Animal Data Bank: Evaluation Report
PERFORMER: Institute of Laboratory Animal Resources; National
Academy of Sciences; 2101 Constitution Avenue;
Washington, D.C.

AGENCY CONTACT: Hoag, Warren G., D.V.M. Rm. C-6B NLM;
301-496-1131

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Library of Medicine

ABSTRACT: This evaluation project was planned to determine whether a useful on-line, interactive data retrieval and manipulation system (the Laboratory Animal Data Bank, LADB) is being created for laboratory animal data. The LADB data is collected by a contractor from industrial laboratories in pharmaceutical companies, government laboratories such as the National Cancer Institute and the National Center for Toxicological Research, and academic laboratories doing research employing laboratory animals under government grants and contracts. Data elements have been described for each strain/species which will make up the data bank. All data will then be summarized and software provided for statistical manipulation from remote terminals by biomedical research personnel. Evaluation consisted of a review of the summarized data elements provided by each laboratory for completeness for validity, a review of the software functions and statistical routines for utility and accuracy and a review of on-line service policies for public benefit and services to science. Review and evaluation reports have been prepared by the ILAR Committee of Laboratory Animal Data. The final evaluation report was prepared by ILAR/NAS Panel on the LADB and was delivered on July 31, 1978.

DESCRIPTORS: Information Systems, Library Services, Information
Dissemination

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1507 **PROJECT NO:** NLM 77-1
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: N01-LM-6-4720
COST (In Thousands): FY 77-\$25
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC
START/END DATES: June '76 through July '78

PROJECT DESCRIPTIONS

0891

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Library of Medicine

PROJECT TITLE: National Library of Medicine Computer
Operations and Systems Study

REPORT TITLE: Not yet determined

PERFORMER: Office of the Director; National Library of
Medicine; National Institute of Health; Bethesda, Md. 20014

AGENCY CONTACT: Day, Dr. Melvin; 301-496-1361

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Library of Medicine

ABSTRACT: The purpose of this study is to analyze computer operations of the National Library of Medicine, including management and administration of NLM computer activities, security of the computer facility, utilization of ADP manpower resources, scheduling and planning of computer processing activities, analysis of computer workload, analysis of software programming, and organizational structure.

DESCRIPTORS: Information Processing, Information Systems, Medical
Technology, Library Services

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0901 **PROJECT NO:** NLM 75-2
FUND SOURCE: Salaries and
Expenses (S&E) **NTIS NO:** Not yet determined
MECHANISM: In-house **ERIC NO:** Not available

CONTRACT NO: Not available
COST (In Thousands): FY 75-Not available

PROJECT STATUS: Study completed
START/END DATES: October '74 through February '75

0892

AGENCY SPONSOR: Public Health Service
National Institutes of Health
National Library of Medicine

PROJECT TITLE: Quality and Consistency Evaluation of
MEDLARS Indexing

REPORT TITLE: Quality and Consistency Evaluation of
MEDLARS Indexing

PERFORMER: Herner and Company; 2100 M Street, N.W.
Washington, D.C. 20036

AGENCY CONTACT: Jablonski, S.; National Library of Medicine

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Library of Medicine

ABSTRACT: The quality and consistency of Medlars indexing were evaluated by comparing the significant elements in the titles and keywords provided by authors and editors with the MESH Indexing terms supplied by NLM during normal indexing operations. Analysis was based on 1) specificity of indexing, 2) completeness of indexing, 3) correctness of indexing, and 4) depth indexing. The study found that normal NLM indexing procedures do very well by these criteria, in spite of the high degree of subjectivity involved in indexing. (Final Report 15 pages.)

DESCRIPTORS: Information Systems

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0350 **PROJECT NO:** NLM 72-2
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: NO1-LM-3-4728
COST (In Thousands): FY 73-\$16
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC
START/END DATES: May '73 through February '74

0893

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 National Library of Medicine

PROJECT TITLE: Regional Medical Library Program Evaluation

REPORT TITLE: Not yet determined

PERFORMER: Various

AGENCY CONTACT: Broering, Arthur J.; Rm 916 Federal Bldg.;
 301-496-4671; West, Richard; Rm 902 Federal Bldg.; Rm
 902; 301-496-3113

PROGRAM(S) EVALUATED:
 PHS-NIH-Biomedical Research-Library of Medicine

ABSTRACT: The Regional Medical Library Program is a network of U.S. health science libraries with the goal of establishing a viable system that enables efficient and effective access to the totality of the nation's health science literature for practitioners, researchers, educators, and students. The program, supported by the National Library of Medicine, provides for document delivery (interlibrary loans), extension services, education and training activities, and other related services. Planned as the beginning of a continuing function, the program evaluation will obtain information and data about the total volume and support of health interlibrary loans in the U.S., the relationship of RML interlibrary loan activity, the description and analysis of RML program activities that contribute to improved information access, and an explication will be a description of the status of the RML Program within the context of national health information exchange. Evaluation results will extend and expand preliminary analysis of RML contract data to provide a foundation and framework for on-going program management, RML planning and the construction of alternative designs, and a baseline by which to measure the impact of change.

DESCRIPTORS: Library Services, Information Systems, Access to Services, Research and Evaluation Methods Feature
EVALUATION TYPE: Program Impact

EDC ID NO: 1750 **PROJECT NO:** NLM-77-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Various contracts **ERIC NO:** Not available
CONTRACT NO: Not yet determined
COST (In Thousands): FY 78-\$80
PROJECT STATUS: Study in process
START/END DATES: July '78 through March '79

0894

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 National Library of Medicine

PROJECT TITLE: Community Hospitals Support of Continuing Education

REPORT TITLE: Role of Community Hospitals in Continuing Education of Health Professionals

PERFORMER: Assn. for Hospital Medical Education; 1911
 Jefferson Davis Highway; Arlington, VA 22202; Gail I.
 Bank
AGENCY CONTACT: Stengle, Dr. James; 301-496-4441
PROGRAM(S) EVALUATED:
 PHS-NIH-Biomedical Research-Library of Medicine

ABSTRACT: A study undertaken to determine the role of community hospitals in the continuing education of physicians is documented. The twophase study began with a questionnaire sent to members of the Association for Hospitals Medical Education asking about their continuing education activities. From the 358 responses received, 127 hospitals were identified as participants in a cooperative group, or consortium, concerned with continuing education. Site visits were made to four hospital consortia of various types, sizes, and locations. The final report summarizes data on continuing education financing, participation, and methods. Among activities reported as methods of continuing medical education are departmental meetings, medical audits, tumor conferences, tissue committees, consecutive case conferences, grand rounds, bedside rounds, lectures, panel discussions, seminars, weekly courses, short courses, and discharge conferences. The report also contains profiles of the four consortia studied in depth, including information on their organization, member hospitals, library arrangements, and continuing education activities undertaken. The study found a variety of problems, and discuss library arrangements and usage at these hospitals, including pros and cons of alternatives. The National Library of Medicine is not well known. Those interviewed expressed greater needs for key articles rather than the computer bibliographies in which NLM specialized. (Final report 150 pages).

DESCRIPTORS: Health Manpower Training, Medical Training, Hospitals, Survey or Questionnaire Feature, Library Services
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0549 **PROJECT NO:** NLM 74-3
FUND SOURCE: Evaluation **NTIS NO:** SHR-0001418
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 1-LM-4-4732
COST (In Thousands): FY 74-\$65
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS
START/END DATES: June '74 through June '75

Office of the Director

0895

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 Office of the Director

PROJECT TITLE: Acupuncture Workshop

REPORT TITLE: Not yet determined

PERFORMER: Clinical and Physiological Sciences Program;
 National Institute of Medical Sciences; NIH Bethesda, Md.

AGENCY CONTACT: Jenerick, H.; 301-496-4586

PROGRAM(S) EVALUATED:
 PHS-NIH-Biomedical Research-Activity-Research Grants &
 Contracts;
 PHS-NIH-Biomedical Research-Institute Specific-General
 Medical

ABSTRACT: A review of progress on research projects supported by NIH grants or by intramural scientists. The workshop was handled by about 20 anesthesiologists, internists, and neurophysiologists.

DESCRIPTORS: Biomedical Research, Medical Practice, Physicians
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0898 **PROJECT NO:** NIGMS 75-2

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: NYD:

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 75-\$4

PROJECT STATUS: Study in process

START/END DATES: March '75 through June '75

0896

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Analysis of NIH Policies for the Support of Extramural Research

REPORT TITLE: Not yet determined

PERFORMER: Rand Corporation; 1700 Main Street; Santa Monica, CA 90406

AGENCY CONTACT: Gee, Helen H.; NIH-Building 1, Room 234; 301-496-4418

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research;

PHS-NIH-Biomedical Research-Activity-Research Grants & Contracts

ABSTRACT: A report by the Rand Corporation on the effectiveness of large program projects and center grants is nearing completion using citations as a quality surrogate. Considerable variation among large grants was found; these differences are often greater than differences between large (multiple investigator) and smaller (individual investigator) grants. The large programs are relatively more applications oriented and most are quite effective in promoting interdisciplinary research. Preliminary data are not yet available for a study of the eventual outcomes of the research proposed in approved but unfunded grant applications. A third project in this group of studies examines prospects of developing models of medical faculty supply and demand in which, on the demand side, the determinants of faculty size and the promotion process will be examined. On the supply side attempts are being made to forecast the extent to which changes in federal support for training may affect decisions of both Ph.D.s and M.D.s to enter research careers.

DESCRIPTORS: Biomedical Research, Research and Evaluation Performance, Scientific Manpower, Higher Education, Literature Review Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 1150

PROJECT NO: NIH 75-2

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: N01-OD-5-2127

COST (In Thousands): FY 75-\$20, FY 76-\$150

PROJECT STATUS: Study completed

START/END DATES: April '75 through December '77

0897

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Annual Research Grant Manpower

REPORT TITLE: Various (See Abstract)

PERFORMER: IMS, Inc.; 4853 Cordell Ave., Suite 10-B; Bethesda, MD 20014; Division of Research Grants, NIH

AGENCY CONTACT: Casey, Charles; Westwood Bldg. Rm 1A-05; 301-496-7826

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Research Grants & Contracts;

V-Many HEW Programs-Employment & Training;

V-Non-HEW Programs-Employment & Training

ABSTRACT: This project consists of six reports in the area of Annual Research Grant Manpower: (1) Manpower Report No. 1, Paid Employment on NIH Research Grants by Awarding Institute--FY 1976 Supplement (June 1979). This report presents summary employment and salary statistics for positions employed on NIH research grants awarded in FY 1976. The data are organized throughout by Institute. (2) Report No. 2, Training Sponsored by NIH Research Grants, Training Grants, and Fellowships--FY 1973-75 (Feb. 1976). This report is the second of a series of presenting summary employment and salary statistics for positions employed on NIH Research Grants awarded in FY 1974, 1975. (There is also a supplement to this report (May 1979).) (3) NIH Extramural Research Personnel Statistics report 3: Doctorate Level Employment on NIH Research Grants--FY 1973-76 (Aug. 1979). This report, the third in a series, presents summary statistics for positions paid from NIH research grants awarded in FY 1973-1976. (4) Employment of Women in Professional Positions on NIH Research Grants, Report No. 4, NIH Extramural Research Personnel Statistics--FY 1973-1976 (Sept. 1979). This report focuses on the extent of utilization of women on NIH research grants. (5) Evaluation of the Annual Manpower Survey of the NIH Research Grants. The purpose of this study was to recommend changes in the NIH annual survey of personnel employed on NIH research grants--both in the contents of the form (Manpower Report, NIH 1749) and the survey methodology.

DESCRIPTORS: Biomedical Research, Grant and Contract Management, Health Manpower Projections, Health Manpower Training, Scientific Manpower, Survey or Questionnaire Feature, Management Information and Reporting

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1148.1

PROJECT NO: DRG 74-1

FUND SOURCE: Evaluation

NTIS NO: PB 80-126741

MECHANISM: Contract, In-house

ERIC NO: Not available

CONTRACT NO: GS-035-46407, and 447

COST (In Thousands): Not available

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: May '75 through November '76

0898

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Price Deflator for Biomedical Research and Development

PROJECT DESCRIPTIONS

REPORT TITLE: Biomedical R&D Price Indexes**PERFORMER:** Westat, Inc.; 11600 Nebel Street; Rockville, Md 20852; Sidney Jaffe**AGENCY CONTACT:** Copeland, William L.; Bldg. 31, Rm. 1B-54 NIH; 301-496-5011**PROGRAM(S) EVALUATED:**

PHS-NIH-Biomedical Research

ABSTRACT: The contractor's report presents biomedical research and development price indexes for the NIH (and Federal), industry, academic, and all other R&D performance sectors, as well as for all performers combined. The indexes are oriented to the costs of performing R&D, that is, to the inputs to the R&D process, and do not correspond to price indexes for the "output" of R&D which cannot be developed because R&D outputs cannot be quantified in dollar (or other) terms. The study relied on secondary sources of data, and except for R&D performed intramurally by Federal agencies the price indexes relate to direct costs of research and do not include indirect (overhead-type) costs. The secondary source proxy data series used are set forth in an appendix bound with the report. The report covers the fiscal years 1961-1976, and appendix D of the report adds the transition quarter and fiscal year 1977. Procedures for extending the series beyond FY 1977 have been developed in cooperation with the Bureau of Economic Analysis of the U.S. Department of Commerce. These arrangements, however, do not constitute an extension of this evaluation project.

DESCRIPTORS: Biomedical Research, Trends and Forecasts, Economic Analysis Feature, Statistical Analysis Methods Feature**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 1231**PROJECT NO:** NIH-74-11**FUND SOURCE:** Evaluation**NTIS NO:** PB 296-597**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** NOI-OD-5-2134**COST (In Thousands):** FY 74-\$34, FY 75-\$71, FY 76-\$0, FY 77-\$22**PROJECT STATUS:** Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS

START/END DATES: March '74 through September '79

0899

AGENCY SPONSOR: Public Health Service

National Institutes of Health

Office of the Director

PROJECT TITLE: Common Costs of Illness Studies**REPORT TITLE:** Not yet determined**PERFORMER:** IMS America Ltd.; Ambler, Pa 19002**AGENCY CONTACT:** Woolley, Herbert; NIH Bldg. 1, Room 228; 301-496-5617**PROGRAM(S) EVALUATED:**

V-Many HEW Programs-Health

ABSTRACT: Statistical reports received from the supplier have been filed with the NIH Clinical Center Library for use by units of NIH. They provide data on physicians visits, drug prescriptions, and hospital discharges. Under the contract for supplying these (proprietary) statistics NIH may not make the statistics available to non-NIH users. Others should contact the supplier, IMS America, Ltd., Ambler, Pa. This project was originally funded as contract ASH-74-244-PM. (This subscription is now supported from NIH administrative funds.)

DESCRIPTORS: Drugs Therapeutic and Devices, Medical Practice, Hospitals, Physicians, Statistical Data Feature, Economic Analysis Feature**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 1230**PROJECT NO:** NIH 76-5**FUND SOURCE:** Evaluation**NTIS NO:** None**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** 282-76-0443**COST (In Thousands):** FY 74-\$24, FY 75-\$29, FY 76-\$59**PROJECT STATUS:** Study in process**START/END DATES:** September '74 through June '77

0900

AGENCY SPONSOR: Public Health Service

National Institutes of Health

Office of the Director

PROJECT TITLE: Trends in the Economic Costs of Illness, 1900 to 2000 A.D., and Relationships to Biomedical Research Expenditures**REPORT TITLE:** Cost of Disease and Illness in the United States in the Year 2000**PERFORMER:** Georgetown University; 3600 M Street, N.W.; Washington, D.C.; Mr. Francis H. Sandifer**AGENCY CONTACT:** Copeland, William L.; Bldg. 31 Rm. 1B-54 NIH; 301-496-5011**PROGRAM(S) EVALUATED:**

PHS-NIH-Biomedical Research;

V-Many HEW Programs-Health;

V-Non-HEW Programs

ABSTRACT: This project develops estimates of trends in the economic costs of illness and of the payoff in social and economic terms to past and prospective expenditures for biomedical research both in aggregate and for specific disease categories. A series of reports have been and are being developed. In this report, the cost of illness in the year 2000 is estimated. There are separate estimates of direct and indirect costs. The findings, sources of data, and methodology employed are presented in the report. The unabridged report was a special supplement to Public Health Reports, Volume 93, No. 5, September-October 1978, ?

DESCRIPTORS: Benefit-Cost Analysis Feature, Statistical Analysis Methods Feature, Trends and Forecasts, Morbidity and Mortality, Biomedical Research, Economic Growth, Bibliography Feature**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 0892.1**PROJECT NO:** NIH 74-7/9**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** NOI-OD-5-2121**COST (In Thousands):** Not available**PROJECT STATUS:** Study completed,

Available from EDC,

Executive summary at EDC

START/END DATES: June '74 through June '79

0901

AGENCY SPONSOR: Public Health Service

National Institutes of Health

Office of the Director

PROJECT TITLE: Development and Analysis of Longitudinal Records of NIH Applicants and Awardees**REPORT TITLE:** Not yet determined

**Public Health Service
National Institutes of Health**

Accession No. 0903

PERFORMER: National Academy of Sciences; 2101 Constitution Ave., N.W.; Washington, D.C.

AGENCY CONTACT: Wright, Stuart; Westwood Bldg., Rm A27; 301-496-7513

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research Activity-Research Grants & Contracts;

PHS-NIH-Biomedical Research Activity-Program Management;

PHS-NIH-Biomedical Research Activity-Training

ABSTRACT: This project covers the development of a master file of investigators who have applied for NIH grants or were project officers on contracts during the past 20 years. The file will contain data on the investigator's name, age at time of award of M.D. or Ph.D., degree granting institution, NIH training support, NIH grant applications, grant awards, and priority scores. It also includes documenting the file and the production of initial reports addressing the following issues: 1) the survival rate of investigators in NIH grants/contracts system, 2) treatment of new applicants for NIH grants and contracts, and 3) study of incidence of transfer between scientific fields. Thus far, a contract has been awarded for the establishment of the basic data file. After collation of two sets of data (1938-1961 and FY 1962-1977) has been completed, they will be merged into a single file using a standard layout for all 750,000 records. The contract covers a one year period and will be followed by two years of in-house work. This data base will be used for numerous studies on the outcomes of research training and support.

DESCRIPTORS: Biomedical Research, Scientific Manpower, Grant and Contract Management, Information Systems

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1523

PROJECT NO: NIH 78-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract, In-house

ERIC NO: Not available

CONTRACT NO: No1-OD-8-2125

COST (In Thousands): FY 78-\$50, FY 79-Not available

PROJECT STATUS: Study in process

START/END DATES: April '78 through March '81

0902

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Higher Education Panel Surveys

REPORT TITLE: Development of a Revised Higher Educational Panel: A Study of the Taxonomy and Sampling of the Institutional Domain of Higher Education

PERFORMER: National Science Foundation; 1800 G Street, N.W.; Washington, D.C. 20550

AGENCY CONTACT: Giza, Richard; NIH Building 31, Room 1B44; 301-496-9285

PROGRAM(S) EVALUATED:

E-OE-Higher Education

ABSTRACT: The purpose of this study was to develop a revised taxonomy and to define and implement a revised total sample for the American Council of Education's Higher Education Panel survey research program. This program, jointly sponsored by the National Institute of Health, the National Science Foundation, and the U.S. Office of Education, is designed to obtain quickly from representative academic institutions information required for Federal agency decisions affecting higher education and academic science. The domain of higher education in terms of taxonomies providing program and

qualitative distinctions among colleges and universities, provides a statistically sound basis for restructuring the sample used in Higher Education Panel survey operations. See EDC 1149 for a description of overall project. (Final report 122 pages).

DESCRIPTORS: Higher Education, Indices and Taxonomies, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1149.1

PROJECT NO: NIH 75-3

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Transfer

ERIC NO: Not available

TRANSFER NO: Not yet determined

COST (In Thousands): FY 75-Not available, FY 76-Not available

PROJECT STATUS: Study completed

START/END DATES: July '75 through June '77

0903

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: The Diffusion of Innovation in Medicine

REPORT TITLE: The Diffusion of Medical Technology: Policy and Research Planning Perspectives

PERFORMER: Extension and Public Service Division; School of Industrial and Labor Relations; Cornell Univ.; Ithaca, N.Y. 14850

AGENCY CONTACT: Fisher, Dr. G.L.; OPA/OPPE; 301-496-4418

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research

ABSTRACT: An overview of a 1972 conference sponsored by the National Institutes of Health (NIH) on the development of policy regarding research on the diffusion of medical technology is presented. Participants included government officials, biomedical experts, and social scientists. Organizational considerations underlying the study of technological diffusion by NIH and the Health Services and Mental Health Administration are discussed, and policy implications of diffusion research are explored in terms of the public, the health system, and government intervention or lack of intervention. An examination of technological diffusion in the health system raises theoretical and methodological issues in order to account for the disjointed nature of the data base and the apparent lack of an integrative framework. The attributes of medical innovations as they affect diffusion are considered, and the need for a centralized inventory of significant medical technologies is addressed. Diffusion research is assessed in three general areas: intraorganizational research, interorganizational research, and research aimed at predicting diffusion rates in different types of organizations. Intervention strategies to optimize the diffusion process are discussed, and techniques are assessed in terms of their validity and usefulness. The report has been published as a book (Ballinger, 1975). (Final report 222 pages.)

DESCRIPTORS: Biomedical Research, Medical Technology, Information Dissemination, Bureaucratic Behavior, Technology Assessment or Transfer, Conference Proceedings Feature

EVALUATION TYPE: Support

EDC ID NO: 0556

PROJECT NO: NIH 72-8

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contact

ERIC NO: Not available

CONTRACT NO: NIH-72-C-1138

COST (In Thousands): FY 72-\$50

PROJECT DESCRIPTIONS

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC
START/END DATES: May '72 through May '73

0904

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Dissemination of Research Results

REPORT TITLE: Not yet determined

PERFORMER: American Academy of Family Physicians; 1740 W.
92nd Street; Kansas City, Mo. 65114, American Osteopathic
Assn.; 1611 N. Kent St.; Rosalyn, VA.

AGENCY CONTACT: Whaley, Storm; NIH Bldg 1, Room 309;
301-496-4461

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Health;
PHS-NIH-Biomedical Research-Activity-Program
Management

ABSTRACT: The utility of an NIH series of reports in the Journal of the American Medical Association on medical applications of Research is being evaluated. The study includes a survey of the means by which family physicians acquire new information on prevention, diagnosis, and treatment of disease. Information about the types of practice, patients, and channels of communication used by members of the American Academy of Family Physicians will be obtained. The contract was awarded in FY 77 and the survey is still undergoing OMB clearance procedures.

DESCRIPTORS: Medical Practice, Physicians, Information Dissemination, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1233 **PROJECT NO:** NIH 76-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-OD-7-2127 & NO1-7
COST (In Thousands): FY 77-\$111
PROJECT STATUS: Study in process
START/END DATES: October '76 through Unknown start date

0905

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Evaluation of the Linkages Between Research
Publications and NIH Funding

REPORT TITLE: Distribution of International Biomedical Literature
(June 1976)

PERFORMER: Computer Horizons, Inc.; 1060 Kings Highway
North; Cherry Hill, NJ 08034; J. Davidson Frame

AGENCY CONTACT: Gee, Helen H.; NIH Bldg. 1, Rm 234;
301-496-4418

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Activity-Research Grants &
Contracts;
PHS-NIH-Biomedical Research-Activity-Intramural Research

ABSTRACT: Using as a basis for analysis the 975 most prominent and accessible biomedical journals among those covered by Science Cita-

tions Index (the set is under-representative of Russian and other eastern European work in clinical medicine), the proportion of papers produced by each of 44 countries is presented. For the half dozen most prolific publishers of bioscience literature the comparative proportions of citations received is also listed (self-citations are included, thus just one aspect of the complex problem of "utilization" is presented). The United States is the leader, with 48,631 publications in clinical medicine and biomedical research, followed by the United Kingdom with 11,671, the Federal Republic of Germany with 7,610, and France with 7,225. It is noted that the ranking of countries according to gross number of publications correlates well with citation-to-publication ratios, Nobel prize rankings, and the gross national product. This paper includes also profiles of publication frequency for each of the 44 countries. A shorter version was updated in September 1976 and published in Federation Proceedings, Vol. 36, May 1977. See EDC 0562 for funding information and related reports. (Final report 64 pages).

DESCRIPTORS: Biomedical Research, International Issues, Research and Evaluation Performance

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0562.2 **PROJECT NO:** NIH 73-2
FUND SOURCE: Evaluation **NTIS NO:** SHR 1357
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NO1-OD-3-2109

COST (In Thousands): FY 74-Not available, FY 75-Not available,
FY 76-Not available

PROJECT STATUS: Study completed,
Available from NTIS

START/END DATES: March '73 through September '76

0906

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Survey of Doctoral Scientists and Engineers

REPORT TITLE: Doctoral Scientists and Engineers in the United
States: 1973 Profile, 1975 Profile, 1977 Profile

PERFORMER: National Academy of Science; 2101 Constitution
Avenue; Washington DC 20418; Dr. Lindsey Harmon

AGENCY CONTACT: Copeland, William; NIH Bldg 31, Rm 1B58;
301-496-5011

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Activity-Training;
V-Many HEW Programs-Employment & Training;
V-Non-HEW Programs-Employment & Training

ABSTRACT: The survey samples persons holding the Ph.D. or equivalent degree and living in the United States. The data are used for national estimates of the numbers of Ph.D.-holders by field of degree, field of employment (and hence field mobility), demographic characteristics, employment status, type of employer, work activity, and salaries. The survey has been made in 1973 and 1975, and is in progress for 1977. A summary report is available for 1973 and 1975. The survey is carried out by the Commission on Human Resources of the National Research Council under contract with the National Science Foundation, with partial support of NIH in return for amplification of the sample to assure information on the current status of the biomedical research manpower pool. The 1973 report found that there were 244,900 doctoral scientists in the United States. Over 90 percent of these were employed, almost all full-time. Women constituted nine percent of the science and engineering doctoral population and members of minority racial groups constituted five percent. Half of the doctoral scientists and engineers were in the biosciences or physical sciences. Nearly three fifths of those working were employed by

educational institutions, and over two-fifths were primarily engaged in R&D. The 1973 median salary for was \$20,890; those employed in engineering reported the highest median salary -- \$22,490. The report is available from the National Academy of Sciences. (1973 report 54 pages).

DESCRIPTORS: Biomedical Research, Scientific Manpower, Health Manpower Training, Minority Groups, Higher Education, Survey or Questionnaire Feature, Statistical Data Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0568

PROJECT NO: NIH 73-7

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Transfer

ERIC NO: EDC Only

TRANSFER NO: PH-43-64-44

COST (In Thousands): FY 73-\$30, FY 75-\$25

PROJECT STATUS: Study in process,

Available from EDC

START/END DATES: July '73 through April '77

0907

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Economic Rate of Return to Doctoral Scientists Training in Biomedical Science

REPORT TITLE: The Economic Rate of Return. Phase I, Post MD Training in the Biosciences, Phase II, Ph.D Training, Phase III, Federally Supported Post Ph.D Training

PERFORMER: Univ. of North Carolina; Chapel Hill, NC 27514; Richard M. Scheffler

AGENCY CONTACT: Wooley, Herbert B; Bldg. 1, Room 228; 301-496-5617

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Training;
PHS-HRA-Health Resources-Health Manpower-Professions;
V-Many HEW Programs-Employment & Training;
V-Non-HEW Programs-Employment & Training

ABSTRACT: This study consists of three phases each with a report. The first report examined economic inducements to M.D.'s taking postdoctoral research training in biomedical science and found the returns uniformly negative. (Feb. 28, 1975 - 116 pages). The second phase report studies career salaries of Ph.D.'s trained in biomedical science fields. Comparing lifetime earnings of Ph.D.s in biomedical sciences with earnings of scientists with B.A. or M.A. qualifications shows the economic inducement to take a doctorate were high. Once young scientists have achieved the B.A. or M.A. degree in a biomedical science field, they have a strong inducement to train further. However, biomedical science is not so attractive to a student who compares the prospective Ph.D. income stream with lifetime salaries of B.A.s or M.A.s in science fields generally. (April 30, 1977-Vol. 1, 219 pages; Vol. II, Appendices, 220 pages). The third phase report dealt with post Ph.D. training in the biosciences. For the majority of bioscience fields, the career earnings of biomedical scientists with either National Science Foundation (NSF) or National Institutes of Health (NIH) supported postdoctoral training have the highest lifetime earnings. (November 1977, pages and appendices).

DESCRIPTORS: Biomedical Research, Health Manpower Training, Economic Analysis Feature, Scientific Manpower, Health Manpower Projections

EVALUATION TYPE: Research and Data Development

PROJECT DESCRIPTIONS

EDC ID NO: 0890

PROJECT NO: NIH 74-3

FUND SOURCE: Evaluation

NTIS NO: PB 279-927-930

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: NO1-OD-4-2152

COST (In Thousands): FY 74-\$38, FY 76-\$30, FY 77-\$46

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: January '74 through November '77

0908

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Environment and Staffing in the Federal Laboratories

REPORT TITLE: Not yet determined

PERFORMER: Geological Survey Dept., Interior Institute of Public Administration; 1619 Mass. Ave., N.W. Washington, D.C.

AGENCY CONTACT: Jacobs, Dr. U.; 301-496-3111

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Program Management

ABSTRACT: To assess the health of Federal in-house laboratories, giving particular attention to the environment within which they function and including assessment of major operations and staffing features that affect current and projected future effectiveness and capabilities. The effort will include identification of the roles of the Federal in-house laboratories and generally recognize the contributions and capabilities which make them a "national resource".

DESCRIPTORS: Laboratories, Environmental Health, Federal Administration, Administrative Personnel

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0889

PROJECT NO: NIH 74-15

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Transfer

ERIC NO: Not available

TRANSFER NO: SF1081, 200300-138-74

COST (In Thousands): FY 74-\$6

PROJECT STATUS: Study in process

START/END DATES: March '74 through December '74

0909

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Evaluation of the Linkages Between Research Publications and NIH Funding

REPORT TITLE: Various

PERFORMER: Computer Horizons, Inc.; 1060 Kings Highway North; Cherry Hill, NJ 08034; Francis Narin

AGENCY CONTACT: Gee, Helen H.; NIH Bldg. 1, Rm 234; 301-496-4418

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Research Grants & Contracts;
PHS-NIH-Biomedical Research-Activity-Intramural Research

ABSTRACT: This program of studies aims at the development of quantitative models of research productivity in the biosciences using an

array of journal publication rate and influence measures as the best available surrogates for productivity. The feasibility and utility of developing quantitative methods for assessing bioscience research performance was established in prior years of support of this program. An extensive data base has been made available and techniques of measurement have been developed that can now be used for detailed evaluative studies of the effects of NIH (or other Federal) policies on research performance. Five tasks are in process during FY 78-79: (1) a feasibility study of the impact of targeting on research performance; (2) further study of the relation between NIH funding and institutional publication performance, including investigation of the concept of need for "critical mass"; (3) completion of a study of interinstitute research relations with special attention to whether patterns of utilization of basic research are changing; (4) data production for the study of bioscience research career development (NIH 76-3); identification of important events and highly cited individual papers in subfields of science.

DESCRIPTORS: Biomedical Research, Research and Evaluation Performance, Research and Evaluation Methods Feature, Bibliography Feature, Indices and Taxonomies

EVALUATION TYPE: Program Impact

EDC ID NO: 0562 **PROJECT NO:** NIH 73-2

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: NO1-OD-3-2109

COST (In Thousands): FY 74-\$118, FY 75-\$120, FY 76-\$75, FY 77-\$125, FY 78-\$125, FY 79-\$125

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: March '73 through December '78

0910

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Evaluation of Uses of Automation in the Clinical Laboratory

REPORT TITLE: Not yet determined

PERFORMER: Biomedical Engineering Program; National Institute of General Medical Sciences; NIH-PHS; Bethesda, Md.

AGENCY CONTACT: Melville, R.; 301-496-7081

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Program Management

ABSTRACT: An exploration of the advantages and disadvantages of the use of automation. A review of the state of art and identification of ways to improve current practices from the point of view of the patient and the laboratory.

DESCRIPTORS: Information Processing, Clinics, Management Techniques, Utilization Review, Laboratories

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0897

PROJECT NO: NIGMS 75-1

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 75-\$12

PROJECT STATUS: Study in process

START/END DATES: May '75 through June '75

0911

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Evaluation of the Linkages Between Research Publications and NIH Funding

REPORT TITLE: The Extramural Role of the NIH as a Research Support Agency

PERFORMER: Computer Horizons, Inc.; 1060 Kings Highway North; Cherry Hill, NJ 08034

AGENCY CONTACT: Gee, Helen H.; NIH Bldg. 1, Rm 234; 301-496-4418

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Research Grants & Contracts;

PHS-NIH-Biomedical Research-Activity-Intramural Research

ABSTRACT: This paper reports on the NIH role in supporting U.S. biomedical research activity, based on counts of research support acknowledgements in 264 biomedical journals covered by the Science Citation Index in 1973. Overall NIH research support was acknowledged by approximately 25% of the papers in clinical journals and almost 50% of the papers in basic research publications. At all levels NIH support appears to be twice as large as that of all other federal agencies combined. Total numbers of 1973 publications supported by NIH and other federal and non-federal agencies are reported; primary subfields of bioscience publications supported by each of the NIH Institutes are also reported. Each of the major institutes of NIH shows a dual pattern of research support, with a specific peak in the appropriate clinical areas and second peak of supported research in an area of basic research activity. Submitted to Federation Proceedings, March 1977.

DESCRIPTORS: Biomedical Research, Research and Evaluation Performance

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0562.4

PROJECT NO: NIH 73-2

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: NO1-OD-3-2109

COST (In Thousands): FY 74-Not available, FY 75-Not available, FY 76-Not available

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: March '73 through March '77

0912

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: National Expenditure for Biomedical Research

REPORT TITLE: A Feasibility Study to Develop a Methodology to Classify National Obligations for Disease and Health Related Research and Development

PERFORMER: The Orkand Corp.; 8630 Fenton Street; Silver Spring, Md. 20910

AGENCY CONTACT: Trumble, Dr. Robert; 301-496-1505

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Intramural Research;

PHS-NIH-Biomedical Research-Activity-Research Grants & Contracts

ABSTRACT: This study aimed at developing a classification system against which HEW extramural, HEW intramural, other Federal, private, etc. biomedical research could be classified according to disease or health problems. Such data could perhaps be used in conjunction with impact data by diseases in evaluation and planning. One existing taxonomy, the ICDA, was found adaptable to this purpose. The scheme devised also was compatible with a GAO-sponsored R&D reporting structure. The scheme was pilot tested successfully on each body of research studies. In particular, HEW IMPAC and CRISR R&D data systems could be modified to produce the desired classification. However, since some studies deal with more than one disease, certain coding problems can only be handled arbitrarily. The study recommended implementation in NIH, expansion, and further development of the system. (Final report 3 volumes, 178 pages).

DESCRIPTORS: Biomedical Research, Indices and Taxonomies, Morbidity and Mortality, Management Information and Reporting, Information Systems

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0560

PROJECT NO: NIH 74-6

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: NO1-OD-4-2185

COST (In Thousands): FY 74-\$98

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: June '74 through June '75

0913

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Longitudinal Study of 1961 and 1966 Cohorts of Entering College Freshmen

REPORT TITLE: Five and Ten Years After College Entry

PERFORMER: American Council on Education; 1 Dupont Circle; Washington, DC 20036

AGENCY CONTACT: Rosenberg, Dr. H.; ORA/OPPE; 301-496-4321

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Education

ABSTRACT: A 1971 followup study is reported of the educational and career progress of two college classes: the freshman class of 1961 and the freshman class of 1966. A total of 58,839 questionnaires were mailed to the 1966 group and 60,307 to the 1961 group. Followup mailings were sent to those not responding. The return rate of the 1961 group was 40.8 percent with 45.2 percent of the 1966 group responding. The findings support the hypothesis that patterns of educational progress are neither rapid nor neat. Only five percent of the 1961 cohort graduate students received a Ph.D. by 1971, but four times that many planned to obtain a Ph.D. in the future. The findings suggest that college students in both groups held high degree aspirations and that many will achieve these aspirations, though not immediately. It is suggested that cutbacks in Federal aid may have a detrimental impact on whether or not these students eventually achieve the high degree levels to which they aspire. Great similarity

was found to exist between the two groups with respect to plans, goals, and values. Their long-range degree plans were virtually identical, as were their graduate major fields of study and their career aspirations. It is noted that women students in the 1966 class appeared more ambitious than those in the 1961 class; the patterns of advanced study enrollment suggest that higher proportions of women will attend graduate and professional school and therefore that more may obtain advanced degrees. NSF also funded the survey. (Final report 172 pages).

DESCRIPTORS: College Students, Scientific Manpower, Scholarships Fellowships and Loans, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0558

PROJECT NO: NIH 72-7

FUND SOURCE: Evaluation

NTIS NO: SHR 1366

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: NIH-OD-72-2029

COST (In Thousands): FY 72-\$177, FY 73-\$32

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: November '71 through March '73

0914

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Analysis of Selected Biomedical Research Programs

REPORT TITLE: Further Analysis of the Characteristics of Research Resulting in Clinical Advances from Inception to Clinical Usage

PERFORMER: Battelle Columbus Labs; 505 King Street; Columbus, Ohio 43201

AGENCY CONTACT: Woolley, Herbert; NIH Bldg 1, Room 228; 301-496-5617

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research

ABSTRACT: Twenty-five biomedical advances in Surgery, Drugs, Devices, Vaccines, Diagnosis, and Patient Management were studied to identify the characteristics of biomedical advances and the factors affecting their progress. Significant events which describe the historical course of the advances were identified and analyzed as to type and location of research and source of funding. Nonmission-oriented research was the predominant type of event during the preconception period, whereas mission-oriented research predominated during the period from conception to general availability. Developmental events predominated after general availability. Factors that facilitated or inhibited the progress of biomedical advances were identified, including supporting technology, entrepreneur, attitudes of medical community, and communications. The importance of these factors varied within a given advance from one period to another. Some inhibiting factors were sufficiently strong to halt progress for appreciable periods of time. It was concluded that careful planning of a coordinated effort after conception of an advance can minimize the effects of inhibiting factors. The study includes case histories. (Final report 100 pages).

DESCRIPTORS: Biomedical Research, Literature Review Feature, Technology Assessment or Transfer, Case or Site Study Feature, Bibliography Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1237
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: NO1-OD-6-2125
COST (In Thousands): FY 76-\$48
PROJECT STATUS: Study completed,
 Available from EDC,
 Available from NTIS
START/END DATES: June '76 through February '77

PROJECT NO: NIH 76-7
NTIS NO: PB 282-039
ERIC NO: Not available

0915

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 Office of the Director

PROJECT TITLE: NIH Grants Peer Review Study

REPORT TITLE: Grants Peer Review: Opinions on the NIH Grants
 Peer Review System, Phase II Report to the Director, NIH,
 December 1978

PERFORMER: NIH Grants Peer Review Study Team; Bethesda,
 MD. 20014

AGENCY CONTACT: Soloway, Mathilda; Rm. 925 Westwood
 Bldg.; 301-496-7760

PROGRAM(S) EVALUATED:
 PHS-NIH-Biomedical Research-Activity-Research Grants &
 Contracts;
 PHS-NIH-Biomedical Research-Activity-Program
 Management

ABSTRACT: This report supplements the three-volume Phase I report on the NIH research grants review system presented to the Director of the NIH in December 1976 by the NIH Grants Peer Review Study Team. Volume I, Grants Peer Review Report to the Director, NIH, Phase I, constituted the major document, presented the Study Team's specific recommendations, and included discussions of the bases for the recommendations. Part I of this report supplies detailed information on the characteristics of the three groups from which the Study Team sought comments—the members of the 1975-76 NIH grant review groups, the witnesses at the public hearings, and those interested persons who wrote in response to the Study Team's request for comments. It also provides statistical information on the opinions of these three groups. Part II of this report addresses the substance of the comments on the NIH grants review system made by the 93 witnesses and 1,400 correspondents. It deals also with answers to the questionnaire distributed to the 1975-76 review group members and the comments volunteered by one-half of the 1,274 members who responded. (Final report 327 pages.) See EDC ID No: 1232 for financial data.

DESCRIPTORS: Research and Evaluation Planning and Administration, Grant and Contract Management, Biomedical Research, Scientific Manpower, Consumer Evaluation Feature, Survey or Questionnaire Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1232.1
FUND SOURCE: Evaluation
MECHANISM: In-house/Contract
PROJECT NO: NIH 76-1
NTIS NO: PB 80-105299
ERIC NO: Not available

CONTRACT/GRANT NO: Various
COST (In Thousands): FY 76-Not available

PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS

START/END DATES: March '76 through December '78

0916

AGENCY SPONSOR: Public Health Service
 National Institutes of Health
 Office of the Director

PROJECT TITLE: NIH Grants Peer Review Study

REPORT TITLE: Grants Peer Review: Report to the Director, NIH
 Phase I, December 1976, Decisions by Director, NIH,
 Recommendations of the Grants Peer Review Study Team,
 February 1978

PERFORMER: NIH Grants Peer Review Study Team; supported
 by IMS, Inc; Suite B10 48452 Cordell Ave; Bethesda,
 Maryland

AGENCY CONTACT: Soloway, Mathilda; NIH, Westwood Bldg.;
 301-496-7760

PROGRAM(S) EVALUATED:
 PHS-NIH-Biomedical Research-Activity-Research Grants &
 Contracts;
 PHS-NIH-Biomedical Research-Activity-Program
 Management

ABSTRACT: In Spring, 1975, the NIH Grants Peer Review Study Team (GPRST) was established to conduct a detailed and comprehensive review of the NIH peer review system. The GPRST, made up of NIH scientists and administrators, held public hearings in Chicago, San Francisco and Bethesda, Md. and actively sought written comments from the present and former non-Federal members of review bodies, as well as from applicants, grantee institutions and the general public. Their report was completed in December, 1976. During 1977, a committee appointed by the Director, NIH, reviewed the report and developed a rationale for the selection/rejection of each recommendation. In February, 1978, recommendations were accepted by the Director. A number of subcommittees have been designated to develop the requisite procedures or studies to implement the recommendations that have been accepted. Time schedules have been set for receipt of interim progress and final reports regarding implementation of the accepted recommendations. It is anticipated that with the exception of several recommendations that require further study, most of the accepted recommendations should be incorporated into current operating procedures by December 1978. The GPRST is assisted in this project by IMS, Inc., and Cooper Williams Associates. (Report on Phase I, 251 pages plus two appendices; report on Decisions by Director, NIH, 19 pages.)

DESCRIPTORS: Research and Evaluation Planning and Administration, Grant and Contract Management, Biomedical Research, Scientific Manpower, Consumer Evaluation Feature, Survey or Questionnaire Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1232
FUND SOURCE: Evaluation
MECHANISM: In-house, Contract
PROJECT NO: NIH 76-1
NTIS NO: PB 288-305-306, 836
ERIC NO: Not available

CONTRACT NO: Various
COST (In Thousands): FY 76-\$111, FY 76.1-\$1, FY 77-\$82

PROJECT STATUS: Study completed,
 Available from EDC,
 Available from NTIS

START/END DATES: March '76 through March '78

0917

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Higher Education Panel Surveys

REPORT TITLE: Health Research Facilities: A Survey of
Doctorate-Granting Institutions

PERFORMER: National Science Foundation; 1800 G Street, N.W.;
Washington, D.C. 20550

AGENCY CONTACT: Giza, Richard; NIH Building 31, Room
1B44; 301-496-9285

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Training;
PHS-NIH-Biomedical Research-Activity-Research Grants &
Contracts

ABSTRACT: Foundations, voluntary health agencies, state and local governments, and industry have played a vital role in the sponsorship of health research in this country, counterbalancing and supplementing the forms and directions of support arising from federal efforts. As an aid in assessing the magnitude and character of this nonfederal contribution, the National Institutes of Health requested that the American Council on Education--through its Higher Education Panel program--undertake a study of recent patterns of nonfederal funding of biomedical R&D at Ph.D. granting institutions (the prime locus of the Nation's biomedical research effort). The survey gauged the present availability of alternative nonfederal funding sources at doctoral institutions, and elicited from institutional representatives their judgments about the prospects for increased participation by state/local governments and the private sector in support of health-related research over the next 5 years. See EDC 1149 for a description of overall panel survey project. (Final report 36 pages).

DESCRIPTORS: Biomedical Research, Scientific Manpower, Higher Education, Charity, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1149.2

PROJECT NO: NIH 75-3

FUND SOURCE: Evaluation,
Non-HEW

NTIS NO: EDC Only

MECHANISM: Transfer

ERIC NO: Not yet determined

TRANSFER NO: Not yet determined

COST (In Thousands): FY 75-Not available, 76-Not available
PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: July '75 through June '77

0918

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Impact of Federal Policies on Bioscience
Research

REPORT TITLE: Not yet determined

PERFORMER: Research Program on Social Analysis of Science
Systems; Cornell University; Ithaca, New York 14850; Dr.
Robert McGinnis

AGENCY CONTACT: Gee, Helen H.; Bldg 1, Rm 234B;
301-496-4418

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research Activity-Program
Management

ABSTRACT: This project investigates the feasibility of developing systematic sociological models for estimating the impact of federal policy on research institutions and individuals. The NIH studies are focused on three representative scientific fields--biochemistry, microbiology, and (animal) physiology--and on two specialty areas within these fields--viral cell transformation and reproductive physiology of non human primates. Two major studies are underway: a study of the career patterns of two cohorts of biochemists and a study of the development of bioscience specialty areas. The manpower study provides quantitative information about the effects of grant support, types of training, mentors and institutions on the development of research careers. It has implications for the organization of training activities and for the development of review criteria for training programs. The bioscience specialty study is developing a model of how science communication networks develop and operate, and has revealed that the science citation patterns of investigators in at least some large research centers differ from those of independent investigators. Study of relations between funding and research performance, and of differences between the U.S. and other countries in how specialty development is anchored in the literature have potential utility for NIH program development.

DESCRIPTORS: Biomedical Research, Scientific Manpower
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0891

PROJECT NO: NIH 74-5

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: None

CONTRACT NO: NO1-OD-4-2175

COST (In Thousands): FY 74-\$110, FY 75-\$175, FY 76-\$140, FY
77-\$62

PROJECT STATUS: Study in process

START/END DATES: June '74 through June '78

0920

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Annual Research Grant Manpower

REPORT TITLE: Manpower: Report No. 1, Paid Employment on
NIH Research Grants by Awarding Institute Fiscal Years
1973, 1974, and 1975; Evaluation of the Stochastic
Imputation Method

PERFORMER: IMS, Inc.; 4853 Cordell Ave., Suite 10B; Bethesda,
Md. 20014; and Division of Research Grants, NIH

AGENCY CONTACT: Casey, Charles; Westwood Bldg., Room
1A05; 301-496-7826

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Research Grants &
Contracts;
V-Many HEW Programs-Employment & Training;
V-Non-HEW Programs-Employment & Training

ABSTRACT: This project concerns the population of positions paid from NIH research grants in a given fiscal year. The data come from the Manpower Report (NIH Form 1749) which is mailed annually to each principal investigator. This report contains for each grant, detailed information on each paid position for professionals and summary data for nonprofessional positions. Reporting data files are constructed by fiscal year in order to facilitate responses to specific data requests and to prepare publications showing trends or changes over time. These data are used by the National Academy of Science in projecting manpower needs (See EDC 0565). The first report provides an overview of paid employment for fiscal years 1973 through 1975; including employment characteristics within major occupational categories and selected statistic related to data items

from the NIH IMPAC system. (95 pages.) The Stochastic Imputation Method is used to inflate Manpower Report Form data to provide estimates of the complete set of grants which were targeted for data collection. The results of the simulations showed (among other things) that: 1) estimates of the number of personnel in each job category contained an average error of 3%; and 2) estimates of the distributions of individual variables, such as salary, age, etc., were in close agreement with the actual data even though the simulations were based on biased data. This basic technique is also employed by the Census Bureau and a variety of data bases.

DESCRIPTORS: Biomedical Research, Grant and Contract Management, Health Manpower Projections, Health Manpower Training, Scientific Manpower, Survey or Questionnaire Feature, Management Information and Reporting

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1148

PROJECT NO: DRG 74-1

FUND SOURCE: Evaluation

NTIS NO: PB 281-953,954

MECHANISM: Contract, In-house

ERIC NO: Not available

CONTRACT NO: GS-035-46407 and 44748

COST (In Thousands): FY75-\$12, FY 76-\$114, FY 76.1-\$7, FY 77-\$80

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: May '75 through November '76

0921

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Trends in the Composition of Medical School
Doctoral Faculty

REPORT TITLE: National Estimates of Faculty Manpower in U.S.
Medical Schools An Approach and Some Preliminary
Results (Feb. 1977)

PERFORMER: Assoc. American Med. Colleges; 1 Dupont Circle;
Washington, D.C. 20036

AGENCY CONTACT: Woolley, Herbert; NIH Bldg. 1, Room 228;
301-496-5617

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Training;
PHS-HRA-Health Resources-Health Manpower-Health
Professions;
V-Many HEW Programs-Employment & Training

ABSTRACT: The Association of American Medical Colleges collects three types of data on medical school faculty. The (AAMC-AMA) Liaison Committee on Medical Education has collected annual data for many years to support the accreditation function. By the early 1970's, the data collection instrument became more precise. The annual AAMC Salary Survey has collected faculty counts by rank and department since 1970. The AAMC Faculty Roster System was begun in 1966 as an annual survey and since 1972 has been a continuous reporting system. The purpose of this project was to compare the data on faculty available from the three sources and to interpret the data to produce national estimates of medical school faculty manpower. The computer-generated reports display annually for the time period 1970 through 1975 faculty counts by rank and degree, department and/or specialty. Changes in faculty counts are displayed by reasons for separation; faculty positions (including filled and unfilled) are also shown. National estimates of annual faculty appointments, turnover and promotion have been generated from the above described sources of data. The study found that full-time faculty in-

creased from 27,548 in 1970-71 by 35% in 1974-75, that part-time faculty increased only about 8%, etc. These estimates differ in some major respects from the components, and are more accurate. (Final report 327 pages).

DESCRIPTORS: Scientific Manpower, Health Manpower Training, Health Manpower Projections, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1229

PROJECT NO: NIH 74-2b

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: NO1-OD-5-2130

COST (In Thousands): FY 75-\$33

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: October '74 through February '77

0922

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Evaluation of the Linkages Between Research
Publications and NIH Funding

REPORT TITLE: NIH Funding and Biomedical Publications Output
(July, 1976)

PERFORMER: Computer Horizons, Inc.; 1060 Kings Highway
North; Cherry Hill, NJ 08034

AGENCY CONTACT: Gee, Helen H.; NIH Bldg. 1, Rm 234;
301-496-4418

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Research Grants &
Contracts;
PHS-NIH-Biomedical Research-Activity-Intramural Research

ABSTRACT: An investigation of the relationship between NIH funding and biomedical publication output is reported for 229 major NIH supported institutions. A correlation of 0.95 was found between the amount of NIH funding and the number of biomedical papers published for 132 U.S. universities. For 52 hospitals a correlation of 0.89 was found. For all 229 institutions it was found that NIH provided 91% of the biomedical research support from major federal agencies, and more than half of the total extramural biomedical research support. There was no indication of either economies or diseconomies of scale in the number of research papers produced by the institutions, which ranged from a few papers per year to over 700 per year. Published in part in Federation Proceedings, Vol 35, No 14, Dec 1976, pp. 2529-2532. See EDC 0562 for funding information and related reports. (Final report 16 pages).

DESCRIPTORS: Biomedical Research, Research and Evaluation Performance

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0562.3

PROJECT NO: NIH 73-2

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: NO1-OD-3-2109

COST (In Thousands): FY 74-Not available, FY 75-Not available,
FY 76-Not available

PROJECT STATUS: Study completed

START/END DATES: March '73 through July '76

0923

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: NIH Inventory of Clinical Trials

REPORT TITLE: NIH Inventory of Clinical Trials, FY 75

PERFORMER: CDP Associates, Inc.; 4853 Cordell Ave., B-10;
Bethesda, Maryland 20014; and Division of Research Grants,
NIH

AGENCY CONTACT: James, John C.; NIH Westwood Bldg.,
Room A27; 301-496-7795

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Activity-Program
Management

ABSTRACT: The NIH Inventory of Clinical Trials is a central repository of information on the hypotheses being tested, fiscal and administrative data, population characteristics of patients, experimental design data, and bibliographic data on clinical trials supported by NIH. The inventory is maintained to make the data more readily available with the computerized files as well as written annual reports and to assist in evaluative studies of the NIH role at the interface of biomedical R&D and health care. The inventory for FY 1975 is complete, the report for FY 1976 is being prepared, and the data are being collected for FY 1977. The first report analyses include displays of data by type of experimental design and by type of medical intervention. The trials are indexed by disease category. Additional information is supplied on mechanism of support, performer organizations, patient population characteristics, statements of hypotheses being tested, related scientific bibliographies, and other kinds of data. A data sheet is included for each of the 755 trials in the Inventory. The total amount of NIH support in FY 1975 was estimated at \$114 million. (Two volumes, 1101 pages.)

DESCRIPTORS: Clinical Trials Feature, Biomedical Research, Indices and Taxonomies, Information Dissemination, Technical Assistance, Research and Evaluation Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1146 **PROJECT NO:** DRG 75-1

FUND SOURCE: Evaluation, Salaries and Expenses **NTIS NO:** PB 282-209-10

MECHANISM: Contract, In-house **ERIC NO:** Not available

CONTRACT NO: GS-038-44748

COST (In Thousands): FY 75-\$54, FY 76-\$30

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: December '74 through July '77

0924

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Information on Different Types of Research
Grants and on the Support of Research Personnel

REPORT TITLE: NIH Research Grant Expenditures, FY 1971 -
Parts I and II

PERFORMER: Wolf Research & Development Corp.; 1530 E.
Jefferson St.; Rockville, Md. 20852

AGENCY CONTACT: Casey, Charles; 301-496-7826

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Activity-Research Grants &
Contracts

ABSTRACT: Data are presented in a tabular format on National Institutes of Health (NIH) research grant expenditures for NIH administrators concerned with extramural programs. The data were obtained from the Report of Research Grant Expenditures submitted by each recipient of a research grant awarded from fiscal year 1971 funds. The information is designed to shed light on the impact of NIH research grants on grantee institutions and biomedical research manpower. It is noted that, of the \$659 million expended from NIH research grants in 1971, almost 19 percent was for indirect costs. From 1966 to 1971, it was found that direct expenditures for research rose 18 percent and indirect expenditures rose 88 percent. Eighty percent of all direct expenditures in 1971 were from the 8,606 grants awarded to institutions of higher education and 11 percent were from the 1,007 grants to hospitals not owned by these institutions. Footnotes and technical notes follow the tables. Additional research grant expenditure data are contained in Volume II. This report has been published by NIH, Division of Research Grants. See also EDC 1246 for the FY 1972 report. (Final report 2 volumes, 141 pages.)

DESCRIPTORS: Biomedical Research, Grant and Contract Management, Research and Evaluation Planning and Administration, Statistical Data Feature, Survey or Questionnaire Feature, Management Information and Reporting

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0567 **PROJECT NO:** DRG 73-1

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: GS-03S-38189

COST (In Thousands): FY 73-\$44

PROJECT STATUS: Study in process,
Study completed,
Available from EDC

START/END DATES: April '73 through January '74

0925

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Research Grants Spending Patterns

REPORT TITLE: NIH Research Grant Expenditures: FY 71--Parts I
and II, FY 72--Parts I & II

PERFORMER: CDP Associates, Inc.; 4853 Cordell Ave.; Bethesda,
Md.

AGENCY CONTACT: Casey, Charles; 301-496-7826

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Activity-Research Grants &
Contracts

ABSTRACT: This project collects data on NIH research grant expenditures--amounts and number of grants by type. The data are obtained from the Report of Research Grant Expenditures submitted by each grantee. The information is designed to shed light on the impact of NIH research grants on the grantee institutions and biomedical research manpower. The data are used to: (1) facilitate the continuing development and improvement of the biomedical price index (See EDC 1231); and (2) to provide a basis for NIH to determine the feasibility of using budgeted direct cost data to estimate expenditures in various categories. The data files for FY 72 through FY 74 are complete and development of the FY 75 file is in process. Summary tables of the data have been published for FY 71 (two volumes 141 pages) and FY 72 (two volumes 132 pages). Reports for FY 73-75 are in process.

DESCRIPTORS: Biomedical Research, Grant and Contract Management, Research and Evaluation Planning and Administration, Statistical Data Feature, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1246

PROJECT NO: DRG 73-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract, In-house

ERIC NO: Not available

CONTRACT NO: GS-035-44748

COST (In Thousands): FY 73-\$44, FY 74-\$25, FY 75-\$33, FY 76-\$35, FY 77-\$10

PROJECT STATUS: Study completed

START/END DATES: May '74 through June '78

0926

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: National Academy of Sciences Continuing Study of National Needs for Biomedical and Behavioral Research Personnel

REPORT TITLE: Personnel Needs and Training for Biomedical and Behavioral Research: 1975 Report, 1976 Report, 1977 Report, 1978 Report

PERFORMER: National Academy of Science; 2101 Constitution Avenue; Washington DC 20418

AGENCY CONTACT: Batchelor, William H.; NIH Bldg 1, Rm 117; 301-496-1963

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Training;
PHS-HRA-Health Resources-Health Manpower;
V-Many HEW Programs-Employment & Training;
V-Non-HEW Programs-Employment & Training

ABSTRACT: The results of this continuing study have been published in the following reports: The Feasibility Study (Feb. 1975), and Annual Reports for 1975, 1976, 1977 and 1978. Initial reports dealt with the system for health research training and its support, and with modeling of supply and demand in terms of four major areas (Basic Biomedical, Behavioral and Clinical Sciences and Health Services Research) giving particular attention to projections of student enrollments and R&D expenditures. This analysis of the balance between supply and demand has been refined in succeeding reports, utilizing both established data files and two surveys designed and conducted as part of this study. One of these studies sampled recent Ph.D. cohorts for information on current employment and the relevance thereto of their research training; it documents the contribution of prolonged fellowships and non-tenure research appointments to maintenance of research productivity when tenure-track openings are limited. The other study surveyed Ph.D.-granting departments to assess the impact of withdrawal of NIH training support; it documents greater difficulty in replacing the institutional support component than the stipends. Projected studies deal with the impact of declining numbers of health professionals engaged in research and seeking research training.

DESCRIPTORS: Health Manpower Training, Scientific Manpower, Higher Education, Biomedical Research, Survey or Questionnaire Feature, Statistical Data Feature, Bibliography Feature, Health Manpower Projections

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0565

PROJECT NO: NIH 75-1

FUND SOURCE: Evaluation

NTIS NO: SHR 1398 and 1399

MECHANISM: Contract

ERIC NO: Not available PB 297-128

CONTRACT NO: NO1-OD-5-2109 NO1-OD-9-2112

COST (In Thousands): FY 75-\$331, FY 76-\$678, FY 76.1-\$888, FY 77-\$383, FY 78-\$855, FY 79-\$950

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: October '74 through Unknown start date

0927

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Nutrition Assessment Program

REPORT TITLE: Program in Biomedical and Behavioral Nutrition Research and Training Fiscal Year 1977

PERFORMER: Kappa Systems, Inc.; 1501 Wilson Blvd.; Arlington Virginia 22209; (NIH Nutrition Coordinating Committee)

AGENCY CONTACT: Simopoulos, Artimus; NIH Bldg 31, Rm 4B59; 301-496-9281

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Program
Management;
V-Many HEW Programs-Health

ABSTRACT: The Assistant Secretary for Health has requested that NIH assess future needs for nutrition resources and activities. Using a conference mechanism, and some earlier contractor support, the NIH Nutrition Coordinating Committee (NCC) has prepared a report on the NIH FY 77 program in biomedical and behavioral nutrition research and training. The report is presented in three parts. Part 1 describes the NIH Nutrition Coordinating Committee: locus and modus operandi, members, liaison, meetings, subcommittees, the nutrition policy statement of the NIH, and the Committee's mandate. Part 2 describes the various components of the program itself. Part 3 consists of three appendices: nutrition expenditures per Institute for FY 77; mission statements of the Institutes in nutrition; and the NIH legislative authority for nutrition. Partly as a result of this effort, the NCC staff has been expanded to three professionals and the NCC office now receives, analyzes and coordinates all aspects of nutrition research that is requested by the Department and the Congress and represents NIH in numerous activities involving nutrition at various federal and non-federal agencies. (Final report 95 pages.)

DESCRIPTORS: Food and Nutrition, Biomedical Research, Program Coordination, Health Planning, Planning

EVALUATION TYPE: Research and Data Development, Program Strategy

EDC ID NO: 1236

PROJECT NO: NIH 76-6

FUND SOURCE: Evaluation

NTIS NO: PB 80-105307

MECHANISM: Contract, In-house

ERIC NO: Not available

CONTRACT NO: 263-76-C-0588

COST (In Thousands): FY 76-\$20

PROJECT STATUS: Study completed,
Available from NTIS

START/END DATES: June '76 through December '78

0928

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Price Deflator Biomedical Research

REPORT TITLE: Report on Phase II: Development of a Price
Deflator for Biomedical Research Procedures for Updating
the Biomedical R & D Price Indexes

PERFORMER: Westat, Incorporated; 11600 Nebel Street;
Rockville, Maryland 208

AGENCY CONTACT: Woolley, H

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research

ABSTRACT: This report provides a detailed discussion of procedures and data sources for updating biomedical R&D price indexes on the same basis as they were developed in the Phase I document. Methods for quick preliminary approximation of indexes and for the formulation of interim price-trend proxies supplement the initial discourse. Evaluators believe there are problems with the update procedure, but the lack of statistics led to alternative data sources which leads to questionable conclusions. (Final report 36 pages).

DESCRIPTORS: Economic Analysis Feature, Biomedical Research, Research and Evaluation Planning and Administration, Statistical Analysis Methods Feature, Regulation and Standard Setting
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0388 **PROJECT NO:** NIH-74-11
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:**
CONTRACT NO: NOI-OD-4-2160
COST (In Thousands): FY 74-\$34
PROJECT STATUS: Study completed,
Executive summary at EDC
START/END DATES: March '74 through December '74

0929

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Follow-up Study on Training and Career Patterns
of Bioscientists

REPORT TITLE: Research Training and Career Patterns of
Bioscientists: The Training Programs of the National
Institutes of Health

PERFORMER: Office of Scientific Personnel; National Research
Council; National Academy of Sciences; 2101 Constitution
Av. NW; Washington, DC 20418

AGENCY CONTACT: Schneyer, Dr. S.; 301-496-3618

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Activity-Training

ABSTRACT: A study of the impact of National Institutes of Health (NIH) training programs on the career patterns of bioscientists is reported. The study involved the assembling of relevant career data about individual NIH trainees and fellows and the analysis of these data to determine outcomes of training. It was found that about 94,000 people were supported under these research and training programs between 1938 and 1972. About half of all trainees and fellows began their training in the basic biomedical sciences. The NIH training and fellowship programs have generally been directed toward the training of researchers, and these training programs have provided support to pre-M.D.'s and post-M.D.'s as well as pre-Ph.D.'s and

post-Ph.D.'s. The pre-Ph.D. trainees supported by NIH in the biosciences attained their Ph.D. more frequently and in less time than those without such support. It is concluded that Federal funds for traineeships and fellowships have had a highly significant positive influence on the number of graduate students in relation to the number of baccalaureates. The proportion of total graduate enrollment in the biosciences and physical sciences tends to follow the pattern set by Federal funds for training grants and fellowships. A regression model to predict student enrollment in the light of economic and demographic variables for 195670 was also used in the study. (Final report 177 pages.)

DESCRIPTORS: Scientific Manpower, Higher Education, Scholarships Fellowships and Loans, Health Manpower Projections, Bibliography Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 0553 **PROJECT NO:** NIH 72-2
FUND SOURCE: Evaluation **NTIS NO:** SHR 1353
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: PH-43-64-44
COST (In Thousands): FY 72-\$262, FY 73-Not available, FY 74-\$87, FY 75-\$87

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: October '71 through March '76

0931

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Special Analyses of Data Collected During the
Survey of Graduate Training Programs of the NIH/NIMH
(BSSR Survey)

REPORT TITLE: Not yet determined

PERFORMER: Cornell University; 123 Day Hall; Ithaca New York
14850

AGENCY CONTACT: Gee, Dr. Helen; 301-496-4418

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Office of the Director

ABSTRACT: The purpose of this project is to produce an analysis and final report of usable data from the BSSR Survey.

DESCRIPTORS: Survey or Questionnaire Feature, Scientific Manpower, Biomedical Research, Higher Education
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0903 **PROJECT NO:** NIH 73-4
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: NIH 73-C-314
COST (In Thousands): FY 73-\$43
PROJECT STATUS: Study completed
START/END DATES: October '72 through October '73

0932

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Evaluation of the Linkages Between Research Publications and NIH Funding

REPORT TITLE: Structure of the Biomedical Literature (Nov, 1975)

PERFORMER: Computer Horizons, Inc.; 1060 Kings Highway North; Cherry Hill, NJ 08034

AGENCY CONTACT: Gee, Helen H.; NIH Bldg. 1, Rm 234; 301-496-4418

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Research Grants & Contracts;

PHS-NIH-Biomedical Research-Activity-Intramural Research

ABSTRACT: The structure and interrelations of the biomedical journal literature are investigated preparatory to studies of biomedical research activity. Using newly developed methods of bibliographic citation analysis, approximately 900 biomedical journals are classified in approximately 50 separate fields and into four research levels. The research-level scale indicates research orientation ranging from clinical observation to basic research. Measures of influence are then obtained for individual journals, for biomedical fields and for research levels. The fields of biochemistry and physiology are shown to have the highest citation influence. A hierarchical influence diagram is presented to display the influence of 42 fields within biomedicine. Hierarchical influence diagrams are also presented for several individual fields showing the influence structure and citation relationships among their component journals. The combination of a subject, a level and an influence measure provides a unified framework for planning research activity analysis. This paper was published in part in the "Journal of the American Society for Information Sciences", Vol 27, No. 1, January, 1976, pp. 25-45. See EDC 0562 for funding information and related reports. (Final report 103 pages).

DESCRIPTORS: Biomedical Research, Research and Evaluation Performance, Research and Evaluation Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0562.1

PROJECT NO: NIH 73-2

FUND SOURCE: Evaluation

NTIS NO: SHR 1359

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: NO2-OD-3-2109

COST (In Thousands): FY 74-Not available, FY 75-Not available, FY 76-Not available

PROJECT STATUS: Study in process,

Study completed,

Executive summary at EDC,

Available from NTIS

START/END DATES: March '73 through May '74

0933

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Trends in Economic Costs of Illness, 1900 to 2000
AD: Relationship to Biomedical Research Expenditures

REPORT TITLE: Study of Trends in the Economic Cost of Disease, 1900, 1930 and 1975

PERFORMER: Georgetown University; 3600 M Street, N.W.; Washington, D.C. 20072

AGENCY CONTACT: Wooley, Herbert; NIH Bldg 1, Room 228; 301-496-3617

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research;

V-Many HEW Programs-Health;

V-Non-HEW Programs-Health

ABSTRACT: The project has seven dimensions: (1) estimating (a) direct health costs expenditures and (b) indirect and total economic costs by disease category for years 1975, 1930, and 1900 on the widely used Rice-Cooper methodology; (2) analyzing period-to-period changes in historical costs; (3) estimating historical costs of gaining new health knowledge and their relationship to improved health; (4) considering other methodologies for measuring costs of disease and devising ways to supplement the Rice-Cooper estimates to allow for costs not covered by that method; (5) projecting the development of costs of disease to the end of the century; (6) considering the implications of the historical record and projections for funding health research and setting priorities; and (7) a bibliography. Eleven papers addressing the several topics have been submitted and another nine papers are planned for delivery by July 1, 1978. A list of titles is available from the project officer.

DESCRIPTORS: Morbidity and Mortality, Biomedical Research, Bibliography Feature, Benefit-Cost Analysis Feature, Statistical Data Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0892

PROJECT NO: NIH 74-7/9

FUND SOURCE: Evaluation

NTIS NO: PB 280-298-300

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: NO1-OD-5-2121

COST (In Thousands): FY 75-\$49, FY 76-\$217, FY 77-\$233, FY 78-\$300

PROJECT STATUS: Study completed,

Available from EDC,

Available from NTIS

START/END DATES: June '74 through June '79

0934

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Survey of Earned Doctorates and Doctorate Record File

REPORT TITLE: Summary Report: 1973-1976 Doctorate Recipients from United States Universities

PERFORMER: National Science Foundation; 1800 G Street, NW; Washington DC

AGENCY CONTACT: Copeland, William; NIH Bldg 31, Rm 1B58; 301-496-5011

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research-Activity-Training;

V-Many HEW Programs-Employment & Training;

V-Non-HEW Programs-Employment & Training

ABSTRACT: The survey answers the question of how many new Ph.D. (and equivalent) degrees are awarded by U.S. universities each academic year, by field of degree, demographic characteristics, educational history, graduate study support history, terminal year status (student, employed, etc.), and post-degree plans. The data are collected through a questionnaire administered by the university of degree and completed by the individual who receives the degree. The survey is conducted by the Commission on Human Resources of the National Research Council under contract with the National Science Foundation, with partial support of NIH, OE, and NEH. The contract also supports maintenance of the Doctorate Record File, and inter-year comparable magnetic tape file that goes back to 1958 in the main (when the Survey of Earned Doctorates was initiated), and back to 1920 for a very limited number of items of data. The annual summary reports series began in 1967. Earlier trend data appear in "Doctorate Recipients from United States Universities 1958-1966" (NAS publication 1489). See also EDC 0893. Evaluation set-aside fund support for this project ended in FY 1977.

DESCRIPTORS: Scientific Manpower, Higher Education, Trends and Forecasts, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0559 **PROJECT NO:** NIH 72-5
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Transfer **ERIC NO:** Not available
TRANSFER NO: 0070051577
COST (In Thousands): FY 72-\$65, FY 74-\$75, FY 76-\$83, FY 77-\$93
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: November '71 through June '78

0935

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Biomedical Scientist Employment Market

REPORT TITLE: Supply and Demand for Biomedical Manpower,
1977 Survey, Final Report

PERFORMER: Westat, Inc.; 11600 Nebel Street; Rockville, MD
20825

AGENCY CONTACT: Copeland, William L.; NIH Bldg 31, Rm
1B-54; 301-496-5011

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Activity-Training;
PHS-HRA-Health Resources-Health Manpower;
V-Many HEW Programs-Manpower & Training;
V-Non-HEW Programs-Employment & Training

ABSTRACT: The employment market survey aimed at measuring the direction and magnitude of the balance between jobs opening in a specified period and trainees completing training programs becoming available to fill jobs in the period with consideration given to the degree and post-doctoral training, and work experience required in the position and field of specialization. The analysis suggests a shortage of research manpower, meaning that no one who completed a biomedical research training program encountered any difficulty in obtaining a position in his or her field. A shortage is suggested by several lines of analysis. The most pervasive shortage appears to be of holders of health professional doctorates, especially M.D.'s—who complete post-doctoral training in research. No support was found for the view that post-doctorates have acted as "holding-stations" for bioscientists unsuccessful in the job market. The project is terminated and evaluation support is terminated. See also EDC 0552. (Final report 80 pages.)

DESCRIPTORS: Health Manpower Training, Economic Analysis Feature, Scientific Manpower, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0552.1 **PROJECT NO:** NIH 74-2a
FUND SOURCE: Evaluation **NTIS NO:** PB-80-125768
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: N01-OD-5-2107
COST (In Thousands): Not available
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: October '74 through May '79

093

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: Biomedical Scientist Employment Market

REPORT TITLE: Supply and Demand for Biomedical Manpower
Report No. 1-Preliminary Findings, Report

PERFORMER: Westat, Inc.; 11600 Nebel Street; Rockville,
Maryland

AGENCY CONTACT: Woolley, Herbert B.; NIH Bldg. 1, Rm 228;
301-496-5617

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research-Activity-Training;
PHS-HRA-Health Resources-Health Manpower-Health
Professions;
V-Many HEW Programs-Employment & Training

ABSTRACT: The employment market survey aims at measuring the direction and magnitude of the balance between jobs opening in a specified period (now to be the year October-September) and trainees completing training programs becoming available to fill jobs in the period with consideration given to the degree and post-doctoral training, and work experience required in the position and field of specialization. Results of the 1975 survey presented in "Supply and Demand for Biomedical Manpower Report No. 1" (March 19, 1975), a preliminary draft examining results of the October survey (August 1976) and a final report on the 1975 Survey, "The Job Market for Biomedical Scientists in 1975 (of Executive Summary length) show that the survey approach has great promise of establishing the fields of specialization in biomedical science which face shortages of certain kinds of doctoral manpower and those with comfortable supplies or surpluses. Accordingly the survey instruments were redesigned and the market is being resurveyed in 1977. This project is unique in covering the universe of employers of biomedical scientists. Results will be drawn upon in elaborating the NIH model for projecting the Need for Biomedical Doctoral Scientists". A draft report "Job Advertising as an Indicator of the Employment Market for Biomedical Scientists June 1977 is available from the project officer.

DESCRIPTORS: Biomedical Research, Health Manpower Projections, Health Manpower Training, Economic Analysis Feature, Scientific Manpower, Survey or Questionnaire Feature, Information Processing

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0552 **PROJECT NO:** NIH 74-2a
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: N01-OD-5-2107
COST (In Thousands): FY 75-\$113, FY 76-\$167, FY-77-\$0, FY 78-\$22

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC
START/END DATES: October '74 through October '77

0937

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: U.S. Foundation Activities in Health R & D

REPORT TITLE: Survey of Foundations Involved in U.S. Health
R&D

PERFORMER: Cooper and Company; 19 Third Street; Stanford, Conn. 06905

AGENCY CONTACT: Seymour, Perry; NIH Bldg 31, Room 111; 301-496-2500

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research

ABSTRACT: This study is based on a mail questionnaire of 200 private foundations in the United States. It focuses upon the support by these foundations for health R&D activities and provides previously unavailable data on: estimated total dollar support for health R&D by all foundations for 1974 (\$79 million); changes in such support reported by the sampled foundations between 1972 and 1976; distribution of the 1974 support dollars by different beneficiaries of performers (universities and colleges, medical schools, domestic private nonprofit organizations, foreign recipients, and all other beneficiaries); and the granting decision-making process of sampled foundations. The study also assesses current estimating procedures utilized by the Division of Resources Analysis of the NIH to develop annual levels of health R&D support by the country's private foundations. Recommendations for changes are included. (Final report 219 pages.)

DESCRIPTORS: Biomedical Research, Charity, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1234 **PROJECT NO:** NIH 76-4

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: NO1-OD-6-2156

COST (In Thousands): FY 76.1-\$60

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: September '76 through January '78

DESCRIPTORS: Biomedical Research, Charity, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1275 **PROJECT NO:** NIH 76-4

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: NO1-OD-6-2156

COST (In Thousands): FY 76.1-\$60

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: September '76 through January '78

0938

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: U.S. Foundation Activities in Health R & D

REPORT TITLE: Survey of Foundations Involved in U.S. Health R&D

PERFORMER: Cooper and Company; 19 Third Street; Stanford, Conn. 06905

AGENCY CONTACT: Seymour, Perry; NIH Bldg 31, Room 111
301-496-2500

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research

ABSTRACT: This study is based on a mail questionnaire of 200 private foundations in the United States. It focuses upon the support by these foundations for health R&D activities and provides previously unavailable data on: estimated total dollar support for health R&D by all foundations for 1974 (\$79 million); changes in such support reported by the sampled foundations between 1972 and 1976; distribution of the 1974 support dollars by different beneficiaries of performers (universities and colleges, medical schools, domestic private nonprofit organizations, foreign recipients, and all other beneficiaries); and the granting decision-making process of sampled foundations. The study also assesses current estimating procedures utilized by the Division of Resources Analysis of the NIH to develop annual levels of health R&D support by the country's private foundations. Recommendations for changes are included. (Final report 219 pages.)

0939

AGENCY SPONSOR: Public Health Service
National Institutes of Health
Office of the Director

PROJECT TITLE: U.S. Foundation Activities in Health R & D

REPORT TITLE: Not yet determined

PERFORMER: Cooper and Company; 19 Third Street; Stamford, Conn. 06905

AGENCY CONTACT: Rhode, William; NIH Bldg 31, Room 1B56;
301-496-9285

PROGRAM(S) EVALUATED:
PHS-NIH-Biomedical Research

ABSTRACT: This survey is to (a) determine the total and distribution of foundation health R & D spending in Fiscal year 1974 with projections to 1976; (b) identify the patterns of change over time in foundation health R & D spending; (c) assess the accuracy and completeness of the current DRA Foundation pool; (d) ascertain the priority of health R & D in foundation programming; (e) assess the feasibility of periodic surveys of this sort. The study universe will be composed of approximately 17,000 U.S. foundations with a high degree of likelihood of supporting health R & D. Questionnaires will be sent to 200 foundations drawn by a stratified sampling technique to reduce the variance of this skewed universe.

DESCRIPTORS: Biomedical Research, Charity, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1235 **PROJECT NO:** NIH 76-4

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: NO1-OD-6-2156

COST (In Thousands): FY 76.1-\$60

PROJECT STATUS: Study in process

START/END DATES: September '76 through July '77

OFFICE OF THE ASSISTANT SECRETARY FOR HEALTH

0941

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health

PROJECT TITLE: Technical Review of Association of State and
Territorial Health Officials-Health Program Reporting
System

REPORT TITLE: Not yet determined

PERFORMER: Cooper, E. Myles, M.P.H.; 254 Orchard Road;
Orinda, Calif. 94563

AGENCY CONTACT: Williams, L.; 301-443-2077

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Statistics

ABSTRACT: One of several projects concerned with assessment of the
ASTHO-HPRS program. This study will assess the data collection
and analysis approach currently used by ASTHO-HPRS in terms of
appropriateness, costeffectiveness and utility to the Public Health
Service. Specific attention is given to the validity of ASTHO-HPRS
data for cross-state comparisons and recommendations for improved
data coding definitions. (To be completed).

DESCRIPTORS: Management Information and Reporting, Cost-Effect-
iveness Analysis Feature, Statistical Data Feature, Morbidity and
Mortality

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0525

PROJECT NO: OASH/OPDP 75-2

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 282-75-0397 GS

COST (In Thousands): FY 75-78

PROJECT STATUS: Study in process

START/END DATES: April '75 through April '76

National Center for Health Statistics

0942

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Review of State Laws Regulating Confidentiality
of Health Data Systems

REPORT TITLE: An Analysis of State Practices Regarding
Confidentiality of CHSS Data Collection and Principles for
Model State Legislation

PERFORMER: Moshman Associates; 6400 Goldsboro Road;
Washington, DC 20034; David A. Abraham

AGENCY CONTACT: Robey, James; Parklawn Bldg, Rm 8-17;
301-443-1314

PROGRAM(S) EVALUATED:
PHS-OASH-Health Statistics

ABSTRACT: The success of the Cooperative Health Statistics System
(CHSS) depends in part upon the resolution of the conflict between
the need for health related information for planning and management

purposes and the need to protect such information from unauthorized
use and disclosure. This study deals with the identification of facts
pertaining to this conflict and to develops principles for a model state
law which can resolve the conflict. Seven states selected for investi-
gation were Massachusetts, New York, New Jersey, Florida, Illinois,
Texas and California. An in-depth legal analysis was performed of the
statutes, regulations, Attorney-General opinions, guidelines and for-
mal policies of each state to determine the extent to which confi-
dentiality protection for health data, health data systems, and related
provisions are relevant to CHSS. The final report contains a com-
parative analysis and summary of seven state laws, principles for
development of a model state law and a discussion of the status of
individual states investigated. This study extends a previous report
covering five other states under EDC 0459. (Final report 134 pages).

DESCRIPTORS: Privacy and Confidentiality, Client Records, State or
Local Administration, Technical Assistance, Legal Issues

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0796

PROJECT NO: NCHS 76-3

FUND SOURCE: Not yet de-
termined

NTIS NO: PB 262-445

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HRA 230-75-0082 CO6

COST (In Thousands): FY 76-77

PROJECT STATUS: Study completed,

Available from EDC,
Available from NTIS

START/END DATES: January '76 through April '76

0943

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Comparison of Several Geographic Coding
Techniques

REPORT TITLE: Not yet determined

PERFORMER: Systemedics, Inc; 10 New England Executive Park;
Burlington, Mass. 01803; Dr. David Nitzberg

AGENCY CONTACT: Williams, Paul; Parklawn Bldg Rm 8-51;
301-443-3304

PROGRAM(S) EVALUATED:
PHS-OASH-Health Statistics

ABSTRACT: The principal thrust of the new Cooperative Health Sta-
tistics System (CHSS) is to provide comparable baseline health statis-
tics in sufficient geographical detail so as to be useful at the local level
for the planning and management of health programs. To be com-
parable, the data must be coded in such a way that each area makes the
same judgment when assigning codes to a particular item for data
reduction. At the present time there are as many as ten widely used
methods for the coding of geographic place of event or location of
resource in the health field. This study will build upon previous work
of the Bureau of the Census to assess the comparability, utility, and
costs of the principal geographic coding systems currently used. The
results will indicate whether one type of geographic coding will be
applicable to all CHSS components.

DESCRIPTORS: Statistical Data Feature, Morbidity and Mortality,
Cost-Effectiveness Analysis Feature, Urban or Rural Places or Prob-
lems, Health Planning

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0787

PROJECT NO: NCHS 74-11

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

PROJECT DESCRIPTIONS

CONTRACT NO: HRA 230-75-0210
COST (In Thousands): FY 75-\$124
PROJECT STATUS: Study in process
START/END DATES: June '75 through June '78

0944

AGENCY SPONSOR: Public Health Service
 Office of the Assistant Secretary for Health
 National Center for Health Statistics

REPORT TITLE: Contracting of Sample Section and Data
 Collection to Supplement Census and HANES Field Work
 Activities

REPORT TITLE: Not yet determined
PERFORMER: Westat; 11600 Nebel Street; Rockville, MD 20852;
 Thomas Mikenna
AGENCY CONTACT: Murphy, Robert; Center Bldg. 2 Rm. 2-58;
 301-436-7081

PROGRAM(S) EVALUATED:
 PHS-HRA-Health Resources-Health Statistics

ABSTRACT: The National Health Examination Survey is an important component of NCHS's effort to provide certain types of health information about the population of the US. The Health and Nutrition Examination Survey (HANES) collects information through direct examinations, tests, and measurements of national probability samples of the US population. The examinations have been collected using uniform procedures and equipment housed in mobile examination centers (MECs) which are moved from one area to another. There has been some interest in utilizing fixed examination centers as a partial alternative to MECs in the HANES. In 1975 a study was conducted to investigate fixed sites. It showed that fixed sites had a one-third lower examination rate than did the MECs. However, it did not successfully achieve the required high quality, comparable data. Thus, this study was proposed to reevaluate the MEC's. The findings will enable NCHS to determine the possibility of obtaining a response rate equal to or greater than that obtained through the current MEC approach, to evaluate the cost-effectiveness of utilizing contractors and fixed sites, and determine if health examinations comparable in content and quality to those of the MEC can be completed by fixed sites.

DESCRIPTORS: Statistical Data Feature, Research and Evaluation
 Performance

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1038 **PROJECT NO:** NCHS 77-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 230-77-0154
COST (In Thousands): FY 77-\$232
PROJECT STATUS: Study in process
START/END DATES: September '77 through September '78

0945

AGENCY SPONSOR: Public Health Service
 Office of the Assistant Secretary for Health
 National Center for Health Statistics

PROJECT TITLE: Design and Conduct of Meetings and Workshops
 to Evaluate the Development of the CHSS - Part 1 - CHSS
 Conferences

REPORT TITLE: Not yet determined
PERFORMER: Moshman Associates; 6400 Goldsboro Road;
 Washington, DC 20034; Elaine Kokiko
AGENCY CONTACT: Sartwell, Wilbur; CB 2, Rm 2-63;
 301-436-7050

PROGRAM(S) EVALUATED:
 PHS-OASH-Health Statistics

ABSTRACT: Effective communication is essential to the successful development of the CHSS. The purpose of this contract is to plan, develop and conduct eight two-day multi-regional conferences and ten two-day national workshops dealing with the technical aspects of various topics concerning CHSS. The purpose of the multi-regional conferences will be to provide opportunities for exchange of ideas and experiences, evaluation of progress, and suggestions for future efforts in implementing the CHSS. The national workshops will provide guidance and technical assistance in areas of specific interest and importance to CHSS. The contractor will provide a comprehensive final report describing and analyzing the results of the entire series of conferences and workshops and identifying problem areas.

DESCRIPTORS: Morbidity and Mortality, Statistical Data Feature,
 Conference Proceedings Feature, Technical Assistance
EVALUATION TYPE: Support

EDC ID NO: 0786 **PROJECT NO:** NCHS 74-10 PT 1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA 106-74-54
COST (In Thousands): FY 74-\$479, FY 75-\$31, FY 76-\$49
PROJECT STATUS: Study in process
START/END DATES: June '74 through January '78

0946

AGENCY SPONSOR: Public Health Service
 Office of the Assistant Secretary for Health
 National Center for Health Statistics

PROJECT TITLE: Evaluation of the Comparability of U.S. and
 Other National Infant Mortality Rates

REPORT TITLE: Determining Birth and Death Registration
 Completeness, Denmark

PERFORMER: Mr. Henry Hamtoft; National Health Service; Store
 Kongsgade 1; 1264 Copenhagen, Denmark
AGENCY CONTACT: Lunde, Dr. Anders; 301-443-2890

PROGRAM(S) EVALUATED:
 PHS-OASH-Health Statistics

ABSTRACT: The U.S. has had a higher infant mortality rate than other developed countries. It is believed that this may be partially due to differing interpretation of WHO definitions of "live birth," "fetal death" and "infant death." This project proposes to evaluate the degree of conformity of reporting practice with WHO definitions in countries with low infant mortality rates. The results will indicate whether international comparisons of infant mortality rates are valid or if they reflect the failure of some countries to count infant deaths in accordance with WHO definitions. Results were completed for Denmark, and the study found that erroneous records and other causes led to an understatement of the Danish infant mortality rate by about .5 per 1000.

DESCRIPTORS: Morbidity and Mortality, Maternal and Child Health,
 Infants, Statistical Data Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0781 PROJECT NO: NCHS 74-5
FUND SOURCE: Evaluation NTIS NO: PB 262-840
MECHANISM: Contract ERIC NO: Not available
CONTRACT NO: HRA-230-75-0107
COST (In Thousands): FY 75-\$16
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: June '75 through December '76

0947

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: DEVELOPMENT OF MORTALITY
SURVEILLANCE SYSTEM

REPORT TITLE: Not yet determined
PERFORMER: THE JOHNS HOPKINS UNIVERSITY;
CHARLES & 34TH STREETS, BALTIMORE, MD 21218
AGENCY CONTACT: LEAVERTON, PAUL E. DR.; RM 2-12
CENTER BLDG; HYATTSVILLE, MD 20782;
301-436-7135
PROGRAM(S) EVALUATED:
PHS-OASH-HEALTH STATISTICS

ABSTRACT: RECENT DISCOVERIES OF ENVIRONMENTALLY INDUCED DISEASE (PARTICULAR CARCINOGENS) HAVE PROMPTED INQUIRY REGARDING THE FEASIBILITY OF USING DATA SYSTEMS OF THE NATIONAL CENTER FOR HEALTH STATISTICS (NCHS) TO IDENTIFY, MORE EFFICIENTLY AND SYSTEMATICALLY, UNUSUAL DISEASE RATES AND RATE CHANGES WHEN DISPLAYED BY TIME AND SPACE. SUBSTANTIAL TECHNOLOGICAL ADVANCES APPEAR TO RENDER A COMPUTERIZED SYSTEM FEASIBLE. HOWEVER, SEVERAL STATISTICAL, COMPUTER, AND EPIDEMIOLOGIC EVALUATIONS ARE NEEDED. MORTALITY STATISTICS, AMONG ALL THE NCHS DATA SYSTEMS, SEEM TO BE THE MOST AMENABLE TO SUCH A NATIONAL HEALTH MONITORING AND SURVEILLANCE SYSTEM. THE PURPOSE OF THIS PROJECT IS TO DEVELOP METHODOLOGIES AND ASSESS THE COSTS OF ESTABLISHMENT OF A COMPUTERIZED TIME AND SPACE MONITORING OF NATIONAL MORTALITY.

DESCRIPTORS: STATISTICAL DATA FEATURE, MORBIDITY AND MORTALITY, STATISTICAL ANALYSIS METHODS
FEATURE

EVALUATION TYPE: PROGRAM STRATEGY

EDC ID NO: 1690 PROJECT NO: ASH-76-024
FUND SOURCE: EVALUATION NTIS NO: Not yet determined
MECHANISM: CONTRACT ERIC NO: Not yet determined
CONTRACT NO: 78-2090
COST (In Thousands): FY 78-\$120
STATUS: Study in process
START/END DATES: September '78 through October '80

0948

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT DESCRIPTIONS

PROJECT TITLE: Evaluation and Development of Quality Control Procedures for Hospital Care Data Within the CHSS

REPORT TITLE: Not yet determined
PERFORMER: Westate Inc.; 11600 Nebel Street; Rockville Md. 20852; Dr. B. Tepping
AGENCY CONTACT: Bacon, Edward; Center Bldg. 2 Rm 2-12; 301-436-7125

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Statistics

ABSTRACT: In the area of hospital care data, the NCHS has funded 12 CHSS projects to plan and develop statewide hospital discharge data systems. When fully operational the hospital care statistics component of CHSS will consist of 50 statewide hospital discharge data systems which provide hospital utilization data that are uniform and comparable across states. As part of the system, quality control procedures are needed to assure accurate, sufficiently detailed and complete data. The purpose of this study is to identify the data quality requirements of users of hospital discharge data, review and evaluate actual or planned data quality programs of the hospital care components of the CHSS, measure the accuracy of statistics generated by state systems, and review and evaluate alternative quality control procedures that might be more economical, effective and/or efficient. A quality control program which meets users' requirements and its cost effectiveness will be developed.

DESCRIPTORS: Information Processing, Quality Assurance, Hospitals, Statistical Data Feature, Research and Evaluation Performance
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1060 PROJECT NO: NCHS 77-7
FUND SOURCE: Evaluation NTIS NO: Not yet determined
MECHANISM: Contract ERIC NO: Not available
CONTRACT NO: 230-77-0113
COST (In Thousands): FY 77-\$232
PROJECT STATUS: Study in process
START/END DATES: September '77 through September '79

0949

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Evaluation of a Prototype of a National Ambulatory Medical Care Survey

REPORT TITLE: Not yet determined
PERFORMER: National Opinion Research Center; 6030 South Ellis Ave; Chicago, Ill.; P Sheatsley
AGENCY CONTACT: Delozier, James; Parklawn Bldg, Rm 9-45; 301-443-1534

PROGRAM(S) EVALUATED:
PHS-OASH-Health Statistics

ABSTRACT: The objectives of this project are to develop and implement procedures for evaluation of all aspects of the National Ambulatory Medical Care Survey. (See EDC 0789 for a related project). HRA has no additional information on this project.

DESCRIPTORS: Ambulatory and Outpatient Health Care, Research and Evaluation Performance

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0777 PROJECT NO: NCHS 73-3
FUND SOURCE: Evaluation NTIS NO: Not yet determined
MECHANISM: Contract ERIC NO: Not available
CONTRACT NO: HSM-110-72-327

COST (In Thousands): FY 73-\$255
PROJECT STATUS: Study in process
START/END DATES: June '73 through October '74

0950

AGENCY SPONSOR: Public Health Service
 Office of the Assistant Secretary for Health
 National Center for Health Statistics

PROJECT TITLE: Evaluation of Alternative Methods for
 Classification and Coding of Morbidity and Surgical
 Procedures

REPORT TITLE: Not yet determined
PERFORMER: CPA; Ann Arbor, Michigan
AGENCY CONTACT: Robey, James; Parklawn Bldg, Rm 8-17;
 301-443-1314
PROGRAM(S) EVALUATED:
 PHS-OASH-Health Statistics

ABSTRACT: There are a number of classification and coding schemes used by hospitals for diagnosis, surgical, and other treatment procedures that are recorded in medical records. Among these are ICDA-8, HICDA, SNOP, MR2, and other. One objective of this study is to determine if the statistical results are the same when two or more classification systems are applied to the same raw data. Specifically, a method will be developed and applied to actual data for converting hospital discharge diagnosis coded to the H-ICDA-2 to the categories of ICDA-8 and vice versa. One hundred and fifty thousand hospital discharge abstracts will be converted to both systems. The major discrepancies between the systems and the conversions will be explained.

DESCRIPTORS: Morbidity and Mortality, Indices and Taxonomies,
 Statistical Data Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0788 **PROJECT NO:** NCHS 74-16
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 106-74-152
COST (In Thousands): FY 74-\$94, FY 75-\$23, FY 76-\$1
PROJECT STATUS: Study in process
START/END DATES: June '74 through February '76

0951

AGENCY SPONSOR: Public Health Service
 Office of the Assistant Secretary for Health
 National Center for Health Statistics

PROJECT TITLE: Evaluation of CHP/RMT/EHSDS Planning and
 Evaluation Methodologies

REPORT TITLE: Evaluation of Community Funds Flow
PERFORMER: Orkand Corp; 8630 Fenton St.; Silver Spring, MD
 20910
AGENCY CONTACT: Anderson, Arne; Rm 15A-55 Pkln Bldg;
 301-443-4520
PROGRAM(S) EVALUATED:
 PHS-HRA-Health Resources-Health Planning &
 Development

ABSTRACT: A major product of the overall project is a study that examines the implementation and utilization of the Community Funds Flow (CFF) methodology. CFF is an accounting technique which traces the monies expended for health care by the public and private

sectors of the economy and identifies both the sources of health care dollars and their uses. Site visits and telephone interviews were employed to obtain data concerning CFF implementation and utilization. It was found that local EHSDS agencies were able to implement funds flow with varying degrees of success, and experiences indicate that there is no best approach to data collection. Technical problems most frequently encountered in CFF implementation include: unavailability of data, deficiencies in expenditures or source categories, inadequate accounting for import or export of services, and unsatisfactory geographic characteristics. No agency was able to implement fully or validly their approach. The funding for this project is given in EDC 0472. (Final report 156 pages.)

DESCRIPTORS: Health Planning, Auditing and Accounting, Management Techniques, Survey or Questionnaire Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0472.2 **PROJECT NO:** BHSR-74-24F
FUND SOURCE: Evaluation **NTIS NO:** PB 225-311
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA-106-74-133
COST (In Thousands): FY 74-Not available, FY 76-Not available
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS

START/END DATES: June '74 through September '75

0952

AGENCY SPONSOR: Public Health Service
 Office of the Assistant Secretary for Health
 National Center for Health Statistics

PROJECT TITLE: An Evaluation of Current and Proposed
 Mechanisms to Facilitate the Flow of Health Care Utilization
 Data and Related Statistical Services to Health System
 Agencies

REPORT TITLE: Not yet determined
PERFORMER: American Association for Comprehensive Health
 Planning; 801 North Fairfax Street; Alexandria, VA 22314
AGENCY CONTACT: Fisher, Gail Center Bldg. 2 Rm 2-63;
 301-436-7050
PROGRAM(S) EVALUATED:
 PHS-HRA-Health Resources-Health Statistics;
 PHS-HRA-Health Resources-Health Planning &
 Development

ABSTRACT: Successful implementation of PL 93-641 will require the availability and application of timely and accurate data reflecting the use of health care services by all elements of the population within any given planning area. It is, therefore, vital to evaluate the existing and developing mechanisms to determine if they can meet the needs for data and statistical services. Under Section 306(e) of the PHS Act, authority has been given to NCHS to facilitate the development of shared data systems to meet multiple needs at the state and local levels. This study is intended to identify, document and examine the various authorities and programs, stimulating the development, growth, and modification of data systems. Information will be summarized on the purposes for which data are collected, the possible users, and the potential for meeting the needs of health planning agencies. FIRST9rje rje

DESCRIPTORS: State or Local Government, Comprehensive Health
 Care, Statistical Data Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1059
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: 230-77-0078
COST (In Thousands): FY 77-\$172
PROJECT STATUS: Study in process
START/END DATES: August '77 through February '79

PROJECT NO: NCHS 77-3
NTIS NO: Not yet determined
ERIC NO.: Not available

0953

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Fixed Exam Centers as an Alternative to Mobile Exam Centers in the Health Examination Survey

REPORT TITLE: Evaluation of Fixed Sites as an Alternative to Mobile Examination Centers in the National Health Examination Survey

PERFORMER: Preventi-Med Corporation; Countryside, Ill; and NCHS Fixed Site Evaluation Committee

AGENCY CONTACT: Murphy, Robert; 301-443-1604

PROGRAM(S) EVALUATED:
PHS-OASH-Health Resources-Health Statistics

ABSTRACT: The objectives of this study were: (1) to determine if health examination comparable in content to those of the Health Examination Survey (HES) can be conducted under a contractual arrangement with existing medical clinics; (2) to evaluate the cost-effectiveness of utilizing existing medical clinics as an alternative to Mobile Examination Centers (MECs) in the conduct of the HES; (3) to evaluate the possible reduction in logistical limitations approach on response rate. The study found that (a) fixed sites cost as much as or more than MECs, and require a greater investment of scarce staff time; (b) response rates at fixed sites are lower than those experienced in comparable MECs; and (c) the quality of performance of the fixed sites in conducting examination procedures studies was usually less adequate than for the MECs (Final report 57 pages).

DESCRIPTORS: Morbidity and Mortality, Research and Evaluation Methods Feature, Cost-Effectiveness Analysis Feature, Survey or Questionnaire Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0780
FUND SOURCE: Evaluation
MECHANISM: Contract, In-house
CONTRACT NO: 230-75-0095 & 96
COST (In Thousands): FY 75-\$77
PROJECT STATUS: Study completed, Available from EDC
START/END DATES: April '75 through April '76

PROJECT NO: NCHS 74-3
NTIS NO: Not yet determined
ERIC NO: Not available

0954

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Evaluation of Fixed Versus Mobile Examination Sites in the Health and Nutrition Examination Survey (HANES)

REPORT TITLE: Not yet determined

PERFORMER: National Academy of Public Administration Foundation; 1225 Connecticut Avenue, N.W.; Suite 300; Washington, D. C. 20036
AGENCY CONTACT: Murphy, Robert, S.; Rm 2-58, Center Bldg.; Hyattsville, MD 20782; 301-436-7081
PROGRAM(S) EVALUATED:
PHS-OASH-Health Statistics

ABSTRACT: The Health and Nutrition Examination Survey (HANES) collects information through the administration of direct examination, tests, and measurements to national probability samples of the U.S. population. The survey reports are based on information collected using standardized procedures and equipment housed in standardized mobile examination centers (MEC). The MECs are moved from one scientifically selected location in the U.S. to another in order to examine a cross-section of individuals in the nation in a highly standardized manner. The mobile centers are set up at a central, convenient location in each sample area. The purpose of this project is to evaluate the possibility of examining sample persons in existing or "fixed" examining facilities. Specifically the project will: 1) determine if health examinations comparable in content to those of the HANES can be conducted according to uniform standard procedures under a contractual arrangement with existing medical clinics; 2) evaluate the cost-effectiveness of utilizing existing medical clinics as an alternative to mobile examination centers in the conduct of the HANES; 3) evaluation of the possible reduction in logistical limitations afforded by a fixed site approach; and, 4) assess the effect of a fixed site approach on response rate.

DESCRIPTORS: Statistical Data Feature, Clinics, Food and Nutrition, Survey or Questionnaire Feature, Morbidity and Mortality
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1693
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: 77-0085
COST (In Thousands): FY 78-\$265
PROJECT STATUS: Study in process
START/END DATES: September '77 through September '79

PROJECT NO: NCHS 77-1
NTIS NO: Not yet determined
ERIC NO: Not yet determined

0955

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Evaluation of Methodologies for Medical Care Expenditure Research Study--Part 1--Technical Consultant Panel

REPORT TITLE: Not yet determined

PERFORMER: Moshman Associates; 6400 Goldsboro Rd; Washington, D.C. 20034; Jack Moshman

AGENCY CONTACT: Fuchsberg, Robert; Parklawn Bldg Rm 9-35; 301-443-1234

PROGRAM(S) EVALUATED:
PHS-OASH-Health Statistics

ABSTRACT: The purpose of this contract is to coordinate, administer, and document the activities of the Technical Consultant Panel which will be assembled four times during an 18-month period of time to provide overall direction to the Medical Care Expenditure Research Study. The specific responsibilities of the panel include: (1) identification of key data elements which should be considered for collection in the area of medical economics; (2) recommendations for survey methodologies; (3) review of all questionnaire forms and protocol; (4) recommendations for data analysis; (5) review of all reports; and (6) recommendations for future HIS data collection activities in the area of medical economics. The contractor shall prepare final report describing the panel's efforts and their recommendations.

DESCRIPTORS: Morbidity and Mortality, Medical Practice, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0779

PROJECT NO: NCHS 74-2 Part 1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HRA 106-74-22

COST (In Thousands): FY74-\$21

PROJECT STATUS: Study in process

START/END DATES: June '74 through November '77

0956

AGENCY SPONSOR: Public Health Service

Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Evaluation of Minimum Basic Data Set for
Baseline Health Statistics Activities

REPORT TITLE: None

PERFORMER: Applied Management Sciences; 962 Wayne Ave;
Silver Spring, Maryland 20910; L. LeBlanc

AGENCY CONTACT: Smith, James A; Parklawn Building Rm 8-12;
301-443-1470

PROGRAM(S) EVALUATED:

PHS-OASH-Health Statistics

ABSTRACT: Minimum basic data sets have served as valuable tools for health statistics activities in the U.S. by assuring the collection of standardized data and thereby permitting data aggregation from separate systems and the availability of comparable data. As the National Center for Health Statistics has moved forward in implementing the Cooperative Health Statistics System (CHSS), decisions have been made concerning minimum data sets for the hospital, manpower, facilities and vital statistics components and components are being established for the ambulatory care and long-term care components. The objective of this project is to provide for the review and evaluation of existing data sets and make recommendations for revised or new data sets. The contractor will establish and provide staff support for ad-hoc groups of experts who represent producers and users of health data; pilot test data sets; conduct mass mailings to the health community of proposed data sets for review and comment; recommend national workshops agenda for discussion of data on a broader scale; and review and evaluate data sets in terms of commonality and differences. The results will be implemented through modification of the specification for data purchase in the CHSS and will be used by the Health Data Policy Committee in coordinating statistical activities in the Department.

DESCRIPTORS: Statistical Data Feature, Statistical Analysis Methods Feature, Morbidity and Mortality, Research and Evaluation Planning and Administration

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0798

PROJECT NO: NCHS 76-5

FUND SOURCE: Evaluation

NTIS NO: Not available

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HRA 230-76-0310

COST (In Thousands): FY 76.1-\$244

PROJECT STATUS: Study completed

START/END DATES: September '76 through December '77

0957

AGENCY SPONSOR: Public Health Service

Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Evaluation of Minimum Basic Data Set for
Baseline Health Statistics Activities

REPORT TITLE: Not yet determined

PERFORMER: Applied Management Sciences; 962 Wayne Ave,
Silver Spring MD L. LeBlanc

AGENCY CONTACT: Smith, James A. Rm 8-21 Pkln, 301-443-1470

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Statistics

ABSTRACT: Minimum basic data sets have served as valuable tools for health statistics activities in the U.S. by assuring the collection of standardized data and thereby permitting data aggregation from separate systems and the availability of comparable data. As the National Center for Health Statistics has moved forward in implementing the Cooperative Health Statistics System (CHSS), decisions have been made concerning minimum data sets for the hospital, manpower, facilities and vital statistics components are being established for the ambulatory care and long-term care components. The objective of this project is to provide for the review and evaluation of existing data sets and make recommendations for revised or new data sets. The contractor will establish and provide staff support for ad-hoc groups of experts who represent producers and users of health data; pilot test data sets; conduct mass mailings to the health community of proposed data sets for review and comment; recommend national workshops agenda for discussion of data sets on a broader scale; and, data sets in terms of commonality and differences. The results will be implemented through modification of the specifications for data purchase in the CHSS and will be used by the Health Data Policy Committee in coordinating statistical activities in the Department.

DESCRIPTORS: Statistical Data Feature, Research and Evaluation Methods Feature, Statistical Analysis Methods Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1061

PROJECT NO: NCHS 76-5

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HRA 230-76-0310

COST (In Thousands): FY 76.1 \$244, FY 77-\$50

PROJECT STATUS: Study in process

START/END DATES: September '76 through December '77

0958

AGENCY SPONSOR: Public Health Service

Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Evaluation of Multiplicity Techniques

REPORT TITLE: Not yet determined

PERFORMER: Office of Population Studies; University off San
Carlos, Cebu City, Philippines

AGENCY CONTACT: Schnack, George; Rm 2-12 Center Bldg.;
Hyattsville, MD 20782; 301-436-7135

PROGRAM(S) EVALUATED:

PHS-OASH-Health Statistics

ABSTRACT: The purpose of this project is to evaluate the feasibility of using multiplicity techniques for estimating components of population change. The project is being conducted in the Philippines and success in measuring the rates of birth, death, and migration will be of tremendous value to the Phillipini and other developing nations who

must find ways to estimate their rates of population growth. The results should also be applicable for the estimation of "rare events" through household surveys in the United States. The multiplicity techniques being examined differs from the conventional household survey in that it permits the household respondent to report events which occurred in other dwelling units. Each sample household, in addition to reporting events that occurred among its own residents, reports events that occurred to specific relatives who lived elsewhere, and the household provides information to calibrate the multiplicity of each event reported, that is, the number of households containing relatives who would report him according to the multiplicity rule adopted in the survey. In this project a followup sample of households containing relatives will be conducted in order to obtain estimates of measurement error associated with the information collected about them in the household survey.

DESCRIPTORS: Statistical Data Feature, Morbidity and Mortality, Research and Evaluation Methods Feature, Survey or Questionnaire Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1691

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: 78-2100

COST (In Thousands): FY 78-\$75

PROJECT STATUS: Study in process

START/END DATES: September '78 through May '81

PROJECT NO: ASH 78-025

NTIS NO: Not yet determined

ERIC NO: Not yet determined

0959

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Evaluation of National Ambulatory Medical Care Survey Symptom Classification System

REPORT TITLE: Not yet determined

PERFORMER: American Medical Record Association; 875 N. Michigan Ave; Chicago, Ill 60611; Elizabeth Price

AGENCY CONTACT: Delozier, James; CB 2, Rm 2-12; 301-436-7192

PROGRAM(S) EVALUATED:
PHS-OASH-Health Statistics

ABSTRACT: The data element identified as patient's symptom is an important variable in the study of ambulatory care. The National Ambulatory Medical Care Survey (NAMCS) uses a symptom classification specifically designed for the survey. The first year of data collected through NAMCS served as a pilot study for use of the coding scheme. The results of this coding now require evaluation prior to revision. This study proposes to evaluate the NAMCS Symptom Classification for its value as a coding tool in other data collection programs and for its value in providing data required by users. The findings will be used to review the present NAMCS Symptom Classification.

DESCRIPTORS: Ambulatory and Outpatient Health Care, Indices and Taxonomies, Client Records, Statistical Data Feature, Morbidity and Mortality

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0791

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: HRA 230-75-0197

COST (In Thousands): FY 75-\$125

PROJECT NO: NCHS 75-6

NTIS NO: Not yet determined

ERIC NO: Not available

PROJECT DESCRIPTIONS

PROJECT STATUS: Study in process
START/END DATES: June '75 through December '77

0960

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Evaluation of Patient Care Needs in a Sample of Skilled Nursing Facilities by Type of Ownership

REPORT TITLE: NONE

PERFORMER: Moshman Associates; 6400 Goldsboro Road; Washington, DC 20034

AGENCY CONTACT: Foerst, Helen; Parklawn Bldg, Rm 17B07; 301-443-1877

PROGRAM(S) EVALUATED:
PHS-OASH-Health Statistics

ABSTRACT: This study was an evaluation of patient care needs in a sample of skilled nursing facilities by type of ownership. The project analyzed secondary data to determine similarities and differences among different types of ownership as they affect patient care. (No Final Report)

DESCRIPTORS: Nursing Homes, Needs and Needs Assessment, Client Records, Elderly

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0794

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: HRA 230-75-0082 003

COST (In Thousands): FY 76-\$10

PROJECT STATUS: Study completed

START/END DATES: July '75 through July '76

PROJECT NO: NCHS 76-1

NTIS NO: Not yet determined

ERIC NO: Not available

0961

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Evaluation of State Hill-Burton Reporting Systems

REPORT TITLE: Evaluation of State Hill-Burton Reporting Systems for Free Care and Community Services to the Poor, Provided for by Federally Assisted Health Facilities

PERFORMER: Orkand Corporation; 8630 Fenton Streets; Silver Spring, Md. 20910

AGENCY CONTACT: Zwick, Daniel J.; Rm 1022, FC B2; 301-436-7270

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Facilities Construction

ABSTRACT: Results of a 30-day survey of seven regional offices and 15 State agencies to evaluate the completeness and effectiveness of State reporting systems under the Hill-Burton regulations concerning free care are reported. A review of the Hill-Burton program and its objectives and requirements is provided. Seven broad areas of responsibilities are imposed on State agencies for enforcement of the Hill-Burton program: assurances of facilities, presumptive compliance certification, compliance report submission, State agency and State plan requirements, review process and procedures development, evaluation and enforcement, and reports to HEW. It was found that all visited State Agencies required assurances from applicants that a

reasonable volume of services will be provided. In addition, all visited State agencies obtained one-time facility certification upon request of HEW. The four States which do not have a system or procedure for obtaining certification on an annual basis are Tennessee, New Jersey, North Dakota, and Montana. Annual statements and approved budgets submitted to State agencies have been complied with substantially. All of the States have adopted, within the State plan, criteria for identifying persons unable to pay for services. Recommendations call for development of procedures and policy guideline manuals in the areas of facility/patient recordkeeping, reporting systems, and regional offices. (Final report 118 pp.)

DESCRIPTORS: Management Information and Reporting, Hospitals, Regulation and Standard Setting, User Charges, Survey or Questionnaire Feature, State or Local Administration, Low-Income Population

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0471 **PROJECT NO:** BHSR 74-22

FUND SOURCE: Evaluation **NTIS NO:** PB 255-312

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HRA-230-75-0081

COST (In Thousands): FY 74-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: July '74 through July '75

0962

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Evaluation of State Laws Regulating
Confidentiality of Health Data Systems

REPORT TITLE: Evaluation of State Law Regulating
Confidentiality of Health Data Systems

PERFORMER: Moshman Associates; 6400 Goldsboro Road;
Washington, D.C. 20034

AGENCY CONTACT: Robey, James; Rm 212, FCB2, 301-436-7120

PROGRAM(S) EVALUATED:
PHS-OASH-Health Statistics

ABSTRACT: Potential legal barriers to the operation of an effective cooperative Health Statistics System (CHSS) are identified from the body of law in five representative states. Each selected State is an active participant in CHSS, has an implementation contract for at least one more component than the Vital Statistics component of CHSS, and is representative in both size and geographic diversity. The five States selected were Missouri, Virginia, Wisconsin, Nebraska and Rhode Island. And in-depth legal analysis was performed of the statutes, regulations, Attorney General opinions, guidelines, and formal policies of each State to determine the extent to which confidentiality protection for health data, health data systems and related provisions are relevant to CHSS. In addition, appropriate State officials were interviewed to test the legal conclusions based on the previous research. See also EDC 0796. (Final report 67 pp.)

DESCRIPTORS: Privacy and Confidentiality, Legal Issues, Survey or Questionnaire Feature, Statistical Data Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0459 **PROJECT NO:** NCHS 75-10

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HRA 230-75-0082

COST (In Thousands): FY 75-\$54

PROJECT STATUS: Study completed,
Executive summary at EDC,
Available from EDC

START/END DATES: May '75 through November '75

0963

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Evaluation of Statewide Hospital Discharge
Abstract System

REPORT TITLE: Not yet determined

PERFORMER: South Carolina Budget and Control Board; 1028
Sumter Street; Columbia, SC 29201; Eugene A. Laurent
Ph.D

AGENCY CONTACT: Bacon, W. Edward; Parklawn Bldg Rm 9-46;
301-443-1570

PROGRAM(S) EVALUATED:
PHS-OASH-Health Statistics

ABSTRACT: Throughout the U.S. there has been an increase in hospital data systems in order to meet the demand for better information and statistics on hospital care. However, these systems consist of a wide variety of organizational, functional, and operational models. Because of a need for uniform data for the CHSS, developmental activities were needed to identify, define, and resolve technical, administrative, organizational, and functional problems related to their effective and efficient integration into CHSS. The contractors in this study have been given the task of: (1) conducting a critical survey of current hospital discharge data abstract and processing systems; (2) developing a tentative model and system design of a statewide hospital discharge abstract system; and (3) implementing a pilot run of the system.

DESCRIPTORS: Statistical Data Feature, Demonstration Projects, Hospitals, Information Systems, Survey or Questionnaire Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0783 **PROJECT NO:** NCHS 74-8

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HRA 106-74-160

COST (In Thousands): FY 74-\$221, FY 75-\$186, FY 76-\$83

PROJECT STATUS: Study in process

START/END DATES: June '74 through October '77

0964

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Evaluation of Telephone Personal Interviews as a
Mechanism for the Collection of Health Interview Data

REPORT TITLE: Not yet determined

PERFORMER: The Institute of Michigan; Institute for Social
Research; Survey Research Center; Ann Arbor, Michigan
48106

AGENCY CONTACT: Thornberry, Owen; Rm 2-28 FCB 2;
Hyattsville, MD 20782; 301-436-7104

PROGRAM(S) EVALUATED:
PHS-OASH-Health Statistics

ABSTRACT: Traditionally, the personal interview has been considered the only legitimate approach for the collection of data from households. Due to the expense associated with the use of personal interviewers, the unavoidable and lengthy time lag between the expressed need for and the actual release of the data, there has been, during the last decade, a growing interest in the potential of other methods of data collection. For a variety of reasons, the general consensus among survey researchers is that the telephone interview has the potential for not only being considerable less expensive than the personal interview, but also for producing data of higher quality in a much shorter period of time. It appears that the telephone survey in general and random digit dialing in particular offer the potential for expanding the scope and improving the quality of NCHS survey data and for increasing the efficiency of NCHS data systems at a cost significantly below that required with the personal interview. The purpose of this comparative evaluation of the telephone and personal interview shall be a specification of both general and specific areas or conditions in which each approach (or a mixed-mode design) represents the optimal approach (in terms of cost, quality, timeliness, and practical and technical feasibility) for the collection of health interview data.

DESCRIPTORS: Statistical Data Feature, Research and Evaluation Methods Feature, Survey or Questionnaire Feature, Morbidity and Mortality

EVALUATION TYPE: Program Strategy

EDC ID NO: 1692 **PROJECT NO:** ASH 78-026
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** NYD:
CONTRACT NO: 78-2034
COST (In Thousands): FY 78-5450
PROJECT STATUS: Study in process
START/END DATES: September '78 through March '79

0965

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Evaluation of the Effectiveness of the Applied Statistics Training Institute in Meeting Training Needs of the CHSS

REPORT TITLE: Evaluation of the Effectiveness of the Applied Statistics Training Institute in Meeting Training Needs of the CHSS

PERFORMER: Urban Systems Research & Engineering; 1218
Massachusetts Avenue; Cambridge, Massachusetts 02138;
Joseph Weber

AGENCY CONTACT: Fisher, Gail; Parklawn Building Room 8-49;
301-443-1318

PROGRAM(S) EVALUATED:
PHS-OASH-Health Statistics

ABSTRACT: The Applied Statistics Training Institute (ASTI) was established by NCHS in 1967 to provide short-term statistics training to individuals who require capabilities in data-related methods. This study focuses on using an evaluation strategy to detect areas of possible improvement and to make recommendations for increasing ASTI effectiveness. To assess the training needs of potential students, on-site meetings were held with 46 organizations including CHSS contractors, departments of public and mental health, state and areawide planning agencies, and PSROs. To gain insight into the effectiveness of the teaching techniques and teaching approach, a course evalua-

tion framework was developed and 4 ASTI courses were analyzed. A workshop was held to gather feedback on preliminary conclusions and to gain an additional source of information. Study results include an assessment of training need, a summarization and display of subjects ASTI teaches, a merger of the two, information on the general training approach, and input regarding operational policies and procedures. Recommendations are grouped into 5 areas: Market, Curriculum Modifications, Alternative Training Modalities and Presentation, Operational Improvements, and Selection of Instructors and Relationship to the Academic Community. (Final report 3 volumes of 7, 261, and 293 pages).

DESCRIPTORS: Scientific Manpower, Curriculum Development, Educational Techniques, Research and Evaluation Performance, Statistical Data Feature, Survey or Questionnaire Feature, Technical Assistance

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0793 **PROJECT NO:** NCHS 75-9
FUND SOURCE: Evaluation **NTIS NO:** PB 254-962 to 964
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA 230-76-0061
COST (In Thousands): FY 76-583
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: July '75 through March '76

0966

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Evaluation of the Health Interview Survey Design and Sample Size

REPORT TITLE: Not yet determined

PERFORMER: National Institute for Advanced Studies; 600 E
Street, N.W.; Washington, D.C. 20014

AGENCY CONTACT: Fuchsberg, Robert; Center Bldg. 2 Rm. 2-44;
301-436-1234

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Statistics

ABSTRACT: The Health Interview Survey (HIS) has been in existence since 1957. It is a continuing personal interview survey consisting of approximately 42,000 households. The last time it was evaluated was in 1967. This study will evaluate the efficiency of the procedures and the reliability and validity of the estimates produced. The NCHS wants to modify and evaluate the basic questionnaire used. The study's objectives are: (1) increase the flexibility of the survey by the more extensive use of supplements; (2) simplify the interviewing procedures; (3) improve the quality of the data; (4) reduce or eliminate the influence of supplements on annual estimates; (5) develop efficient data processing procedures; (6) re-examine the types of information needed by the health field on an annual basis; and (7) improve the format of the questionnaire. Revised instrument and procedures will be field tested. Recommendations will be made regarding the most efficient overall sample and sub-sample design for the survey.

DESCRIPTORS: Survey or Questionnaire Feature, Statistical Data Feature, Information Processing

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1057 **PROJECT NO:** NCHS 77-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available

PROJECT DESCRIPTIONS

CONTRACT NO: 230-77-0133
COST (In Thousands): FY 77-\$255
PROJECT STATUS: Study in process
START/END DATES: September '77 through -September '78

0967

AGENCY SPONSOR: Public Health Service
 Office of the Assistant Secretary for Health
 National Center for Health Statistics

PROJECT TITLE: Evaluation of the National Ambulatory Medical Care Survey (Not available/MCS) Sampling Universe

REPORT TITLE: Not yet determined
PERFORMER: National Opinion Research Center; 6030 South Ellis Avenue; Chicago, Illinois 60637
AGENCY CONTACT: Delozier, James; Rm 2-12, Center Bldg.; Hyattsville, MD 2078 301-436-7132
PROGRAM(S) EVALUATED:
 PHS-OASH-Health Statistics

ABSTRACT: The National Ambulatory Medical Care Survey (NAMCS) obtains data concerning ambulatory visits to "office-based" physicians. The NAMCS currently includes only physicians classified by the American Medical Association (AMA) or American Osteopathic Association (AOA) as "office-based" and engaged in "patient-care" activities. Physicians not so classified (about 150,000), are not included in the NAMCS sampling frame. Estimates from the NAMCS, therefore, do not include ambulatory care provided by that group of physicians. The extent of "under-coverage" resulting from this problem is not known. It is the purpose of this project to evaluate the scope of coverage of the NAMCS in terms of the ambulatory medical care visits not included in the 1978 survey design. Of particular interest are visits to the private offices of doctors not classified by the AMA or AOA as office-based physicians, and ambulatory visits to hospital outpatient and emergency departments.

DESCRIPTORS: Ambulatory and Outpatient Health Care, Statistical Data Feature, Research and Evaluation Performance, Survey or Questionnaire Feature, Morbidity and Mortality
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1689 **PROJECT NO:** ASH 78-023
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 232-78-0092
COST (In Thousands): FY 78-\$100
PROJECT STATUS: Study in process
START/END DATES: September '78 through June '79

0968

AGENCY SPONSOR: Public Health Service
 Office of the Assistant Secretary for Health
 National Center for Health Statistics

PROJECT TITLE: Evaluation of the Reliability of National Hospital Discharge Data

REPORT TITLE: Not yet determined
PERFORMER: WESTAT, Inc.; 11600 Nebel Street; Rockville, MD 20852
AGENCY CONTACT: Bacon, Edward; Rm 2-63, Center Bldg.; Hyattsville, MD 20782; 301-436-7125
PROGRAM(S) EVALUATED:
 PHS-OASH-Health Statistics

ABSTRACT: A major source of information on the utilization of health services in short-stay hospitals is the National Hospital Discharge Survey (HDS). National statistics are provided annually on the number and characteristics of patients hospitalized, the types of services provided and the kinds of hospitals being utilized. The HDS design is a two-stage probability sample using the hospital discharge as the unit of enumeration. The sample consists of approximately seven percent of the short-stay hospitals in the U.S. and approximately 10 percent of the discharges from each of the sample hospitals. All items of Uniform Hospital Discharge Data Set (UHDDS), except physician identifiers, are collected for each sample discharge by abstracting information from the face sheet of the medical record. All data are transcribed verbatim from the face sheet. The general purpose of the proposed project is to assess the reliability of the HDS data file relative to the information contained in medical records of the sample discharges. The study will determine (a) the frequency of discrepancy between the existing HDS data and the appropriate hospital medical record, (b) the reason for and/or source of error, (c) the impact of discrepancies upon HDS statistics presented in the Vital and Health Statistics Reports, Series 13, and (d) the reliability of HDS data relations to Medicare records and abstracts processed by private abstraction services.

DESCRIPTORS: Statistical Data Feature, Morbidity and Mortality, Research and Evaluation Performance

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1686 **PROJECT NO:** ASH 78-008
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 230-71-0113
COST (In Thousands): FY 78-\$168
PROJECT STATUS: Study in process
START/END DATES: September '77 through September '79

0969

AGENCY SPONSOR: Public Health Service
 Office of the Assistant Secretary for Health
 National Center for Health Statistics

PROJECT TITLE: Evaluation of the Uniform Minimum Data Set of Ambulatory Medical Care Records

REPORT TITLE: Not yet determined
PERFORMER: Geomet, Inc; 15 Firstfield Road; Gaithersburg, Maryland 20760; John R. Ward
AGENCY CONTACT: DeLozier, James; CB 2, Rm 2-12; 301-436-7132

PROGRAM(S) EVALUATED:
 PHS-OASH-Health Statistics

ABSTRACT: The U.S. National Committee on Vital and Health Statistics proposed a minimum data set for use in compilation of ambulatory medical care statistics. The minimum data set was envisioned as the basis for the development of ambulatory medical care statistics in the U.S. The general objectives included the proposed data items and their definitions, and to assess the availability of these data items for the many purposes which require subsequent retrieval of information. This report presents the methodology used to evaluate the utility of the proposed data set and the findings from a survey of the recording practices in 16 ambulatory care organizations. The resultant methodology might be characterized as a compromise between the survey and a case study approach. In the recording of the data set items, the patient record items were found fairly accessible within the patients chart; the provider record items and the linkage of provider to patient and encounter were often hampered by lack of specific data noted in the encounter; and considerable variation in the availability of encounter record items was found thus supporting the impression

that encounter recording was essentially based on the explicit notes judged appropriate by the provider as they were pertinent to legal requirements and the continuing care of the patient.

DESCRIPTORS: Statistical Data Feature, Ambulatory and Outpatient Health Care, Client Records

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0792 **PROJECT NO:** NCHS 75-7
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA 230-76-0063
COST (In Thousands): FY 76-\$166
PROJECT STATUS: Study completed
START/END DATES: July '75 through March '77

0970

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Evaluation of the Utilization of Data for Health Program Planning, Management and Evaluation; Part 7- Uses of State Health Surveys

REPORT TITLE: Evaluation of the Uses of Data From State Health Interview Surveys

PERFORMER: Bascomb Associates, Inc; 7961 Eastern AV; Silver Spring, Maryland

AGENCY CONTACT: Fuchsberg, Robert; CB 2, Rm 2044;
301-436-7084

PROGRAM(S) EVALUATED:
PHS-OASH-Health Statistics

ABSTRACT: A study to review the need for health planning information at State and community levels and the State's ability to provide needed information is reported. The following questions were addressed: who are the users of State health data; what information is used from State surveys and for what purpose; and how does the product of State surveys reach the users. The major findings emerging from the study was that without some central direction, State health surveys are unlikely to achieve their full potential of data use.

DESCRIPTORS: Morbidity and Mortality, Health Planning, Research and Evaluation Planning and Administration, Evaluation by State and Local Governments, Statistical Data Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0785 **PROJECT NO:** NCHS 74-9 Part 7
FUND SOURCE: Evaluation **NTIS NO:** PB 256-290
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA 106-74-158
COST (In Thousands): FY 74-\$39
PROJECT STATUS: Study completed,
Available from NTIS
START/END DATES: June '74 through June '77

0971

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Evaluation of Current Practices and Methods in the Utilization of Data for Health Program Planning, Management and Evaluation

PROJECT DESCRIPTIONS

REPORT TITLE: Evaluation of the Uses of Data from State Health Interview Surveys: Part 7, Evaluation of Uses of Data from State Health Surveys

PERFORMER: Bascomb Associates, Inc.; 7691 Eastern Avenue; Silver Spring, MD. 20910; P. Evans

AGENCY CONTACT: Fuchsberg, Robert

PROGRAM(S) EVALUATED:
PHS-HRA-Health Planning Resources & Development;
PHS-OASH-Health Statistics

ABSTRACT: A study to review the need for health planning information at State and community levels and the State's ability to provide needed information is reported. The following questions were addressed: who are the users of state health data; what information is used from State surveys and for what purposes; and how does the product of State surveys reach the users. The major finding emerging from the study was that without some central direction, State health surveys are unlikely to achieve their full potential of data use. These surveys are currently encouraged and assisted by NCHS, whose own Health Interview Survey does not provide state specific data. Another major fact that emerged from the study investigation was that States do not have the resources to adequately pursue State health surveys. Simply providing Federal financial assistance is not enough--states will use the money to continue to pursue different and hence non-comparable, often invalid, and inherently more expensive state unique surveys. (Final report 50 pp).

DESCRIPTORS: Health Planning, Information Dissemination, State or Local Administration, Needs and Needs Assessment, Evaluation by State and Local Governments, Technical Assistance, Research and Evaluation Planning and Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0456 **PROJECT NO:** NCHS 74-9
FUND SOURCE: Evaluation **NTIS NO:** PB 256-290
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA-106-74-158
COST (In Thousands): FY 74-\$38
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '74 through February '75

0972

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Evaluation of the Utility of the HANES in Answering Questions About Nutritional Public Health Problems

REPORT TITLE: Not yet determined

PERFORMER: National Academy of Public Administration Foundation; 1225 Conn Ave.; Washington, D.C.; George Esser

AGENCY CONTACT: Habicht, Jean-Pierre; Center Bldg. 2 Rm 2-58; 301-436-7065

PROGRAM(S) EVALUATED:
PHS-OASH-Health Statistics

ABSTRACT: Nutrition has been recognized as the single determinant of health which is so important that it needs to be a continuing component of HANES survey. In 1969 the Secretary established a continuing National Nutrition Surveillance System under the authority of the existence of the National Health Survey Act of 1956. It would be added to the family of surveys that now make up the

National Health Survey. The first HANES survey undertaken from 1971-74 is now being succeeded by the second HANES survey 1976-78. It is imperative that the relevance of these nutritional surveys to solving the nation's health problems be evaluated now, so as to suggest improvements in the analysis and presentation of data from HANES II and in the planning and later phases of HANES III. The purpose of this project is to evaluate HANES and possible modifications thereof in answering the principal questions necessary to solve present and future health problems as related to nutrition.

DESCRIPTORS: Statistical Data Feature, Survey or Questionnaire Feature, Research and Evaluation Performance, Food and Nutrition
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1062 **PROJECT NO:** NCHS 76-6
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 230-77-0085
COST (In Thousands): FY 77-\$201
PROJECT STATUS: Study in process
START/END DATES: September '77 through July '79

0973

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Methods and Procedures for Maintenance of Data Quality Within CHSS

REPORT TITLE: Federal/State Processing and Quality Control Manual for the Facilities Component of the Cooperative Health Statistics System

PERFORMER: Applied Management Sciences; 962 Wayne Avenue; Silver Spring, MD 20910; Douglas Skinner

AGENCY CONTACT: Sartwell, Wilbur; FCB 2 Rm 2-51; 301-436-7050

PROGRAM(S) EVALUATED:
PHS-OASH-Health Statistics

ABSTRACT: Four manuals were produced under this contract: (1) Federal Processing and Quality Control Manual for the Facilities Component of the Cooperative Health Statistics System (CHSS). This manual is for use by Federal personnel involved in the design and operation of the facilities component of CHSS. It describes recommended procedures and specifications for data quality and control mechanisms to be followed in handling and verification of health manpower data submitted by States as well as providing national standards for data collection and reporting for guidance to both State and Federal personnel. (2) Federal Processing and Quality Control Manual for the Manpower Component of the CHSS. It describes recommended procedures and specifications for data quality and control mechanisms to be followed in handling and verification of health manpower data submitted by States as well as providing national standards for data collection and reporting for guidance to both State and Federal personnel. (3) State Processing and Quality Control Manual for the Facilities Component of the CHSS. It describes recommended procedures and NCHS specifications for data quality and control mechanisms to be followed by States involved in the operation of the health facilities component of CHSS. (4) State Processing and Quality Control Manual for the Manpower Component of the CHSS. It describes recommended procedures and NCHS specifications for data quality and control mechanisms to be followed by States involved in the operation of the health manpower component of CHSS. These manuals are available from the sponsoring agency.

DESCRIPTORS: Statistical Data Feature, Research and Evaluation Methods Feature, Quality Assurance, Morbidity and Mortality, Technical Assistance

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0784 **PROJECT NO:** NCHS 74-12
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA 106-74-38
COST (In Thousands): FY 74-\$130, FY 76-\$61
PROJECT STATUS: Study completed,
Executive summary at EDC
START/END DATES: June '74 through December '76

0974

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Follow-up Surveys for Evaluation of Neighborhood Health Centers

REPORT TITLE: Follow-up Survey of Neighborhood Health Centers

PERFORMER: Westat, Inc.; 11600 Nebel Street; Rockville, Md. 20852; Thomas McKenna

AGENCY CONTACT: Okada, Louise; Parklawn Bldg, Rm 15A55; 301-443-4520

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-Health Centers

ABSTRACT: Surveys were conducted during 1968-71 in 11 urban neighborhood health center (NHC) service areas prior to the establishment of these facilities, to obtain baseline measures of demographic and socioeconomic characteristics, health behavior and health status of these populations. Follow-up surveys were conducted in five of the eleven areas to determine what changes had occurred in the sources of care and care-seeking behavior, and to see if any changes could be detected in health status. A standard area probability sampling technique was used and 1,500 household interviews were collected for about 4,500 individuals in each area. The purpose of this contract was data collection only; no analysis was required. The deliverables are data tape with tape and study documentation. Data will be analyzed by NCHSR and Health Services Administration staff over the next two years and the data tape will be made available to others in and outside the Federal Government. (Final report 35 pages).

DESCRIPTORS: Ambulatory and Outpatient Health Care, Morbidity and Mortality, Survey or Questionnaire Feature, Statistical Data Feature, Multiservice Center

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0588 **PROJECT NO:** BHSR 74-11
FUND SOURCE: Research **NTIS NO:** PB 259-791
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA 106-74-66
COST (In Thousands): FY 74-\$445, FY 76-\$52
PROJECT STATUS: Study completed,
Available from NTIS
START/END DATES: June '74 through April '76

0975

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Evaluation of the Hospital Discharge Survey

REPORT TITLE: Hospital Discharge Survey Evaluation Study
Summary Report

PERFORMER: Research Triangle Institute; PO Box 12194;
Research Triangle Park NC; Judith T. Lessler

AGENCY CONTACT: Bacon, Edward; Parklawn Building Room
9-46; 301-443-1570

PROGRAM(S) EVALUATED:
PHS-OASH-Health Statistics

ABSTRACT: This project evaluated the Hospital Discharge Survey (HDS) in terms of the use of data, ability to detect changes over time, and cost effectiveness and efficiency of the sample design. The HDS data files were analyzed and personal interviews were conducted with users. The lack of timely data and detailed statistical analyses were the most frequently mentioned shortcomings. Trend analyses indicated that an annual survey may not be necessary to provide the current level of precision. However, a pilot test of a periodic survey was recommended to assess the impact on hospital participation and on whether resources can be continually reallocated from collection to analysis to collection. The study recommended that the Uniform HDS Data Set be adopted with the exception of physician identifier. (This was implemented in January 1977). The results indicated that the current design was not cost-efficient, particularly for large domains. Instead the study recommends a multiple frame approach which would use secondary sources of data, with a new sample of hospitals to be selected and optimized according to cost and expected quality of data. Interviews at State CHSS and HDS hospitals indicated the multiple frame approach was feasible. Procedures for carrying out quality control were outlined, based on a Census model, to be carried out in each state. (Volume IV 181 pages, Summary 57 pages).

DESCRIPTORS: Statistical Data Feature, Information Systems, Client Records, Research and Evaluation Performance, Cost-effectiveness Analysis Feature, Survey or Questionnaire Feature, Hospitals, Morbidity and Mortality

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0790 **PROJECT NO:** NCHS 75-2
FUND SOURCE: Evaluation **NTIS NO:** PB 266-745
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HRA-230-76-0062

COST (In Thousands): FY 76-\$119

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: July '75 through March '77

0976

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Evaluation of Current and Proposed State Laws
and Regulations Dealing with Confidentiality of Data

REPORT TITLE: Issues Regarding Confidentiality of Data in the
Cooperative Health Statistics System

PERFORMER: Walter R. Simmons; 1525 Belle Haven Road;
Alexandria, Virginia 22307

AGENCY CONTACT: Fisher, Gail; HRA; 301-443-1318

PROGRAM(S) EVALUATED:
PHS-OASH-Health Statistics

ABSTRACT: The value of assurances of confidentiality from leading statistical agencies, the Freedom of Information Act, the economy of utilizing the same data by more than one agency for more than one purpose and the conflict between "need-to-know" and "right-to-

privacy" constituted the central problem for this study. The study also identifies the nature and character of major issues having an impact on confidentiality in the Cooperative Health Statistics System; delineates programs that could be directed toward resolution of the major issues; and techniques for processing and reformatting health data in order to yield maximum information while avoiding confidentiality issues. The report also contains recommendations for NCHS on developing a Model State Law on CHSS confidentiality; maintaining promises of confidential treatment, developing a system which contains elements of flexibility that permit customized variations of procedures, training CHSS staff concerning confidentiality issues and maintaining a public relations program to promote wide understanding of the essence of statistical purposes. (Final report 115 pages).

DESCRIPTORS: Information Dissemination, Privacy and Confidentiality, Research and Evaluation Planning and Administration, Information Processing

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0604 **PROJECT NO:** NCHS 74-7
FUND SOURCE: Evaluation **NTIS NO:** PB 255-068
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HRA-106-74-25

COST (In Thousands): FY 74-\$19

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: May '74 through May '76

0977

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Medical Care Appraisal and Quality Assurance in
the Practice of Internal Medicine

REPORT TITLE: Medical Appraisal and Quality Assurance in the
Practice of Internal Medicine

PERFORMER: American Society of Internal Medicine; Scientific
and Technical Information; Rm. 15-75 Parklawn Bldg.;
Rockville, Md.

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-OASH-Health Statistics

ABSTRACT: The purpose of this project was to study methodologies for assessing quality medical care in office practices of internists, specifically problems associated with selection of criteria, observation and recordation, evaluation and feedback, and corrective action. This study demonstrates that physicians of similar backgrounds and experiences can construct theoretical criteria for quality care of common disease categories with minimum interaction among the physicians resulting in a high degree of agreement among them. This study also demonstrates that a structured form is required for data collection and quality evaluation. An overly structured data collection form is too rigid for proper evaluation of care. There is a relatively high

DESCRIPTORS: Quality Assurance, Physicians, Medical Practice
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0776 **PROJECT NO:** NCHS 70-1
FUND SOURCE: Evaluation **NTIS NO:** PB 237-943
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HSM-110-70-420**COST (In Thousands):** FY**PROJECT STATUS:** Study completed,
Available from EDC,
Available from NTIS**START/END DATES:** June '70 through May '73

0978

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics**PROJECT TITLE:** National Ambulatory Medical Care Survey**REPORT TITLE:** None**PERFORMER:** National Opinion Research Center; Chicago, Ill
60637**AGENCY CONTACT:** Delozier, James; CB 2, Rm 2-12;
301-436-7132**PROGRAM(S) EVALUATED:**
PHS-OASH-Health Statistics**ABSTRACT:** This project will continue the annual National Ambulatory Medical Care Survey, begun in FY 1973. The NAMCS survey uses a probability sample of approximately 3,000 physicians to collect data on the diagnostic and problem/symptom/complaint of an estimated 60,000 ambulatory care episodes. These data collectively represent the pattern of ambulatory medical care services in the United States. (See EDC 0777 for a related project). Final report is a data tape.**DESCRIPTORS:** Emergency Medical Care, Ambulatory and Outpatient Health Care, Health Care Delivery Systems, Client Records, Statistical Data Feature, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development**EDC ID NO:** 0789 **PROJECT NO:** NCHS 75-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA 230-75-0076
COST (In Thousands): FY 75-\$439
PROJECT STATUS: Study completed
START/END DATES: November '74 through April '76

0979

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics**PROJECT TITLE:** Development of Regional Planning and
Evaluation Profiles**REPORT TITLE:** New England Regional Health Data Inventory, 2
Volumes**PERFORMER:** Technical Assistance Research Programs, Inc.; 1600
Massachusetts Ave.; Cambridge, MA 02138**AGENCY CONTACT:** Lurvey, Mark; 617-223-5495**PROGRAM(S) EVALUATED:**
PHS-OASH-Health Statistics**ABSTRACT:** The health data inventory for the New England region represents a preliminary attempt to identify health data sources in six states (Conn., Maine, Mass., New Hampshire, Rhode Island, and Vermont). Published in December 1974, the inventory is part of a broader concern with the utilization of data for decisionmaking activities related to the allocation of health resources. Several issues in

data utilization are identified, including what data are necessary to make decisions, whether required data are being collected and gaps between collected and required data. Data collected for the six states consisted of: listing of potential data sources based on previous knowledge of data collectors; state contact through the health department, generally through the vital statistics or research office; data based upon prepared modules including health status indicators, socioeconomic indicators, and demographic indicators. The inventory of state data sources is based on data elements that encompass morbidity-related information. Tables of national data sources provide a comprehensive summary of available national data sources relevant to health planning. (Final report 578 pages.)

DESCRIPTORS: Survey or Questionnaire Feature, Health Planning, Health Care Delivery Systems, Technical Assistance, Information Processing, Research and Evaluation Methods Feature
EVALUATION TYPE: Place Project or Technique**EDC ID NO:** 0425 **PROJECT NO:** OASH/ORO 74-1
FUND SOURCE: Evaluation **NTIS NO:**
ERIC NO: SHR 000-3089,90Not
available**MECHANISM:** Contract
CONTRACT NO: HEW 291-74-0013
COST (In Thousands): FY 74-\$15
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: July '74 through December '74

0981

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics**PROJECT TITLE:** Pilot Test for a National Completeness of Death
Registration**REPORT TITLE:** Not yet determined
PERFORMER: Research Triangle Institute; P.O. Box 12194;
Research Triangle Park, NC; Dan Horvitz
AGENCY CONTACT: Sirken, Monroe; CB
PROGRAM(S) EVALUATED:
PHS-OASH-Health Resources-Health Statistics**ABSTRACT:** The objective of the study is to develop an efficient and reliable sample survey methodology for conducting a national test of death registration in order to estimate the proportion of deaths that are not registered. The contractor will conduct three survey experiments. The objectives of these experiments are: (1) Experiment A - to compile a listing of addresses of surviving relatives and former household members for a sample of decedents; (2) Experiment B - to measure the design effects of counting rules in mail surveys on sampling, coverage, and matching errors of death registration completeness estimates; (3) Experiment C - to measure the design effects of counting rules and respondent rules in household interview surveys on sampling, coverage, and matching errors of death registration completeness. The following counting rules were to be investigated, the decedent is enumerated: (1) at the housing unit that was his residence at death; and (2) in the household of his surviving children, parents and siblings.**DESCRIPTORS:** Morbidity and Mortality, Field Test Feature, Survey or Questionnaire Feature
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0782
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: HRA 106-74-61
COST (In Thousands): FY 74-\$130, FY 76-\$119
PROJECT STATUS: Study in process
START/END DATES: June '74 through January '78

PROJECT NO: NCHS 74-6
NTIS NO: Not yet determined
ERIC NO: Not available

0982

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Evaluation of CHP/RMP/EHSDS Planning
Methodologies

REPORT TITLE: Planning and Evaluation Manual (Various
Volumes)

PERFORMER: Orkand Corp; 8630 Fenton St.; Silver Spring, MD
20910; Gary Byrnes

AGENCY CONTACT: Anderson, Arne; Rm 15A-55 Pkln Bldg;
301-443-4520

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning &
Development

ABSTRACT: This report is the major product of the project, designed specifically to be of use to State and local health planning staff. These six volumes present summaries of 51 of the best local area studies conducted by 18 health planning agencies in 10 states. Each volume contains a preface and a discussion of the studies, together with short (5 to 15 pages) summaries of each, including title, sponsor, methodology cost, findings, claimed utilization, etc. In most cases actual survey instruments are appended. The summaries contain some discussion of reliability and validity of the studies, which were reviewed by methodologists. The studies are grouped by topic in volumes on Hospital Care and Utilization (272 pp.), Long-Term Care (256 pp.), Health Care Financing and Community Funds Flow (223 pp.), Consumer Health Status and Need (423 pages), Ambulatory Care (158 pages), and Medical Specialty Needs (319 pages). Methodologies involved included analysis of secondary data, literature review, record review, site visits, and surveys. The funding information for this project is given on EDC 0472.

DESCRIPTORS: Hospitals, Utilization Review, Long Term Health Care, Health Planning, Ambulatory and Outpatient Health Care, Needs and Needs Assessment, Evaluation by State and Local Governments, Survey or Questionnaire Feature, Bibliography Feature
EVALUATION TYPE: Support

EDC ID NO: 0472.3
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: HRA-106-74-133
COST (In Thousands): FY 74-Not available, FY 76-Not available
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '74 through August/September '75

PROJECT NO: BHSR-74-24G
NTIS NO: HRP 000-5990-95
ERIC NO: Not available

0983

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Evaluation of Non-Response in the Health and
Nutrition Examination Survey (HANES)

REPORT TITLE: Preliminary Findings of the First Health and
Nutrition Examination Survey U.S., 1971-1972; Dietary
Intake and Biomedical Findings

PERFORMER: National Center for Health Statistics, Dept. of
HEW

AGENCY CONTACT: Abraham, Sidney; Rm 258, FCB2;
301-436-7072

PROGRAM(S) EVALUATED:
PHS-OASH-Special Health Programs

ABSTRACT: The purpose of the Health and Nutrition Examination Survey (HANES) program in NCHS is to establish a continuing national nutrition surveillance system for the purpose designed of measuring the nutritional status of the U.S. populations and monitoring the changes in this status over time. Preliminary dietary intake and biochemical test findings among individuals 1-74 years of age in the noninstitutionalized population of the U.S. are presented and analyzed in this report. Analysis of data for certain groups at high risk of malnutrition, the poor, preschool children, women of child bearing age, and the elderly is included. These preliminary data permit only limited general conclusions concerning the nutritional status of the U.S. population. This report provides needed basic data on the distributions of the total U.S. population with respect to various biochemical test values and nutrient intakes. There is evidence of a deficiency with chemical data. The biochemical iron deficiency is more prevalent in the younger age groups, particularly children of ages 1-5 years.

DESCRIPTORS: Food and Nutrition, Statistical Analysis Methods
Feature, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0437
FUND SOURCE: Salaries and
Expenses (S&E)
MECHANISM: In-house
CONTRACT NO: Not available
COST (In Thousands): FY 71-Not available
PROJECT STATUS: Study completed,
Executive summary at EDC,
Available from EDC,
Available from NTIS

PROJECT NO: NCHS 73-3

NTIS NO: PB 249-483
ERIC NO: Not available

START/END DATES: June '71 through June '72

0984

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Development of a Strategy, Plan, and Procedures
for Overall Evaluation of CHSS

REPORT TITLE: Proposed Evaluation Protocol for CHSS/Hospital
Care Statistics/Health Manpower Statistics/Health Facility
Statistics/Long-Term Care Statistics/Ambulatory Care
Statistics/Vital Statistics

PERFORMER: Moshman Associates, Inc; 6400 Goldsboro Rd;
Washington, D.C.

AGENCY CONTACT: Robey, James; Rm 2-12 CB 2; 301-436-7120

PROGRAM(S) EVALUATED:

PHS-OASH-Health Statistics

ABSTRACT: To develop a strategy and a plan of procedures for evaluating the effectiveness and efficiency of the Cooperative Health Statistic System (CHSS). This study undertook the development of a broad-based comprehensive strategy, plan and documented procedure for a continuing or periodic evaluation of the overall impact of the CHSS. The contractor first developed specific evaluation tools for the individual components of the system. These components were Vital Statistics, Health Manpower Statistics, Ambulatory Care Statistics and Long-Term Care Statistics. The evaluation protocol of the CHSS as a whole considered the following aspects: 1) the functioning of each component within each state; 2) the interrelationships and cooperation among different components within each state; 3) the interrelationships and cooperation among the different states; and 4) the consideration of a variety of generalized factors that relate to the system in its entirety. The strategy was to include means of evaluating the system effectiveness and efficiency. The protocol for each State, on information from regional offices, and on information derived from knowledgeable persons and users outside the System. Some of the information in quantitative and some was derived from detailed analysis of operations of the component organizations; some is derived as qualitative information opinion and judgement on items not readily reduced to quantitative measure. The study provided a detailed method for evaluating the system.

DESCRIPTORS: Research and Evaluation Performance, Morbidity and Mortality

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0778

PROJECT NO: NCHS 74-1

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 110-73-522

COST (In Thousands): FY 73-\$128, FY 74-\$142

PROJECT STATUS: Study completed

START/END DATES: June '74 through October '75

0985

AGENCY SPONSOR: Public Health Service

Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Report on the Feasibility of a Single HEW Processor of Hospital Discharge Data

REPORT TITLE: Report on the Feasibility of a Single HEW Processor of Hospital Discharge Data

PERFORMER: Moshman Associates; 6500 Goldsboro Rd; Washington, D.C. 20034; Howard West

AGENCY CONTACT: Smith, James A; CB 2, Rm 2-12; 301-436-7120

PROGRAM(S) EVALUATED:

PHS-OASH-Health Statistics

ABSTRACT: This study was undertaken to determine whether it would be feasible from a technical, organizational, and economic standpoint to provide data processing and related services to HEW users of hospital discharge data by assigning such responsibility to a single existing HEW organization. There are currently four existing data systems independently collecting hospital discharge data, i.e., two systems within the National Center for Health Statistics, one in the Social Security Administration and one in the Bureau of Quality Assurance (the latter two now in HCFA). The report suggests that the National Center for Health Statistics and the Social Security Administration (HCFA) are the only HEW agencies with the experience, staffing, equipment and program involvement necessary to pro-

vide such a service. The report further recommends that there be a single processor for hospital discharge data in the Public Health Service and that this processor should be the National Center for Health Statistics.

DESCRIPTORS: Hospitals, Feasibility Study Feature, Information Processing, Morbidity and Mortality, Federal Administration, Statistical Data Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0797

PROJECT NO: NCHS 76-4

FUND SOURCE: Evaluation

NTIS NO: PB 258-633

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 230-75-0082 CO7

COST (In Thousands): FY 75-\$30

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: March '76 through September '76

0986

AGENCY SPONSOR: Public Health Service

Office of the Assistant Secretary for Health
National Center for Health Statistics

PROJECT TITLE: Test of an Evaluation Protocol in the Vital Statistics Component of the CHSS

REPORT TITLE: Not yet determined

PERFORMER: Moshman Associates; 6400 Goldsboro Road; Washington, DC 20034; Elaine Kokiko

AGENCY CONTACT: Anderson, Jack; Parklawn Bldg, Rm 8-17; 301-443-1314

PROGRAM(S) EVALUATED:

PHS-OASH-Health Statistics

ABSTRACT: The purpose of this study is to test an evaluation protocol of the Vital Statistics Component of the Cooperative Health Statistics System (CHSS). The protocol will be tested in all 29 States currently implemented in the vital statistics component of the CHSS. The protocol will be tested to determine whether it serves the purpose for which it is intended and whether the content of the protocol is clear, meaningful, practical, complete and correct in concept.

DESCRIPTORS: Morbidity and Mortality, Statistical Data Feature, Demonstration Projects, Information Systems, Research and Evaluation Methods Feature, Survey or Questionnaire Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0795

PROJECT NO: NCHS 76-2

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HRA 230-76-0101

COST (In Thousands): FY 76-\$128

PROJECT STATUS: Study in process

START/END DATES: February '76 through February '78

**National Center for Health Services
Research**

0987

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Services Research

PROJECT TITLE: Program Evaluation Alternatives in Long-term
Care--Alternative Modes of Day Care

REPORT TITLE: Adult Day Care in the U.S.: A Comparative Study
(Vols. I and II)

PERFORMER: Trans Century Corporation; 1789 Columbia Rd.
N.W.; Washington, D.C.

AGENCY CONTACT: Pellegrino, Julius; 301-443-4520

PROGRAM(S) EVALUATED:
PHS-OASH-Health Services Research

ABSTRACT: This study is a representative of the known universe of "adult day care" centers designed to meet health maintenance and social needs, and in some cases rehabilitative therapy needs, were studied. Findings showed that some of the centers serve patients with health care characteristics as varied as those exhibited by nursing home patients. Findings suggest that adult day care, when properly designed, may be a viable alternative to 24-hour inpatient care. From spectrum studied, two distinct models emerged, differentiated largely by the services provided, staffing patterns, participant characteristics and operating costs. Model I is characterized by its relatively heavy emphasis on health services. Model II emphasizes day time supervision for generally less impaired (than Model I) participants. On the average, a tendency to give appropriate care is a special strength of adult day care programs. Those studied indicate a close match between staff health care capability and the needs of the patients.

DESCRIPTORS: Home Health Care, Long Term Health Care, Demonstration Projects

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0771 **PROJECT NO:** BHSR 74-23

FUND SOURCE: Evaluation **NTIS NO:** PB 249-931

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 106-74-148

COST (In Thousands): FY 74-\$128

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '74 through April '75

0988

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Services Research

PROJECT TITLE: Evaluation of CHP/RMP/EHSDS Planning and
Evaluation Methodologies

REPORT TITLE: Analytic Report for Inventory and Assessment of
Health Agency Planning and Evaluation Studies

PERFORMER: Orkand Corp.; 8630 Fenton Street; Silver Spring,
Md 20910; Gary Byrne

AGENCY CONTACT: Anderson, Arne; Rm 15A-55, Pkln Bldg;
301-443-4520

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning &
Development;
PHS-HRA-Health Resources-Health Regional Medical
Programs

ABSTRACT: A major product of the overall project is a review of analytic processes surrounding the initiation, performance, and impact/acceptance of 51 studies performed at 18 selected health planning agencies. The analysis of studies performed by comprehensive health planning (a) and (b) agencies, regional medical programs, and Experimental Health Service Delivery System Agencies created by P.L. 93-641. The report presents descriptive and interpretative information on health planning variables of interest, broken down by study area and by type of health planning agency. The study is essentially descriptive and sociological in nature, and excludes 150 low quality reports from the sample. It measured study "Quality" through ratings of methodological features, and found that within the acceptable group, "quality" was not related to number of qualifications of agency staff, other agency characteristics, study impact, study duration, etc. Impact was measured by state areas such as incorporation of "needs" data in written plans. Precise summaries of the studies examined are found in EDC 0472.3. The funding for this project is given in EDC 0472. (Final report 129 pages).

DESCRIPTORS: Health Planning, Evaluation by State and Local Governments, Survey or Questionnaire Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0472.1

PROJECT NO: BHSR 74-24-E

FUND SOURCE: Evaluation

NTIS NO: PB 255-310

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HRA 106-74-133

COST (In Thousands): FY 74-Not available, FY 76-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: July '73 through November '75

0989

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Services Research

PROJECT TITLE: Evaluation of MEDEX Physician's Assistants

REPORT TITLE: Assess the Impact of MEDEX Physician's
Assistants on the Health Care Delivery System: Final Report

PERFORMER: University of Utah College of Medicine; Dept. of
Family & Community Med.; Salt Lake City, Utah 74132

AGENCY CONTACT: Johnson, Joyce; 15A-55, Parklawn Bldg;
301-443-4520

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Manpower-Allied
Health

ABSTRACT: To date there are approximately 200 programs training some kind of mid-level medical worker to extend the physician's capacity. There is an abundance of useful descriptive information concerning these programs and their trainees and graduates, but no conclusive evidence that these new medical workers have any effect on the health care delivery system. This study was to continue efforts to determine the impact of physician assistants trained at the Utah MEDEX program on the delivery of health care in the settings in which they are employed. Specific objectives of the contract were to measure the effect of MEDEX on (1) costs of health care, (2) quality of care, (3) access to care, and (4) the productivity of the health service units employing MEDEX. Data was obtained and analyzed on three levels: (1) follow-up data on MEDEX graduates from the first and second year classes; (2) data on MEDEX trainees in third and fourth year classes; and (3) data on all MEDEX trainees and graduates. The study found (to be completed). (Final report 130 pages).

DESCRIPTORS: Case or Site Study Feature, Demonstration Projects, Health Care Delivery Systems, Paraprofessionals, Health Manpower Training, Medical Practice, Survey or Questionnaire Feature, Physicians

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0767 **PROJECT NO:** BHSR 74-4
FUND SOURCE: Research **NTIS NO:** PB 263-197
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HRA 106-74-58
COST (In Thousands): FY 73-\$135, FY 74-\$138
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS

START/END DATES: June '74 through November '76

0990

AGENCY SPONSOR: Public Health Service
 Office of the Assistant Secretary for Health
 National Center for Health Services Research

PROJECT TITLE: Bibliography of Projects Supported by
 NCHSR&D, 1968-1973

REPORT TITLE: Not yet determined

PERFORMER: Franklin Institute; Philadelphia, Pa.; Alec Peters,
 L.L.D.

AGENCY CONTACT: Barilla, P.; 301-443-1443

PROGRAM(S) EVALUATED:
 PHS-OASH-Health Services Research

ABSTRACT: The purpose of this study is to develop a bibliography of research results, published and non-published, from projects supported by NCHSR&D in 1968-1973. HRA has no additional information on this project.

DESCRIPTORS: Bibliography Feature, Social Research, Health Care Delivery Systems, Medical Practice, Hospitals

EVALUATION TYPE: Support

EDC ID NO: 0765 **PROJECT NO:** NCHSR&D 73-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSM-110-73-454
COST (In Thousands): FY 73-\$38
PROJECT STATUS: Study in process
START/END DATES: June '73 through June '74

0991

AGENCY SPONSOR: Public Health Service
 Office of the Assistant Secretary for Health
 National Center for Health Services Research

PROJECT TITLE: Evaluation of Demonstration Projects in Child Abuse and Neglect

REPORT TITLE: Case Studies of Ten Child Abuse and Neglect
 Demonstration Projects; The First Year of Federal Funding
PERFORMER: Berkeley Planning Associates; 2313 Warring Street;
 Berkeley, Ca. 94704

AGENCY CONTACT: Hair; 301-443-4520

PROGRAM(S) EVALUATED:
 PHS-OASH-Health Services Research

ABSTRACT: The purpose of this study was to collect baseline data in the communities receiving grants on current services available to abused and/or neglected children and their families. The case studies resulting from this effort represent the experiences of ten different groups across the country in implementing innovative service projects and in operating them during the first three years of federal funding. A Handbook for Implementing Child Abuse and Neglect Service Programs was also developed as part of this effort. The handbook provides background in designing and implementing a new service program in the child abuse and neglect field.

DESCRIPTORS: Child Welfare, Demonstration Projects, Survey or Questionnaire Feature, Research and Evaluation Planning and Administration

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0775 **PROJECT NO:** BHSR 74-28
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 230-74-120
COST (In Thousands): FY 74-\$195, FY 75-\$126, FY 77-\$2
PROJECT STATUS: Study completed
START/END DATES: June '74 through March '76

0992

AGENCY SPONSOR: Public Health Service
 Office of the Assistant Secretary for Health
 National Center for Health Services Research

PROJECT TITLE: Second and Third Year Evaluation of a
 Demonstration Project in Child Abuse and/or Neglect

REPORT TITLE: Evaluation of Child Abuse and Neglect
 Demonstration Projects 1974-1977

PERFORMER: Berkeley Planning Associates; 2330 Channing Way;
 Berkeley, California 94704; Ann Cohn

AGENCY CONTACT: Anderson, Arne; Rm 8-41B, CB2;
 301-443-8910

PROGRAM(S) EVALUATED:
 PHS-OASH-Health Services Research

ABSTRACT: In May, 1974, the Office of Child Development and Social Rehabilitation Services of DHEW jointly funded eleven three year child abuse and neglect service projects in order to develop and test alternative strategies for treating abusive and neglectful parents and their children and alternative models for coordination of community-wide child abuse and neglect systems. The overall purpose of this evaluation was to provide guidance to the federal government and local communities on how to develop community-wide programs to deal with problems of child abuse and neglect in a systematic and coordinated fashion. The study, which combined both formative (or descriptive) and summative (or outcome/impact-related) evaluation concerns, documented the content of the different service interventions tested by these strategies. Quantitative and qualitative data were gathered through quarterly site visits, special topic site visits and information systems maintained by the projects on such topics as (1) problems inherent in establishing and operating child abuse and neglect programs, 2) goals and how successful projects were in accomplishing them, 3) costs of different child abuse and neglect services and the costs of different mixes of services, 4) elements and standards for quality case management and their relationship with client outcome, as well as others.

DESCRIPTORS: Demonstration Projects, Child Welfare, Evaluation by State and Local Governments, Management Information and Reporting, Survey or Questionnaire Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0763 PROJECT NO: NCHSR 76-2
FUND SOURCE: Evaluation NTIS NO: PB 278-438,439
MECHANISM: Contract ERIC NO: Not available
CONTRACT NO: HRA 230-76-0075
COST (In Thousands): FY 76-\$300, FY 76.1-\$215
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: December '75 through December '77

0993

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Services Research

PROJECT TITLE: Evaluation of Short Term Training in Long Term Care

REPORT TITLE: Not yet determined
PERFORMER: J-Squared, B-Squared Consultants, Inc.; 106 W. 40th Place; Los Angeles, Sa. 90037
AGENCY CONTACT: Johnson, Joyce; 301-443-4520
PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Manpower-Allied Health;
PHS-HRA-Health Resources-Health Manpower-Special Education Programs

ABSTRACT: To determine the effectiveness and efficiency of the various types of short-term training of personnel providing services and care in long-term care facilities and to recommend the most appropriate educational strategies and methodologies to improve skills, attitudes and performance of long-term care provider personnel. The educational efforts to be evaluated include: 1) programs contracted for Universities, Facility Organizations, State Health Departments; 2) programs contracted for by national organizations such as AMA, National League for Nursing, etc.; 3) programs contracted for known as the Regional Long-Term Care Training Centers.

DESCRIPTORS: Long Term Health Care, Health Manpower Training, Nursing Manpower, Nursing Homes
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0774 PROJECT NO: BHSR 74-26
FUND SOURCE: Evaluation NTIS NO: Not yet determined
MECHANISM: Contract ERIC NO: Not available
CONTRACT NO: 106-74-115
COST (In Thousands): FY 74-\$142
PROJECT STATUS: Study in process
START/END DATES: July '74 through September '75

0994

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Services Research

PROJECT TITLE: Evaluation of Small Area Estimating Techniques

REPORT TITLE: Not yet determined
PERFORMER: The John Hopkins University; Charles and 34th Streets; Baltimore, Maryland 21218
AGENCY CONTACT: Hoffman, Keith; Rm 2-63 Center Bldg.; Hyattsville, MD 20782; 301-436-7059
PROGRAM(S) EVALUATED:
PHS-OASH-Health Statistics

ABSTRACT: In State and local areas, the available data does not always meet the identified needs for planning information with which to identify the health status, use of services and needs for services of a population. Furthermore, the data that is available are not always adequately utilized both because procedures for coordination are missing or because the data are in a non-optional form. Various alternative procedures to alleviate these problems have been suggested and utilized, particularly in the estimation of State data. There has been some work on estimation procedures that would produce nearly unbiased estimates for a small area, but the major thrust has been in the use of synthetic estimation. The fundamental problem of the small area estimation procedures has been the difficulty in evaluating the estimates produced. Therefore, the purpose of this project would be to evaluate small area estimation techniques with respect to their possible applications to the needs of the State and local health planning agencies.

DESCRIPTORS: Statistical Data Feature, Morbidity and Mortality, Statistical Analysis Methods Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1685 PROJECT NO: ASH 78-006
FUND SOURCE: Evaluation NTIS NO: Not yet determined
MECHANISM: Contract ERIC NO: Not available
CONTRACT NO: 78-2052
COST (In Thousands): FY 78-\$100
PROJECT STATUS: Study in process
START/END DATES: September '78 through March '80

0995

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Services Research

PROJECT TITLE: Evaluation of the EMCRO Program Implementation Plan

REPORT TITLE: Not yet determined
PERFORMER: Arthur D. Little, Inc.; Cambridge, Ma.; Barry Decker, M.D.
AGENCY CONTACT: Hair, Dr. Feather; 301-443-2960
PROGRAM(S) EVALUATED:
PHS-OASH-Health Services Research

ABSTRACT: The purpose of this study is to assess the performance of all of the Experimental Medical Care Review Organizations supported by NCHSR&D. Assessment will be based upon performance in connection with overall objectives of the EMCRO Program and the specific objectives of each EMCRO grant. HRA has additional information on this project.

DESCRIPTORS: Quality Assurance, Utilization Review, Demonstration Projects, Research and Evaluation Performance, Grant and Contract Management
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0766 PROJECT NO: NCHSR&D 73-4
FUND SOURCE: Evaluation NTIS NO: Not yet determined
MECHANISM: Contract ERIC NO: Not available
CONTRACT NO:
COST (In Thousands): FY 73-\$499
PROJECT STATUS: Study in process
START/END DATES: June '73 through June '75

0996

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Services Research

PROJECT TITLE: Guidelines for Health Services Research and
Development: Hospital Mergers

REPORT TITLE: Guidelines for Health Services Research and
Development: Hospital Mergers

PERFORMER: Health Services Research Center; Chicago, Illinois
60611

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-OASH-Health Services Research;
PHS-OASH-Assistant Secretary for Health-Program
Direction

ABSTRACT: This booklet discusses hospital mergers and multi-institutional systems. Specifically, it offers those institutions whose administrations are contemplating merger, a definite plan, or outline, for successful mergers. It also provides those institutions already functioning as merged systems with a basis for self-examination and evaluation. A short list of suggested readings is provided for the interested reader. (Final report 34 pages.)

DESCRIPTORS: Feasibility Study Feature, Facilities, Hospitals,
Health Care Delivery Systems, Multiservice Center, Services Integration, Planning

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0380

PROJECT NO: HRA-NCHSR&D
75-52

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-110-70 368

COST (In Thousands): FY 70-Not available

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: Unknown start date through February '72

0997

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Services Research

PROJECT TITLE: Guidelines for Health Services Research and
Development: Shared Services

REPORT TITLE: Guidelines for Health Services Research and
Development: Shared Services

PERFORMER: Health Services Research Center; Chicago, Illinois
60611

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-OASH-Health Services Research

ABSTRACT: This manual is based on a review of current information on shared services and is a summary of the most persistent aspects reviewed. It is intended for use by those institutions whose administrations are seriously interested in either initiating a shared service project, or joining an already established one. Specifically this report covers (1) organizations of shared services, (2) impact of shared services, (3) development of a shared service, (4) advantages and disadvantages of shared services, (5) evaluation of shared services. A short bibliography of suggested readings is also given for the interested reader. (Final report 43 pages.)

DESCRIPTORS: Cost-Effectiveness Analysis Feature, Economic Analysis Feature, Program Coordination, Facilities, Hospitals, Laboratories, Literature Review Feature, Health Care Delivery Systems, Services Integration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0409

PROJECT NO: NCHS R&D 72-53

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM 110-70-368

COST (In Thousands): FY 72-Not available

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: September '70 through February '72

0998

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Services Research

PROJECT TITLE: Impact Evaluation of Emergency Medical
Services Demonstration Projects

REPORT TITLE: Impact Evaluation of Emergency Medical Services
Projects, Volume 1

PERFORMER: Geomet, Inc.; 15 Firstfield Road; Gaithersburg, MD
20760

AGENCY CONTACT: Gibson, Geoffrey, Ph.D., Berkowitz, Allen,
Ph.D., Nottingham, Stuart, MD.; 301-443-1800

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Emergency Medical Services

ABSTRACT: The purpose of this study was to provide evaluation services for five Federally funded emergency medical service demonstration sites. The objectives of the evaluation were: (1) to perform an emergency medical services patient outcome evaluation at each site; (2) to perform a crosssite comparative analysis of system performance utilizing the minimal data list as a source of input; (3) to perform a cross analysis of the emergency medical services systems; and (4) to perform an organizational and interorganizational analysis of each of the five emergency medical services demonstration sites. The study failed to produce any new methodology for determining patient outcome. The most useful part of this four-volume report is the cost-finding and reporting system that can be used as a methodology for cost finding in emergency medical services systems.

DESCRIPTORS: Emergency Medical Care, Demonstration Projects, Case or Site Study Feature, Research and Evaluation Methods Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0802

PROJECT NO: NCHSR 74-1

FUND SOURCE: Evaluation

NTIS NO: PB 247-439

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HRA-106-74-1

COST (In Thousands): FY 74-\$400

PROJECT STATUS: Study completed,

Available from EDC,

Available from NTIS

START/END DATES: February '74 through July '75

0999

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Services Research

PROJECT TITLE: Evaluation of CHP/RMP/EHSDS Planning and Evaluation Methodologies

REPORT TITLE: Inventory and Assessment of Health Agency Planning and Evaluation Studies: Executive Summary and Design

PERFORMER: Orkand Corporation; 8630 Fenton St.; Silver Spring, Md. 20910

AGENCY CONTACT: Anderson, Arne; PKLN Bldg, Rm 15A-55; 301-443-4520

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Planning & Development;
PHS-HRA-Health Resources-Regional Medical Programs

ABSTRACT: The purpose of this contract was to describe and evaluate the process, product and impact of the planning and evaluation technique used by CHP's RMP's and EHSDS for possible use by proposed health authorities. The study identified, described and assessed those planning and evaluation techniques, processes, data bases and approaches devised and/or employed by Regional Medical Programs, Comprehensive Health Planning Agencies and Experimental Health Services Delivery Systems that have been relatively successful and useful in achieving agreement and reaching decisions leading to action and implementation and which appear to have relevance for health planning efforts generally now and in the immediate future. The Executive Summary describes each of the major products of this effort, and includes the index to the six volume planning and evaluation manual. Major projects are presented on the following EDC sheets, 0472.1 thru 0472.3. (Executive Summary 28 pages, Evaluation Design, 161 pages.)

DESCRIPTORS: Health Planning, Evaluation by State and Local Governments, Research and Evaluation Planning and Administration, Technical Assistance

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0472 **PROJECT NO:** BHSR 74-24

FUND SOURCE: Evaluation **NTIS NO:** PB 256-297

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HRA 106-74-133

COST (In Thousands): FY 74-\$256, FY 74-\$14

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: July '73 through November '75

1000

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Services Research

PROJECT TITLE: Long-Term Care Reimbursement Experiments:
Evaluation of Experiments in Intermediate Care Facility,
Homemaker and Day Care Services

REPORT TITLE: Not yet determined

PERFORMER: Medicus System Corp.; 625 North Michigan;
Chicago, Illinois 60611; Alan Bruce Steinwald

AGENCY CONTACT: Pellegrino, Julius; Rm 841B, CB2;
301-436-8910

PROJECT DESCRIPTIONS

PROGRAM(S) EVALUATED:

PHS-OASH-Health Services Research

ABSTRACT: Public Law 92-603 section 222b authorizes experiments and demonstrations to determine whether coverage of intermediate care facility services and home-maker services would provide suitable alternatives to post-hospital benefits presently provided under Title XVIII of the Social Security Act and further authorizes the establishment of an experimental program to provide day-care services. Five demonstration experiments were set up by BHSR. The purpose of this study is to evaluate the outcomes of these five demonstration projects. The study will collect data on the projects' activities, on the patients, and the costs involved. The findings of this evaluation will be used in the Secretary's report to the Congress in accordance with the provisions of 962-603. The findings should help determine whether additional or wide scale demonstration should be conducted and should provide insight into developing entitlement and reimbursement legislation and policy.

DESCRIPTORS: Long Term Health Care, Demonstration Projects, Home Health Care, Nursing Homes

EVALUATION TYPE: Program Management and Efficiency

EDC ID NO: 0773

PROJECT NO: BHSR 74-22

FUND SOURCE: Research

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HRA 106-74-159

COST (In Thousands): FY 74-\$479, FY 76.1-\$60, FY 77-\$225

PROJECT STATUS: Study in process

START/END DATES: June '74 through September '77

1001

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Services Research

PROJECT TITLE: Network Health Care Delivery Evaluation Project

REPORT TITLE: Not yet determined

PERFORMER: Department of Medical Care Organization; School of Public Health; University of Michigan; Ann Arbor, Michigan

AGENCY CONTACT: Gibson, Dr.; 301-443-4520

PROGRAM(S) EVALUATED:

PHS-OASH-Health Services Research

ABSTRACT: To determine the viability of "Networks" as a mode for the delivery of health care services and to answer the following questions: (1) Can the "Network" models be readily multiplied and what are the implications for other systems such as HMO's; (2) What is the performance of "Networks" in terms of their costs, utilization patterns and quality of services as compared to other systems of care? What do patients think about the services provided by this new system?; (3) Can the differences if there are any related to performance, be linked to the community, organizational and delivery and management variables? "Networks" are time-limited Federal subsidies to existing private sector delivery units, both hospital and ambulatory, to increase accessibility and reduce fragmentation and cost, i.e. HMO-like approaches. (To be completed)

DESCRIPTORS: Health Care Delivery Systems, Demonstration Projects, Health Maintenance Organizations, Health Insurance and Financing

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0769
FUND SOURCE: Evaluation
MECHANISM: Grant
GRANT NO: OEO-51517-E-73-02
COST (In Thousands): FY 73-\$298, FY 74-\$1838, FY 75-Not available
PROJECT STATUS: Study in process
START/END DATES: June '74 through June '78

PROJECT NO: BHSR 74-10
NTIS NO: Not yet determined
ERIC NO: Not available

PROJECT STATUS: Study completed,
 Executive summary at EDC,
 Available from NTIS
START/END DATES: June '75 through September '76

1002

AGENCY SPONSOR: Public Health Service
 Office of the Assistant Secretary for Health
 National Center for Health Services Research

PROJECT TITLE: Physician Extender Training and Deployment Study

REPORT TITLE: Nurse Practitioner and Physician Assistant Training and Deployment Study
PERFORMER: System Sciences, Inc.; 4720 Montgomery Lane; Bethesda, Maryland 20014; 301-654-0300
AGENCY CONTACT: Johnson, Joyce; 15A-55, Parklawn; 301-443-4520

PROGRAM(S) EVALUATED:
 PHS-HRA-Health Resources-Health Manpower-Allied Health;
 PHS-HRA-Health Resources-Health Manpower-Health Professions-Institutional Aid;
 PHS-HRA-Health Resources-Health Manpower-Nursing-Institutional Aid

ABSTRACT: Since 1969 HEW has funded over 100 training projects for physician extenders but few hard data studies. A recent GAO report recommended that HEW conduct a study to determine which methods are best suited for producing the most qualified physician extenders in the most efficient and economical manner; and the study required by the Social Security Amendments of 1972 of the most appropriate and equitable level of reimbursement for physician extenders. Objectives of this study were to collect descriptive and comparative data on the selection, applicant/graduate characteristics, problem objectives, training content and structure, deployment, and cost of training nurse practitioners and physician assistants in DHEW-funded programs. Data were collected on 145 projects from HEW files. 44 programs were selected for on-site data collection, covering six categories of training: Nursing Practitioner (NP) Masters, Pediatric NP Certificate, Family NP Certificate, Adult NP Certificate, Physicians Assistants, and Medex. Among the many findings were that curriculum length and course content are greatly diverse both within and among projects, that the majority of projects are poorly informed about their costs but that technical assistance in setting up on-site record keeping could alleviate this, that there is great variation in grant and contract terms and monitoring for similar projects, and that the GAO questions could be answered after further research. (Executive summary 43 pages).

DESCRIPTORS: Physicians, Nursing Manpower, Discretionary and Project Grant Performance, Health Manpower Training, Paraprofessionals, Survey or Questionnaire Feature, Medical Practice, Grant and Contract Management
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0764
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: HRA 230-75-0198
COST (In Thousands): FY 75-\$250, FY 76-\$77

PROJECT NO: NCHSR 75-1
NTIS NO: PB259027
ERIC NO: Not available

1003

AGENCY SPONSOR: Public Health Service
 Office of the Assistant Secretary for Health
 National Center for Health Services Research

PROJECT TITLE: Evaluation of the Experimental Medical Care Review Organization (EMCRO) Program

REPORT TITLE: Report on the Costs of the EMCRO Program
PERFORMER: Arthur D. Little; Acorn Park; Cambridge, Massachusetts 02140
AGENCY CONTACT: Hair, Dr. Feather D.; 301-443-2960
PROGRAM(S) EVALUATED:
 HCFA-Quality Assurance;
 PHS-HRA-Health Resources-Health Services Research

ABSTRACT: The costs incurred by seven projects in the Experimental Medical Care Review Organization (EMCRO) during fiscal years 1972 and 1973 are described. Each EMCRO has embarked upon review activities in a mode that best meets the requirements of its objectives and organizational structure. Variations in the review process within the EMCROs relate to several components: criteria development and refinement, abstracting, computer processing, case review, and review process outcome. The EMCRO experience in the seven projects described has yielded alternative approaches to medical care review, including the development of claims review activities and ambulatory, hospital, and nursing home review. The costs for each of the activities developed by the EMCRO projects are detailed. It is concluded, however, that the unique circumstances in which each of these EMCRO projects developed and operates may limit the broad use of cost data for general review activities. The data do provide insights into the costs of specific medical care review activities. (Final report 66 pages).

DESCRIPTORS: Quality Assurance, Utilization Review, Demonstration Projects, Cost-Effectiveness Analysis Feature, Research and Evaluation Performance

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0585
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: 110-73-526
COST (In Thousands): FY 73-Not available, FY 74-\$287

PROJECT STATUS: Study completed,
 Executive summary at EDC,
 Available from NTIS

START/END DATES: June '73 through August '74

1004

AGENCY SPONSOR: Public Health Service
 Office of the Assistant Secretary for Health
 National Center for Health Services Research

PROJECT TITLE: Medicaid Study--Public Spending for Personal Medical Care: An Analysis of Utilization, Cost, and Quality Care

REPORT TITLE: A Study of Selected Medicaid Programs: An Analysis of Utilization, Cost and Quality

**Public Health Service
Office of the Assistant Secretary for Health**

Accession No. 1006

PERFORMER: Applied Management Sciences; 962 Wayne Av.;
Suite 701; Silver Spring, Md. 20910

AGENCY CONTACT: Jordan, Barbara C.; 301-436-8970

PROGRAM(S) EVALUATED:
PHS-OASH-Health Services Research;
HCFA-Medicaid-Basic Care

ABSTRACT: This was a pilot study to determine feasibility of systematic data collection and analysis of the provider-related factors which influence the cost, utilization and quality of services being provided under the Medicaid program. The primary objectives of the study were to identify, measure, and evaluate the measures associated with providers of service in selected Medicaid programs as they relate to cost and utilization. Some of the findings of the study were that the proportions of whites eligible vary from three to six percent while the proportions of blacks eligible vary from 24 percent to 38 percent. OAA, AB, and APTD are generally white, reflecting the racial composition of the general population; AFDC eligibles are almost 50% black. In the three pilot states (Connecticut, New Jersey and Indiana), the expenses for hospital-based care is very high relative to the national average of \$170 per year. Private physician expenses under the Medicaid systems of these States are very low. For inpatient hospitals, the admissions per person-year of eligibility are comparable in all States and the average lengths of stay vary by only 1.5 days.

DESCRIPTORS: Health Care Delivery Systems, Health Insurance and Financing, Physicians

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0770 **PROJECT NO:** BHRS-74-21

FUND SOURCE: Research **NTIS NO:** PB 248-867/SET

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 106-74-145

COST (In Thousands): FY 74-\$260, FY 76-\$15

PROJECT STATUS: Study completed,
Available from NTIS

START/END DATES: June '74 through June '75

1005

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
National Center for Health Services Research

PROJECT TITLE: Evaluation of the Emergency Medical Services
Demonstration Projects

REPORT TITLE: 1) Impact Evaluation of EMS Projects, 2)
Methodological Study in EMS Evaluation, 3) Cost Funding
and Reporting System

PERFORMER: Geomet, Inc.; 15 Firstfield Rd.; Gaithersburg, MD
20760; Isadore Enger

AGENCY CONTACT: Anderson, Arne; Rm 8-41B, CB2;
301-436-8910

PROGRAM(S) EVALUATED:
PHS-OASH-Health Services Research

ABSTRACT: This effort set out to provide evaluative services for five federally funded emergency service demonstrations that were at various stages of development. The study proposed to perform an emergency medical service system patient outcome evaluation at each site, conduct cross site comparisons of system performance, and perform a cost analysis of the emergency medical services systems. It was anticipated that this study would be extremely difficult to conduct. The study was not able to prove or disapprove the applicability of the methodology for evaluating patient outcome, and there was inadequate data available from the demonstration sites for the cross site analysis. The methodology developed for cost finding at the sites was a useful product in its ability to compare sites by various components of EMS costs.

DESCRIPTORS: Emergency Medical Care, Health Care Delivery Systems, Demonstration Projects

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0768 **PROJECT NO:** BHRS 74-5

FUND SOURCE: Research **NTIS NO:** PB 247-435

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 106-74-1

COST (In Thousands): FY 74-\$960, FY 75-\$6

PROJECT STATUS: Study completed,
Available from NTIS

START/END DATES: February '74 through December '75

Other OASH Offices

1006

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: Analysis of Barriers to Successful HMO
Development

REPORT TITLE: Analysis of Barriers to Successful HMO
Development

PERFORMER: General Research Corp; McLean, Virginia; Lubalin,
James

AGENCY CONTACT:

PROGRAM(S) EVALUATED:
PHS-OASH-Health Maintenance Organizations

ABSTRACT: This document consists of an analysis of the barriers to implementing HSA's Health Maintenance Organization (HMO) Program. The document includes four chapters and four appendices. The introduction describes the background and purpose of the study, the study objectives, and the literature review performed for the study. The Methodology chapter describes four distinct data collection activities. Types of data collected include comments on HMO regulations; nonapplicant feedback, a limited number of interviews; and an application rejection review. The major finding contained in the Results chapter is that cost competitive factors represent the major barriers to HMO development. The conclusions and Recommendations section contains key suggestions for modifying the HMO statutes and for program administration. (Final report 150 pages.)

DESCRIPTORS: Health Maintenance Organizations, Research and Evaluation Performance, Statistical Data Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0859 **PROJECT NO:** HSA (C) 74-7, 13

FUND SOURCE: Evaluation **NTIS NO:** PB 243-715

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HSA-105-74-10

COST (In Thousands): FY 71-\$22

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: February '75 through March '75

1007

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: Analysis of Newark HMO Project

REPORT TITLE: Not yet determined
PERFORMER: American Technical Assistance Corporation; 7655
Old Springhouse Rd, McLean, Virginia 22101
AGENCY CONTACT: Not yet determined
PROGRAM(S) EVALUATED:
PHS-OASH-Health Maintenance Organizations

ABSTRACT: (To be written)

DESCRIPTORS: Health Maintenance Organizations
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0856 **PROJECT NO:** HSA(C) 74-7 Task 4

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HSA-105-74-10
COST (In Thousands): FY 74-\$55
PROJECT STATUS: Study in process
START/END DATES: February '74 through December '74

1008

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: Assistance in Development of an Evaluation
Strategy for HMO programs

REPORT TITLE: Not yet determined
PERFORMER: American Technical Assistance Corp.; 7655 Old
Springhouse Road; McLean, Virginia 22101
AGENCY CONTACT: Not yet determined
PROGRAM(S) EVALUATED:
PHS-OASH-Health Maintenance Organizations

ABSTRACT: To be written.

DESCRIPTORS: Health Maintenance Organizations
EVALUATION TYPE: Support

EDC ID NO: 0858 **PROJECT NO:** HSA (c) 74-7, Task 7

FUND SOURCE: Evaluation **NTIS NO:** PR 240-635
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSA-105-74-10
COST (In Thousands): FY 74-\$13
PROJECT STATUS: Study completed,
Available from NTIS
START/END DATES: August '74 through October '74

1009

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: Assistance in Responding to Project and Regional
Office Criticisms of HMO Site Assessment Reports

REPORT TITLE: Not yet determined
PERFORMER: American Technical Assistance Corp.; 7655 Old
Springhouse Road; McLean, Va. 22101
AGENCY CONTACT: Not yet determined
PROGRAM(S) EVALUATED:
PHS-OASH-Health Maintenance Organizations

ABSTRACT: (To be written) FIRST C9/*

DESCRIPTORS: Health Maintenance Organizations, Technical Assist-
ance, Case or Site Study Feature
EVALUATION TYPE: Support

EDC ID NO: 0860 **PROJECT NO:** HSA (C) 74-7, Task 8

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HSA-105-74-10
COST (In Thousands): FY 75-\$5
PROJECT STATUS: Study completed
START/END DATES: September '74 through December '75

1010

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: Selected Assessment of State Utilization of the
Association of State and Territorial Health Officials-Health
Program Reporting System

REPORT TITLE: ASTHO Health Program Reporting System: A
Selected Assessment of State Level Utilization
PERFORMER: Wayne A. Kimmel; 1245 Fourth Street;
Washington, D.C. 20004; 202-299-7396
AGENCY CONTACT: Williams, L.; 301-443-3175
PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Statistics

ABSTRACT: The ASTHO-HPRS health data collection program collected information of concurrent utility to the Public Health Service and the several States, which represent the original data source. This project, based on site visits to five States, assessed the actual use that States have made of the ASTHO-HPRS data and expected future uses which these data might serve, and difficulties with the data which may serve to limit their utilization. Recommendations for improving the data base, so that it can be of greater assistance to State users' were made.

DESCRIPTORS: Statistical Data Feature, Information Systems, Informa-
tion Dissemination, Health Planning, Morbidity and Mortality
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0526 **PROJECT NO:** OASH/OPDP 75-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 282-75-0384GS
COST (In Thousands): FY 75-\$10
PROJECT STATUS: Study completed
START/END DATES: March '75 through June '75

1011

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: Short-Term Evaluation Assistance to HSMHA-Comparative Analysis of MEDX Evaluation Data

REPORT TITLE: Comparative Analysis of MEDEX Evaluation Data

PERFORMER: TransCentury Corporation; 1789 Columbia Road, N.W.; Washington, D.C. 20009

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-OASH-Special Health Programs;
PHS-HRA-Health Resources-Health Manpower-Allied Health;
PHS-OASH-Health Services Research

ABSTRACT: This project was intended to develop information on the performance of the MEDEX program, a major attempt to expand the use of physicians extenders. HEW encouraged each local demonstration project to fund independent evaluation research. The study found, based on a review of a large number of studies conducted at three sites, that useful, valid, comparable data had not been produced. Problems identified included loss of original data and methodologies; failure of most studies to answer important questions such as which services were actually provided or costs of MEDEX training; lack of comparable research designs; uneven quality of data collection and research; too small samples; lack of control groups; and failure to focus on policy questions. As a result generalizations about MEDEX are generally only possible on an anecdotal, judgemental basis. The study recommends that in the future HEW use either central evaluations or centrally-controlled local evaluation to obtain useful data. This is one of a series of short term projects, funding data is found on EDC 0494. (Final report 78 pp.)

DESCRIPTORS: Medical Training, Research and Evaluation Performance, Evaluation by State and Local Governments, Paraprofessionals, Demonstration Projects

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0473

PROJECT NO: HSMHA 73-13-b

FUND SOURCE: Evaluation

NTIS NO: PB 246-719

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-110-73-353

COST (In Thousands): FY 73-Not available

PROJECT STATUS: Study completed,

Available from EDC,

Available from NTIS

START/END DATES: March '73 through May '74

1012

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: Long Term Care Shortage Area Criteria

REPORT TITLE: Criteria to Determine Indicators of Long-Term Care Shortage Areas

PERFORMER: Policy Analysis, Inc; 1557 Beacon Street; Cleveland Circle Center; Boston, Massachusetts 02146

AGENCY CONTACT: Harper, Bernice; Rm 11A-33 PKLN, 301-443-1376

PROGRAM(S) EVALUATED:

PHS-OASH-Special Health Programs

ABSTRACT: Since 1970 the demands for program resources have increased to an extent that DLTC requires a methodology that will rank long-term care health needs. The long range goal of this project is to develop indicators which can be used to identify Long Term Care Shortage areas on a geographical basis. These indicators can

then be used to guide the allocation of limited Federal resources to geographical areas in order to build the capacity of local long term care delivery networks to respond to area needs. A conceptual framework is developed which outlines long term care needs and resources in terms of national trends and professional judgements regarding optimal long term care resources. A literature search was undertaken to identify the long term care population in terms of perspective demographic characteristics, chronic disease prevalence, and disability rates. A two step methodology is proposed: (1) Step one using gross indicators taken from national data to identify potential Long Term Care shortage area on a national basis and (2) refining these gross national indicators by specifying the type of need which exists and the type of resources required. This two step methodology has the advantage of providing the most accurate data in terms of both the needs and the resources for long term care, and at the same time, involving the HSA at an early stage in the planning process.

DESCRIPTORS: Long Term Health Care, Urban or Rural Places or Problems, Literature Review Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1041

PROJECT NO: OA 77-202

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 230-76-0263 Task 1

COST (In Thousands): FY 77-\$9

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: June '77 through September '77

1013

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: Development of Protocol for Evaluation of Qualified HMOs

REPORT TITLE: Development of Protocol for Evaluation of Qualified Health Maintenance Organization

PERFORMER: CDP Associates; 7315 Wisconsin Ave.; Bethesda, Md. 20014

AGENCY CONTACT: Christoffel, William; Rm 14-43 Parklawn; 301-443-3354

PROGRAM(S) EVALUATED:

PHS-OASH Health Maintenance Organizations

ABSTRACT: The purpose of this study is to assist HSA in determining how data relevant to HMO and comparable fee for service operations can best be gathered to support a comparative analysis of HMOs and non-HMO systems of health care as mandated by Section 1315 of P.L. 93-222. Four major objectives of this study are identification of variables to be utilized in a comparative analysis; development of a protocol for carrying out the analysis; identification of sites which possess data required to pilot test the protocol; and analysis and review of the pilot test results. This study will add to the body of knowledge for the impact of pre-payment on health services, which is particularly important as a base for evaluating strategies for national health insurance legislation and implementation. The techniques developed through this study will be applied to P.L. 93-222 qualified organizations as soon as their collective operating experience permits. The study will also contribute to the annual HEW report to Congress.

DESCRIPTORS: Health Maintenance Organizations, Research and Evaluation Methods Feature, Health Care Delivery Systems

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1384
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: 240-76-0069
COST (In Thousands): FY 76-\$50
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC
START/END DATES: June '76 through May '77

PROJECT NO: BMS 76-1
NTIS NO: Not yet determined
ERIC NO: Not available

1014

AGENCY SPONSOR: Public Health Service
 Office of the Assistant Secretary for Health
 Other OASH Offices

PROJECT TITLE: Effectiveness of the HMOs Monitoring and
 Technical Assistance Program

REPORT TITLE: Not yet determined
PERFORMER: Kappa Systems, Inc.; 1815 N. Fort Meyer Drive;
 Arlington, Va. 222

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
 PHS-OASH-Health Maintenance Organizations

ABSTRACT: To evaluate the adequacy of the HMOs monitoring and
 technical assistance for HMOs. Problems and obstacles to program
 implementation will be identified.

DESCRIPTORS: Health Maintenance Organizations, Management In-
 formation and Reporting

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0872
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: HSM-110-72-139
COST (In Thousands): FY 72-\$57
PROJECT STATUS: Study in process
START/END DATES: June '72 through June '73

PROJECT NO: HMOS 72-1
NTIS NO: Not yet determined
ERIC NO: Not available

1015

AGENCY SPONSOR: Public Health Service
 Office of the Assistant Secretary for Health
 Other OASH Offices

PROJECT TITLE: Evaluation of Accomplishments of Selected HMO
 Funded Projects

REPORT TITLE: Evaluation of Accomplishments of Selected HMO
 Funded Projects

PERFORMER: General Research Corporation; McLean, Virginia

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
 PHS-OASH-Health Maintenance Organizations

ABSTRACT: This study was designed to assess the performance of
 selected HMO projects with a view to determining factors that have
 been associated with success or failure. A sample of 22 HMO projects
 was selected using the following criteria: type of sponsorship; current
 funding and service delivery status; geographic distribution; recency
 of refunding of active grants; and amount of total grant award. A team
 of six health professionals studied all information available in Central
 Office and the ten DHEW Regional Offices on these 22 projects and
 made site visits to secure additional information and documentation
 of accomplishments wherever possible. Conditions for success or

failure development: marketing financing, administration, and medi-
 cal organization. Success factors included having a target population
 of 200,000 or more; competitive benefits packages; employer/buyer
 representation on governing board; full-time experienced executive,
 marketing, and finance directors; and prior existence of medical
 group practice in community. (Final report 243 page)

DESCRIPTORS: Health Care Delivery Systems, Research and Evalua-
 tion Performance, Management Information and Reporting,
EVALUATION TYPE: Program Impact

EDC ID NO: 0855
PROJECT NO: HSA (C) 74-7 Task

FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: HSA-105-74-10

COST (In Thousands): FY 74-\$55

PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS

START/END DATES: February '74 through December '74

1016

AGENCY SPONSOR: Public Health Service
 Office of the Assistant Secretary for Health
 Other OASH Offices

PROJECT TITLE: Evaluation of the Performance and Effectiveness
 of Quality Assurance Mechanisms in Prepaid Settings

REPORT TITLE: Not yet determined
PERFORMER: Johns Hopkins University; Baltimore, Md.
AGENCY CONTACT: Thorner, B. 301-443-3507
PROGRAM(S) EVALUATED:
 PHS-OASH-Health Maintenance Organizations

ABSTRACT: The objective of this project is to obtain the information
 required to describe fully the present status of QUARM in HMOS
 from the standpoint of the systems that are in effect, the problems
 HMOs have in developing and operationalizing QUARMs, staff and
 management attitude toward QUARMs, and cost incurred or an-
 ticipated by HMOs in establishing data systems.

DESCRIPTORS:
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0873
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: HSM-110-73-435

COST (In Thousands): FY 73-\$75
PROJECT STATUS: Study in process
START/END DATES: June '73 through Unknown start date

1017

AGENCY SPONSOR: Public Health Service
 Office of the Assistant Secretary for Health
 Other OASH Offices

PROJECT TITLE: University Feasibility Contract Funded
 Competition

REPORT TITLE: Health Evaluation Program Feasibility Study,
 UCLA

PERFORMER: Lester Breslow, M.D.; Department of Preventive and Social Medicine; School of Medicine; Univ. of Calif.; Los Angeles, CA 90024

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower-Special Education Programs;

ABSTRACT: This is a report of a feasibility study of a long-term relationship between HSMHA and UCLA for the purpose of health evaluation. The report includes four volumes covering the technical aspects of the proposed program, the organization thereof, the cost analysis, and appendices A through G, which include correspondence, course descriptions, faculty biographies and health care and field site classifications. (Report 4 volumes - 236 pages)

DESCRIPTORS: Feasibility Study Feature, Research and Evaluation Planning and Administration, Health Planning, Quality Assurance, Health Manpower Training, Higher Education, Health Care Delivery Systems

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0332

PROJECT NO: HSMHA 71-7

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM 110-71-175

COST (In Thousands): FY 71-\$71

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: July '70 through March '72

1018

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: HMO Cost Study

REPORT TITLE: Health Maintenance Organization Cost Study

PERFORMER: General Research Corporation; Westgate Research Park; McLean, Virginia

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-OASH-Health Maintenance Organizations

ABSTRACT: This study provided a cost analysis of the HMO benefits package as defined by the Health Maintenance Organization Act of 1973 (P.L. 93-222) and related HEW draft regulations in a sample of HMOs of the independent practice association types. Data from a wide variety of existing HMOs and actuarial consultants were collected, reviewed, and analyzed. From the foregoing data, cost estimating models were synthesized and used to estimate the costs for services required under P.L. 93-222 draft regulations. The models were applied to produce an estimated HMO monthly premium cost for the average individual and the average family in the United States.

DESCRIPTORS: Cost-Effectiveness Analysis Feature, Health Maintenance Organizations

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0854

PROJECT NO: HSA (c) 74-7 Task 2

FUND SOURCE: Evaluation

NTIS NO: PB 243-069

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSA-105-74-10

COST (In Thousands): FY 74-\$13

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: February '74 through April '74

1019

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: Consumer Complaint Mechanisms in Selected HMOs

REPORT TITLE: HMO Consumer Complaint Mechanisms - Three Volumes

PERFORMER: Koba Associates, Inc.; 1133 Fifteenth Street, N.W.; Suite 508; Washington, D.C. 20005

AGENCY CONTACT: Abrams, R.

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Health Maintenance Organizations Loans & Guarantees

ABSTRACT: This study is a review and inventory of consumer complaint mechanisms in an effort to describe and assess the process whereby complaints are received and resolved. Findings are based on information gathered from eight on-site case studies and are presented in a series of individual reports. Each report covers in detail the nature of the complaint mechanisms, types of complaints received, and methods for handling and resolving complaints. While the mechanisms described are not necessarily the best systems, they are descriptive of the existing types of systems. Included in the Executive Summary is a more precise and detailed report of the information contained in Volumes I and II. (Final report 330 pages).

DESCRIPTORS: Case or Site Study Feature, Survey or Questionnaire Feature, Consumer Evaluation Feature, Health Maintenance Organizations, Health Care Delivery Systems, Comprehensive Health Care, Quality Assurance

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0391

PROJECT NO: HMOS 73-2

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-110-73-439

COST (In Thousands): FY73-\$46

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: June '73 through September '74

1021

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: Management and Manpower Study of Health Maintenance Organization (HMO) Activities in HSA

REPORT TITLE: Management and Manpower Study of Health Maintenance Organization (HMO) Activities in HSA

PERFORMER: Macro Systems, Inc.; 1110 Fidler Lane; Silver Spring, Md. 21910

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS OASH-Health Maintenance Organization

ABSTRACT: This document delineates the study conducted in FY 75 with regard to management and manpower in the Health Maintenance Organizations, HSA, PHS/DHEW. The document consists of 3 chapters and 14 exhibits; it includes major findings and major recommendations. Specifically, the study was initiated to resolve key management and manpower issues relative to the HMO program and to review FY 75 manpower allocations and FY 76 needs in CO and RO. Major findings were relative to projected HMO program workloads manpower needs; organizational responsibilities; and staffing patterns. Major recommendations described suggested methods for resolving the disclosed issues and problems. The 14 exhibits represented both historical and recommended numerical data regarding actual and projected work distribution and staffing in support of the findings and recommendations. (Final report 30 pages.)

DESCRIPTORS: Health Maintenance Organizations, Administrative Personnel, Management Techniques

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0831

PROJECT NO: HSA(C) 74-3

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSA-105-74-6

COST (In Thousands): FY 74-Not available

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: December '74 through February '75

1022

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: An Evaluation of Regional Office Technical Assistance Effort

REPORT TITLE: A Management Plan for the Regional Office Technical Assistance Effort

PERFORMER: Macro Systems; 1110 Fidler Lane; Silver Spring, Maryland 20910

AGENCY CONTACT: Massey, M.

PROGRAM(S) EVALUATED:

PHS-OASH-Health Services Research;

PHS-OASH-Assistant Secretary for Health-Program Direction

ABSTRACT: This report is a task force compilation created to examine at the central and regional levels of the technical assistance function and to recommend ways in which it might be strengthened; also there is a general review and evaluation, and a projection on how much future planning is needed. More systematic and detailed planning of the technical assistance function, appointment of regional technical assistance coordinators, and a distinction between technical assistance and grants management were recommended. (Final report 102 pages)

DESCRIPTORS: Survey or Questionnaire Feature, Federal Administration, Technical Assistance, Planning

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0394

PROJECT NO: HSMHA 73-4/5

FUND SOURCE: Evaluation

NTIS NO: PB 245-675

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-110-73-424

COST (In Thousands): FY 73-570

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '73 through May '74

1023

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: Management Reporting and Evaluation System

REPORT TITLE: Management Reporting and Evaluation System

PERFORMER: Dr. Donald Sparkman; Washington/Alaska
Regional Medical; 500 University District Bldg; Seattle, Washington 98105

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-OASH-Assistant Secretary for Health-Program Direction

ABSTRACT: The Management Reporting and Evaluation System provides a pattern for the accumulation and storage of information, thereby assisting the administrator in making valid decisions through the generation of easily interpreted reports. The kind of information that can be used or recorded by the organization is not limited—the system was designed for flexibility. Time and data requirements within the system are based upon the internal needs of the regional medical programs and the requirements of the Regional Medical Program Service. The system is merely a mechanism for satisfying these requirements as efficiently as possible. It works by arranging projects and program according to internally formulated goals and objectives, then linking these interrelated goals and activities through a series of distinct processes. This procedure generates an organizational structure with detailed monitoring and evaluation data capability. The method of defining an organization according to objectives and the grouping of administrative procedures into modular processes was chosen because of the nature and purpose of Regional Medical Programs. Regional Medical Programs align their efforts with nationally and regionally defined health needs, so that the programs are initially organized around humanitarian aims rather than productivity projections. These aims are the basis for the goals and the objectives of Regional Medical Programs.

DESCRIPTORS: Management Information and Reporting, Information Processing

EVALUATION TYPE: Support

EDC ID NO: 0348

PROJECT NO: RMPS 71-1

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HSM-110-71-88

COST (In Thousands): FY 71-\$80

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: June '70 through May '71

1024

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: Short-Term Evaluations Assistance to
HSMHA-Nursing Home Ombudsmen: Five Case Studies

Public Health Service
Office of the Assistant Secretary for Health

Accession No. 1026

REPORT TITLE: Nursing Home Ombudsmen: Five Case Studies
PERFORMER: TransCentury Corporation; 1789 Columbia Road;
Washington, D.C. 20009; William Weissert
AGENCY CONTACT: Davis C.; 301-443-1927
PROGRAM(S) EVALUATED:
PHS-OASH-Special Health Programs

ABSTRACT: This quick study evaluated five demonstration projects involving ombudsmen-like approaches to improving the quality of care in nursing homes. After a national solution, four states and the National Council of Senior Citizens received awards totalling over \$600K in the first year. The projects all involved complicated models including publicity campaigns, volunteers, etc. The HEW designed National Council project and several of the state projects were found to be near total failures--allow in getting started, failing to develop publicity, friction among staff levels, miniscule activity levels at high cost, an emphasis on litigation undercutting volunteer efforts, etc. However, in one or two states, projects were more reasonably successful. No information on actual achievements in reducing problems or improving quality of care was developed. A total of some 1,200 complaints from patients or others were received, of which a much smaller number were found to be "serious" and "resolved". The projects were so variable in sta quality and situational factors that HEW staff hopes for identifying good models were not met. The financing for this project is shown under EDC 0494. (Final report 255 pp.)

DESCRIPTORS: Case or Site Study Feature, Nursing Homes, State or Local Administration, Citizen Participation, Long Term Health Care, Demonstration Projects, Voluntary Action
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0474 **PROJECT NO:** HSMHA-73-13C
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSM 110-73-353
COST (In Thousands): FY 73-Not available
PROJECT STATUS: Study completed,
Executive summary at EDC,
Available from EDC
START/END DATES: March '73 through August '73

1025

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: Assessment of the Policy Analysis and Evaluation
Research Capabilities of External Resource Organizations in
the Health Policy Field

REPORT TITLE: Policy Analysis: Assessing Institutional Capabilities
PERFORMER: Lazar Institute; 1901 N. Moore Street; Suite 801;
Arlington, Virginia 22209
AGENCY CONTACT: Williams, L.
PROGRAM(S) EVALUATED:
PHS-OASH-Assistant Secretary for Health-Program
Direction;
V-Many HEW Programs-Planning & Evaluation

ABSTRACT: This study developed and tested a systematic approach for assessing the capabilities of organizations which conduct health policy analysis. The study also explored broader issues related to improving HEW's "institutional memory" and agency to outside experts and research resources. Ten case studies testing the method are documented in Appendix A. Findings are that problem of absence of an institutional memory within HEW has not been resolved and may grow more serious in the future.

DESCRIPTORS: Case or Site Study Feature, Research and Evaluation
Methods Feature, Bureaucratic Behavior, Grant and Contract Management, Health Planning
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0395 **PROJECT NO:** OASH 74-10
FUND SOURCE: Evaluation **NTIS NO:** PB 246-366
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: ASH 74-273
COST (In Thousands): FY 74-\$39
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: May '74 through December '74

1026

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: Evaluation of Prospects for Rural HMO
Development

REPORT TITLE: Potential Impact of the Health Maintenance
Organization Act of 1973 or the Development of Rural
HMO

PERFORMER: Health Applications Systems; McLean Virginia
AGENCY CONTACT: Not yet determined
PROGRAM(S) EVALUATED:
PHS-OASH-Health Maintenance Organizations

ABSTRACT: This study describes rural HMOs, suggests how P.L. 93-222 might affect the development of rural HMOs, and makes recommendations concerning the funding of HMOs. The study contains two volumes: (1) Analysis and Recommendations and (2) Field Visit Documentation. Operational data from 17 rural HMOs was analyzed with respect to functional characteristics, such as organizational structure, benefits package marketing, etc. The study suggests that rural HMOs restructure existing resources rather than create additional capacity. The study also indicates that P.L. 93-222 will not necessarily foster the growth of HMOs in rural, medically underserved areas. In fact, the legislation and accompanying regulations may create delivery systems that are noncompetitively priced in comparison to existing indemnity plans. In short, the study cautions against unrealistic expectations concerning the number and type of rural HMOs that will result from current HMO legislation. (Final report Vol. I 95 pages, Vol II 157 pages.)

DESCRIPTORS: Health Maintenance Organizations, Urban or Rural
Places or Problems
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0857 **PROJECT NO:** HSA (C) 74-7, Task
6
FUND SOURCE: Evaluation **NTIS NO:** PB 243-716,717
MECHANISM: Contract **ERIC NO:**
CONTRACT NO: HSA-105-74-10
COST (In Thousands): FY 75-\$39
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: August '74 through April '75

1027

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: A Preliminary Analysis of the HMO Qualification Process

REPORT TITLE: A Preliminary Analysis of the HMO Qualification Process

PERFORMER: Office of Analysis; Health Services Administration; Rockville, Md.

AGENCY CONTACT: McMahon, N. 301-594-7298

PROGRAM(S) EVALUATED:
PHS-OASH-Health Maintenance Organizations

ABSTRACT: The primary objective of this report was to identify and analyze those circumstances that are emerging as significant obstacles to qualification for many organizations. The study found that applicants are experiencing significant problems in two major areas: 1) documentation of an appropriate legal organization for the HMO entity, including arrangements with providers; and, 2) documentation of a fiscally sound operation. A case history for each applicant for qualification was compiled and analyzed. The problems in each application were categorized as either areas of non-compliance or areas of ambiguity/omission. Findings indicate that pre-qualification technical assistance is required by applicant organizations both in organizing the HMO as a legal entity to meet the requirements of this Act. The function of providing pre-qualification technical assistance is not being fully carried out at the Regional Office level.

DESCRIPTORS: Health Maintenance Organizations, Eligibility Issues, Research and Evaluation Methods Feature, Statistical Analysis Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0810 **PROJECT NO:** HSA (OA) 75-3

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: EDC Only
ERIC NO: Not available

MECHANISM: In-house

CONTRACT NO: Not available

COST (In Thousands): FY 76-Not available

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: April '75 through July '75

1028

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: Short-Term Evaluation Assistance to HSMHA Programs-Preventive Care for Mothers and Infants

REPORT TITLE: Preventive Care for Mothers, Infants, Children and Youth: A Literature Search and Recommendations for Further Research

PERFORMER: Trans Century Corporation; 1789 Columbia Road, N.W.; Washington, D.C. 20009

AGENCY CONTACT: Davis, C.; 301-443-1927

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-Maternal & Child Health;
HCFA-Medicaid-EPSDT

ABSTRACT: A search of the literature on preventive care for mothers, infants, children, and youth was conducted to provide information to guide policy research into the probable impact of National Health

Insurance coverage on preventive care. The literature search was divided into two categories: cost/benefit and effectiveness literature and utilization literature. Findings revealed that few studies produce economic estimates of benefits and costs of alternative intervention strategies and that methodologies differ radically from one study to another. However, in some areas, particularly immunization, programs have been shown to be highly cost effective. It is recommended that an analysis of extant and new data from existing Federally funded programs be undertaken in order to determine if preventive health care for mothers, infants, children, and youth should be approved for reimbursement under National Health Insurance (Final report 354 pages).

DESCRIPTORS: Communicable Disease, Infants, Children and Youth, Preventive Health Care, Maternal and Child Health, Literature Review Feature, Health Insurance and Financing, Women, Research and Evaluation Planning and Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0494 **PROJECT NO:** HSMHA 73-13

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HSM-110-73-353

COST (In Thousands): FY 73-\$25, FY 74-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: March '73 through March '75

1029

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: Preventive Health Care Strategies for Health Maintenance Organizations

REPORT TITLE: Preventive Health Care Strategies for Health Maintenance Organizations

PERFORMER: American Health Foundation; 1370 Avenue of the Americas; New York, N.Y. 10019

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-CDC-Preventive Health;
PHS-OASH-Health Maintenance Organizations

ABSTRACT: This report reviews the more important medical area in which preventive medicine can or has played a significant role, and indicates how preventive medicine can be utilized within the organization framework of a Health Maintenance Organization. (Final Report 268 pages.)

DESCRIPTORS: Literature Review Feature, Survey or Questionnaire Feature, Health Maintenance Organizations, Preventive Health Care, Comprehensive Health Care

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0361 **PROJECT NO:** HMOS-73-5

FUND SOURCE: Evaluation **NTIS NO:** PB 245-871

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HSM-110-73-391

COST (In Thousands): FY 73-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: April '73 through August '74

1030

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: Study of Factors Contributing to Outstanding
Achievement in Public Health Administration

REPORT TITLE: The Role of the Health Services Administrator
PERFORMER: Assoc. of Univ. Programs in Hospital
Administration; One Dupont Circle-Suite 420; Washington,
D.C. 20036

AGENCY CONTACT: McTigue, James; 301-443-2220

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services;
PHS-HSA-Health Services-Program Direction &
Management

ABSTRACT: This report is a comparative study of executive roles in health service delivery organizations. It analyzes the role of the health service administrator and identifies the key managerial skills required in its performance. The study also indicates the best sources for obtaining the requisite skills. The report raises, but does not answer, the broader questions of knowledge versus personal values and of training versus placement in determining success. (Final Report 45 pages.)

DESCRIPTORS: Survey or Questionnaire Feature, Administrative Personnel, Management Techniques, Health Care Delivery Systems, Health Manpower Other, Health Planning
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0357 **PROJECT NO:** HSMHA 73-9
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSM-110-73-437
COST (In Thousands): FY 73-\$16
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: June '73 through June '74

1031

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: Survey the Delivery of Health Care to Women
and Infants of the Indian Community Served by the Indian
Health Service

REPORT TITLE: Study of Maternal and Infant Health Care of
Indian Native Alaskan Americans

PERFORMER: The American Academy of Pediatrics; The
American College of Obstetricians and Gynecologists; One
East Wacker Drive; Chicago, Illinois 60601

AGENCY CONTACT: Tytla

PROGRAM(S) EVALUATED:
PHS-HSA-Indian Health;
PHS-HSA-Health Services-Community-Maternal & Child
Health

ABSTRACT: The purpose of this report is to comment on the adequacy of health care provided to the women and infants of the Indian communities served by the Indian Health Service and to suggest certain recommendations which may be helpful in setting priorities and future goals for the IHS. Personnel recruitment and retention are cited as the most significant problem and suggestions for reducing turnover (e.g., revising the salary structure, loan forgiveness) and increasing recruitment (e.g., corporate recruiting loan recruiting incentives) are advanced. (Final Report 140 pages.)

DESCRIPTORS: Survey or Questionnaire Feature, Health Care Delivery Systems, Maternal and Child Health, Native Americans, Infants, Research and Evaluation Performance
EVALUATION TYPE: Program Impact

EDC ID NO: 0364 **PROJECT NO:** HSMHA 73-14
FUND SOURCE: Evaluation **NTIS NO:** PB-245-872
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HSM 110-73-456
COST (In Thousands): FY 73-\$36
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: June '73 through July '74

1032

AGENCY SPONSOR: Public Health Service
Office of the Assistant Secretary for Health
Other OASH Offices

PROJECT TITLE: Trends in Sources of Funds for Community
Mental Health Center

REPORT TITLE: Trends in Sources of Funds for Community
Mental Health Centers

PERFORMER: Richard J. Steele; Macro Systems; Silver Springs,
Maryland

AGENCY CONTACT: McTigue, James; 301-443-2220

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: This report drew on 15 case studies of various Community Mental Health Centers to determine how successful they had been in reducing their dependency on the NIMH grants which had been in effect for several years. Descriptive histories of each center, along with personal visits with staff are outlined in the appendices. (Final report 137 pages)

DESCRIPTORS: Case or Site Study Feature, Survey or Questionnaire Feature, Mental Health, Grants-in-Aid, State or Local Government, Federal State and Local Relations, State or Local Administration
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0334 **PROJECT NO:** HSMHA 72-11
FUND SOURCE: Evaluation **Task**
MECHANISM: Contract **NTIS NO:** PB 240-461
CONTRACT NO: HSM-110-72-369 **ERIC NO:** Not available
COST (In Thousands): FY 72-\$459
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: June '72 through May '73

SOCIAL SECURITY ADMINISTRATION

1033

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Age at Death and History of Covered Employment and Entitlement

REPORT TITLE: Not yet determined

PERFORMER: Office of Research and Statistics; SSA

AGENCY CONTACT: Rubin, Leonard; Universal North Bldg; 202-673-5674

PROGRAM(S) EVALUATED:

SSA-Old Age & Survivors Insurance-Benefit Payments-Retirement Insurance

ABSTRACT: Concern has been expressed that black men who pay into the social security system have less chance to draw monthly benefits because they are more likely to die before the earliest possible retirement age of 62. An empirical study of this thesis will be developed. Blacks and whites will be compared for age at death, continuity of covered employment, level of social security taxes paid and history of entitlements to benefits under the OASDHI benefit programs. Future entitlement status, benefit amounts, and covered employment of the survivors of recently deceased workers will be the subject of reports using data extracted from MBR and SER records.

DESCRIPTORS: Statistical Data Feature, Black Americans, Pensions, Elderly, Taxation, Client Records, Trends and Forecasts
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1402

PROJECT NO: ORS 75-04 (10500)

FUND SOURCE: Salaries and

Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT/GRANT NO: Not available

COST (In Thousands): FY 75-Not available

PROJECT STATUS: Study completed

START/END DATES: January '75 through December '78

1034

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Analysis of Income, Employment and Resources of SSI Beneficiaries

REPORT TITLE: Not yet determined

PERFORMER: Office of Research and Statistics; SSA

AGENCY CONTACT: Schulowitz, Jack; Rm. 3-A-5, Meadows East Bldg; 301-594-6590

PROGRAM(S) EVALUATED:

SSA-Supplemental Security Income

ABSTRACT: The extent, amount and sources of unearned income, employment and earnings, total income, and resources of SSI beneficiaries (that of ineligible spouses and parents of blind and disabled children) will be studied. The objective of this study is to describe and analyze the income and resource situation of SSI

beneficiaries in terms of overall trends, regional and State differences, and changes as compared with the prior State program. The framework of this study provides for the separate consideration of a number of aspects of income and resources: State and regional patterns of social security benefits and other sources of unearned income; State differences in employment and earnings; resources of beneficiaries; total income of adult beneficiaries including unearned income, earned income, SSI benefits, State supplementation, and total income of blind and disabled child beneficiaries and their beneficiaries.

DESCRIPTORS: Income Maintenance, Low-Income Population, Trends and Forecasts, Client Records

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1425

PROJECT NO: ORS 76-16, RP-102

FUND SOURCE: Salaries and

Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT/GRANT NO: Not available

COST (In Thousands): FY 76-Not available

PROJECT STATUS: Study in process

START/END DATES: January '76 through December '77

1035

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Analysis Based on SSA-RSA Data Link

REPORT TITLE: Various

PERFORMER: Office of Research and Statistics, SSA

AGENCY CONTACT: Greenblum, Joe; Rm. 3-C-2, Meadows East Bldg; 301-594-0315

PROGRAM(S) EVALUATED:

SSA-Disability Insurance-Vocational Rehabilitation Services; HD-Vocational Rehabilitation-Basic State Grants

ABSTRACT: This project is based on a linkage of records of the Social Security Administration and Rehabilitation Services Administration on all disabled persons whose cases were closed by State vocational rehabilitation agencies in FY 1971. It provides a followup system for program evaluation by analyzing the impact of rehabilitation services on (a) subsequent beneficiary experience and (b) subsequent employment and earnings of beneficiaries and applicants. The impact on employment earnings of other VR agency cases were studied and compared with the experience of beneficiaries. The outcome of referral for rehabilitation services of beneficiaries and nonbeneficiaries is also examined. Social, economic, disability, and program characteristics that may affect referral outcome and rehabilitation impact will be analyzed, using both rehabilitation records and the SSA earnings and beneficiary records.

DESCRIPTORS: Handicapping Conditions and Problems, Client Records, Training and Employment of the Disadvantaged
EVALUATION TYPE: Program Impact

EDC ID NO: 1418

PROJECT NO: ORS 76-09, (07219)

FUND SOURCE: Salaries and

Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT/GRANT NO: Not available
COST (In Thousands): FY 76-Not available
PROJECT STATUS: Study completed,
 Available from EDC
START/END DATES: January '76 through December '77

1036

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Analysis of Income Changes Among SSI Recipients, by Source and Amount

REPORT TITLE: Not yet determined

PERFORMER: Office of Research and Statistics, SSA

AGENCY CONTACT: Carter, Gene; Rm. 927 A North Universal Bldg; 202-673-5725

PROGRAM(S) EVALUATED:

SSA-Supplemental Security-Income-Federal Benefit Payments

ABSTRACT: Because of the direct relationship between income and a recipient's eligibility for SSI benefits and the amount of benefit payable, widespread interest has been expressed in the subject of income and income change. This study is expected to answer many specific questions which will arise concerning the income-benefit relationship. The study will be based on data for calendar year 1974 and will focus on the frequency, source, and amount of income received by SSI recipients.

DESCRIPTORS: Income Maintenance, Means Testing, Low-Income Population, Client Records

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1423

PROJECT NO: ORS 76-14 (11230)

FUND SOURCE: Salaries and

Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT/GRANT NO: Not available

COST (In Thousands): FY 76-Not available

PROJECT STATUS: Study in process

START/END DATES: October '78 through June '79

1037

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Analysis of Mobility Among SSI Recipients

REPORT TITLE: Not yet determined

PERFORMER: Office of Research and Statistics; SSA

AGENCY CONTACT: Carter, Gene; Rm. 927A Universal North Bldg; 202-673-5725

PROGRAM(S) EVALUATED:

SSA-Supplemental Security Income

ABSTRACT: The mobility of recipients under the SSI program may be affected by the level of benefits of eligibility of benefits. Such changes may result from all types of mobility, including interstate, in and out of institutions an between households. Particular attention will be paid to changes in benefit levels resulting from changes in institutional status or other living arrangements, changes in ineligibility status resulting from leaving the country or changing State of residence, and the administrative and operational impacts of various types of recipient mobility.

DESCRIPTORS: Income Maintenance, Elderly, Migrants, Deinstitutionalization, Equity of Benefits, Low-Income Population

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1422

PROJECT NO: ORS 76-13 (11230)

FUND SOURCE: Salaries and

Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT/GRANT NO: Not available

COST (In Thousands): FY 76-Not available

PROJECT STATUS: Study in process

START/END DATES: January '78 through June '79

1038

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Analysis of SSI Beneficiary Characteristics and Program Effects

REPORT TITLE: Not yet determined

PERFORMER: Office of Research and Statistics; SSA

PERFORMER: Schmulowitz, Jack; Rm. 3-A-5, Meadows East Bldg; 301-594-6590

PROGRAM(S) EVALUATED:

SSA-Supplemental Security Income-Federal Benefit Payments

ABSTRACT: To provide descriptive information and analysis of significant aspects of the SSI program. Studies will be made using information available from the various SSI statistical data bases as well as material from the study of persons covered from State assistance programs. Topics will include the urban and nonurban distribution of new awards under the SSI program compared with experience under prior state programs; analyzing minority groups; drug addiction and alcoholism; characteristics of blind and disabled beneficiaries; federally administered State supplementation; characteristics of disallowed SSI applicants representative payment; living arrangements of SSI beneficiaries; institutional patterns; and care in domiciliary facilities.

DESCRIPTORS: Pensions, Low-Income Population, Elderly, Minority Groups, State or Local Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1426

PROJECT NO: ORS 76-17, RP-102

FUND SOURCE: Salaries and

Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT/GRANT NO: Not available

COST (In Thousands): FY 76-Not available

PROJECT STATUS: Study in process

START/END DATES: 01 '76 through June '78

1039

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Effects of the Annual Earnings Test for 1973

REPORT TITLE: Beneficiaries Affected by Annual Earnings Test in 1973

PERFORMER: Office of Research and Statistics; SSA

AGENCY CONTACT: Shulman, Harry; 2-D-1, Meadows East Bldg; 301-594-0345

PROGRAM(S) EVALUATED:

SSA-Old Age & Survivors Insurance-Benefit Payments

ABSTRACT: Social Security beneficiaries under age 72 are affected by the earnings test provision of the law if they have income from employment or self-employment in excess of specific monthly and

annual exempt amounts. This article presents some detailed statistical data concerning persons affected by the earnings test in 1973. In 1973, the earnings test provided that benefits were to be withheld at the rate of \$1 for every \$2 in earnings exceeding \$2,100. Benefits were payable, however, for any month in which the entitled individual earned \$175 or less or did not render substantial services in selfemployment, regardless of total earnings during the year. Most of the data are for retired-worker beneficiaries, but limited data on dependent and survivor beneficiaries who had earnings beyond the exempt amounts are also presented. Some major findings were: About one-sixth of all retired workers aged 62-71, roughly 1.4 million, lost some or all of their 1971 benefits because of their earnings. Retired workers affected by the earnings test lost \$2.4 billion in social security benefits—about 68 percent of the \$3.6 billion that would have been payable if there had been no deductions due to earnings. About 110,000 fewer retired workers were affected by the earnings test in 1973 than in 1972. The publication of this data coincided with legislative changes to the earnings test proposed by both the House and Senate.

DESCRIPTORS: Elderly, Pensions, Means Testing, Labor Market Problems

EVALUATION TYPE: Program Impact

EDC ID NO: 1398

PROJECT NO: ORS 74-06, (09233)

FUND SOURCE: Salaries and Expenses

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 74-Not available

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: January '74 through June '77

1040

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Beneficiaries with Dual Entitlement

REPORT TITLE: Not yet determined

PERFORMER: Office of Research and Statistics; SSA

AGENCY CONTACT: Shulman, Harry; 2-D-1 Meadows East Bld; 301-594-0354

PROGRAM(S) EVALUATED:

SSA-Old Age & Survivors Insurance-Benefit Payments

ABSTRACT: Analysis and evaluation of the characteristics of those beneficiaries entitled to two benefits simultaneously (i.e., dually entitled, as of December 1973) will be undertaken. This will include the age, type of primary and secondary benefit, the amount of benefits, and a comparison with those beneficiaries not dually entitled who have the same type of primary or auxiliary benefits. (To be completed upon receipt of final report.)

DESCRIPTORS: Statistical Data Feature, Pensions, Eligibility Issues, Equity of Benefits, Elderly

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1409

PROJECT NO: ORS 75-11 RP-90

FUND SOURCE: Salaries and

Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT/GRANT NO: Not available

COST (In Thousands): FY 75-Not available

PROJECT STATUS: Study completed

START/END DATES: January '75 through September '77

PROJECT DESCRIPTIONS

1041

AGENCY CONTACT: Social Security Administration

PROJECT TITLE: Beneficiary Recovery

REPORT TITLE: Not yet determined

PERFORMER: Office of Research and Statistics; SSA

AGENCY CONTACT: Green, Jerome; Rm. 3-C-2, Meadows East Bldg; 301-594-0410

PROGRAM(S) EVALUATED: SSA-DISABILITY

INSURANCE-VOCATIONAL REHABILITATION SERVICES:

HD-Vocational Rehabilitation-Basic State Grants

ABSTRACT: This study has two objectives: to develop information explaining why the beneficiary recovery rates have been declining in recent years; and to measure the impact of the State rehabilitation programs on beneficiary recovery. In spite of the large referral and reimbursement programs by SSA for rehabilitations of disability beneficiaries, recovery rates have not risen in recent years. Previous ORS studies have focused on the rehabilitated beneficiaries in the trust fund rehabilitation program and what their recovery experience has been. This study will focus on beneficiaries who recover, how their characteristics differ from previous recovery terminations, and the part rehabilitation plays in their recovery.

DESCRIPTORS: Handicapping Conditions and Problems, Client Records, Training and Employment of the Disadvantaged

EVALUATION TYPE: Program Impact

EDC ID NO: 1419

PROJECT NO: ORS 76-10, RP-77

FUND SOURCE: Salaries and

Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT/GRANT NO: Not available

COST (In Thousands): FY 76-Not available

PROJECT STATUS: Study in process

START/END DATES: January '76 through October '77

1042

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Survey of Student Beneficiaries

REPORT TITLE: Characteristics of Student OASDI Beneficiaries in 1973: An Overview

PERFORMER: Office of Research and Statistics; SSA

AGENCY CONTACT: Finegar, Wayne; Universal North Bldg.; 202-673-5640

PROGRAM(S) EVALUATED:

SSA-Old Age & Survivors Insurance-Benefit Payments-Survivors Insurance

ABSTRACT: Unmarried, full-time student children aged 18-21 of deceased, retired, or disabled workers receive monthly benefits under the social security program. These student beneficiaries, surveyed in the 1972-73 school year, are described in terms of socioeconomic background, educational and school cost characteristics, and current attitudes. Student beneficiaries were more likely to be black, to have parents who have worked at blue-collar occupations, and to come from families with incomes lower than family income nationally. The family income of those who were in college was much lower than the income levels of other families with children in college. About a fifth were completing secondary education; most of the remainder were in college. Two-thirds were maternal or paternal orphans. College student beneficiaries were more likely to work than college students generally and to maintain similar grades. About half of the student beneficiaries rely on their benefits to continue or complete their education. A third felt they would not be in school at all full time were they not receiving benefits.

DESCRIPTORS: Survey or Questionnaire Feature, Scholarships Fellowships and Loans, College Students, Statistical Data Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1390 **PROJECT NO:** PRS 72-02, (10310)
FUND SOURCE: Salaries and Expenses **NTIS NO:** Not yet determined
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT NO: Not available
COST (In Thousands): FY 75-Not available, FY 76-Not available, FY 76.1-Not available, FY 77-Not available, FY 78-Not available
PROJECT STATUS: Study completed
START/END DATES: January '72 through August '77

1043

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Cohort Study of Post-Denied Experience of Disabled Workers

REPORT TITLE: Not yet determined
PERFORMER: Office of Research and Statistics, SSA
AGENCY CONTACT: Green, Jerome; Rm. 3-C-2, Meadows East Bldg; 301-594-0410
PROGRAM(S) EVALUATED: SSA-Disability Insurance

ABSTRACT: There is continuing interest by the Department, SSA and the Congress in what happens to persons who have been denied disability benefits. This study examines the earnings experience and program status over the 5-year period, 1969-73 of persons who failed to qualify for disabled worker benefits in 1968. It will determine to what disabled worker applicants denied in 1968 remained on denial status through 1973, were allowed disability on retirement benefits, or died during these years. Primary goals of the study include: a comparison of postdenial employment and earnings with predenial earnings and employment, changes in earnings and employment status in relation to changes in program status and reasons for denial, and the relationship between changes in program status and primary diagnosis, principal residual impairment, mobility status and duration of disability. The study file will be derived from the Continuous Disability History Sample (CDHS).

DESCRIPTORS: Statistical Data Feature, Handicapping Conditions and Problems, Labor Market Problems, Income Maintenance, Client Records, Morbidity and Mortality
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1417 **PROJECT NO:** ORS 76-08, RP-71
FUND SOURCE: Salaries and Expenses (S&E) **NTIS NO:** Not yet determined
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT/GRANT NO: Not available
COST (In Thousands): FY 76-Not available
PROJECT STATUS: Study completed
START/END DATES: January '76 through December '78

1044

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Comprehensive AFDC Management Analysis

REPORT TITLE: Comprehensive Study of AFDC Administration and Management

PERFORMER: Booze, Allen, Hamilton; 1025 Connecticut Avenue, N.W.; Washington, D. C. 20036

AGENCY CONTACT: Maniha, John K.; Switzer Bldg., Rm. 5524; 202-245-0704

PROGRAM(S) EVALUATED: SSA-Assistance Payments-State & Local Administration

ABSTRACT: This study focuses on the impact of Federal, State, and local regulations, procedures, and policies on the administration of the AFDC program. It identifies alternative regulations, policies and procedures to help prevent administrative errors; improve Federal, State, and local administrative incentives and accountability; improve the control of the cost of administration; lead to modified resource allocation and service standards, and lead to a clearer understanding of the constraints to change. This report is one of several submitted to HEW pursuant to the contract.

DESCRIPTORS: Income Maintenance, Information Systems, Management Techniques, State or Local Administration, Regulation and Standard Setting, Fraud and Abuse

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1260 **PROJECT NO:** SRS 76-10
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
GRANT NO: SRS-500-76-0501
COST (In Thousands): FY 76-\$334
PROJECT STATUS: Study completed, Executive summary at EDC
START/END DATES: June '76 through September '77

1045

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Current Distributional Effects of Taxes and Transfers

REPORT TITLE: Not yet determined
PERFORMER: Office of Research and Statistics; SSA
AGENCY CONTACT: Projector, Dorothy; Universal North Bldg; 202-673-5637

PROGRAM(S) EVALUATED: V-Many HEW Programs-Income Security; V-Non-HEW Programs-Income Security

ABSTRACT: This project examines the distribution of current income under the existing tax-transfer system and changes in the distribution resulting from changes in the tax-transfer system. During the past several years, studies in these areas by the Agency have made substantial contributions to legislative consideration of welfare reform and to questions of change in the structure of the social security payroll tax. This large-scale project involves the development and extension of these capabilities. The March Current Population Survey, as well as a variety of other surveys and samples of administrative records, provides the data base.

DESCRIPTORS: Statistical Data Feature, Low-Income Population, Taxation, Income Maintenance
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1399 **PROJECT NO:** ORS 75-01, (06210)
FUND SOURCE: Salaries and Expenses **NTIS NO:** Not yet determined
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT NO: Not available
COST (In Thousands): FY 75-Not available

PROJECT STATUS: Study completed
START/END DATES: January '75 through December '78

1046

AGENCY SPONSOR: Social Security Administration
PROJECT TITLE: Data Bases for Employee Benefit Studies
REPORT TITLE: Not yet determined
PERFORMER: Division of Retirement and Survivors Studies;
 Office of Research and Statistics; Washington, D.C.
AGENCY CONTACT: Carter, Gene; Rm 927-A, Universal North
 Building; 1875 Connecticut Avenue; Washington, D.C.
 20009; 202-673-5765
PROGRAM(S) EVALUATED:
 SSA-Old Age & Survivors Insurance-Benefits Payments;
 SSA-Supplemental Security Income

ABSTRACT: Several projects designed to obtain data used in various employee benefit studies and input into various estimates of program statistics. The social security system is the oldest income maintenance program, but there are many others administered by Federal agencies, State and local governments, and industry and labor organizations. Some of the benefits supplement, or are offset by, social security over a longer, more fixed period, and many are designed to fill gaps until longer term programs take over. Changes in provisions of other programs and data on their program operations are essential for ORS research design and analysis. Information about supplemental programs is continually compiled and evaluated by ORS, and has become one of the standard reference sources for national income policy research.

DESCRIPTORS: Statistical Data Feature, Pensions, Elderly, Eligibility Issues

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1741 **PROJECT NO:** SSA-78-003, 10020

FUND SOURCE: Salaries and Expenses

NTIS NO: Not yet determined

MECHANISM: Transfer, In-house

ERIC NO: Not available

CONTRACT/GRANT NO: Not available

COST (In Thousands): FY 78-\$106, FY 79-\$12, FY 80-\$13

PROJECT STATUS: Study in process

START/END DATES: FY 78 through FY 80

1047

AGENCY SPONSOR: Social Security Administration
PROJECT TITLE: Characteristics of Disability Claims Requiring Development of Additional Medical Evidence
REPORT TITLE: Disability Claims Requiring Returns to the State Agencies: Findings from the Longitudinal Sample of Disability Insurance Applicants
PERFORMER: Office of Research and Statistics; SSA
AGENCY CONTACT: Little, John; Rm. 3-C-2 Meadows East Bldg; 301-594-0708
PROGRAM(S) EVALUATED:
 SSA-Disability Insurance-Program Direction & Management

ABSTRACT: This study will examine the results of BDI examiners' and Medical Consultative Staff physicians' review upon initial State Agency adjudicative decisions during fiscal 1973. Prior to this period, BDI systematically reviewed most of the decisions made by State Agency disability determination units. The project will document the

adjudicative effects of additional State Agency development during the time period that BDI reviewed an overall 5 percent of all State Agency adjudicative decisions prior to effectuation for allowance or denial of benefits. Analysis of the data will provide insights on two primary areas of interest: (1) comparisons will be made with fiscal year 1969 data when BDI was reviewing almost all State Agency disability adjudicative decisions; and

DESCRIPTORS: Handicapping Conditions and Problems, State or Local Administration, Legal Issues

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1406

PROJECT NO: ORS 75-08 (07310)

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT/GRANT NO: Not available

COST (In Thousands): FY 75-Not available

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: January '75 through November '76

1048

AGENCY SPONSOR: Social Security Administration
PROJECT TITLE: Disability Denial Survey

REPORT TITLE: Not yet determined

PERFORMER: Division of Disability Studies; Office of Research and Statistics; Baltimore, MD 21235

AGENCY CONTACT: Krute, Aaron; Rm 3-C-2 Meadows East Building; Baltimore, MD 21235; 301-594-0301

PROGRAM(S) EVALUATED:

SSA-Disability Insurance;

SSA-Disability Insurance-Program Direction & Management

ABSTRACT: This study will examine the status of disability applicants following a denial of their application for benefits. Primary goals of the study includes a comparison of post-denial with pre-denial earnings and employment, changes in earnings and employment status in relation to changes in program status and reasons for denial, the relationship between changes in program status and primary diagnosis, principal residual impairment, mobility status, and duration of disability.

DESCRIPTORS: Handicapping Conditions and Problems, Training and Employment of the Disadvantaged, Survey or Questionnaire Feature, Eligibility Issues

EVALUATION TYPE: Program Impact

EDC ID NO: 1742

PROJECT NO: SSA-79-011, 07217

FUND SOURCE: Salaries and Expenses

NTIS NO: Not yet determined

MECHANISM: Contract, In-house

ERIC NO: Not available

CONTRACT/GRANT NO: Not yet determined

COST (In Thousands): FY 79-\$30, FY 80-\$270

PROJECT STATUS: Study in process

START/END DATES: FY 79 through FY 80

1049

AGENCY SPONSOR: Social Security Administration
PROJECT TITLE: Dynasin Model: A Simulation Model of Women

REPORT TITLE: Not yet determined**PERFORMER:** Division of Retirement and Survivors Studies;
Office of Research and Statistics; Washington, D.C.**AGENCY CONTACT:** Pugh, Robert; Rm 1133-J, Universal North
Building; 1875 Connecticut Ave.; Washington, D.C. 20009;
202-673-5786**PROGRAM(S) EVALUATED:**

SSA-Old Age & Survivors Insurance

ABSTRACT: This project assesses the future impact of current, and alternative social security retirement and survivor insurance provisions using data from a macroeconomic simulation model. The project is in two phases. The initial phase focuses on the treatment of women under social security, later, the more general model will simulate the whole population to support analysis of issues under all retirement and survivor provisions.**DESCRIPTORS:** Economic Analysis Feature, Elderly, Eligibility Issues, Research and Evaluation Methods Feature, Women, Operations Research Feature**EVALUATION TYPE:** Program Strategy**EDC ID NO:** 1737**PROJECT NO:** SSA-79-005, 10420**FUND SOURCE:** Salaries and
Expenses**NTIS NO:** Not yet determined**MECHANISM:** Contract, In-
House**ERIC NO:** Not available**CONTRACT/GRANT NO:** Not yet determined**COST (In Thousands):** FY 79-\$75**PROJECT STATUS:** Study in process**START/END DATES:** FY 79 through FY 80

1050

AGENCY SPONSOR: Social Security Administration**PROJECT TITLE:** Earnings Replacement Rates of Individual
Workers**REPORT TITLE:** Earnings Replacement Rates of Individual Workers**PERFORMER:** Office of Research and Statistics; SSA**AGENCY CONTACT:** Reon, Virginia; Universal North Bldg;
202-673-5636**PROGRAM(S) EVALUATED:**SSA-Old Age & Survivors Insurance-Benefit
Payments-Retirement Insurance**ABSTRACT:** The relationship between benefit levels at award in 1970 and previous earnings has been investigated using data from the Survey of New Beneficiaries associated with social security earnings histories. Replacement rates differ considerably according to what definition of previous earnings is used. Current research on this project involves estimating pre-entitlement earnings and retirement benefits net of social security and Federal income taxes and work-related expenses. This gives a more accurate picture of replacement rates of net benefits on disposable income. Awards for the second half of 1974 have been merged with data on 1951-73 earnings to provide replacement rates based on benefits after the increases of 1972 and 1974, as well as the rapidly rising taxable maximum. This material will also be useful for analyzing proposals for "decoupling" Social Security benefits.**DESCRIPTORS:** Survey or Questionnaire Feature, Client Records, Pensions**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 1387**PROJECT NO:** ORS 71-01, (10150)**FUND SOURCE:** Salaries and
Expenses**NTIS NO:** Not yet determined**MECHANISM:** In-house**ERIC NO:** Not available**CONTRACT NO:** In-house**COST (In Thousands):** FY 71-Not available, FY 72-Not available,
FY 73-Not available, FY 74-Not available, FY 75-Not
available**PROJECT STATUS:** Study completed**START/END DATES:** January '71 through June '76

1051

AGENCY SPONSOR: Social Security Administration**PROJECT TITLE:** An Econometric Model of the Social Security
Sector**REPORT TITLE:** Not yet determined**PERFORMER:** Office of Research and Statistics; SSA**AGENCY CONTACT:** Hambor, John; Universal North Building;
202-673-5685**PROGRAM(S) EVALUATED:**

SSA-Old Age & Survivors Insurance

ABSTRACT: A disaggregated model of social security benefit payments and payroll taxes has been estimated with annual time-series data. A portion of the model consists of equations describing the response of retirement and disability beneficiaries to economic, demographic, and program factors. The model has been incorporated into a macroeconomic model of the U.S. economy, so that the impact of the social security sector on the rest of the economy can be studied. The model can be used to estimate the impact of various changes in the program on key economic variables as well as to make intermediate range projections of aggregate program data. This modeling effort will be extended to explore the possibility of incorporating factors relating to income distribution, facilitating the study of the effect of social security on aggregate income distribution, facilitating the study of the effect of social security on aggregate values of micro variables generated by that model. In addition to the extensions of the basic model, work will continue on the specification of the award determination process for both the disability and retirement components of the system.**DESCRIPTORS:** Economic Analysis Feature, Taxation, Pensions**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 1393**PROJECT NO:** ORS 74-01, (06260)**FUND SOURCE:** Salaries and
Expenses**NTIS NO:** Not yet determined**MECHANISM:** In-house**ERIC NO:** Not available**CONTRACT NO:** Not available**COST (In Thousands):** FY 74-Not available**PROJECT STATUS:** Study completed**START/END DATES:** January '74 through June '79

1052

AGENCY SPONSOR: Social Security Administration**PROJECT TITLE:** Economic and Related Characteristics of Older
Persons by Race**REPORT TITLE:** Not yet determined**PERFORMER:** Office of Research and Statistics; SSA**AGENCY CONTACT:** Rubin, Leonard; Universal North Bldg;
202-673-5674**PROGRAM(S) EVALUATED:**SSA-Old Age & Survivors Insurance-Benefit
Payments-Retirement Insurance

ABSTRACT: For older workers, the interrelations of race and economic and other characteristics will be studied in four areas: (a) Differences in economic status and retirement patterns between blacks and whites aged 60 years and over; (b) Continuity of employment and nature of the work done relate directly to economic status at the time of retirement and to size of retirement income. These and related characteristics of health, ability to work, desire to work, and education will be analyzed for economic status and racial differences, taking into account changes over time; (c) Economic status and race differences in sources and levels of income over a 5-year period; and (d) Data, primarily from the Parnes and the Retirement History Studies, will focus on racial differences in retirement expectation and

DESCRIPTORS: Survey or Questionnaire Feature, Black Americans, Elderly, Labor Market Problems, Statistical Data Feature, Pensions
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1413 **PROJECT NO:** ORS 76-04, RP-16
FUND SOURCE: Salaries and Expenses (S&E) **NTIS NO:** Not yet determined
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT/GRANT NO: Not available
COST (In Thousands): FY 76-Not available
PROJECT STATUS: Study completed
START/END DATES: January '76 through September '78

1053

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Evaluation of Emergency Assistance to Needy Families Under AFDC-EA and other Public Assistance Programs

REPORT TITLE: Not yet determined

PERFORMER: Calumet Institute, Inc.; 1400 Wilson Blvd.; Arlington, VA 22209

AGENCY CONTACT: Maniha, John K.; Universal No. Bldg, Rm. 915-C; 202-673-5495

PROGRAM(S) EVALUATED:

SSA-Assistance Payments-AFDC;
 SSA-Assistance Payments-Emergency Aid

ABSTRACT: Examine the strengths and weaknesses of the AFDC-Emergency Assistance Program, and other public programs for emergency assistance to needy families, and define alternative (improved) emergency assistance programs within the context of the present AFDC system and proposed welfare reform

DESCRIPTORS: Income Maintenance, Low-Income Population, Welfare Reform, Federal Role, Energy, Eligibility Issues
EVALUATION TYPE: Program Strategy

EDC ID NO: 1266 **PROJECT NO:** SRS 76.1-5
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Grant **ERIC NO:** Not available
GRANT NO: 18-P-90476
COST (In Thousands): FY 76.1-\$95
PROJECT STATUS: Study completed
START/END DATES: September '76 through September '77

1054

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Evaluation of Disability Reconsideration Experience for Selected Primary Diagnosis

PROJECT DESCRIPTIONS

REPORT TITLE: An Examination of the Reconsideration Process: Psychiatric Impairment (Phase One Report)

PERFORMER: Office of Research and Statistics; SSA

AGENCY CONTACT: Leihy, Robert; 3-C-2 Meadows East Bldg; 301-594-0709

PROGRAM(S) EVALUATED:

SSA-Disability Insurance-Program Direction & Management

ABSTRACT: Reconsideration is one safeguard in the appeals process that protects the claimant's rightful entitlement to benefits. This study examines factors which account for reconsideration applications and reversals. Disability worker cases from the LSDIA are being utilized as the primary data source. Data are obtained from the record sources on the applicant's alleged onset of disability, actions taken during the initial adjudication stage, the reconsideration filing period, and subsequent reversal or affirmation of the initial decision. A special feature is the use of time-referenced cohorts of disability cases classified by selected medical and demographic characteristics. Each group of cases is being followed through the adjudicative process to its final disposition, providing a picture of how initial and reconsideration cases travel through the adjudicative system. The first study focused on "psychiatric" claims reviewed by the Medical Consultant Staff in FY 1970. Report No. 2, Claims Requiring Development of Additional Medical Evidence by Robert E. Ferguson was completed in February 1976

DESCRIPTORS: Handicapping Conditions and Problems, Federal Administration, Legal Issues, Client Records, Mental Health
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1396 **PROJECT NO:** ORS 74-04, RP 62
FUND SOURCE: Salaries and Expenses **NTIS NO:** Not yet determined
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT NO: Not available
COST (In Thousands): FY 74-Not available
PROJECT STATUS: Study completed,
 Available from EDC

START/END DATES: January '74 through June '80

1055

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Family Benefit Data

REPORT TITLE: Not yet determined

PERFORMER: Office of Research and Statistics, SSA

AGENCY CONTACT: Shulman, Harry; R, 2-D-1, Meadows East Bldg; 301-594-0345

PROGRAM(S) EVALUATED:

SSA-Old Age & Survivors Insurance-Benefit Payments

ABSTRACT: To develop methods for combining individual benefit information into conventionally defined family benefit information a subsample of the 2 1/2 percent benefit family sample tape will be studied. This project is in response to an interest by the social science research community in obtaining social security benefit information for families. The analysis will be limited to retired-worker families.

DESCRIPTORS: Statistical Data Feature, Family, Client Records, Elderly, Pensions
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1421 **PROJECT NO:** ORS 76-12, (08221)
FUND SOURCE: Salaries and Expenses (S&E) **NTIS NO:** Not available
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT/GRANT NO: Not available

COST (In Thousands): FY 76-Not available
PROJECT STATUS: Study completed
STATE/END DATES: January '76 through December '78

1056

AGENCY SPONSOR: Social Security Administration
PROJECT TITLE: 19'2 Follow-up Survey of Disabled Adults
REPORT TITLE: First Findings of the 1972 Survey of the Disabled:
 General Characteristics
PERFORMER: Office of Research and Statistics; SSA; and
 contractor
AGENCY CONTACT: Lando, Mordechai; 3-C-2, Meadows East
 Bldg; 301-594-0306
PROGRAM(S) EVALUATED:
 SSA-Disability Insurance

ABSTRACT: This survey examines a cross section of disabled, nondisabled, and previously disabled persons in the civilian noninstitutionalized population aged 18-64. This study focuses on the economic, medical and social circumstances and consequences of work-limiting disability, including economic resources, income-maintenance program relationships, medical care and and rehabilitation services, family participation and relationships, work adjustments, and specific work-related limitations in functional capacity. The 1972 survey data will also be analyzed: (1) to determine the extent to which disability affects the labor force activity of the working age adults; (2) to examine the effects of disability on the distribution of income. Econometric techniques will be used to explain differences in the variations of income between disabled and nondisabled populations. See also EDC 1395 for a follow-on project.

DESCRIPTORS: Handicapping Conditions and Problems, Survey or Questionnaire Feature, Statistical Data Feature, Income Maintenance

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1388 **PROJECT NO:** ORS 71-02, 07204
FUND SOURCE: Salaries and Expenses **NTIS NO:** Not yet determined
MECHANISM: In-house, Contract **ERIC NO:** Not available
CONTRACT NO: Not available
COST (In Thousands): FY 74-Not available, FY 75-Not available, FY 76-\$86, FY 76.1-Not available, FY 77-Not available, FY 78-Not available
PROJECT STATUS: Study completed
START/END DATES: January '71 through January '79

1057

AGENCY SPONSOR: Social Security Administration
PROJECT TITLE: Health Maintenance Organization
REPORT TITLE: Not yet determined
PERFORMER: Office of Research and Statistics; SSA
AGENCY CONTACT: Savitt, Harry; Meadows East Bldg;
 301-594-0301
PROGRAM(S) EVALUATED:
 HCFA-Medicare;
 PHS-OASH-Health Maintenance Organizations

ABSTRACT: The Social Security Admndments of 1972 authorized reimbursement by means of a single comprehensive health services on a prepaid capitation basis. Data for 1971 and 1972 will be compiled

and analyzed on the 24 present group practice prepayment plans (GPPP) and the new HMO's. Utilization and cost of hospital medical services received by Medicare beneficiaries enrolled in such organizations will be compared with that of other beneficiaries. To ensure strict comparability, consideration will be given to variables such as physician specialty, sex and age of patients, diagnosis and geographic location. Work will continue on the development and testing of a reporting system. The objective is to provide information about experience of HMO's under Medicare and to determine whether this mode of delivery of health care services saves money under the program. A bulletin article already published dealing with the experience of six GPPP's has shown that in some circumstances program costs are higher for GPPP's than in the fee-for-service sector.

DESCRIPTORS: Health Maintenance Organizations, Health Insurance and Financing, Survey or Questionnaire Feature, Management Information and Reporting

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1405 **PROJECT NO:** ORS 75-07, RP-47
FUND SOURCE: Salaries and Expenses (S&E) **NTIS NO:** Not yet determined
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT/GRANT NO: Not available
COST (In Thousands): FY 75-Not available
PROJECT STATUS: Study completed
START/END DATES: January '75 through October '77

1058

AGENCY SPONSOR: Social Security Administration
PROJECT TITLE: Historical Analysis of Earnings and Benefits
REPORT TITLE: Not yet determined
PERFORMER: Office of Research and Statistics; SSA
AGENCY CONTACT: Prero, Aaron; 2-C-1, Meadows East Bldg;
 301-594-0379
PROGRAM(S) EVALUATED:
 SSA-Old Age & Survivors Insurance-Benefit
 Payments-Retirement Insurance

ABSTRACT: The OASDI benefit formula is weighted to assure that lower income workers receive proportionately greater benefits relative to their covered earnings than do higher income workers. However, certain factors may mitigate the effect of this weighting. Among them are late coverage of some higher income groups, longer expected life of higher income beneficiaries, and later entrance into labor force by higher income young people. This study will summarize actual earnings and benefit histories of workers in samples selected from the CWHHS and compare these earnings and benefits by income class and other demographic characteristics. The project will demonstrate whether low income workers are deriving the advantages intended by the program. It will also yield byproduct data, (i.e., the relationship between low income and life expectancy) which will be useful to social scientists.

DESCRIPTORS: Elderly, Low-Income Population, Pensions, Taxation, Client Records

EVALUATION TYPE: Program Impact

EDC ID NO: 1392 **PROJECT NO:** ORS 73-02, (08204)
FUND SOURCE: Salaries and Expenses **NTIS NO:** Not yet determined
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT NO: Not available
COST (In Thousands): FY 73-Not available

PROJECT STATUS: Study completed
START/END DATES: January '73 through July '78

1059

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Impact of Substantial Gainful Activity Level on Beneficiary Labor Market Participation

REPORT TITLE: Impact of Substantial Gainful Activity Level on Beneficiary Labor Market Participation

PERFORMER: Office of Research and Statistics; SSA

AGENCY CONTACT: Franklin, Paula; Rm. 3-C-2, Meadows East Bldg; 301-594-0318

PROGRAM(S) EVALUATED:

SSA-Disability Insurance-Beneficiary Earnings

ABSTRACT: A 12-year series on earnings and quarters of employment for disabled workers derived from the one percent Continuous Work History Sample provides information to determine whether work incentives stimulating labor-force participation are the same for the disabled as for the retired. Several factors could have affected persons receiving disability benefits during the period 1960-71: changes in the earnings level used to measure substantial gainful activity (SGA), legislative amendments, rising wage levels, and a fluctuating unemployment rate. The findings indicate that the major determinant of labor-force behavior on the part of disabled beneficiaries seems to be the trial work period, not the SGA level.

DESCRIPTORS: Handicapping Conditions and Problems, Survey or Questionnaire Feature, Labor Market Problems, Income Maintenance, Statistical Analysis Methods Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 1408

PROJECT NO: ORS 75-10 (07227)

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT/GRANT NO: Not available

COST (In Thousands): FY 75-Not available

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: January '75 through August '76

1060

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Impact of the Supplementary Medical Insurance Deductible and Coinsurance

REPORT TITLE: Not yet determined

PERFORMER: Office of Research and Statistics; SSA

AGENCY CONTACT: Mueller, Marjorie; Universal North Bldg; 202-673-5613

PROGRAM(S) EVALUATED:

HCFA-Medicare-Supplementary Medical Insurance-Benefit Payments

ABSTRACT: The effect of the deductible and coinsurance on enrollees under Part B of Medicare will continue to be assessed. The socioeconomic characteristics of persons who have not been hospitalized and who do not meet the deductible, as well as the amount and types of services they utilize, will be analyzed. Analyses will be made of the use of services by persons with and without complementary private health insurance, by persons in varying income classes and by persons for whom other government programs pay the deductible and coin-

surance. Analysis will focus on the effects of the increase in the Part B deductible in 1973. Data provided by the study will show the number and kinds of people who may be deterred from using necessary medical care services and the estimated impact of changes in deductible and/or coinsurance amounts, information which would be useful in fashioning legislative proposals to change these provisions.

DESCRIPTORS: Health Insurance and Financing, User Charges
EVALUATION TYPE: Program Impact

EDC ID NO: 1394

PROJECT NO: ORS 74-02, (09202)

FUND SOURCE: Salaries and Expenses

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 74-Not available

PROJECT STATUS: Study in process

START/END DATES: January '74 through December '79

1061

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Improving Effectiveness of AFDC and Allied Programs

REPORT TITLE: Not yet determined

PERFORMER: Urban Institute; 2100 M Street, N.W.; Washington, DC 20037 Marc Bendick

AGENCY CONTACT: Maniha, John K.; Universal North Bldg., Rm. 915-C 202-673-5495

PROGRAM(S) EVALUATED:

SSA-Assistance Payments-AFDC;

HCFA-Medicaid;

HD-Work Incentives;

V-Non-HEW Programs-Income Security

ABSTRACT: This study will examine the impact of alternative AFDC recipient employability standards on the efficiency of the Work Incentive program, and indirectly the AFDC program. Also, examine low AFDC grant recipients; incentives for maintaining AFDC status in order to receive Food Stamps and Medicaid, and the nature and distribution of chronically unfilled jobs.

DESCRIPTORS: Training and Employment of the Disadvantaged, Low-Income Population, Welfare Reform, Program Coordination
EVALUATION TYPE: Program Impact

EDC ID NO: 1268

PROJECT NO: SRS 76.1-7

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Grant

ERIC NO: Not available

GRANT NO: 18-P-90471

COST (In Thousands): FY 76.1-\$59

PROJECT STATUS: Study completed

START/END DATES: September '76 through September '77

1062

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Status of the Elderly

REPORT TITLE: Income of the Population Aged 60 and Older, 1971

PERFORMER: Office of Research and Statistics; SSA

AGENCY CONTACT: Grad, Susan; Universal North Bldg.; 202-673-5349

PROGRAM(S) EVALUATED:

SSA-Old Age & Survivors Insurance-Benefit Payments-Retirement Insurance

ABSTRACT: This study summarizes data on the income of the aged as affected by Social Security. It finds that incomes of aged units 65 and older improved between 1967 and 1971 even after adjusting for increases in the cost of living. Social security benefit increases and an increase in the proportion of nonbeneficiaries with earnings contributed to this improvement. Nevertheless, poverty was still a problem for the aged: 31 percent of those 65 and older lived on less than \$2,000 in 1971. Proportions receiving social security benefits remained about the same between 1968 and 1971. Aside from greater numbers of women becoming eligible for their own benefits, the system is largely mature. The proportion receiving government employee pensions has not increased for some time; private pension coverage is now the avenue of expansion. Proportions with earnings fluctuated in the periods 1962-67 and 1967-71 in conjunction with increases in pension receipt and a high rate of inflation, respectively. Among aged units 60-64, earnings were the most prevalent source of income. Among those 65-67, pensions were the most prevalent source. (To be completed upon receipt of final report.)

DESCRIPTORS: Elderly, Pensions, Low-Income Population, Statistical Data Feature, Trends and Forecasts
EVALUATION TYPE: Program Impact

EDC ID NO: 1410 **PROJECT NO:** ORS 76-01, (10220)
FUND SOURCE: Salaries and Expenses (S&E)
MECHANISM: In-house **NTIS NO:** Not yet determined
CONTRACT/GRANT NO: Not available **ERIC NO:** Not available
COST (In Thousands): FY 76-Not available
PROJECT STATUS: Study completed
START/END DATES: January '76 through December '77

1063

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Interstate Migration of Beneficiaries

REPORT TITLE: Not yet determined
PERFORMER: Office of Research and Statistics; SSA
AGENCY CONTACT: Shulman, Harry; 2-D-1, Meadows East Bldg.; 301-594-0345
PROGRAM(S) EVALUATED:
 SSA-Old Age & Survivors Insurance-Benefit Payments

ABSTRACT: A determination will be made of the interstate migration patterns of those beneficiaries who change their State of residence during fiscal year 1974. The analysis will involve their number, type of benefit, sex, age, monthly benefit amount (MBA), primary insurance amount (PIA), and rate of migration. The data will be useful to States significantly affected by this migration in planning the necessary hospitals, nursing homes, and other facilities for the aged.

DESCRIPTORS: Elderly, Migrants, Health Planning, Statistical Data Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1397 **PROJECT NO:** ORS 74-05, (08218)
FUND SOURCE: Salaries and Expenses
MECHANISM: In-house **NTIS NO:** Not yet determined
CONTRACT NO: Not available **ERIC NO:** Not available
COST (In Thousands): FY 74-Not available
PROJECT STATUS: Study completed
START/END DATES: January '74 through June '78

1064

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Judicial Constraints on AFDC Policy

REPORT TITLE: Not yet determined

PERFORMER: University of California, Berkeley; Institute of Business and Economic Research; Berkeley, California 94720
AGENCY CONTACT: Arnaudo, David; Universal No. Bldg.; Rm.; 915; 202-673-5596

PROGRAM(S) EVALUATED:
 SSA-Assistance Payments-AFDC

ABSTRACT: Provide a summary of the history of court treatment of AFDC program, analyze the present state of the law, determine the trends in the cases, and make recommendations for implementation of Federal policy and revision of Federal regulations.

DESCRIPTORS: Low-Income Population, Legal Issues, Federal Administration, Regulation and Standard Setting, Federal State and Local Relations

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1269 **PROJECT NO:** SRS 76.1-8
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Grant **ERIC NO:** Not available
GRANT NO: 18-P-90464
COST (In Thousands): FY 76.1-\$15
PROJECT STATUS: Study completed
START/END DATES: September '76 through September '77

1065

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Longitudinal Studies of Distributional Effects of Taxes and Transfers

REPORT TITLE: Not yet determined

PERFORMER: Office of Research and Statistics; SSA
AGENCY CONTACT: Freiden, Alan; Universal North Bldg.; 202-673-5644

PROGRAM(S) EVALUATED:
 SSA-Old Age & Survivors Insurance

ABSTRACT: This project is related to other studies of the distributional effects of taxes and transfers, but longitudinal rather than current effects are to be studied. Heavy reliance is to be placed on data produced by the 1972 Exact Match Project and other matching research. Two parts of the project are currently in process. One is an analysis of internal rates of return to social security contributions for retired workers. Later work will extend this analysis to future retirees through the simulation of relevant variables for sets of younger workers. Continuing analytical work on life cycle behavior patterns (e.g., patterns of earnings, training and health) will provide a basis for projecting the earnings (and, therefore, benefits) of workers not yet retired. Another part of this project is the development of an analytical framework for appraising the equity of the social security benefit structure. This work will place the examination of such topics as decoupling and replacement ratios into an appropriate theoretical and empirical context. Equity and cost implications of various alternative specifications for the benefit base and other components of the benefit structure will be estimated.

DESCRIPTORS: Equity of Benefits, Taxation, Operations Research Feature, Income Maintenance, Client Records, Elderly
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1400 **PROJECT NO:** ORS 75-02, (06220)
FUND SOURCE: Salaries and Expenses **NTIS NO:** Not yet determined
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT NO: Not available
COST (In Thousands): FY 76-Not available
PROJECT STATUS: Study completed
START/END DATES: December '75 through December '78

1066

AGENCY SPONSOR: Social Security Administration
PROJECT TITLE: Married Women Under OASDHI
REPORT TITLE: Not yet determined
PERFORMER: Office of Research and Statistics, SSA
AGENCY CONTACT: Reno, Virginia; Universal North Bldg;
 202-673-5679
PROGRAM(S) EVALUATED:
 SSA-Old Age & Survivors Insurance-Benefit Payments

ABSTRACT: Several legislative proposals have been made on ways benefits paid to married couples might better reflect their combined earnings. Analyses will focus on the numbers of couples who would be affected by the various types of combined earnings proposals that have been introduced in Congress. The project will use interview data from the Survey of New Records for married women and their husbands. Benefit data from 1974 will also be obtained and merged with the interview data to evaluate changes in beneficiary status for married couples between 1970 and 1974. Women's work patterns will be aligned with their husband's and the combined earnings patterns examined. The feasibility of defining and measuring replacement rates for two-earner couples with varied mixes of labor force participation will be explored.

DESCRIPTORS: Survey or Questionnaire Feature, Women, Client Records, Pensions, Equity of Benefits
EVALUATION TYPE: Program Strategy

EDC ID NO: 1412 **PROJECT NO:** ORS 76-03, (10430)
FUND SOURCE: Salaries and Expenses (S&E) **NTIS NO:** Not yet determined
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT/GRANT NO: Not available
COST (In Thousands): FY 76-Not available
PROJECT STATUS: Study completed
START/END DATES: January '76 through September '78

1067

AGENCY SPONSOR: Social Security Administration
PROJECT TITLE: Medical Recovery Survey
REPORT TITLE: Not yet determined
PERFORMER: Division of Disability Studies; Office of Research and Statistics Washington, D.C.
AGENCY CONTACT: Krute, Aaron; Rm 3-C-2, Meadows East Building; Washington, D.C. 21235; 202-594-0301
PROGRAM(S) EVALUATED:
 SSA-Disability Insurance-Program Direction & Management

ABSTRACT: A study designed to determine whether the types of medical cases denied are comprehensive enough and adequate for the current beneficiary population. The decreasing disability termination rate has raised many questions about the continuing disability investigative process. A major component involves medical diarying, and

reexamination to determine whether there has been medical recovery. This study is being designed to determine whether the types of medical cases denied are comprehensive enough and adequate for the current beneficiary population and whether current criteria is adequate.

DESCRIPTORS: Handicapping Conditions and Problems, Survey or Questionnaire Feature, Client Records, Medical Practice, Eligibility Issues

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1743 **PROJECT NO:** SSA-79-012, 07285
FUND SOURCE: Salaries and Expenses **NTIS NO:** Not yet determined
MECHANISM: Contract, In-house **ERIC NO:** Not available
CONTRACT/GRANT NO: Not yet determined
COST (In Thousands): FY 79-\$780, FY 80-\$500
PROJECT STATUS: Study in process
START/END DATES: FY 79 through FY 80

1068

AGENCY SPONSOR: Social Security Administration
PROJECT TITLE: Mid-1974 Follow-up Interviews of 1972 Disability Sample
REPORT TITLE: Not yet determined
PERFORMER: Bureau of the Census; and Office of Research and Statistics; SSA
AGENCY CONTACT: Lando, Mordechai; 3-C-2, Meadows East Bldg.; 301-594-0306
PROGRAM(S) EVALUATED:
 SSA-Disability Insurance-Benefit Payments;
 SSA-Supplemental Security Income-Federal Benefit Payments

ABSTRACT: This study examines changes over a 2-year period in the disability and economic status of the working-age population and relates these changes to entitlement under the disability insurance benefit and supplemental security income (SSI) disability provisions. The effect of those provisions on the disabled population at risk, in terms of eligibility, application, and entitlement, will be examined in relation to work experience and other wage replacement programs. The 1974 survey, in addition to analyzing changes in disability, health care, income and work experience variables, will provide comparable information on the APDT population and other welfare recipients converted to SSA payments and on the first year's experience after the start of SSI application processing, July 1973-June 1974. Data were collected in personal interviews with about 16,000 persons. As with the 1972 Survey (EDC 1388), the data include demographic characteristics, employment history, disabling condition, job limitations and adjustment, functional limitations and mental health ratings, use of medical care and rehabilitation services, family participation and relationships, and economic resources.

DESCRIPTORS: Survey or Questionnaire Feature, Handicapping Conditions and Problems, Statistical Data Feature, Income Maintenance, Elderly

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1395 **PROJECT NO:** ORS 74-03, (07205)
FUND SOURCE: Salaries and Expenses **NTIS NO:** Not yet determined
MECHANISM: In-house, Transfer **ERIC NO:** Not available
TRANSFER NO: Not yet determined

COST (In Thousands): FY 74-Not available
PROJECT STATUS: Study in process
START/END DATES: January '74 through June '80

1069

AGENCY CONTACT: Social Security Administration

PROJECT TITLE: Postentitlement Changes in Benefit Payment Status

REPORT TITLE: New Retirees and the Stability of the Retirement Decision

PERFORMER: Office of Research and Statistics; SSA

AGENCY CONTACT: Grad, Susan; Universal North Bldg; 202-673-5549

PROGRAM(S) EVALUATED:

SSA-Old Age & Survivors Insurance-Benefit Payments;
 HCFA-Medicare-Hospital Insurance

ABSTRACT: Examination of the benefit awards data under the Social Security program may furnish at least partial answers to two questions: What is the estimated number retiring each year? How long do they stay retired? The calculation presented here of the number retiring in 1971 takes into account the fact that the date of the award is not necessarily the actual date of retirement. The difference has been accentuated since Medicare began and individuals have been filing for benefits solely to obtain hospital insurance protection, continuing to earn at a level that precludes receipt of benefits under the earnings test. This study of the data on payable benefits indicates that only a small proportion of retirees lose benefits because of their return to work within 3 years after award. (To be completed upon receipt of final report.)

DESCRIPTORS: Elderly, Pensions, Client Records, Health Insurance and Financing

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1414

PROJECT NO: ORS 76-05, RP-21

FUND SOURCE: Salaries and Expenses

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

GRANT/CONTRACT NO: Not available

COST FY 76-NA (In Thousands):

PROJECT STATUS: Study completed

START/END DATES: January '76 through March '77

1070

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Overlap Study of Civil Service Annuitants Receiving OASDHI Benefits

REPORT TITLE: Not yet determined

PERFORMER: Office of Research and Statistics; SSA

AGENCY CONTACT: Price, Daniel; Universal North Bldg; 202-673-5695

PROGRAM(S) EVALUATED:

SSA-Old Age & Survivors Insurance-Benefit Payments-Retirement Insurance;
 V-Non-HEW Programs-Income Security

ABSTRACT: In response to the concern expressed by 1975 Advisory Council on Social Security regarding the payment of OASDHI dependents' benefits and of "windfall" minimum OASDHI benefits to Federal civil service annuitants, an updated record study will be made, similar to the 1969 study, of the characteristics of civil service

annuitants. Among the items examined will be OASDHI covered employment and earnings and relationship to civil service employment, extent of receipt of primary and secondary Civil Service Commission annuitants will be merged with Social Security Administration records.

DESCRIPTORS: Pensions, Client Records, Eligibility Issues

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1415

PROJECT NO: ORS 76-06, (10620)

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT/GRANT NO: Not available

COST (In Thousands): FY 76-Not available

PROJECT STATUS: Study completed

START/END DATES: January '76 through December '77

1071

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Participation in the AFDC-Unemployed Fathers Program: Evaluation of AFDC-UF Program

REPORT TITLE: Not yet determined

PERFORMER: Rand Corporation; 1700 Main Street; Santa Monica, California 90406

AGENCY CONTACT: Maniha, John K.; Switzer Bldg., Room 5524; 202-245-0704

PROGRAM(S) EVALUATED:

SSA-Assistance Payments-AFDC

ABSTRACT: The purpose of this study is to estimate the rate of participation of eligible families in the AFDC-UF welfare program; identify factors affecting the decision of families to participate in the AFDC-UF program; identify factors affecting the decision of States to offer the AFDC-UF program; estimate the impact on the AFDC-UF caseload when unemployment insurance beneficiaries become eligible for assistance under AFDC-UF; and estimate the caseload impact and cost of alternative changes in policy regarding the structure

DESCRIPTORS: Income Maintenance, Labor Market Problems, Low-Income Population, Welfare Reform, Eligibility Issues, Grant-in-Aid Formulas, Federal Role

EVALUATION TYPE: Program Impact

EDC ID NO: 1257

PROJECT NO: SRS-76-7

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Grant

ERIC NO: Not available

GRANT NO: 18-P-90409

COST (In Thousands): FY 76-\$367

PROJECT STATUS: Study in process

START/END DATES: June '76 through June '77

1072

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Pension Plan Protection Study

REPORT TITLE: Not yet determined

PERFORMER: Division of Retirement and Survivors Studies; Office of Research and Statistics; Washington, D.C.

AGENCY CONTACT: Carter, Gene; Rm. 927-A, Universal North Building; 1875 Connecticut Avenue; Washington, D.C. 20009; 202-673-5765

PROGRAM(S) EVALUATED:

SSA-Old Age & Survivors Insurance

ABSTRACT: This project will provide data on the extent to which workers today have joint coverage of social security, private, and public retirement benefits and data necessary to update benchmark data used to produce the annual report on employee benefit plans. Benefits from the survey lie in two current policy issue areas: the need for mandatory "second-tier" retirement protection via a portable wage credit system and the need to measure gaps and overlap between social security and private and public retirement benefits.

DESCRIPTORS: Pensions, Statistical Data Feature, Elderly, Program Coordination

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1738

PROJECT NO: SSA-79-014, 10610

FUND SOURCE: Salaries and Expenses

NTIS NO: Not yet determined

MECHANISM: Transfer, In-house

ERIC NO: Not available

CONTRACT/GRANT NO: Not yet determined

COST (In Thousands): FY 79-\$133, FY 80-\$28

PROJECT STATUS: Study in process

START/END DATES: FY 78 through FY 80

1073

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Provider Cost Analysis and Cost Monitoring

REPORT TITLE: Not yet determined

PERFORMER: Office of Research and Statistics; SSA

AGENCY CONTACT: Fitzmaurice, J. Michael; Universal North Bldg; 202-673-5595

PROGRAM(S) EVALUATED:

HCFA-Medicare-Hospital Insurance

ABSTRACT: This project includes three primary activities: (a) audited cost reports will be analyzed to provide: national estimates of hospital costs and revenues; breakdowns of these estimates by type of control and bed size; examination of the influence of Medicare and other third party reimbursement on hospital costs and revenues; and to test hypotheses concerning the influence of selected variables on hospital costs and revenues; (b) through a contract with the American Hospital Association, data will be provided to enable current monitoring and reporting of costs, prices, service intensity, unit input costs, technology, and productivity in non-Federal, short-term, general hospitals; and (c) development of a physician price monitoring system. Information on the levels and directions of physician productivity, fees, costs, and net incomes will be collected and analyzed.

DESCRIPTORS: Health Insurance and Financing, Hospitals, Physicians, Productivity, Survey or Questionnaire Feature, Management Information and Reporting

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1403

PROJECT NO: ORS 75-05, RP-34

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: In-house, Contract

ERIC NO: Not available

CONTRACT NO: Not yet determined

COST (In Thousands): FY 75-Not available, FY 76-Not available, FY 76.1-\$Na, FY 77-Not available, FY 78-Not available, FY 79-Not available

PROJECT STATUS: Study in process

START/END DATES: January '75 through December '80

1074

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Social Security. Saving and Capital Formation

REPORT TITLE: Not yet determined

PERFORMER: Office of Research and Statistics; SSA

AGENCY CONTACT: Lesnoy, Selig; Universal North Bldg.; 202-673-5644

PROGRAM(S) EVALUATED:

SSA-Old Age & Survivors Insurance

ABSTRACT: The objective of this project is to study the relationship between social security, private saving, and capital formation. The approach is based on recent studies by Martin Feldstein and Alicia Munnell. The theoretical foundation is the life-cycle model of saving. An aggregate econometric model of consumption will be estimated, incorporating alternative social security variables.

DESCRIPTORS: Economic Analysis Feature, Taxation, Pensions, Economic Growth

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1401

PROJECT NO: ORS 75-03, (06270)

FUND SOURCE: Salaries and

Expenses (S&E)

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT/GRANT NO: Not available

COST (In Thousands): FY 75-Not available

PROJECT STATUS: Study completed

START/END DATES: January- '75 through December '78

1075

AGENCY SPONSOR: Social Security Administration

PROJECT TITLE: Continuing Survey of Developments and Trends in Social Security

REPORT TITLE: Social Security Programs Throughout the World

PERFORMER: Comparative Studies; Office of International Policy; Washington, D.C.

AGENCY CONTACT: Horlick, Max; Universal North Building;

1875 Connecticut Avenue; Washington, D.C. 20009;

202-673-5714

PROGRAM(S) EVALUATED:

SSA-Commissioners Office-Research & Statistics

ABSTRACT: The purpose of the contract is to provide SSA with information on social security developments abroad for inclusion in and to maintain the high quality of SSA's recurring report, "Social Security Throughout the World". These data are used by SSA in carrying out its other research responsibilities in the international area; in dealing with other countries relative to social insurance and social welfare issues from a base of informed comparative knowledge; and in planning and evaluating our own domestic programs. (Requests for this document should be directed to the Social Security Administration's publication office, Report No: 50, HEW Pub. No. (SSA) 78-11805.)

DESCRIPTORS: International Issues, Elderly, Social Research, Trends and Forecasts, Survey or Questionnaire Feature, Pensions

EVALUATION TYPE: Research and Data Development

PROJECT DESCRIPTIONS

EDC ID NO: 1739 **PROJECT NO:** SSA-79-009, 04010
FUND SOURCE: Salaries and Expenses
MECHANISM: Contract, In-house **NTIS NO:** EDC Only
ERIC NO: Not available
CONTRACT/GRANT NO: Not yet determined
COST (In Thousands): FY 79-\$160, FY 80-\$160
PROJECT STATUS: Study completed, Available from EDC
START/END DATES: FY 79 through FY 80

1076

AGENCY SPONSOR: Social Security Administration
PROJECT TITLE: Study of Disability Appeals
REPORT TITLE: Not yet determined
PERFORMER: Office of Research and Statistics; SSA
AGENCY CONTACT: Green, Jerome; 3-C-2; Meadows East Bldg; 301-594-0410
PROGRAM(S) EVALUATED: SSA-Disability Insurance

ABSTRACT: This study will examine denied disability claims and attempt to find out why so many denied disability claims are appealed. A sample of insured claimants who are denied initially for lack of a severe or longcontinued disability in a recent year will be identified. At the end of a period of 1 to 2 years, their claims will be reexamined to see what proportion had requested review, filed new applicants, had their claims reopened, or returned to work. Comparison will be made of their demographic and disability characteristics and current benefit status with the 1967 reconsideration study population. The initial and subsequent decisions will be examined to see what factors lead to benefit award after denial. For the denied claims which are reversed or later awarded benefits, the nature of new evidence will be classified (e.g., worsened medical condition, new evidence substantiating severity, etc.). A feasibility study will be conducted to identify problems and test the data extraction form.

DESCRIPTORS: Quality Assurance, Income Maintenance, Federal Administration, Handicapping Conditions and Problems, Client Records

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1416 **PROJECT NO:** ORS 76-07, RP-70
FUND SOURCE: Salaries and Expenses (S&E) **NTIS NO:** Not yet determined
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT/GRANT NO: Not available
COST (In Thousands): FY 76-Not available
PROJECT STATUS: Study completed
START/END DATES: January '76 through October '77

1077

AGENCY SPONSOR: Social Security Administration
PROJECT TITLE: Study of Disabled Workers by Occupational and Industry
REPORT TITLE: Not yet determined
PERFORMER: Office of Research and Statistics; SSA
AGENCY CONTACT: Franklin, Paula; Rm. 3-C-2, Meadows East Bldg; 301-594-0318
PROGRAM(S) EVALUATED: SSA-Disability Insurance

ABSTRACT: A File will be established linking social security cash benefit data for disabled workers with employment and earnings information by age, sex, race, occupation, industry, and geographic location. The resulting file will be used as a data base for a wide variety of studies and beneficiary information for special requests. The file will be compiled by matching a 1 percent sample of records containing disability and black lung data for 1957 to date with the corresponding earnings and employment records from the Continuous Work History Sample, Employer-Employee Data File. The objective is to determine the relationship between the disabled worker's benefit amount and his employment background, including type of occupation. The differences between levels and the worker's State of residence is also of interest.

DESCRIPTORS: Statistical Data Feature, Income Maintenance, Client Records, Survey or Questionnaire Feature, Morbidity and Mortality, Trends and Forecasts

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1420 **PROJECT NO:** ORS 76-11, RP-87
FUND SOURCE: Salaries and Expenses (S&E) **NTIS NO:** Not yet determined
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT NO: Not available
COST (In Thousands): FY 77-Not available
PROJECT STATUS: Study completed
START/END DATES: October '76 through December '77

1078

AGENCY SPONSOR: Social Security Administration
PROJECT TITLE: Impact of AFDC Income Disregard
REPORT TITLE: Study of the Impact of the Income Disregard
PERFORMER: Inter Study; Minneapolis, Minn.; Gary Appel
AGENCY CONTACT: Arnaudo, David; Rm 5526 Switzer Bldg.; 202-245-0703
PROGRAM(S) EVALUATED: SSA-Assistance Payments-AFDC

ABSTRACT: A study of the effect of the income disregard provisions of the Aid to Families with Dependent Children (AFDC) program on the employment of AFDC recipients in Erie County (Buffalo) and Onondaga County (Syracuse), New York is documented. The income disregard is intended to provide a financial incentive to work by allowing AFDC recipients to keep a portion of earned income without reducing their AFDC grant. Data were collected on a sample of 8,000 AFDC cases in the two counties over an eight-year period (1965-1972). Findings are reported concerning the overall impact of the income disregard; transitions in welfare and employment status in the study population; job characteristics and employment behavior of the AFDC population; characteristics of welfare receipt and welfare dynamics; demographic, economic, and program variables; and the cost-benefit structure of the income disregard. Study findings confirm previous conceptual and empirical findings that the income disregard results in a substantial monetary cost to the nonwelfare taxpayer and is a significant benefit to working AFDC recipients. The findings also indicate that many welfare families work and would work without special financial incentives such as the income disregard, and that relatively few more individuals started work because of it. Supporting tabular data, a literature review, and a bibliography are included (Final report 445 pages).

DESCRIPTORS: Low-Income Population, Economic Analysis Feature, Income Maintenance, Literature Review Feature, Means Testing
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0485 **PROJECT NO:** SRS-74-6
FUND SOURCE: Evaluation **NTIS NO:** PB 256-231
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: SRS-74-50
COST (In Thousands): FY 74-\$173, FY 75-Not available
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS
START/END DATES: June '74 through November '75

1079

AGENCY SPONSOR: Social Security Administration
PROJECT TITLE: Survey of Low-Income Aged and Disabled
REPORT TITLE: Survey of Low-Income Aged and Disabled: An Introduction
PERFORMER: Office of Research and Statistics; SSA
AGENCY CONTACT: Tissue, Thomas; Universal North Bldg;
 202-673-5764
PROGRAM(S) EVALUATED:
 SSA-Supplemental Security Income

ABSTRACT: The survey of Low Income Aged and Disabled (SLIAD) is a twostage panel study which provides the data necessary to describe and assess the effects of SSI on its target population. Baseline information was obtained by means of personal interviews with approximately 18,000 aged, blind, and disabled persons during the last three months of 1973. These pre-SSI data include details of income, assets, debts, household expenditures, health mobility, housing, employment, social interaction, living arrangements, and limited amount of biographical data related to previous jobs, marriage, etc. The 1974 SLIAD data base will be edited, corrected, recorded, and then merged with information currently on file for each specific case. A third project involves the association of information routinely held in SSA master files (SSR, MBR, SER) to data already on the SLIAD data base.

DESCRIPTORS: Survey or Questionnaire Feature, Client Records, Statistical Data Feature, Low-Income Population, Handicapping Conditions and Problems, Elderly, Income Maintenance
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1424 **PROJECT NO:** ORS 76-15 (11071)
FUND SOURCE: Salaries and Expenses (S&E) **NTIS NO:** Not yet determined
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT/GRANT NO: Not available
COST (In Thousands): FY 76-Not available
PROJECT STATUS: Study completed,
 Available from EDC
START/END DATES: January '76 through December '77

1080

AGENCY SPONSOR: Social Security Administration
PROJECT TITLE: Survey of Young Survivors
REPORT TITLE: Not yet determined
PERFORMER: Office of Research and Statistics, SSA
AGENCY CONTACT: Finegar, Wayne; Universal North Bldg;
 202-673-5640
PROGRAM(S) EVALUATED:
 SSA-Old Age & Survivors Insurance-Benefit
 Payments-Survivors Insurance

ABSTRACT: The Survey of Young Survivors will focus on families with maternal or paternal orphans under 18 currently receiving monthly benefits. At the time of sampling from the record system, benefit and earnings data will also be extracted. Data will be gathered by nationwide survey on demographic, income, employment, and family life characteristics. Future changes in covered employment and payment status for the surviving parent may be followed through social security records. The study will evaluate the survivor program for: a) overall effectiveness of income replacement when a worker dies, b) specific impact of program provisions such as the family maximum and earnings test on survivors economic status, and c) the relative roles at different income levels of social security benefits, lump sum or monthly private insurance payments, private or union pensions, welfare, and the survivor's own earnings.

DESCRIPTORS: Survey or Questionnaire Feature, Income Maintenance, Children and Youth, Means Testing
EVALUATION TYPE: Program Impact

EDC ID NO: 1411 **PROJECT NO:** ORS 76-02, (10320)
FUND SOURCE: Salaries and Expenses (S&E) **NTIS NO:** Not yet determined
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT/GRANT NO: Not available
COST (In Thousands): FY 76-Not available
PROJECT STATUS: Study in process
START/END DATES: January '76 through June '79

1081

AGENCY SPONSOR: Social Security Administration
PROJECT TITLE: Disabled Worker Benefit Entitlement and Termination Study
REPORT TITLE: Termination Experience of Disabled Worker Beneficiaries Awarded in 1957-1967
PERFORMER: Office of Research and Statistics; SSA
AGENCY CONTACT: Cormier, Robert; Rm. 3-C-2, Meadows East Bldg.; 301-594-0418
PROGRAM(S) EVALUATED:
 SSA-Disability Insurance

ABSTRACT: This project will provide historical perspective on a year-by-year basis starting in 1957 for year of entitlement and year of termination of the disability benefit population. Changes and trends in its composition will be examined in relation to demographic factors, entitlement periods and reasons for termination of benefits. Where the benefit was terminated because of recovery, data will be presented on the reentitlement to benefits, reasons for subsequent terminations, and the length of time on and off the benefit rolls. (To be completed upon receipt of final report.)

DESCRIPTORS: Handicapping Conditions and Problems, Income Maintenance, Statistical Data Feature, Trends and Forecasts
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1407 **PROJECT NO:** ORS 75-09, RP-68
FUND SOURCE: Salaries and Expenses (S&E) **NTIS NO:** Not yet determined
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT/GRANT NO: Not available
COST (In Thousands): FY 75-Not available
PROJECT STATUS: Study completed
START/END DATES: January '75 through December '76

1082

AGENCY SPONSOR: Social Security Administration**PROJECT TITLE:** Longitudinal Retirement History Study (LRHS)**REPORT TITLE:** 1969-1973 Highlights of Change, Retirement History Study**PERFORMER:** Office of Research and Statistics; SSA**AGENCY CONTACT:** Irelan, Lola; Universal North Bldg; 202-673-5636**PROGRAM(S) EVALUATED:**
SSA-Old Age & Survivors Insurance-Benefit
Payments-Retirement Insurance

ABSTRACT: The 10-year Retirement History Study is designed to provide insight into the dynamics of the retirement process. The longitudinal data it produces will provide information on actual changes in the lives of workers as a consequence of retirement and aging, contrasted with changes inferable from cross sectional studies. It will supply to program evaluators and planners within the SSA, to legislators and to other government and non-government organizations, information on the circumstances affecting the patterns of work and retirement for Americans up to, at least, the age of 73 years. This will include such closely program-relevant matters as the timing of retirement, levels of income replacement, the effects of various levels of both anticipated and actual retired income, reactions to the retirement test, the adequacy of social security benefits and medicare, and the private pension and health insurance programs which complement them.

DESCRIPTORS: Statistical Data Feature, Elderly, Pensions, Health Insurance and Financing, Client Records**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 1386**PROJECT NO:** ORS 68-01, RP-8 (10210)**FUND SOURCE:** Salaries and Expenses**NTIS NO:** Not yet determined**MECHANISM:** In-house**ERIC NO:** Not available**CONTRACT NO:** Not available**COST (In Thousands):** FY 73-Not available, FY 74-Not available, FY 75-Not available, FY 76-Not available, FY 76.1-Not available, FY 77-Not available**PROJECT STATUS:** Study in process**START/END DATES:** January '68 through December '86

work incentives as these influence the work/benefit application decision. Third, levels of medical severity (in terms of symptoms and diagnoses will be established.

DESCRIPTORS: Handicapping Conditions and Problems, Social Attitudes and Public Opinion, Survey or Questionnaire Feature**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 1740**PROJECT NO:** SSA-78-002**FUND SOURCE:** Salaries and Expenses**NTIS NO:** Not yet determined**MECHANISM:** Transfer, In-house**ERIC NO:** Not available**CONTRACT/GRANT NO:** Not yet determined**COST (In Thousands):** FY 78-\$1373, FY 79-\$115**PROJECT STATUS:** Study in process**START/END DATES:** FY 78 through FY 80

1083

AGENCY SPONSOR: Social Security Administration**PROJECT TITLE:** 1978 Survey of Disability and Work**REPORT TITLE:** Not yet determined**PERFORMER:** Division of Disability Studies; Office of Research and Statistics; Baltimore, MD 21235**AGENCY CONTACT:** Krute, Aaron; Rm 3-C-2, Meadows East Building; Baltimore, MD 21235; 301-594-0301**PROGRAM(S) EVALUATED:**
SSA-Supplemental Security Income;
HD-Work Incentives

ABSTRACT: This survey is an attempt to gain cross-sectional data for national population estimates that deal with the two related issues in disability research growth in the disability insurance program and continuance of work despite chronic health problems. This survey will have three major objectives. The first involves collection of data on the public's knowledge of government programs in the area of disability, particularly social security disability insurance and supplemental security income. The second objective is an examination of

OFFICE OF THE SECRETARY

OFFICE OF THE ASSISTANT SECRETARY FOR MANAGEMENT & BUDGET

1084

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for
Management & Budget

PROJECT TITLE: Health Care Cost Containment-- A Compilation
of Research Projects

REPORT TITLE: Health Care Cost Containment-- A Compilation of
Research Projects

PERFORMER: Office of the Assistant Secretary for Management
and Budget; HEW

AGENCY CONTACT: Wormser, Ellen; 513H, South Portal;
202-245-7281

PROGRAM(S) EVALUATED:

HCFA-Medicaid-Basic Care;
HCFA-Medicare-Hospital Insurance-Benefit Payments;
V-Many HEW Programs-Health;
V-Many HEW Programs-Social Research & Statistics

ABSTRACT: This report presents a compilation of HEW's research, demonstration, and evaluation studies which are primarily concerned with health care cost containment. Included are intramural and extramural projects on restraining hospital, physician, auxiliary service or technological cost increases. It briefly describes each project started in the last four years, including dates and funding levels, by HEW agency. About 200 projects are covered. The report is available from the Office of the Assistant Secretary for Management and Budget, HEW. (Final report 133 pages).

DESCRIPTORS: Health Insurance and Financing, Social Research, Medical Practice, Hospitals, Physicians, Demonstration Projects, Paraprofessionals, Bibliography Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1250

PROJECT NO: ASMB 77-01

FUND SOURCE: Salaries and
Expenses

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (in Thousands): FY 77-Not available

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: June '76 through April '77

1085

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for
Management & Budget

PROJECT TITLE: Options for Internal Organization, Department of
Health, Education, and Welfare

REPORT TITLE: Options for Internal Organization, Department of
Health, Education, and Welfare

PERFORMER: Working Group on Internal Organization; ASMB,
HEW

AGENCY CONTACT: Mahoney, James; Rm 514E South Portal;
202-245-6162

PROGRAM(S) EVALUATED:

DM-General Departmental Management-Direction;
E-ASE-Assistant Secretary for Education-Program
Direction;
PHS-OASH-Assistant Secretary for Health-Program
Direction;
HD-OASHD-Program Direction;
HCFA-Program Direction & Management;
SSA-Commissioners Office

ABSTRACT: The study lays out options for internal reorganization of HEW. It contains criteria for evaluating organizational proposals and compares the impacts of some key options pertaining to HEW's cash assistance programs (Social Security, Old Age, Survivors' and Disability Insurance, Supplemental Security Income, and Aid to Families with Dependent Children); health care financing (Medicare and Medicaid); and management functions (planning and evaluation, financial management, budgeting, legislative planning, etc.). The study provided a substantial input to a major HEW reorganization involving substantial consolidation announced in March, 1977. This reform included (a) creation of a new Health Care Financing Administration to include Medicaid and Medicare, (b) transfer of the AFDC program to the Social Security Administration, (c) transfer of social services programs to the Office of Human Development, (d) transfer of certain student assistance programs involving health professions to the Office of Education, and (e) merger of the budgeting and management functions of the Office of the Secretary. See also Fact Sheet on HEW Reorganization, March, 1977. (Final report 93 pages plus appendices of 97 pages).

DESCRIPTORS: Reorganization, Planning, Budgeting, Program Coordination, Health Insurance and Financing, Income Maintenance, Federal Administration, Scholarships Fellowships and Loans
EVALUATION TYPE: Program Strategy

EDC ID NO: 1249

PROJECT NO: ASMB 76-01

FUND SOURCE: Salaries and
Expenses

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: EDC Only

CONTRACT NO: Not available

COST (in Thousands): FY 76.1-Not available, FY 77-Not
available

PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: July '76 through December '76

OFFICE OF THE ASSISTANT SECRETARY FOR PLANNING & EVALUATION

1086

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Additional Data Analysis and Evaluation of
"Project Newgate" and other Prison College Programs

REPORT TITLE: Additional Data Analysis and Evaluation of
"Project Newgate" and other Prison College Programs

PERFORMER: Marshall Kaplan, Gans, and Kahn; 560 Pacific
Avenue; San Francisco, California 94133

AGENCY CONTACT: Baker, Keith; Rm 426-F HHH Bldg;
202-245-1881

PROGRAM(S) EVALUATED:
V-Non-HEW Programs-Education

ABSTRACT: This study is a supplementary analysis of data generated by an earlier OEO-sponsored study. This study is an evaluation of Project Newgate and other prison college programs. It charts the post prison success of program participants and the level of academic achievement of released prisoners. Analysis is also made of the relationship between program quality and post-release success of the participants. In general, the study demonstrates that prison college programs can have a significant impact on their participants in reducing alcohol and drug use and in raising participant's aspirations, goals, and achievements in both academic and occupational senses. These average groups of prisoners went on to attend college and obtain post-secondary degrees at a rate comparable to that of an average segment of the civilian population. Of the five different programs evaluated, Project Newgate was found to have had the greatest impact, due in part to its greater use of pre and post release supportive services. No effect on recidivism was found. (Final report 124 pages.)

DESCRIPTORS: Crime, Higher Education, Case or Site Study Feature,
Educational Administration, Supportive Services
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0408 **PROJECT NO:** ASPE/E 74-11
FUND SOURCE: Evaluation **NTIS NO:** PB 241-467
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-OS-74-168
COST (In Thousands): FY 74-\$40
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: May '74 through March '75

1087

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: A Descriptive Study of the Farmworker
Population and the Settling Out Process

REPORT TITLE: An Agricultural Continuum: A Descriptive Study
of the Farmworker Population and the Settling Out Process

PERFORMER: Development Associates, Inc; Washington, D.C.

AGENCY CONTACT: Favors, Jean, M.; 202-245-6211

PROGRAM(S) EVALUATED:
HD-Work Incentives-Program Direction Evaluation &
Research;
E-OE-Elementary & Secondary-Grants for the
Disadvantaged-Migrants;
V-Non-HEW Programs-Employment;
V-Non-HEW Programs-Other

ABSTRACT: This document describes the nature of the farmworker population in the United States and the settling out process which it is undergoing. The study was made in order to determine what federal policy/programmatic changes would be needed to maximize the probability that barriers to socio/ economic advancement for farmworkers would be removed. The report recommended that the Federal government develop a coordinated posture which took into account the continuing and perhaps increasing need for a hired farm work force. The report suggests that this strategy should have as two of its major objectives the upgrading of the agricultural work environment so as to make farmwork a realistic occupational alternative rather than one of last resort, and the creating of a socio-economic infra-structure in rural America. The report concludes with six specific recommendations on how this strategy could be carried out. (Final report 139 pages.)

DESCRIPTORS: Survey or Questionnaire Feature, Migrants, Economic Growth, Urban or Rural Places or Problems, Labor Market Problems, Training and Employment of the Disadvantaged
EVALUATION TYPE: Program Strategy

EDC ID NO: 0356 **PROJECT NO:** ASPE-72-17
FUND SOURCE: Evaluation **NTIS NO:** PB 244-712
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-OS-72-217
COST (In Thousands): FY 72-Not available
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: June '72 through June '73

1088

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: An Evaluation of Sources of Funds and Barrier
to Funding

REPORT TITLE: The Alcoholism Funding Study: Evaluation of
Sources of Funds and Barriers to Funding Alcoholism
Treatment Programs

PERFORMER: Booz, Allen and Hamilton, Inc.; 1025 Connecticut
Ave., N.W.; Washington, D.C. 20036

AGENCY CONTACT: Hewitt, Warren; Rm 446F HHH Bldg;
202-245-1870

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Alcoholism-Community Programs-Project Grants

ABSTRACT: The study examined the issue of sources of funds for NIAAA alcoholism programs and the barriers to long term funding of these programs. The study found major third-party reimbursement deficiencies in alcoholism financing; NIAAA projects primarily those serving poverty and ethnic and racial minorities who would discontinue operation if federal funds were terminated; that a parallel system of alcoholism treatment is run by the States; and the other by the Federal Government. The study found major program management deficiencies. (Final 313 pages).

DESCRIPTORS: Alcoholism, Literature Review Feature, Case or Site Study Feature, Statistical Analysis Methods Feature, Grants-in-Aid, Evaluation by State and Local Governments

EVALUATION TYPE: Program Strategy

EDC ID NO: 1562 **PROJECT NO:** ASPE/H 77-1

FUND SOURCE: Evaluation **NTIS NO:** PB 288-776

MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: HEW-100-77-0030

COST (In Thousands): FY 77-\$183

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: October '77 through June '78

PROJECT STATUS: Study in process

START/END DATES: August '77 through July '78

1090

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Analysis of Impact of the Educational Program
Consolidation Proposal on the States

REPORT TITLE: Not yet determined

PERFORMER: Lawrence L. Brown, III; 821 Arcturus on the
Potomac; Alexandria, Va. 22308; 703-768-7841

AGENCY CONTACT: Ginsburg, Alan; 408F-1, HHH Bldg.;
202-245-1886

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Education;
E-OE-Elementary & Secondary

ABSTRACT: The Ford Administration proposed the consolidation and reform of 24 existing elementary and secondary education authorities as the cornerstone of its legislative policy in education. The proposal would have pared down the 24 separate legislative titles into three legislative areas: special education, vocational education, and a residual fund category. However, this proposed reform raised serious questions of impact. The objective of this project is to clarify the probable distributions and use of funds under such consolidation.

DESCRIPTORS: Educational Finance, Grants-in-Aid, Grant-in-Aid Formulas, Federal Role, Compensatory Education

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1195 **PROJECT NO:** P-76-184-E

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: HEW-100-76-0153

COST (In Thousands): FY 76-\$10

PROJECT STATUS: Study completed

START/END DATES: June '76 through October '76

1089

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Analysis of Human Services Information Systems
Transferability

REPORT TITLE: Not yet determined

PERFORMER: Public Technology, Inc.; 1140 Connecticut Avenue,
N.W.; Washington, D. C. 20036; 202-452-7750

AGENCY CONTACT: Raymond, Bob; Rm. 443D, HHH Bldg.; 200
Independence Ave., S. W.; Washington, D. C. 20201;
202-245-7316

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Social Services

ABSTRACT: The purpose of the project is to analyze and assess human services information systems transfers undertaken in multiple projects. This includes: 1) Monitoring and assessing the transfers; 2) Analyzing the factors which affect or promote transfer at project sites; 3) Performing cross-site comparison; 4) Developing case studies, transfer guidelines, and workshop on systems transfer; 5) Commissioning papers on human services information systems and issues impacting transferability; 6) Assessing the utility of an "Information Systems Want Ad".

DESCRIPTORS: Technology Assessment or Transfer, Information Dissemination, Information Systems

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1557 **PROJECT NO:** P-77-055 SSHD

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: HEW-100-77-0059

COST (In Thousands): FY 77-\$196

1091

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Distribution of OE Discretionary Funds, Region V
(Analysis of OE Special Projects Grants Distribution to
LEA's in Region V)

REPORT TITLE: Analysis of OE Special Project Grants Distribution
to LEA's in Region V

PERFORMER: DBS Corporation; 1515 Wilson Boulevard;
Arlington, Virginia

AGENCY CONTACT: Hendricks, Michael; HEW Region V;
312-886-5191

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-Grants for
Disadvantaged-LEAS

ABSTRACT: The relationship between DHEW and the elementary and secondary school system was studied and resultant recommendations include importance of paying greater attention to specification of target population's needs, DHEW development of cost estimates expansion of the LEA concept, DHEW assistance to local authorities in developing special organizational groupings, and other recommen-

dations concerning DHEW strategy, data, and application process. The report should be useful to study: a) Special Project Grants Distribution in Region V; b) application and award process; c) relationship between HEW Headquarters, Regional Staff, and local school authorities; d) collection and organization of data to assist HEW and study the effectiveness of education support programs; and, e) policy analysis for administration of nationwide HEW programs. (Final report 247 pages.)

DESCRIPTORS: Educational Finance, Federal Administration, Educationally Disadvantaged, Federal State and Local Relations, Grant and Contract Management, Information Systems, Management Techniques, Survey or Questionnaire Feature, Statistical Analysis Methods Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0414 **PROJECT NO:** E/Reg. V-74-1
FUND SOURCE: Evaluation **NTIS NO:** PB-246 277
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-OS-74-227
COST (In Thousands): FY 74-\$44
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: 10 '74 through March '75

1092

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Health Care Financing Evaluation Design

REPORT TITLE: Analysis of the Feasibility, Costs and Computer
Resource Requirements for a Health Credit Card System
Under National Health Insurance

PERFORMER: American Management System, Inc; 1515 Wilson
Blvd.; Arlington, VA 22101

AGENCY CONTACT: Byrnes, Mary; Rm. 731E, HHH Bldg.;
202-472-3026

PROGRAM(S) EVALUATED:
HCFA-Medicare

ABSTRACT: Several Health Insurance Proposals, including the Comprehensive Health Insurance Program (CHIP), include health credit system provisions which will require the development of enhanced administrative and processing capabilities, especially in maintaining the data base, account status query, and billing and collection of the insured's cost-sharing. This study examined these problems. It found that the direct costs for performing these functions are estimated at \$1 billion annually. The major portion of these incremental costs would be incurred in maintaining the data base. A substantial portion, however, would be incurred in a national health insurance program without a health credit system. Moreover, the minimum coverage provisions of CHIP would promote greater standardization in the health insurance industry, thus inducing the industry toward increased automation in claims processing systems which could form the building blocks for more complex health credit systems. (Final report 158 pages).

DESCRIPTORS: Health Insurance and Financing, Health Care Delivery Systems, Cost-Effectiveness Analysis Feature

EVALUATION TYPE: Program Strategy

EDC ID NO: 1154 **PROJECT NO:** P-76-004-H
FUND SOURCE: Evaluation **NTIS NO:** PB 251-278
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HEW-100-76-0016

COST (In Thousands): FY 76-\$44

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: July '75 through February '76

1093

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Analysis of the Use of Estimated Income and
Taxes as a Basis for Federal Student Financial Aid

REPORT TITLE: Not yet determined

PERFORMER: Consad Research Corporation; 121 N. Highland
Avenue; Pittsburgh, Pa. 15206; Lawrence Lerer 412-363-5500

AGENCY CONTACT: Goggin, William; 403E, HHH Bldg.;
202-245-1880

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Student Assistance-Basic
Opportunity Grants

ABSTRACT: The purpose of this project is to assess the expected impacts on federal student aid programs of using estimates of income and taxes to determine financial aid awards. The contractor is performing three related research activities: 1) the review and critical analysis of existing studies investigating the accuracy of estimates of income and taxes which have been submitted in support of applications for student financial aid; 2) the assessment of the likely effects of estimation errors of the magnitudes reported in these existing studies on both the total amount and the distribution of awards in federal student aid programs; and 3) the development of recommendations concerning the economic and administrative feasibility, and the most appropriate form of, procedures for the systematic verification of estimates of income and taxes.

DESCRIPTORS: Scholarships Fellowships and Loans, Means Testing, Higher Education, Management Techniques, Literature Review Feature

EVALUATION TYPE: Program Strategy

EDC ID NO: 1193 **PROJECT NO:** ASPE 76-175-E
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-100-76-0213
COST (In Thousands): FY 76.1-\$50
PROJECT STATUS: Study completed
START/END DATES: September '76 through March '77

1094

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Analysis of Title I Comparability Reports

REPORT TITLE: Not yet determined

PERFORMER: Lambda Corporation; 1501 Wilson Blvd.; Arlington,
Va. 22209

AGENCY CONTACT: Ginsburg, Allen; 408F, South Portal;
202-245-1886

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-Grants for Disadvantaged

ABSTRACT: Under a comparability requirement, districts which receive Title I monies must provide Title I project schools with services at least equal to those provided to the non-project schools. This guideline is necessary to assure equal treatment of project schools and that Title I funds are not used to supplant the services a district provides under its regular program. This study is to provide summary reports of the comparability status of individual districts and of districts by State.

DESCRIPTORS: Grant-in-Aid Formulas, Compensatory Education, Educational Finance, Equity of Benefits

EVALUATION TYPE: Program Impact

EDC ID NO: 0639

PROJECT NO: ASPE/E 74-3

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 74-123

COST (In Thousands): FY 74-\$48

PROJECT STATUS: Study completed

START/END DATES: February '74 through August '74

1095

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Analysis of 1973 Participation of Handicapped
Children in Local Education Programs

REPORT TITLE: Analysis of 1973 Participation of Handicapped
Children in Local Education Programs

PERFORMER: DBS Corporation; 1515 Wilson Blvd; Arlington, Va
22209

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

E-OE-Handicapped-Special Population Programs

ABSTRACT: This study analyzes the impact of regional, racial, ethnic, and socio-economic factors upon the four components of special education participation, the educable mentally retarded, trainable mentally retarded, special disabilities programs and other special education. Analysis was accomplished for four delineated geographic regions, and for the nation as a whole by merging various pertinent data sources for the year 1973. The distribution of local special educational services was also examined in order to clarify the assumption that most children attending such programs live in the school district providing the program. Three general facts were shown to create differences in rates of pupil participation in all aspects of special education. First, significant variation existed in special education among the four regions of the country. Second, it was found that a pupil's racial ethnic background had a strong effect on his likelihood of being placed in special education programs. In particular, minority children were involved in special education at a much higher rate than non-minority children. Third, school district socioeconomic conditions had a considerable impact on the rates at which pupils participated. Specifically, as a district became poorer, smaller, less urban and more minority students participated at higher rates. (Final report 141 pages.)

DESCRIPTORS: Statistical Data Feature, Handicapping Conditions and Problems, Elementary Education, Secondary Education, Elementary and Secondary Students

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0427

PROJECT NO: ASPE 75-024

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW 100-75-0068

COST (In Thousands): FY 75-Not available

PROJECT STATUS: Study completed,

Executive summary at EDC,

Available from EDC

START/END DATES: June '74 through September '75

1096

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Preparation of Background on and Evaluation
Plan for Assessment of National Area Health Education
Center Program

REPORT TITLE: Approaches and Issues in Evaluation of the Area
Health Education Centers Program

PERFORMER: George Stiles, Jr.; 37 White Oak Trail; Chapel Hill,
North Carolina 27514

AGENCY CONTACT: Bladen, Christopher; 732E, HHH Bldg;
202-245-6233

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower-Special
Education Programs

ABSTRACT: This project is an evaluation design contract. It will provide summary documentation on the background, goals and objectives of the national area health education center program (AHEC), as viewed by the Federal, state and local personnel. Based on this, an evaluation design or framework will be developed. The national AHEC program consists of eleven projects. Each AHEC is a "system" linking health service institutions and educational organizations to serve both the needs of the students and the surrounding communities. The goal of the program is to affect the distribution of health personnel through changes in the educational process, involving inter-institutional systems. The report will indicate methods by which to secure direct and proxy evidence of the degree to which the program has, in fact, impacted the distribution of health manpower. It will call for assessment of changes at the educational level, the remote-or community-level; and the administrative and operational functions, systems and procedures which link central and remote organizations both together as well as to the process of manpower needs assessment.

DESCRIPTORS: Research and Evaluation Planning and Administration, Health Manpower Training, Urban or Rural Places or Problems, Research and Evaluation Methods Feature, Needs and Needs Assessment

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1201

PROJECT NO: P-76-202-H

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: SA-10182-76

COST (In Thousands): FY 76.1-\$8

PROJECT STATUS: Study completed

START/END DATES: June '76 through November '77

1097

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Analysis of Research/Evaluation Rating Systems
with Special Focus on Common Sources of Flaws and
Failures

REPORT TITLE: Approaches to Assessing the Methodological
Quality of Research

PERFORMER: Carnegie Mellon University; 500 Forbes Street;
Pittsburgh, Pa.; Shirley Angrist

AGENCY CONTACT: Turek-Brezina, Joan L.; 448G, HHH Bldg.;
202-245-7155

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Social Research & Statistics;
V-Many HEW Programs-Planning & Evaluation

ABSTRACT: This study identified and analyzed common sources of
methodological flaws or failures in HEW funded research/evaluation
projects and of the ability of raters (e.g., peer review) to detect them.
The study will re-analyze data developed under earlier research stu-
dies funded

DESCRIPTORS: Research and Evaluation Performance, Research and
Evaluation Planning and Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1186 **PROJECT NO:** P-76-134-TSS

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HEW-100-76-0122

COST (In Thousands): FY 76-\$9

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: June '76 through June '77

1098

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Asian American Field Study: Reconceptualization
and Creation of Consumer Data Files

REPORT TITLE: Asian American Field Study: Summary of the
Data

PERFORMER: Georgia State University; University Plaza; Atlanta,
Ga. 30303; Dr. Keewhan Choi, 404-658-2249

AGENCY CONTACT: Pian, Canta; 419E, HHH Bldg.; 202-245-7515

PROGRAM(S) EVALUATED:
V-Many HEW Programs

ABSTRACT: The Asian American Field Survey is a study of the
characteristics of selected Asian American communities in low-in-
come urban areas and those populations' need for and use of health,
education, and welfare services. Five groups in three cities--the Chi-
nese in New York City, the Philipinos in San Francisco, and the
Japanese, Koreans and Samoans in Los Angeles were surveyed. The
object of this report is to produce a comprehensive summary of the
data from this survey. We hope that this summary will provide the
impetus and groundwork for in-depth analysis of the Asian American
Field Survey data.

DESCRIPTORS: Asian Americans, Consumer Evaluation Feature, Sur-
vey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1191

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: HEW-100-76-0168

COST (In Thousands): FY 76,1-\$33

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: June '76 through May '76

1099

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Compilation and Evaluation of Reference Data on
Asian Americans

REPORT TITLE: Asian American Reference Data Directory
PERFORMER: RJ Associates, Inc.; 1018 Wilson Blvd; Arlington,
VA 22209; Rosalyn Kane, 524-3360

AGENCY CONTACT: Young, Janie; Rm 419E, HHH Bldg.;
202-245-6874

PROGRAM(S) EVALUATED:
V-Many HEW Programs

ABSTRACT: The purpose of the Asian American Reference Data
Directory is multifold. It is a research, planning and evaluation tool
for use by HEW, other Federal agencies, State and local govern-
ments, as well as research and service institutions and individual
scholars. The Directory contains abstracts of some 480 of the major
reference materials related to the health, education and social welfare
characteristics of Asian Americans. It also includes reference materi-
als in areas such as demography, curriculum development, employ-
ment and training, culture, immigration, and housing. All of the
abstracts are broken out by four indices: subject, geography, sub-
group and author. (Final report 589 pages).

DESCRIPTORS: Asian Americans, Bibliography Feature, Non English
Speaking, Immigrants and Refugees, Compensatory Education
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1162

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: HEW-100-76-0011

COST (In Thousands): FY 75-\$40, FY 76-\$40

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: July '75 through January '76

1100

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Assessing Ambulatory Care: An Evaluation
Project

REPORT TITLE: Assessing Ambulatory Care: The Use of an
Enriched Encounter F

PERFORMER: Georgetown Univ.; 37 and O streets N.W.;
Washington, D.C. 20201
AGENCY CONTACT: Schiffer, Clara; 201-245-7150
PROGRAM(S) EVALUATED:
V-Many HEW Programs-Health

ABSTRACT: A method for evaluating ambulatory health services based on sampling and assessing sets of different units of the medical process is described. Medical process units are selected in such a way that they are indicators or tracers which highlight important aspects of medical care delivery. In adapting the tracer method of evaluation to data that could be collected on a patient encounter form, an effort was made to develop a pragmatic system that could be useful as a quality assessment tool. During 1974, data were collected for six medical practices in Washington, D.C. and involved 9,188 encounters between 7,480 adult patients and their medical care providers. The management of a set of four indicator or tracer conditions served as a vehicle for structuring the analysis of medical care data. The utilized tracers were hypertension, anxiety and depression, urinary tract infection, and anemia. Encounter form design procedures are described, along with sampling procedures and data processing and analysis techniques. Study results are presented in terms of patients and services, selected medical processes, and costs. It is concluded that the encounter form method can be a useful technique for managerial and quality assessment needs of medical practices. This is a follow-on to EDC 0031 and was surveyed by EDC 1176. (Final report 211 pages).

DESCRIPTORS: Ambulatory and Outpatient Health Care, Research and Evaluation Methods Feature, Bibliography Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0468 **PROJECT NO:** ASPE/H 75-1
FUND SOURCE: Evaluation **NTIS NO:** PB 251-317
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-100-75-0110
COST (In Thousands): FY 75-\$44
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: May '75 through November '75

1101

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Assessing Ambulatory Care

REPORT TITLE: Assessment of Ambulatory Care: Policy
Implications for Quality Assurance Systems

PERFORMER: University of Mass Medical School; Worcester,
MASS; Janet A. Albrecht and David M. Kessner, M.D.
AGENCY CONTACT: Schiffer, Clara; Rm 446F HHH; 202-245-6456
PROGRAM(S) EVALUATED:
HCFA-Quality Assurance;
PHS-OASH-Special Health Programs

ABSTRACT: The major objective of this study was to compare the utility and cost of three different data sources-enriched encounter form, medical record review, and selected patient interview and examination-in assessing process and outcome of four common ambulatory health problems. A sample of approximately 9000 adult patient encounters was studied at six different ambulatory care settings. The study revealed that medical care assessments based on encounter form data can be misleading and should not be used as a sole source of data for evaluating ambulatory care. A limited chart abstract and

selective patient interview and examination provides process and outcome data in addition to information on compliance and patient understanding. The inherent problems of ambulatory care assessment, the difficulty of influencing provider behavior, and the substantial costs of evaluation programs strongly suggests that it would be premature to initiate a national program for assessing ambulatory services.

DESCRIPTORS: Ambulatory and Outpatient Health Care, Quality Assurance, Information Systems

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1561 **PROJECT NO:** ASPE/H 75-6
FUND SOURCE: Evaluation **NTIS NO:** PB 291-744
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW 100-76-0105,75-11
COST (In Thousands): FY 75-\$44, FY 76-\$5
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: April '75 through December '78

1102

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Assessment of State and Local Government
Evaluation Practices

REPORT TITLE: Assessment of State and Local Government
Evaluation Practices in Human Services

PERFORMER: University of Denver- Colorado Seminary; Denver
Research Institutes; Denver, Colorado 90210; 303-753-3464
AGENCY CONTACT: Henton, Doug; 443D, HHH Bldg.;
202-245-7316

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Planning & Evaluation

ABSTRACT: This project assesses the practices used by State and local governments to evaluate their human service delivery systems. It describes in detail nine operational evaluation systems; assesses the effectiveness of each system from conceptual, methodological, and management perspectives; and assesses the effectiveness of each system in providing information on the efficiency and/ effectiveness of service programs for decision-makers. The transferability of evaluation systems to other jurisdictions is discussed. Products include nine site profiles and an aggregate report. (Final Report 93 pages)

DESCRIPTORS: Evaluation by State and Local Governments, Research and Evaluation Planning and Administration, Case or Site Study Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1189 **PROJECT NO:** P-76-138-SS/HD
FUND SOURCE: Evaluation **NTIS NO:** SHR-000-2103-2112
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-100-76-0158
COST (In Thousands): FY 76-\$53
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: June '76 through February '77

1103

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Basic Educational Opportunity Grant
Underutilization

REPORT TITLE: Not yet determined

PERFORMER: College Entrance Examination Board; 888 Seventh
Avenue; New York, N.Y. 10019

AGENCY CONTACT: Goggin, Bill; 426F South Portal;
202-245-1880

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Student Assistance-Basic
Opportunity Grants

ABSTRACT: Current evidence suggests that a significant number of eligible youths have not drawn upon their BEOG entitlement in the first two years of the program. This contract will identify and describe eligible youths who do not utilize the grants. Factors of underutilization will be examined.

DESCRIPTORS: College Students, Higher Education, Scholarships
Fellowships and Loans

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0629 **PROJECT NO:** ASPE/E 75-5

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: 100-75-0183

COST (In Thousands): FY 75-\$43

PROJECT STATUS: Study completed

START/END DATES: June '75 through December '75

1104

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Bias and Nonresponse Resulting from Informed
Consent Procedures in Survey Research on High School
Students

REPORT TITLE: Bias and Nonresponse Resulting from Informed
Consent Procedures in Survey Research on High School
Students

PERFORMER: University of Akron Sociology Dept; Akron, Ohio
44325; Lloyd B. Leupow

AGENCY CONTACT: Baker, Keith; 426 F, South Portal
202-245-1883

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Education;
V-Many HEW Programs-Social Research & Statistics

ABSTRACT: The purpose of the contract is to evaluate the extent to which requirement of parental consent in education research introduces data bias. Utilizing the differences between student only and student plus parental consent response rates to questionnaires administered to four thousand high school seniors in nineteen Wisconsin schools, the contractor determined the presence of any bias pursuant to informed consent procedures on data collection procedures. The subject survey involved adolescent sex roles and was supported by NIMH. The study found that biases were minimal, with the only significant differences occurring due to absence and non-attendance. 100% of the students were willing to participate but the parental consent procedure reduced participation appreciably. However, not one objection was received from either student or parent, which suggests that these subjects were protected at some cost from a risk they did not perceive to exist. (Final report 44 pages).

DESCRIPTORS: Privacy and Confidentiality, Survey or Questionnaire
Feature, Social Research, Women

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0632 **PROJECT NO:** ASPE/E 75-9

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: SA 8881-75

COST (In Thousands): FY 75-\$7

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: July '75 through October '75

1105

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Buckley Amendment Studies Conducted for
HEW

REPORT TITLE: Buckley Amendment Studies Conducted for
Department of Health, Education, and Welfare

PERFORMER: National Committee for Citizens in Education;
Wilde Lake Village; Columbia MD 21044; Stuart A. Sandow

AGENCY CONTACT: Wheaton, Jerry; Rm 426F, South Portal
Bldg; 202-245-1882

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Education

ABSTRACT: In order to further identify problems in implementing the Family Educational Rights and Privacy Act and to define the appropriate role for HEW in monitoring compliance with the law, the Office of the Secretary of HEW commissioned a study from the National Committee for Citizens in Education. The overall purpose of the several topics was to assist the Department in assessing the impact of the Act on institutions, gauging their efforts to bring themselves into compliance, and identifying problem areas requiring administrative, regulatory, or legislative attention. The study involved review of existing materials, Federal and interest group interviews, review of complaint files, and a survey of senior school officials at both state and local levels. The study found that the HEW office of compliance is understaffed in relation to workload, that 85% of the inquiries were not FERPA related, that public understanding of FERPA rights is poor and can be improved by district efforts, that the present funds cut-off penalty is too severe to ever be effective, that citizen monitoring would not be a cost-effective method of compliance to be subsidized by HEW, that exemplary practices exist (examples given) and that HEW should disseminate more and better public information materials. (Final report 72 pages).

DESCRIPTORS: Privacy and Confidentiality, Management Information
and Reporting, Client Records, Regulation and Standard Setting,
Survey or Questionnaire Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0518 **PROJECT NO:** ASPE/E 75-7

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Contract **ERIC NO:** EDC only

CONTRACT NO: SA 4235-75

COST (In Thousands): FY 75-\$10

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: April '75 through June '75

1106

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Mega Proposal

REPORT TITLE: Capacity Building

PERFORMER: Office of the Assistant Secretary for Planning and
Evaluation; HEW

AGENCY CONTACT: Britten, Gerald; Rm 447-D, South Portal;
202-245-9774

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Grants in Aid;
V-Many HEW Programs-Employment & Training;
V-Many HEW Programs-Social Research & Statistics;
PHS-HRA-Health Resources-Health Manpower

ABSTRACT: One of the major components of the Mega Proposal was its set of capacity building proposals. These proposals sought to rationalize Federal programs designed to cause state, local, or private change in the supply or delivery of human services. The study found that the majority of HEW programs were nominally of those types, and proposed that continuing budgetary support be divorced from time-limited demonstration or incentives for change. A single professional training program consolidating dozens of existing programs was proposed, one to be designed to avoid the previous mistakes leading to engineer, teacher, and (by 1980) doctor surpluses. Basic student support would be through scholarships and guaranteed loans extended to graduate training. All technical assistance and demonstration programs would be consolidated and managed much more tightly, with continuing existence dependent on private, state, or local support (a few formula grant programs would provide substantial budgetary support). In effect, continuing service subsidies would no longer interfere with change agent and capacity building purposes of these programs. A substantial Federal social R&D role was also defined, with relatively little change from current policies. Many partial reforms and consolidations of the types recommended have subsequently been enacted, in health manpower, higher

DESCRIPTORS: Discretionary and Project Grant Performance, Federal Role, Equity of Benefits, Social Research, Technical Assistance, Social Work Manpower, Education Manpower, State or Local Administration, Health Manpower Training, Scholarships Fellowships and Loans, Grants-in-Aid

EVALUATION TYPE: Program Strategy

EDC ID NO: 1461.3

PROJECT NO: P In-house 73-01

FUND SOURCE: Salaries and
Expenses

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: EDC Only

CONTRACT NO: Not available

COST (In Thousands): FY 73-Not available

PROJECT STATUS: Study in process,

Study completed,
Available from EDC

START/END DATES: August '72 through December '72

REPORT TITLE: Changing Credential Objectives of Students in Post
Secondary Education

PERFORMER: Joseph Froomkin Inc; 1015 Eighteenth St., N.W.;
Washington, D.C. 20036

AGENCY CONTACT: Kramer, Martin; Rm 404E HHH Bldg;
202-245-1869

PROGRAM(S) EVALUATED:

E-OE-Higher Education-Institutional Aid-Post Secondary
Education Commissions;
E-OE-Higher Education-Student Assistance

ABSTRACT: The purpose of this report is to assist the federal government policymaker in developing a perspective for dealing with the question of continued financial support for students and educational institutions in spite of possible shortages of jobs requiring college degrees. Discussion focusses on the shortage of job opportunities and its effect on student objectives in post secondary education and on the operation of higher educational institutions. Policy alternatives are described in scenarios which trace the consequences of declining undergraduate enrollment. Comments on and reactions to the paper by knowledgeable persons in the field of higher education are also discussed. (Final report 72 pages).

DESCRIPTORS: Career or Vocational Education, College Students, Higher Education, Educational Finance, Scholarships Fellowships and Loans, Trends and Forecasts, Labor Market Problems, Statistical Analysis Methods Feature

EVALUATION TYPE: Support

EDC ID NO: 0406

PROJECT NO: ASPE-74-5

FUND SOURCE: Evaluation

NTIS NO: PB-246-939

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-OS-74-257

COST (In Thousands): FY 74-\$40

PROJECT STATUS: Study completed,

Executive summary at EDC,
Available from NTIS

START/END DATES: June '74 through December '74

1108

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Collection of Current Equalized Property Values
by Districts

REPORT TITLE: Collection of Current Equalized Property Values
by Districts

PERFORMER: National Planning Association; 1666 Conn. Ave.,
N.W.; Washington, D.C., 20009; Dr. B.J. Stone; 202-483-2260

AGENCY CONTACT: Kinard, Claude, III; Room 403E, South
Portal; 202-245-1886

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Education;
E-OE-Elementary & Secondary-Grants for Disadvantaged

ABSTRACT: Comprehensive and accurate information on State and Local educational finance systems is necessary for effective Federal policy development and evaluation in several areas. The federal government must, for instance, know if funds distributed under existing programs, largely categorical, are directed to high need districts and students. It is important for the national government to have the capacity to assess the fiscal implication of possible future Federal involvement in State/Local finance in a way through general assistance support. Data to assess Federal action in such areas as equalization, tax relief, and offsetting revenue inadequacies is, therefore critical.

1107

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Changing Credential Objectives of Students in
Post Secondary Education

DESCRIPTORS: Educational Finance, Statistical Data Feature, Compensatory Education, Elementary Education, Secondary Education, Grant-in-Aid Formulas, Taxation

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0641 **PROJECT NO:** P-76-029-EP
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-100-76-0085
COST (In Thousands): FY 76-\$49
PROJECT STATUS: Study completed
START/END DATES: October '75 through November '76

1109

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Collection of Equalized Property Values and School Revenues by District

REPORT TITLE: Not yet determined

PERFORMER: The Research Center National Planning Assoc.; 1666 Connecticut Ave., N.W.; Washington, D.C. 20009

AGENCY CONTACT: Ginsburg, Alan; 408 F, South Portal; 202-245-1886

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Education

ABSTRACT: A major educational finance issue is determining the Federal role vis-a-vis the states in undertaking equalization reforms to off-set wealth-based disparities in school district expenditures. The effects of Federal equalization reforms must be carefully understood if this decision is to be effectively reached. The purpose of this contract is to collect information on district tax base and school property taxes in order to simulate the effects of alternative Federal equalization policies. A representative set of school districts from the 1970 ELSYGIS survey will be used.

DESCRIPTORS: Educational Finance, Federal Role, Operations Research Feature, Survey or Questionnaire Feature

EVALUATION TYPE: Program Strategy

EDC ID NO: 0646 **PROJECT NO:** ASPE/E 74-10
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 74-288
COST (In Thousands): FY 74-\$49
PROJECT STATUS: Study completed
START/END DATES: June '74 through September '74

1111

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: An Evaluation of Community Mental Health Centers in Their 9th and 10th Years of Operation

REPORT TITLE: Community Mental Health Centers-A Decade Later

PERFORMER: Abt Associates, Inc.; Cambridge, Massachusetts
AGENCY CONTACT: Hewitt, Warren; 437E, HHH Bldg.; 202-245-7150

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Community Programs & Centers

ABSTRACT: This program was implemented on the basis of a "seed money" financing mechanism which provides funds for a limited period of time to centers meeting certain CMHC program requirements. This study was designed to evaluate the effectiveness of the seed money approach in financing CMHCs in accord with CMHC program objectives. However, a detailed case study analysis reveals that, after the actual termination of the staffing grants, CMHCs find that the potenti rate of growth in centers' budgets cannot keep pace with inflationary rises in program costs. Thus, providers of alternative funds come with their own program priorities which often differ from those of the federal CMHC program. Although there are no discernible changes in client characteristics resulting directly from the termination of the staffing grants, reduction in certain services suggests a potentially negative effect on the access of community mental health services to the poor and the elderly. For all of the seed money achievements, this strategy has been marred by flaws which can be considered in future policy discussions. Among these flaws is the CMHC program's inability to fully correct the maldistribution of mental health services in this country; the reluctance of federal officials to plan and coordinate community mental health services with state agencies; and the failure to train mental health executives who would manage centers effectively and thereby maximize the returns the federal government has made in community mental health.

DESCRIPTORS: Mental Health, Budgeting, Federal Role, Grant-in-Aid Formulas

EVALUATION TYPE: Program Strategy

EDC ID NO: 1180 **PROJECT NO:** P-76-111-H
FUND SOURCE: Evaluation **NTIS NO:** PB 288-751
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-100-76-0205
COST (In Thousands): FY 76-\$85
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: September '76 through September '77

1112

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: A Comparison of the Costs of Major National Health Insurance Proposals

REPORT TITLE: A Comparison of the Costs of Major National Health Insurance Proposals

PERFORMER: Trapnell, Gordon R., Consulting Actuaries (now incorporated as Actuarial Research Corporation)

AGENCY CONTACT: Eichenholz, Joseph; Rm 405-F South Portal; 202-245-7397

PROGRAM(S) EVALUATED:
HCFA-Medicare

ABSTRACT: This project encompassed five tasks over a two-year period, all of which involved estimation of the costs of various national health insurance (NHI) proposals. Task 1 involved the preparation of the official HEW cost estimates for the Administration's national health insurance proposal of 1974 (CHIP). Task 2 covered preparation of a report entitled, "Estimated Health Expenditures Under Selected National Health Insurance Bills, A Report to the Congress" (July 1974). This report contained estimates of the cost in 1975 of eight NHI bills and was the official HEW response to a request by the House Ways and Means Committee for NHI cost estimates. Task 3 involved preparation of the NHI cost estimates used by the Administration during the consideration in 1974 by the Ways and Means Committee of the Kennedy-Mills proposal. Task 4 covered the deve-

lopment of cost estimates for miscellaneous NHI proposals during the Fall of 1974 and Spring of 1975 at the request of the Deputy Assistant Secretary for Planning and Evaluation/Health. Task 5 involved the preparation of the major final report, "A Comparison of the Costs of Major National Health Insurance Proposals" (January 1976, revised September 1976), which contained the most comprehensive and accurate cost estimates to date for six major NHI proposals. These estimates show that there are differences in total national costs reaching tens of billions annually among the various proposals. (Final report 253 pages).

DESCRIPTORS: Health Insurance and Financing, Economic Analysis
Feature

EVALUATION TYPE: Program, Strategy

EDC ID NO: 0612 **PROJECT NO:** ASPE/H 74-6

FUND SOURCE: Evaluation **NTIS NO:** PB-259-153

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HEW-OS-74-138

COST (In Thousands): FY 74-\$88

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '74 through September '76

1113

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Productivity of Men and Women Physicians--An
In-Depth Analysis

REPORT TITLE: A Comparison of the Productivity of Men and
Women Physicians

PERFORMER: Wayne State University, School of Medicine; 1261
Scott Hall; 540 E. Canfield Avenue; Detroit, Michigan
48201; Marilyn Heins 313-577-1463

AGENCY CONTACT: Downey, Connie; 438F SP; 202-245-6604

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Health;
PHS-HRA-Health Resources-Health Manpower-Health
Professions-Student Aid

ABSTRACT: This study was undertaken to determine if there is a significant difference in the productivity of women and men physicians in terms of both time devoted to the practice of medicine and various factors related to productivity. Previous studies were examined and a questionnaire was administered by trained interviewers to 87 female and 95 male physicians. The study focused on five areas: 1) demographics, 2) education and training, 3) practice patterns, 4) affiliations, and 5) role overload. The study found that female M.D.'s practice 9/10 as much as males, that both males and females have tension between work and other activities, and that most differences are due to child bearing or rearing. It recommends dissemination of up-to-date findings about practice patterns and special treatment for female medical students and practitioners. (Final report 65 pages).

DESCRIPTORS: Medical Training, Women, Survey or Questionnaire
Feature, Medical Practice, Physicians

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0608 **PROJECT NO:** P-76-095-OSC

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HEW-100-76-113

COST (In Thousands): FY 76-\$5

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: June '76 through December '76

1114

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Providing Editorial Services for a Publication on
the Federal Role in School Finance

REPORT TITLE: Compensatory Education: Structure, Management,
and Effectiveness

PERFORMER: General Research Corp; 7655 Old Springhouse
Road; McLean Virginia 22101; Neil Killalea (703) 893-5900

AGENCY CONTACT: Ginsburg, Alan; Room 408F-1 South Portal;
202 245-1886

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Grants for Disadvantaged

ABSTRACT: This project was to provide editorial services to assist in the final preparation of a study to examine the full range of funding policies open to the Federal Government and the ability of the Federal government to achieve compensatory education objectives. The study itself was completed as an in-house product, entitled "The Federal Role in Interdistrict Equalization", EDC 1152.

DESCRIPTORS: Compensatory Education, Educationally Disadvantaged, Elementary and Secondary Students, Federal Role, Educational Finance, Minority Groups

EVALUATION TYPE: Support

EDC ID NO: 0626 **PROJECT NO:** ASPE/E 75-2

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: HEW-100-75-0091

COST (In Thousands): FY 75-\$22, 76-\$12

PROJECT STATUS: Study completed

START/END DATES: April '75 through March '76

1115

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Mega Proposal

REPORT TITLE: Comprehensive HEW Simplification and Reform
PERFORMER: Office of the Assistant Secretary for Planning and
Evaluation; HEW

AGENCY CONTACT: Britten, Gerald; Rm 447-D, South Portal;
202-245-9774

PROGRAM(S) EVALUATED:

V-Many HEW Programs;
V-Many HEW Programs-Education;
V-Many HEW Programs-Grants in Aid;
V-Many HEW Programs-Health;
V-Many HEW Programs-Income Security;
V-Many HEW Programs-Social Services

ABSTRACT: The Mega Proposal, a policy initiative that former HEW Secretary Richardson described as potentially the most important ever undertaken by HEW, sought to restructure and reform virtually

1118

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: A Cost Benefit Comparison of the British and
American Modes of Long Term Care for the Chronically Ill
Aged Population

REPORT TITLE: Not yet determined

PERFORMER: New York State Department of Mental Hygiene;
National Institute of Mental Health

AGENCY CONTACT: Biddle, Toyo; 439 F, South Portal;
202-245-6613

PROGRAM(S) EVALUATED:
SRS-Public Assistance-Medical Assistance-Long Term Care;
SSA-Medicare-Hospital Insurance-Benefit Payments

ABSTRACT: This project will investigate what cross-national differences, if any, exist between the aged populations of the United States and the United Kingdom in the types and frequencies of their chronic disorders; the severity of associated distress and disability; the initiation and utilization of the different modes of long term care; the subject's and family's satisfaction with any response to treatment; and the public and private cost of long term care.

DESCRIPTORS: Long Term Health Care, Elderly, Facilities, Health
Care Delivery Systems, International Issues, Benefit-Cost Analysis
Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0650 **PROJECT NO:** ASPE/SSHD 75-2

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Transfer **ERIC NO:** Not available

TRANSFER NO: Not yet determined

COST (In Thousands): FY 75-\$100

PROJECT STATUS: Study in process

START/END DATES: March '75 through June '78

1119

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Effectiveness of Course for Activated Patients on
a Medicare/Medicaid Population

REPORT TITLE: A Course on--And Evaluation of--The Activated
Patient Concept (Final Report)

PERFORMER: Center for Continuing Education; Georgetown
University; 37th and O Sts., N.W.; Washington, D.C. 20037

AGENCY CONTACT: Mitnick, Mona; 441-E, South Portal;
202-245-7150

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Health;
SSA-Medicare;
SRS-Public Assistance-Medical Assistance

ABSTRACT: The objectives of this study were to 1) give a comprehensive health education course (already developed and field-tested), stressing consumer participation and self care, to one Medicare and one Medicaid group; 2) assess its impact on health behavior and life style compared to two control groups; and 3) determine if the concept could be readily explored. Course goals were to teach consumers how to use resources more efficiently and effectively; help them better understand the importance of self-care and prevention; and increase their ability to deal with common illness and injury by training them to do some easy procedures, make better clinical observa-

tions and take appropriate action. Some evaluation data was inconclusive or subject, but encouraging results included increased ability of experimental groups to perform simple self-care tasks, as well as a greater proportion of appropriate self-care measures than shown by controls. Documents produced include final report (including annotated bibliography), Executive Summary, Medicare Course Guide, and Medicaid Course Guide.

DESCRIPTORS: Consumer Education, Health Care Delivery Systems
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0621

FUND SOURCE: Evaluation

NTIS NO: PB 280-276-277

MECHANISM: Contract

ERIC NO: Not available PB 267-588; PB 267-282

CONTRACT NO: 100-75-0116

COST (In Thousands): FY 75-\$70, FY 76-\$179, FY 77-\$3

PROJECT STATUS:

PROJECT NO: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTISASPE executive summary
at EDC,
/H 75-4

START/END DATES: June '75 through June '76

1120

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Critical Review of Research on Long-Term Care
Alternatives Sponsored by the Department of HEW

REPORT TITLE: Critical Review of Research on Long-Term Care
Alternatives Sponsored by the Department of HEW

PERFORMER: Office of Social Services and Human Development;
Office of the Assistant Secretary for Planning & Evaluation;
HEW

AGENCY CONTACT: Conly, Sonia; 202-245-9086

PROGRAM(S) EVALUATED:
HD-Aging-Community Services-Social Services;
HD-Social Services-Other Services;
HCFA-Medicaid-Long Term Care

ABSTRACT: As part of an effort to examine policy options in disability and long-term care, ASPE initiated this review of HEW sponsored research on alternatives for long-term care of the aged. Twenty-five research projects were reviewed, including a survey of ten day care programs. The study found that the research was based on the assumptions that key services can improve physical, mental, and social functioning, and prevent institutionalization. The research failed to confirm these answers. The review also found that there is a marked difference in the population served by the day care center and by the nursing home, which suggests that day care services may be less likely to serve as an alternative to institutionalization than to provide a new service to a new target group of impaired elderly persons and their relatives. Costs were often as high as in nursing homes. While all the day care programs studied were intended to serve persons with fairly substantial impairments, the characteristics of the clients and the services provided varied considerably. This variance in service was an important factor in the wide range of costs found. The study also found much of the research to have faulty design (e.g., invalid control group). The study makes 11 recommendations which emphasize the need for more research, based on better review and monitoring, better utilization, and better technical standards. Report is available from Project Share, SHR-000-2153. (Final report 81 pages).

DESCRIPTORS: Elderly, Long Term Health Care, Nursing Homes, Deinstitutionalization, Health Care Delivery Systems, Social Research, Demonstration Projects, Research and Evaluation Performance, Social Services Delivery Systems

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0517

PROJECT NO: ASPE In-house 76-04

FUND SOURCE: Salaries and Expenses

NTIS NO: Not yet determined

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 76 Not available

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: July '75 through June '77

1121

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Evaluation of State Deinstitutionalization Programs for the Developmentally Disabled

REPORT TITLE: Deinstitutionalization of MR and Other Developmentally Disabled Persons: Lessons from the Experience of Five States

PERFORMER: Lewin and Associates, Inc.; 470 L'Enfant Plaza SW; Washington, D.C. 20024; Human Services Research Institute

AGENCY CONTACT: Conley, Ron; 416E, HHH Bldg.; 202-245-1799

PROGRAM(S) EVALUATED:
HD-Developmental Disabilities

ABSTRACT: This study identified and evaluated five states that have taken a comprehensive systems approach to deinstitutionalization of the developmentally disabled. The purpose was to identify States which are leaders in systematic planning and operations for deinstitutionalization, to critically evaluate their efforts from a constructive point of view, and to document findings for use by other States interested in undertaking a deinstitutionalization program. Particular emphasis was given to evaluating State budgeting mechanisms, State planning mechanisms, and how local governments are organized to develop appropriate community residential facilities and services to developmentally disabled clients. This report summarizes and compares the experiences of five states-California, Connecticut, Minnesota, Georgia, and Pennsylvania-in deinstitutionalization and the development of community-based residential

DESCRIPTORS: Deinstitutionalization, State or Local Administration, Handicapping Conditions and Problems, Health Planning, Budgeting

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1182

PROJECT NO: P-76-115-SS/HD

FUND SOURCE: Evaluation

NTIS NO: PB 80-132517

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-100-76-214

COST (In Thousands): FY 76-1-\$148, FY 77-\$9

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: September '76 through September '77

1122

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Desegregation Case Studies

REPORT TITLE: Not yet determined

PERFORMER: Assistant Secretary of Education, HEW; to Stanford Research Institute; Menlo Park, California 94023; Dr. Thomas 415-326-6200

AGENCY CONTACT: Reiser, Liz; Rm 335 G, HHH Bldg.; 202-472-5032

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary;
DM-Office for Civil Rights

ABSTRACT: This project will consist of six case studies of the process of development of the desegregation plan in each district and of the impact of desegregation upon ethnic/ racial, residential and socioeconomic isolation and selected indicators of educational quality. Where possible, local data will be collected to allow full exploration of factors other than school desegregation contributing to observed trends in racial/ethnic and socioeconomic isolation and in the selected indicators of quality and a comparison of the desegregation process in the six case study districts. This comparison will describe the desegregation strategy adopted, the outcomes of each feature of the described desegregation strategy, the contextual variables such as district size, ethnic/racial composition that contributed to the outcomes, and concrete policy recommendations for those who are considering adoption of a similar strategy in their district.

DESCRIPTORS: Civil Rights and Integration, Educational Administration, Elementary and Secondary Students, Federal State and Local Relations, Case or Site Study Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1159

PROJECT NO: P-Transfer-76-06

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Transfer

ERIC NO: Not available

TRANSFER NO: Not yet determined

COST (In Thousands): FY 76-\$125

PROJECT STATUS: Study in process

START/END DATES: May '76 through June '78

1123

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Design Task for a Survey of Persons in Long Term Care Institutions

REPORT TITLE: Design Task for a Survey of Persons in Long Term Care Institutions

PERFORMER: Lambda Corp.; 7655 Old Springhouse Road; McLean, Va. 22101; Marcia S. Murdock

AGENCY CONTACT: Smith, Wray; 202-472-3113

PROGRAM(S) EVALUATED:
HCFA-Medicaid-Long Term Care

ABSTRACT: This report realizes the accomplishment of the project objective, to design and plan for a nationwide survey of persons in long term care institutions. Such a survey instrument would obtain baseline data on the characteristics of this population for policy research and program planning purposes. Results of a pretest of the survey are included. (Final Report 268 pages.)

DESCRIPTORS: Literature Review Feature, Survey or Questionnaire Feature, Testing and Tests, Research and Evaluation Planning and Administration, Nursing Homes, Long Term Health Care, Hospitals
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0358 **PROJECT NO:** ASPE-75-013
FUND SOURCE: Evaluation **NTIS NO:** PB 240-773
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-OS-74-301
COST (In Thousands): FY 74-\$44
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: September '74 through March '75

1124

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Develop Criteria for Determining Impact and Effectiveness of Health Education as it Relates to Federal Policy

REPORT TITLE: Determining the Impact and Effectiveness of Health Education as it Relates to Federal Policy

PERFORMER: Dr. Lawrence W. Green; Johns Hopkins University; Baltimore, MD 21205

AGENCY CONTACT: Mitnick, Mona; 202-245-7150

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Education V-Many HEW Programs-Health

ABSTRACT: Factors which have the potential to account for the success or failure of health education are assessed, and recommendations are offered for Federal policy regarding health education. Health education is found to be interwoven with complementary and overlapping technologies of motivational and behavioral control such as mass communication and behavior modification. Health education is defined as any combination of learning opportunities designed to facilitate voluntary adaptations of behavior which will improve or maintain health. It is concluded that greater investments in health education on selected problems for selected populations would have a greater payoff in cost-benefit terms than comparative investments in construction, specialized training, equipment for tertiary medical care, or on diffuse, untargeted health education. Because high-risk groups and optimum age ranges can be clearly identified for most preventive and self-care actions which health education can facilitate, it is possible to target scarce educational resources on those problems and populations where payoff will be greatest. Among the recommendations presented are those suggesting Federal priority for programs using multiple health education methods and Federal support for programs which include a systematic assessment of the factors supporting old behavior or blocking new behavior, prior to the selection of educational methods. (Final report 95 pages).

DESCRIPTORS: Consumer Education, Federal Administration, Federal Role, Health Care Techniques, Cost-Effectiveness Analysis Feature, Health Planning, Literature Review Feature
EVALUATION TYPE: Program Strategy

EDC ID NO: 0516 **PROJECT NO:** ASPE/H 75-3
FUND SOURCE: Evaluation **NTIS NO:** PB 264-305
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 755643
COST (In Thousands): FY 75-\$5

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: April '75 through July '75

1125

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Developing a Framework for the Dissemination of Education R&D Products and Services to Educational Practitioners

REPORT TITLE: Developing a Framework for the Dissemination of Education R & D Products and Services to Educational Practitioners

PERFORMER: Cresap, McCormick and Paget, Inc; 245 Park Ave; New York, N.Y. 10017

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Education

ABSTRACT: In order to implement the conceptual framework, recommendations are made in regard to the importance of organization for dissemination and or approaches to organization management structure for implementation. The dissemination of educational and research and development products is considered in a report prepared for HEW. Interviews were conducted with top administrators, program managers, and other staff members in the Office of Education, National Institute of Education, Office of the Secretary, and regional offices, and a wide range of documents were reviewed. The study report is concerned with four major issues: (1) development of dissemination policy, i.e., authorizing legislation for dissemination; (2) description and assessment of dissemination practices; (3) development of a conceptual framework for the implementation of dissemination policies; and (4) recommendation of an approach for implementing the conceptual dissemination framework. Appendices contain a literature review on educational research and development products and process along with a review and summary of literature on marketing practices used by large and diversified business organizations. (Final report 136 pages).

DESCRIPTORS: Information Dissemination, Literature Review Feature, Technical Assistance, Research and Evaluation Planning and Administration

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0470 **PROJECT NO:** ASPE/E 75-15
FUND SOURCE: Evaluation **NTIS NO:** PB 251-997
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-100-75-0013
COST (In Thousands): FY 75-Not available
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: June '75 through February '76

1126

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Development of an AFDC Performance
Measurement System

REPORT TITLE: Not yet determined

PERFORMER: Abt Associates, Inc.; 55 Wheeler Street; Cambridge,
MA 02138; David W. Budding 617-492-7100

AGENCY CONTACT: Holmer, Martin; Rm 436G HHH Bldg.;
202-245-6493

PROGRAM(S) EVALUATED:
SSA-Assistance Payments-AFDC

ABSTRACT: This project is part of a larger effort by HEW to improve the management of state-administered welfare programs. By developing an administrative performance system that will gather data on the cost, efficiency, accuracy, quality, and organization of state welfare administration, it is thought that the trade-offs between cost and quality, and accuracy and efficiency can be made more explicit, and form the basis for more systematic development of administrative policy. The objective of this project is to develop a measurement system that will generate data that give an accurate representation of welfare administration in each state. The essential analytic task is to design a system that generates comparable data on administrative tasks that a performance measurement system will be developed through pilot testing in a few states. These pilot tests will provide not only a measurement system, but also the first comprehensive set of data on AFDC administrative performance. FY 79 funding was \$50,000 from SSA/OFA, \$90,000 for ASPE tap of HCFA evaluation funds, and the rest from NHL/WR/HCC supplemental appropriation.

DESCRIPTORS: State or Local Administration, Social Work Manpower, Income Maintenance, Research and Evaluation Methods Feature, Literature Review Feature, Low-Income Population, Management Techniques, Management Information and Reporting, Quality Assurance, Regulation and Standard Setting

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1567 **PROJECT NO:** ASPE/IPS 78-01
FUND SOURCE: Various **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-100-78-120
COST (In Thousands): FY 78-\$274, FY 79-\$266, FY 80-\$490
PROJECT STATUS: Study in process
START/END DATES: October '78 through September '80

1127

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Development of An Integrated School
District/General Purpose Government Data Base

REPORT TITLE: None

PERFORMER: Applied Management Science; 962 Wayne Avenue;
Silver Spring, Md. 20910; Mike Consiglio 301-585-8481

AGENCY CONTACT: Ginsburg, Alan; Rm. 408-F-1, South Portal;
202-245-1886

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Education

ABSTRACT: The scope of this project is broad. Data are required for building a generalized data base to simulate formulas, and for analysis of the results. The need to obtain different types of data for so many different kinds of special jurisdictions results in having to tap a variety of different data sources.

DESCRIPTORS: Elementary Education, Secondary Education, Educational Finance, Grant-in-Aid Formulas, Statistical Data Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0625 **PROJECT NO:** ASPE/E76-41
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-100-76-0096
COST (In Thousands): FY 76-\$103
PROJECT STATUS: Study completed
START/END DATES: March '76 through March '77

1128

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Development of Improved Procedures for States
to Monitor the Effectiveness of Selected State Human
Resources Services

REPORT TITLE: Not yet determined

PERFORMER: The Urban Institute; 2100 M Street, N.W.;
Washington, D.C. 20037; 202-223-1950

AGENCY CONTACT: Raymond, Bob; Room 443D Humphrey
Building, 202-245-7316

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Health;
V-Many HEW Programs-Social Services

ABSTRACT: This follow-up project is to: 1) further develop and test a Client Follow-Up Instrument; 2) develop survey procedures for client follow-up instruments; 3) begin validation of portions of the instrument; 4) examine the utility of monitoring the core outcome data; and 5) identify procedures for estimating unmet need.

DESCRIPTORS: Management Information and Reporting, State or Local Administration, Mental Health, Public Health Services
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1559 **PROJECT NO:** ASPE/PS 77-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Transfer **ERIC NO:** Not available
TRANSFER NO: 7-0637-5
COST (In Thousands): FY 77-\$275
PROJECT STATUS: Study in process
START/END DATES: January '77 through July '78

1129

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: DHEW Data Resources Catalog

REPORT TITLE: None

PERFORMER: National Technical Information Service; Dept. of
Commerce; and National Archives

AGENCY CONTACT: Raymond, Robert; Room 445-G, South
Portal; 202-245-6927

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Social Research & Statistics

ABSTRACT: This project was to involve production by NTIS of a Catalog of the hundreds of computerized HEW information sources and data bases, in order to allow future researchers to determine if

an existing information base could be used for their purposes. It was based on a RAND prototype model produced for HEW. NTIS was unable to complete the project and a subsequent attempt through NARS also failed. Most of the funds were recovered. The basic problem lay in the difficulty of NTIS and NARS obtaining, within the budget, the time of diverse Departmental staff in a task perceived as moderately onerous and of low-priority.

DESCRIPTORS: Statistical Data Feature, Information Systems, Information Dissemination

EVALUATION TYPE: Support

EDC ID NO: 0613 **PROJECT NO:** ASPE/PS-75-1

FUND SOURCE: Evaluation **NTIS NO:** Not available

MECHANISM: Transfer **ERIC NO:** Not available

CONTRACT NO: 0637-3

COST (In Thousands): FY 75-\$40

PROJECT STATUS: Study completed,
aAvailable from NTIS,
Study completed,
Executive summary at EDC,
bExecutive summary at EDC,
d

START/END DATES: August '74 through June '75

1130

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Disability Task Force: A Comprehensive Study of
Existing and Potential Federal Policies Related to the
Disabled

REPORT TITLE: Disability Task Force: A Comprehensive Study of
Existing and Potential Federal Policies Related to the
Disabled

PERFORMER: The Urban Institute; 2100 M Street, N.W.;
Washington, D.C. 20037

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-ADAHMA-Mental Health-Community Programs &
Centers;
PHS-HSA-Health Services-Community;
PHS-HSA-Health Services-Patient Care & Special;
HD-Social Services-Mentally Disabled

ABSTRACT: This report focuses on long-term care for the disabled. Longterm care is defined as care in nursing homes, other institutions, communitybased care, or in-home care for persons whose physical and/or mental condition requires the presence of other persons to assist in daily living activities. The report summarizes a series of working papers. Major findings include: 1) LTC may be defined in terms of "cost burdens" rather than "duration"; 2) expanded public funding of LTC could expand the quantity and quality of care and would result in sharing the financial burden of LTC, 3) the service coverage of an LTC program can be established either directly, through a listing of the types of services and settings for which benefits will be paid, or can be established indirectly through the limitation of program benefits to persons with specified illness or impairments; and 4) the benefits of LTC program can be provided in-kind, in vouchers, or in cash. The supply of LTC services can be organized in various ways. (Final report 45 pages)

DESCRIPTORS: Handicapping Conditions and Problems, Long Term Health Care, Hospitals, Nursing Homes, Statistical Analysis Methods Feature, Survey or Questionnaire Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0405 **PROJECT NO:** ASPE 75-023

FUND SOURCE: Evaluation **NTIS NO:** PB 245-611

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HEW- OS-74-81

COST (In Thousands): FY 74-\$198, FY 75-\$88

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: November '73 through August '75

1131

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Training and Education Conference

REPORT TITLE: Dissemination in Relation to
Elementary/Secondary Education

PERFORMER: Assistant Secretary for Education, HEW; to
National Institute for Community Development; 2021 K St.
NW; Wash, D.C. 20036

AGENCY CONTACT: Fletcher, Jerry; Room 317-H HHH Bldg.;
202-245-8266

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary

ABSTRACT: The money is to be spent to publicize the major Dissemination Report just completed. Spending it on the proposed Conference awaits Dissemination Policy Council (DPC) approval of the report. Conference to be held June 20-24, 1977. (Final report 49 pages).

DESCRIPTORS: Research and Evaluation Performance, Research and Evaluation Planning and Administration, Elementary Education, Secondary Education, Information Systems

EVALUATION TYPE: Support

EDC ID NO: 1158 **PROJECT NO:** P-76-Transfer-76-05

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Transfer **ERIC NO:** Not yet determined

TRANSFER NO: Not yet determined

COST (In Thousands): FY 76-\$24

PROJECT STATUS: Study completed

START/END DATES: June '76 through July '77

1132

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Editing and Evaluation of Survey of Income and
Education (SIE) Data

REPORT TITLE: Not yet determined

PERFORMER: Mathematica Policy Research; 2101 L St., N.W.;
Washington, D.C.

AGENCY CONTACT: Dr. Robert Bart; 200 Independence Ave.,
S.W.; Washington, D. C. 20201; Rm. 432E; 202-245-9762

PROGRAM(S) EVALUATED:
V-Many HEW Programs

ABSTRACT: This project examines the impact of Census procedures for correcting interviewer error on response rates to the supplemental portion of the Survey of Income and Education (item 47 through 107). Possible differential impacts of these consistency checks on responses by low income populations are also being examined. In addition, population aggregates will be obtained for selected items (foodstamps, health insurance, assets and disability questions) and compared to independent aggregates in order to examine the degree of sampling error contained in the SIE responses. Tables of original and edited items will be provided by the contractor for further evaluation by HEW/ASPE.

DESCRIPTORS: Statistical Data Feature, Survey or Questionnaire Feature, Low-Income Population

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1554

PROJECT NO: P-77-077 TSS

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-100-77-0062

COST (In Thousands): FY 77-\$24

PROJECT STATUS: Study in process

START/END DATES: September '77 through January '78

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: October '73 through March '74

1134

AGENCY SPONSOR: Office of the Secretary

Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Not yet determined

REPORT TITLE: Employers' Implementation of Dual Choice
(Working Paper)

PERFORMER: The Urban Institute, Washington, D.C.; Karen
Lennox and Judith Feder

AGENCY CONTACT: Fishman, Sheldon; 202-472-3026

PROGRAM(S) EVALUATED:

PHS-OASH-Health Maintenance Organizations

ABSTRACT: This study represents an exploratory effort to investigate problems in dual choice implementation. An effort is being made—notably in the dual choice provisions of the HMO Act—to get employers to offer employees a choice of benefit plans, thereby encouraging consumer cost-consciousness and competition among health insurers. Funding constraints limited the time to two person months and focus to nine firms. The employers interviewed ranged in size from 3,000 employees to over 750,000, representing diverse fields of business. Some firms present a long history of offering HMOs while others implemented HMOs for the first time in 1977 or have not yet implemented the HMO option. The experience of nine employers is too limited to draw firm conclusions about the implementation of dual choice. However, if their views are in fact representative, the study findings have the following policy implications: Impediments to expanded choice are not administrative problems and costs as much as employers' preoccupation with immediate premium savings through use of HMOs and employers' and unions' desire to maintain their responsibility and influence over employees' insurance. Limited experience with a large number of HMOs, employers' insufficient attention to evaluation, and differences of opinion on the costs vs. the benefits of expanded HMO offerings suggest the importance of further research on dual choice implementation as a guide to policy.

DESCRIPTORS: Health Maintenance Organizations, Health Insurance and Financing, Consumer Products or Protection

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1573

PROJECT NO: ASPE/HP 78-2

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-100-78-0038

COST (In Thousands): FY 78-Not available

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC

START/END DATES: December '78 through January '79

1133

AGENCY SPONSOR: Office of the Secretary

Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: An Employee Evaluation of the HEW
Departmental Library

REPORT TITLE: An Employee Evaluation of the HEW
Departmental Library

PERFORMER: Office of Program Systems, OASPE, HEW; Walton
Francis 202-245-0291

AGENCY CONTACT: Francis, Walton; 447D, HHH Bldg.;
202-245-0291

PROGRAM(S) EVALUATED:

DM-General Departmental Management-Operations

ABSTRACT: This report is based on a survey of the job-related library service needs of professional employees in the HEW Southwest D.C. complex, including employees dealing in planning evaluation, social research, legal issues, education policy, social services policy, etc. The survey found a wide range of needs—nine percent never used the departmental library but twenty-six percent used it 21 or more times a year. Substantial dissatisfaction was voiced with the physical appearance, services, and quality of the collection. Alternatives such as inter-library loans were not found desirable. Recommendations were made to expand both staff and collections, particularly for current, policy-related materials. A number of improvements were subsequently made to the library, partly as a result of this study. (Final report two volumes of 72 and 90 pages).

DESCRIPTORS: Library Services, Consumer Evaluation Feature, Survey or Questionnaire Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1170

PROJECT NO: ASPE-In-house-74-01

FUND SOURCE: Salaries and

Expenses

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: EDC Only

CONTRACT NO: Not available

COST (In Thousands): FY 74-Not available

1135

AGENCY SPONSOR: Office of the Secretary

Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Enrollment Patterns Under Modifications to the
Basic Grants Program

REPORT TITLE: Enrollment Patterns Under Modifications to the Basic Grants Program

PERFORMER: ICF, 1990 M St., N.W.; Washington, D.C. 20036; Gary Barnes

AGENCY CONTACT: Goggin, Bill 426F, South Portal; 202-245-1880

PROGRAM(S) EVALUATED:

E-OE-Higher Education-Student Assistance-Basic Opportunity Grants

ABSTRACT: A number of features of the Basic Opportunity Grants Program affect the net cost of higher education from the point of view of the student. The net cost has some effect on enrollment choices, along with travel distance, academic selectivity and other factors. This study estimates, based on a previously developed simulation model of student choice, the changes in enrollment patterns which would result from alternative changes to the BOG rules. It covers each major type of institution, different degree of student dependency, maximum grants ranging from \$1,400 to \$2,000, and the choice of 50% of "need" versus 100% of cost in BOG award rules. The study finds that an adjustment for inflation is of crucial importance, that all of the alternatives considered would increase total enrollment, and that under most alternatives private institutions would lose students to public institutions. (Final report 299 pages.)

DESCRIPTORS: Higher Education, Scholarships Fellowships and Loans, College Students, Trends and Forecasts, Operations Research Feature

EVALUATION TYPE: Program Strategy

EDC ID NO: 0640

PROJECT NO: ASPE/E 74-4

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: HEW-05-74-286

COST (In Thousands): FY 74-\$34

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: June '74 through June '75

1136

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: ESEA Section 822: Survey of Income and Education

REPORT TITLE: Not yet determined

PERFORMER: Demographic Surveys Division; Census Bureau; Department of Commerce

AGENCY CONTACT: Mahoney, Bette; 732E, HHH Bldg.; 202-472-4415

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-Grants for Disadvantaged;
E-OE-Elementary & Secondary-Bilingual Education;
HCFA-Medicaid;
PHS-HRA-Health Resources-Health Statistics

ABSTRACT: The Education Amendments of 1974 mandate that the Departments of Commerce and HEW conduct a survey to provide statistical estimates of the number of school-age children in each State in households at or below the poverty line. Congress also mandated that estimates be provided of the number of persons in each State with limited English-speaking ability. It was recognized that the mandated child poverty survey was the most appropriate currently available survey vehicle for the bilingual data and that it could also serve to collect State-by-State data on variables of immediate and direct inter-

est to HEW, particularly in the area of food stamps, current welfare reciprocity, housing outlays, presence of disability or handicapping conditions, the health conditions of the handicapped, and health insurance coverage. The basic cost of the project, in excess of \$9 million, is being paid by the Office of Education, a lesser amount by Policy Research, and the amounts above pay mainly for the health-related questions on the survey. The survey itself was completed in 1976, and early tabulations are now available. Some of these have been summarized in an untitled report to the Congress in February, 1977, which presents the number of poor children in 1975, the impact of the update on the Title I allocation formula, and the effects on the numbers of alternative poverty formulas. (Interim report 66 pages)

DESCRIPTORS: Low-Income Population, Compensatory Education, Health Insurance and Financing, Housing, Food and Nutrition, Morbidity and Mortality, Handicapping Conditions and Problems, Survey or Questionnaire Feature, Statistical Data Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1160

PROJECT NO: P-Transfer-76-07

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Transfer

ERIC NO: Not yet determined

TRANSFER NO: Various

COST (In Thousands): FY 76-\$350, FY 76.1-\$50, FY 77-\$158, FY 78-\$75

PROJECT STATUS: Study in process

START/END DATES: January '75 through September '78

1137

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Study of State DI/SSI Rehabilitation Programs

REPORT TITLE: Establishing Policy in the Special SSDI and SSI Vocational Rehabilitation Programs

PERFORMER: Berkeley Planning Associates; 1912 Bonita Avenue; Berkeley, CA 94704

AGENCY CONTACT: DeWilde, John; Rm 439F.5, HHH Bldg.; 202-245-6172

PROGRAM(S) EVALUATED:

SSA-Disability Insurance-Vocational Rehabilitation Services;
SSA-Supplementary Security Income-Vocational Rehabilitation Services

ABSTRACT: The project is designed to: 1) determine the factors leading to more successful state rehabilitation agency performance, as measured by the employment of DI and SSI recipients and their termination from the benefit rolls, 2) to develop policy options for improving the delivery of rehabilitation services to DI/SSI recipients and increasing the probability that DI and SSI beneficiaries who receive rehabilitation services will be employed at wage levels that lead to their termination from the benefit rolls. This study generated two reports in addition to the one cited above. The Client Perspective on Performance in the Special SSDI Vocational Rehabilitation Programs. Operations of the Special SSDI and SSI Vocational Rehabilitation Programs: Eight Case Studies. These final reports present the results of the case studies of eight states, interviews of over 300 clients, and an analysis of the performance of all State VR agencies. Policy recommendations are presented along with options for future demonstrations and experiments.

DESCRIPTORS: Handicapping Conditions and Problems, Health Insurance and Financing

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1566 **PROJECT NO:** ASPE/SSHD 78-01
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-100-78-0066
COST (In Thousands): FY 78-\$145
PROJECT STATUS: Study completed
START/END DATES: September '78 through February '80

1138

AGENCY SPONSOR: Office of the Secretary
 Office of the Assistant Secretary for Planning
 & Evaluation

PROJECT TITLE: Evaluating Trends in State, Local and Private
 Expenditures in Mental Health, Drug Abuse, and Alcoholism

REPORT TITLE: Evaluating Trends in State, Local and Private
 Expenditures in Mental Health, Drug Abuse, and Alcoholism

PERFORMER: Griffenhagen-Kroeger, Inc.; 351 California St.; San
 Francisco, Calif. 94104

AGENCY CONTACT: Hewitt, Warren; Room 437E, HHH Bldg.;
 202-245-1870

PROGRAM(S) EVALUATED:
 PHS-ADAMHA-Alcoholism;
 PHS-ADAMHA-Drug Abuse;
 PHS-ADAMHA-Mental Health

ABSTRACT: Information on state and local expenditures in mental health, drug abuse and alcoholism does not exist within the Federal government in a form which would allow intra-or interstate comparisons, nor summation to national totals. Few steps are currently underway that would change required Federal reporting to permit these comparisons or summation at the national level. The most effective approach to collecting and properly interpreting meaningful historical data, and for making expenditure projections, appears to be a national 50 state on-site survey to be conducted every three years.

DESCRIPTORS: Budgeting, Alcoholism, Drug Abuse, Mental Health,
 Statistical Data Feature, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1163 **PROJECT NO:** P-76-003-H
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-100-76-0056
COST (In Thousands): FY 76-\$108
PROJECT STATUS: Study completed
START/END DATES: October '75 through July '77

1139

AGENCY SPONSOR: Office of the Secretary
 Office of the Assistant Secretary for Planning
 & Evaluation

PROJECT TITLE: Preliminary Evaluation of the Impact of National
 Insurance Coverage for Renal Dialysis and Transportation

REPORT TITLE: An Evaluation Methodology to Analyse the
 Health Systems and Reimbursement Effects of Federal
 Insurance Coverage for End-State Renal Disease

PERFORMER: Teknetron, Inc.

AGENCY CONTACT: Schieber, George; Rm. 437E, South Portal;
 202-245-1860

PROGRAM(S) EVALUATED:
 HCFA-Medicare

ABSTRACT: The study is to develop an evaluation methodology for the end-stage renal disease program. It will recommend further studies in the following eight major areas: medical technology; forecasting models; cost accounting; epidemiology and clinical medicine; the patient role and patient incentives; institutional operations; mechanisms of financing; and administration interaction with other programs.

DESCRIPTORS: Research and Evaluation Planning and Administration, Health Insurance and Financing, Research and Evaluation Methods Feature, Morbidity and Mortality
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0611 **PROJECT NO:** P-76.1-204-H
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: SA-11852-76
COST (In Thousands): FY 76.1-\$10
PROJECT STATUS: Study completed,
 Available from EDC
START/END DATES: September '76 through December '76

1140

AGENCY SPONSOR: Office of the Secretary
 Office of the Assistant Secretary for Planning
 & Evaluation

PROJECT TITLE: Evaluation of California PHP's

REPORT TITLE: Evaluation of California's Prepaid Health Plans
 (PHP's)

PERFORMER: General Research Corp; P.O. Box 3587; Santa
 Barbara, CA 93105

AGENCY CONTACT: Willging, Paul; Rm 5118 Switzer Bldg;
 202-245-0375

PROGRAM(S) EVALUATED:
 HCFA-Program Direction & Management;
 HCFA-Quality Assurance

ABSTRACT: This study evaluates the quality of medical care provided by California's Prepaid Health Plans (PHP's). Analysis was based on the quality and availability of (1) physical and human resources, (2) ambulatory care, and (3) specialty coverage. The study's findings indicate that the PHP concept is workable. Key recommendations for the improvement of the PHP program are also given. Principle recommendation is the development, implementation, and enforcement of an effective monitoring and control system. The appendices review California's PHP monitoring system, and documents the baseline process and disease specific process analysis used in the study. (Final report 274 page)

DESCRIPTORS: Ambulatory and Outpatient Health Care, Health Care Delivery Systems, Comprehensive Health Care, Health Insurance and Financing, Research and Evaluation Performance
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0407 **PROJECT NO:** ASPE 73-16
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-OS-73-194
COST (In Thousands): FY 73-\$46
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC
START/END DATES: July '73 through September '74

1141

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Evaluation of Educational Achievement Test
Measures as an Eligibility Criterion in the Better Schools
Act Formula

REPORT TITLE: Evaluation of Educational Achievement Test
Measures as an Eligibility Criterion in the Better Schools
Act Formula

PERFORMER: Educational Testing Service, Princeton, New
Jersey; Carol A. Vale, John C. Bianchini

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary

ABSTRACT: This report contains the selected descriptive analysis of the Anchor Test Study. Data originates in the public school segment of the national sample of grades 4, 5, and 6 who were administered the appropriate level of the Metropolitan Achievement Test (MAT): Research, Form F. A description of the determination of the data base, of the analyses and results, and of the generalizability of the results for Northern and Southern states comprises the project report. Descriptive Tables are: Relationships between Test Performance and Income Level; Relationships between Test Performance and Percent on Public Welfare; Relationships between Test Performance and Degree of Urbanization of School Location; Correlation Matrices; Distribution for Selected Subgroups (Word Knowledge, Reading Comprehension, Total Reading Score.) All tables are broken down by grade. (Final report 76 pages.)

DESCRIPTORS: Statistical Data Feature, Testing and Tests, Elementary Education, Elementary and Secondary Students, Urban or Rural Places or Problems, Low-Income Population

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0343 **PROJECT NO:** OE-73-10
FUND SOURCE: Evaluation **NTIS NO:** PB 240-651
MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: HEW-OS-73-189
COST (In Thousands): FY 73-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '73 through August '73

1142

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Evaluation of HEW-Coordinated Satellite
Experiments to Assist Spectrum Planning for World
Administrative Radio Conference (WARC)

REPORT TITLE: Not yet determined

PERFORMER: Small Business Administration; 1030 15th Street,
NW; Washington, DC 20416; for National Institute for
Advanced Studies; James Chisholm, 653-6965

AGENCY CONTACT: Erman, Ann; 409E, HHH Bldg.;
202-245-1891

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Demonstrations & Experiments

ABSTRACT: The contract supports preparation for World Administrative Radio Conferences taking place in 1977 and 1979, including identification of social service issues requiring radio spectrum allocations which will be treated during worldwide negotiations. Recent satellite experiments which delivered health and education services to remote areas indicated future requirements for frequencies to support expanded services in this technology. The contract will explore these possibilities as well as support continuing assessment of requirements for terrestrial microwave, mobile, and cable services relating to development of the instructional television fixed service, mobile emergency vehicle systems, and cable television-supported community services. Spectrum allocations will be examined as to implications for sharing bandwidth among various services, impact on adjacent bands, and assessment of available equipment. Regulatory problems and constraints will be considered as they apply to bandwidths under examination.

DESCRIPTORS: Medical Technology, Broadcast Technology, Educational Technology

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1181 **PROJECT NO:** P-76-114-OTP
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-100-76-0157
COST (In Thousands): FY 76-\$100
PROJECT STATUS: Study in process
START/END DATES: June '76 through July '77

1143

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Evaluation of Human Services Information
Systems Transfer Project

REPORT TITLE: Not yet determined

PERFORMER: Center for Public Management; 12713 Sieple Chase
Way; Potomac, Md. 20854; Robert Stross, 301-340-1610

AGENCY CONTACT: Henton, Doug; 443D, HHH Bldg.;
202-245-7316

PROGRAM(S) EVALUATED:
DM-Policy Research;
V-Many HEW Programs-Demonstrations & Experiments

ABSTRACT: This project is intended to assess an overall HEW effort to stimulate human service information systems transfers between state and local governments. Issues to be examined include (1) HEW project administration and the role of a systems transfer agent in bringing about efficient transfers; (2) specific transfer issues such as (a) organizational readiness, (b) efficiency of various transfer methods, (c) does systems transfer actually save the recipient site development costs, and (d) what factors inhibit systems transfer; (3) issues related to the degree to which the capacity for planning, management or delivery of services are actually improved at the recipient site.

DESCRIPTORS: Information Systems, Information Dissemination, Grant and Contract Management, Discretionary and Project Grant Performance, Case or Site Study Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1196 **PROJECT NO:** P-76-189-SS/HD
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-100-76-0231
COST (In Thousands): FY 76-1-\$49, FY 77-37

PROJECT STATUS: Study in process**START/END DATES:** September '76 through September '78

1144

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation**PROJECT TITLE:** Evaluation of Human Services Planning
Approaches at State/ Local Levels**REPORT TITLE:** Evaluation of Human Services Planning
Approaches at State and Local Levels- 27 Vols**PERFORMER:** Cornell University; Martha Van Rensselaer Hall;
Ithaca, New York 14853**AGENCY CONTACT:** Henton, Douglas; 443D, HHH Bldg.;
202-245-7313**PROGRAM(S) EVALUATED:**
V-Many HEW Programs-Planning & Evaluation

ABSTRACT: This project proposes to improve understanding of the factors contributing to successful or unsuccessful human services planning at State and local levels. It is intended to contribute to the enhancement of HEW's ability to advise State and local planners on management and procedural techniques. The study will involve a general review of existing planning practices through an interdisciplinary approach attuned to technological, managerial, political, economic, social and psychological realities of the planning process and its contexts. Approaches to human service planning will be cataloged in each of the fifty states via telephone interview and document analysis. Particular and detailed analyses of selected cases discovered through the general review and cataloging process will be used in construction of definitions of successful planning from a variety of perspectives and to produce an empirical basis for synthesizing conclusions about and recommendations for effective human services planning. (Final report Vol. I- 293 pages, Vol. II-308 pages)

DESCRIPTORS: Planning, Survey or Questionnaire Feature, State or Local Administration**EVALUATION TYPE:** Program Management or Efficiency**EDC ID NO:** 1178 **PROJECT NO:** P-76-107-PS
FUND SOURCE: Evaluation **NTIS NO:** SHR-000-2244 and 2239
MECHANISM: Contract **ERIC NO:** Not yet determined**CONTRACT NO:** HEW-100-76-0163**COST (In Thousands):** FY 76-\$127**PROJECT STATUS:** Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS**START/END DATES:** July '76 through November '77

1145

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation**PROJECT TITLE:** Evaluation of Human Services Taxonomies**REPORT TITLE:** Not yet determined**PERFORMER:** National Assembly of National Voluntary Health
and Social Welfare Organ., Inc.; 345 E. 46th; N.Y., N.Y.
10017**AGENCY CONTACT:** Silverman, Jerry; 440D, HHH Bldg.;
202-245-6463**PROGRAM(S) EVALUATED:**

V-Many HEW Programs-Social Services

ABSTRACT: Given the wide disparities in the definitions of human services at the federal, state and local level in both the public and private sector, the purpose of this project will be to determine the feasibility, scope, utility and impact of developing a nationwide common language or taxonomy of human services. The project will review and evaluate generally the common languages/taxonomies that are currently being used around the country. Additionally, five common languages/taxonomies of human services will be studied in depth. The kind of results expected are two-fold--on the one hand, it is expected that the study will summarize in detail the "state of the art" in the development and utilization of common languages/taxonomies and on the other hand, it is expected that the study will recommend what the next steps might be in the development of a nationwide common language/taxonomy for human services.

DESCRIPTORS: Indices and Taxonomies, Literature Review Feature, Feasibility Study Feature**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 1197 **PROJECT NO:** P-76-191-PS
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-100-76-0227
COST (In Thousands): FY 76.1-\$58
PROJECT STATUS: Study completed
START/END DATES: September '76 through September '77

1146

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation**PROJECT TITLE:** Evaluation of Local Coordinating Programs**REPORT TITLE:** Evaluation of Local Coordinating Programs, Vol.
II Coordinating Federal Assistance in the Community**PERFORMER:** Marshall, Kaplan, Gans and Kahn; 426 Pacific
Avenue; San Francisco, California 94133**AGENCY CONTACT:** Scully, John; 202-245-6345**PROGRAM(S) EVALUATED:**
V-Many HEW Programs-Grants-in-Aid;
V-Non-HEW Programs-Other

ABSTRACT: The objective of this study was to examine local chief executive use of ten federally-assisted mechanisms for local-level planning and coordination of federal programs. The ten mechanisms were: Comprehensive Area-wide Health Planning, Community Mental Health Center Planning, Cooperative Area Manpower Planning System, Law Enforcement Assistance Planning, ESEA Title I Planning, Community Coordinated Child Care Planning, Model Cities Planning, Community Action Agency "Checkpoint Procedure," Certification Sign-Off on HEW programs by Model Cities Director, and A-95 Project Notification and Review. Most of these mechanisms were relatively new. Thus, local officials generally were not well informed about them, and much of the local government response was still formative. In a few cases, cities were aware of them, but had decided not to use them because the potential benefits did not seem to justify the costs in city staff time or political friction. The local chief executive, his staff or other city government representatives were involved in some way in most of the mechanisms. The study found that these mechanisms presented a management problem for the local Chief Executive, i.e., deficiencies in local planning capacity, and problems in local chief executive supervision. The expansion of local involvement in federal programs was causing problems in chief executive coordination and control. In addition, federal field support and technical assistance was deficient. (Final Report 83 pages.)

Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

Accession No. 1149

DESCRIPTORS: Federal State and Local Relations, State or Local Administration, Program Coordination, Decentralization, Grant and Contract Management

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0328 **PROJECT NO:** ASPE 71-17

FUND SOURCE: Evaluation **NTIS NO:** PB 240-664

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HEW-OS-71-96

COST (In Thousands): FY 71-\$40

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: July '70 through March '71

1147

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Evaluation of Personal Care Organizations and
Other In-Home Alternatives to Nursing Home Care for the
Elderly and Long-Term Disabled

REPORT TITLE: Evaluation of Personal Care Organizations and
Other In-Home Alternatives to Nursing Home Care for the
Elderly and Long-Term Disabled.

PERFORMER: Applied Management Sciences, Inc.; Silver Spring,
Md.

AGENCY CONTACT: Noble, John H., Jr.; 202-245-5667

PROGRAM(S) EVALUATED:

HCFA-Medicaid-Long Term Care;
HCFA-Medicare-Hospital Insurance-Benefit Payments;
SSA-Disability Insurance-Benefit Payments

ABSTRACT: This project deals with alternatives to institutionalization for the elderly and long term disabled, with special attention to existing projects with an evaluation. Those concerned with health care of the elderly were contacted, and a list of projects providing services that prevent or act as an alternative to institutionalization of the infirm elderly was developed. The report contains summaries of the nine projects with completed evaluation components; details of methodology used in the literature search and the telephone survey; and a list of about 70 projects identified. Also included is a format for the project data file to be developed in later stages of the study and a draft of the screening criteria for site visits to selected projects. Abstracts of evaluation studies reviewed, plus a substantial bibliography of published materials, are appended. The study found that several alternatives are promising for some types of patients, that none have been proven, and that existing research suffers from severe methodological problems and is suspect on both internal and external validity grounds. A detailed protocol for future research is presented, together with options. (Final report six volumes).

DESCRIPTORS: Deinstitutionalization, Long Term Health Care, Nursing Homes, Literature Review Feature, Home Health Care, Research and Evaluation Methods Feature, Bibliography Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0601 **PROJECT NO:** ASPE/SSHD 74-1

FUND SOURCE: Evaluation **NTIS NO:** PB 256-009 to 014

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: DHEW-OS-74-294

COST (In Thousands): FY 74-\$ND

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '74 through April '75

1148

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Evaluation of the Recent Developments in State
Law and Impact on Medical Malpractice and Alternative
Systems

REPORT TITLE: Evaluation of Recent Developments on Medical
Malpractice

PERFORMER: Steven Grossman; 1616 Park Avenue; New York,
NY 55404

AGENCY CONTACT: Kopit, Bill; 447F, South Portal; 202-245-7272

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Health

ABSTRACT: This report is an evaluation of legal data relating to two important aspects of the medical malpractice program: laws relating to voluntary consent and laws relating to no-fault systems.

DESCRIPTORS: Quality Assurance, Medical Practice, Legal Issues
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0653 **PROJECT NO:** ASPE/ISP 75-2

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 548857

COST (In Thousands): FY 75-\$5

PROJECT STATUS: Study completed

START/END DATES: February '75 through June '75

1149

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Evaluation of the Cost Effectiveness of
Community Health Centers Used by Medicaid Recipients

REPORT TITLE: Not yet determined

PERFORMER: JRB Associates, Inc.; 8400 Westpark Drive;
McLean VA 22102; Benjamin Duggar

AGENCY CONTACT: Schoen, Cathy; Rm 441E, HHH Building;
202-245-1860

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community Health Centers;
HCFA-Medicaid

ABSTRACT: This study will use Medicaid claims data to evaluate the cost and use patterns of Medicaid beneficiaries who use CHCs, compared with similar Medicaid beneficiaries who use other sources of ambulatory care. Annual cost and annual use of inpatient and ambulatory services will be compared for groups of beneficiaries in 2 to 4 States for urban CHCs in those States. All selected States will have computerized Medicaid data systems. The study will assess the cost effectiveness of CHCs and develop techniques for using Medicaid data for analyses of costs and utilization of specified provider for groups.

DESCRIPTORS: Ambulatory and Outpatient Health Care, Community Health Centers, Cost-Effectiveness Analysis Feature, Utilization Review

EVALUATION TYPE: Program Impact

EDC ID NO: 1564 **PROJECT NO:** ASPE/H 78-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW 100-78-0138
COST (In Thousands): FY 79-\$186
PROJECT STATUS: Study in process
START/END DATES: September '78 through September '79

1150

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Project to Evaluate the Impact of Selected Health Education Programs on Patterns of Utilization of Health Care Services and Costs of Care

REPORT TITLE: An Evaluation of the Effectiveness of Selected Patient Education Programs at United Hospitals, St. Paul, Minn. and Group Health Cooperative of Puget Sound, Seattle, Washington

PERFORMER: A.D. Little, Inc.; Acorn Park; Cambridge, Mass 02140

AGENCY CONTACT: Mitnick, Mona; 437E, HHH Bldg.; 202-245-7150

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Health;
V-Non-HEW Programs-Health

ABSTRACT: Under contract to A.D.L. (with sub-contracts to United Hospitals and Group Health of Puget Sound), this project was a follow-up to Contract HEW 100-75-0082, which identified and surveyed health education programs with on-going or planned evaluations, and discussed on-going projects which could yield valuable evaluation data on the impact of health education, if not hampered by the lack of evaluation money. This project then (1) selected on-going health education projects which met certain criteria (in data collection, duration of project, amenability to evaluation; (2) with local personnel, developed evaluation plans; (3) measured the impact on both consumers and providers--on utilization of services and costs of care. Results reiterated desirability of prospective evaluation, amenability of health education to long-term evaluation. Report recommended stressing impact on health status, costs, utilization of health services, and manpower.

DESCRIPTORS: Consumer Education, Food and Nutrition, Health Care Delivery Systems, Preventive Health Care

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1202 **PROJECT NO:** P-76-205-H
FUND SOURCE: Evaluation **NTIS NO:** PB 283-649, PB 287-299/AS
MECHANISM: Purchase Order **ERIC NO:** Not yet determined
CONTRACT NO: 100-76-0236
COST (In Thousands): FY 76.1-\$57, FY 77-\$5
PROJECT STATUS: Study completed.
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: September '76 through March '78

1151

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: An Evaluation of the Impact of FCC Proposed Rulemaking Concerning the Instructional Television Fixed Service (ITFS)

REPORT TITLE: Not yet determined

PERFORMER: Joint Council on Educational Telecommunications; 1126 16th Street, NW; Washington, D.C. 20036; Frank Norwood, 659-9740

AGENCY CONTACT: Hupe, Howard; 409E, HHH Bldg.; 202-245-1891

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Educational Broadcasting Facilities

ABSTRACT: This analysis will provide information on changes being considered in regulation of the Instructional Television Fixed Service (ITFS), a multi-point distribution service reserved for use by educational institutions for purposes of disseminating instructional information. Commercial enterprises are beginning to request access to spectrum bandwidth reserved for the ITFS and the Federal Communications Commission has initiated action to review the validity of these requests. The document will provide background information to be used in preparation of the Department's comments to the FCC. In addition, it will assist with review of possible expansion of the Educational Broadcasting Facilities Program to include support for installation of IFTS services.

DESCRIPTORS: Educational Technology, Broadcast Technology
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1177 **PROJECT NO:** P-76-084-OTP
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: SA-6626-76
COST (In Thousands): FY 76-\$5
PROJECT STATUS: Study completed
START/END DATES: February '76 through June '76

1152

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Evaluation of the Impact of Federal and State Planning on Trends in the Supply and Demand of Nursing Home Beds

REPORT TITLE: Not yet determined

PERFORMER: Urban Institute; 1800 M St, N.W.; Washington, D.C.

AGENCY CONTACT: Bladen, Chris; Rm 732E, HHH Bldg.; 202-245-6223

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Health;
PHS-HRA-Health Resources-Facilities Construction-Medical;
PHS-HRA-Health Resources-Health
Manpower-Nursing-Institutional Aid

ABSTRACT: The study seeks to provide a better understanding of major supply and demand factors affecting the growth of skilled, intermediate, and other nursing homes from 1964 to 1974. It has two parts: a series of in-depth interviews in twelve states about the factors impacting nursing home supply and demand; and a 50 state cross

section and time series econometric model development. The model will be used to predict the effects of changes in supply and demand factors on nursing home construction through 1985.

DESCRIPTORS: Nursing Homes, Trends and Forecasts, Economic Analysis Feature, Statistical Analysis Methods Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1168 **PROJECT NO:** P-76-018-H
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-100-76-0069
COST (In Thousands): FY 76-\$246, FY 77-\$14
PROJECT STATUS: Study in process
START/END DATES: October '75 through August '77

1153

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Evaluation of the Newark Comprehensive Health Services Plan

REPORT TITLE: Evaluation of the Newark Comprehensive Health Services Plan

PERFORMER: Kappa Systems, Inc.; 1015 N. York Rd; Willow Grove Pa. 19090

AGENCY CONTACT: Bladen, Christopher; Rm. 437E, South Portal; 202-245-6233

PROGRAM(S) EVALUATED:
HCFA-Medicaid-Basic Care;
HCFA-Medicaid-State & Local Administration;
V-Many HEW Programs-Health

ABSTRACT: This project examines the development and impact of a major Sec. 1115 demonstration, funded jointly with the State of New Jersey, to improve the delivery of quality health services to the medically needy of Newark while containing costs. The demonstration combines several unique features: a shift from inpatient episodic to ambulatory preventive care; use of risksharing prepaid capitation; establishment of a city-wide health care delivery system; and enrollment of self-pay, medically indigent and Medicaid. The evaluation focuses on enrollment makeup, costs and utilization pattern changes, and program impact on patient outcomes.

DESCRIPTORS: Demonstration Projects, Ambulatory and Outpatient Health Care, Health Care Delivery Systems, Comprehensive Health Care, Health Maintenance Organizations, Cost-Effectiveness Analysis Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0616 **PROJECT NO:** P-76-001-H
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-100-76-0022
COST (In Thousands): FY 76-\$213, FY 77-\$215
PROJECT STATUS: Study completed
START/END DATES: September '75 through September '77

1154

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT DESCRIPTIONS

PROJECT TITLE: Evaluation of the Partnership Grant Program

REPORT TITLE: Not yet determined

PERFORMER: R.J. Associates; 1018 Arlington Blvd.; Arlington, VA 22209

AGENCY CONTACT: DeWilde, John; Rm. 416E, South Portal; 202-245-6607

PROGRAM(S) EVALUATED:
DM-Policy Research

ABSTRACT: RJ Associates and its subcontractor, Stanford Research Institute, are evaluating a sample of approximately 30 Partnership Grants. These demonstration grants, funded by EOA 232 Policy Research Funds, are intended to strengthen general purpose government planning and management capabilities. The focus is on policy management functions of chief elected officials. The evaluation is oriented toward learning more about the effectiveness of different human service, planning, management, and delivery techniques being tested by the demonstration projects. End-products will consist of clear documentation of project experiences and analyses of common lessons learned across projects.

DESCRIPTORS: Planning, Services Integration, State or Local Administration, Demonstration Projects, Research and Evaluation Performance

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1166 **PROJECT NO:** P-76-012-SS/HD
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-100-76-0095
COST (In Thousands): FY 76-\$357
PROJECT STATUS: Study completed
START/END DATES: March '76 through October '77

1155

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Evaluation of the Status and Effectiveness of State and Local Human Services Information Systems

REPORT TITLE: Evaluation of the Status and Effectiveness of State and Local Human Services Information Systems

PERFORMER: Applied Management Sciences; 962 Wayne Ave.; Silver Spring, Md. 20910

AGENCY CONTACT: Henton, Doug; Rm. 443-D HHH Bldg.; 202-245-7313

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Social Services

ABSTRACT: The final report of this project covers 2 phases of an effort to (1) inventory the universe of state and local human service information systems, and (2) analyze 30 such information systems and develop site profiles covering the design, operation, cost, transferability, unique features, and report generation capabilities, as well as the systems hardware and software configurations. The thirty site profiles provide a basis of comparison of existing off-the-shelf capabilities. The assessment covered social service information systems, information and referral, mental health systems, child abuse and neglect, and juvenile case tracking systems and regional data bank systems. The systems which are described operate on state-wide, multi-county, county, and regional levels. Key recommendations include: (1) HEW should develop effective transfer methodologies to capitalize on previous development efforts; (2) systems often require excessively detailed data collection; (3) despite these data systems which already exist, processes for systematically funneling data into planning systems and the resource allocation process have not been developed. (Vol. 1, 110 pages, Vol. II, 379 pages.)

DESCRIPTORS: Information Systems, Social Services Delivery Systems, Case or Site Study Feature, State or Local Administration, Outreach and Referral

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1151 **PROJECT NO:** P-76-017-PS

FUND SOURCE: Evaluation **NTIS NO:** SHR 000-1008,9

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HEW-100-76-0010

COST (In Thousands): FY 76-\$94

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: July '75 through August '76

1156

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Evaluation of the Use of Foreign Medical
Graduates (FMGs) in Mental Institutions

REPORT TITLE: Evaluation of the Use of Foreign Medical
Graduates in State Mental Hospitals

PERFORMER: Abt Associates, Inc.; 55 Wheeler Street; Cambridge,
Mass 02138

AGENCY CONTACT: Bladen, Chris; Rm 732E, HHH Bldg.;
202-245-6223

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower-Health
Professions;
PHS-ADAMHA-Mental Health-Training

ABSTRACT: In order to provide HEW with the input necessary to project the impact of the Health Professions Educational Assistance Act (PL 94-484) on state mental hospitals, extensive and detailed information was required on the utilization of FMS in the hospitals. To collect the hospital data, an extensive survey was conducted of professional staff in 40 state mental hospitals in 20 states. In order to make any determination of how hospitals may adjust to a disruption in the supply of FMGs, it was necessary to assess: 1) State and hospital characteristics associated with variations in reliance on FMGs; 2) FMG demographics and credentials in comparison to those of USMGs in the hospitals; 3) substitutability of other staff types for FMGs; and; 4) Hospital administrators' perception of how their hospitals would adjust to reduced supplies of FMGs. The analysis conducted and presented in the final report produced in detail a picture of the use of foreign medical graduates in state mental hospitals. Based on administrators' responses, approximately 60 percent of hospitals will be affected by a loss of FMGs if there is stringent enforcement of PL 94-484. Nearly every state in the sample had at least one hospital with a physician staff composed of 25 percent or more foreign medical graduates. (Final report 260 pages.)

DESCRIPTORS: Physicians, Mental Health, Hospitals, Immigrants and Refugees, Research and Evaluation Methods Feature, Survey or Questionnaire Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 1165

PROJECT NO: P-76-009-H

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-100-76-0174

COST (In Thousands): FY 76-\$165

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: January '76 through June '78

1157

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Evaluation Statistical Studies Revolving Fund

REPORT TITLE: None

PERFORMER: Population Division; Bureau of the Census;
Department of Commerce; Washington, D.C.

AGENCY CONTACT: Smith, Wray; 432E, HHH Bldg.;
202-472-3113

PROGRAM(S) EVALUATED:

V-Many HEW Programs

ABSTRACT: This revolving fund provides for quick acquisition from Bureau of the Census of special purpose census tabulations and analyses in support of evaluation projects. In addition, it supports continuing evaluation by HEW of the efficiency, effectiveness, and usefulness of HEW programs with short range special projects or studies on varied program-related topics including: analysis of the data upon which policy decisions are based; characteristics of the programs being evaluated; and characteristics of the individuals receiving the services or eligible for the services being supplied by HEW programs. Projects supported to date include development of estimates of the number of children receiving welfare assistance, and the Region VII SEDS (Socio-Economic Demographic Systems) project, to test the premise that socio-economic demographic data can be made available, assessed and displayed in ways that will enhance the decision maker's capabilities. Transfer numbers include 34-76-34, 41-76-10, and 34-77-21.

DESCRIPTORS: Statistical Data Feature

EVALUATION TYPE: Support

EDC ID NO: 1161

PROJECT NO: P-Transfer-76-08

FUND SOURCE: Evaluation

NTIS NO: Not available

MECHANISM: Transfer

ERIC NO: Not available

TRANSFER NO: Various

COST (In Thousands): FY 76-\$60, FY 76.1-\$5, FY 77-\$25

PROJECT STATUS: Study in process

START/END DATES: February '76 through June '77

1158

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: An Exploratory Study of Women in the Health
Professions Schools

REPORT TITLE: An Exploratory Study of Women in the Health
Professions Schools

PERFORMER: Urban and Rural Systems Associates; Pier 1-1 1/2;
San Francisco, Ca. 94111; 415-398-2040

AGENCY CONTACT: Downey, Connie; Rm. 438F, South Portal;
202-245-6604

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower-Health
Education Loans;
PHS-HRA-Health Resources-Health Manpower-Health
Professions

ABSTRACT: The Exploratory Study of Women in the Health Professions Schools identifies and explores the barriers women face as applicants to and students in the health professions of medicine, osteopathy, dentistry, veterinary medicine, optometry, podiatry, pharmacy, and public health. The central purpose of the study was to develop for future systematic testing a set of conceptual models of the recruitment, admissions, and education systems forming the environment within which men and women are socialized as physicians. The models and data form the basis for possible steps by HEW, the health educational and professional associations, and the schools to reduce barriers women face. Volume I of the study's final report is a summary volume which includes discussions of data analysis, findings, conclusions and recommendations. Volumes II thru IX, the individual health professions reports, discuss each profession in detail. Volume X is an annotated bibliography. Among the findings are that as college students women are not encouraged to take the prerequisite science courses, that there are major conflicts between training and family demands, and that stereotypes are used by male faculty and students to embarrass and harass women students. There are a large number of specific recommendations for reform in recruitment, admissions, training, curriculum, facilities, and practice. (Final report 1597 pages, Volume I 430 pages).

DESCRIPTORS: Women, Civil Rights and Integration, Medical Training, Dental Schools, Physicians, Higher Education, Bibliography Feature, Health Manpower Training, Scholarships Fellowships and Loans

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0623 **PROJECT NO:** ASPE/SC 74-2
FUND SOURCE: Evaluation **NTIS NO:** PB-259-223 to 234
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-OS-74-291
COST (In Thousands): FY 74-\$184, FY 75-\$170
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: April '74 through September '76

1159

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Extension of FY 73 Title I Evaluation

REPORT TITLE: Extension of FY 73 Title I Evaluation
PERFORMER: Planar Corporation; 955 L'Enfant Plaza; S.W.,
Washington, D.C.
AGENCY CONTACT: Ginsburg, Alan; 408 F, South Portal;
202-245-1886
PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Grants for Disadvantaged

ABSTRACT: This study reviews current management practices of the Title I program and recommends improvements in management operations. This extension is for purposes of completing the analysis in three areas: (1) a review of State reporting systems (2) an analysis of regulations covering local project design (3) a study of Title I monitoring procedures

DESCRIPTORS: Management Techniques, Compensatory Education, Educational Administration, Management Information and Reporting

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0637 **PROJECT NO:** ASPE/E 74-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 72-224
COST (In Thousands): FY 74-\$25
PROJECT STATUS: Study completed
START/END DATES: September '73 through October '73

1160

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Extension of the College-Going/College-Choice
Model to the National Longitudinal Study Class of 1972
Data

REPORT TITLE: Not yet determined
PERFORMER: Rand Corporation; 1700 Main Street; Santa Monica,
California 90406; Stephen Carrol 213-393-0411
AGENCY CONTACT: Goggin, Bill; 426 F, HHH Bldg.;
202-245-1880
PROGRAM(S) EVALUATED:
E-OE-Higher Education-Student Assistance-Basic
Opportunity Grants

ABSTRACT: In recent years, a number of models have been developed for estimating the impact of changes in the net cost of attending college upon whether students decide to enroll in college and upon their choice of a particular college. There have been a variety of proposals to change the statutory rules of the BEOG program for calculating awards to individual students. It has been a concern of the Congress and Executive Branch to know how particular changes would tend to affect student enrollment and choice decisions. The objectives of the research are to estimate two alternative models of students' postsecondary enrollment behavior, test the accuracy of each, and to use the more accurate to simulate the effects of hypothesized changes in federal student aid programs on attendance and enrollment patterns. The first model, developed by Inner City Fund (ICF), treats college-going/college-choice behavior as a hierarchical sequence of binary choices. The second model, developed by the Rand Corporation, employs a Bayesian approach to the analysis of enrollment behavior. The data base for the study is the NLS Class of 1972 data.

DESCRIPTORS: Scholarships Fellowships and Loans, Higher Education, Statistical Analysis Methods Feature, Operations Research Feature

EVALUATION TYPE: Program Strategy

EDC ID NO: 1194 **PROJECT NO:** P-76-176-E
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-100-76-0212
COST (In Thousands): FY 76.1-\$59, FY 77-\$9
PROJECT STATUS: Study completed
START/END DATES: September '76 through March '77

1161

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Enrollment Effects of Tuition Differentials

REPORT TITLE: Extension of the College-Going/College-Choice Model to the NLS Class of 1971 Data
PERFORMER: ICF, Inc.; 1990 M Street, N.W.; Washington, D.C. 20036; Gary Barnes
AGENCY CONTACT: Goggin, Bill; 426F, South Portal; 202-245-1880
PROGRAM(S) EVALUATED:
 E-OE-Higher Education-Student Assistance

ABSTRACT: Although it is generally believed that differences in tuition charged affect enrollments, useful qualitative national estimates of the impact of such differences have not been available. This project analyzes NCES National Longitudinal Study (NLS) data and determines that the complex simulation model can be used to predict college-going and college-choice decisions. The results generally confirm those of an earlier, less complex model (Direct Aid to Students: A Radical Structural Reform, HEW OS-71-134), and suggest that young persons respond consistently to economic and other incentives. For example, reducing prices increases enrollments, but means tested subsidies have a stronger effect than uniformly lower tuition. Both the earlier and this model can be applied to later cohorts of NLS. See also EDC 0627 and 0640 for other uses for NLS data. (Final report 96 pages).

DESCRIPTORS: User Charges, Higher Education, Scholarships Fellowships and Loans, Trends and Forecasts, Economic Analysis Feature, Statistical Analysis Methods Feature, Operations Research Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0638 **PROJECT NO:** ASPE/E 74-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-05-74-154
COST (In Thousands): FY 74-\$25
PROJECT STATUS: Study completed,
 Available from EDC
START/END DATES: May '74 through June '75

1162

AGENCY SPONSOR: Office of the Secretary
 Office of the Assistant Secretary for Planning
 & Evaluation

PROJECT TITLE: The Federal Role in Interdistrict Equalization of School Finance

REPORT TITLE: The Federal Role in Interdistrict Equalization
PERFORMER: Education Planning Staff; Office of the Assistant Secretary for Planning and Evaluation; HEW
AGENCY CONTACT: Ginsburg, Alan; 408F HHH Bldg.; 202-245-1886
PROGRAM(S) EVALUATED:
 V-Many HEW Programs-Education;
 V-Many HEW Programs-Grants in Aid

ABSTRACT: This study analyzes the magnitude and patterns of disparities in fiscal capacity among school districts, the differing approaches to defining equalization, methods of adjusting for special need factors, patterns of existing state and Federal aid, and possible approaches to new aid which would equalize district resources. The study proposes no simple solutions, but instead poses the tradeoffs involved. The study exploits a modified Census/ELSEGIS data base for 1969-70. The analytical framework could be reused with more recent data nationally or by an individual state. The study finds that simple measures of disparity simply show polar extremes and are misleading, that measures of fiscal capacity such as tax base or per capita income do not capture differences in need and may harm center cities, that no

simple equalization formula will treat all needy districts equitably, that formulas which are fiscally neutral and guarantee a decent minimum are not necessarily highly equalizing, but do preserve local choice to a significant degree, and that there are huge cost differences as equalization increases--from \$2 billion at the 50th percentile up to \$10 billion at the 95th percentile. (Final report 194 pages).

DESCRIPTORS: Educational Finance, Grant-in-Aid Formulas, Federal Role, Taxation, Statistical Data Feature
EVALUATION TYPE: Program Strategy

EDC ID NO: 1152 **PROJECT NO:** P-In-house-76-01
FUND SOURCE: Salaries and Expenses **NTIS NO:** EDC Only
MECHANISM: In-house **ERIC NO:** EDC Only
CONTRACT NO: Not available
COST (In Thousands): FY 74-Not available, FY 75-Not available, FY 76-Not available
PROJECT STATUS: Study completed,
 Available from EDC
START/END DATES: July '73 through May '76

1163

AGENCY SPONSOR: Office of the Secretary
 Office of the Assistant Secretary for Planning
 & Evaluation

PROJECT TITLE: The Federal Role in Meeting The Educational Needs of Chicanos and Puerto Ricans with Special Emphasis on Higher Education

REPORT TITLE: Not yet determined
PERFORMER: Assistant Secretary for Education, HEW; to Miranda and Associates; 35 Wisconsin Circle Suite 416; Washington, D.C. 20015; 301-656-8685
AGENCY CONTACT: Michel, Bernard; Room 313H HHH Bldg.; 202-245-8220
PROGRAM(S) EVALUATED:
 V-Many HEW Programs-Education;
 V-Non-HEW Programs-Education

ABSTRACT: This project provides for the study and analysis of current programs and activities of Federal agencies affecting higher education for Chicanos and Puerto Ricans. There are three principal tasks: (1) A review and analysis of literature pertaining to education of Hispanics, with emphasis on higher education of Chicanos and Puerto Ricans; (2) an overview of the programs and activities of Federal agencies, followed by identification of the ten agencies whose involvement in the higher education of Chicanos and Puerto Ricans is significant enough to warrant in-depth examination; and (3) preparation of a report containing an analysis and synthesis of the first two tasks as well as administrative, policy and legislative recommendations for program changes, the need for which can be documented by the findings of this study.

DESCRIPTORS: Non English Speaking, Higher Education, Literature Review Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1155 **PROJECT NO:** P-Transfer-76-02
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Transfer **ERIC NO:** Not yet determined
TRANSFER NO: 0637-17
COST (In Thousands): FY 76-\$12

PROJECT STATUS: Study completed
START/END DATES: December '76 through December '77

1164

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Development of a Conceptual Framework
Analyzing Implementation Aspects of Policies and Programs

REPORT TITLE: Final Report: Development of a Conceptual
Framework for Analyzing Implementation Aspects of
Policies and Programs

PERFORMER: Stanford University; Graduate School of Business;
Stanford, Calif. 94305; John M. Seidl

AGENCY CONTACT: Seeman, Isadore; Rm. 709B HHH Bldg.;
202-245-6301

PROGRAM(S) EVALUATED:
V-Many HEW Programs;
HCFA-Medicare-Program Direction & Management

ABSTRACT: The paper explores the potential for systematic analysis of governmental policy and program implementation, developing a framework for analysis. The framework is designed to be of assistance in assessing the likelihood of implementing a policy or program change congruent with the assumptions and objectives underlying the change: i.e., what is the likelihood that the change actually implemented closely resembles the original intention? The author argues that policy analysis has, in the past, been constrained by operating in a micro-economic mode only. To these "technical" parameters (objectives, nature of production function, measurability of outcomes) should be added organizational ones: analysis of the organization(s) involved, reasons for involvement; the missions, goals, professional skills, clientele and resources of organizations involved either positively or negatively in the proposed change.

DESCRIPTORS: Planning, Management Techniques, Federal Administration, Bureaucratic Behavior

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1171 **PROJECT NO:** P-76-032-H
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: SA 1490-76
COST (In Thousands): FY 76-\$10
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: September '75 through October '76

1165

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Assessment of State and Local Information
Systems Needs

REPORT TITLE: Final Report, DHEW Information Systems
Workshop

PERFORMER: Public Technology, Inc.; 1140 Conn. Ave. N.W.;
Washington, D.C. 20036; Reg Burd, 202-452-7700

AGENCY CONTACT: Raymond, Robert; Rm. 443-D, HHH Bldg.;
202-245-7316

PROGRAM(S) EVALUATED:
DM-Policy Research;
V-Many HEW Programs

ABSTRACT: The final report from the HEW Information Systems Workshop presents an analysis and summary of the recommendations for HEW CapacityBuilding projects and an analysis of the priority information systems problems at the State and local level. The identified problems were (1) related to a lack of a common language or taxonomy of human services; (2) lack of strategies and techniques for evaluation of human services; (3) lack of horizontal and vertical coordination of programs; (4) weaknesses in planning and resource allocation at the state and local levels; (5) needs for systems development and transfer methodologies; and (6) confidentiality issues which impact systems development and service delivery. The workshop was attended by representatives from state and local human service policy-making levels, university researchers, and the Federal agencies. The workshop participants concluded that HEW should identify the development and transfer of information systems as a high priority within its capacitybuilding Partnership Grant program. (Final report 64 pages.)

DESCRIPTORS: Information Systems, Information Dissemination, Planning, State or Local Administration, Indices and Taxonomies
EVALUATION TYPE: Support

EDC ID NO: 1173 **PROJECT NO:** P-76-057-PS
FUND SOURCE: Evaluation **NTIS NO:** SHR 0000-584
MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: SA-3462-76
COST (In Thousands): FY 76-\$6
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: October '75 through January '76

1166

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: National Academy of Sciences Study Project on
Social Research and Development

REPORT TITLE: The Funding of Social Knowledge Production and
Application: A Survey of Federal Agencies

PERFORMER: National Academy of Sciences, Washington, D. C.
AGENCY CONTACT: Abramson, Mark; 419E, HHH Bldg.;
202-245-7371

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Planning & Evaluation;
V-Many HEW Programs-Social Research & Statistics

ABSTRACT: This book contains four chapters on HEW, presenting brief descriptions of each HEW social research, statistical, or evaluation activity. In total, HEW spends about \$700 million annually on these activities. For each HEW agency, the volume presents information on the purposes, audiences and scope of each activity, making a basic distinction between the actual production of knowledge and the application of knowledge. The information contained in the book was originally collected from HEW staff for the National Academy of Sciences Study Project on Social Research and Development. The volume is available for purchase from the Office of Publications, National Academy of Sciences, 2101 Constitution Avenue, S.W., Washington, D.C. (Final report 487 pages).

DESCRIPTORS: Social Research, Research and Evaluation Planning and Administration, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development**EDC ID NO:** 1205**PROJECT NO:** P-In-house-77-01**FUND SOURCE:** Salaries and

Expenses (S&E)

NTIS NO: EDC Only**MECHANISM:** In-house**ERIC NO:** Not available**CONTRACT NO:** Not available**COST (In Thousands):** FY 77-Not available**PROJECT STATUS:** Study completed,
Available from EDC**START/END DATES:** March '77 through September '77

1167

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation**PROJECT TITLE:** Grease the Squeaky Wheel: A Report on the
Implementation of Title V of the ESEA Act 1965, Grants to
Strengthen State Departments of Education**REPORT TITLE:** Grease the Squeaky Wheel: A Report on the
Implementation of Title V of the ESEA Act 1965, Grants to
Strengthen State Departments of Education**PERFORMER:** Center for Educational Policy Research; Harvard
Graduate School of Education; Cambridge, Mass. 02138**AGENCY CONTACT:** Wheaton, Gerald; Rm. 426-F Humphrey
Bldg.; 202-245-1882**PROGRAM(S) EVALUATED:**

E-OE-Elementary and Secondary-Support & Innovation;

ABSTRACT: Title V of ESEA's implementation in several state education agencies is described. In addition, there is an exploration of why the program was implemented and there is an attempt to point out the problems of unrestricted financial assistance. Title V did not act as a stimulus for institutional reform, and its impact varied significantly from state to state. There were important differences observed among the states in 1965 and 1972. Rapid progress has been made since beginning of legislation ESEA-1965, using budget and staff growth as indications of S and E strength. (Final Report 435 pages)**DESCRIPTORS:** Survey or Questionnaire Feature, Grants-in-Aid, Federal Administration, Educational Finance, Elementary Education, Secondary Education**EVALUATION TYPE:** Program Impact**EDC ID NO:** 0382**PROJECT NO:** OS-71-1**FUND SOURCE:** Evaluation**NTIS NO:** PB 245-758**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HEW-OS-71-132**COST (In Thousands):** Not available**PROJECT STATUS:** Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS**START/END DATES:** NYD 'X through February '73

1168

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation**PROJECT TITLE:** Head Start Program and Cost Data Analysis
Project**REPORT TITLE:** Head Start Program and Cost Data Analysis**PERFORMER:** Development Associates; 1924 Columbia Pike;
Arlington, Va. 22004;**AGENCY CONTACT:** McHale, Carol; Rm 419E.3 HHH Bldg.;
202-245-7371**PROGRAM(S) EVALUATED:**

HD-Child Development-Head Start

ABSTRACT: The principal purpose of this project is to analyze data provided by the Head Start Program Information Report (PIR) to determine what relationships, if any exist between program quality, characteristics and cost among local Head Start grantees. The data analysis will provide a more comprehensive and uniform description of local programs so that meaningful comparisons can be made for policy and programmatic decision making. In addition, since there are analytic questions which cannot be addressed solely on the basis of the PIR data base, it is also the purpose of this project to explore other secondary data bases to determine whether, and to what extent, needed information is available from other standardized Head Start reports (e.g. annual audit reports, self assessments by local grantees) for analyzing particular program and cost variables related to quality programs, and how such information can be systematically and periodically linked with the data provided through the PIR. The analysis will include the universe of Head Start programs covering a national network of over 1,200 public and private non-profit organizations. The final report will include recommendations for improving the PIR data base format, statistical analyses of cost variables among programs and other technical policy/program issues.**DESCRIPTORS:** Economic Analysis Feature, Child Development, Information Processing, Low-Income Population, Management Information and Reporting, Statistical Analysis Methods Feature**EVALUATION TYPE:** Program Management or Efficiency**EDC ID NO:** 1558**PROJECT NO:** P-77-068 SSHD**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HEW-100-77-0108**COST (In Thousands):** FY 77-\$139**PROJECT STATUS:** Study completed**START/END DATES:** October '77 through October '78

1169

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation**PROJECT TITLE:** Health Maintenance Organization**REPORT TITLE:** Health Maintenance Organization Viability**PERFORMER:** Jurgovan and Blair, Inc.; 51 Monroe St.; Rockville,
MD 20850; Roger Jurgovan**AGENCY CONTACT:** Fishman, Sheldon; 202-472-3026**PROGRAM(S) EVALUATED:**

PHS-OASH-Health Maintenance Organizations

ABSTRACT: The study's objective was to identify those factors which tend to characterize the successful HMO and those which tend to characterize the struggling or failed HMO. Therefore, the study entailed four principal objectives: a) to develop criteria and standards which could discern the likelihood of HMO success or failure; b) to incorporate such criteria and standards within analytical methods for evaluating and predicting success and failure; c) to test and validate criteria, standards and methods with detailed field survey finding; and, d) to suggest potential improvements in HMO related programs, and policies. The study tracked performance change, observed performance on a membership scale as well as a time scale, and compared actual HMO Performance with 1) projected performance and 2) a

norm representative of composite peer performance. Based upon study results and findings, a sound method was developed to define and gauge HMO success and failure. In addition, 23 recommendations were made for strengthening HMO development and operation and Federal monitoring and were organized into the following categories: determinants of HMO success, containment of administrative expense, deficit control, utility and validity of the HMO National Data Reporting Requirements, areas of performance not addressed within existent reporting requirements, and implication of study findings for reviewing applications for HMO grants, loans, qualifications and offering to employees. (Final report 194 pages.)

DESCRIPTORS: Health Maintenance Organizations, Management Information and Reporting, Research and Evaluation Performance
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1552 **PROJECT NO:** ASPE/H 77-2
FUND SOURCE: Evaluation **NTIS NO:** PB 295-631
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-100-77-0109
COST (In Thousands): FY 77-\$121
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: September '77 through March '79

1170

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Identifying Vocational Rehabilitation Cost
Systems and Models

REPORT TITLE: Identifying Vocational Rehabilitation Cost Systems
PERFORMER: Messer Associates, Inc.; 8555 16th St.; Silver Spring,
MD 20910; Joyce Hospodar

AGENCY CONTACT: Singer, Craig; Rm. 447-F HHH; 202-245-1805

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Basic State Grants

ABSTRACT: This study was undertaken because currently required data on Vocational Rehabilitation costs has not been adequate for Federal monitoring, planning, and evaluation of the delivery of services. The specific study purpose was to determine whether states maintained cost data and handling capabilities in excess of current (minimal) Federal requirement which could be used both by the Federal government and other states. A literature search on cost analysis was conducted and almost all state agencies for rehabilitation and the blind were surveyed. The study found that few states currently incorporate more detailed data into MIS systems. Although such data is available in case files, it would require new systems. The study recommends further analysis of Federal data needs and Federal establishment of conferences and networks whereby states could exchange information on their systems. The study contains substantial data on the details of the state systems as compared to current Federal requirements. (Final report 58 pages.)

DESCRIPTORS: Management Information and Reporting, Survey or Questionnaire Feature, Information Processing, State or Local Administration, Client Records, Auditing and Accounting
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0610 **PROJECT NO:** ASPE/SSHD 76-1
FUND SOURCE: Research **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available

PROJECT DESCRIPTIONS

CONTRACT NO: HEW-100-76-0003
COST (In Thousands): FY 76-\$45
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: July '75 through September '76

1171

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Definition and Documentation of the Service
Fragmentation Problem

REPORT TITLE: The Illusion of Deinstitutionalization

PERFORMER: Denver Research Institute; Social Systems Research
and Evaluation Division; University of Denver

AGENCY CONTACT: Conley, Ron; Rm 416-E HHH Bldg;
202-245-1799

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Social Services;
V-Many HEW Programs-Health

ABSTRACT: The purpose of this study was to identify and examine certain barriers to the achievement of the objectives of the deinstitutionalization movement, the reasons for their existence, and the impact of these problems on clients, staff, and the care-giving system as a whole. In addition, an attempt was made to formulate recommendations for policy changes or policy initiatives which would alleviate these problems so that the deinstitutionalization process can proceed more efficiently and effectively. Some 279 individuals in seven states-California, Colorado, Florida, Illinois, Mass. New York, and Washington-were interviewed in order to obtain a broad crosssection of views about experiences with the deinstitutionalization process. Discussions with respondents were largely unstructured, so that they covered both common problems and a wide range of unique concerns. The common problems identified as significant by the vast majority were: (1) administrative or system issues; (2) economic or cost-related issues; (3) social issues; (4) other significant issues; and (5) the impact of each of the four problem areas on institutional staff and clients attempting to adapt to community settings. The results of this study indicate that supportive services are often unavailable because of the inaccessibility of many generic services and a lack of clear responsibility for outreach and follow-up services. Suggested policy changes are cited in the final report. (Final report 65 pages.)

DESCRIPTORS: Deinstitutionalization, State or Local Administration, Handicapping Conditions and Problems, Literature Review Feature, Services Integration, Mental Health, Social Services Delivery Systems

EVALUATION TYPE: Program Impact

EDC ID NO: 1556 **PROJECT NO:** P-77-073-SSHD
FUND SOURCE: Evaluation **NTIS NO:** SHR 0003-153
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 100-77-0107
COST (In Thousands): FY 77-\$149
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: October '77 through October '78

1172

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Data Analysis of How Many States Would
Qualify Under Fiscal Neutrality Adjustment to the "Final
Impact Aid"

REPORT TITLE: Impact Aid and Basic State School Finance
Program: Can They be Made More Compatible?

PERFORMER: National Conference of State Legislators; 1150 17th
St. N.W. Suite 502; Washington, D.C. 20036; Mr. Wm. H.
Wilkins, 202-785-5614

AGENCY CONTACT: Ginsburg, Alan; 408-F1 HHH Bldg.;
202-245-1886

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Grants for
Disadvantaged-LEAs;
E-OE-School Assistance to Federally Affected Areas

ABSTRACT: The School Assistance to Federally Affected Areas Program has been amended to permit States with adequate school finance equalization plans to take Title I SAFA payments into account in determining the relative financial needs of affected LEA's and to reduce their own contributions to Federally impacted districts by a portion of the Federal SAFA payment. To qualify for this offset, it is proposed that States meet either a disparity standard which places a 20 percent limit on per pupil expenditure disparities between high and low wealth districts, or a fiscal neutrality standard which requires that at least 90% of all State and local education resources be covered under the State equalization program. This project will furnish information about how many States would qualify under the proposed fiscal neutrality standard. The analysis will entail categorizing all State and local educational revenues or expenditures as equalizing, disqualifying or fiscally neutral. Where possible, revenues will be so categorized with breakdowns by major program categories (vocational education, special education, construction, etc.) The contractor will collect information by telephone and, in a few instances, by direct review of State records.

DESCRIPTORS: Educational Finance, Compensatory Education,
Grant-in-Aid Formulas, Statistical Data Feature, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1175 **PROJECT NO:** P-76-080-E
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: SA-6145-76
COST (In Thousands): FY 76-\$9
PROJECT STATUS: Study completed
START/END DATES: March '76 through July '76

1173

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Review of the Implementation of Title I of the
Vocational Rehabilitation Act

REPORT TITLE: Implementing the Rehabilitation Act of 1973: The
VR Program Response

PERFORMER: Berkley Planning Associates; 2320 Channing Way;
Berkley, CA 94704; Susan Shea, 415-549-3492

AGENCY CONTACT: DeWilde, John; Rm 439F, HHH Bldg.;
202-245-6613

PROGRAM(S) EVALUATED:
HD-Vocational Rehabilitation-Basic State Grants

ABSTRACT: The HEW Audit Agency, in cooperation with the Office of the Assistant Secretary for Planning and Evaluation, and using a contractor conducted an evaluation of the implementation of Title I of the VR Act. Based on a sample of over 1100 clients of 5 state VR agencies, whose case records were reviewed and who were personally interviewed, as were their counselors, this report looks at VR state agency compliance with innovative provisions of the Rehabilitation Act of 1973. Specifically, it identifies strengths and weaknesses in compliance with the requirement for an Individualized Written Rehabilitation Program (IWRP) for clients and the extent to which agencies are giving priority, as mandated, to the most severely handicapped individuals. In addition, the report examines whether compliance with these legislative provisions has ultimately had a positive impact on client vocational outcomes and retention of benefits. The report offers insight into those factors most important to achieving superior vocational outcomes, considering client characteristics, counselor and agency and service process characteristics. In addition, the validity of the R-300 data base is examined. Policy recommendations are made. (Final report 230 pages.)

DESCRIPTORS: Handicapping Conditions and Problems, Survey or Questionnaire Feature, Consumer Evaluation Feature, Client Records, Operations Research Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1167 **PROJECT NO:** P-76-016-SS/HD
FUND SOURCE: Evaluation **NTIS NO:** PB 280-937

MECHANISM: Contract, In-house **ERIC NO:** Not available

CONTRACT NO: HEW-100-76-0005
COST (In Thousands): FY 76-\$136, FY 77-\$99

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: July '75 through April '78

1174

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Implications of Research Findings for Early
Childhood Programs

REPORT TITLE: Not yet determined

PERFORMER: Dr. Thomas Hertz; 6402 Cipriano Road; Lanham,
MD

AGENCY CONTACT: Carol McHale; Rm 419-E3 HHH Bldg.;
202-245-6172

PROGRAM(S) EVALUATED:
HD-Child Development;
HD-Child Development-Program Direction & Management

ABSTRACT: This project is an extension of a previous project and expands the scope of the contractor's overview paper. Subject report summarizes existing major recent early childhood program evaluation and research on pre-school age children and addresses key substantive and technical issues that bear on the interpretation of findings. The report extension covers the review of additional references on day care and Project Follow Through not included in the original agreement and discussion of additional issues: limitation of outcome measures, the importance of program-child interactions, the meaning "structure" as a program dimension, and the importance of program implementation.

DESCRIPTORS: Child Development, Federal Role, Literature Review Feature

EVALUATION TYPE: Program Strategy

EDC ID NO: 1569

PROJECT NO: P-77-045-SSHD

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: SA-11455-76 Amendmen

COST (In Thousands): FY 77-\$1

PROJECT STATUS: Study completed

START/END DATES: March '77 through May '77

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: July '76 through November '77

1175

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Evaluation of Loan Repayment Programs for
Health Professions Students

REPORT TITLE: Improving Capital and Insurance Market Access
for Graduate and Professional Students

PERFORMER: Institute for Demographic and Economic Studies;
P.O. Box 2128; New Haven, Conn. 16520

AGENCY CONTACT: Bladen, Chris; 732E, HHH Bldg.;
202-245-6233

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Health Manpower-Health
Education Loans

ABSTRACT: This study provides a general assessment of the role of effectively functioning capital and insurance markets for equitable and efficient access to advanced education, then considers the issues of capital and insurance market access. The first chapter develops the thesis that the most substantial barriers to equitable and efficient access to advanced educational opportunities result from imperfections in the markets for education, labor, capital and insurance. The critical importance of efficient capital and insurance markets is discussed in Chapter 2. Sources of capital market failure and the fundamental inadequacies of Federal programs designed to compensate for this market failure discussed in Chapter 3. Chapter 4 then suggests the terms of access to capital human capital investment which would be provided by an efficient capital market. Simulations of alternative loan programs incorporating these characteristics, for medical education, legal education, and the universe of graduate-professional education, are then presented in Chapter 5. Chapter 6 examines the nature of the individual and market risks associated with human capital investment, develops a general theory of income insurance and considers the direct and indirect effects of income insurance. A more thorough analysis of the ex post behavior consequent of income insurance, focussing on postschooling investments in human capital, is presented in Chapter 7. Finally, Chapter 8 provides tentative empirical estimates of a spectrum of feasible income insurance schemes, utilizing medicine and law.

DESCRIPTORS: Scholarships Fellowships and Loans, Medical Training, Health Manpower Training, Scientific Manpower
EVALUATION TYPE: Program Strategy

EDC ID NO: 1192

PROJECT NO: P-76-162-H

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: HEW-100-76-0152

COST (In Thousands): FY 76-\$16

1176

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Evaluation of Family Planning Services for
Teenagers

REPORT TITLE: Improving Family Planning Services for Teenagers

PERFORMER: Urban & Rural Systems Associates; Pier 1 1/2; San
Francisco, CA 94111

AGENCY CONTACT: Schiffer, Clara C.; Room 441-E, South
Portal; 202-245-7150

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Community-Family Planning;
HD-Social Services-Family Planning

ABSTRACT: Family planning clinics were studied to determine impact on teenagers and ways to improve. Teenagers are becoming sexually active at younger ages, and many births of teenage mothers are unplanned and unwanted. Women bearing children during their teenage years are more likely to lose their children through miscarriage or give birth to premature children, and risk higher rates of maternal death. The study derived information from teenagers, including both those who use family planning clinics and those who do not; the clinics; and the community. Eight major metropolitan centers were designated as study areas, and 40 clinics in those areas were selected for intensive study. Data were collected through questionnaires, interviews, and visits to the clinics. The study found that many teenagers in need were not being reached, due in part to lack of effective outreach and problems of guaranteeing anonymity. Many clients dropped out due to lack of efficiency or courtesy on the part of clinic staff. Recommendations included improvements in clinic operations such as separate teen services, nationwide publicity campaign, more HEW funding, better technical assistance, and improvements to the patient data reporting system. The relevant Federal, State, and local laws and regulations were also reviewed. An annotated bibliography is included. (Final report 85 pages plus appendices).

DESCRIPTORS: Family Planning, Survey or Questionnaire Feature, Bibliography Feature, Maternal and Child Health, Clinics
EVALUATION TYPE: Program Management or Efficiency, Research and Data Development

EDC ID NO: 0609

PROJECT NO: ASPE 74-1

FUND SOURCE: Evaluation

NTIS NO: PB 259-950 and 951

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-05-74-304

COST (In Thousands): FY 74-\$145

PROJECT STATUS: Study completed,

Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '71 through June '76

1177

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT DESCRIPTIONS

PROJECT TITLE: Not yet determined**REPORT TITLE:** Improving Services to Handicapped Children**PERFORMER:** The Rand Corporation; Santa Monica, CA. 90406**AGENCY CONTACT:** Not yet determined**PROGRAM(S) EVALUATED:**

V-Many HEW Programs-Social Services

ABSTRACT: More than 9 million mentally or physically handicapped children and youth in the U.S. aged 0 to 21 are impaired enough to need services not required by "normal" youth. Currently there are over 50 different major federal programs and hundreds of state and local programs, which together expend about \$420 million a year for service to hearing and vision handicapped youth. Many youth are not receiving services, or are receiving the wrong or inadequate services. Extreme inequities prevail in the delivery of services; there are serious gaps in services offered; information is insufficient, control is inadequate, and more important, the resources devoted to these youth's needs are insufficient. To aid in this evaluation, several models of government roles for providing services and similarly categorized functional mechanisms and rationales for governmental involvement in service delivery. Evaluation criteria used included measures of the individual's quality of life, future economic benefits, equity, and current resource consumption. Recommendations range from termination of some programs to consolidation and expansion of others, and from improvements in the management and structure of service programs to shifts in the mix of services provided. Chapter 2 of this report details the findings and recommendations and discusses priorities and tradeoffs in meeting the services needs of handicapped youth. Recommendations are summarized in Table A. (Final Report 329 pages, Abridged Version, 52 pages.)

DESCRIPTORS: Handicapping Conditions and Problems, Social Services Delivery Systems, Children and Youth**EVALUATION TYPE:** Program Management or Efficiency**EDC ID NO:** 0349**PROJECT NO:** ASPE 72-19**FUND SOURCE:** Evaluation**NTIS NO:** EDC Only**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HEW-OS-72-101**COST (In Thousands):** FY 72-Not available**PROJECT STATUS:** Study completed,

Available from EDC

START/END DATES: June '72 through May '74

1178

AGENCY SPONSOR: Office of the SecretaryOffice of the Assistant Secretary for Planning
& Evaluation**PROJECT TITLE:** The Incidence and Nature of Runaway Behavior**REPORT TITLE:** The Incidence and Nature of Runaway Behavior**PERFORMER:** Behavioral Research and Evaluation Corp; 2305
Canyon Blvd.; Boulder, Colorado 80302**AGENCY CONTACT:** Not yet determined**PROGRAM(S) EVALUATED:**

HD-Youth Development-Runaway Youth

ABSTRACT: This study was designed to indicate procedures and instruments for a national study of the incidence of runaways. To do this, the various types of runaway behavior are classified and their frequency of incidence measured. The instruments developed were checked for validity and reliability on a statistical sample and utilized in conjunction with the empirical taxonomic scheme in analyzing data. Results of the study are a comparison of runaways and non-runaways according to age, sex, ethnicity, social class, incidence, and parental personality characteristics. Recommendations include a dis-

cussion of the feasibility and alternatives for a national study; appendices document and describe all field procedures in a simple, replicable form and findings are given of runaways and their behavior in the geographical area studied. (Final report 606 pages.)

DESCRIPTORS: Dropouts and Runaways, Statistical Analysis Methods Feature, Indices and Taxonomies**EVALUATION TYPE:** Research and Data Development**EDC ID NO:** 0426**PROJECT NO:** ASPE 75-022**FUND SOURCE:** Evaluation**NTIS NO:** PB 244-443**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** HEW-OS-74-271**COST (In Thousands):** FY 74-Not available**PROJECT STATUS:** Study completed,

Available from EDC,

Available from NTIS

START/END DATES: July '74 through May '75

1179

AGENCY SPONSOR: Office of the SecretaryOffice of the Assistant Secretary for Planning
& Evaluation**PROJECT TITLE:** Mega Proposal**REPORT TITLE:** Income and Employment Policy**PERFORMER:** Office of the Assistant Secretary for Planning and
Evaluation, HEW; Washington, D. C.**AGENCY CONTACT:** Britten, Gerald; Rm 447-D, South Portal;
202-245-9774**PROGRAM(S) EVALUATED:**

V-Many HEW Programs-Income Security;

SSA-Supplemental Security Income;

HCFA-Medicaid;

V-Non-HEW Programs-Income Security;

V-Non-HEW Programs-Employment & Training

ABSTRACT: One of the major components of the Mega Proposal was its welfare reform proposal. This proposal was a work based approach containing far stronger work requirements and incentives than the FAP negative income tax proposal. It would have required each "employable" adult to work by not providing unearned benefits for such adults, while raising payment levels for the poorest and those with children, and covering all poor families. Public jobs at the minimum wage would be guaranteed, however, for adults unable to find private employment, so that all adults would be assured a decent minimum income provided they were willing to work if able. Mothers of small children would not be required to work, so that disruption of family life and wasteful day care center expenses would be avoided. State supplementation was to be allowed on top of Federally financed minimums set nation-wide. The 1977 Carter welfare reform proposal is very similar in its major provisions. See EDC 1461 for publication details. (Chapter 23 pages).

DESCRIPTORS: Income Maintenance, Welfare Reform, Equity of Benefits, Low-Income Population, Federal Role, Federal Administration, Training and Employment of the Disadvantaged, Child Care
EVALUATION TYPE: Program Strategy

EDC ID NO: 1461.2**PROJECT NO:** P In-house 73-01**FUND SOURCE:** Salaries and

Expenses

NTIS NO: EDC Only**MECHANISM:** In-house**ERIC NO:** Not available**CONTRACT NO:** Not available**COST (In Thousands):** FY 73-Not available

PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: August '72 through December '72

1180

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: An Index for HEW Evaluation Studies

REPORT TITLE: Index and Users Guide

PERFORMER: Bio-Dynamics Research Division; Systemedics, Inc.;
3 New England Executive Park; Burlington, Mass. 01803

AGENCY CONTACT: Grob, George; 245-1792

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Planning & Evaluation

ABSTRACT: This Index and Users Guide represents an expansion and refinement of the original systems to include an index for referencing completed contract evaluation studies in the other areas of HEW activity, including education, income maintenance, and social services as well as health, enabling a user to discover and access studies related to specific areas of interest that have been sponsored by a broad range of agencies. This system was used for two years and was a starting point for development of the current, automated Evaluation Documentation Center. See also EDC ID No: 1203. (Final Report 81 pages.)

DESCRIPTORS: Bibliography Feature, Indices and Taxonomies, Research and Evaluation Planning and Administration, Information Systems, Information Dissemination, Management Information and Reporting

EVALUATION TYPE: Support

EDC ID NO: 0342

PROJECT NO: CC/ASPE 74-6

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-OS-74-242

COST (In Thousands): FY 74-\$24

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: June '74 through February '75

1181

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Issues in the Evaluation of Biomedical
Technology

REPORT TITLE: Not yet determined

PERFORMER: Massachusetts General Hospital; Fruit Street;
Boston, Mass.

AGENCY CONTACT: Bladen, Chris; Rm. 439F; 202-245-6233

PROGRAM(S) EVALUATED:

PHS-NIH-Biomedical Research

ABSTRACT: This project will organize a conceptual framework and develop a research agenda for evaluation of the impact of new technologies on resources requirements; the organization of care; the generation of technologies and the mechanisms for controlling any resulting new technologies in this field.

PROJECT DESCRIPTIONS

DESCRIPTORS: Biomedical Research, Research and Evaluation Planning and Administration, Medical Technology
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0620

PROJECT NO: ASPE/H 75-2

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 755640

COST (In Thousands): FY 75-\$10

PROJECT STATUS: Study in process

START/END DATES: July '74 through May '75

1182

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: National Clearinghouse for Human Services
IntegrationProject SHARE

REPORT TITLE: Journal of Human Services Abstracts

PERFORMER: Aspen Systems Corporation; 5640 Fishers Lane;
Rockville, Maryland; 20715; Anne Mehringer; 202-468-2453

AGENCY CONTACT: Wolff, Eileen; Room 447-F South Portal;
202-245-6613

PROGRAM(S) EVALUATED:

V-Many HEW Programs

ABSTRACT: Project SHARE is a national clearinghouse for improving the management of human services. It identifies, announces and makes available a wide range of documents on planning, management and delivery of human services at the State and local level. Publications include a quarterly Journal of Human Services Abstracts (about six issues to date), topical annotated bibliographies, and special state-of-the-literature and state-of-the-knowledge monographs. The clearinghouse is intended to assist State and local users to make practical assessments concerning human services planning and management innovations tried or analyzed anywhere in the nation. The project is funded approximately equally by the Policy Research Program and by evaluation. Copies of publications may be obtained from Project Share, P.O. Box 2309, Rockville, Md. 20852.

DESCRIPTORS: Information Dissemination, Services Integration, Planning, State or Local Administration, Literature Review Feature, Bibliography Feature, Social Services Delivery Systems
EVALUATION TYPE: Support

EDC ID NO: 0607

PROJECT NO: ASPE/PS-75-3

FUND SOURCE: Research,

Evaluation

NTIS NO: Not available

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-100-75-0179

COST (In Thousands): FY 75-\$239, FY 76-\$315, FY 77-\$430

PROJECT STATUS: Study in process

START/END DATES: July '75 through September '78

1183

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Project SHARE- A National Clearinghouse for
Improving the Management of Human Services

REPORT TITLE: Journal of Human Services Abstracts

PERFORMER: Aspen Systems Corporation; 20010 Century Boulevard; Germantown, Maryland 20767; Anne Mehringer 301-428-3100

AGENCY CONTACT: Wolff, Eileen; Rm 447F, HHH Building; 202-245-2240

PROGRAM(S) EVALUATED:
V-Many HEW Programs

ABSTRACT: Project SHARE is a national clearinghouse for improving the management of human services. It identifies, announces and makes available a wide range of documents on planning, management and delivery of human services at the State and local level. Publications include a quarterly Journal of Human Services Abstracts (12 issues to date), topical annotated bibliographies, and special state-of-the-literature and state-of-the-knowledge monographs. The clearinghouse is intended to assist State and local users to make practical assessments concerning human services planning and management innovations tried or analyzed anywhere in the nation. The project is funded by the Policy Research Program and by evaluation. Copies of publications may be obtained from Project SHARE, P. O. Box 2309, Rockville, MD 20852.

DESCRIPTORS: Information Dissemination, Services Integration, Planning, State or Local Administration, Literature Review Feature, Bibliography Feature, Social Services Delivery Systems
EVALUATION TYPE: Support

EDC ID NO: 1565 **PROJECT NO:** ASPE/PS-75-3
FUND SOURCE: Research, Evaluation **NTIS NO:** Not available
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW 100-78-0073
COST (In Thousands): FY 75-\$239
PROJECT STATUS: Study completed
START/END DATES: September '78 through September '80

1184

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Literature Review and approaches to Issues in Federal Policies Toward Racial Minorities

REPORT TITLE: Not yet determined

PERFORMER: TEAM Associates; 1522 K St., N.W.; Washington, D.C.; Lynn Ferrera 202-331-1832

AGENCY CONTACT: Ginsburg, Dr. Alan; Room 408F1, South Portal; 202-245-1886

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Education;
DM-Office for Civil Rights

ABSTRACT: This contract will provide a review of past studies on the impact of civil rights policies and a preliminary design for follow on projects. The literature review will attempt to show how the goals of equal educational and employment opportunities have been served by over a decade of civil rights efforts. The contractor in its literature review of approaches to issues in Federal Policies toward Racial Minorities, identified knowledge gaps that required additional studies and assisted ASPE in the development of the following studies: HEW-100-76-0209, An Empirical Description of the Nations Schools, and HEW-100-76-0196, A Study to Determine the Impact of School Desegregation Policy on the Racial and Socio-Economic Characteristics of the Nation's Schools and Residential Communities.

DESCRIPTORS: Civil Rights and Integration, Minority Groups, Literature Review Feature, Research and Evaluation Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0630 **PROJECT NO:** ASPE/E 75-6
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contracts **ERIC NO:** Not yet determined
CONTRACT NO: HEW-OS-75-0119
COST (In Thousands): FY 75-\$35, FY 76-\$20, FY 77-\$14
PROJECT STATUS: Study completed
START/END DATES: May '75 through December '76

1185

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Longitudinal Analysis of Home Health Clients and Services

REPORT TITLE: Not yet determined

PERFORMER: San Francisco Home Health Services; 2940 Sixteenth Street; San Francisco, CA 94103 864-6470

AGENCY CONTACT: LaVor, Judy; Rm 5523 Switzer Building; 202-245-1738

PROGRAM(S) EVALUATED:
HCFA-Medicaid-Long Term Care;
PHS-HSA-Health Services-Community-Home Health Services;
HD-Social Services;
HD-Aging

ABSTRACT: This project will continue a longitudinal analysis of home health clients, services, utilization, and funding trends, using the data of one provider of comprehensive home care. The first year analysis focused on demographic characteristic and service patterns. The second year will retrieve from records data on functional status. Composition of services, use of other health services, comparison of accepted and rejected cases. The final report will contain a full analysis of these factors and their interaction, to provide a full picture of long term as well as short term users of home health services.

DESCRIPTORS: Home Health Care, Long Term Health Care, Elderly, Handicapping Conditions and Problems
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1560 **PROJECT NO:** P-77-071 SSHD
FUND SOURCE: Evaluation **NTIS NO:** Not available
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-100-77-0065
COST (In Thousands): FY 77-\$173
PROJECT STATUS: Study in process
START/END DATES: September '77 through October '78

1186

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Mega Proposal

REPORT TITLE: Maximum Liability Health Insurance

PERFORMER: Office of the Assistant Secretary for Planning and Evaluation, HEW; Washington, D.C. 20201

AGENCY CONTACT: Britten, Gerald; Rm 447-D, South Portal; 202-245-9774

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Health;

HCFA-Medicare;
HCFA-Medical Assistance;
PHS-HSA-Health Services

ABSTRACT: One of the major components of the Mega Proposal was its health insurance reform proposal. The proposal for Maximum Liability Health Insurance (MLHI) would provide for universal coverage and protect all individuals and families against financial costs of health care that exceed ability to pay, and would provide "true" health insurance rather than pre-paid routine care. The central concept was that a family's financial health expenses would not exceed a maximum amount as related to income. In addition to expanded insurance coverage, it would have significant income redistribution effects since maximum costs would be lower for poorer families and increase from 3 percent to 15 percent as income increases. The proposal was shown to be superior to major competing alternatives by achieving universal coverage for ruinous health expenses while preventing the tens of billions in cost escalation unavoidable in proposals without significant deductibles and co-insurance. It would also require government run health services to compete with other providers to the benefit of consumer accountability. This is the only major component not yet well on its way to enactment. See EDC 1461 for publication data. (Chapter 32 pages).

DESCRIPTORS: Health Insurance and Financing, Grants-in-Aid, Federal Role, Equity of Benefits, User Charges, Health Care Delivery Systems, Federal Administration, Vouchers, Means Testing, Taxation

EVALUATION TYPE: Program Strategy

EDC ID NO: 1461.1 **PROJECT NO:** P In-house 73-01

FUND SOURCE: Salaries and Expenses

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 73-Not available

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: August '72 through December '72

1187

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Comparative Evaluation of the Quality of Health Care Services Provided to Different Socio-Economic Groups in a Variety of Settings

REPORT TITLE: Measuring Quality of Ambulatory Health Care by Patient Condition at Time of Hospitalization

PERFORMER: General Research Corp.; Santa Barbara, Calif.

AGENCY CONTACT: Fishman, Sheldon; Rm. 731E, HHH Bldg.; 202-472-3026

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Community-Health Centers;
PHS-OASH-Health Maintenance Organizations;
HCFA-Medicaid

ABSTRACT: The quality of ambulatory of health care has been measured by the condition of patients at the time of hospitalization, using the "staging" technique. The study was divided into two phases. During Phase I, over 5,000 automated abstracts of patient hospital records were staged. The condition (stage) of the patients was then examined for various payment sources, ambulatory-care settings, hospital sizes, and hospital locations. The efforts of disease type, age, and sex were also examined. Significant differences were found, including a difference between Medi-Cal and privately insured patients both

before and after normalizing for disease type, age, and sex. The reasons for the differences could not be addressed in Phase I because ambulatory care records were not available. During Phase II, hospital and ambulatory records were matched for a sample of patients. Automated abstracts of patient records from a large California teaching hospital were processed to find those amenable to staging. Disease-specific and baseline process evaluations of the ambulatory care records were then completed, upon the agreement of the ambulatory-care providers. Details of Phases I and II are reported in Volumes II and III respectively. (Vol. 1 Project Summary, 16 pages; Vol. 2, Phase I, 100 pages, Vol. 3, Phase II, 190 pages.)

DESCRIPTORS: Health Insurance and Financing, Health Care Delivery Systems, Ambulatory and Outpatient Health Care, Quality Assurance, Health Maintenance Organizations, Client Records, Research and Evaluation Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1174

PROJECT NO: P-76-078-H

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-100-76-0119

COST (In Thousands): FY 76-\$58

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: June '76 through September '78

1188

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Feasibility study for Quality of Education

REPORT TITLE: Minority Education 1960-1978: Grounds, Gains, and Gaps

PERFORMER: Cemrel, Inc.; 875 North Michigan Ave; Chicago, Illinois 60611

AGENCY CONTACT: Claude Kinard; Rm 408F HHH Bldg.; 202-245-1887;

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Education;

V-Many HEW Programs-Social Research & Statistics

ABSTRACT: The primary objective of this study is to summarize, assess and evaluate what can be said about the consequences of alternative strategies for promoting educational outcomes for minorities on the basis of existing information. This project is a synthesis and/or secondary analysis of existing data sources such as Federal evaluation of the Emergency School Aid Act (ESAA) and other data sources collected by Federal, State and Local education agencies and private institutions, (e.g. Research Institutions, Testing Companies, and Universities). (Final report 2 Vols.)

DESCRIPTORS: Minority Groups, Elementary Education, Non English Speaking, Secondary Education, Statistical Analysis Methods Feature, Social Indicators

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1553

PROJECT NO: ASPE/E 77-1

FUND SOURCE: Evaluation

NTIS NO: PB 287-635,636

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-100-77-0104

COST (In Thousands): FY 77 \$106

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: September '77 through June '78

1189

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Development of Improved Procedures for States
to Monitor the Effectiveness of Selected State Human
Resources Services

REPORT TITLE: Monitoring the Outcomes of Social Services, 2
Vols.

PERFORMER: The Urban Institute; 2100 M Street, N.W.;
Washington, D.C. 20037

AGENCY CONTACT: Wilson, Dave; 457-F, South Portal;
202-245-1797

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Health;
V-Many HEW Programs-Social Services

ABSTRACT: The purpose of this project is to begin the development of practical procedures and specific measures that state governments can use to regularly, at least annually, monitor the effectiveness of selected human resource programs. The principal focus of attention will be on public and mental health, and social services. This study focuses on social services, such as those funded under Title XX of the SS Act. The suggested outcome monitoring procedures aim at assessing 1) the functional level of clients after receipt of social services; 2) the degree of client satisfaction with the services received; and, 3) the amount of unmet need in the state (or county), that is, the extent to which there are citizens who need social services who are not currently receiving them. This study is not intended to be a definitive work on monitoring the outcome of social services. It should be considered an exploratory effort. Also included in this study is a report on Monitoring the Outcomes of State Mental Health Treatment Programs. This report focuses on treatment programs for emotionally disturbed adults. (Final report 250 pages.)

DESCRIPTORS: Management Information and Reporting, State or Local Administration, Mental Health, Public Health Services
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0615 **PROJECT NO:** ASPE/PS 75-4
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Transfer **ERIC NO:** Not available

CONTRACT NO: 0637-8
COST (In Thousands): FY 75-\$285
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: May '73 through June '76

1191

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Nationwide Survey of State Health Regulations

REPORT TITLE: Nationwide Survey of State Health Regulations
PERFORMER: Lewin and Associates, Inc.; 470 L'Enfant Plaza,
S.W.; Washington, D.C.

AGENCY CONTACT: Not yet determined
PROGRAM(S) EVALUATED:
V-Non-HEW Programs-Health

ABSTRACT: This report is the first phase of a study undertaken to analyze health services, capital expenditures and services, and health insurance. The objective was to compile comprehensive data via a nationwide survey on how the states regulate reimbursement for health services, capital expenditures and service changes, and health insurance. A final report scheduled for completion in the Fall of 1974, will, based on the results of an in-depth analysis in 14 states, update the data presented in this report and will present the study group's analysis and recommendations. No direct attempt is made in this first phase to measure the effectiveness of the regulatory activities identified or to evaluate the appropriateness of regulatory strategies and structures selected by the states. (Final report 259 pages.)

DESCRIPTORS: Survey or Questionnaire Feature, Statistical Data Feature, Comprehensive Health Care, Health Insurance and Financing, Regulation and Standard Setting, State or Local Administration, Federal State and Local Relations

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0346 **PROJECT NO:** ASPE/ H 73-1
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HEW-OS-73-212
COST (In Thousands): FY 73 Not available
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: June '73 through September '74

1192

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: An Evaluation of the State of the Art and
Usefulness of "Needs Assessment" - Phase Three

REPORT TITLE: Needs Assessment: A Critical Perspective
PERFORMER: Wayne A. Kimmel; 1245 4th St., S.W.; Washington,
D.C. 20024; 202-554-5917

AGENCY CONTACT: Francis, Walton; Rm 443-D, South Portal;
202-245-7316

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Planning & Evaluation

ABSTRACT: This study is the third and final phase (see EDC 1199) of a project to assess the value, potential, and limitations of "Needs Assessment" (NA) as an approach to or aid in planning, resource allocation, or advocacy. Many HEW programs require NAs in plans as a precondition of grant award. The previous two studies presented a search of the conceptual literature and a compendium of laws and regulations requiring NA. This study reviews the current literature, especially the literature most favorable to NA, identifies the many meanings and methods termed "NA", covers the current evidence as to the usefulness of NA, identifies issues in determining whether or how to conduct or use NA, and provides practical advice on whether, how, and why to conduct NA (e.g., when not to conduct a survey). The study finds that there is no theory underlying NA, that its tools are for data collection rather than data analysis, that these tools are neither necessary nor sufficient for priority setting, that few NAs have been used in decision making settings and then not successfully, and that much NA is unnecessarily duplicative, expensive, and methodologically unsound. The study is intended to be of special help to state and local practitioners faced with Federal NA requirements, and provides suggestions on useful approaches and pitfalls to avoid. The report has been published and is available from the sponsor (Final report 77 pages).

DESCRIPTORS: Needs and Needs Assessment, Planning, Health Planning, State or Local Administration, Federal Administration, Regulation and Standard Setting, Social Research, Technical Assistance, Literature Review Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1460

PROJECT NO: P-76-196-PS

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: SA-10183-76

COST (In Thousands): FY 76-\$9

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: June '76 through December '77

1193

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: An Evaluation of the State of the Art and
Usefulness of "Needs Assessment"--Phases One and Two

REPORT TITLE: Needs Assessment: An Exploratory Critique; A
Compendium of Laws and Regulations Requiring Needs
Assessment

PERFORMER: Office of Program Systems, OASPE; 2nd and
Independence, SW; Washington, D.C. 20201; Walton
Francis, 202-245-7316

AGENCY CONTACT: Francis, Walton; 447D, HHH Bldg.;
202-245-0291

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Planning & Evaluation

ABSTRACT: This project is intended to provide an assessment of the value, potential, and limitations of "Needs Assessment" (NA) as an approach to or aid in resource allocation and advocacy in practice. There is substantial doubt as to whether NA's are well-understood, well-designed, well-executed, well-utilized, or if so, well-worthwhile in terms of contributions of the results to better policy-making or program administration. The project involves three major studies: (1) "Needs Assessment: An Exploratory Critique", an in-house identification and review of the conceptual literature; (2) "A Compendium of Laws and Regulations Requiring Needs Assessment", an in-house study which presents planning as well as NA requirements; & (3) An "Exploratory Assessment" a contract study with a focus on the quality and usefulness of the literature and practice and on advising state and local practitioners (see EDC 1460 for its description). The first two products showed that the theoretical literature, the handbooks, and the laws and regulations do not provide adequate, reasonable, or (usually) any definitions or procedures for conducting or, especially, using NA. (The first two reports are HEW Publications OS-77-007 and OS-77-006, 49 and 307 pages respectively).

DESCRIPTORS: Needs and Needs Assessment, Planning, Health Planning, State or Local Administration, Regulation and Standard Setting, Social Research, Literature Review Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1199

PROJECT NO: P In-house 76-03

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: In-house, Contract

ERIC NO: EDC Only

CONTRACT NO: Not available

COST (In Thousands): FY 77-Not available

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: June '76 through May '77

1194

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Penalty Study

REPORT TITLE: Penalty Study; Concepts: The Use of Sanctions to
Motivate State Compliance with Federal Program
Requirements

PERFORMER: Office of Program Systems, OASPE; HEW

AGENCY CONTACT: Britten, Jerry; 447D, HHH Bldg.;
202-245-9775

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Grants-in-Aid;
SSA-Assistance Payments-Adult Aid;
HCFA-Medicaid;
HD-Social Services-Family Planning;
HD-Work Incentives-Registration Callup & Placement;
E-OE-Elementary & Secondary Grants for
Disadvantaged-LEAs;
HD-Vocational Rehabilitation-Basic State Grants

ABSTRACT: This project involved examination of statutory and regulatory provisions imposing penalties on states for failure to comply with program standards. About a dozen different penalty provisions were examined in depth. The study examined (a) program requirements, (b) measurement of compliance, (c) nature and type of sanctions for noncompliance, and (d) application of sanctions both for each program and conceptually across programs. The study found that most penalty provisions focused on unimportant surrogates of performance, many were excessive, most were counter-productively inflexible, and monitoring was weak and inconsistent. In some cases penalty provisions were effective in obtaining state action, but this was usually due to publicity rather than the financial penalty as such. Numerous recommendations were made, including repeal of some penalties and major reform of others, and in general for use of small, flexible, performance-oriented penalties coupled with public exposure. Several of these recommendations have been implemented particularly repeal of a consent to provider units Medicaid penalty. An executive summary and a concepts paper are available. (Final report 14 pages, concepts paper 20 pages).

DESCRIPTORS: Grant-in-Aid Formulas, Regulation and Standard Setting, Federal State and Local Relations, Management Techniques, Grant and Contract Management, Auditing and Accounting

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1204

PROJECT NO: P-In-house-76-03

FUND SOURCE: Salaries and

Expenses

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 76-Not available, FY 77-Not available

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: September '73 through July '77

1195

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Performance Review of USOE's ESEA Title I
Evaluation Technica Assistance Program

REPORT TITLE: Performance Review of USOE's ESEA Title I
Evaluation Technical Assistance Program

PERFORMER: Hope Associates; 3024 Porter Street, N.W.;
Washington, D. C. 20008

AGENCY CONTACT: Baker, Keith; Rm 426F HHH Bldg.;
202-245-1882

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Support & Innovation

ABSTRACT: This evaluation of the U.S. Office of Education's program of technical assistance (TA) to States and local school districts for ESEA Title I evaluation found the Title I evaluation program is seen as meeting Congressional needs for program impact information; that the TA program (especially the Technical Assistance Centers) is well received and that the users of the TA would like greater emphasis in the future on evaluation quality control and on improving local uses of evaluation findings. (Final report 92 pages.)

DESCRIPTORS: Elementary Education, Technical Assistance, State or Local Administration, Federal Role, Elementary and Secondary Students

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1563 **PROJECT NO:** ASPE/E 78-01

FUND SOURCE: Evaluation **NTIS NO:** PB 295-433

MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: HEW 300-78-0355

COST (In Thousands): Not available

PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS

START/END DATES: October '78 through March '79

1196

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Pilot Health Program Evaluation Plan

REPORT TITLE: Not yet determined

PERFORMER: Brill Associates; Suite 211; 60 West st.; Annapolis,
Md.

AGENCY CONTACT: Bladen, Christopher; Rm 732E HHH Bldg.;
202-245-6223

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Health

ABSTRACT: This effort seeks to develop a comprehensive set of issues or questions relevant to the assessment of a program within the health arena; provide a decision framework for selecting between and prioritizing the set of issues temporally; secure program management concurrence re both the set of issues to be addressed by later evaluative efforts and the appropriate timing of these efforts; and to develop a comprehensive evaluation plan.

DESCRIPTORS: Research and Evaluation Planning and Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1169 **PROJECT NO:** P-76-023-H
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: SA-1487-76
COST (In Thousands): FY 76-\$10
PROJECT STATUS: Study completed
START/END DATES: July '75 through July '76

1197

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: A Planning Study to Develop Demonstration
Design for the Use of Telecommunications in Public Service
Delivery

REPORT TITLE: A Planning Study to Develop Demonstration
Design for the Use of Telecommunications in Public Service
Delivery

PERFORMER: Abt Associates Inc.; 55 Wheeler Street; Cambridge,
Mass. 02138

AGENCY CONTACT: Erdman, Ann; 202-245-1892

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Demonstrations & Experiments;
V-Many HEW Programs-Planning & Evaluation

ABSTRACT: A review of current public service/telecommunications experiments initiates the report. The study focuses on the development of seven telecommunications services in a cost-effective manner. Along with a detailed description of each of these packages is an analysis of cost and hardware systems, cost/benefit considerations, institutional relationships, and constraints, implementation of demonstrations, and policy issues regarding the use of telecommunications in integrated service delivery. (Final report 230 pages.)

DESCRIPTORS: Information Processing, Broadcast Technology, Services Integration, Health Care Delivery Systems, Social Services Delivery Systems, Demonstration Projects, Cost-Effectiveness Analysis Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0339 **PROJECT NO:** ASPE 73-18

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Contract **ERIC NO:** ED 100317,318

CONTRACT NO: HEW-OS-73-201

COST (In Thousands): FY 73-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from ERIC

START/END DATES: April '73 through June '74

1198

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Potential for Misuse of Basic Opportunity Grants
and Campusbased Funds

REPORT TITLE: Not yet determined

PERFORMER: Educational Methods Inc.; P.O. Box 19297; San
Diego, California 92119; Richard L. Tombaugh, 303-770-9200

AGENCY CONTACT: Goggin, Bill; Room 426F, HHH Bldg.;
202-245-1880

PROGRAM(S) EVALUATED:

E-OE-Higher Education-Student Assistance-Basic
Opportunity Grants;
E-OE-Higher Education-Student Assistance-Direct Student
Loans;
E-OE-Higher Education-Student Assistance-Work Study;
E-OE-Higher Education-Student Assistance-Supplementary
Opportunity Grants

ABSTRACT: The purpose of this study is to evaluate the potential for abuse in the BEOG and campus-based student assistance programs (SEOG, NDSL, CWS). Data and examples of programmatic and managerial problems are being collected from Regional Office personnel as well as from financial aid officers. Following an analysis of identified problems, proposed solutions will be developed in response to each problem area. These proposed solutions will take the form of legislative amendments, regulatory changes, or administrative/procedural reforms within the U.S. Office of Education. The resulting proposals will be analyzed thoroughly and a composite set of recommendations developed for a final report to HEW.

DESCRIPTORS: Scholarships Fellowships and Loans, College Students, Higher Education, Auditing and Accounting

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1172

PROJECT NO: P-76-049-E

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: SA-2742-76

COST (In Thousands): FY 76-\$7

PROJECT STATUS: Study completed

START/END DATES: January '76 through February '77

1199

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Predicting Dropouts Rates, Understanding
Program Process of Past Program Effects in Manpower
Training Programs

REPORT TITLE: Not yet determined

PERFORMER: Team Associates, Inc.; 1522 K St., N.W.;
Washington, D.C.

AGENCY CONTACT: Baker, Keith; 426 F, South Portal;
202-245-1883

PROGRAM(S) EVALUATED:

V-Non-HEW Programs-Employment & Training;
HD-Work Incentives-Institutional Training

ABSTRACT: Using data from the OEO Longitudinal Manpower Survey, a dropout prediction will be developed and the role of Social-psychological affect in causing program dropout, client opinion of the programs, and on program success measures will be examined.

DESCRIPTORS: Training and Employment of the Disadvantaged, Dropouts and Runaways

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0635

PROJECT NO: ASPE/E 75-12

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 100-75-0111

COST (In Thousands): FY 75-\$110

PROJECT STATUS: Study completed

START/END DATES: May '75 through May '76

1200

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: A Secondary Analysis of the Data on Ratings of
the Methodological Quality of Applied Social Research

REPORT TITLE: Predicting Evaluations of Research Reports from
Characteristic of the Reviewer: A Secondary Analysis of
Data Collected Under ASPE/HEW Grants

PERFORMER: Northwestern University; 619 Clark Street;
Evanston, Illinois 60201; Dr. Brian Flay

AGENCY CONTACT: Turek-Brezina, Joan Lee; 448G, HHH Bldg.;
202-245-7155

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Social Research & Statistics;
V-Many HEW Programs-Planning & Evaluation

ABSTRACT: This study analyzed the degree to which wide variances in the peer review ratings of HEW funded research/evaluation projects reflected valid inter-rater differences in methodological perspectives. The study examined the rater characteristics associated with differences in ratings, utility of evaluating methodological quality by means of raters, and rating scales. The project reanalyzed data developed under earlier studies funded by ASPE and SRS (e.g., Methodological Quality of Federally Funded Social Science: An Analysis and Synthesis of Research). (see also EDC 1186 and 1188).

DESCRIPTORS: Research and Evaluation Performance, Research and
Evaluation Planning and Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1187

PROJECT NO: P-76-135-TSS

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-100-76-0155

COST (In Thousands): FY 76-\$16

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: June '76 through June '77

1201

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Preparation of Frequency Management Data to
Support the U.S. Position at the World Administrative Radio
Conference.

REPORT TITLE: None

PERFORMER: National Aeronautics and Space Administration; 400
Maryland Ave, SW; Washington, D.C. 20546; Jerry
Freibaum

AGENCY CONTACT: Erdman, Ann; Room 409-E, HHH Bldg;
202-245-1891

PROGRAM(S) EVALUATED:

DM-Policy Research

ABSTRACT: The purpose of this transfer was to provide the Department with technical support for positions being proposed by the United States during negotiations for radio spectrum assignments at

the 1977 Broadcasting Satellite World Administrative Radio Conference. The information produced was in the form of working papers providing calculations on interference properties of equipment proposed for use at various frequencies, criteria for sharing bandwidth between radio services with adjacent frequency assignments, and engineering problems associated with orbital positions of various satellites. The majority of the information was provided directly to the United States delegation in Geneva during actual negotiations. No formal product was required because the information requested was random, depending upon the immediate requirements of the delegation on any given problem under consideration.

DESCRIPTORS: Broadcast Technology
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1157 **PROJECT NO:** P-Transfer-76-04
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Transfer **ERIC NO:** Not yet determined
TRANSFER NO: 0637-07
COST (In Thousands): FY 76-\$25
PROJECT STATUS: Study completed
START/END DATES: April '76 through April '77

1202

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: The Productivity and Screening Effects of Educational Attainment

REPORT TITLE: The Productivity and Screening Effects of Educational Attainment

PERFORMER: Joseph Froomkin, Inc.; 1015 Eighteenth Street, N.W.; Washington, D.C. 20036; Joseph Froomkin 202-833-2220

AGENCY CONTACT: Goggin, Bill, Rm 426F-Humphrey Bldg; 202-245-1880

PROGRAM(S) EVALUATED:
E-OE-Higher Education;
V-Many HEW Programs-Education

ABSTRACT: The major objective of this study was three-pronged: 1) To examine the relationships among human investment in post-secondary education; 2) the contribution of education to national income; and 3) the impact of education credentials and competency-based instruction upon potential employers. The study provided a precise and innovative analysis of these relationships including a review of the relevant literature, and in particular focused on the important questions of 1) to what extent selective incentives to investment in human capital are likely to reduce income differences? and 2) how investment in human capital contributes to social and economic mobility? The most immediate impact of the study has been to provide an essential corollary for legislative planning in the area of student aid for postsecondary education. The study underscores the point that policy analyses must consider both quantitative as well as judgmental elements in developing Federal initiatives in this area. The study will be particularly useful in questions related to the projections of the future rate of return for additional education. (Final report 71 pages).

DESCRIPTORS: College Students, Economic Analysis Feature, Higher Education, Testing and Tests
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0469 **PROJECT NO:** P-76-005-E
FUND SOURCE: Evaluation **NTIS NO:** PB-230-688
MECHANISM: Contract **ERIC NO:** EDC Only

CONTRACT NO: HEW-100-76-0012

COST (In Thousands): FY 76-\$26

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '75 through February '76

1203

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Not yet determined

REPORT TITLE: Program Accessibility for the Handicapped: An Interim Evaluation of Section 504 Implementation

PERFORMER: Lawrence Johnson and Associates, Inc.; 4545 Forty-Second Street, NW, Washington, D.C. 20016

AGENCY CONTACT: Yates, Edward; Rm. 419 E HHH; 202-245-7372

PROGRAM(S) EVALUATED:
DM-Office for Civil Rights

ABSTRACT: Section 504 of the Rehabilitation Act prohibits discrimination against handicapped persons in Federally-assisted programs. HEW require "program accessibility", a performance standard allowing actions such as assignment of clients to accessible classrooms, even if some buildings remain inaccessible. This exploratory study involved a two-stage stratified random sample of grantees of each major type--hospitals, nursing homes, higher education, elementary and secondary education, etc.--and detailed site audits using an accessibility checklist. The products included a preliminary analysis of the costs of section 504, a how-to-manual to assist recipients in compliance, a review of literature on program accessibility, and a final report analyzing the problems encountered by recipients in their compliance efforts. Among the major findings of the study were that total one-time structural costs required of 75,000 HEW grantees would be about \$1.25 billion, primarily in the education sector; that costs varied widely within each grantee type, with most institutions having one-time costs of less than 1% of annual budget but a large minority facing significant hardship; and that on average grantees overestimate required cost four-fold, in part because grantees confuse "program accessibility" with stringent "barrier free" concepts not required. The how-to-manual is being published by OCR; the cost analysis has been used in a report to Congress. (Final report four, four volumes.)

DESCRIPTORS: Handicapping Conditions and Problems, Case or Site Study Feature, Facilities, Federal State and Local Relations, Regulation and Standard Setting, Technical Assistance, Literature Review Feature, Civil Rights and Integration, Survey or Questionnaire Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 1572 **PROJECT NO:** ASPE/ETA 78-01

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: HEW-100-79-0046

COST (In Thousands): FY 78-\$430

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: July '78 through August '79

1204

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Proposed Review Systems for the Evaluation of
Technical Quality of R and D Projects in the Department of
HEW

REPORT TITLE: Proposed Review Systems for the Evaluation of
Technical Quality of R and D Projects in the Department of
HEW

PERFORMER: Minnesota Systems Research, Inc.; 2412 University
Avenue Southeast; Minneapolis, Minnesota 55414

AGENCY CONTACT: Turek, Joan; Rm. 448G, HHH; 202-245-7155

PROGRAM(S) EVALUATED:
HCFA-Research Demonstration & Evaluation;
V-Many HEW Programs-Planning & Evaluation

ABSTRACT: This report focuses on the technical quality of R&D projects and three aspects of research decisions: A) identification and selection of performers; B) scientific rigor of research methodology; and C) monitoring progress of performance. The objective of the study was to recommend a review system for DHEW Research and Demonstration projects which would enable policy makers to decide which R and D projects should be continued and which should be discontinued. The report found that the proposal stage requires careful attention if the projects are to be methodologically sound. The ultimate test of research is its utility and not its parity, that mail review is at least as effective as panel review. A small number of raters can be trained to assess research quality of a large number of proposals. (Final Report 140 pages)

DESCRIPTORS: Research and Evaluation Planning and Administration, Management Techniques, Management Information and Reporting

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0362 **PROJECT NO:** SRS 74-15

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Grant **ERIC NO:** Not available

GRANT NO: 22-P-55936

COST (In Thousands): FY 72-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: June '73 through March '74

1205

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: PSRO Effect on Frequency of Laboratory
Testing

REPORT TITLE: PSRO Effect of Frequency of Laboratory Testing

PERFORMER: National Capital Medical Foundation, Inc.; 1828 L
Street, NW; Washington, DC 20036

AGENCY CONTACT: Kopit, William; Rm 437E, South Portal;
202-245-7150

PROGRAM(S) EVALUATED:
HCFA-Quality Assurance

ABSTRACT: This study analyzed the potential effect that strict adherence to published norms and standards of care--mandated under PSRO legislation--would have on the frequency of laboratory testing of hospitalized patients. The study abstracted 559 hospital charts for

six diagnoses and compared the number and type of laboratory tests ordered with the lists prepared by physician members of a local PSRO. It found that strict adherence to both the usual and optional categories of laboratory testing would double the number of laboratory tests ordered, particularly for optional tests. Furthermore, the study found no consistent utilization pattern with diagnoses--i.e., some of the usual tests were ordered 100 per cent of the time, others less than 3 per cent. This study raises serious questions regarding the value of specific criteria in peer review. (Final report 97 pages).

DESCRIPTORS: Quality Assurance, Utilization Review, Regulation and Standard Setting, Medical Practice, Client Records
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0581 **PROJECT NO:** P 76-056-H

FUND SOURCE: Evaluation **NTIS NO:** PB 253-348

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: SA-1320-76

COST (In Thousands): FY 76-\$10

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: November '75 through April '76

1206

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Public School Administration: Growth and
Achievement

REPORT TITLE: Not yet determined

PERFORMER: ABT Associates; 77 Wheeler St.; Cambridge, Mass.;
Andy Anderson 617-492-1700

AGENCY CONTACT: Baker, Keith, Room 403E, SP; 202-245-1882

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Education

ABSTRACT: Study of the relationship between changes in administrative intensity in Public schools in six states for 10 years and changes in enrollment and Federal funds received. Study of relationship between administrative intensity and student achievement test score.

DESCRIPTORS: Educational Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0634 **PROJECT NO:** P-76-143-EP

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: HEW-100-76-0177

COST (In Thousands): FY 76.1-\$58

PROJECT STATUS: Study completed

START/END DATES: July '76 through July '77

1207

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Public Television Stations -- Propagation Analysis
and Coverage Contours

REPORT TITLE: Not yet determined

PERFORMER: Office of Education, HEW; to Electromagnetic Compatibility Analysis Center; North Severn; Annapolis, Maryland 21402; Charles Gettier 301-267-2222

AGENCY CONTACT: Erdman, Ann; Room 409-E, HHH Bldg.; 202-245-1891

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Educational Broadcasting Facilities

ABSTRACT: The project was a cooperative undertaking with the Office of Education and the Corporation for Public Broadcasting. Its purpose was to provide the Department with a data base for determining what populations are covered by television signals from public broadcasting stations in order to plan for effective distribution of resources provided by the Facilities Program. The study provides a capability to generate maps which accurately define signal contours for existing and proposed stations. The maps can be combined with demographic data to determine population coverage.

DESCRIPTORS: Broadcast Technology, Educational Technology
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1156 **PROJECT NO:** P-Transfer-76-03
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Transfer **ERIC NO:** Not yet determined

TRANSFER NO: Not yet determined

COST (In Thousands): FY 77-\$40

PROJECT STATUS: Study completed

START/END DATES: October '76 through October '77

1208

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: The Impact of New Mexico EMCRO on the Cost and Quality of Medical Care: A Four Year Evaluation

REPORT TITLE: Quality of Care in the New Mexico Medicaid Program : An Evaluation of the New Mexico Experimental Medical Care Review Organization

PERFORMER: RAND Corp.; 1700 Main St.; Santa Monica, Calif. 90406

AGENCY CONTACT: Fishman, Sheldon; 731E, HHH Bldg.; 202-472-3026

PROGRAM(S) EVALUATED:
HCFA-Quality Assurance

ABSTRACT: The New Mexico EMCRO is considered one of the most successful PSRO precursors, and was subjected to RAND assessment of cost and quality impacts after its first two years of operation. Generally the findings of RAND re impact were mixed, with trends hard to assess at such an early point. This effort looks again at the New Mexico EMCRO, based on four year's experience. Specific questions to be addressed include: effect of peer review on use of injections, other medical services, and hospital services; impact of review on physician behavior; differences in ambulatory care services by diagnosis-specific episodes of illness between the first and second two year periods; and linkages between patient characteristics, provider characteristics, and quality of care levels. The study demonstrated that peer review undertaken by a local organization of physicians was able to affect substantially the level of quality of care provided to persons enrolled in the New Mexico Medicaid population. It demonstrated further that quality assessment using claims data could be carried out through analyses based on entire episodes of illness. Findings from such assessments indicated that the quality of care for common conditions fell below acceptable levels (in particular that oral and injectable antibiotics were often misused), that these deficiencies

varied by types of physicians giving the care, and that efforts are still needed to bring care in this disadvantaged population up to acceptable levels. (Final report 274 pages.)

DESCRIPTORS: Quality Assurance, Health Care Delivery Systems, Hospitals, Medical Practice

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1184 **PROJECT NO:** P-76-119-H

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HEW-OS-100-76-0180

COST (In Thousands): FY 76-\$165

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: June '76 through October '77

1209

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Heterogeneous Epistemologies and the Assessment of Methodological Adequacy

REPORT TITLE: Rater Perspectives and the Peer Review Process
PERFORMER: Consad Research Corp; 121 North Highland Ave.; Pittsburgh, Pa. 15206

AGENCY CONTACT: Turek-Brezina, Joan L.; 448G, HHH Bldg.; 202-245-7155

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Social Research & Statistics;
V-Many HEW Programs-Planning & Evaluation

ABSTRACT: This study will analyze the degree to which wide variations in the peer review ratings of HEW funded research/evaluation projects are a function of differences in the methodological perspectives of the raters. The project employs data developed under earlier studies funded by ASPE and SRS. (see also EDC 1186 and 1187). (A Final Report has not been produced)

DESCRIPTORS: Research and Evaluation Performance, Research and Evaluation Planning and Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1188 **PROJECT NO:** P-76-136-TSS

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HEW-100-76-0150

COST (In Thousands): FY 76-\$11

PROJECT STATUS: Study completed

START/END DATES: June '76 through November '76

1210

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Report on Secondary Education in America

REPORT TITLE: Not yet determined

PERFORMER: The Rand Corporation; 1700 Main Street; Santa Monica, Cal. 90406

AGENCY CONTACT: Ford, Nelson; 403E South Portal; 202-245-1886

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary;
E-OE-Occupational & Adult Education-Vocational Grants

ABSTRACT: This project will synthesize information from reports of various recent commissions and panels investigating American secondary education. The final report will present a summary analysis and overview of the conclusions and recommendations of these groups.

DESCRIPTORS: Secondary Education, Literature Review Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0628 **PROJECT NO:** ASPE/E 75-4
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 100-75-0105
COST (In Thousands): FY 75-\$55
PROJECT STATUS: Study completed
START/END DATES: May '75 through September '75

1211

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Study of the ESEA Title I Program for
Neglected and Delinquent Children

REPORT TITLE: A Research Design to Evaluate ESEA Title T
N&D Programs in State Neglected, Delinquent, and Adult
Correctional Institutions

PERFORMER: Marshall Kaplan, Gans and Kahn; 560 Pacific
Avenue; San Francisco, California, 94133

AGENCY CONTACT: Baker, Keith; 426F, South Portal;
202-245-1883

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Grants for
Disadvantaged-Neglected & Delinquent

ABSTRACT: In order to determine the best methodological approach to the evaluation of the ESEA Title I Neglected and Delinquent Program, an extensive review of existing literature was made; a phone survey of a geographical distribution of state education agencies, state applicant agencies, individual prospects, and institutions was made; and a one-day site visit to projects in ten different states was made. The overall purpose of the national evaluation of ESEA Title I N&D programs will be to analyze current operations in order to ultimately improve their quality of effort. Based on observations of the programs, two different study methodologies were developed to do an effective evaluation of state Title I programs: (1) a process evaluation of unsophisticated programs (a formative evaluation); and (2) a deeper, more analytic impact study of a smaller sample of sophisticated programs (a summative evaluation). As this study is a research design, no final conclusions or recommendations on the program itself are offered. (Final report 279 pages).

DESCRIPTORS: Dropouts and Runaways, Survey or Questionnaire Feature, Elementary and Secondary Students, Testing and Tests, Literature Review Feature, Research and Evaluation Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0647 **PROJECT NO:** ASPE/E 74-12
FUND SOURCE: Research **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-OS-74-305
COST (In Thousands): FY 74-\$53

PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: June '74 through February '75

1212

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: A Review of the Advanced Schools Inc.
Bankruptcy Action

REPORT TITLE: A Review of the Advanced Schools Inc.
Bankruptcy Action

PERFORMER: Marwick, Mitchell and Co.; 222 South Riverside
Plaza; Chicago, Illinois 60606; Theodore Short

AGENCY CONTACT: Goggin, William; 403E, HHH Bldg.;
202-245-1880

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Student Assistance-Subsidized
Insured Loans

ABSTRACT: In this study, two models were developed to demonstrate the operation of the Federal Insured Student Loan Program (FISLP) in a propriety school environment. Profit and loss statements and before and after tax cash flow analyses were completed for two sets of enrollment assumptions. The first set of enrollment assumptions, contained in the level enrollment model, included the enrollment of ten students per year, over a ten year period. The second model, ASI enrollment pattern, reflected and paralleled ASI's enrollment levels since the beginning of the FISLP warehouse concept. The purpose of the models was to analyze the cash flow, profit and cash balance patterns which could have been predicted in ASI's operation under the FISLP. (Final report 45 pages).

DESCRIPTORS: Scholarships Fellowships and Loans, Auditing and Accounting, Educational Finance, Loans and Loan Guarantees, Case or Site Study Feature, Operations Research Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1179 **PROJECT NO:** P-76-108-E
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-100-76-0108
COST (In Thousands): FY 76-\$26
PROJECT STATUS: Study completed
START/END DATES: March '76 through October '76

1213

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Evaluation of University Affiliated Facilities
(UAF) for the Developmentally Disabled

REPORT TITLE: The Role of Higher Education in Mental
Retardation and Other Developmental Disabilities

PERFORMER: Am. Assoc. of Univ. Aff. Programs for the
Developmentally Disabled; 1100 7th Street, N.W.;
Washington, D.C. 20036

AGENCY CONTACT: Dempsey, John; 447F HHH Bldg.;
202-245-6172

PROGRAM(S) EVALUATED:
HD-Developmental Disabilities-University Facilities

ABSTRACT: The contract supported two reports. First, there was a report entitled, "Descriptive Statements, Factual Profile and Summary Tables, Documenting the Present Status of University Affiliated Facilities"; this provides factual data on the UAF's. This report provides recommended changes in existing federal, state, local and private actions that support the existing UAF program. Overall, the experience of a decade has provided the original UAF concept sound and has demonstrated beyond a doubt that the program concept is effective in meeting a significant social need. Thus, it is appropriate that the program be modernized and extended to serve the developmentally disabled in all states. This report details the actions necessary for federal, state and local governmental and relevant private agencies to accomplish this goal. Other reports present the background information which supported the development of recommendations by the Task Force.

DESCRIPTORS: Handicapping Conditions and Problems, Higher Education

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1200

PROJECT NO: P-76-197-SS/HD

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: HEW-100-76-0150

COST (In Thousands): FY 75-\$90, FY 76-\$27, FY 77-\$3

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: June '75 through October '76

1214

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: School Administration Study

REPORT TITLE: Not yet determined

PERFORMER: San Francisco Center for Public Education; 1095
Market Street; San Francisco, Cal. 94103

AGENCY CONTACT: Baker, Keith; 426 F, South Portal;
202-245-1883

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Education

ABSTRACT: This contract will conduct a thorough and comprehensive review of relevant literature pertaining to school administration. The review will identify the relevant variables which must be evaluated in studies of effective administration of Federal education programs at the local level as well as determine what is currently known about effective local administration and procedures for costing administrative tasks. The report will document the growth or decline of Federal education expenditures, present a case study of one school district and make recommendations for future policy decisions.

DESCRIPTORS: Educational Administration, State or Local Administration, Management Techniques, Literature Review Feature, Case or Site Study Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0633

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: 803902

COST (In Thousands): FY 75-\$10

PROJECT STATUS:

PROJECT NO: Study completed ASPE executive summary at EDC,
/Executive summary at EDC,
75-10

START/END DATES: July '75 through May '76

1215

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Standardization of the Fall Elementary and
Secondary Civil Rights Survey Files 1968-1974

REPORT TITLE: School Desegregation Data Base Documentation
1968-1974

PERFORMER: Applied Management Science; 962 Wayne Avenue,
Suite 7011; Silver Spring, Md. 20910; Mike Consiglio,
301-585-8181

AGENCY CONTACT: Ginsburg, Alan; 408-F1, HHH Bldg;
202-245-1886

PROGRAM(S) EVALUATED:

DM-Office for Civil Rights;

E-OE-Elementary & Secondary

ABSTRACT: This project is to standardize and fully document the Fall Elementary and Secondary School Civil Rights Survey files for the years 1968 through 1974. The project includes conversion to standardized format of the 1973 and 1974 staff data collected by the Equal Opportunity Commission. The bulk of the report presents the actual data on the number of students and faculty by ethnic status, by year, by state, and by school district. The contractor reports that because raw data were sometimes collected by school and sometimes by district, data elements differed by year, school identifiers differed by year, arithmetic errors were common, etc., full standardization was impossible. The data are now available for future use. (Final report 211 pages).

DESCRIPTORS: Civil Rights and Integration, Statistical Data Feature, Minority Groups, Elementary Education, Secondary Education
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1183

PROJECT NO: ASPE 76-116-E

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: HEW-100-76-0178

COST (In Thousands): FY 76-\$34

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: June '76 through March '77

1216

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Scientific Computation for Evaluation

REPORT TITLE: None

PERFORMER: Office of Technical Support & Statistics; Assistant
Sec. for Planning and Evaluation, HEW

AGENCY CONTACT: Lee, Jane; Rm. 434 North; 202-245-6240

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Planning & Evaluation

ABSTRACT: This project provides in-house statistical computation and other scientific data processing in support of evaluation projects, and provides rapid turn-around for computer supported analyses to staff involved in research and evaluation activities. Projects supported include Welfare Reform, National Health Insurance, the Health Evaluation Task Force for Physician Reimbursement, evaluation of school finance, evaluation of the Vocational Rehabilitation program, and simulation of various revenue sharing and education block grant formula packages.

DESCRIPTORS: Statistical Analysis Methods Feature
EVALUATION TYPE: Support

EDC ID NO: 0618 **PROJECT NO:** ASPE/PS 74-1
FUND SOURCE: Evaluation **NTIS NO:** Not available
MECHANISM: In-House **ERIC NO:** Not available
CONTRACT/GRANT NO: Not available
COST (In Thousands): FY 74-\$123, FY 75-\$200, FY 76-\$320, FY 77-\$288
PROJECT STATUS: Study in process
START/END DATES: June '74 through September '78

1217

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Evaluation of Long-Range Issues in the
Implementation of Title I Comparability

REPORT TITLE: Selected Issues in Title I Comparability
PERFORMER: General Research Corporation; 7655 Old
Springhouse Rd; McLean, Virginia 22101; Neil Killalea
703-893-5900
AGENCY CONTACT: Ginsburg, Alan Dr.; Room 408F1 South
Portal; 202-245-1886
PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Grants for Disadvantaged

ABSTRACT: This project presents a study of the comparability regulation in the Title I ESEA program. Comparability is the requirement that a district must provide resources to Title I schools from own resources comparable to resources to other schools and hence use Title I resources only for supplementation. If this does not occur, then the program design has not been implemented and achievement gains could not be expected. Since the inception of the program the requirement has been progressively tightened and compliance has improved. The study analyzes the effects of alternative changes to the current standards in terms of effects on resources allocation to Title I schools, based on enrollment and other data. It finds that the exclusion of longevity pay under the standard has a significant effect on comparability status but no corresponding justification in terms of student achievement, that the school size breakpoint now used is too crude, that a small cost component is ignored and should be dropped, and that the current penalty of complete funds withdrawal is unrealistic as a penalty mechanism and should be replaced by a penalty graduated to the degree of noncompliance. It also finds that Title I and OCR data surveys are not consistent and responses to both inaccurate. (Final report 90 pages).

DESCRIPTORS: Grant-in-Aid Formulas, Regulation and Standard Setting, Management Information and Reporting, Compensatory Education, Educational Finance
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0642 **PROJECT NO:** P-76-006-EP
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: HEW 100-76-0015
COST (In Thousands): FY 76-\$35
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: July '75 through April '76

1218

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Setting Statistical Priorities

REPORT TITLE: Setting Statistical Priorities
PERFORMER: The National Research Council; National Academy
of Sciences; Washington, D. C.; Margaret Martin
AGENCY CONTACT: Turek, Joan; 418-D South Portal Bldg;
202-245-7155
PROGRAM(S) EVALUATED:
E-ASE-National Center for Educational Statistics

ABSTRACT: The findings of a National Research Council panel on methods for establishing statistical priorities are presented. The panel was requested to examine the National Center for Educational Statistics as a case study since this statistical center is actively concerned with priority setting problems. The panel was also asked to propose, if appropriate, an additional study phase to test and develop any promising methods on how decisions should be made. The findings of the panel's report are addressed primarily to those who make decisions about the content of Federal statistical programs. The report recommends 1) that each statistical agency have budgeted activities to support analyses a) to be used in setting the agency's priorities and b) to improve the collection, analysis, and dissemination of data; 2) that the statistical Centers and HEW itself sponsor research on a) measuring the benefits of data, b) current practices for using data in policy decisions, and c) methods for incorporating benefit analysis into statistical priority setting; 3) that the assignment of priorities among statistical packages and programs involve explicit considerations of anticipated benefits and costs; and 4) that each major statistical

DESCRIPTORS: Research and Evaluation Planning and Administration, Case or Site Study Feature, Statistical Data Feature, Information Dissemination, Economic Analysis Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0515 **PROJECT NO:** ASPE 74-3
FUND SOURCE: Research **NTIS NO:** PB 256-434
MECHANISM: Grant **ERIC NO:** Not available
GRANT NO: 007A-7404-P2021
COST (In Thousands): FY 74-Not available, FY 75-Not available
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: June '74 through January '76

1219

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Statistical and Policy Implications of P.L. 93-380:
A Post Mortem

REPORT TITLE: Statistical and Policy Implications of the Education Amendments 1974 (P.L. 93-380) A Post Mortem
PERFORMER: General Research Corporation; Westgate Research Park; McLean, Va. 22101

AGENCY CONTACT: Ginsburg, Alan; 408-F.1, HHH Bldg.; 202-245-1886

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary

ABSTRACT: Statistical data and analysis is essential to the effective provision of governmental services. Information on the costs, quality, and distribution of services can show how well need is being met and the additional costs to provide an appropriate level of service to all the target population. P.L. 93-380, the 1974 Amendments to ESEA, is notable for its recognition of the importance of statistical data in the development of policy. Although its primary focus is on the extension and amendment of existing elementary and secondary school programs, it also calls for a number of major research, evaluation, and assessment projects. Many of the studies and reporting requirements mandated find their origin in the Congress' frustration over the scope, quality, and timeliness of the information supplied to it by HEW. Although the mandated studies were an expression of Congressional intent to address these problems, they were deficient in many respects. Formulated during development of the legislation and without adequate planning, the studies were often vague or overlapping in purpose and tended to concentrate on some issues to the neglect of others.

DESCRIPTORS: Research and Evaluation Planning and Administration, Educational Finance, Grant-in-Aid Formulas, Statistical Analysis Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1190 **PROJECT NO:** P-76-140-E
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-100-76-0202
COST (In Thousands): FY 76.1-\$7
PROJECT STATUS: Study completed
START/END DATES: September '76 through November '76

1220

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Multiple Processing in the Basic Grants Delivery System

REPORT TITLE: Study of Multiple Processing in the Basic Educational Opportunity Grant Delivery System

PERFORMER: Abt Associates Inc.; 55 Wheeler Street; Cambridge, Massachusetts 02138; Sanford Freidman, 617-494-7100

AGENCY CONTACT: Goggin, William; 403E, HHH Bldg.; 202-245-1880

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Student Assistance-Basic Opportunity Grants

ABSTRACT: The main objective of this study was to analyze general system requirements of multiple processing alternatives to the current single processor system used in the delivery of Basic Educational Opportunity Grants (BEOGs). The study proceeded through the development of two models. The first model describes in detail the current single processor system. The second model considers multiple processor involvement in all major activities or groups of activities identified in the single processor system. For the purpose of this study, the BEOG delivery system is defined to include only those

parts of the total BEOG program processed for the US Office of Education under contract to American College Testing (ACT). It does not include the computation of awards or the disbursement of funds with the exception of the small percentage of eligible applicants who have applied to schools which will not or cannot act as disbursing agents under the BEOG program. The study does not include the collection of data on the existing system or on possible multiple processing systems.

DESCRIPTORS: Scholarships Fellowships and Loans, Management Techniques, Higher Education, Cost-Effectiveness Analysis Feature
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1185 **PROJECT NO:** P-76-120-E
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-100-76-0183
COST (In Thousands): FY 76.1-\$39
PROJECT STATUS: Study completed
START/END DATES: August '76 through January '77

1221

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Report on Ethnic Minorities

REPORT TITLE: A Study of Selected Socio-Economic Characteristics of Ethnic Minorities Based on the 1970 Census

PERFORMER: Urban Associates Inc.; 1018 Wilson Blvd; Arlington, Virginia 22209

AGENCY CONTACT: Golde, Madeline

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Social Research & Statistics;
V-Many HEW Programs-Social Services

ABSTRACT: This report is a four volume study of the major barriers to culturally relevant delivery of HEW services to persons of major ethnic major origins. Analysis is conducted along national and local levels and incorporates the following characteristics: immigration, population, family, education, employment, income, and poverty. All data is summarized in tabular and chart form. Volume 1 highlights Americans of Spanish origin (Mexican Americans, Puerto Ricans and Cubans). Volume 2 focuses on Asian Americans (Japanese, Chinese, Philipinos, Koreans, and Hawaiians.) Studies on the American Indian, Eskimo, and Aleuts are found in Volume 3. Volume 4 is backup resource data compiled by each of the ten HEW Regions. (Final Report, 4 Vols., 418 pages.)

DESCRIPTORS: Native Americans, Non English Speaking, Low-Income Population, Urban or Rural Places or Problems, Immigrants and Refugees, Labor Market Problems, Social Indicators, Statistical Data Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0375 **PROJECT NO:** CC/ASPE 74-2
FUND SOURCE: Evaluation **NTIS NO:** PB 245-333
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-OS-72-209
COST (In Thousands): FY 72-Not available
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: June '72 through September '74

1222

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Study of the Oversupply of College Places

REPORT TITLE: Study of Supply Response to Oversupply of
College Places

PERFORMER: Joseph Froomkin Inc.; 1015 Eighteenth St. N.W.;
Washington, D.C. 20036

AGENCY CONTACT: Goggin, Bill; 426F, South Portal;
202-245-1880

PROGRAM(S) EVALUATED:
E-OE-Higher Education

ABSTRACT: In light of the near-certainty that post-secondary educational institutions will have a plethora of space and a shortage of applicants during the next 10 years, this study addresses means by which these colleges and universities can compete for students. To reflect the competitive strength of post-secondary institutions, the study classifies them by (a) selectivity, and (b) geographical recruitment area. The project develops alternative projection models, specifies the consequences of alternative sets of assumptions, and delineates implications for Federal support under the Higher Education Act. The study concludes that local factors are likely to dominate the choices of both institutions and students and that no one set of policies can be used by either private or public institutions to control their levels of enrollment. (Final report 107 pages).

DESCRIPTORS: Higher Education, Facilities, Trends and Forecasts,
Operations Research Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0645 **PROJECT NO:** ASPE/E 74-8

FUND SOURCE: Evaluation **NTIS NO:** PB 242-805

MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: HEW-05-74-297

COST (In Thousands): FY 74-\$43

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '74 through December '74

1223

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Advantages and Disadvantages of Student Loans
for Women

REPORT TITLE: Study of the Advantages and Disadvantages of
Student Loans for Women

PERFORMER: Joseph Froomkin Inc.; 1015 Eighteenth St., N.W.;
Washington, D.C. 20036

AGENCY CONTACT: Goggin, Bill; 426F, South Portal;
202-245-1880

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Student Assistance

ABSTRACT: This study examines the suitability of existing loan arrangements for financing the post-secondary education of women. It also evaluates alternative loan repayment rules and arrangements which could make debt financing of post-secondary education more suited to female earning patterns. To modify repayment terms of such loans, the report recommends that (1) the minimum repayment

amount of \$30 per month be reduced; (2) the term of guaranteed loans be extended to 20 years; (3) special loan options to defer repayment of interest and principal be extended to households with children under six years of age; and (4) determination of eligibility for interest subsidy take into consideration a \$2,000 special allowance for child care. The appendix details the statistical base for those recommendations, especially the choice of typical examples used for estimating the replacement of loans. (Final report 87 pages).

DESCRIPTORS: Scholarships Fellowships and Loans, Higher Education, Women, Eligibility Issues

EVALUATION TYPE: Program Strategy

EDC ID NO: 0643 **PROJECT NO:** ASPE/E 74-6

FUND SOURCE: Evaluation **NTIS NO:** PB 240-730

MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: HEW-05-74-255

COST (In Thousands): FY 74-\$47

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: June '74 through December '74

1224

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Year Round Schools

REPORT TITLE: A Study of Year Round Schools: Vol. I: Final
Report, Vol. II: Cost Analysis, Vol. III and IV:
Handbook--Cost and Feasibility Analysis

PERFORMER: SRI International; 333 Ravenswood Avenue; Menlo
Park, California 97025

AGENCY CONTACT: Baker, Keith; Rm 426 F HHH; 202-245-1882

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary

ABSTRACT: The three basic objectives of this study were: 1) to assess the economic impact of the year-round school program, 2) to assess the educational impacts of the year-round school program, and 3) to assess the social impacts of the year-round school program. This report is one of a series of five on a study of a 45/15 year-round school schedule in a California school. The major findings were: (1) Parents whose children are on a year-round school schedule prefer it to a traditional nine month schedule, (2) the year-round schedule saves money, (3) there was no difference in achievement test scores between year-round and traditional students, and (4) teachers had mixed feelings about the year-round school schedule but generally felt it was better for students than was the traditional schedule.

DESCRIPTORS: Education Manpower, Elementary and Secondary Students, Economic Analysis Feature, Feasibility Study Feature

EVALUATION TYPE: Program Impact

EDC ID NO: 1571 **PROJECT NO:** ASPE/E 76-02

FUND SOURCES: Evaluation **NTIS NO:** PB 295-469-473

MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: HEW-100-76-0176

COST (In Thousands): FY 76-\$26

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: October '76 through November '78

1225

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: A Study to Identify the Trends in the Sources of Student Support for Post-Secondary Education

REPORT TITLE: A Study to Identify the Trends in the Sources of Student Support for Post-Secondary Education

PERFORMER: Joseph Froomkin Inc.; 1015 Eighteenth St., N.W.; Washington, D.C. 20036

AGENCY CONTACT: Smith, Manny; 403E, South Portal; 202-245-1882

PROGRAM(S) EVALUATED:
E-OE-Higher Education

ABSTRACT: This study reviews what is known about the earnings of full-time undergraduate students to evaluate the role of student-earnings in financing post-secondary expenditures of full-time undergraduate students and to attempt to describe the effect of earnings upon patterns of student outlays. The study estimates that the proportion of term-time expenses covered by earnings for all undergraduates increased from 31 to 38 percent between 1960 and 1970, and that the percentage of annualized expenses covered by student earnings increased from 29 to 40 percent. As important as student earnings are in financing the college costs of selected students, a policy of encouraging or discouraging student labor force participation is not likely to have any concentrated effect on any single item of expenditure. The proceeds of student earnings are spent in a variety of ways, and it is not obvious that higher student earnings would relieve parents of their support requirements for children in college, increase the propensity to pay higher tuition, or boost the sales of durables and the travel industry. Whatever the case, the study recommends that additional attention to the organization of this segment of the labor market should be high on the Federal agenda. (Final report 73 pages).

DESCRIPTORS: College Students, Trends and Forecasts, Labor Market Problems

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0648

FUND SOURCE: Evaluation **NTIS NO:** PB 239-685

MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: HEW-OS-74-243

COST (In Thousands): FY 74-\$40

PROJECT STATUS:

PROJECT NO: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTISASPExecutive summary
at EDC,
/Executive summary at EDC,
74-13

START/END DATES: June '74 through December '74

1226

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Conference on Pacific and Asian-American Families and HEW Related Issues

REPORT TITLE: Summary and Recommendations: Conference on Pacific and Asian American Families and HEW Related Issues

PERFORMER: JWK International Corporation; 7617 Little River Turnpike; Suite 800; Annandale, Virginia 22003; 703-256-6707;

AGENCY CONTACT: Young, Janie; Rm 419E.4; HHH; 202-245-7515

PROGRAM(S) EVALUATED:
V-Many HEW Programs;
V-Many HEW Programs-Social Research & Statistics

ABSTRACT: As a preliminary and modest effort towards ensuring the input of Pacific and Asian Americans in this critical issue, this project convened a policy and evaluation research conference to examine the knowledge base on Pacific and Asian American families. Conference presenters and discussants, drawing primarily from evaluation research and projects annotated in the Asian American Reference Data Directory and from their respective areas of expertise, evaluated health, education and welfare research, programs in relation to Pacific and Asian American family structure. Secondly, conference participants identified HEW related policies significantly affecting Pacific and Asian American families and devised a strategy for incorporating this constituency's input in HEW and Federal policy and program activities affecting the family.

DESCRIPTORS: Asian Americans, Literature Review Feature, Conference Proceedings Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1555

PROJECT NO: P-77-098-OSC

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-100-77-0102

COST (In Thousands): FY 77 \$49

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: October '77 through March '78

1227

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Summary of Interviews Related to Information Concerning Research and Evaluation Departmental Procurement

REPORT TITLE: Summary of Interviews Related to Information Concerning Departmental Procurement of Research and Evaluation

PERFORMER: Consad Research Corporation; 121 North Highland Ave; Pittsburgh, Pennsylvania

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
DM-General Departmental Management

ABSTRACT: This report summarizes the results of interviews held with representatives of organizations concerned with social welfare both within DHEW and in other Federal offices (e.g. Office of Equal Opportunity). The purposes of these interviews were: 1) to discover the qualitative assessments made by social welfare offices about both profit and non-profit firms which bid for contracts; 2) to determine the method used by Federal offices in granting contracts; 3) to document special problems encountered in defining projects for procurement; 4) to assess staff problems in performer agencies; and 5) to document problems involved in the "art" of research. The study drew conclusions for each of the four types of outside performers: 1) for-profit contractors, 2) not-for-profit contractors, 3) academic research institutes, and 4) "formula supported" research centers. Those interviewed offered surprisingly few recommendations regarding

desired changes: 1) creation of a training course for research monitors and other governmental participants; 2) development of some means for staff members to pursue their own research interests; 3) two-week course on procurement rules and regulations, preparation of RFPs and 4) increases in in-house staff. (Final report 27 pages)

DESCRIPTORS: Grant and Contract Management, Research and Evaluation Performance, Survey or Questionnaire Feature
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0438 **PROJECT NO:** ASPE 73-19

FUND SOURCE: Evaluation **NTIS NO:** PB 249-455

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HEW-OS-73-134

COST (In Thousands): FY 73-Not available

PROJECT STATUS: Study completed,

Executive summary at EDC,

Available from EDC,

Available from NTIS

START/END DATES: July '72 through July '73

1228

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Sun/University of Middle America Evaluation

REPORT TITLE: Not yet determined

PERFORMER: National Institute of Education

AGENCY CONTACT: Wheaton, Jerry; 426 F, HHH Bldg.;
202-245-1882

PROGRAM(S) EVALUATED:
E-OE-Higher Education

ABSTRACT: The Finance and Productivity Program of the National Institute of Education is about to initiate a research/evaluation program on selected, critical issues necessary for designing and conducting the SUN/UMA and similar evaluations. This project is a predesign effort to develop through a literature review and selected interviews, a set of state-of-the-art monographs in the area. These reviews and reports will help shape and influence the choice of evaluation strategies to be used in the SUN/UMA project.

DESCRIPTORS: Higher Education, Literature Review Feature, Research and Evaluation Methods Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0631 **PROJECT NO:** ASPE/E 75-8

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Transfer **ERIC NO:** Not available

CONTRACT NO: 0647-9

COST (In Thousands): FY 75-\$25

PROJECT STATUS: Study completed

START/END DATES: May '75 through June '76

1229

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Support of National Technical Information
Service Filing of HEW Evaluation Studies

REPORT TITLE: None

PERFORMER: National Technical Information Service;
Department of Commerce

AGENCY CONTACT: Solomon, Carolyn; Rm. 444F HHH; South
Portal; 202-245-6123

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Planning & Evaluation

ABSTRACT: This project constitutes an agreement between the Office of the Assistant Secretary for Planning and Evaluation and the National Technical Information Service for the processing and filing of final reports of HEW Evaluation studies. Copies of HEW evaluations are made available to the public through purchase from NTIS.

DESCRIPTORS: Information Dissemination

EVALUATION TYPE: Support

EDC ID NO: 0617

PROJECT NO: ASPE/PS 75-5

FUND SOURCE: Evaluation

NTIS NO: Not available

MECHANISM: Transfer

ERIC NO: Not available

CONTRACT/GRANT NO: Not yet determined

COST (In Thousands): FY 75-\$14

PROJECT STATUS: Study in process

START/END DATES: June '75 through June '78

1230

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Supported Work

REPORT TITLE: Not yet determined

PERFORMER: Manpower Demonstration Research Corp.; Three
Park Avenue; New York, N.Y. 10016; Judy Gueron;
212-532-3200

AGENCY CONTACT: Barnes, Bill; 436-G, South Portal;
202-245-7155

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Drug Abuse-Community Programs;
PHS-ADAMHA-Drug Abuse-Training;
HD-Youth Development;
SSA-Assistance Payments-AFDC

ABSTRACT: The Supported Work evaluation is to ascertain whether providing jobs with a combination of supportive feature will promote the transition to regular employment. The Supported Work demonstration itself will cost in excess of \$50 million over a period of years, with financial support by Labor, HEW, and private foundations. The supportive features include graduated incentives, special management tolerance structure, peer group environment, and placement assistance. The four target groups for the project include: ex-addicts, ex-offenders, out-of-school youth who have had contact with the criminal justice system, and AFDC female heads of households with no dependents under 12. The main focus of the study is to test the hypotheses that supported work increases employment and earnings, that it reduces crime and drug recidivism, and that it lowers welfare costs. The relation of the supported work job treatment to health, schooling, family structure, and housing will also be examined.

DESCRIPTORS: Training and Employment of the Disadvantaged, Crime, Demonstration Projects, Dropouts and Runaways, Drug Abuse, Economic Analysis Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0622

PROJECT NO: ASPE/ISP 75-1

FUND SOURCE: Evaluation,

Research

NTIS NO: Not yet determined

MECHANISM: Transfer

ERIC NO: Not available

CONTRACT NO: Not available
COST (In Thousands): FY 75-\$562, FY 76-\$562, FY 77-\$725
PROJECT STATUS: Study in process
START/END DATES: October '74 through June '81

1231

AGENCY SPONSOR: Office of the Secretary
 Office of the Assistant Secretary for Planning
 & Evaluation

PROJECT TITLE: Purchase of Macro-Economic Forecasting
 Systems

REPORT TITLE: Supports Many Reports

PERFORMER: Data Resources, Inc.; 1707 H St., N.W.;
 Washington, D.C.

AGENCY CONTACT: Touloumes, Kenneth; 418D South Portal;
 202-245-7105

PROGRAM(S) EVALUATED:
 V-Many HEW Programs

ABSTRACT: This project and its predecessors (e.g., ASPE PS-74-2) provides access to a comprehensive data base of the U.S. economy and provides the capability to perform modeling, forecasting and simulation activities using this data. It is used both in support of projections of the impact of economic changes on all HEW programs and in support of in-house policy analysis and evaluation on specific issues or programs. Among the recent in-house products using DRI data base and modeling are "The Short-Run Behavior of the Social Security Trust Funds" and "The Social Security Trust Funds as Contingency Reserves" (ISP Technical Analysis Papers Nos. 8 and 9) and "The Emergency Assistance Program: July 1969-October 1976" (in Public Assistance Statistics October 1976). Another use of the system has been in exploring the effects of alternative state allocation formulas in developing the recently enacted Energy Emergency Assistance Program of CSA. The project is funded about equally through Policy Research and Evaluation funds.

DESCRIPTORS: Trends and Forecasts, Statistical Analysis Methods
 Feature, Operations Research Feature
EVALUATION TYPE: Support

EDC ID NO: 0619 **PROJECT NO:** P-76-089
FUND SOURCE: Research,
 Evaluation **NTIS NO:** Not available
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-100-76-0166
COST (In Thousands): FY 75-\$56, FY 76-\$70, FY 77-\$75
PROJECT STATUS: Study in process
START/END DATES: July '73 through September '77

1232

AGENCY SPONSOR: Office of the Secretary
 Office of the Assistant Secretary for Planning
 & Evaluation

PROJECT TITLE: Impact of Disease Specific and Comprehensive
 Health Education Programs

REPORT TITLE: Survey of Consumer Health Education Programs

PERFORMER: Arthur D. Little, Inc.; Acorn Park; Cambridge, MA
 02140

AGENCY CONTACT: Mitnick, Mona; Rm 441-E South Portal;
 202-245-7150

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Education;
 V-Many HEW Programs-Health

ABSTRACT: The results of a consumer health education survey are presented in a 1976 report prepared for HEW. The survey was conducted to evaluate the impact of consumer health education programs and to identify the nature and extent of consumer health education programming in the U.S. Emphasis is placed on consumer health education programs in a wide variety of settings. The first step in the survey involved the compilation of an annotated bibliography and literature search on patient health education, with particular attention given to program evaluation. Three major study areas were identified for the survey: (1) evaluation studies and impact data; (2) self care programs; and (3) system utilization programs. The following generic organizational types were identified for evaluation in terms of their sponsorship of consumer health education programs: hospitals, health maintenance organizations, public health departments, unions, insurance companies, voluntary associations, rural programs, regional medical programs, corporations, freestanding community health centers, long-term care facilities, dental clinics and centers, visiting nurse associations and home care programs, schools, comprehensive health planning agencies, and other sites. Twenty-three sites were visited, based primarily on the availability of evaluation data or other unique characteristics. (Final report 235 pages plus Appendices, 440 pp.)

DESCRIPTORS: Preventive Health Care, Consumer Education, Survey or Questionnaire Feature, Bibliography Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0482 **PROJECT NO:** ASPE/H 75-5
FUND SOURCE: Evaluation **NTIS NO:** PB 251-773-75
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-100-75-0082
COST (In Thousands): FY 75-\$53
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS

START/END DATES: April '75 through January '76

1233

AGENCY SPONSOR: Office of the Secretary
 Office of the Assistant Secretary for Planning
 & Evaluation

PROJECT TITLE: Survey of Institutionalized Persons

REPORT TITLE: Survey of Institutionalized Persons

PERFORMER: Demographic Surveys Division; Census Bureau;
 Department of Commerce

AGENCY CONTACT: Mahoney, Bette; Rm. 732E, HHH Bldg;
 202-472-4415

PROGRAM(S) EVALUATED:

HCFA-Medicaid-Long Term Care;
 HCFA-Medicare-Hospital Insurance-Benefit Payments;
 PHS-ADAMHA-Mental Health-Community Programs &
 Centers

ABSTRACT: This project updates and expands upon the Social Security Administration's 1967 Survey of Institutionalized Adults. The survey examines chronic disease facilities and institutions for the mentally ill and mentally retarded in order to discover: the persons who are being institutionalized; conditions which lead to institutionalizations; and kinds and amounts of financing provided by patients and families. The data are being used to study the feasibility of alternative forms of care.

DESCRIPTORS: Handicapping Conditions and Problems, Mental Health, Facilities, Deinstitutionalization, Long Term Health Care, Statistical Data Feature, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1154 **PROJECT NO:** P-Transfer-76-01
FUND SOURCE: Evaluation, Research **NTIS NO:** Not yet determined
MECHANISM: Transfer, In-house **ERIC NO:** Not available
TRANSFER NO: Various
COST (In Thousands): FY 76-\$355, FY 77-\$50
PROJECT STATUS: Study completed
START/END DATES: February '76 through December '77

1234

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Theoretical Perspectives on Poverty and School Crime

REPORT TITLE: Theoretical Perspectives on Poverty and School Crime

PERFORMER: National Council on Crime and Delinquency;
Continental Plaza; 411 Hackensack Avenue; Hackensack, N.J. 20201;

AGENCY CONTACT: Baker, Keith; Rm 426F HHH Bldg.; 202-245-1881

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary

ABSTRACT: This report is a collection of 52 original papers theorizing about the causes of school crime, the relation of school crime to poverty, and the role schools play in generating crime both within the school and in society. The report comes in 2 volumes. Vol. I contains the 40 papers judged most worthwhile by the contractor. Vol. II contains an additional 12 papers judged to be of lesser interest.

DESCRIPTORS: Crime, Low-Income Population, Elementary and Secondary Students

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1551 **PROJECT NO:** ASPE/E 76-1
FUND SOURCE: Evaluation **NTIS NO:** PB 279-529, 530
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-100-76-0132
COST (In Thousands): FY 76-\$43
PROJECT STATUS: Study completed, Available from EDC, Available from NTIS
START/END DATES: June '76 through February '78

1235

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Transportation and the Disabled: an Overview of Problems and Prospects

REPORT TITLE: Transportation and the Disabled: an Overview of Problems and Prospects

PERFORMER: Joseph S. Revis and Betty D. Revis

AGENCY CONTACT: Labor, Judy; Rm. 439-F South Portal; 202-245-6613

PROGRAM(S) EVALUATED:
HD-Social Services;
HD-Vocational Rehabilitation-Basic State Grants
V-Non-HEW Programs-Transportation

ABSTRACT: This study explores the mobility and related accessibility problems of the severely disabled and the possible means of reducing these problems. It finds that the transportation problems are the result of the interaction of low income, service deficiencies, rural isolation, and design problems of vehicles and fixed facilities. It describes recent efforts and existing programs and innovations. It describes the population of concern in demographic detail, shows that the nature of their trips is determined largely by available transportation options, and explores possible improvements in new mass transit, retrofitting mass transit, private transit, and training. (Final report 116 pages).

DESCRIPTORS: Handicapping Conditions and Problems, Elderly, Needs and Needs Assessment, Transportation

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0624 **PROJECT NO:** ASPE/ISP 75-3
FUND SOURCE: Research **NTIS NO:** PB-260-369
MECHANISM: Grant **ERIC NO:** Not available
GRANT NO: 008A-7401-P2021
COST (In Thousands): FY 75-\$10
PROJECT STATUS: Study completed, Available from EDC, Available from NTIS
START/END DATES: May '75 through October '76

1236

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Unpaid Medical Costs/Illegal Immigrants

REPORT TITLE: Unpaid Medical Costs and Undocumented Aliens

PERFORMER: Various

AGENCY CONTACT: Flores, Grace; 419E, HHH Bldg.; 202-245-7515

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Health

ABSTRACT: This report presents the field materials collected under a contract commissioned by the Division of Spanish Surnamed Americans to examine the costs of medical services for undocumented persons. The findings of this study revealed that hospitals do not have systematic methods for determining the alienage status of their patients. As a result, none of the hospitals had exact figures on the amount of money lost due to the treatment of undocumented persons. (Final report 61 pages.)

DESCRIPTORS: Immigrants and Refugees, International Issues, Health Insurance and Financing, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1198 **PROJECT NO:** P-76-193-OSC
FUND SOURCE: Evaluation **NTIS NO:** PB 296-168-169
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-100-76-0216
COST (In Thousands): FY 76-\$161, FY 77-\$18

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: September '76 through March '77

1237

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Urban Experiment Follow-Up

REPORT TITLE: Urban Experiment Follow-Up
PERFORMER: Mathematica, Incorporated; Princeton, New Jersey
AGENCY CONTACT: Not yet determined
PROGRAM(S) EVALUATED:
V-Non-HEW Programs-Tax;
V-Non-HEW Programs-Income Security

ABSTRACT: This is the Final Report on the Graduate Work Incentive Experiment (GWIE) sponsored by the Office of Economic Opportunity in New Jersey and Pennsylvania over the period 1967-73. This report addressed a very limited set of questions that were not fully resolved in the analysis presented in the "Final Report of the New Jersey Negative Income Tax Experiment." The report consists of several short papers entitled: "Experimental Effects on Labor Supply Estimated from Various Samples"; Progress Report: "Analysis of Black Sample Labor Supply Responses"; "Extended Analysis of the Influence of Income/Welfare Status upon Labor Supply"; "Interaction Between the N.J. Welfare System and the GWIE"; "Analysis of Family Labor Supply"; "Analysis of Family Labor Supply Results-Interactions of Working Wives and Treatment Variables"; and "Analysis of Family Labor Supply Response-Non-Linearities in Response to Payments." (Final Report 184 pages.)

DESCRIPTORS: Labor Market Problems, State or Local Government, Taxation, Research and Evaluation Methods Feature, Low-Income Population, Economic Analysis Feature, Access to Services
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0424 **PROJECT NO:** ASPE 75-015
FUND SOURCE: Research **NTIS NO:** PB 242-911
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-OS-74-217
COST (In Thousands): FY 74-Not available
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: June '74 through September '74

1238

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Who is the Independent Student? A Study of the Status and Resources of Independent Students

REPORT TITLE: Who is the Independent Student? A Study of the Status and Resources of Independent Students
PERFORMER: College Entrance Examination Board; 888 Seventh Avenue; New York, New York 10019
AGENCY CONTACT: Goggin, Bill; 426 F, South Portal;
202-245-1880

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Student Assistance

ABSTRACT: The problem of identifying the conditions under which parents should be relieved of any expectation that they will support their "independent student" offspring has plagued needs-analysis systems. This study posits 28 alternative definitions of the "independent" or self-supporting student in post-secondary education, and address the financial implications of these definitions. Income, residency, tax status, age, marital status, veterans status, welfare status, educational status, etc. are among the defined variables. The study uses as a foundation the many publications and papers which have been done in the past five years which address the issue of the independent student. The data used in this study were collected by the College Entrance Examination Board between 1971 and 1974 in studies for various state agencies and individual institutions of post-secondary education. The study compares the educational assistance available to "independent" and "dependent" students under the various alternatives and discusses many of the administrative and equitable complexities involved. It shows how various types of parents and students would be more or less well off under the various alternatives. (Final report 94 pages.)

DESCRIPTORS: Scholarships Fellowships and Loans, Means Testing, Higher Education, Family, College Students, Eligibility Issues, Statistical Analysis Methods Feature

EVALUATION TYPE: Program Strategy

EDC ID NO: 0644 **PROJECT NO:** ASPE/E 74-7
FUND SOURCE: Evaluation **NTIS NO:** PB 242-941
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-OS-74-186
COST (In Thousands): FY 74-\$34
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: June '74 through October '74

1239

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning
& Evaluation

PROJECT TITLE: Report of the Interdepartmental Workers' Compensation Policy Group

REPORT TITLE: Workers' Compensation: Is there A Better Way?
PERFORMER: Interdepartmental Workers' Compensation Policy Group; 200 Independence Ave, SW; Washington, D.C. 20201
AGENCY CONTACT: Rodriguez, Justine Farr; Room 426F, HHH Bldg.; 202-245-1878

PROGRAM(S) EVALUATED:
V-Non-HEW Programs-Income Security;
V-Non-HEW Programs-Health & Safety Regulation;
SSA-Disability Insurance;
SSA-Old Age & Survivors Insurance;
PHS-CDC-Preventive Health-Occupational Health Research & Training

ABSTRACT: This is the official report of the Policy Group of the Interdepartmental Workers' Compensation Task Force. Workers' compensation is a state operated, employer-financed system of "no-fault" insurance for compensation of job related injury, illness, or death. The Policy Group, including the Departments of Labor, Commerce, HEW, HUD, and the Office of Management and Budget, have been directing technical assistance for the States and research in workers' compensation. The study argues that a sharp reordering

of priorities and a new mode of operation will be necessary to reduce expense, improve equity, and improve effectiveness of the workers' compensation system, in spite of significant improvements in the 1970s. The study recommends that wage loss compensation be separated from any other benefits and paid as wage loss accrues, that emphasis on rehabilitation and re-employment be increased, that costs of work-related injuries and diseases be internalized by broad coverage of employees and broader interpretation of work-related disease. HEW is asked to increase knowledge about work-related disease. Recommendations are made on the relationship between workers' compensation and Social Security programs. (Final report 58 pages).

DESCRIPTORS: Safety, Preventive Health Care, Health Insurance and Financing, Labor Market Problems, Eligibility Issues, Equity of Benefits, Program Coordination, Income Maintenance
EVALUATION TYPE: Program Strategy

EDC ID NO: 1153 **PROJECT NO:** P-In-house-76-02
FUND SOURCE: Salaries and Expenses
MECHANISM: In-house **NTIS NO:** EDC Only
CONTRACT NO: Not available **ERIC NO:** Not available
COST (In Thousands): FY 75-Not available, FY 76-Not available, FY 77-Not available
PROJECT STATUS: Study completed, Available from EDC
START/END DATES: May '74 through January '77

1240

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT TITLE: Year Round Schools Evaluation

REPORT TITLE: Not yet determined
PERFORMER: ABT Associates, Inc.; 55 Wheeler Street; Cambridge, Middlesex, Mass. 02138
AGENCY CONTACT: Baker, Keith; 426 F, South Portal; 202-245-1883
PROGRAM(S) EVALUATED: V-Many HEW Programs-Education

ABSTRACT: Document the importance of the year-round schools movement and design an evaluation program for year-round schools.

DESCRIPTORS: Educational Techniques, Educational Administration, Elementary Education, Secondary Education
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0636 **PROJECT NO:** ASPE/E 75-13
FUND SOURCE: Evaluation **NTIS NO:** PB 258-305-306
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: HEW-100-75-0113
COST (In Thousands): FY 75-\$46
PROJECT STATUS: Study completed, Available from NTIS
START/END DATES: May '75 through October '75

1241

AGENCY SPONSOR: Office of the Secretary
Office of the Assistant Secretary for Planning & Evaluation

PROJECT DESCRIPTIONS

PROJECT TITLE: Evaluation of Services Integration Targets of Opportunity

REPORT TITLE: The 1975 Census of Local Services Integration
PERFORMER: RAND; Santa Monica, Cal., 90406; William A. Lucas

AGENCY CONTACT: Henton, Doug; Rm. 443-D South Portal; 202-245-7313

PROGRAM(S) EVALUATED: V-Many HEW Programs

ABSTRACT: During the early 1970's HEW supported numerous Services Integration Targets of Opportunity (SITO) demonstration projects. These projects sought to improve local service delivery through approaches such as common intake procedures for related programs. This study was to determine whether the organizational experiences of the SITO projects could be aggregated in a systematic fashion by the case survey method. RAND determined, unfortunately, that the files did not contain sufficiently detailed information to do so (see "Aggregating Organizational Experience with Services Integration: Feasibility and Design", RAND, 1975, also available from SHARE, number SHR-0000464). This study therefore emphasized a descriptive "census" of 70 SITO projects, categorizing each in terms of scope, service approaches, and developmental stage. It reached several tentative conclusions: that in the absence of decisive HEW initiatives services integration will remain a marginal phenomenon, that R&D funds will continue to be necessary for seed money for new projects, that some of the better projects will be continued with program funds, and that projects involving continuing staff interactions do better. (Final report 102 pages, also available from SHARE, number 0000297. See EDC No. 0607).

DESCRIPTORS: Services Integration, State or Local Administration, Case or Site Study Feature, Demonstration Projects, Research and Evaluation Performance

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0614 **PROJECT NO:** ASPE/PS-75-2
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-100-75-0054
COST (In Thousands): FY 75-\$52
PROJECT STATUS: Study completed, Available from EDC
START/END DATES: January '75 through December '75

OFFICE FOR CIVIL RIGHTS

1242

AGENCY SPONSOR: Office of the Secretary
Office for Civil Rights

PROJECT TITLE: An Assessment of the Extent of Sex Discrimination (by Federal Recipients) in the Delivery of Health and Human Services

REPORT TITLE: Not yet determined
PERFORMER: Abt Associates, Inc.; New Hampshire Ave., N.W.; Washington, D.C. 20036; Naomi Naerman 202-466-4343
AGENCY CONTACT: McKinney, Roy; 5619 North Bldg.; 202-245-7196

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Health;
V-Many HEW Programs-Social Services

ABSTRACT: This study will assess whether Federal recipients provide equal services to both sexes in health and human development programs. Based on the findings of the study, new legislative proposals and/or amended program regulations may be developed to ensure that sex discrimination is not a factor in the provision of health and human development services.

DESCRIPTORS: Civil Rights and Integration, Health Care Delivery Systems, Women, Social Services Delivery Systems

EVALUATION TYPE: Program Strategy

EDC ID NO: 1364

PROJECT NO: OCR-78-13

FUND SOURCE: Salaries and Expenses

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: HEW-100-78-0137

COST (In Thousands): FY 78-\$149

PROJECT STATUS: Study in process

START/END DATES: October '78 through June '79

1243

AGENCY SPONSOR: Office of the Secretary
Office for Civil Rights

PROJECT TITLE: Discrimination Against Handicapped Persons--The Costs, Benefits, and Economic Impact of Implementing Section 504

REPORT TITLE: Discrimination Against Handicapped Persons--The Costs, Benefits, and Economic Impact of Implementing Section 504

PERFORMER: Dave M. O'Neill; Center for Naval Analyses; Washington D.C.

AGENCY CONTACT: Wodatch, John; Rm 3460, North Bldg; 202-245-1821

PROGRAM(S) EVALUATED:

V-Many HEW Programs;
DM-Office for Civil Rights

ABSTRACT: This study was originally prepared to comply with an Executive Order requiring such analysis prior to promulgation of proposed regulations with a potential cost impact of \$100 million or more. It was revised and reissued along with the final regulation (45 CFR Part 84) prohibiting discrimination against the handicapped. The study found that the regulation was cost-effective as compared to alternative approaches, and that the annual pecuniary costs of remedying discrimination of about \$2.3 billion was almost offset by pecuniary benefits of \$2.1 billion. Psychic benefits were not determined in dollars but would be substantial. Most of the benefits would accrue to handicapped individuals over the long run, most of the costs to state and local governments over the short run. Most costs and benefits would not be due to the regulation since other laws and court decisions already applied. The largest costs occurred for elementary and secondary education. Costs of making facilities accessible were far lower than commonly believed, since steps such as rescheduling classes could substitute for renovation. (Final report 85 pages).

DESCRIPTORS: Handicapping Conditions and Problems, Regulation and Standard Setting, Benefit-Cost Analysis Feature, Facilities, Educationally Disadvantaged, Civil Rights and Integration, Higher Education, Labor Market Problems

EVALUATION TYPE: Program Impact

EDC ID NO: 1248

PROJECT NO: OCR 76-01

FUND SOURCE: Salaries and Expenses

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not yet determined

CONTRACT NO: SA-4141-76

COST (In Thousands): FY 76-\$10, FY 77-\$5

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: February '76 through May '77

1244

AGENCY SPONSOR: Office of the Secretary
Office for Civil Rights

PROJECT TITLE: Past and Potential Effectiveness Administrative Practices Required of Recipients in Producing Voluntary Compliance with Civil Rights Laws

REPORT TITLE: Not yet determined

PERFORMER: RAND; 2100 M St., N.W.; Washington, D.C. 20036; Dr. Paul Hill 202-296-5000

AGENCY CONTACT: Fishel, Andrew Dr.; 1064 North Bldg.; 202-245-7572

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Education

ABSTRACT: The evaluation will gather information to determine the effectiveness to date of four administrative practices in obtaining compliance with civil rights laws enforced by OCR. In addition, the study will determine how these types of administrative requirements could be used in the future to achieve noncoercive compliance with the Civil rights laws. This study was undertaken in cooperation with the Office of the Assistant Secretary for Education.

DESCRIPTORS: Civil Rights and Integration, Women, Non English Speaking, Handicapping Conditions and Problems, Education Manpower, Elementary and Secondary Students, Higher Education

EVALUATION TYPE: Program Strategy

EDC ID NO: 1362

PROJECT NO: ASE 78-1

FUND SOURCE: Salaries and Expenses

NTIS NO: Not yet determined

MECHANISM: Transfer

ERIC NO: Not yet determined

TRANSFER NO: ASE 300-76-0065

COST (In Thousands): FY 78-\$165

PROJECT STATUS: Study in process

START/END DATES: October '78 through March '79

1245

AGENCY SPONSOR: Office of the Secretary
Office for Civil Rights

PROJECT TITLE: Present and Potential Roles for State Programs and Human Development Rights Agencies in Civil Rights Compliance Activities

REPORT TITLE: Not yet determined

PERFORMER: SRI, International; 333 Ravenswood Ave.; Menlo Park, CA 94025 Meredith Larson, 415-326-6200

AGENCY CONTACT: Fishel, Andrew Dr.; Rm. 1064 North Bldg.; 202-245-7572

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Education;

V-Many HEW Programs-Health;

V-Many HEW Programs-Social Services

ABSTRACT: The study will determine the extent to which state education, health and human development agencies and state human rights agencies presently engage in civil rights compliance review and complaint investigation activities and the effectiveness of these activities. The study will also determine the willingness and capability of State agencies to assume a larger role in this area. This study was undertaken in cooperation with the Office of the Assistant Secretary for Education.

DESCRIPTORS: Civil Rights and Integration, Women, Non English Speaking, Handicapping Conditions and Problems, Education Manpower, Elementary and Secondary Students, Higher Education, Health Care Delivery Systems, Social Services Delivery Systems

EVALUATION TYPE: Program Strategy

EDC ID NO: 1363

PROJECT NO: ASE 78-2

FUND SOURCE: Salaries and Expenses

NTIS NO: Not yet determined

MECHANISM: Transfer

ERIC NO: Not yet determined

TRANSFER NO: ASE 300-76-0025

COST (In Thousands): FY 78-\$110

PROJECT STATUS: Study completed

START/END DATES: March '78 through October '78

programs. OSPI did not insure that local educational agencies met stipulated maintenance of effort requirements. Based on the results of the audit, it was concluded that the management of OE programs should be improved. (Final report 58 pp.).

DESCRIPTORS: Budgeting, Educational Administration, Grant-in-Aid Formulas, Financial Management

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1129

PROJECT NO: Audit 10-70001

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: EDC only

MECHANISM: In-house

ERIC NO: EDC Only

CONTRACT NO: Not available

COST (In Thousands): FY 76-Not available, FY 77-Not available

PROJECT STATUS: Study completed, Available from EDC

START/END DATES: August '75 through December '76

OFFICE OF THE INSPECTOR GENERAL

1246

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Audit of Costs Claimed for the Administration of U.S. Office of Education Programs by the Superintendent of Public Instruction

REPORT TITLE: Audit of Costs Claimed for Administration of U.S. Office of Education Programs by the Office of the Superintendent of Public Instruction

PERFORMER: HEW Audit Agency; Region X

AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg.; 202-472-3160

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Education

ABSTRACT: This audit examined accounting, budgeting, and management planning records of the State of Washington in relation to the State's administration of OE programs. The audit was specifically concerned with whether administrative funds were budgeted and expended in accordance with identified needs; the allow ability, allocability, and reasonableness of administrative costs claimed; and the completeness and accuracy of financial reports to OE. The Office of the Superintendent of Public Instruction (OSPI) is the recipient of numerous Federal grants for program and administrative activities. It was determined in the audit that OSPI had not ensured the accomplishment of activities required in the administration of educational programs for which it was responsible. Expenditure planning of OSPI was inaccurate and a significant portion of Federal funds were carried over from fiscal years 1974 and 1975 into subsequent fiscal periods. Administrative costs totaling \$41,496 for 1974 and \$80,855 for 1975 were either not allowable or not allocable to OE grant programs. OSPI allocated excessive salary costs to certain OE grant

1247

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Audit of ESEA Title VII Bilingual Education Program Administered by the Fort Worth, Texas Independent School District

REPORT TITLE: Audit of ESEA Title VII Bilingual Education Program Administered by the Fort Worth, Texas, Independent School District

PERFORMER: HEW Audit Agency,

AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg.; 202-472-3160

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-Bilingual Education-LEA Grants

ABSTRACT: The administration of Title VII of the Elementary and Secondary Education Act for bilingual education by the Fort Worth, Texas, Independent School District was audited in FY 1974. The audit had three objectives: (1) to determine if submitted proposals provided adequate documentation that children to be served were limited in their ability to speak English; (2) to review the results of the bilingual education program in Fort Worth, and (3) to review financial management procedures of the school district. The audit considered class enrollment ratio by language dominance, bilingual program evaluation, and financial management. It found that during the grant period a significant number of children were provided with an opportunity to participate in the bilingual education program. Improvements were recommended, however, in the preparation of funding proposals submitted to the U.S. Office of Education, the determination of a child's dominant language, the establishment of bilingual education programs, and claimed reimbursement for indirect costs. It was observed that more data should have been included for evaluation of the income levels of families served by the program. Areas of disagreement with the audit by the school district are noted as well as planned action to improve the district's program. (Final report 33 pp.).

DESCRIPTORS: Educational Administration, Educationally Disadvantaged, Elementary and Secondary Students, Non English Speaking, Financial Management

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1127

PROJECT NO: Audit 01-70256

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: EDC Only

PROJECT DESCRIPTIONS

CONTRACT NO: Not available
COST (In Thousands): FY 74-Not available, FY 75-Not available
PROJECT STATUS: Study completed,
 Available from EDC
START/END DATES: May '74 through May '75

1248

AGENCY SPONSOR: Office of the Secretary
 Office of the Inspector General

PROJECT TITLE: Audit of Selected Mental Health Grants, Idaho
 Department of Health and Welfare

REPORT TITLE: Audit of Selected Mental Health Grants, Idaho
 Department of Health and Welfare

PERFORMER: HEW Audit Agency, Region X

AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg;
 202-472-3160

PROGRAM(S) EVALUATED:
 PHS-ADAMHA-Mental Health-Community Programs &
 Centers;
 PHS-ADAMHA-Mental Health-Training

ABSTRACT: The results of an audit of mental health grants awarded to the Idaho Department of Health and Welfare are reported. Included in Federal grants to the department are seven community mental center staffing grants, a mental health hospital improvement grant, and a mental health hospital staff development grant. The central accounting function for these nine grants is performed by the department's Bureau of Finance and Budget. The audit dealt with monitoring and evaluation activities, operating deficiencies, payroll procedures, service fee billing and collection, financial reporting, personnel costs, Federal cash requests, management, and maintenance of effort. It was found that in general, the department maintained adequate control over revenues and expenditures and complied with applicable laws. Significant problems were observed, however, with regard to monitoring and evaluation and compliance with maintenance of effort guidelines. Weakness in the monitoring and evaluation system of the department resulted in undetected or uncorrected deficiencies in the areas of payroll, service fee billing and collection, and financial reporting. It was recommended that the monitoring and evaluation system be improved. (Final report 17 pages).

DESCRIPTORS: Mental Health, Grant-in-Aid Formulas, Management Information and Reporting, Auditing and Accounting, Evaluation by State and Local Government Financial Management
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1111 **PROJECT NO:** Audit 10-60355
FUND SOURCE: Salaries and **NTIS NO:** EDC Only
 Expenses (S&E) **ERIC NO:** Not available
MECHANISM: In-house
CONTRACT NO: Not available
COST (In Thousands): FY 76-Not available
PROJECT STATUS: Study completed,
 Available from EDC
START/END DATES: April '76 through September '76

1249

AGENCY SPONSOR: Office of the Secretary
 Office of the Inspector General

PROJECT TITLE: Audits of Administrative Costs Incurred Under
 the Emergency School Assistance Program

REPORT TITLE: Various
PERFORMER: HEW Audit Agency; Various Regional Offices
AGENCY CONTACT: Majka, Felix J.; Rm. 5713, HEW Bldg;
 202-472-3160

PROGRAM(S) EVALUATED:
 E-OE Emergency School Aid

ABSTRACT: Two Audits have been carried out to evaluate costs and selected program activities associated with grants awarded under the Emergency School Aid Act. The audits considered policies, procedures and practices used for controlling Federal funds and for facilitating submission of accurate financial reports to the US Office of Education. Recommendations from the audits include reassessment of what costs are allowable under the terms of the grants and appropriate actions to recover expenditures in excess of allowable costs. Audits on this subject to date include: 01-70050 (20 pp.) and 04-50056 (12 pp.).

DESCRIPTORS: Management Information and Reporting, Educational Administration, Financial Management, Grant-in-Aid Formulas
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1126 **PROJECT NO:** Various
FUND SOURCE: Salaries and **NTIS NO:** EDC Only
 Expenses (S&E) **ERIC NO:** EDC Only
MECHANISM: In-house
CONTRACT NO: Not available

COST (In Thousands): FY 76-Not available, FY 77-Not available
PROJECT STATUS: Study completed,
 Available from EDC
START/END DATES: October '75 through January '77

1250

AGENCY SPONSOR: Office of the Secretary
 Office of the Inspector General

PROJECT TITLE: Audits of Collection Activities Under the Federal
 Insured Loan Segment of the Guaranteed Student Loan
 Program

REPORT TITLE: Various
PERFORMER: HEW Audit Agency Various Regional Offices
AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg;
 202-472-3160

PROGRAM(S) EVALUATED:
 E-OE-Higher Education-Student Assistance-Subsidized
 Insured Loans

ABSTRACT: Three Audits have been performed in several states to evaluate collection activities under the Insured Loan Segment of the Guaranteed Student Loan Program. The audits covered various aspects of the program including the effectiveness of the practices and procedures used by the regions such as the adequacy of accounting and internal controls over cash receipts and defaulted loans and the accuracy of collection activity reports. The audits discovered several problem areas including: inadequate staffing and facilities; lack of follow-up on borrowers; poor control of defaulted loans; inadequate administrative and financial procedures. Appropriate recommendations were made in each area as required. Audits on this subject to date include (02-63000 24 pp.), 05-63000 (11 and 06-50044 (20 pp.).

DESCRIPTORS: Higher Education, Scholarships Fellowships and Loans, Financial Management, State or Local Administration
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1128 **PROJECT NO:** Various
FUND SOURCE: Salaries and **NTIS NO:** EDC Only
 Expenses (S&E) **ERIC NO:** EDC Only
MECHANISM: In-house

CONTRACT NO: Not available
COST (In Thousands): FY 76-Not available, FY 77-Not available
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: August '75 through January '77

1251

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Audits of Comparability Reporting Under Title I
of the Elementary and Secondary Education Act

REPORT TITLE: Various

PERFORMER: HEW Audit Agency, Various Regional Offices

AGENCY CONTACT: Majka, Felix J., Rm. 5713, HEW Bldg.;
202-472-3160

PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Grants for
Disadvantaged-LEAS

ABSTRACT: Six audits were conducted in various states on Comparability Repo under Title I of the Elementary and Secondary Education Act. The audits report on several aspects of the program including the accuracy, completeness and timelines of comparability requirements. The audits reported a number of discrepancies and made appropriate recommendations in each case including; a need for improved assessment of the comparability data of Title I "target schools" as submitted by the LEA's in order to comply with program requirements; improved procedures to assure that the schools with the greatest needs were selected for the program; a in at least one state, the Dept. of Education did not withhold Title I funds from LEA's that did not submit formal comparability reports on a timely basis. Audit on this subject to date include: 70012-09 (36 pp.), 06-70004 (45 pp.), 04-70012 (24 pp.), 04-70001 (62 pp.), 50026-10 (16 pp.) and 04-50009 (6 pp.).

DESCRIPTORS: Compensatory Education, Grant-in-Aid Formulas,
State or Local Administration

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1124 **PROJECT NO:** Various

FUND SOURCE: Salaries and
Expenses

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: EDC Only

CONTRACT NO: Not available

COST (In Thousands): FY 74-Not available, FY 75-Not available,
FY 76-Not available, FY 77-Not available

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: December '73 through January '77

1252

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Audits of Family Planning Services under Title
XIX of the Social Security Act

REPORT TITLE: Various

PERFORMER: HEW Audit Agency, Various Regional Offices

AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg;
202-472-3160

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-Family Planning

ABSTRACT: Three audits have been performed in Texas, Pennsylvania and New Jersey reviewing the sterilization program under Family Planning Services of Title XIX of the Social Security Act. The audits were particularly concerned with ensuring that sterilization procedures met program requirements, that FFP was properly claimed and that program results were reported accurately and timely. In Pennsylvania the program was in compliance with Federal regulations and the audit made some minor recommendations to refine procedural regulations of the program. In New Jersey the audit showed a need to strengthen administrative procedures to assure that claims for the Federal share of program expenditures are properly and accurately determined. Specific recommendations were made in the areas of program compliance, reporting procedures and claiming procedures. The Texas audit showed that the program needs improvement to ensure that unwanted sterilizations are prevented and that payments made to providers are properly made. Audits on this subject to date include 03-70225 (11 pp.), 02-70212 (25 pp.), and 06-80206 (36 pp.).

DESCRIPTORS: State or Local Administration, Family Planning,
Grant-in-Aid Formulas, Financial Management
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1108

FUND SOURCE: Salaries and
Expenses (S&E)

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 75-Not available, FY 76-Not available,
FY 77-Not available

PROJECT STATUS:

PROJECT NO: Study completed,
Available from EDC
Study in process,
ous

START/END DATES: June '75 through March '77

1253

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Audits of Medicaid Provider Claims

REPORT TITLE: Various

PERFORMER: HEW Audit Agency, Various Regional Offices

AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg;
202-472-3160

PROGRAM(S) EVALUATED:
HCFA-Medicaid-State & Local Administration

ABSTRACT: Four audits have been conducted in several states to review Medicaid provider claims processing under Title XIX of the Social Security Act. The audits showed that claims processing was generally done in a timely manner and in accordance with established guidelines. However, improvements were needed in some areas including: computerized operations; more accurate setting of reimbursement rates; payment of bills by "third parties," and in one state the reduction of unallowable payments for medical services. Appropriate recommendations were made in each case including financial adjustments where necessary. Audits on this subject to date include 10-70201 (12 pp.), 05-60200 (10 pp.), 70208-09 (44 pp.) and 02-70206 (78 pp.).

DESCRIPTORS: Health Insurance and Financing, State or Local Administration, Financial Management

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1118
FUND SOURCE: Salaries and Expenses
MECHANISM: In-house
CONTRACT NO: Not available
COST (In Thousands): FY 75-Not available, FY 76-Not available, FY 77-Not available
PROJECT STATUS: Study completed, Available from EDC
START/END DATES: September '74 through December '76

PROJECT NO: Various
NTIS NO: EDC Only
ERIC NO: Not available

1254

AGENCY SPONSOR: Office of the Secretary
 Office of the Inspector General

PROJECT TITLE: Audits of Payment Claims under the Public Assistance Programs

REPORT TITLE: Various
PERFORMER: HEW Audit Agency, Various Regional Offices
AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg;
 202-472-3160

PROGRAM(S) EVALUATED:
 SSA-Supplemental Security Income;
 SSA-Assistance Payments-Adult Aid;
 SSA-Assistance Payments-AFDC

ABSTRACT: Seven audits have been performed of Public Assistance programs such as AFDC, AABD, SSI and others to determine the adequacy of payment claims and/or administrative costs. Concerning the SSI Program in Georgia the audit found unallowable claims and recommended appropriate financial adjustment. The Colorado audit of cost allocation plans found that most cost claims were also inallowable and made appropriate remedial recommendations including reimbursement to the Federal Government. In New York City the audit included a certification of accuracy of AABD and AFDC assistance payments claims through a review of claiming procedures, documentation supporting assistance payments and an analysis of procedures by which these payments are processed and reported. Appropriate recommendations were made to remedy accounting and procedural errors found including a financial adjustment to the Federal Government. Audits on this subject to date include 04-62306 (13 pp.), 08-60453 (43 pp.), 02-60259 (10 pp.), 01-72310 (15 pp.) and 01-82303 (15 pp.), and 702 79-09 (80 pp.).

DESCRIPTORS: Income Maintenance, State or Local Administration, Financial Management

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1110
FUND SOURCE: Salaries and Expenses (S&E)
MECHANISM: In-house
CONTRACT NO: Not available
COST (In Thousands): FY 75-Not available, FY 76-Not available, FY 76.1-Not available
PROJECT STATUS: Study completed, Available from EDC
START/END DATES: June '75 through September '76

PROJECT NO: Various
NTIS NO: EDC Only
ERIC NO: Not available

1255

AGENCY SPONSOR: Office of the Secretary
 Office of the Inspector General

PROJECT TITLE: Audits of Payments to Nursing Facilities Under Title XIX of the Social Security Act

REPORT TITLE: Various
PERFORMER: HEW Audit Agency, Various Regional Offices
AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg;
 202-472-3160

PROGRAM(S) EVALUATED:
 HCFA-Medicaid-Long Term Care

ABSTRACT: Ten audits have been conducted to evaluate various aspects of the nursing care provided under Title XIX of the Social Security Act. The audits reviewed various aspects of the program in various states including nursing care, prescription drug and physical therapy costs, payment procedures and compliance with Federal regulations by States and nursing facilities. In some states the auditors found certain certification procedures being violated, procedures for the correction of deficiencies in nursing facilities were not followed, procedures for the payment of provider claims needed improvement and in some cases resulted in overpayments. Specific recommendations were made in each audit and repayment requested where appropriate. Audits on this subject to date include 01-70153 (11 pp.), 06-70208 (19 pp.), 09-70217 (12 pp.), 08-70150 (32 pp.), 70201-09 (17 pp.), 70213-09 (67 pp.), 03-70151 (43 pp.), 03-70150 (29 pp.), 03-70154 (10 pp.) and 02-80217 (43 pp.).

DESCRIPTORS: Nursing Homes, Long Term Health Care, Financial Management, Certification and Licensing

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1107
FUND SOURCE: Salaries and Expenses (S&E)
MECHANISM: In-house
CONTRACT NO: Not available
COST (In Thousands): FY 76-Not available, FY 77-Not available
PROJECT STATUS: Study completed, Available from EDC
START/END DATES: January '76 through April '77

PROJECT NO: Various
NTIS NO: EDC Only
ERIC NO: Not available

1256

AGENCY SPONSOR: Office of the Secretary
 Office of the Inspector General

PROJECT TITLE: Audits of State Agency Certification Activities Under Section 1864, Title XVIII and Section 1902, Title XIX of the Social Security Act

REPORT TITLE: Various
PERFORMER: HEW Audit Agency, Various Regional Offices
AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg;
 202-472-3160

PROGRAM(S) EVALUATED:
 SSA-Assistance Payments-State & Local Administration;
 HCFA-Medicare-Program Direction & Management

ABSTRACT: Ten audits have been conducted to review the validity of certification procedures for Medicare and Medicaid. Section 1864 of the Social Security Act authorizes funds to reimburse state agencies for services related to the certification of providers. The agency certification procedures include identifying and informing potential participants, conducting surveys, providing consultative services and certifying facilities to participate in the program. The audits found that the certification process was generally well implemented but

there were some discrepancies due to inadequate financial, travel and time records, and improper handling of noted health and safety deficiencies of providers. Appropriate corrective recommendations were made in each audit to specifically remedy the discrepancies. Audits to date on this subject include 07-72103 (44 pp.), 04-72100 (24 pp.), 62100-09 (36 pp.), 05-72101 (34 pp.), 08-82100 (25 pp.), 01-82103 (15 pp.), 01-72100 (22 pp.), 08-82104 (16 pp.), 03-82112 (15 pp.) and 08-82104 (15 pp.).

DESCRIPTORS: Health Insurance and Financing, Facilities, Hospitals, Regulation and Standard Setting, Accreditation of Institutions, Nursing Homes, Case or Site Study Feature, Certification and Licensing
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1102 **PROJECT NO:** Various
FUND SOURCE: Salaries and Expenses (S&E) **NTIS NO:** EDC Only
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT NO: Not available
COST (In Thousands): FY 75-Not available, FY 76-Not available, FY 77-Not available
PROJECT STATUS: Study completed, Available from EDC
START/END DATES: January '75 through June '77

1257

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Audits of the Administration of the Older Americans Act of 1965

REPORT TITLE: Various
PERFORMER: HEW Audit Agency, Various Regional Offices
AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg; 202-472-3160
PROGRAM(S) EVALUATED:
HD-Aging-Community Services-State Agency Activities
HD-Aging-Nutrition Program

ABSTRACT: Fourteen audits have been conducted in several states to review the administration of the Older Americans Act of 1965. The audits reviewed various aspects of the program including the effectiveness of state and area management and financial controls over aging-related projects; compliance with applicable Federal regulations and program effectiveness. The audits revealed a number of discrepancies in various states such as: the need to improve direction, monitoring and evaluation of project performance; improvement of financial and administrative management practices and better coordination of services for the elderly. In one case (Louisiana) the audit interpreted the HEW regulation as requiring a state-wide survey of need, rather than the secondary data collection performed by the state. Appropriate recommendations were made to the individual agencies including financial adjustments where necessary. Audits on this subject to date include 07-60550 (39 pp.), 07-670551 (7 pp.), 60553-01 (13 pp.), 60551-0 (13 pp.), 60550-01 (10 pp.), 04-70552 (23 pp.), 06-70554 (14 pp.), 03-70551 (18 pp.), 03-70553 (21 pp.), 60554-01 (14 pp.), 10-60550 (17 pp.), 03-70555 (30 pp.), and 70550-09 (47 pp.) and 08-80 600 (10 pp.).

DESCRIPTORS: Elderly, State or Local Administration, Food and Nutrition, Needs and Needs Assessment, Evaluation by State and Local Governments, Federal Administration
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1116 **PROJECT NO:** Various
FUND SOURCE: Salaries and Expenses (S&E) **NTIS NO:** EDC Only
MECHANISM: In-house **ERIC NO:** Not available

PROJECT DESCRIPTIONS

CONTRACT NO: Not available
COST (In Thousands): FY 75-Not available, FY 76-Not available, FY 77-Not available
PROJECT STATUS: Study completed, Available from EDC
START/END DATES: September '74 through March '77

1258

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Audits of the Administration of the Vocational Education Program

REPORT TITLE: Various
PERFORMER: HEW Audit Agency, Various Regional Offices
AGENCY CONTACT: Majka, Felix J.; Rm. 5713, HEW Bldg., 202-472-3160
PROGRAM(S) EVALUATED:
E-OE-Occupational & Adult Education

ABSTRACT: The College Work-Study Program (CWSP) was authorized to expand part-time employment opportunities for needy students. An audit was conducted for the fiscal years 1974-75 and consisted of interviews with the college's student financial aid officer and students participating in the program. The audit revealed that excess Federal CWSP funds of \$12,114 were expended on students whose financial needs were either miscalculated or not established. This was due to the fact that the proper method for determining the student's needs was not followed. It was determined that the college should implement written policies and procedures to assure that the CWSP is conducted in accordance with Federal regulations and guidelines. The college officials agreed to do this. However, they wished to review further some of the student files whose CWSP salaries were questioned by the auditor. (Final report 11 pages.)

DESCRIPTORS: Educational Finance, Higher Education, State or Local Administration, Auditing and Accounting, Federal State and Local Relations

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1123 **PROJECT NO:** Various
FUND SOURCE: Salaries and Expenses **NTIS NO:** EDC Only
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT NO: Not available
COST (In Thousands): FY 75-Not available, FY 76-Not available, FY 76.1-Not available
PROJECT STATUS: Study completed, Available from EDC
START/END DATES: July '75 through September '76

1259

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Audits of the Administration of Title I of the Elementary and Secondary Education Act of 1965

REPORT TITLE: Various
PERFORMER: HEW Audit Agency, Various Regional Offices
AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg; 202-472-3160
PROGRAM(S) EVALUATED:
E-OE-Elementary & Secondary-Grants for Disadvantaged-LEAS

ABSTRACT: Ten audits have been conducted in several states to review the Administration of Title I of the Elementary and Secondary Education Act of 1965. The audits reviewed various aspects of the program including program effectiveness, financial and compliance requirements and program policies, procedures and practices. The reviews discovered a number of areas in need of improvement such as: increased effectiveness and improved focus of programs, and improvement of policies and procedures to comply with Federal laws, regulations and requirements. Appropriate recommendations were made in each case including financial adjustments where required. Audits on this subject to date include 08-60001 (47 pp.), 08-60007 (33 pp.), 04-70005 (82 pp.), 60006-09 (123 pp.), 06-6000 (95 pp.), 08-80004 (40 pp.), 08-70037 (79 pp.), 80002-09 (41 pp.) and 10-80002 (39 pp.) and 05-70000 (95 pp.).

DESCRIPTORS: State or Local Administration, Educationally Disadvantaged, Educational Finance, Elementary and Secondary Students, Regulation and Standard Setting

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1117

PROJECT NO: Various

FUND SOURCE: Salaries and Expenses

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: EDC Only

CONTRACT NO: Not available

COST (In Thousands): FY 73-Not available through FY 77-Not available

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: October '72 through October '76

1260

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Audits of the Administration of Various Public Health Service Grant Programs

REPORT TITLE: Various

PERFORMER: HEW Audit Agency, Various Regional Offices

AGENCY CONTACT: Majka, Felix J.; Rm. 5713, HEW Bldg.;
202-472-3160

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Health

ABSTRACT: Three audits have been conducted on various aspects of the Administration of Public Health Service Grants by State Departments of Health and Social Services including: identification, classification and allocation of costs to PHS grants and programs; withdrawal of under letters-of-credit in relation to needs of the PHS program; accounting of funds in accordance with accepted principles and monitoring of subcontractor effectiveness. According to the findings of the audits, specific recommendations were made in each area including: areas of reported costs and letter of credit transactions; improvements in monitoring subgrantees and financial status reports; improved control over PHS supplied funds. Audits on this subject to date including: 07-70352 (21 pp.), 10-70358 (13 pp.) and 07-71474 (23 pp.).

DESCRIPTORS: State or Local Administration, Financial Management, Grant and Contract Management

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1122

PROJECT NO: Various

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 76-Not available, FY 77-Not available

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: February '76 through April '77

1261

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Audits of the Disability Determination Program

REPORT TITLE: Various

PERFORMER: HEW Audit Agency, Various Regional Offices

AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg.;
202-472-3160

PROGRAM(S) EVALUATED:

SSA-Disability Insurance

ABSTRACT: Four audits have been conducted on the Disability Determination programs covered by Title II of the Social Security Act. The audits reviewed the state agency's financial administration to determine if amounts claimed were allowable, allocable, reasonable and in accordance with applicable laws and regulations. They also reviewed the administrative policies and procedures and program controls such as the claims processing system and the quality assurance program. The audits made a number of recommendations in these areas including improvements to the financial management systems, the tightening of administrative procedures and controls and a closer adherence in some aspects of the program to existing regulations. Audits on this subject to date include 05-82200 (8 pp.), 01-72200 (40 pp.), 06-62200 (40 pp.) and 07-72200 (15 pp.).

DESCRIPTORS: State or Local Administration, Financial Management, Quality Assurance

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1115

PROJECT NO: Various

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 76-Not available, FY 77-Not available

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: January '76 through April '77

1262

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Audits of the Early and Periodic Screening
Diagnosis and Treatment Program

REPORT TITLE: Various

PERFORMER: HEW Audit Agency, Various Regional Offices

AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg.;
202-472-3160

PROGRAM(S) EVALUATED:

HCFA-Medicaid-EPSTD

ABSTRACT: Three audits have been performed on the Medicaid Early and Periodic Screening, Diagnosis and Treatment Program. The audits covered various aspects of the program including outreach, case management, program coordination, provision of screening and corrective services and state administrative policies and procedures.

Their findings resulted in recommendations to improve the outreach, management, personnel training and financial procedures of the program. Audits to date on this subject include 05-80202 (24 pp.), 05-70203 (16 pp.), 08-60201 (25 pp.).

DESCRIPTORS: State or Local Administration, Health Insurance and Financing, Preventive Health Care, Outreach and Referral, Health Care Delivery Systems

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1114

PROJECT NO: Various

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 75-Not available, FY 76-Not available, FY 77-Not available

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: June '75 through November '76

1263

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Audits of the Evaluation Process for Projects under Title I of the Elementary and Secondary Education Act of 1965

REPORT TITLE: Various

PERFORMER: HEW Audit Agency, Various Regional Offices

AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg;
202-472-3160

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-Grants for
Disadvantaged-LEAS

ABSTRACT: Four audits have been performed in several states to review the evaluation process for projects funded under Title I of the Elementary and Secondary Education Act of 1965. Major emphasis was placed on assessing the adequacy of practices and procedures for assuring that evaluations of Title I projects are effectively planned, designed and implemented and that evaluation results are adequately used. In one state the audit also included a review of the effectiveness of policies and procedures used in reviewing project applications and monitoring projects for program effectiveness. The audits indicated that the state agencies had adopted generally effective procedures and practices in response to Title I evaluation requirements. However, the audits recommended a variety of actions to improve the program evaluations including: stricter review of project applications including requirements for specific performance objectives or evaluation designs; documentation of changes brought about as a result of evaluations; dissemination of project activities information; objectivity of planning and evaluation of projects. Audits on this subject to date include 50399-01 (24 pp.), 50-36901 (18 pp.), 04-70008 (28 pp.) and 06-60002 (180 pp.).

DESCRIPTORS: Educationally Disadvantaged, State or Local Administration, Research and Evaluation Performance

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1119

PROJECT NO: Various

FUND SOURCE: Salaries and Expenses

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: EDC Only

CONTRACT NO: Not available

COST (In Thousands): FY 72-Not available, FY 73-Not available, FY 74-Not available, FY 75-Not available, FY 76-Not available, FY 77-Not available

PROJECT DESCRIPTIONS

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: April '72 through December '76

1264

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Audits of the Foster Care Program under AFDC Title IV of the Social Security Act

REPORT TITLE: Various

PERFORMER: HEW Audit Agency, Various Regional Offices

AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg;
202-472-3160

PROGRAM(S) EVALUATED:

HD-Social Services-Foster Care

ABSTRACT: Fifteen audits of the Foster Care program under AFDC have been conducted in a number of states. The audits reviewed a variety of aspects of the program including efficient and effective administration of the program, in the area of expenditure of Federal funds, adequacy of child care and determination of eligibility for children. They also reviewed various areas of program compliance such as appropriateness of rates paid to facilities and other monitoring or controlling activities. A variety of discrepancies were found in various states and appropriate recommendations were made. Audits to date on this subject include 03-70256 (26 pp.), 70277-09 (45 pp.), 70281-09 (13 pp.), 70272-09 (42 pp.), 01-70256 (20 pp.), 70264-09 (28 pp.), 04-70256 (27 pp.), 07-60252 (39 pp.), 05-60253 (17 pp.), 07-60251 (35 pp.), 08-60250 (22 pp.), 07-70257 (35 pp.), 05-80252 (18 pp.), 01-80258 (33 pp.) and 01-80255 (17 pp.).

DESCRIPTORS: Foster Care and Adoption, Eligibility Issues, State or Local Administration

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1109

PROJECT NO: Various

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 75-Not available, FY 76-Not available, FY 77-Not available

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: April '75 through June '77

1265

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Audits of the Handicapped Children Education Program Under Title VI-B of the Education of the Handicapped Act

REPORT TITLE: Various

PERFORMER: HEW Audit Agency, Various Regional Offices

AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg;
202-472-3160

PROGRAM(S) EVALUATED:

E-OE-Handicapped-State Assistance

ABSTRACT: Seven audits have been conducted to determine if the handicapped children education programs were being effectively administered. They covered a variety of program aspects including:

project monitoring, financial status reporting, state-level administrative functions, manpower resources and costs, and project management and evaluation. The audits demonstrated that Federal guidelines were not observed in regard to allocation of Federal funds, reporting requirements and project monitoring and approval. For example, in one state no local project evaluations were performed either by the state or local agency. Audits also reported the need of guidelines for equipment controls. The HEW Audit Agency made recommendations to correct these findings. Audits to date on this subject include 60011-09 (29 pp.), 07-70002 (36 pp.), 05-50098 (25 pp.), 05-70111 (16 pp., 10-70005 (22pp.), 05-70100 (130 pp.) and 07-70101 (75 pp.).

DESCRIPTORS: Compensatory Education, State or Local Administration, Case or Site Study Feature, Evaluation by State and Local Governments, Financial Management

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1101 **PROJECT NO:** Various

FUND SOURCE: Salaries and Expenses (S&E) **NTIS NO:** EDC Only

MECHANISM: In-house **ERIC NO:** EDC only

CONTRACT NO: Not available

COST (In Thousands): FY 74-Not available, FY 75-Not available, FY 76-Not available, FY 77-Not available

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: January '74 through December '76

1266

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Audits of the Operation of Public Assistance Programs under Title IV-A of the Social Security Act

REPORT TITLE: Various

PERFORMER: HEW Audit Agency, Various Regional Offices

AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg;
202-472-3160

PROGRAM(S) EVALUATED:

SSA-Assistance Payments-AFDC;
SSA-Assistance Payments-Child support Enforcement Aid

ABSTRACT: Six audits have been conducted to evaluate various areas of progr operations by states under Title IV of the Social Security Act. The audits include a review of various procedures of the AFDC program. The audits revealed that collection of overpayments under the AFDC Program was generally adequate. In one state the Quality Control aspects were generally effective. However, they also found in other states lack of controls over the purchase and delivery of inordinately high costs of employee training and in at least one state, an inadequate plan for obtaining child support payments from absent fathers and for establishing paternity for abandoned children. Appropriate recommendations were made. Audits to date on this subject include 08-70257 (7 pp.), 10-70251 (69 pp.), 50255-09 (16 pp.), 02-70262 (14 pp.), 70278-09 (18 pp.) and 05-70253 (10 pp.)

DESCRIPTORS: State or Local Administration, Income Maintenance, Evaluation by State and Local Governments

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1106 **PROJECT NO:** Various

FUND SOURCE: Salaries and Expenses (S&E) **NTIS NO:** EDC Only

MECHANISM: In-house **ERIC NO:** EDC Only

CONTRACT NO: Not available

COST (In Thousands): FY 74-Not available, FY 75-Not available, FY 76-Not available, FY 77-Not available

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: June '74 through June '77

1267

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Audits of the Title XVIII Health Insurance Program for the Aged and Disabled

REPORT TITLE: Various

PERFORMER: HEW Audit Agency, Various Regional Offices

AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg;
202-472-3160

PROGRAM(S) EVALUATED:

HCFA-Medicare-Hospital Insurance-Benefit Payments

ABSTRACT: Eight audits have been performed to evaluate the claim processing under Supplementary Health Insurance for the Aged and Disabled under the Medicare system. The primary goals of the audits were to determine the efficiency of program operations and assess the adequacy of administrative and management costs. The audits found that as a whole, the program was providing services as it was intended. However, a number of corrections and improvements were recommended in some of the states audited in the areas of fiscal procedures and controls, prevention of duplicate payments of claims and correct claims processing. Audits to date on this subject include 06-72004 (26 pp.), 03-72002 (12 pp.), 05-62604 (24 pp.), 02-62011 (13 pp.), 02-62013 (7 pp.), 08-62008 (6 pp.), 05-62100 (13 pp.) and 10-74900 (48 pp.).

DESCRIPTORS: Health Insurance and Financing, Auditing and Accounting, Financial Management

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1104 **PROJECT NO:** Various

FUND SOURCE: Salaries and Expenses (S&E) **NTIS NO:** EDC Only

MECHANISM: In-house **ERIC NO:** Not available

CONTRACT NO: Not available

COST (In Thousands): FY 75-Not available, FY 76-Not available, FY 77-Not available

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: January '75 through December '76

1268

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Audits of the Vocational Education Program

REPORT TITLE: Various

PERFORMER: HEW Audit Agency, Various Regional Offices

AGENCY CONTACT: Majka, Felix J.; Rm. 5713, HEW Bldg;
202-472-3160

PROGRAM(S) EVALUATED:

E-OE-Occupational & Adult Education-Vocational Grants

ABSTRACT: Nine audits have been performed to review the Vocational Education Program in several states. Several aspects of the program were assessed including program management operations such as job opportunities, projected manpower and student needs, training and placement of students, guidance counseling, and evalua-

tions of completed VE projects. They also reviewed administrative-management aspects such as control and allocation of funds, adherence to Federal requirements, planning and implementation of local projects. The audits reported that in most states improvements were needed in one or more of these areas although most state agencies have implemented the program effectively. Appropriate recommendations were made in each case. Audits to date on this subject include; 02-70103 (42 pp.), 06-70101 (25 pp.), 04-70103 (39 pp.), 05-60101 (31 pp.), 60102-03 (85 pp.), 10-60106 (42 pp.), 04-70100 (43 pp.), 01-60101 (39 pp.), and 05-70110 (45 pp.).

DESCRIPTORS: Career or Vocational Education, Labor Market Problems, Trends and Forecasts, Evaluation by State and Local Governments, Planning, Educational Administration

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1121

PROJECT NO: Various

FUND SOURCE: Salaries and Expenses

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: EDC Only

CONTRACT NO: Not available

COST (In Thousands): FY 75-Not available, FY 76-Not available, FY 77-Not available

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: May '75 through March '77

1269

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Audits of the Vocational Rehabilitation Program

REPORT TITLE: Various

PERFORMER: HEW Audit Agency, Various Regional Offices

AGENCY CONTACT: Majka, Felix J.; Rm. 5713 HEW Bldg;
202-472-3160

PROGRAM(S) EVALUATED:

HD-Vocational Rehabilitation-Basic State Grants

ABSTRACT: Three audits were performed on the Vocational Rehabilitation Program as administered by State Agencies in various states. The audits evaluated operating policies regarding financial and compliance requirements of the program, and analyzed the efficiency and economy of resource utilization. The audits revealed that: (a) certain cooperative VR programs did not meet Federal requirements for receiving funds; (b) cases closed as rehabilitated showed a large percentage of unemployment or underemployment; (c) numerous clients did not complete rehabilitation training; (d) little attention is given to the degree of disability; (e) effective controls were lacking in almost all areas of program management. In one case (Florida) the number of rehabilitations claimed was examined and found to be almost double the actual number of rehabilitations. This was due in large part to bureaucratic incentives to overstate performance. The audits recommended a stricter control by states in the adherence to Federal requirements and greater attention to effectiveness. Audits on this subject to date include 08-60302 (124 pp.), 04-70550 (33 pp.), and 06-70551 (29 pp.).

DESCRIPTORS: State or Local Administration, Handicapping Conditions and Problems, Counseling, Training and Employment of the Disadvantaged, Bureaucratic Behavior, Management Information and Reporting

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1130

PROJECT NO: Various

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: Not available

PROJECT DESCRIPTIONS

CONTRACT NO: Not available

COST (In Thousands): FY 75-Not available, FY 76-Not available, FY 77-Not available

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: August '74 through October '76

1270

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Audits of the Work Incentive Program

REPORT TITLE: Various

PERFORMER: HEW Audit Agency, Various Regional Offices

AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg;
202-472-3160

PROGRAM(S) EVALUATED:

HD-Work Incentives-Registration Callup & Placement

ABSTRACT: Two audits were performed in Michigan and the District of Columbia Departments of Social Services to review various aspects of the Work Incentive Program. In the District of Columbia the audit reviewed financial management activities for 1975 including appropriateness of claims for Federal matching funds, appropriateness of staff expenditures and an assessment of the reasonableness of non-Federal consultation. The audit showed discrepancies in the agency's claim for 90% FFP due to payments made to clients on inactive WIN Status, not in WIN Programs or salary costs inappropriately paid. In Michigan the audit reviewed costs and program procedures and controls in an effort to evaluate the effectiveness of registration, appraisal, certification and provision of services. The examination disclosed that the State Agency had not complied with Federal guidelines for identifying and reporting expenditures that qualify for FFP under the WIN Program. Appropriate recommendations were made in both audits including financial adjustments. Audits to date include 03-70276 (18 pp.) and 05-60300 (55 pp.).

DESCRIPTORS: State or Local Administration, Social Services Delivery Systems, Training and Employment of the Disadvantaged, Grant-in-Aid Formulas

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1112

PROJECT NO: Various

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 74-Not available, FY 75-Not available, FY 76-Not available, FY 77-Not available

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: December '74 through February '77

1271

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Audits of Title XX Social Service Programs

REPORT TITLE: Various

PERFORMER: HEW Audit Agency, Various Regional Offices

AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg;
202-472-3160

PROGRAM(S) EVALUATED:

HD-Social Services-Child Welfare Services

ABSTRACT: These five audits covered California, North Carolina, Nebraska, a New York City, and focused on Title XX administration, particularly contracting procedures for purchase of social services, claims processing, certification of client eligibility, and monitoring to assure that services paid for were actually provided. The audits found major problems in all these areas, including high costs of services provided due in two states to poor procedures in the purchase of services for providers, and in another state to insufficient staff and confusion over responsibility for monitoring and purchase. These problems do not permit adequate procedures, monitoring and control of provider services and cause excessive costs. The audits recommended corrective steps and in one case substantial reimbursements to the Federal government for erroneous payments. Audits on this subject to date include 50-116-02 (9 pp.), 70268-09 (61 pp.), 04-70303 (48 pp.), 07-60253 (68 pp.) and 06-70260 (21 pp.).

DESCRIPTORS: Supportive Services, Vouchers, State or Local Administration, Regulation and Standard Setting, Financial Management

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1103

PROJECT NO: Various

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 75-Not available, FY 76-Not available, FY 77-Not available

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: January '75 through April '77

1272

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Report on Audits of the Library Services and Construction Act Programs

REPORT TITLE: Various

PERFORMER: HEW Audit Agency, Various Regional Offices

AGENCY CONTACT: Majka, Felix J.; Rm. 5713, HEW Bldg., 202-472-3160

PROGRAM(S) EVALUATED:

E-OE-Library Resources-Public Libraries

ABSTRACT: Two audits have been performed to assess the adequacy of administrative controls under programs of the Library Services Construction Act. The audits were concerned with grants to local libraries, program account and expenditure reporting, fund transfers and matching funds. Some deficiencies were noted and recommendations for improvement were made regarding financial and reporting procedures, and state supervision over grants to local libraries. Audits on this subject to date include: 05-50121 (23 pp.) and 08-50121 (16 pp.).

DESCRIPTORS: State or Local Administration, Library Services, Financial Management

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1125

PROJECT NO: Various

FUND SOURCE: Salaries and Expenses

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: EDC Only

CONTRACT NO: Not available

COST (In Thousands): FY 75-Not available, FY 76-Not available, FY 76.1-Not available

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: September '74 through August '76

1273

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Review of Audits of Administrative Costs under the Public Assistance Programs

REPORT TITLE: Various

PERFORMER: HEW Audit Agency, Various Regional Offices

AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg; 202-472-3160

PROGRAM(S) EVALUATED:

SSA-Assistance Payments-State & Local Administration;
HD-Social Services

ABSTRACT: Seven audits have been conducted to evaluate administrative costs claimed by state welfare agencies under Public Assistance Programs of the Social Security Act. In some states the audits discovered problem areas in both costs claimed and cost allocation plans and procedures. In at least two states, the audits discovered inadequate funding allocations for staffing and equipment purchases. Appropriate recommendations were made to remedy these areas in each of the audits. Audits to date on this subject include 01-60255 (18 pp.), 04-70254 (28 pp.), 08-70255 (20 pp.), 04-70250 (36 pp.), 04-70251 (31 pp.), 05-70258 (38 pp.) and 07-80254 (35 pp.).

DESCRIPTORS: State or Local Administration, Financial Management, Income Maintenance, Social Services Delivery Systems

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1105

PROJECT NO: Various

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 75-Not available, FY 76-Not available, FY 77-Not available

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: February '75 through April '77

1274

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Review of Audits of HEW's Participation in the Cost of Administering the U.S. Department of Agriculture Food Stamp Program

REPORT TITLE: Various

PERFORMER: HEW Audit Agency, Various Regional Offices

AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg; 202-472-3160

PROGRAM(S) EVALUATED:

SSA-Assistance Payments-State & Local Administration
V-Non-HEW Programs-Income Security

ABSTRACT: Four audits have been performed of administrative costs allocated to HEW for the USDA Food Stamp Programs. The audits reviewed the state agencies' cost allocation plans, procedures, ac-

counting systems, allocating plans for PA and non-PA food programs and allocation of administrative costs. The state agencies' cost allocation procedures and instructions to county agencies for claiming operating costs for the PA and food programs were considered adequate except for the allocation of joint welfare workers' costs, the direct charging of program cost and some misallocation of administrative costs. Appropriate recommendations were made for each audit including financial adjustments where required. Audits on this subject to date include 05-80207 (35 pp.), 04-70252 (13 pp.), 06-70254 (13 pp.) and 06-70258 (15 pp.).

DESCRIPTORS: Food and Nutrition, State or Local Administration, Financial Management

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1113

PROJECT NO: Various

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 76-Not available, FY 77-Not available

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: August '75 through January '77

1275

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Audit of Section 218 of the Social Security Act as Administered by the New Hampshire Division of Welfare

REPORT TITLE: Review of Section 218 of the Social Security Act Administered by the New Hampshire Division of Welfare

PERFORMER: HEW Audit Agency, Region I

AGENCY CONTACT: Majka, Felix J.; Rm 5713, HEW Bldg.; 202-472-3160

PROGRAM(S) EVALUATED:

SSA-Old Age & Survivors Insurance-Program Direction & Management

ABSTRACT: This audit evaluated the accuracy of contribution deposits and amounts withheld from employee wages for 1974 and 1975 by New Hampshire's Department of Health and Welfare. A review was made of local entities to determine if records were being properly maintained and if employee and employer contributions to the insurance system were computed accurately. Consideration was also given to the correction of reporting errors and to underpayment adjustments. It was determined from the audit that employee and employer contributions totaling \$67.3 million were generally computed correctly and deposited with the Federal Reserve Bank in a timely manner. The department had not, however taken sufficient action to correct errors reported to them. The errors involved \$10.8 million for the period between 1956 and 1976. It was estimated that the department avoided about \$1,600 in interest charges for 1975 by changing dates on underpayment adjustment reports. (Final report 15 pp.).

DESCRIPTORS: Taxation, Pensions

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1120

PROJECT NO: Audit 01-72608

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 77-Not available

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: August '76 through December '76

1276

AGENCY SPONSOR: Office of the Secretary
Office of the Inspector General

PROJECT TITLE: Review of Selected Aspects of Program and Fiscal Management of Grants for Strengthening State Departments of Education ESEA Title V

REPORT TITLE: Review of Selected Aspects of Program and Fiscal Management of Grants for Strengthening State Departments of Education ESEA Title V

PERFORMER: HEW Audit Agency; Region IV

AGENCY CONTACT: Trefzger, Emil A. Jr.; Regional Audit Director; 404-526-3587

PROGRAM(S) EVALUATED:

E-OE-Elementary & Secondary-Support & Innovation-Strengthening Departments

ABSTRACT: The administration of grants under Title V of the Elementary and Secondary Education Act by the North Carolina Department of Public Instruction was audited for fiscal years 1973-1975. The audit focused on the use of Title V funds to influence Federal legislation, the evaluation and reporting of project accomplishments and the expenditure of funds under Title V. A detailed review was conducted of three Section 503A projects and one section 505 project. The review of the three Section 503A projects indicated a need for the State Department of Public Instruction to improve management procedures for the Title V program. Most activities related to program and fiscal management within the department complied with Federal Laws and regulations and were designed to strengthen the State Department of Education. The audit disclosed a need for improved control in the area of applications for significant changes in project scope or project budgets. The response of the North Carolina Department of Public Instruction to the audit is appended, and areas of agreement and disagreement with the findings and recommendations of the audit are noted. (Final report 28 pp.).

DESCRIPTORS: State or Local Administration, Elementary and Secondary Students, Federal Administration

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1131

PROJECT NO: Audit 04-600010

FUND SOURCE: Salaries and Expenses (S&E)

NTIS NO: EDC Only

MECHANISM: In-house

ERIC NO: Not available

CONTRACT NO: Not available

COST (In Thousands): FY 75-Not available

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: July '75 through August '76

OTHER OS AGENCIES

1277

AGENCY SPONSOR: Office of the Secretary
Other OS Agencies**PROJECT TITLE:** Community Participation in Management
Facilities for the Mentally Retarded**REPORT TITLE:** Community Participation in Management Facilities
for the Mentally Retarded**PERFORMER:** Office of the Secretary; Department of Health,
Education, and Welfare; Washington, D.C. 20201**AGENCY CONTACT:** Not yet determined**PROGRAM(S) EVALUATED:**PHS-ADAMHA-Mental Health-Community Programs &
Centers

ABSTRACT: This document is the final report of a detailed analysis of sample mental retardation facilities' implementation of regulations concerning community involvement in these agencies. Some major findings: Public agencies tend, by definition to be established by legislation and may be superimposed upon a community with little or no participation. Conversely, private agencies tend to be established by involved and participating community groups. Administrators generally assigned top priority to agency maintenance functions and tended to see community participation as relating to the smooth operation of their agency rather than as liaison communication for its own sake. Failure to assess both function and representation both separately and in relation to one another can lead to a false picture of community participation with those agencies where modification or addition of community boards is attributed to the intent to implement P.L. 88-164. In practice, such modifications or additions may result in mere tokenism rather than meaningful community involvement. (Final Report 319 pages.)

DESCRIPTORS: Survey or Questionnaire Feature, State or Local Administration, Citizen Participation, Health Care Delivery Systems, Handicapping Conditions and Problems, Mental Health
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0363**PROJECT NO:** OS 71-1**FUND SOURCE:** Evaluation**NTIS NO:** PB 222-324**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO.:** HEW-OS-70-151**COST (In Thousands):** FY 70-Not available**PROJECT STATUS:** Study completed,

Available from EDC,

Available from NTIS

START/END DATES: April '70 through May '71

1278

AGENCY SPONSOR: Office of the Secretary
Other OS Agencies**PROJECT TITLE:****CONTRACT NO:** HEW Funding of Model Cities Program Not yet
determined**REPORT TITLE:** The Model Cities Earmarking Process in the
Department of Health, Education and Welfare**PERFORMER:** Marshall Kaplan; Gans, and Kahn; 426 Pacific
Avenue; San Francisco, CA**AGENCY CONTACT:** Not yet determined**PROGRAM(S) EVALUATED:**

V-Many HEW Programs-Demonstrations & Experiments

ABSTRACT: This study evaluates the process by which funds from various HEW discretionary grant programs were reserved for programming in conjunction with local model cities programs. The focus of the study is on the earmarking process as it was designed and implemented by HEW in FY 1970. However, to place that effort in proper perspective, the history of earmarking by HEW and the federal government in general is traced from the beginning of the Model Cities Program in 1966. Evaluation was based on the objectives of the Model Cities program and those sought by HEW through the earmarking process. In 1970, \$54 million in 38 programs was reserved for model cities and \$47 million credited against net amount. A center for Community Planning was created in the office of the Secretary to coordinate the earmarking activity. The report concludes with an evaluation of FY 1970 earmarking and recommendations for FY 1971. (Final report 188 pages)

DESCRIPTORS: Discretionary and Project Grant Performance, Federal Administration, Demonstration Projects, Program Coordination
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0430**PROJECT NO:** OS 70-02**FUND SOURCE:** Evaluation**NTIS NO:** PB 247-683**MECHANISM:** Contract**ERIC NO:** Not available**COST (In Thousands):** FY 70-Not available**PROJECT STATUS:** Study completed,

Executive summary at EDC,

Available from NTIS

START/END DATES: January '70 through September '70

1279

AGENCY SPONSOR: Office of the Secretary
Other OS Agencies**PROJECT TITLE:** Toward an Analysis of Federal Rehabilitation
Policy**REPORT TITLE:** Toward an Analysis of Federal Rehabilitation
Policy**PERFORMER:** Institute for Interdisciplinary Studies; 123 East
Grant Street; Minneapolis, Minnesota 55403**AGENCY CONTACT:** Not yet determined**PROGRAM(S) EVALUATED:**

HD-Vocational Rehabilitation;

SSA-Supplemental Security Income-Vocational

Rehabilitation Services;

E-OE-Handicapped

ABSTRACT: The purpose of this report was to review the effect of existing HEW rehabilitation policy. The report evaluates the performance of existing rehabilitation programs, provides a framework for the analysis of expanded program roles, and discusses an integrated social services program. The report found that there exists an "astounding lack of reliable data and knowledge" on both the operation and impact of rehabilitation programs. The data that is available suggests that the program is successful but that only a fraction of those eligible are served. The report recommended that services be more specifically targeted, that effective management and data systems need to be developed, and that a more explicit statement of program objectives in terms of outcomes is needed. (Final report 450 pages)

DESCRIPTORS: Handicapping Conditions and Problems, Research and Evaluation Planning and Administration, Research and Evaluation Performance, Feasibility Study Feature, Multiservice Center

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0404 **PROJECT NO:** OS-71-2
FUND SOURCE: Evaluation **NTIS NO:** PB 246-761,762
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-OS-71-57
COST (In Thousands): FY 71-Not available
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: January '71 through January '73

REGIONS

1280

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Adolescent Health Care in Michigan

REPORT TITLE: Adolescent Health Care in Michigan
PERFORMER: Michigan State Dept. of Public Health; Shelia Ward
AGENCY CONTACT: Dan Larsen; Region V; 312-353-2597
PROGRAM(S) EVALUATED:
V-Many HEW Programs-Health

ABSTRACT: This study analyzes on a state-wide basis in Michigan the health problems of adolescents and health services and programs now directed towards adolescents. It also surveys the attitudes of providers clients, and others towards present and potential approaches toward adolescent health care. In addition the study will examine present adolescent health care in-depth in one local county in Michigan and suggest care. It will also identify needed changes in program policies and regulations.

DESCRIPTORS: Children and Youth, Comprehensive Health Care, Health Care Delivery Systems, Survey or Questionnaire Feature, Social Attitudes and Public Opinion

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1069 **PROJECT NO:** Reg. V-77-01
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Personal Services **ERIC NO:** Not available
CONTRACT NO: Not available
COST (In Thousands): FY 77-\$8
PROJECT STATUS: Study in process
START/END DATES: April '77 through February '78

PROJECT DESCRIPTIONS

1281

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: A Proposal to Evaluate, Make Recommendations and Help Institute Appropriate Internal Medical Quality Reviews in Selected HEW HEW Region X Grantee Organizations

REPORT TITLE:
PERFORMER: JSI/Management Sciences for Health; 141 Tremont Street, Boston, Massachusetts 02111

AGENCY CONTACT:
PROGRAM(S) EVALUATED:

ABSTRACT: To be written (see final report).

DESCRIPTORS:
EVALUATION TYPE:

EDC ID NO: 1100 **PROJECT NO:** Reg X 76-03
FUND SOURCE: **NTIS NO:**
MECHANISM: Contract **ERIC NO:**
CONTRACT NO: 290-76-0012
COST (In Thousands): FY
PROJECT STATUS:
START/END DATES: through October '78

1282

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Review of Effectiveness of A-95 Review Process as Applied to HEW Grant Programs

REPORT TITLE: The A-95 Review and Comment Process as Applied to HEW Programs in New England
PERFORMER: Arthur D. Little; Acorn Place; Cambridge, Ma.
AGENCY CONTACT: Opel; HEW Region I
PROGRAM(S) EVALUATED:
V-Many HEW Programs-Grants in-Aid

ABSTRACT: The OMB Circular A-95 describes procedures to be followed by federal, State and local governments and agencies in reviewing federal assistance program applications. The purpose of these procedures is to increase program coordination, facilitate information flow among agencies, and give local general purpose governments influence over federal spending within their jurisdictions. The work was initiated as a part of the general-purpose government capacity build agenda of HEW's New England Regional Office. The study team focused on developing a thorough description of the review and commitment procedures implemented by the clearinghouses, and on collecting and analyzing statistics or project applications and state plans. The study offers 11 major findings; mostly dealing with problems of information, communication, planning, cooperation etc. The 22 recommendations are grouped according to the participants who are best able to implement the recommendations: OMB FRC, HEW Regional office, State clearinghouses, area-wide clearinghouses, and local chief executives. (Final report 75 pages).

DESCRIPTORS: Grant and Contract Management, Grants-in-Aid, Program Coordination, Federal State and Local Relations, Planning

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0465 **PROJECT NO:** CC/Reg I 74-1-3
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-RD-17404
COST (In Thousands): FY 74-\$59
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC
START/END DATES: June '74 through April '75

1283

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Analysis of Federal State/Certification Activities
for Long Term Care Facilities

REPORT TITLE: Analysis of Federal State/Certification Activities
for Long Term Care Facilities

PERFORMER: Abt Associates; 55 Wheeler Street; Cambridge,
Massachusetts

AGENCY CONTACT: Sullivan, Tom; Region I; Boston, Mass;
617-223-3698

PROGRAM(S) EVALUATED:
HCFA-Quality Assurance

ABSTRACT: Long-term Survey/Certification activities as carried out in the six States of Region I of DHEW were documented and compared with a view toward improving the monitoring and managing functions of the Regional Office. There is a great deal of diversity in the way the six States (Connecticut, Mass., Rhode Island, New Hampshire, Vermont, and Maine) carry out the Survey/Certification functions in each State. The study investigated the environment in each state (i.e., the State's needs and available resources); the actual process used in individual States, with attention to team composition, consultant use, level and frequency of visits; cross-State differences and consistencies; problems and issues associated with the standards and their application; and mechanisms for improving the standards. Short-term recommendations pertain to the process and personnel; Regional Office/State communications; and budgeting and management. Long-term suggestions concern such matters as grading elements of standards on the basis of their contribution to the standard as a whole and to creation of a task force to explore the question of what broader quality assurance effort is needed. (Final report 193 pages.)

DESCRIPTORS: Survey or Questionnaire Feature, Regulation and Standard Setting, Certification and Licensing, Accreditation of Institutions, Nursing Homes, Long Term Health Care, Elderly, Quality Assurance, Federal Administration, State or Local Administration
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0500 **PROJECT NO:** Reg I 75-7
FUND SOURCE: Evaluation **NTIS NO:** SHR-0001890
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: HEW-RD-74-05
COST (In Thousands): FY 74-\$30
PROJECT STATUS: Study completed,
 Available from EDC,
 Available from NTIS
START/END DATES: June '74 through April '75

1284

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Analysis of the Distribution Process and Use of
Office of Education Special Project Grants by Region V
Local Education Agencies

REPORT TITLE: Analysis of the Distribution Process and Use of
Office of Education Special Project Grants by Region V

PERFORMER: Minnesota Systems Research Inc.; 2412 University
Avenue; Minneapolis, MN 55414

AGENCY CONTACT: Hendricks, Michael; HEW Region VI;
Chicago, ILL. 312-886-5191

PROGRAM(S) EVALUATED:
E-OE-Special Projects & Training

ABSTRACT: The distribution and use of Special Project Grants (SPG's) to more than 3,000 local education agencies in HEW Region V were studied, with emphasis on the application development process, review and approval process, and use of funds/monitoring process of SPG programs for the 1974-75 school year. Five SPG programs were studied to provide information on the variability of program management procedures and SPG guidelines among national, regional, and state levels of education program administration. Each SPG program office was visited to determine how program personnel managed the application development process, the review and approval process, and use of the monitoring process. It was found that almost all SPG offices made systematic attempts to distribute information about the program to all eligible applicants. The distribution of information generally followed three sequential steps: notification in the Federal Register; informal letters, notices, and newsletters; and workshops, meetings, and conferences. The review and approval process is usually comprised of two major reviews by staff and panel. The office to which applications are made monitors the projects. Monitoring is conducted on all programs by site visits and progress reports. (Final report 198 pages.)

DESCRIPTORS: Educational Administration, Federal Administration, Grant and Contract Management

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0480 **PROJECT NO:** REG V 76-01
FUND SOURCE: Evaluation **NTIS NO:** SHR-1355
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 150-76-0001
COST (In Thousands): FY 76-\$54
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS
START/END DATES: August '75 through October '76

1285

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: An Evaluation of Planning Activities as
Conducted by Personnel of HEW Region VI

REPORT TITLE: Approach to the Evaluation of Planning

PERFORMER: Texas Univ. at Arlington, Texas; Institute of Urban
Studies

AGENCY CONTACT: Dumas, Neil; HEW Region VI

PROGRAM(S) EVALUATED:
DM-General Departmental Management-Operations

ABSTRACT: An approach to the evaluation of planning activities undertaken by program managers and project officers in DHEW Region VI focuses on the evaluation of organizational efficiency via a framework for determining the knowledge and use of planning by program managers and project officers. It is assumed that planning is an essential managerial function or activity, and the premise to be tested is whether knowledge be used as a predictor of performance for program managers and project officers in terms of their efficiency in carrying out program activities. Emphasis is placed upon knowledge of program regulations and operations, DHEW Operational Planning System (OPS), planning models and techniques for possible application in the region, basic levels of planning, factors involved in feasibility testing of a plan, information systems, scheduling, financial accounting procedures, control in management, and project evaluation. The study compares different types and levels of planning including PPBS and MBO. Program evaluation is discussed with particular attention given to the measurement of dependent and independent variables, data collection, and data analysis. The study finds that regional managers are generally unsophisticated about approaches to planning and have ambivalent feelings towards current systems. (Final report 99 pages).

DESCRIPTORS: Management Techniques, Federal Administration, Planning, Bibliography Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0532 **PROJECT NO:** Reg VI 75-1
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: VI-75-3

COST (In Thousands): FY 75-\$3
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC
START/END DATES: July '75 through October '75

1286

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Region V Evaluation Planning Approach
Assessment

REPORT TITLE: Assessment of an Approach to Evaluation
Planning in Region V

PERFORMER: Urban Systems Research & Engineering, Inc.; 1218
Massachusetts Avenue; Cambridge, Mass 02138; Joseph
Weber 661-1550

AGENCY CONTACT: Hendricks, Michael; HEW Region V;
312-886-5191

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-Maternal & Child
Health-Grants

ABSTRACT: The study's principal focus involved assessing the approach in terms of: feasibility--what level of resources (staff, funds, time, materials) are required for such evaluation efforts; and replicability--to what extent can the approach be replicated in efforts involving other HEW programs. Of particular interest to Regional Planning and Evaluation staff was the application of the approach to the Maternal and Child Health (MCH) program. Two major study objectives were: (1) to produce a full model of the MCH program which could assess program performance; and (2) to draw from the experience inferences which would assist Planning and Evaluation staff in future efforts. Relevant documents were reviewed and knowledgeable staff we interviewed at Regional, State and local levels to develop a model of the M program. Major program objectives were determined for each level. Develop a descriptive model of a pro-

gram's operation (MCH) is, in and of itself, a valuable exercise. Re-stating objectives in terms of outcome, output and process prods program managers into re-examining their perceptions of the program. Care must be taken to consider different perspectives of any program which varies by level of government. Information for evaluation and for developing descriptive model can best be collected in a top-down sequence, moving from regional to State and local levels, and from the general to the specific. (Final report 323 pages.)

DESCRIPTORS: Research and Evaluation Methods Feature, Research and Evaluation Planning and Administration

EVALUATION TYPE: Research and Data Development, Support

EDC ID NO: 1311 **PROJECT NO:** Reg V 76-01
FUND SOURCE: Evaluation **NTIS NO:** PB 296-746-47
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 150-76-0022

COST (In Thousands): FY 77-\$42
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: October '76 through September '77

1287

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: An Assessment of the Barriers to Regional
Compliance with Federal Interagency Day Care
Requirements

REPORT TITLE: An Assessment of Barriers to Compliance with
Federal Interagency Day Care Requirements in Region V

PERFORMER: UNCO, Inc; 1501 Wilson Blvd; Arlington, Virginia
AGENCY CONTACT: Larsen, Dan; HEW Region V; 312-353-1839

PROGRAM(S) EVALUATED:
HD-Social Services-Child Care;
HD-Work Incentives-Child Care & Supportive Services

ABSTRACT: The difficulty which HEW has in monitoring State compliance with Federal Interagency Day Care Requirements (FIDCR) has been recognized in Region V as a problem for several years. This study, therefore, was designed to assess existing conditions in Region V and to identify principle barriers or constraints which would effect an effort to implement and enforce the FIDCR. Such barriers were categorized as barriers in the environment, in the system, and in the requirements. The study concludes that there are no final official program regulations, audit guides, or monitoring guides, according to which State or Federal officials can determine compliance. The environment surrounding the FIDCR is a major contributor to this situation. Agency authority and responsibility at the State and Federal level is generally unclear. The roles of SRS/PSA and OCD are often confused. There does not appear to be a commitment to enforcement. (Final report 166 pages).

DESCRIPTORS: Child Care, Regulation and Standard Setting, State or Local Administration, Federal State and Local Relations

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0522 **PROJECT NO:** Reg V 75-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 150-75-0006

COST (In Thousands): FY 76-\$103

PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: July '75 through October '76

1288

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Determining the Effectiveness of Basic
Educational Opportunity Grants

REPORT TITLE: An Assessment of HEW Student Financial
Assistance Programs: Survey of Presidents of Post-Secondary
Institutions in Region VIII

PERFORMER: Elmer Fox and Co.; 1660 Lincoln St.; Denver,
Colo. 80203

AGENCY CONTACT: Minear, Dr. Leon; HEW Region VIII;
303-837-3544

PROGRAM(S) EVALUATED:
E-OE-Higher Education-Student Assistance

ABSTRACT: A survey of all presidents of postsecondary educational institutions in HEW Region VIII was conducted to determine their perceptions of five DHEW student assistance programs: the National Direct Student Loan Program (NDSL), the Supplementary Educational Opportunity Grant Program (SEOG), the College Work-Study Program (CWSP), the Basic Educational Opportunity Grant Program (BEOG), and the Guaranteed Student Loan Program (GSL). The survey document was an open-ended letter requesting suggestions concerning the workings of the student assistance programs. The responses predictably endorsed increased spending by the Federal government: the low interest rates, long term payback, and relative ease of process made the NDSL program attractive but complicated cancellation and repayment provisions added to the administrative complexity of NDSL; SEOG was generally considered easy to administer, and it was suggested that the funding level be increased; most schools felt that CWSP was the most valuable and justifiable of all Federal aid programs and wanted additional funds, increases in the administrative allowance, and increases in the per hour wage limit. The majority valued BEOG, but opinions of GSL tended toward the negative. (Final report 49 pages).

DESCRIPTORS: Higher Education, Scholarships Fellowships and Loans, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0576 **PROJECT NO:** Reg VIII 75-3
FUND SOURCE: Evaluation **NTIS NO:** SHR-0001349
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 180-75-0015

COST (In Thousands): FY 75-\$8
PROJECT STATUS: Study in process,
Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: February '75 through May '75

1289

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Assessment of Impact of Citizen Advisory
Structures on Title XX Planning and Decision Making

REPORT TITLE: Not yet determined
PERFORMER: Connecticut Association of Human Services
AGENCY CONTACT: Steve Grossbard; Region I; 617-223-6503
PROGRAM(S) EVALUATED:
V-Many HEW Programs-Social Services;
V-Many HEW Programs-Planning & Evaluation

ABSTRACT: This study will address the use and effectiveness of Citizen Advisory Structures on Title XX Planning Program Development and Evaluation. It will assess the impact and effectiveness of this type of citizen participation and involvement in the state of Connecticut.

DESCRIPTORS: Citizen Participation, Planning, Research and Evaluation Planning and Administration, State or Local Government
EVALUATION TYPE: Project Place or Technique

EDC ID NO: 1068 **PROJECT NO:** Region I 77-4
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Purchase Order **ERIC NO:** Not available
CONTRACT NO: PO-RCO-1-77-05
COST (In Thousands): FY 77-\$4
PROJECT STATUS: Study in process
START/END DATES: June '77 through April '78

1290

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Assessment of Impact of Deinstitutionalization on
Long Term Patients

REPORT TITLE: Not yet determined
PERFORMER: Taunton Area Associates; Taunton, MA
AGENCY CONTACT: Steve Grossbard; Region I; 617-223-6503
PROGRAM(S) EVALUATED:
HCFA-Medicaid-Long Term Care

ABSTRACT: This study will provide a technical assistance document summarizing the work plan, the alternatives considered and the steps taken in the closing of an inpatient unit of a state hospital. Barriers will be assessed and solutions used to overcome them will be described. It will also analyze types of community placements and the amounts and kinds of follow-up services provided for long term patients and an assessment of a halfway house approach to caring for deinstitutionalized individuals. The information obtained will be used for informational purposes and possible replication elsewhere in the region.

DESCRIPTORS: Mental Health, Deinstitutionalization, Long Term Health Care, Technical Assistance
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1067 **PROJECT NO:** Region I-77-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Purchase Order **ERIC NO:** Not available
CONTRACT NO: PO-RCO-1-77-08
COST (In Thousands): FY 77-\$5
PROJECT STATUS: Study in process
START/END DATES: -June '77 through -March '78

1291

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Assessment of Impact of Human Service
Programs on Rural General Purpose Governments

REPORT TITLE: Assessment of Impact of Human Service Programs
on Rural General Purpose Governments

PERFORMER: Maine Municipal Association

AGENCY CONTACT: Steve Grossbard; OPRO Region I;
617-223-6503

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Social Services

ABSTRACT: This study is designed to gather information on the difficulties faced by rural areas in responding to a fragmented Human Service delivery system. It is intended to improve service planning and delivery in rural areas.

DESCRIPTORS: Social Services Delivery Systems, Planning, Urban or Rural Places or Problems, Program Coordination

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1066

PROJECT NO: Reg I-77-2

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Purchase of
Service

ERIC NO:

CONTRACT NO: 805 PO-RCO-1-77-07

COST (In Thousands): FY-\$4

PROJECT STATUS: Study in process

START/END DATES: June '77 through June '78

grams. Recommendations are offered for improvement in reviewing and monitoring methods and techniques, understanding of these methods and techniques, and a model and examples for accomplishing the tasks and products in the process of planning. (Final report 152 pages).

DESCRIPTORS: Survey or Questionnaire Feature, Federal Administration, Grant and Contract Management, Planning, Health Planning
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0531

PROJECT NO: Reg III 75-1

FUND SOURCE: Evaluation

NTIS NO: PB 259-790

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: PHS-R03-2940

COST (In Thousands): FY 75-\$5

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS

START/END DATES: June '75 through December '75

1293

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Assessment of Resident Needs and the Quality of
Care Provided by Intermediate Care Facilities in Region V

REPORT TITLE: Not yet determined

PERFORMER: Applied Management Sciences; 962 Wayne Ave;
Silver Spring, Md.

AGENCY CONTACT: Halperin, Libby; ORD, Region V;
312-353-1839

PROGRAM(S) EVALUATED:

HCFA-Medicaid-Long Term Care

ABSTRACT: This study will: 1) analyze the medical, social, psychological and emotional care needs of residents of Intermediate Care Facilities (ICFs) in Region V States; 2) describe the quality of the psychosocial environment, physical plant and professional and personal care services in Region V ICFs; 3) analyze whether resident needs are being met by ICFs and the strengths and weaknesses of ICFs in meeting those needs; compare the needs of residents as indicated by the study with the areas covered by HEW ICF regulations; and 5) develop recommendations concerning ways of making ICF regulations more responsive to resident needs.

DESCRIPTORS: Nursing Homes, Needs and Needs Assessment, Long Term Health Care

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0657

PROJECT NO: Reg V-75-2

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 150-75-0005

COST (In Thousands): FY 75-\$180

PROJECT STATUS: Study in process

START/END DATES: October '75 through September '76

1294

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: An Assessment of the Intermediate Care Facility
Program in Region V

PROJECT DESCRIPTIONS

ABSTRACT: Five HEW State plan programs (aging, alcohol, Title XX, vocational rehabilitation, and maternal and child health) were reviewed to assess the review process employed at the Federal regional level and the use of State plans by reviewing Federal agencies. A survey of regional office personnel was conducted to diagnose the perceived uselessness of plans. It was found that sets of review and monitoring criteria are being used for State plan management in each of the five programs. The criteria are strongest with respect to legal assurances about fiscal integrity and personnel practices and weakest in their incorporation of accountability concepts. Performance concepts are rarely seen or required. There is a lack of generally applicable and substantially documented techniques, methods, and examples to be used as source materials for planning, management, and evaluation of State plans. Explicit requirements for a well developed and documented process of planning are found to vary between pro-

REPORT TITLE: An Assessment of the Intermediate Care Facility Program in Region V

PERFORMER: Medicus Systems Corporation; Chicago, Illinois 60612

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources;

PHS-HRA-Health Resources-Regional Medical Programs;

PHS-HRA-Health Resources-Program Direction & Management

ABSTRACT: This study developed a data collection plan and survey guide for assessing the Intermediate Care Facilities (ICF) Program in Region V. It also discusses the issues involved in the implementation of an ICF program and analyzes state operation and implementation procedures. The agency survey guide is documented in Appendix A, and individual ICF program survey reports for Illinois, Indiana, Michigan, Minnesota, Ohio, and Wisconsin can be found in Appendix B. (Final report 130 pages.)

DESCRIPTORS: Statistical Analysis Methods Feature, Literature Review Feature, Health Care Delivery Systems, Facilities, Planning

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0400

PROJECT NO: Reg V-74-4

FUND SOURCE: Evaluation

NTIS NO: PB-245-814

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-5-74-0018

COST (In Thousands): FY 74-Not available

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS

START/END DATES: June '74 through December '74

1295

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Need Assessment of Street Projects for the Spanish Speaking

REPORT TITLE: Assessment of the Principle Needs of the Spanish Speaking in Chicago (Vol. I) and Evaluation of Street Projects for the Spanish-Speaking in Region V, (Vol. II)

PERFORMER: Vasquez Associates, Ltd.; 1744 North Farwell Ave.; Milwaukee, Wis 53202

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Social Services

ABSTRACT: Volume I of this report is devoted to the determination of health education and social welfare service needs of the spanish-speaking in Chicago. Volume I profiles the "typical" spanish-speaking client of HEW-supported projects and programs in Chicago as one who came to Chicago from Puerto Rico, Mexico or Central or South America, who is poorly educated, and generally unemployable, whose major concern is finances, who needs English language instruction, and who has difficulty receiving services because they are too expensive. Services are usually managed by non latinos and they are often "outside" the neighborhood. Recommendations include expansion of services, increased Spanish-speaking staff from agencies, and emphasis on the educational problems which exist. Volume II focuses on nine street projects for the Spanish speaking and is intended to provide measures of operational effectiveness and to evaluate the relative impact of project services on service recipients. Results were intended to assist HEW in considering whether certain projects should be continued or discontinued. The study

found that the project's goals and objectives were not clearly defined so it was impossible to determine the extent to which the projects were meeting them, and overall, the street projects were ineffective in developing non-HEW support for program expansions. (Final Report 238 pages.)

DESCRIPTORS: Minority Groups, Non English Speaking, Health Care Delivery Systems, Educationally Disadvantaged, Access to Services, Immigrants and Refugees, Case or Site Study Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0415

PROJECT NO: REG V-73-1

FUND SOURCE: Evaluation

NTIS NO: PB 246-573,574

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-5-73-0101

COST (In Thousands): FY 73-Not available

PROJECT STATUS: Study completed,

Available from EDC,

Available from NTIS

START/END DATES: June '73 through September '74

1296

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Assessment of the Role of Urban Indian Centers in Providing Human Services to Urban Indians

REPORT TITLE: Assessment of the Role of Urban Indian Centers in Providing Human Services to Urban Indians

PERFORMER: Tribal American Consulting Corp; 4735 East

Slauon; Maywood, Calif; James K. Greycloud

AGENCY CONTACT: Larsen, Dan; HEW Region V; 312-353-1839

PROGRAM(S) EVALUATED:

HD-Native Americans Program

ABSTRACT: The role of selected centers in Region V providing services to urban Indians is examined. Data were collected from three classes of human service providers: Office of Native American Programs (ONAP) grantee, non-ONAP Indian organizations, and non-Indian human service delivery agencies. Interviews were conducted at each site for four to five days. It was found that the direct provision of services at the sites visited did not interfere with planning and coordination which could result in more efficient delivery of services; delivery of services to urban Indians is impaired by conflicting rules, regulations, and procedures; communities vary in their readiness to implement coordination of services; lack of control of service delivery resources limits the ability of ONAP grantees to influence service delivery to urban Indians; and delivery of services to urban Indians is impaired by the political factionalism of Indian organizations. Recommendations are offered to improve the availability of services to Indians in urban areas including, particularly, reducing the charter of ONAP grantees from planning, and coordinating all services to facilitating the delivery of services to Indians; and improvement of ONAP knowledge of local condition prior to selection of grantees and better TA afterwards. (Final report 115 pages plus summary of 10 pages.)

DESCRIPTORS: Native Americans, Urban or Rural Places or Problems, Grant and Contract Management, Survey or Questionnaire Feature, Discretionary and Project Grant Performance

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0523

PROJECT NO: Reg V 75-1

FUND SOURCE: Evaluation

NTIS NO: PB 259-737

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 150-75-R007

**Office of the Secretary
Regions**

Accession No. 1299

COST (In Thousands): FY 74-Not available, FY 75-Not available, FY 76-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: July '75 through June '76

1297

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of Changes in Colorado's 63 Counties
from 1970 to 1975

REPORT TITLE: Colorado Socioeconomic Data For 1970-1975

PERFORMER: Denver Research Institute; Center for Social
Research and Development; Denver, Colo. 80210

AGENCY CONTACT: Hodges, Allen Ph.D.; Region VIII;
303-837-4631

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Social Research & Statistics

ABSTRACT: Denver Research Institute collected and analyzed 1974-75 data on basic social demographic, welfare, education, economic data on a county-by-county basis for Colorado. The 1974-75 data was compared with previously collected 1970-72 data for an evaluation of changes over time in Colorado's 63 counties in Health, Education, Welfare and Energy impact areas. Possible uses of the data include comparisons among counties and regions on various socioeconomic measures, comparisons of counties and regions to the State as a whole, broad assessments of population problems and needs, and reflections of short-term trends in the counties' and regions' characteristics.

DESCRIPTORS: Statistical Data Feature, Needs and Needs Assessment, Social Indicators, Trends and Forecasts, Urban or Rural Places or Problems

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0663 **PROJECT NO:** Reg VIII 76-1

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: R-08-76-T-1854

COST (In Thousands): FY 76-1-\$10

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: August '76 through April '77

1298

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Comparative Analysis of HEW and State
Requirements for Sub-State Planning in Minnesota

REPORT TITLE: A Comparative Analysis of HEW and State
Requirements Impacting Sub-State Human Services Planning
in Minnesota

PERFORMER: State of Minnesota Planning Agency; Human
Resources Planning Unit, 55 Cedar Street; St Paul,
Minnesota

AGENCY CONTACT: Heinecke, Herman

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Social Services

ABSTRACT: The primary hypothesis of the study was that there are adequate resources available to support planning activities. The evaluation objectives are as follows: 1) Identify and describe HEW programs which provide planning support to the State of Minnesota and local governments, 2) Describe state planning initiatives with respect to sub-state human services planning and delivery systems in Minnesota, 3) Identify and compare OMB, manpower, and correction planning requirements relevant to the establishment of Human Services Boards, and, 4) Analyze the impact of HEW program-related planning requirements on the development of the pilot Human Services Boards and sub-state regional level planning. Recommendations are given for HEW review and to provide guidance to Minnesota for developing an organizational focus for integrating planning, decision-making, and human services service delivery. (Final report 116 pages).

DESCRIPTORS: Planning, State or Local Administration, Services Integration, Federal State and Local Relations

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0435 **PROJECT NO:** Reg. V 74-3

FUND SOURCE: Evaluation **NTIS NO:** PB 247-882

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: HEW 5-74-0107

COST (In Thousands): FY 75-\$48

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: July '74 through April '75

1299

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Comparative Consumer Survey on Human
Services for American Indians in North Dakota

REPORT TITLE: Comparative Consumer Survey on Human
Services for American Indians in North Dakota

PERFORMER: American Indian Development, Inc.; 408 Zook
Building; 431 W. Colfax Avenue; Denver, CO; Michael
Taylor

AGENCY CONTACT: Garfield, Rulon; Region VIII; 303-837-3373

PROGRAM(S) EVALUATED:
PHS-HSA-Indian Health;
HD-Native Americans Program

ABSTRACT: Findings are presented of a study of the level of consumer satisfaction with existing human services, with perceived needs for services, and with service utilization patterns of Indians on and off two North Dakota Indian reservations. All households of both the Fort Berthold and Turtle Mountain reservations are included. The initial intent was to draw a comparison between the two reservations, but responses to many questions are so similar that no valid differences are found. Several recommendations are made with emphasis on including Indians in needs determination, program planning, and employment in programs run on their reservations. Major problems appear to be employment and transportation (especially roads), followed by housing, physical and mental health, and education (Final report 54 pages).

DESCRIPTORS: Native Americans, Needs and Needs Assessment, Survey or Questionnaire Feature, Consumer Evaluation Feature
EVALUATION TYPE: Place Project or Technique

PROJECT DESCRIPTIONS

EDC ID NO: 0481
FUND SOURCE: Evaluation
MECHANISM: Contract,
 Grant
CONTRACT NO: OEC-8-72-0034
COST (In Thousands): FY 72-Not available, FY 73-Not available
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC,
 Available from NTIS
START/END DATES: January '72 through December '73

PROJECT NO: Region VIII 72-1
NTIS NO: PB 256-314
ERIC NO: Not available

PERFORMER: Institute for Advanced Urban Studies; University of
 Colorado; 1100 14th Street; Denver, Colorado
AGENCY CONTACT: Bodaghi, Carla; Region VIII
PROGRAM(S) EVALUATED:
 V-Many HEW Programs-Social Services

ABSTRACT: In addressing the need for and planning a process for the documentation of state evaluation efforts, the study team pursued six major objectives for accomplishing the task: 1) collected documents including a bibliography of evaluation tools currently being utilized in Region VIII; 2) documented state evaluation methods and procedures, contacted all state governor's offices to review relationships and evaluation procedures with human service agencies; to review relationships and evaluation procedures with human service agencies: SRS; OHD; PHD; 4) description of intrastate and state/Federal relationships with human service agencies in the area of evaluation; 5) description of structural and functional elements of evaluation in each state by HEW program area; and 6) reports and recommendations regarding regional evaluation workshops and related outcomes and needs indicated by document search. The study found as cited in the report, both narrative and tabular display, the best single word to describe the state of the art is scattered. The organizational structure of human service agencies varies greatly from state to state. The study also found that Census information provides a comparative analysis of population trends and can provide a reliable means by which assessment and evaluation of needs could occur. In addition, the Social Indicators, prepared by the Center for Soc. Res. and Develop. U. Denver.

DESCRIPTORS: Evaluation by State and Local Governments, Social Services Delivery Systems, Technical Assistance
EVALUATION TYPE: Support

1300

AGENCY SPONSOR: Office of the Secretary
 Regions

PROJECT TITLE: Evaluation of Delivery of HEW Services to WIN Registrants

REPORT TITLE: Delivery of HEW Services to WIN Registrants
PERFORMER: Social Welfare Regional Research Institute; Boston College; Boston, Ma.; Gloria Leipzig
AGENCY CONTACT: Heller, Ellen; SRS/Region I; 617-223-4236
PROGRAM(S) EVALUATED:
 HD-Work Incentives-Child Care & Supportive Services

ABSTRACT: This study evaluates the nature of and extent to which HEW employment-related supportive services are made available to WIN registrants before certification in comparison to those received by participants, based on an investigation of three sites in Maine and Rhode Island involving interviews with both clients and staff the study found that (1) The preappraisal process appears to serve several distinct functions for both the worker and client; that (2) there is overlap and confusion in responsibility for handling social service needs for the WIN registrants, which leads to waste of caseworker time and adds to the frustration of WIN registrants; and that (3) dispute exists over authority to make the decision for certification of a registrant. The study contains many detailed findings concerning specified problems and their causes, but does not make explicit recommendations. (Final report 51 pp.)

DESCRIPTORS: Social Services Delivery Systems, Supportive Services, Training and Employment of the Disadvantaged, Case or Site Study Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0656
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: 09-P-51004/1-05
COST (In Thousands): FY 75-\$8
PROJECT STATUS: Study completed,
 Available from EDC
START/END DATES: September '74 through April '75

PROJECT NO: Reg I-75-5
NTIS NO: EDC only
ERIC NO: Not available

EDC ID NO: 0661
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: Not yet determined
COST (In Thousands): FY 76-\$5
PROJECT STATUS: Study completed,
 Available from EDC
START/END DATES: Unknown start date through April '77

PROJECT NO: Reg. VIII 76-3
NTIS NO: EDC Only
ERIC NO: Not available

1302

AGENCY SPONSOR: Office of the Secretary
 Regions

PROJECT TITLE: Effect of Organizational Structure on Community Mental Health Center Operations

REPORT TITLE: Effect of Organizational Structure on Community Mental Health Center Operations
PERFORMER: Arthur D. Little, Inc.; Acorn Park; Cambridge, MA 02140 Alexandra Walcott 617-864-5770
AGENCY CONTACT: Hani R. Saigh, 26 Federal Plaza, New York, NY 10007 212-264-4490
PROGRAM(S) EVALUATED:
 PHS-ADAMHA-Mental Health-Community Programs & Centers

ABSTRACT: This study examined the relationship between organizational structures and the effectiveness and efficiency of community mental health centers. The study took place over a nine month period and included CMHCs in DHEW Region II. (Those in N.Y. and N.J. only). The general literature was surveyed and reviews of biometry data and the grant application files of the CMHCs in Region II were conducted. Based on issues identified in the documents review, site visits were conducted to eight selected CMHCs in the Region. The final report includes a proposed conceptual framework of organiza-

1301

AGENCY SPONSOR: Office of the Secretary
 Regions

PROJECT TITLE: Documentation of Evaluation Efforts in Human Service Agencies in Region VIII

REPORT TITLE: Documentation of Evaluation Efforts in Human Service Agencies in Region VIII

tional effectiveness with the following eight dimensions: organizational concept, relation to sponsor, leadership style, staff attitude, organizational process awareness, anticipated typical client, relation to change and articulation of effectiveness and efficiency indicators. These eight dimensions are discussed conceptually and analyzed for each of the eight site-visited centers. The report includes a detailed site visit report and recommendations relating to technical assistance and the development of self-evaluation instruments.

DESCRIPTORS: Management Techniques, Community Mental Health Centers, Evaluation by State and Local Governments, Case or Site Study Feature

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1373 **PROJECT NO:** Reg II 78-1

FUND SOURCE: Evaluation **NTIS NO:** PB 80-129307

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 120-78-0005

COST (In Thousands): FY 79-\$40

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: October '78 through July '79

1303

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Eligibility Requirements - Human Services
Programs

REPORT TITLE: Eligibility Requirements - Human Services
Programs

PERFORMER: Illinois Bureau of the Budget, Tom Berkshire

AGENCY CONTACT: Dan Larsen; HEW-Region V (312) 353-2597

PROGRAM(S) EVALUATED:

V-Many HEW Programs

ABSTRACT: This project surveys over 50 service delivery and income transfer programs (HEW and non-HEW) and compares the eligibility requirements and means test attached to each one. It then identifies problems and inconsistencies in these requirements and the possible effects on clients, and suggests modifications and standardization in these requirements.

DESCRIPTORS: Eligibility Issues, Survey or Questionnaire Feature, Consumer Products or Protection

EVALUATION TYPE: Program Strategy

EDC ID NO: 1075 **PROJECT NO:** Reg V 76-06

FUND SOURCE: Salaries and

Expenses

MECHANISM: Personal Ser-

vice

CONTRACT NO: Not available

COST (In Thousands): FY 76

PROJECT STATUS: Study in process

START/END DATES: January '76 through December '76

1304

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation Planning, Development and
Implementation Project

PROJECT DESCRIPTIONS

REPORT TITLE: Evaluation Clearinghouse, Operating Manual
Region VII

PERFORMER: Transaction Systems, Inc.; 800 Peachtree St., NE;
Atlanta, Georgia 30308; Richard Leatherwood

AGENCY CONTACT: Carson, Linda; HEW Region VII;
816-374-5081

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Planning & Evaluation

ABSTRACT: The purpose of this study was to provide assistance to the Region VII ORD in the development of a management plan for the development, construction, dissemination and execution of annual evaluation plans. Tasks included: 1) the development of Evaluation Planning Guidance for FY 1977; 2) the design of a regional Evaluation and Planning Clearinghouse for information dissemination; 3) the conduct of one local project evaluation in which the contractor and representatives from regional POCs could work in tandem; and 4) the development of a regional evaluation planning strategy which could be replicated via the management processes in subsequent years. Project "deliverables" consist of the concrete products associated with the above tasks; e.g., the Regional Strategy, Users' and Operator's Manual for the Clearinghouse. (Final report 185 pages).

DESCRIPTORS: Information Systems, Research and Evaluation Planning and Administration

EVALUATION TYPE: Support

EDC ID NO: 0577

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: 170-76-0001

COST (In Thousands): FY 76-\$35

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: September '75 through January '77

1305

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: An Evaluation of the Integration and Delivery of
DHEW Services for the Adult Mentally Retarded in Region
VI

REPORT TITLE: An Evaluation Design on the Effectiveness of
DHEW Services to the Adult Mentally Retarded in Region
VI

PERFORMER: University of Wisconsin; Dr. Alfred J. Butler

AGENCY CONTACT: Dumas, Neil S.; HEW Region VI;
214-729-3302

PROGRAM(S) EVALUATED:

HD-Vocational Rehabilitation-Basic State Grants;

HD-Social Services-Mentally Disabled;

E-OE-Occupational & Adult Education-Adult State Grants;

HCFA-Medicaid-Long Term Care;

E-OE-Handicapped-Regional Vocational Adult & Post
Secondary

ABSTRACT: This project provides the background, and method for an evaluation study to assess HEW services to the mentally retarded adults in Region VI. It suggests a comprehensive survey of the human service needs of the mentally retarded population on the assumption that this is necessary to determine the extent to which these needs may be reduced by modifying, integrating, or increasing HEW services. The question of how well Federal and matching State and local funds are translated into effective services is addressed. Failure to identify a representative sample of mentally retarded adults has been a consistent shortcoming in most followup studies of services to this

population group; this study would emphasize this problem. The study would use stratified random sampling of special education students; an intensive followup effort to lessen attrition; personal interviews of clients to identify educational, vocational, and social history, need status, and services received; and obtaining validating information from parents or public agency personnel. An overview of relevant literature is presented. The proposed method provides an outline of the program's administration, the duties of the advisory group, selection of a survey instrument, conduct of a pilot study, training of interviewers, sampling, data collection, statistical analysis, and reporting of results. (Final report 84 pages.)

DESCRIPTORS: Handicapping Conditions and Problems, Survey or Questionnaire Feature, Research and Evaluation Methods Feature, Bibliography Feature, Needs and Needs Assessment, Mental Health
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0580 **PROJECT NO:** Reg VI 75-3
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: Reg VI 75-5
COST (In Thousands): FY 75-\$4
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC
START/END DATES: June '75 through October '75

1306

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Development and Conduct of an Evaluation
Training Program for HEW Regional Office Personnel

REPORT TITLE: Evaluation Handbook
PERFORMER: Human Resources Planning Institute; Maritime
Building ; Seattle, Washington
AGENCY CONTACT: Carson, Linda
PROGRAM(S) EVALUATED:
V-Many HEW Programs-Planning & Evaluation

ABSTRACT: This handbook provides a general background in the principles, techniques, and uses of evaluation activities and discusses current issues in evaluation. Its purposes is to establish within HEW's Regional Office staff a common evaluation background and to provide teaching materials for the staff of Regional VII. The handbook is based on material developed for and presented in evaluation seminars sponsored by HEW's Regional Office. (Final Report 134 pages.)

DESCRIPTORS: Literature Review Feature, Research and Evaluation Planning and Administration, Management Techniques, Federal Administration

EVALUATION TYPE: Support

EDC ID NO: 0371 **PROJECT NO:** CC/REG VII-74-1
FUND SOURCE: Evaluation **NTIS NO:** PB 245-342
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: SAPH-VII-4
COST (In Thousands): FY 74-\$37
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: July '74 through December '74

1307

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of Accessibility and Availability of
HEW Assisted Programs to the In-Need Hispanic Population
of Clark County, Nevada

REPORT TITLE: Not yet determined

PERFORMER: State of NV, Dept. of Human Resources; 505 E.
King St., Rm 600; Carson City, NV 89710; Frank Holzhauer
702-885-4730

AGENCY CONTACT: Wilson, Wayne; Rm 421, 50 U.N. Plaza; San
Francisco, CA 94102

PROGRAM(S) EVALUATED:
V-Many HEW Programs

ABSTRACT: The purpose of this study is to evaluate the accessibility and availability of HEW-assisted programs to the Hispanic population of Clark County Nevada. The study will: 1) evaluate existing HEW programs to ascertain their correlation to consumer-perceived needs, 2) examine the barriers confronted by the Hispanic population in obtaining HEW assistance, and 3) examine ways in which various levels of government and community officials and representatives can cooperate on and make recommendations for the development of comprehensive services which are more responsive to the needs and problems of this population group.

DESCRIPTORS: Access to Services, Non English Speaking, Minority Groups

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1082 **PROJECT NO:** Reg IX 77-04
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: OEC-9-77-0010
COST (In Thousands): FY 77-\$4
PROJECT STATUS: Study in process
START/END DATES: September '77 through June '78

1308

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Not yet determined

REPORT TITLE: Evaluation of Constituent Self-Evaluation
Activities and Their Relationship to Regional Office
Management

PERFORMER: The John Snow Public Health Group; Seattle,
Washington

AGENCY CONTACT: Cole, Dixie; Seattle, Washington

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Program Management &
Direction

ABSTRACT: The purpose of this contract was to determine the capacity of Region X primary care health centers to conduct self-assessment in the context of organizational control; and to analyze how Regional Office monitoring of those project impinges on self-assessment. The work reported here builds on an earlier contract between this contractor and Region X wherein a management-by-objectives model of self-assessment (called "Quality by Objectives") was developed and tested. In this study, additional data provides statistical evidence that projects which set numerical targets and utilized quantitative data for self-assessment were more able to make major decisions, and to be innovative in methods of health care delivery. Current methods of monitoring, including objective measures of the BCRR, generally

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were uncorrelated with projects' conformity to the QBO model. With respect to monitoring, recommendations were made to increase the project officer's role and time in assisting and teaching receptive projects to do self-assessment; and for the Region to provide cash incentives to projects to do self-assessment around BCHS priority programs. A new manual, "Tools for Self-Assessment in Ambulatory Health Care Centers," was written and tested in workshops. The material follows the QBO model and provides for measurement of most aspects of project activities.

DESCRIPTORS: Management Techniques, Management Information and Reporting, Administrative Personnel, Health Care Delivery Systems

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1372 **PROJECT NO:** Reg X 77-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT/GRANT NO: 290-77-0007
COST (In Thousands): FY 7-Not available
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: November '78 through August '79

1309

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: An Evaluation of Coordination Between CETA and HEW Manpower Related Programs in New England

REPORT TITLE: An Evaluation of Coordination Between CETA and HEW Manpower Related Programs in New England

PERFORMER: Camil Associates; Division of Ketron, Inc.; 37 S. 13th Street; Philadelphia, PA 19107

AGENCY CONTACT: Steve Grossbard; OPRO/OS/Region I; Boston, MASS

PROGRAM(S) EVALUATED:
OE-Occupational & Adult Education-Vocational Grants;
HD-Social Services;
HD-Vocational Rehabilitation;
PHS-ADAMHA-Mental Health-Community Programs & Centers;
V-Non-HEW Programs-Employment & Training

ABSTRACT: This study provides a report on the need for, present evidence of, and approaches to inter-program cooperation. It covers all aspects of cooperation from initial needs determination, through the planning and review process, through the implementation of program organization and services. It provides detailed information for six CETA planning areas in three New England States-Massachusetts, Maine, and Connecticut.

DESCRIPTORS: Career or Vocational Education, Needs and Needs Assessment, Planning, Training and Employment of the Disadvantaged, Supportive Services

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1682 **PROJECT NO:** Reg I 77-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 110-1-77-RD-01
COST (In Thousands): FY 77-\$75

PROJECT STATUS: Study in process

START/END DATES: September '77 through March '79

1310

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of Effectiveness of and Impediments to Coordination Between CETA and HEW Manpower Program

REPORT TITLE: Evaluation of Effectiveness of and Impediments to Coordination Between CETA and HEW Manpower Programs

PERFORMER: Camil Associates, Philadelphia, PA
AGENCY CONTACT: Steve Grossbard; 617-223-5533

PROGRAM(S) EVALUATED:
E-OE-Occupational & Adult Education;
V-Many HEW Programs-Social Services

ABSTRACT: The HEW Manpower Programs to be studied include Adult Basic Education, Vocational Education, Vocational Rehabilitation, Mental Health and Title XX Programs. The results of this study will yield two basic products: 1) a program review guide for program managers to assist them in monitoring and assessing inter-agency and inter-program coordination; 2) recommendations for changes in legislation and/or regulatory requirements in order to improve opportunities for coordination. This study is intended to assess: a) the effectiveness of inter-program coordination and b) the impact of coordination on service delivery systems.

DESCRIPTORS: Adult Education, Program Coordination, Supportive Services

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1065 **PROJECT NO:** Region I 77-1
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 1101-1-77-RD-01
COST (In Thousands): FY 77-\$74,000
PROJECT STATUS: Study in process
START/END DATES: July '77 through May '78

1311

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of Effectiveness of HEW Program Delivery to American Samoans in Three Geographic Locations

REPORT TITLE: Not yet determined

PERFORMER: Marshall Kaplan, Gans & Kahn, 222 Columbus Ave. Suite 300 San Francisco, CA 94133; E. Kent Meek; 415-433-4814

AGENCY CONTACT: Wilson, Wayne and Quill, Joy; Rm 421, 50 United Nations Plaza; San Francisco, CA 94102, 415 556-6904

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Education;
V-Many HEW Programs-Health;
V-Many HEW Programs-Social Services;
V-Many HEW Programs-Grants in Aid

ABSTRACT: This study is designed to assess the effectiveness of HEW services to American Samoans living in California, Hawaii and

American Samoa. It will be carried out through four separate HEW contracts. The major contractor (Marshall Kaplan, Gans and Kahn) will conduct a literature and legislative review, develop interview instruments to be administered to American Samoans and service providers in the three geographic areas, analyze findings and develop recommendations. Three other contractors (Governor of Hawaii, Governor of American Samoa, and Office of Samoan Affairs of California, Inc.) will provide a culture perspective, identify appropriate interviewers and provide advice throughout the life of the contract on Samoan cultural factors which could impact on the study results. The study is expected to result in recommendations for action by Federal, State and local

DESCRIPTORS: Access to Services, Asian Americans, Non English Speaking, Survey or Questionnaire Feature, Statistical Analysis Methods Feature, Needs and Needs Assessment
EVALUATION TYPE: Program Impact

EDC ID NO: 1080 **PROJECT NO:** Reg IX 77-02
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: OE C-9-77-0008
COST (In Thousands): FY 77-\$169
PROJECT STATUS: Study in process
START/END DATES: September '77 through March '79

1312

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Factors Influencing Physician Migration After Graduation From Schools of Medicine in the State of Iowa

REPORT TITLE: Evaluation of Factors Influencing Physician Migration from Schools of Medicine in the State of Iowa
PERFORMER: University of Iowa College of Medicine; 363 Medical Laboratories; Iowa City, Iowa 52242

AGENCY CONTACT: Foster, Dr. Ashley; PHS-Region VII
PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Manpower-Health Professions

ABSTRACT: This study will collect and analyze demographic data relative to the practicing physicians who have graduated from schools of medicine in the State of Iowa between the academic years of 1961 to 1970 and who are currently practicing in Iowa and contiguous states. The purpose of the study was to identify and analyze the significance of those factors which have seemed to be the most predominant in the choice of graduating physicians of a practice site. Findings were not conclusive enough to have prediction validity regarding rural practice location decisions—however findings do indicate that professional amenities available do play an important role in practice location decision.

DESCRIPTORS: Health Manpower Training, Health Manpower Projections
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1319 **PROJECT NO:** Reg VII 76.1-02
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: R7-O5-76-490T
COST (In Thousands): FY 76-\$7
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: September '76 through October '77

1313

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of Financial Planning of HMO
Grantees: Regional IX Study

REPORT TITLE: Evaluation of Financial Planning of HMO
Grantees: Region IX Study

PERFORMER: Regional Office IX; 50 Fulton Street; San Francisco, CAL

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:
PHS-OASH-Health Maintenance Organizations

ABSTRACT: Presently the vast majority of developing HMOs are funded with grant monies made available under the Federal HMO Act of 1973. The purpose of this study was to explore what other sources of capital might be available to fund development and operating costs of HMO initiatives. The study concludes that sources of capital for HMOs are restricted for the following reasons: 1) because HMOs are non-profit, they cannot attract venture capital by offering share of ownership or profit; 2) lending institutions require the same collateral and assurances from HMOs as from other prospective borrowers; 3) it is difficult for a potential lender to appraise the probability of an HMO attracting sufficient members to realize breakeven operations; 4) because the Federal Grant Program does not allow HMOs to accumulate net worth, the HMO is without assets to use as collateral for debt financing. A separate section of the report evaluated four HMO grantees, an appendix presents the five-year financial plan of the South Los Angeles Community Health Plan, and a second appendix is a guide to attracting private equity and debt investment to develop HMOs. (Final report 175 pages.)

DESCRIPTORS: Case or Site Study Feature, Health Maintenance Organizations, Economic Analysis Feature, Health Care Delivery Systems, Grants-in-Aid, Financial Management

EVALUATION TYPE: Program Strategy

EDC ID NO: 0345 **PROJECT NO:** Reg IX 76-1
FUND SOURCE: Salaries and Expenses **NTIS NO:** EDC Only
MECHANISM: In-house **ERIC NO:** Not available
CONTRACT NO: Not available
COST (In Thousands): Not available
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: August '75 through November '76

1314

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of Head Start Dental Health Education Program

REPORT TITLE: Not yet determined

PERFORMER: Human Resources Corporation; 1109 Oak St.; San Francisco, CA 94117; Masato Inaba, 415-552-7900

AGENCY CONTACT: Wilson, Wayne M.; Region IX, 415-556-6904

PROGRAM(S) EVALUATED:
HD-Child Development-Head Start

ABSTRACT: This study evaluates the effectiveness of four demonstration pilot projects relating to family dental health education in the Region IX Head Start Programs. The dental health education package judged most effective will be subsequently replicated at two additional Head Start sites, and evaluated to determine the advisability of more widespread use in Head Start projects around the country.

DESCRIPTORS: Child Development, Dental Health Care, Demonstration Projects

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1677 **PROJECT NO:** Reg IX-77-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract
CONTRACT NO: 299-77-0007
COST (In Thousands): FY 77-\$20
PROJECT STATUS: Study in process
START/END DATES: September '77 through July '79

1315

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of Head Start Dental Health Education Program in Region IX

REPORT TITLE: Not yet determined
PERFORMER: U.S. Human Resources Corp; 231 Franklin Street; San Francisco, CA 94102; Masato Inaba 415-626-1074
AGENCY CONTACT: Quill, Joy; Rm 421, 50 U.N. Plaza; San Francisco, CA 94102; 415 556-6904
PROGRAM(S) EVALUATED:
HD-Child Development-Head Start

ABSTRACT: The purpose of this study is to evaluate the effectiveness of four dental health education curriculum packages being piloted in Head Start Projects in Region IX. The two highest rated training packages will be replicated in 4 different Head Start Project sites, and will be evaluated in turn, to determine the types of dental education packages likely to be most effective with headstart populations which differ in several key aspects (racial/ethnic group, rural/urban, etc).

DESCRIPTORS: Child Development, Dental Health Care
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1079 **PROJECT NO:** Reg IX 77-01
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: PHS 299-77-0007
COST (In Thousands): FY 77-\$21
PROJECT STATUS: Study in process
START/END DATES: September '77 through July '78

1316

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of HEW-Assisted Programs to Hispanic Population of Clark County, Nevada

REPORT TITLE: Not yet determined
PERFORMER: University of Nevada, Las Vegas; 4505 Maryland Parkway; Las Vegas, Nevada 89154; James Frey, 702-739-3322
AGENCY CONTACT: Wilson, Wayne M.; Rm 421, 50 UN Plaza; Region IX; San Francisco, CA; 415-556-6904
PROGRAM(S) EVALUATED:
V-Many HEW Programs

ABSTRACT: This study evaluates the accessibility and availability of HEW-assisted programs to the Hispanic population of Clark County, Nevada. The study 1) evaluates existing HEW programs to ascertain their correlation to consumer-perceived needs; 2) examines barriers

confronted by the Hispanic population in obtaining HEW assistance; and 3) examines ways in which various levels of government and community officials and representatives can cooperate on and make recommendations for the development of comprehensive services which are more responsive to the needs and problems of this population group.

DESCRIPTORS: Access to Services, Minority Groups, Consumer Evaluation Feature, Non English Speaking, Low-Income Population, Evaluation by State and Local Governments
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1678 **PROJECT NO:** Reg IX-77-3
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: C-9-77-0010
COST (In Thousands): FY 77-\$4
PROJECT STATUS: Study in process
START/END DATES: September '77 through December '78

1317

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of Human Service Delivery System in Penobscot Valley, Maine

REPORT TITLE: Evaluation of Human Service Delivery System in Penobscot Valley, Maine
PERFORMER: Maine Municipal Association; Local Government Center; Community Drive; Augusta, Maine
AGENCY CONTACT: Steve Grossbard; Region I; Boston, MASS
PROGRAM(S) EVALUATED:
V-Many HEW Programs-Social Services

ABSTRACT: This study assesses the legislation, regulations, and policy that are directly related to human services by non-profit agencies as well as governmental entities in rural areas with a view to increasing the efficiency and effectiveness of program delivery in these areas. The primary emphasis revolves around the question of existing constraints faced by service providers in providing services in rural areas in the State of Maine.

DESCRIPTORS: Program Coordination, Regulation and Standard Setting, Urban or Rural Places or Problems, Case or Site Study Feature, Evaluation by State and Local Governments
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1683 **PROJECT NO:** Reg I
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Purchase Order **ERIC NO:** Not yet determined
CONTRACT/GRANT NO: Not yet determined
COST (In Thousands): FY 77-\$4
PROJECT STATUS: Study in process
START/END DATES: June '77 through April '78

1318

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of Monitoring Systems in Selected Regional Program Areas

REPORT TITLE: Evaluation of Monitoring Systems in Selected Regional Program Areas

PERFORMER: Contract Research Corp.; 25 Flanders Road;
Belmont, Mass;

AGENCY CONTACT: Perez-Daple, Roy; ORD Region III;
215-596-6507

PROGRAM(S) EVALUATED:

HD-Child Development-Head Start;
E-OE-Occupational & Adult Education-Vocational Grants;
HCFA-Medicaid-State & Local Administration

ABSTRACT: A study on monitoring functions at the regional office level of three HEW programs (child support enforcement, Head Start, and vocational education) is reported. Questions addressed in the study included theoretical questions of what a good regional monitoring system should accomplish and practical questions as to what monitoring systems can do and are doing to monitor programs. The approach taken was to move from a general description of the monitoring function to a more detailed definition which categorized it according to a set of general management objectives. Program monitoring is defined as a process by which various levels of management can observe and analyze the operations of their programs in order to guide them toward achieving a desired set of objectives. Monitoring systems for each of the HEW programs are detailed with regard to compliance, process, and performance monitoring activities. Monitoring criteria are cited, and information required for the monitoring function is reviewed. In addition, to program-specific findings, the study concludes that the dominant deficiency of monitoring is that poor scheduling and inadequate frequency create a major timing problem; that the information available for monitoring is most often inadequate; and that measurable standards have not been developed. (Final report 381 pages).

DESCRIPTORS: Federal Administration, Management Information and Reporting, Grant and Contract Management, Regulation and Standard Setting

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0521

PROJECT NO: Reg III 75-3

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: OS RO3-75-130-76-2

COST (In Thousands): Not available

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: June '75 through May '76

1319

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: An Evaluation of Monitoring Systems in Selected
Regional Program Areas

REPORT TITLE: An Evaluation of Monitoring Systems in Selected
Regional Program Areas

PERFORMER: Contract Research Corp.; 25 Flanders Road;
Belmont, Ma 02178; Jack Donovan, 617-489-3150

AGENCY CONTACT: Perez-Daple, Roy; HEW Region III;
215-596-6507

PROGRAM(S) EVALUATED:

HD-Child Development-Head Start;
E-OE-Occupational & Adult Education-Vocational Grants;
HCFA-State & Local Administration

ABSTRACT: This study examines the Regional Office monitoring systems of three programs—Head Start, Voc Ed, and Child Support Employment—which differ distinctly from each other, but are representative of the structure of programs being funded and monitored by the federal government. The Study subdivides the monitoring

function into compliance monitoring, process monitoring, and performance monitoring. The study found that: (1) the standards for compliance monitoring in each program were adequate. The federal government has expressed clearly what the basic structure, activities, and critical procedures of a program are to be; (2) the dominant deficiency of the monitoring methods and procedures is their timing. In compliance and process monitoring particularly, inadequate amounts of time devoted to the monitoring activities account in large part for their inadequacy; (3) the information available for monitoring is most often inadequate or of questionable adequacy; (4) the only programs which seem to receive adequate amount of staff time from monitors are demonstration projects (Final report 357 pages).

DESCRIPTORS: Federal Administration, Grant and Contract Management, Management Information and Reporting

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1306

PROJECT NO: Reg III 76-03

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 130-76-2

COST (In Thousands): FY 76-\$54

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: July '75 through January '76

1320

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: An Evaluation of Nutrition Education Programs
for the Elderly

REPORT TITLE: Not yet determined

PERFORMER: Wesley Medical Center; 550 North Hillside;
Wichita, Kansas 67214; Stuckey, Ms. Virginia

AGENCY CONTACT: Waldrop, Lila; OHD-Region VII;
816-374-2955

PROGRAM(S) EVALUATED:

HD-Aging-Nutrition Program

ABSTRACT: A regional evaluation project on planning, development and implementation of a system for regional evaluation planning (contract 170-76-0001) contained as one of the elements of the scope of work that the contractor provided technical assistance to a regional work group on the planning and conduct of a local project evaluation. The regional office of AoA in the OHD agreed that the nutrition education program could be the focus of such an effort. A questionnaire was designed and applied to those consumers of several sites in Region VII which provide Nutrition services to the elderly. The instrument was designed to determine the impact of this service upon their daily food-consumption habits and attitudes. This current contract is a follow-up to that earlier study. The Wesley Medical Center is to assist regional AoA in the analysis of the study results and the development of a program of nutrition education which will guarantee maximum impact of the nutrition program. The final product is a slide tape.

DESCRIPTORS: Elderly, Multiservice Center, Consumer Education, Food and Nutrition

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1318

PROJECT NO: Reg VII 76.1-01

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: R7-OS-76-488T R7-OS-77-1157

COST (In Thousands): FY 76.1-\$8, FY 77-\$5

PROJECT STATUS: Study completed
START/END DATES: September '76 through May '78

1321

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of Pennsylvania's Domiciliary Care
Pilot Program

REPORT TITLE: Various

PERFORMER: Hebrew Rehabilitation Center for Aged; 1200
Centre Street; Boston, Mass 02131; Sylvia Sherwood,
617-323-9095

AGENCY CONTACT: Perez-Daple, Roy; HEW Region III;
215-596-6507

PROGRAM(S) EVALUATED:
V-Non-HEW Programs-Other

ABSTRACT: Pennsylvania has developed a pilot program to stimulate the upgrading of the nursing facilities and their services. The goals are to avoid dependency and to provide an option other than institutional care for those whose needs can be met in other ways. As part of a larger study to evaluate the impact of innovative Program in Pennsylvania of domiciliary care for adults requiring sheltered living arrangements, extensive data were collected concerning applicants shortly after application but prior to certification (acceptance) or placement in domiciliary care homes. These data were obtained primarily through an intensive interview conducted by clinical interviewers who were specially trained and exhibited a high degree of reliability with respect to the numerous observation and clinical assessments being made. The following are reports which have been completed and are available from the Evaluation Documentation Center: "A Descriptive Study of Functionally Eligible Applicants to the Domiciliary Care Program; Domiciliary Care Management Information System, Semi-Annual Report, December 1978; The Domiciliary Care Management Information System an Interview Conducted by the Hebrew Center for the Aged Clinical Staff, January 1979."

DESCRIPTORS: Home Health Care, Elderly, Demonstration Projects, Mental Health, Handicapping Conditions and Problems
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1309 **PROJECT NO:** Reg III 76-06
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 130-76-12
COST (In Thousands): FY 76-\$150, FY 77-\$150, FY 78-\$143
PROJECT STATUS: Study in process
START/END DATES: June '76 through December '79

1322

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: An Evaluation of Region III Liaison Activities on
Behalf of Special Populations

REPORT TITLE: An Evaluation of Region III Liaison Activities on
Behalf of Special Populations

PERFORMER: Louis J. Murdock, Ph.D.

AGENCY CONTACT: Mangano, Michael; Region III; Philadelphia,
Pa.

PROGRAM(S) EVALUATED:
E-OE-Special Population Programs;
E-OE-Commissioner's Office-Program Direction &
Management

ABSTRACT: The project was concerned with the following six program areas: Bilingual Education, Early Childhood Education, Dropout Prevention, Follow Through, Gifted and Talented Education, and Education of the Handicapped. The project had three specific objectives: 1) to define and categorize the OE Regional office's liaison activities in these program areas; 2) to assess the effectiveness of past OE Regional Office efforts in these program areas; 3) to develop a system for ranking alternative activities as a basis for decisions by the Regional Commissioner regarding the efficient organization of the activities and use of regional staff. The study found that the central office staff consistently rated the effectiveness of regional activities higher than did regional staff themselves. This difference of perception was attribute to a serious communication problem between the two offices. In order to overcome the problems identified in the study, eleven recommendations were made. Among them were improved communications between central and regional offices, consolidation of liaison responsibilities, annual evaluation and increased funding of liaison activities; and reassessment of allocation of effort among programs within the regional offices. (Final report 89 pages).

DESCRIPTORS: Federal Administration, Management Techniques, Research and Evaluation Planning and Administration, Survey or Questionnaire Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1368 **PROJECT NO:** Reg. III

FUND SOURCE: Not yet determined **NTIS NO:** EDC Only

MECHANISM: Not yet determined **ERIC NO:** Not available

CONTRACT/GRANT NO: Not yet determined

COST (In Thousands): FY 76-Not available, FY 77-Not available

PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: June '76 through January '77

1323

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of Regional Office Data Requirements

REPORT TITLE: Evaluation of Regional Office Data Requirements

PERFORMER: Census Use Staff; Department of Commerce; San
Francisco, California; Karen Burton 415-556-5788

AGENCY CONTACT: Fernandez, Dr. Robert; HEW Region IX;
415-556-8387

PROGRAM(S) EVALUATED:
DM-General Departmental Management-Operations;
PHS-HRA-Health Resources-Health Statistics

ABSTRACT: Survey and Analysis of Regional Office data needs in Relation to the Cooperative Health Statistics System. This study reviewed (a) data reporting requirements of PHS grantees, (b) information needs of PHS project officers, and (c) the proposed scope of the CHSS minimum data sets in order to identify the overlap and gaps in existing and planned data systems in relation to PHS project officer needs. The study summarizes data and sources currently available to PHS Region IX and makes recommendations for improved access to currently existing data sets. (Final report 300 pages.)

DESCRIPTORS: Information Dissemination, Morbidity and Mortality, Federal Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0533 **PROJECT NO:** H/Reg IX 74-3

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Transfer **ERIC NO:** Not available

TRANSFER NO: Not available
COST (In Thousands): FY 74-520
PROJECT STATUS: Study completed
START/END DATES: June '74 through June '75

1324

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of Services Provided by GAC-B to
Region VII School Districts

REPORT TITLE: Not yet determined

PERFORMER: Mid Continent Regional Educational Lab; 7302
Pennsylvania Ave.; Kansas City, MO 64114; Dr. Lochron A.
Nixon, Jr

AGENCY CONTACT: Caruthers, Dr. Patricia; Region VII; 816
374-5041

PROGRAM(S) EVALUATED:

E-OE Elementary & Secondary-Bilingual Education;
E-OE-Elementary & Secondary-Bilingual Education-TA &
Other

ABSTRACT: The focus of this study is the GAC-B located on the University of Wisconsin; Milwaukee campus. The purpose is to evaluate the effectiveness in providing services to local school districts in Region VII. The GAC-B program is designed to improve the effectiveness of local school districts in delivering services to the bilingual students. However, little feedback has been available to Regional program officials. Services to 20 school districts will be analyzed. School districts will be selected via a stratified sampling method to obtain data representative of the Region. Data collection techniques will include both on-site visits and questionnaire administration. Data collection will include both school district responses and analysis of behavioral changes in the district as a result of GAC-B TA efforts. A final report comparing GAC-B reports and school district changes and including recommendations will be provided by 5-26-78.

DESCRIPTORS: Non English Speaking, Elementary and Secondary Students, Elementary Education, Technical Assistance

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1078

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: R7-OS-77-1181

COST (In Thousands): FY 77-\$9

PROJECT STATUS: Study in process

START/END DATES: September '77 through May '78

PROJECT NO: Reg VII 77-02

NTIS NO: Not yet determined

ERIC NO: Not available

AGENCY CONTACT: Crossman, John; HEW Region X;
206-442-0490

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Health;
V-Many HEW Programs-Income Security;
V-Many HEW Programs-Social Services;
V-Many HEW Programs-Education

ABSTRACT: This study covers an extended period during which Federal and State personnel, local service providers, and staff of farm-worker organizations worked together to develop written documentation about receipt of human resource services by migrant and seasonal farmworkers (MSFWs) in Region X. Specifically the study examined barriers to service delivery in 14 programs in 5 functional areas: health, education, employment training, social services and income maintenance/welfare. This study involved both service providers and the client population (MSFWs) in identifying problem areas both groups face in delivery and receipt of services. MSFWs in need of services reported not knowing where to go or that services were available as their primary reason for not using them or they were not qualified to receive services. Local service providers identified language problems, lack of knowledge about services and problems arising from social/cultural differences as the greatest barriers for MSFWs in service delivery. Thus, the general population programs studied are not set up to serve a mobile population due to the long-term nature of service delivery and lack of a transfer system to allow for continuity. Lack of transportation is also a significant problem. Also most general population programs studied are not adequately staffed to provide

DESCRIPTORS: Migrants, Survey or Questionnaire Feature, Health Care Delivery Systems, Eligibility Issues, Non English Speaking, Supportive Services, Social Services Delivery Systems

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1323

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: HEW-101-76-0010

COST (In Thousands): FY 76.1-\$175, FY 78-\$5

PROJECT STATUS: Study completed,

Available from EDC,

Available from NTIS

START/END DATES: September '76 through February '78

PROJECT NO: Reg X 76.1-01

NTIS NO: PB 283-232, 233

ERIC NO: Not available

1326

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: An Evaluation of the Application of Health
Needs Indexes to Resource Allocation Decisions in Selected
PHS Programs

REPORT TITLE: An Evaluation of the Application of Health Needs
Indexes to Resource Allocation Decisions in Selected PHS
Programs

PERFORMER: Department of Health Services; School of Public
Health and Community Medicine; University of Washington

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

PHS-HRA-Health Resources-Program Direction &
Management;
PHS-HSA-Health Services-Program Direction &
Management

ABSTRACT: The purpose of this project was to test the hypothesis that the use of Health Needs Indicators would result in program grant awards being directed to areas of greatest need in Region X. The

1325

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: An Evaluation of the Access to and Availability
of Human Resource Programs for Migrants and Seasonal
Farmworkers in Region X

REPORT TITLE: An Evaluation of the Access to and Availability of
Human Resources Programs for Migrants and Seasonal
Farmworkers in Region X

PERFORMER: Inter America Research Associates; 2001 Wisconsin
Ave NW, Suite 275; Washington, DC 20007; Raymond
Sandoval 206-623-6130

study found, however, that state and Federal agencies were generally unable to provide the necessary data. It recommends, therefore, a set of criteria for specific types of data: better access to the Census, more comprehensive and uniform data collection by states, a set of manpower enumeration for health professionals, by state, and sampling of the Health Interview Survey. The report also recommends a national effort to collect, on an on-going basis, a standard data set to be analyzed to provide a useful health planning tool. (Final report, 100 pages.)

DESCRIPTORS: Grant and Contract Management, Health Planning, Federal State and Local Relations, Statistical Data Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1370 **PROJECT NO:** Reg X 77-1
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 290-77-0008
COST (In Thousands): FY 77-Not available
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC
START/END DATES: October '77 through June '78

1327

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of the Current and Prospective
Utilization of Mid-Level Health Care Professionals

REPORT TITLE: Evaluation of the Current and Prospective
Utilization of Mid-Level Health Care Professionals

PERFORMER: Center for Allied Health Manpower Development;
Baylor College of Medicine; Houston, Texas; Martin
Hotvedt

AGENCY CONTACT: Wagner, Marjorie; Region VI, HEW

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Manpower

ABSTRACT: A survey of physicians in HEW Region VI undertaken to evaluate their utilization of formally and informally educated mid-level health professionals is documented. A questionnaire was sent to all physicians in the Region, asking them to report on the types of mid-level health care professionals employed in their practice settings, to evaluate the levels of responsibility delegated to these professionals for managing various patient problems, and to furnish demographic information about their practice settings and mid-level employees. Of the physicians surveyed, 28.29 percent (7,183) returned usable responses. Of the respondents, 2,713 employed a total of 6,383 informally educated mid-level professionals in their practice. One finding was that more physician's assistants than nurse practitioners practice in smaller communities. Generally, physicians employing the informally and formally educated mid-level professionals reported favorable impressions of these individuals. Those physicians not employing mid-level workers indicated that they required further information on the subject. (Final report 97 pages.)

DESCRIPTORS: Paraprofessionals, Physicians
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0466 **PROJECT NO:** REG VI 74-1
FUND SOURCE: Evaluation **NTIS NO:** PB 256-289
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 296-74-0008
COST (In Thousands): FY 74-Not available

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: June '74 through January '76

1328

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: An Evaluation of the Deinstitutionalization
Process in Region X

REPORT TITLE: An Evaluation of the Deinstitutionalization Process
in the U.S. Department of HEW, Region X

PERFORMER: Department of Social & Health Svcs; PO Box 1788,
Mail Stop 322; Olympia, Washington 98504; Dr. Timothy
Brown 206-753-0820

AGENCY CONTACT: Herzog, Linda; HEW Region X;
206-442-0490

PROGRAM(S) EVALUATED:
PHS-HRA-Health Resources-Health Planning &
Development;
PHS-ADAMHA-Mental Health-Community Programs &
Centers;
E-OE-Handicapped;
HD-Developmental Disabilities;
HD-Aging-Community Services;
HD-Vocational Rehabilitation;
HCFA-Medicaid-Long Term Care

ABSTRACT: The purpose of this study is to examine the process of deinstitutionalization (DI) of the mentally ill and retarded as it has been implemented in the States of Washington and Idaho. The major components of the study are analysis of planning systems affecting DI at the Federal State and local levels; examination of management structures designed to assure continuity of care for the DI population in the two States; analysis of the availability and utilization of community-based services; and presentation of recommendations for change in the relevant policies, procedures and service delivery mechanisms. The analysis of community service delivery systems and utilization will be performed by interviewing a sample of clients released from State institutions in fiscal years 1975 and 1976, and interviewing selected Community service providers in both states. A list of recommendations are presented for the community service delivery systems, institutional discharge planning, institution community linkage, and planning, coordination and funding by the Federal government. (Final report 223 pages.)

DESCRIPTORS: Deinstitutionalization, Ambulatory and Outpatient Health Care, Health Planning, Mental Health, Long Term Health Care, State or Local Administration, Handicapping Conditions and Problems, Survey or Questionnaire Feature
EVALUATION TYPE: Program Impact

EDC ID NO: 1324 **PROJECT NO:** Reg X 76.1-02
FUND SOURCE: Evaluation **NTIS NO:** PB 291-541
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 101-76-0013
COST (In Thousands): FY 76.1-\$200
PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS
START/END DATES: August '76 through February '78

1329

AGENCY SPONSOR: Office of the Secretary
Regions**PROJECT TITLE:** Evaluation of the Effectiveness of HEW Program
Delivery to American Samoans in Three Geographic
Locations**REPORT TITLE:** Not yet determined**PERFORMER:** MKGK Incorporated; 222 Columbus Ave., Suite
300; San Francisco, CA 94133; 415-433-4814; E. Kent Meek**AGENCY CONTACT:** Wilson, Wayne M.; Region IX; 415-556-6904**PROGRAM(S) EVALUATED:**
V-Many HEW Programs

ABSTRACT: This study evaluates the effectiveness of HEW program delivery to American Samoans in California, Hawaii, and American Samoa. In addition to review of relevant literature and Federal legislation, the study features structured interviews with Samoan households and service providers in the three locations. Overall responsibility for the study rests with a San Francisco-based consulting company, but interviews with Samoan households are being done through three small inter-governmental contracts with the Governor's offices in American Samoa and Hawaii, and a non-profit state-wide Samoan organization in California. The final report will contain recommendations for action by Federal government, State and Territorial governments, and Samoan organizations.

DESCRIPTORS: Access to Services, Survey or Questionnaire Feature, Statistical Analysis Methods Feature, Consumer Evaluation Feature, Low-Income Population, Minority Groups

EVALUATION TYPE: Place Project or Technique**EDC ID NO:** 1676**PROJECT NO:** Reg IX 77-1**FUND SOURCE:** Evaluation**NTIS NO:** Not yet determined**MECHANISM:** Contract**ERIC NO:** Not yet determined**CONTRACT NO:** C-9-77-0008**COST (In Thousands):** FY 77-\$170**PROJECT STATUS:** Study in process**START/END DATES:** September '77 through May '79

cused State officials of refusing to rewrite working arrangements in order to formalize them; on the other hand, officials of local government resisted State emphases on written interagency agreements. A recommendation is made that if the available records show that a program is productive, it is not necessary to insist on a formal agreement which would serve no further purpose. Of the several appendices, one lists the functions of the RSA's (Final report 113 pages)

DESCRIPTORS: Federal State and Local Relations, Elderly, Program Coordination, Services Integration, Administrative Personnel, State or Local Administration, Research and Evaluation Performance
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1085**PROJECT NO:** Reg III -76-08**FUND SOURCE:** Salaries and
Expenses (S&E)**NTIS NO:** SHR-0001939**MECHANISM:** In-house**ERIC NO:** Not available**CONTRACT NO:** Not available**COST (In Thousands):** FY

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC

START/END DATES: July '76 through February '77

1331

AGENCY SPONSOR: Office of the Secretary
Regions**PROJECT TITLE:** Evaluation of the EPSDT Program in Region V**REPORT TITLE:** Evaluation of the EPSDT Program in Region V**PERFORMER:** HEW, Office of the Regional Director; 300 S.
Wacker Drive; Chicago, Illinois 60606**AGENCY CONTACT:** Larsen, Daniel; HEW Region V;
312-353-2597**PROGRAM(S) EVALUATED:**
HCFA-Medicaid-EPSDT

ABSTRACT: The objective of the study is to identify barriers to the implementation of EPSDT (Medicaid health screening program for persons under age 21) and analyze attitudes towards program effectiveness of persons most closely associated with implementation. Regional Office staff are to visit 25 selected cities and counties in Ohio, Michigan, Minnesota, Wisconsin and Illinois to gather data describing EPSDT program characteristics and local conditions. Health and welfare dept. officials, elected public officials, physicians, other screening providers, medicaid clients, and outreach workers at the local level, and health and welfare dept. officials and governor's representatives at the state level, are to be interviewed to ascertain their attitudes towards EPSDT and its implementation. Major results are expected on the influence of: (a) the attitudes of program managers, personal outreach and case management, and joint Health-Welfare Dept. Administration; (b) external conditions to the program, program costs, and current guidelines & regulations; and (c) client and physician attitudes towards program effectiveness.

DESCRIPTORS: State or Local Administration, Health Care Delivery Systems, Preventive Health Care, Survey or Questionnaire Feature
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1312**PROJECT NO:** Reg V 76-02**FUND SOURCE:** Salaries and
Expenses**NTIS NO:** PB 296-521**MECHANISM:** In-house**ERIC NO:** Not available**CONTRACT NO:** Not available**COST (In Thousands):** FY 76-\$7

1330

AGENCY SPONSOR: Office of the Secretary
Regions**PROJECT TITLE:** An Evaluation of the Effects of a Regional
Strategy for the Implementation of Interagency Agreements**REPORT TITLE:** An Evaluation of the Effects of a Regional

Strategy for the Implementation of Interagency Agreements

PERFORMER: HEW Regional Office of Planning and Evaluation;
Philadelphia, PA 19101; Wilbur H. Watson**AGENCY CONTACT:** Skinner, John H.**PROGRAM(S) EVALUATED:**
HD-Aging-Program Direction & Management;
HD-Aging-Community Services-State Agency Activities

ABSTRACT: An attempt was made to explore the strategy used by the regional office of Administration on Aging-Office of Human Development (AOA-OHD) in the implementation of State level interagency agreements. The study focused on the work of the six Regional State Aging specialists (RSAs) in Region III, the area defined by the following states: Delaware, Maryland, Pennsylvania, District of Columbia, Virginia, and West Virginia. Each RSA, serving as consultant, advisor and supporter of aging programs in each state, represent the Federal Government and act as liaison between the Government and the state. In recorded interviews the RSA's frequently complained that they had no authority to enforce agreements. They ac-

PROJECT STATUS: Study completed,
Available from NTIS
START/END DATES: June '76 through October '76

1332

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of the Impact of Existing and Absent
HEW-Assisted Programs on the In-Need Population in South
Tucson, Arizona

REPORT TITLE: Not yet determined

PERFORMER: Pima Community College; 2202 W. Anklam Road
Tucson, AZ 85705; Arthur Evans 602 884-6666

AGENCY CONTACT: Wilson, Wayne; Rm 421, 50 U.N. Plaza; San
Francisco, CA 9410 415 556-6904

PROGRAM(S) EVALUATED:
V-Many HEW Programs

ABSTRACT: The purpose of this study is to assess the difficulties encountered by a small municipality in obtaining HEW assistance and the effects this has on providing comprehensive services to the residents of South Tucson. The study will utilize information concerning: 1) HEW-assisted programs currently operative or recently discontinued in South Tucson, 2) HEW programs which are potentially available to the city of South Tucson, and 3) HEW programs that are not available to South Tucson because of specific factors mediating against procurement of services such as the city's size, location, social/ethnic composition, or economic conditions.

DESCRIPTORS: Access to Services, Non English Speaking, Minority Groups, Low-Income Population

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1083 **PROJECT NO:** Reg IX 77-05
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: OEC-9-77-0011
COST (In Thousands): FY 77-\$4
PROJECT STATUS: Study in process
START/END DATES: September '77 through June '78

1333

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of the Impact of Existing and Absent
HEW-Assisted Programs on the In-need Population in South
Tucson, Arizona

REPORT TITLE: Not yet determined

PERFORMER: Pima Community College; 2202 West Anklam Rd.,
Tucson, AR 85709; Arthur H. Evans, Jr., Ph.D.;
602-884-6666

AGENCY CONTACT: Wilson, Wayne M.; Rm 421, 50 UN Plaza;
Region IX; San Francisco, CA 94102; 415-556-6904

PROGRAM(S) EVALUATED:
V-Many HEW Programs

ABSTRACT: This study assesses the difficulties encountered by a small municipality (South Tucson, Arizona) in obtaining HEW assistance and the effects on providing comprehensive services to residents of the area. The study uses information concerning 1) HEW-assisted programs currently operating or recently discontinued, 2) HEW programs which are potentially available to South Tucson, and 3) HEW

programs not available to South Tucson because of specific factors mediating against procurement of services, such as the city's size, location, social/ethnic composition, or economic conditions. A combination of reference material review, identification of available and non-available HEW services, and analysis of consumer perceptions will be utilized. Since South Tucson is representative of both a consumer-eligible population of extreme poverty and small municipalities competing with surrounding larger urban areas, findings may be extrapolated to similar populations.

DESCRIPTORS: Access to Services, Non English Speaking, Low-Income Population, Urban or Rural Places or Problems, Evaluation by State and Local Governments

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1679 **PROJECT NO:** Reg IX 77-4
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: C-9-77-0011
COST (In Thousands): FY 77-\$4
PROJECT STATUS: Study in process
START/END DATES: September '77 through December '78

1334

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of the Impact of HEW Assistance on
Recent Immigrants in the State of Hawaii

REPORT TITLE: Not yet determined

PERFORMER: Office of the Governor; State of Hawaii; Honolulu,
Hawaii 96813; Susumu Ono; 808-548-5420

AGENCY CONTACT: Wilson, Wayne; Rm 421, 50 U.N. Plaza; San
Francisco, CA 94102; 415-556-6904

PROGRAM(S) EVALUATED:
V-Many HEW Programs

ABSTRACT: This study will evaluate 1) the impact of HEW-assisted programs on recent immigrants in Hawaii and 2) the impact of this target population on the existing service delivery system. The study will focus on immigrants entering from 1972 to the present. The contractor will 1) identify significant problems encountered by recent immigrants in their adjustment to a new socio-economic environment, 2) examine the effect that the heavy influx of immigrants and their accompanying need for special services has on the State of Hawaii, its localities, the service delivery system, and non-immigrant human services recipients, 3) determine the extent to which HEW-assisted programs recognize and accommodate this in-need population and what significant gaps exist in substantive service planning and delivery, and 4) recommend ways in which various levels of government and community representatives can cooperate in developing comprehensive services that are more responsive to the needs and problems of new immigrants.

DESCRIPTORS: Access to Services, Asian Americans, Non English Speaking, Immigrants and Refugees, Minority Groups

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1084 **PROJECT NO:** Reg IX 77-06
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: OEC-9-77-0012
COST (In Thousands): FY 77-\$4

PROJECT STATUS: Study in process
START/END DATES: September '77 through June '78

1336

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of the Impact of HEW Assistance on Undocumented Persons in Los Angeles and Orange Counties

REPORT TITLE: Not yet determined

PERFORMER: Center for Public Policy and Administration; Long Beach State University; Bellflower Blvd.; Long Beach, CA 90840; Mel D. Powell, 213-498-4177

AGENCY CONTACT: Wilson, Wayne M.; Region IX; 415-556-6904

PROGRAM(S) EVALUATED:
V-Many HEW Programs

ABSTRACT: The purpose of this study is to evaluate 1) the effectiveness of HEW-assisted programs serving undocumented persons living in Los Angeles and Orange Counties, and 2) the extent to which this target population is affecting existing human service delivery systems and resources. This will be accomplished through 1) a review of current studies and regulations and a survey of the literature in this area, 2) interview with Federal, State, county and local agency representatives, service delivery personnel, and designated advocates of undocumented persons, and 3) a workshop of conference for the purposes of addressing issues raised in the prior phases of work and building a continuing network or resource exchange for concerned persons involved in the delivery of services to undocumented persons. This study will focus on two adjacent counties in California to provide a comparative basis for assessing differential policy and implementation approaches to providing services to undocumented persons.

DESCRIPTORS: Access to Services, Eligibility Issues, Immigrants and Refugees, Legal Issues, Low-Income Population, Migrants, Minority Groups, Non English Speaking, Evaluation by State and Local Governments

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1680 **PROJECT NO:** Reg IX 77-5
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: OEC-9-77-0009
COST (In Thousands): FY 77-54
PROJECT STATUS: Study in process
START/END DATES: September '77 through December '78

1337

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: EVALUATION OF THE IMPACT OF HEW ASSISTANCE ON UNDOCUMENTED PERSONS IN LOS ANGELES AND ORANGE COUNTIES, CA.

REPORT TITLE: Not yet determined

PERFORMER: LONG BEACH STATE UNIVERSITY; CEN. FOR PUB. POL. AND ADMIN.; 1250 BELLFLOWER BLVD.; LONG BEACH, CA 90840; MEL D POWELL 213 498-4177

AGENCY CONTACT: WILSON, WAYNE; RM 421, 50 U.N. PLAZA; SAN FRANCISCO, CALIFORNIA 94102; 415 556-6904

PROGRAM(S) EVALUATED:
V-MANY HEW PROGRAMS

ABSTRACT: THE PURPOSE OF THIS STUDY IS TO EVALUATE 1) THE EFFECTIVENESS OF HEW-ASSISTED PROGRAMS SERVING UNDOCUMENTED PERSONS LIVING IN LOS ANGELES AND ORANGE COUNTIES, AND 2) THE EXTENT TO WHICH THIS TARGET POPULATION IS AFFECTING HUMAN SERVICE DELIVERY SYSTEMS AND RESOURCES. THIS WILL BE ACCOMPLISHED THROUGH 1) A REVIEW OF CURRENT STUDIES AND REGULATIONS AND A SURVEY OF RELEVANT LITERATURE, 2) INTERVIEWS WITH FEDERAL, STATE, COUNTY, AND LOCAL AGENCY REPRESENTATIVES, SERVICE DELIVERY PERSONNEL, AND DESIGNATED ADVOCATES OF UNDOCUMENTED PERSONS, AND 3) A WORKSHOP OR CONFERENCES FOR THE PURPOSES OF ADDRESSING ISSUES RAISED IN THE PRIOR PHASES OF WORK AND BUILDING A CONTINUING NETWORK OR RESOURCE EXCHANGE FOR CONCERNED PERSONS INVOLVED IN THE DELIVERY OF SERVICES TO UNDOCUMENTED PERSONS. THIS STUDY WILL FOCUS ON TWO ADJACENT CALIFORNIA COUNTIES TO PROVIDE A COMPARATIVE BASIS FOR ASSESSING DIFFERENTIAL POLICY AND IMPLEMENTATION APPROACHES TO PROVIDING SERVICES TO UNDOCUMENTED PERSONS.

DESCRIPTORS: ACCESS TO SERVICES, NON ENGLISH SPEAKING, IMMIGRANTS AND REFUGEES, MIGRANTS, MINORITY GROUPS

EVALUATION TYPE: PLACE PROJECT OR TECHNIQUE

EDC ID NO: 1081 **PROJECT NO:** REG IX 77-03
FUND SOURCE: EVALUATION **NTIS NO:** Not yet determined
MECHANISM: CONTRACT **ERIC NO:** Not available
CONTRACT NO: OEC-9-77-0009
COST (In Thousands): FY 77-54
STATUS: Study in process
START/END DATES: September '77 through June '78

1338

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of the New York State Child Health Assurance Program (EPSDT)

REPORT TITLE: Evaluation of the New York State Child Health Assurance Program

PERFORMER: Macro Systems, Inc.; 1110 Fidler Lane; Silver Spring, Md. 20910; Foster Davidson, 301-558-5484

AGENCY CONTACT: Meyer, Alan S. Ph.D.; HEW Region II; 212-264-1999

PROGRAM(S) EVALUATED:
HCFA-Medicaid-EPSDT

ABSTRACT: A study of implementing the EPSDT program in four case study counties in New York State and early outcomes in terms of the flow of children through the health delivery system. Statewide questionnaire also administered to 58 other counties. First report, on basis of limited data, found no variables clearly related to early success with possible exception of the existence of social service linkages with other programs in county. Existing and potential linkages with local school and health agencies are under further study. Basic assumptions of program were questioned. Findings and recommendations are to be reported to regional and central HCFA (formerly SRS) offices and State local agencies to stimulate actions to strengthen program.

DESCRIPTORS: Children and Youth, Preventive Health Care, Health Care Delivery Systems, Program Coordination, Survey or Questionnaire Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1303 **PROJECT NO:** Reg II 76-03

FUND SOURCE: Evaluation **NTIS NO:** EDC Only

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 120-76-0003

COST (In Thousands): FY 76-\$198

PROJECT STATUS: Study completed,

Available from EDC

START/END DATES: August '75 through October '76

1339

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of the Record-Keeping and Information Transfer Activities of DHEW Program Agencies, Region VI

REPORT TITLE: None

PERFORMER: Cross and Associates; 111 North Peters; Norman, Oklahoma 73069; Philip R. Cross 405-321-8472

AGENCY CONTACT: Gonzales, Ricardo; HEW Region VI; 729-3310

PROGRAM(S) EVALUATED:

DM-General Departmental Management-Operations

ABSTRACT: The purpose of this study is to evaluate the various record keeping systems and procedures used by DHEW Region VI program components (SSA exempted) to acquire, transmit, and utilize selective information/results obtained from funded projects. The study will identify pertinent legislative, regulatory, and department program data requirements and the cost and activities associated with the creation, collection, compilation, processing, storage, retrieval and utilization of information. The study will assess information compliance, utility and impact on operations.

DESCRIPTORS: Management Information and Reporting, Information Processing, Information Dissemination

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1314 **PROJECT NO:** Reg VI 76-01

FUND SOURCE: Evaluation **NTIS NO:** None

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 160-76-0003

COST (In Thousands): FY 76-\$150

PROJECT STATUS: Study completed,

aAvailable from NTIS,

Study completed,

Executive summary at EDC,

llExecutive summary at EDC,

d

START/END DATES: February '76 through February '78

1340

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Special Services Projects for Disadvantaged Students Evaluation

REPORT TITLE: An Evaluation of the Special Services Program for Disadvantaged Students in Post-Secondary Institutions

PERFORMER: Kirschner Associates, Inc.; 2425 Alamo Avenue SE; Albuquerque, New Mexico 87106; Nancy Sandusky, 505-243-1763

AGENCY CONTACT: Boggs, Burce; HEW Region VII; 816-374-5081

PROGRAM(S) EVALUATED:

E-OE-Higher Education-Special Programs for Disadvantaged-Special Services

ABSTRACT: This study analyzed the performance of 9 of the 23 Special Services projects in Region VII. Special Services is one of the TRIO programs and provides counseling, tutoring, and remedial classes to disadvantaged college students to increase their retention and graduation rate. The study describes the operation of the program, presents performance measures (related to student characteristics, facilities, eligibility, outcomes, policies, etc.) and instruments suitable for across-project monitoring and assessment, presents a method of rating performance, and presents the results of site visits and surveys at the nine projects. The study found that several projects were not well linked to similar services and resources; that most schools have liberal retention policies for Special Services students; that degree of own-funds support varies widely; that tutoring is the most common service; that most but not all served were eligible, especially as low-income rather than handicapped students; that many projects have a special black student focus; that projects vary widely in drop-out and graduation rates; and that few projects met OE requirements for record-keeping and full-time staff. (Final report 112 pages).

DESCRIPTORS: Case or Site Study Feature, Higher Education, College Students, Educationally Disadvantaged, Discretionary and Project Grant Performance, Grant and Contract Management, Survey or Questionnaire Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0579 **PROJECT NO:** Reg VII 75-4

FUND SOURCE: Evaluation **NTIS NO:** SHR-0001406

MECHANISM: Contract **ERIC NO:** Not yet determined

CONTRACT NO: 170-76-0002

COST (In Thousands): FY 76-\$20

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS

START/END DATES: September '75 through January '76

1341

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: An Evaluation of the State of Delaware's Human Service Delivery

REPORT TITLE: Evaluation of the State of Delaware's Human Service Delivery System

PERFORMER: National Institute for Advanced Studies; 1801 Quincy Street, NW; Washington, DC 20011; Michael Davis, 202-347-1770

AGENCY CONTACT: Perez-Daple, Roy; HEW Region III; 215-596-6507

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Grants in Aid

ABSTRACT: Delaware, in recognition of the inadequacies of single-purpose delivery techniques, established the Div. of State Service Centers to expedite services integration at the local level. Their objective was to establish a network of community based, multi-purpose centers. This study has focused on the extent to which the "new"

system when compared to the "old" system has been successful in accomplishing the following goals: to coordinate the delivery of human service programs; to increase the accessibility of service to those in need; to deliver service as economically as possible; to increase community involvement in defining service needs and to provide a more uniform basis for programming and management. The technical approach is innovative, combining indirect process variables, systems analysis, case study, and attitudinal measures. The final report includes: a thorough discussion of the research design, a comparative analysis and assessment of three SI catchment areas and one categorical delivery cut element area, a discussion of transferable technology useful for application in other states and localities contemplating SI programs, conclusions, and a development strategy for the Delaware Services Integration program. (Final report 318 pages.)

DESCRIPTORS: Services Integration, Multiservice Center, Social Services Delivery Systems, State or Local Administration, Citizen Participation, Survey or Questionnaire Feature, Case or Site Study Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1305 **PROJECT NO:** Reg III 76-02

FUND SOURCE: Evaluation **NTIS NO:** SHR 000-2253

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 130-76-1

COST (In Thousands): FY 76-\$165, FY 76.1-\$32

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: July '75 through October '77

1342

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of the Utilization Effectiveness of Rural Health

REPORT TITLE: Evaluation of the Utilization Effectiveness of Rural Health

PERFORMER: University of Utah Medical Center; Dept. Family and Community Medicine; 50 North Medical Drive; Salt Lake City, Utah 84132

AGENCY CONTACT: Colby, Darwin E.; Region VIII; FTS 8 327-2867

PROGRAM(S) EVALUATED:

PHS-HSA-Community-National Health Service Corps;
PHS-HSA-Health Services-Program Direction & Management;
PHS-HSA-Health Services-Community-Health Centers

ABSTRACT: The purpose of this study was to develop a method to predict the utilization of Federally funded rural health programs. The ultimate goals of the study was the choice of sites for rural health programs. The study found that prediction of "successful" National Health Service Corps clinics in terms of utilization is limited by the simple fact that utilization at all of the Corps sites is remarkably homogeneous. NHSC utilization is, however, predictable in the sense that if all clinics behave alike, the next in any series is quite likely to experience utilization similar to those that went before. This finding raises questions about the appropriateness of current expectations for growth and eventual financial independence of individual NHSC sites. (Final report 92 pages)

DESCRIPTORS: Grant and Contract Management, Health Care Delivery Systems, Survey or Questionnaire Feature, Statistical Analysis Methods Feature, Public Health Services

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1371

PROJECT NO: Reg VIII 76-1

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 298-76-0006

COST (In Thousands): FY 76-\$75

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: September '77 through March '78

1343

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: An Evaluation of the Utilization of Needs Assessment Data in the Decision-Making Process in Oregon

REPORT TITLE: Not yet determined.

PERFORMER: Oregon Dept. of Human Resources; Rm 320 Public Services Bldg.; Salem, Ore 97310; Wm. Duke Morton 503-378-3033

AGENCY CONTACT: Welling, Ms. Enid; HEW Region X; 206-442-0526

PROGRAM(S) EVALUATED:

HD-Social Services;
V-Many HEW Programs-Grants in Aid

ABSTRACT: This is an analysis of the utilization of problem-oriented needs assessment data in the human services planning, budgeting and resources allocation process in Oregon. Primarily in response to Title XX requirements, Oregon has implemented a "zero-based" needs assessment process in which 33 of its 36 counties have participated. This project will analyze the extent and nature of use of the collected data by State legislators, and planners and program administrators in the Department of Human Resources, as well as identify factors which inhibit the use of needs assessment data in the resource allocation and decision-making process. The findings will be used as a basis for making recommendations: 1) to the Secretary, HEW, for needed changes in Federal legislation and regulations regarding needs assessment; 2) to the Regional Director and Regional agency heads for needed changes in the Region X technical assistance capacities and utilization of state needs assessment data in the allocation of discretionary funds; and 3) to the State of Oregon for improvement in the needs assessment process.

DESCRIPTORS: Case or Site Study Feature, Planning, Evaluation by State and Local Governments, Budgeting, Needs and Needs Assessment

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1325

PROJECT NO: Reg X 76.1-03

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 101-76-0015

COST (In Thousands): FY 76.1-\$50

PROJECT STATUS: Study completed

START/END DATES: July '76 through March '77

1344

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: An Evaluation of the Communication Effectiveness of HEW Programs and Its Influence on Program Operations

REPORT TITLE: Evaluation Plan for the Determination of the Communication Effectiveness of DHEW Region VI Programs and Its Influence on Programs

PERFORMER: School of Business Administration; Southern Methodist University; Dallas, Texas; William J. Lundstrom

AGENCY CONTACT: Dumas, Neil S.; HEW Region VI

PROGRAM(S) EVALUATED:

DM-General Departmental Management-Operations

ABSTRACT: The impact of information dissemination decisions made by HEW programs in Region VI is examined, and a design to measure the effectiveness of these communication efforts is presented. The study focuses on (1) analysis of how a decision is made to select certain vehicles for informing the public of HEW programs; (2) analyze the efficiency of these choices by testing the level of public awareness; and (3) ascertainment the effectiveness in obtaining desired actions of persons participating in HEW programs. Federal legislation on HEW program information dissemination is reviewed as are the roles of the Public Affairs Information Office and the Intergovernmental Affairs Office. Literature on the communication process and its effectiveness is reviewed. Emphasis is placed in the design on context evaluation, input evaluation, process evaluation, and product evaluation. Product evaluation is felt to be the most crucial kind of evaluation for HEW communications. An experimental design could determine whether the sponsor of an information request and the method by which an information request is submitted influences the nature of response. (Final report 94 pages).

DESCRIPTORS: Information Dissemination, Bibliography Feature, Federal Administration

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0535

PROJECT NO: Reg VI 75-5

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: VI-75-7

COST (In Thousands): FY 75-\$3

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: July '75 through October '75

1345

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Development of Model Interagency Strategy for EPSDT Implementation, Monitoring and Evaluation

REPORT TITLE: An Evaluative Study of Early and Periodic Screening, Diagnosis, and Treatment Program in Ohio and Wisconsin, Vol. I

PERFORMER: American Management Systems, Inc.; 1515 Wilson Boulevard; Arlington, Virginia 22209

AGENCY CONTACT: Hendrick, Michael; DHEW Region V;
312-668-5159

PROGRAM(S) EVALUATED:

HCFA-Medicaid-EPSDT;

HCFA-Medicaid-State & Local Administration

ABSTRACT: This study is the result of a managerial analysis of Early Periodic Screening, Diagnosis, and Treatment (EPSDT) Programs in Ohio and Wisconsin. It describes the types of interagency agreements and cooperative arrangements that each state developed to support EPSDT. Also evaluated are administrative arrangements, selected program elements, and the role of HEW in supporting the states. The study found that neither Ohio nor Wisconsin had made much progress in implementing the EPSDT program: Reasons for this are the concern about cost, lack of concrete planning objectives, and organi-

zational problems. General recommendations are that States 1) produce a good EPSDT plan, 2) become familiar with all current EPSDT providers and units, 3) also assess current EPSDT operations, 4) encourage two-way local-to-State communication, and 5) correct deficiencies noted in their operational assessments. The study also gives reasons for the gap between the Federal priorities for EPSDT and the State organizational and resource commitments to EPSDT, and recommends that a Federal Regional Task Force take a more active role in persuading the States to implement EPSDT. (Final report 98 pages.)

DESCRIPTORS: Health Care Delivery Systems, Preventive Health Care, Public Health Services, Federal State and Local Relations, Management Information and Reporting

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0413

PROJECT NO: CC/Reg V 74-2

FUND SOURCE: Evaluation

NTIS NO: PB 247-685

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: HEW-OS-74-249

COST (In Thousands): FY 74-\$19

PROJECT STATUS: Study completed,

Available from EDC,

Executive summary at EDC,

Available from NTIS

START/END DATES: June '74 through December '74

1346

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Factors Influencing Immunization Rates in Illinois
2-Year Olds

REPORT TITLE: Not yet determined

PERFORMER: State of Illinois, Bureau of the Budget; 524 South Second Street; Springfield, Illinois; Ms. Lynn Seermon; FTS 956-5414

AGENCY CONTACT: Hendricks, Michael; Region V; FTS
886-5191

PROGRAM(S) EVALUATED:

PHS-CDC Preventive Health-Disease Control-Immunization Grants

ABSTRACT: This study lists and examines possible causes for lower-than ideal immunization rates among Illinois 2-year olds. Telephone interviews are conducted with respondents to a State Health Department survey of parents with 2-year old children; information is gathered on both immunizations and dates and also on characteristics presumed to affect immunization rates. Physicians and other health officials are personally interviewed to gather information from their perspective. Analyses will relate various traits of parents, of physicians, and of the parent physician interaction to immunization rates. Recommendations will suggest better strategies for increasing immunization levels among pre-school children.

DESCRIPTORS: Preventive Health Care, Communicable Disease, Infants, Children and Youth, Survey or Questionnaire Feature, Physicians

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1071

PROJECT NO: Reg V 77-03

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Personal Services

ERIC NO: Not available

CONTRACT NO: RO-V-1048-77

COST (In Thousands): FY 77-\$5

PROJECT DESCRIPTIONS

PROJECT STATUS: Study in process
START/END DATES: August '77 through March '78

1347

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Feasibility Study on the Parent as Educator
Concept

REPORT TITLE: Feasibility Study on the Parent as Educator
Concept

PERFORMER: ORD/P&E and OHD/OCD; 3535 Market Street;
Philadelphia, PA 19101

AGENCY CONTACT: Perez-Daple, Roy; HEW Region III;
215-596-6507

PROGRAM(S) EVALUATED:
HD-Child Development-Head Start

ABSTRACT: The primary purpose of the study was to test a survey instrument developed to determine the effects of the Head Start program options on the parent's concept as the prime educator of their children. These findings are of significant importance in terms of possible Head Start strategies to increase the "parent as educator concept": (a) that the program option of Center/Home based delivery is an important factor in the development of positive self-images of parents as the educators of their children; (b) programs which employ multiple program options (mixed mode) tend to positive influence parents' perceptions of themselves as the educators of their children; and (c) it appears that the interaction of both Center/Home based mixed mode variables favors mixed mode programs over single option programs. NOTE: The instrument tested in this study was refined and is currently in use in a pre-post test research design to study the parent as educator concept in depth--See EDC 1308. (Final report 38 pages).

DESCRIPTORS: Child Development, Consumer Education, Feasibility Study Feature, Survey or Questionnaire Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 1307 **PROJECT NO:** Reg III 76-04

FUND SOURCE: Salaries and
Expenses

MECHANISM: In-house **NTIS NO:** EDC Only

CONTRACT NO: Not available **ERIC NO:** Not yet determined

COST (In Thousands): FY 76-Not available

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: September '75 through February '76

1348

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Further Analysis of Evaluation of Federal
Interagency Day Care Requirements

REPORT TITLE: Not yet determined

PERFORMER: UNCO, Inc.; 1005 N. Prospect St.; Tacoma,
Washington 98402

AGENCY CONTACT: Crossman, John; HEW, Region X;
206-442-0490

PROGRAM(S) EVALUATED:
HD-Child Development-Head Start;
HD-Social Services-Child Care;
HD-Work Incentives-Child Care & Supportive Services

ABSTRACT: This study is an analysis of data relating to compliance with Federal Interagency Day Care requirements, and includes preparation of reports for each of the States in Region X. It is a follow-on to an earlier Region X study.

DESCRIPTORS: Child Care, Regulation and Standard Setting, State or Local Administration, Survey or Questionnaire Feature
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0983 **PROJECT NO:** Reg X 74-1

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: RX-74-15-HEW

COST (In Thousands): FY 74-\$15

PROJECT STATUS: Study completed

START/END DATES: July '74 through October '74

1349

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: HEW Client Transportation in Michigan

REPORT TITLE: HEW Client Transportation in Michigan

PERFORMER: Michigan State Dept. of Transportation; Bob Roach

AGENCY CONTACT: Dan Larsen; Region V; 312-353-2597

PROGRAM(S) EVALUATED:
V-Many HEW Programs

ABSTRACT: This study will identify all HEW supported client transportation in 10 counties in Michigan, measure the cost and benefits of that transportation in 4 counties, and assess possible options for improving the coordination and delivery of client transportation. The study will particularly focus on administrative, political, bureaucratic, and regulatory barriers to the improvement of client transportation at the local, state, and federal levels, and will suggest policy and regulatory changes at those levels.

DESCRIPTORS: Transportation, Program Coordination, Cost-Effectiveness Analysis Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1070 **PROJECT NO:** Region V- 77-02

FUND SOURCE: Evaluation **NTIS NO:** Not yet determined

MECHANISM: Personal Services **ERIC NO:** Not available

CONTRACT NO: Not available

COST (In Thousands): FY 77-\$10

PROJECT STATUS: Study in process

START/END DATES: September '77 through April '78

1350

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Assessment of Interactions Between HEW
Policies and Practices Relative to Equal Employment
Opportunities and Civil Rights Enforcement

REPORT TITLE: HEW Equal Employment Opportunity and Civil
Rights Activities

PERFORMER: Rosemary Esquivel

AGENCY CONTACT: Fulton, Robert; ORD, Reg I; 617-223-6581

PROGRAM(S) EVALUATED:
DM-Office for Civil Rights;
DM-General Departmental Management-Operations

ABSTRACT: This study is a review of the present and potential interactions between various HEW, EEO, and Civil Rights Enforcement activities within the regional office. It concerns the opportunities for ORD leadership to promoting more effective equal employment opportunity within HEW and in organizations which receive HEW funds. It also concerns how an analysis of HEW grant, contract, OCR, and Regional Director influences can be used to promote greater progress by state government agencies in the practice of equal employment opportunity. The study found that the most pervasive employment discrimination today results from normal, often unintentional and seemingly neutral practices throughout employment processes; that implementation of Affirmative Action Plans and Civil Rights law is hindered by staffing problems and lack of training; that HEW Region I female employees consistently work at lower grades and pay than men; and that HEW Region I personnel, as well as other federal agency staff, did not see themselves responsible for matters of equal employment opportunity and civil rights enforcement. (Final report 24 pp.)

DESCRIPTORS: Civil Rights and Integration
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0655 **PROJECT NO:** Reg I-75-4
FUND SOURCE: Evaluation **NTIS NO:** EDC only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: Not available
COST (In Thousands): FY 75-\$6
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: October '74 through December '74

1351

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation: New Perspectives (Conference and Proceedings)

REPORT TITLE: HEW Region III Evaluation Conference: New Perspectives; Summary of Proceedings

PERFORMER: HEW/ORD/P&E; 3535 Market Street; Philadelphia, Pa 19101

AGENCY CONTACT: Mangano, Michael; HEW Reg III; 215-596-6507

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Planning & Evaluation

ABSTRACT: This project involved a conference of several hundred federal, state and private persons on program evaluation, focussing on practical problems in obtaining useful studies. The three day format consisted of plenary speakers of national reputation, panel members with diverse background, and group discussions. The major topical areas and speakers were: "Problems and Approaches to Documenting Causality in Social Programs" by Dr. Clarence C. Sherwood, City University of New York; "Methodological Problems in Studying the Process and Impact of Human Service Delivery", Jum C. Nunally, Vanderbilt University; "Achieving Desired Policy or Practice Changes: Findings Alone Won't Do It", Howard R. Davis and Susan E. Salasin of HEW; "New Approaches to Evaluation: Goal Attainment vs. Goal Free", Dr. Marcia Guttentag, Harvard University; and "Program Planning and Evaluation: Criteria for Choices and Resource Allocations", Dr. David Twain, Rutgers University. There were other papers and extensive panel discussions. The conference proceedings were

DESCRIPTORS: Conference Proceedings Feature, Research and Evaluation Planning and Administration, Literature Review Feature

EVALUATION TYPE: Support

EDC ID NO: 1310 **PROJECT NO:** Reg III 76-07
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: In-house, Contract **ERIC NO:** Not available
CONTRACT NO: Not yet determined
COST (In Thousands): FY 76-\$8
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: September '76 through April '77

1352

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Development of an Evaluation Manual for Home Health C Agencies

REPORT TITLE: Home Health Care Program Evaluation Manual

PERFORMER: Five Hospital Homebound Elderly Program; 501 Surf Street; Chicago, Illinois; Ms. Evelyn McNamara 549-5882

AGENCY CONTACT: Hendricks, Michael; Region V; FTS 886-5191

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-Home Health Services

ABSTRACT: This project developed a self-evaluation manual for use by Home Health Care Agency administrators and staff. Areas covered include the costs, penetration, case coordination, effectiveness, client satisfaction, and efficiency of agencies, among other aspects. Focus is on producing a readable and workable manual for repeated usage by program staff themselves. In addition, an information system for record all needed data is outlined and sample forms appendix. (Final report 75 pages.)

DESCRIPTORS: Research and Evaluation Planning and Administration, Research and Evaluation Methods Feature, Home Health Care, Management Information and Reporting

EVALUATION TYPE: Support

EDC ID NO: 1072 **PROJECT NO:** Reg V 77-04
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Personal Servi **ERIC NO:** Not available
CONTRACT NO: RO-V-1290-77
COST (In Thousands): FY 77-\$5
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: March '77 through 7

1353

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Comparative Analysis of HEW and State Requirements for Sub-State Planning in Minnesota

REPORT TITLE: Human Services Planning Study: A Comparative Analysis of HEW and State Requirements Impacting Sub-State Human Services Planning

PERFORMER: State of Minnesota Planning Agency

AGENCY CONTACT: Larsen Dan; HEW Region V; 312-353-2597

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Planning & Evaluation

ABSTRACT: The primary purpose of this study was to investigate federal planning assistance to state and local governments that impact upon substate (county) Human Services planning. The objectives were to: 1) identify and describes HEW programs which provide planning support to the State of Minnesota and local governments; 2) describe state planning initiatives with respect to sub-state human services planning and delivery systems in Minnesota; 3) identify and compare OMB, manpower, and correction planning requirements relevant to the establishment of Human Services Boards; and, 4) analyze the impact of HEW program related planning requirements on the development of the pilot Human Services Boards and sub-state regional level planning. The study found that there are minimal federal statute and regulation constraints to state and substate human services planning and organization, the State has significant flexibility to restructure its agencies and information and reporting systems to develop its own design for human services planning, decision-making, and service delivery administration. However, Minn. has a difficult administrative problem with strong single-county administration, limited service area congruence, and a need for state agency reorganization. The study concluded that Minnesota has placed limited emphasis on management relationships between Human Services agencies, at a state and local level. (Final report 116 pages.)

DESCRIPTORS: Planning, Decentralization, Evaluation by State and Local Governments, Services Integration, Program Coordination
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0536 **PROJECT NO:** Reg V 74-3
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Grant **ERIC NO:** Not available
GRANT NO: HEW-5-74-0107
COST (In Thousands): FY 74-\$48
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: July '74 through July '75

1354

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Analysis of Obstacles to Obtaining Home Health Care in New York and New Jersey and Recommendations of New Strategies

REPORT TITLE: Improving Home Health Services in New York and New Jersey: A Strategy for Regional Action

PERFORMER: Policy Planning & Management Services; 275 Central Park West; NYC 10024; John Fiorillo 212-724-3583

AGENCY CONTACT: Meyer, Alan S. Ph.D.; HEW Region II; 212-264-1999

PROGRAM(S) EVALUATED:
PHS-HSA-Health Services-Community-Home Health Services;
HD-Aging-Community Services-Area Planning;
HCFA-Medicaid-Long Term Care;
HCFA-Medicare-Supplementary Medical Insurance-Benefit Payments

ABSTRACT: This study describes obstacles to development and delivery of home health services, based on interviews at 17 sites in New York and New Jersey and with officials of regional and central offices and other organizations. Findings highlight problems of management, funding and coordination of services. Report presents ten recommendations for action by regional offices to increase availability and utilization of home health services. Regional interagency work group is developing a regional strategy based in part on this report. (To be completed upon receipt of final report).

DESCRIPTORS: Elderly, Home Health Care, Case or Site Study Feature, State or Local Administration

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1301 **PROJECT NO:** Reg II 76-01
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 120-76-0001
COST (In Thousands): FY 76-\$23
PROJECT STATUS: Study completed
START/END DATES: July '75 through July '76

1355

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Indian Reservation Data Study

REPORT TITLE: Indian Reservation Data Study for Region VIII
PERFORMER: Center for Social Research and Development;
Denver Research Institute; Univ. of Denver; 2142 S. High St; Denver, Co. 80210

AGENCY CONTACT: Hodges, Allen, Ph.D.; Reg. VIII;
303-837-4631

PROGRAM(S) EVALUATED:
HD-Native Americans Program

ABSTRACT: The purpose of this study was to determine the availability of secondary source socio-economic-demographic data for the 24 Indian Reservations in Region VIII; comparing such data to existing State and Federal information for discrepancies, areas of conflict, and voids. Demographic, economic, health, and welfare statistics for the years 1970, 1972, and 1974 were acquired. Since the 24 Reservations are served by four different BIA offices, there was difficulty in contacting the appropriate person, and obtaining strictly comparable data for the same periods of time. The result was a great deal of knowledge about regularly and irregularly generated BIA and IHS data, some comparable data and some non-comparable data. Further work is necessary in order to assess sources, and the data they generate, before attempting to construct a network of contacts and agencies which would contribute to the data bank (Final report 65 pages).

DESCRIPTORS: Native Americans, Statistical Data Feature, Information Systems

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0659 **PROJECT NO:** Reg VIII-75-1
FUND SOURCE: Evaluation **NTIS NO:** EDC only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 180-75-0016
COST (In Thousands): FY 75-\$5
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: June '75 through November '75

1356

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: California Children's Services

REPORT TITLE: Intergovernmental Issues in Human Services
Delivery -Children's Services in California

PERFORMER: Urban Management Consultants of San Francisco, Inc.; 680 Beach Street; San Francisco, Cal. 94109

AGENCY CONTACT: Purvis, Thomas A.; HEW Region IX;
415-556-6603

PROGRAM(S) EVALUATED:

HD-Social Services-Child Care;
HD-Social Services-Foster Care;
HCFA-Medicaid-EPSTD;
HD-Child Development-Head Start;
HD-Developmental Disabilities-State Grants;
PHS-HSA-Health Services-Community-Maternal & Child
HealthGrants

ABSTRACT: Issues confronting Federal, State and local agencies involved in administering and delivering services to children in California are identified and discussed. The report is based on interviews with elected officials, general purpose government staff, and program personnel in four counties, and with State administrators and agency staff, Federal regional office personnel, and representatives of private groups. The children's services that were examined fall into four general categories: child welfare, child care and preschool education, child health, and child justice. In each area, relevant Federal and State statutes and programs are identified, the operation of the service system at the State and local level is outlined, and problems are identified relative to intergovernmental and government-community relationships. Issues discussed relate both to general system operation and to specific programs, including local coordination, funding levels, eligibility restrictions, etc. (Final report 122 pages).

DESCRIPTORS: Child Care, Program Coordination, Federal State and Local Relations, State or Local Administration, Crime, Foster Care and Adoption, Child Welfare, Survey or Questionnaire Feature

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0578

PROJECT NO: Reg IX 74-3

FUND SOURCE: Evaluation

NTIS NO: PB259-721

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: OEC-9-74-0006

COST (In Thousands): FY 74-\$100

PROJECT STATUS: Study completed,

Available from EDC,

Available from NTIS

START/END DATES: July '74 through March '76

1357

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Joint HEW Urban Mass Transportation
Administration Evaluation of Elderly and Handicapped
Transportation Service in Region IV

REPORT TITLE: Joint HEW Urban Mass Transportation
Administration Evaluation of Elderly and Handicapped
Transportation Service in Region IV

PERFORMER: Carter-Goble-Roberts, Inc.; Atlanta, Georgia

AGENCY CONTACT: Not yet determined

PROGRAM(S) EVALUATED:

V-Non-HEW Programs-Transportation

ABSTRACT: The major goals of this project were twofold: 1) to evaluate the degree of coordination that presently exists among human service transportation providers, both public and private, with special attention focusing on the needs of older citizens and handicapped individuals, 2) to develop a generic planning, implementation, and evaluation document based on an analysis of findings in six selected sites in the Southeast that would be transferable to any community seeking to move efficiently to serve the mobility needs of elderly and handicapped persons through coordinated efforts. The result of the study was a two volume report. Volume I, Planning and

Coordination Manual is a "how to" manual intended for use by those responsible for planning and/or operating specialized transportation; social services transportation; and rural transportation are the categories of specialized transportation addressed. The manual explains how to start, plan, operate, and manage a specialized transportation system and how to coordinate the services of one agency with those of others. Volume II presents findings from analyses of case studies of the use of a survey instrument along with qualitative observations and analyses based on site visits and communications with state, Federal, and local individuals.

DESCRIPTORS: Survey or Questionnaire Feature, Case or Site Study Feature, Transportation, Elderly, Handicapping Conditions and Problems, Management Techniques, Technical Assistance

EVALUATION TYPE: Support

EDC ID NO: 1369

PROJECT NO: Reg. IV 77-1

FUND SOURCE: Not yet determined

NTIS NO: PB 296-894,895

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 140-77-0016

COST (In Thousands): FY 77-Not available, FY 78-Not available

PROJECT STATUS: Study completed,

Available from EDC,

Available from NTIS

START/END DATES: October '77 through January '79

1359

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Migrant Health Project Evaluation

REPORT TITLE: Migrant Health Project Evaluation

PERFORMER: Development Associates; 2140 W. Olympic; Los Angeles, California 90006

AGENCY CONTACT: Lucaccini, Dr. Luigi; 415-556-8387

PROGRAM(S) EVALUATED:

PHS-HSA-Health Services-Community-Migrant Health

ABSTRACT: In order to determine to what degree a self-evaluation tool would contribute to improving the administrative and management capabilities of migrant health projects, three migrant health projects in Region IX were selected to test the self-evaluation process. The testing of the self evaluation process produced favorable indications about the value of this methodology and was moderately successful in two distinct types of migrant health projects--one, an experienced and stable project, and the other a relatively new and developing project. Recommendations for future application of the self-evaluation process and recommendations regarding the types of technical assistance and training that will be needed to support future applications are outlined. The study also examines the regional technical assistance capability in relation to the expressed needs of the three projects that participated in this study, and a series of recommendations, based on information gathered at the project level, are presented. (Final report 52 pages + appendices.)

DESCRIPTORS: Evaluation by State and Local Governments, Technical Assistance, Migrants, Case or Site Study Feature

EVALUATION TYPE: Support

EDC ID NO: 0530

PROJECT NO: Reg IX 74-2

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 299-74-0006

COST (In Thousands): FY 74-\$96

PROJECT DESCRIPTIONS

PROJECT STATUS: Study completed
START/END DATES: June '74 through April '76

1360

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Utilization Review/Peer Review in Community
Mental Health Centers

REPORT TITLE: A Model Program for Quality Assurance in
Community Mental Health Centers Within DHEW Region X

PERFORMER: Leonard Guss Associates, Inc.; 4041 Ruston Way;
Tacoma, Wash. 98402; Allen Ratcliffe, Ph.D. 206-759-7000

AGENCY CONTACT: Gustafson, Charles; HEW Region X;
206-442-1730

PROGRAM(S) EVALUATED:
PHS-ADAMHA-Mental Health-Community Programs &
Centers;
PHS-HSA-Health Services-Quality Assurance

ABSTRACT: The Community Mental Health Amendments of 1975 (PL 94-63, Title III) require the development of quality assurance programs by CHMC's. This study resulted in the development of a practical model for quality assurance type activities within CHMC's. An extensive search of the recent professional literature was conducted in an attempt to identify relevant information concerning the review of ambulatory mental health care; site visits were made to six Federal CMHC's in the states of Idaho, Oregon and Washington to review their actual quality assurance practices; and a model plan for quality assurance was defined. This model is compatible with actual CMHC quality assurance practices and will enable CMHC's to anticipate and adapt to basic PSRO quality assurance procedures. The model encourages CMHC to adopt records review and initial screening procedures, which can increase their capacity to conduct treatment review and increase the efficiency of their existing case staffing procedures. The model encourages each CMHC staff to develop local criteria for screening cases to detect those which deserve more intensive clinical review. It encourages the evolution of local and regional standards for quality of care.

DESCRIPTORS: Ambulatory and Outpatient Health Care, Quality Assurance, Mental Health, Utilization Review

EVALUATION TYPE: Support

EDC ID NO: 1322 **PROJECT NO:** Reg X 76-01

FUND SOURCE: Evaluation **NTIS NO:** PB 279-927-930

MECHANISM: Contract **ERIC NO:** Not available

CONTRACT NO: 290-76-0002

COST (In Thousands): FY 76-\$20

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: September '75 through December '76

1361

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Region X Monitoring Training Program

REPORT TITLE: Monitoring Handbook: A Management Guide.

PERFORMER: N.W. Regional Ed. Laboratory; 710 S.W. 2nd Ave.;
Portland, Oregon 97204

AGENCY CONTACT: Crossman, John; Region X; 206-442-0490

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Grants in Aid

ABSTRACT: A how-to manual intended to assist program and project officers and managers in HEW regional and central offices in reviewing the adequacy of existing monitoring practices and to serve as a guide to the monitoring process is provided. Monitoring is defined as internal or external review of the operations of a program or project to ensure that legal requirements and administrative guidelines are being met and that stated goals are being addressed. Management prerequisites for monitoring are discussed for four management functions: planning, organizing, staffing, and directing. Four other management functions—controlling, operating, reporting, and budgeting—are implicitly covered in material about model implementation. A comprehensive model for developing a monitoring plan containing input, process, and output elements is described. Implementation of the model involves premonitoring planning decisions and concerns, as well as the elements of input, process, and output. Different roles of the monitor and resolving conflicts among them are discussed. Sample forms and charts and illustrative applications are included. In addition to the handbook, a report on a training program is presented. (Final report two volumes, 23 and 107 pages).

DESCRIPTORS: Federal Administration, Grant and Contract Management, Management Information and Reporting, Management Techniques

EVALUATION TYPE: Support

EDC ID NO: 0571

PROJECT NO: REG X 74-5

FUND SOURCE: Evaluation

NTIS NO: SHR 1372

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: RX-74-26-HEW

COST (In Thousands): FY 74-\$23

PROJECT STATUS: Study in process,
Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: July '74 through January '75

1362

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Multi-Jurisdictional Approach to Needs
Assessment and Human Services Planning

REPORT TITLE: Multi-Jurisdictional Approach to Needs
Assessment and Human Services Planning

PERFORMER: Minnesota Association of Counties; Larry Granger

AGENCY CONTACT: Dan Larsen; Region V; 312-353-2597

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Planning & Evaluation

ABSTRACT: This project consist of the preparation of two booklets related to human services planning. One describes and analyzes the process by which local organizations and governmental units can plan for human services programs in a coordinated manner. The other develops a process for needs assessment as part of the planning function utilizing methods now employed in the private sector.

DESCRIPTORS: Planning, Needs and Needs Assessment, Services Integration, State or Local Government

EVALUATION TYPE: Support

EDC ID NO: 1074

PROJECT NO: Reg V 76-05

FUND SOURCE: Salaries and
Expenses

NTIS NO: Not yet determined

MECHANISM: Personal Service

ERIC NO: Not available

CONTRACT NO: Not available
COST (In Thousands): FY 76-\$10
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: June '76 through June '77

1363

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Muskegon Child Health Study

REPORT TITLE: Not yet determined
PERFORMER: Michigan State Department of Public Health; Jeff
Taylor
AGENCY CONTACT: Dan Larsen; HEW-Region V; 312 353-2597
PROGRAM(S) EVALUATED:
V-Many HEW Programs-Health

ABSTRACT: This project describes and analyzes the operation of 11 different health and health related programs delivered to children/or children and mothers in Muskegon County, Michigan. Performance measures (where available) are applied to each program. Methods and problems in administering and coordinating these services are described. Problems stemming from state or federal regulations are analyzed. A second part of this study will assess the impact of program operations and effectiveness of three alternative approaches to child health care: (a) a bloc grant approach which would combine these programs; (b) a neighborhood health center approach to service delivery; and (c) delivery of all services by a multi-discipline team utilizing nurse practitioners.

DESCRIPTORS: Children and Youth, Comprehensive Health Care, Infants, Health Care Delivery Systems
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1073
FUND SOURCE: Evaluation
MECHANISM: Personal Services
CONTRACT NO: Not available
COST (In Thousands): FY 76-\$8
PROJECT STATUS: Study in process
START/END DATES: June '76 through April '77

PROJECT NO: Reg V 76-04
NTIS NO: Not yet determined
ERIC NO: Not available

1364

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Parent As Educator Concept: A Program
Strategy Evaluation

REPORT TITLE: Not yet determined
PERFORMER: ORD/OP&E and OHD/OCD w/ Contractor
Support; 3535 Market Stree Philadelphia, Pa 19101
AGENCY CONTACT: Perez-Daple, Roy; HEW Region III;
215-596-6507
PROGRAM(S) EVALUATED:
HD-Child Development-Head Start

ABSTRACT: The central issue to be examined is the effectiveness of the different Head Start program options in carrying out one of the central missions of the Head Start program: To instill in parents the concept that they are the prime educators of their children. The Head Start Program options to be investigated are: (1) the center based option; (2) the center and home based option; and (3) the home based

option. The expected findings are that the home based option will fare better than the others. In additio it is expected that some of the process variables tested will be related to differences encountered between program options. See EDC 1307.

DESCRIPTORS: Case or Site Study Feature, Child Development, Consumer Education, Survey or Questionnaire Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1308
FUND SOURCE: Evaluation
MECHANISM: In-house, Contract
CONTRACT NO: Not yet determined
COST (In Thousands): FY 76-\$25
PROJECT STATUS: Study completed
START/END DATES: January '76 through September '77

PROJECT NO: Reg III 76-05
NTIS NO: Not yet determined
ERIC NO: Not available

1365

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: HEW Reporting and Monitoring Study

REPORT TITLE: People or Paper
PERFORMER: Office of the Regional Director, Region X
AGENCY CONTACT: Crossman, John; HEW Region X;
206-442-0490
PROGRAM(S) EVALUATED:
V-Many HEW Programs-Grants in Aid

ABSTRACT: State and local governments complain about burdensome HEW reporting and monitoring requirements for formula and project grants. This study was to determine the purpose, nature, utility to HEW and the grantee agencies, and the costs of fulfilling of Federal reporting and monitoring requirements. The study involved analysis of statutes and regulations governing reporting and monitoring in 56 of the largest HEW programs, analysis of their forms, and discussions with State and local officials, private interest groups, and Federal officials involved in the creation or use of reporting. The study found that the management forms used by these programs involved 310 of the 1,100 HEW forms, that in every case there was legal authority and often a legal mandate for the data collection, that the total "burden" of collecting this data averaged only about 40 staff-years per state, that the number and burden of forms is highly variable across programs (80% of the total burden in AFDC, Medicaid, Medicare, and ESEA Title I), etc. It found little consistency among programs in management strategy. The report criticizes the OMB classification of forms and proposes alternatives, and recommends strengthening report clearance functions in both OMB and HEW. As was to be expected, State and local officials often found the Federal stewardship data of little use to

DESCRIPTORS: Federal Administration, Information Systems, Federal State and Local Relations, State or Local Government, Grant and Contract Management, Management Information and Reporting, Survey or Questionnaire Feature
EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 1321
FUND SOURCE: Evaluation
MECHANISM: In-house
CONTRACT NO: Not available
COST (In Thousands): FY 76-\$10, FY 77-\$12

PROJECT NO: Reg X 76-02
NTIS NO: EDC Only
ERIC NO: Not available

PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: February '76 through June '77

1366

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Study of Turnover of Personnel in Nursing
Homes

REPORT TITLE: Personnel Turnover in Long-Term Care Facilities
PERFORMER: Rocky Mountain Gerontology Center; University of
Utah; Salt Lake City, Utah 84112; Max L. Carruth,
801-581-6153

AGENCY CONTACT: Palmer, Richard; HEW Region VIII;
303-837-4861

PROGRAM(S) EVALUATED:
HCFA-Medicaid-Long Term Care

ABSTRACT: Personnel turnover has long been considered a serious problem by government, industry and business. Excessive turnover of personnel has resulted in increased costs, lowered efficiency, and diminished effectiveness of the organizations involved. Long term care facilities, both proprietary and non proprietary, generally experience a higher turnover rate than other institutions or organizations within the same community. To reach some conclusions regarding this turnover problem, data was collected from 83 nursing home administrators and 426 terminated employees. Findings of the study reflected the following: 1) homes ranged in size from 12 to 120 beds; 2) seventy percent of the homes are privately owned; 3) the facilities will hire almost anyone that becomes available, especially aids and orderlies; 4) wages are generally low, with little chance for advancement; and 5) In Utah the turnover rate among rural nursing homes was 78 percent, among urban facilities, 110 percent with an overall rate of 96 percent. Aids, orderlies, kitchen help, and custodial help accounting for the bulk of the turnover. The final report cites twenty recommendations for helping to reduce the turnover rate.

DESCRIPTORS: Long Term Health Care, Nursing Homes, Nursing Manpower, Survey or Questionnaire Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1320 **PROJECT NO:** Reg VIII 76-01
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Grant **ERIC NO:** Not available
GRANT NO: 180-76-C-0012
COST (In Thousands): FY 76-\$10
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: April '76 through March '77

1367

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: A Perspective on Health Problems in Illinois

REPORT TITLE: A Perspective on Health Problems in Illinois
PERFORMER: Bureau of the Budget; State of Illinois
AGENCY CONTACT: Larsen, Dan; HEW Region V; 312-353-2597
PROGRAM(S) EVALUATED:
V-Many HEW Programs-Health;
V-Non-HEW Programs-Health

ABSTRACT: This project was intended to provide assistance to Illinois to develop preventive health strategies for the state, covering all health programs. The study presents a typology for preventive health activities, surveys current health problems, and surveys existing activities, all focus on gaps for which cost-effective action may be possible. It presents comparative data on causes of death over time. The study recommends greater attention to today's greatest public health problems-- "self-imposed" health risks such as smoking, diet, and exercise--through expanded health education programs. A large number of possible initiatives are also presented, include taxes on high tar cigarettes, bans on smoking in public places, higher insurance premiums for the overweight, removal of ("junk" food vending machines from schools, and coverage of instructional services by Medicaid. (Final report pages).

DESCRIPTORS: Morbidity and Mortality, Preventive Health Care, Health Planning, Budgeting, Evaluation by State and Local Governments, Consumer Education

EVALUATION TYPE: Research and Data Development

EDC ID NO: 1313 **PROJECT NO:** Reg V 76-03
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Grant **ERIC NO:** Not available
GRANT NO: Not yet determined
COST (In Thousands): FY 76-Not available
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: September '75 through September '76

1368

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Examination of Durable Property Expenditures
Program Operations and Surplus Property Utilization by
HEW Programs in Region VI

REPORT TITLE: Phase I Evaluation Study of the Impact of Durable
Property-Acquisition on HEW Programs in Region VI
PERFORMER: College of Business Administration; Louisiana State
Univ.; Baton Rouge, LA; Donald E. Vinson
AGENCY CONTACT: Dumas, Neil S.; HEW Region VI
PROGRAM(S) EVALUATED:
DM-General Departmental Management-Operations

ABSTRACT: A research design plan was developed to investigate the impact of durable property acquisition upon management of HEW programs located in Region VI. The focus of the study would include methods by which property is acquired, impact of property acquisition methods on goals and objectives of programs, and direct and indirect costs to the Federal Government for the acquisition of durable property in 82 programs. The role of HEW in property procurement and management and the selection of contracting officers for procurement and management are examined. Regulations that affect HEW procurement decisions are noted, and surplus property legislation and utilization program operation are discussed. The process by which decisions for the lease or purchase of property are made among HEW programs is analyzed. Literature on decisionmaking processes is reviewed. Capital expenditures analysis is considered to be a subset of capital budgeting that deals with logical maximization-oriented decision processes. Components of capital expenditure control are outlined, as are the phases in a successful capital expenditure control program. The formulation of research questions and hypotheses is addressed, and data collection and analysis techniques are described. (Final report 80 pages).

DESCRIPTORS: Auditing and Accounting, Federal Administration, Facilities

EVALUATION TYPE: Research or Data Development

EDC ID NO: 0534

PROJECT NO: Reg VI 75-4

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: VI-75-6

COST (In Thousands): FY 75-\$3

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: July '75 through October '75

1369

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of the Internal Human Relations of
Selected HEW Work Units in Region VI and Impact on
Program Operations

REPORT TITLE: Plan for Evaluation of the Relationship of
Organizational Climate to Work Unit Effectiveness for
Selected Work Units of DHEW Region VI

PERFORMER: Oklahoma State University; Stillwater, Oklahoma
74074, R. Dennis Middlemist

AGENCY CONTACT: Dumas, Dr. Neil S.; HEW Region VI;
214-729-3302

PROGRAM(S) EVALUATED:
DM-General Departmental Management-Operations

ABSTRACT: The purpose of this project was to develop an approach to improving program management in the regional office by determining the relationship between specific internal organizational factors of selected HEW work units in Region VI and work unit effectiveness. The report presents a detailed research design, including survey instruments, to do so. The report builds on recent literature on management and organization, and emphasizes current theories as to the relationships between the technology of the work site, organizational climate, and organizational effectiveness. Because no there are no direct productivity measures for staff units such as these, the methodology emphasizes judgmental measures developed from non-supervisory but senior staff. The research design has not been implemented. (Final report 93 pages).

DESCRIPTORS: Productivity, Grant and Contract Management, Survey or Questionnaire Feature, Federal Administration, Bibliography Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0519

PROJECT NO: Reg VI 75-2

FUND SOURCE: Evaluation

NTIS NO: PB 259-676

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: Reg VI 75-4

COST (In Thousands): FY 75-\$4

PROJECT STATUS: Study completed,
Available from EDC,
Executive summary at EDC,
Available from NTIS

START/END DATES: July '75 through October '75

1370

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of Federal/State Effort to Assist Area
Agencies on Aging to Develop Comprehensive Services for
Older Persons

REPORT TITLE: Planning Guide to Daycare Services for Older
Persons

PERFORMER: Community Research Applications; 1560 Bway.,
Suite 1214; New York, NY 10036; Douglas Holmes, Ph.D.,
212-575-0355

AGENCY CONTACT: Meyer, Alan S. Ph.D.; HEW Region II;
212-264-1999

PROGRAM(S) EVALUATED:
HD-Aging-Community Services-Area Planning;
HD-Aging-Community Services-Social Services

ABSTRACT: A study of the process and early outcomes of joint Federal Regional Council/State technical assistance to four Area Agencies on Aging in New York and New Jersey to develop comprehensive services for older persons. This study focuses on multi level obstacles to and opportunities for establishing local day care services, protective services in-home services for older persons and congregate care facilities as alternatives to institutionalization. Recommendations were made for improving planning, coordination and monitoring, at the Federal and State levels, of local alternatives to institutionalization.

DESCRIPTORS: Deinstitutionalization, Elderly, Federal State and Local Relations, Program Coordination, Case or Site Study Feature, Technical Assistance, Social Services Delivery Systems, Planning
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1302

PROJECT NO: Reg II 76-02

FUND SOURCE: Evaluation

NTIS NO: EDC Only

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 120-76-0002

COST (In Thousands): FY 76-\$162

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: September '75 through January '78

1371

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Potential Impact of Developmental Disabilities
Legislation and Regulations on Federal and State Planning
and Evaluation

REPORT TITLE: Potential Impact of Developmental Disabilities
Legislation and Regulations on Federal and State Planning
and Evaluation

PERFORMER: Dr. Donald Hawkins; 729 Delaware Avenue, SW;
Washington, DC 20024

AGENCY CONTACT: Skinner, John; Region III, ORD;
215-596-6507

PROGRAM(S) EVALUATED:
HD-Developmental Disabilities-Program Direction &
Management

ABSTRACT: This study examines in detail the legislative and regulatory history of the DD program to show the potential impact of the most current draft regulations on federal and state program responsibilities. The new act authorizes appropriations for formula grants to States, grants for special projects, and grants for university-affiliated facilities. Formula grants cover planning, administration, services, and the construction of facilities for developmentally disabled persons. The formula grant program operates through two primary mechanisms (a State planning council and designated State agencies) and requires that HEW reserve at least 25 percent of the amount appropriated to support special projects of national significance. The findings of the study indicate the trend is toward assigning greater responsibility to the states in regard to carrying out the provisions of

DD legislation. The recommendations are that state agencies and state and state DD councils should assure that the plan looks at all eligible DD target populations and attempt to coordinate relevant services and related programs; is long range; has a grassroots foundation; has a built-in flexibility and sensitivity to changing needs; and indicates where we are now, where we are going, and what to do when we get there. A second volume is designed to help states plan through an "Evaluation Checklist; Developmental Disabilities State Plan". (Final reports 65 and 32 pages).

DESCRIPTORS: Handicapping Conditions and Problems, Planning, Regulation and Standard Setting, State or Local Administration, Evaluation by State and Local Governments
EVALUATION TYPE: Support

EDC ID NO: 0520 **PROJECT NO:** Reg III 76-01
FUND SOURCE: Evaluation **NTIS NO:** SHR-0001407
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: RO3-2471-76
COST (In Thousands): FY 76-\$8
PROJECT STATUS: Study completed,
Available from EDC,
Available from NTIS
START/END DATES: June '75 through August '76

1372

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Family Planning Project Rating System Project

REPORT TITLE: Project Rating System Handbook: Documentation of a Methodology

PERFORMER: University Research Corporation; 5530 Wisconsin Avenue, NW; Washington, DC 20015; Myra Levine 301-654-8388

AGENCY CONTACT: Geeser, Jackie; PHS, Region VII; 816-374-2403

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Planning & Evaluation

ABSTRACT: The purpose of this study is to enable the establishment of a project rating system which can be applied to the evaluation of discrete projects and programs funded under the applicable legislative authority. The contractor developed a handbook to enable Federal officials who are not evaluation specialists to develop and implement a system which compares grantees and determine their relative performance on any dimension of cost, timing, process, etc. for which measures exist. The handbook covers selecting the purposes and subjects, determining timing and frequency, selection of criteria, criteria weighting, selection of standards and measures, data collection procedures, ranking of projects, etc. The material is very general and this project does not include application to any HEW program. It is expected that this system can be applied to Family Planning projects--see EDC 1316.

DESCRIPTORS: Discretionary and Project Grant Performance, Grant and Contract Management, Management Information and Reporting
EVALUATION TYPE: Support

EDC ID NO: 1317 **PROJECT NO:** Reg VII 76-02
FUND SOURCE: Evaluation **NTIS NO:** PB 282-503,504, 461
MECHANISM: Contract **ERIC NO:** Not yet determined
CONTRACT NO: 170-76-0016
COST (In Thousands): FY 76-\$67

PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: June '76 through May '77

1373

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Public Opinion Polls

REPORT TITLE: Public Opinion and the Making of Social Policy
PERFORMER: Univ. of Neb. at Omaha; Box 688; Omaha, Nebraska 68101; Dr. Bill D. Bell

AGENCY CONTACT: Fain, Dr. Robert P.; Region VII; 816-374-5081

PROGRAM(S) EVALUATED:
HD-Aging-Community Services-Area Planning

ABSTRACT: The purpose of this investigation was to inventory and extract from already existing public opinion poll data information pertaining to the needs, attitudes and behaviors of older as well as younger respondents. It was a "feasibility study" in the sense that it hypothesized that such data were available, could be gleaned and analyzed for the purpose of providing another data base of information for the establishment of program policy and evaluation. The scope of the investigation included all regional and national polls which contained questions relative to the known concerns of the elderly target population of AoA. The study concluded that the results of national polls are potentially useful in policy-setting, but the lack of consistency among them, the inadequacy of results useful for making generalizations, and "regionality of focus" limit specific conclusions. However, numerous specific findings of interest were possible, including for example that notwithstanding the common legislative provision of transportation services as essential supportive services, older people are not much concerned over transportation "needs". (Final report 138 pages).

DESCRIPTORS: Elderly, Needs and Needs Assessment, Planning, Feasibility Study Feature, Survey or Questionnaire Feature, Statistical Data Feature, Social Attitudes and Public Opinion
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0573 **PROJECT NO:** Reg VII 75-3
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 170-75-0002
COST (In Thousands): FY 75-\$14
PROJECT STATUS: Study in process,
Study completed,
Available from EDC
START/END DATES: June '75 through March '76

1374

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Recommendations on Improving Internal Medical Quality Reviews in Selected HEW Region X Grantee Organizations

REPORT TITLE: Recommendations on Improving Internal Medical Quality Reviews in Selected HEW Region X Grantee Organizations

PERFORMER: Management Sciences for Health; One Broadway; Cambridge, MASS 021

AGENCY CONTACT: Region X; Seattle; Washington
PROGRAM(S) EVALUATED:
PHS-HSA-Community-Health Centers

ABSTRACT: The purpose of this study was to develop an internal medical quality review process that would be feasible for the federally funded ambulator care programs of Region X which are mostly rural and small. The model was applied and tested with seven BCHS grantees in Region X. The model, as it developed, ranges beyond simple chart-audits of providers-client interactions into planning, administration, and control of health care delivery. It has two components: a description and framework for organizational self-assessment and control, along the management-by-objectives line; and a prescriptive content detailing pre-requisites to self-assessment, dimensions, criteria and targets of assurance. (Final report 140 pages)

DESCRIPTORS: Ambulatory and Outpatient Health Care, Bibliography Feature, Health Care Delivery Systems, Research and Evaluation Planning and Administration, Quality Assurance
EVALUATION TYPE: Place Project or Techniques

EDC ID NO: 1684
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: 290-76-0012
COST (In Thousands): FY -Not available
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: Unknown start date through April '78

PROJECT NO: Reg X 76-03
NTIS NO: Not yet determined
ERIC NO: Not available

1375

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Long Term Care - Patient Classification
(Minnesota)

REPORT TITLE: Restructuring Medicaid for Improving the Quality of Long Term Care

PERFORMER: Quality Assurance and Review Program; Minnesota Dept. of Public Health

AGENCY CONTACT: Dan Larsen; HEW-Region V; 312 353-2597

PROGRAM(S) EVALUATED:
V-Many HEW Programs-Health

ABSTRACT: This study performs secondary analysis on existing quality review data with regard to SNF and ICF residents in the state of Illinois and suggests alternative ways of classifying patients and institutions in order to achieve more appropriate care.

DESCRIPTORS: Long Term Health Care, Nursing Homes
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1077
FUND SOURCE: Salaries and Expenses (S&E)
MECHANISM: Purchase Order
PURCHASE ORDER NO: RO-V-S144-76
COST (In Thousands): FY 76-\$5
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: June '76 through February '77

PROJECT NO: Reg V 76-08
NTIS NO: Not yet determined
ERIC NO: Not available

PROJECT DESCRIPTIONS

1376

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of Assessment of State Plans
Submitted by New England States Under Title XX Social Security Act and Development of Instrument to Assess State Plan

REPORT TITLE: Review and Analysis of New England States' Title XX Plans

PERFORMER: Florence Heller Graduate School for Advanced Studies in Social Welfare; Brandeis University; Waltham, Massachusetts

AGENCY CONTACT: Yessian, Mark; Region I; 617-223-6503

PROGRAM(S) EVALUATED:
HD-Social Services

ABSTRACT: A review of comprehensive service plans produced by the six New England States during the first year of Title XX's enactment is presented. Title XX of the Social Security Act, Grants to States for Services, contains a number of features that can result in improved delivery of social services. It delegates to the State increased authority over the choice and definition of services for which Federal financial participation is reliable. Comprehensive service plans produced by Conn., Maine, Mass., New Hampshire, Rhode Island, and Vermont during 1975 are discussed. It is noted that only Mass. and Maine use geographic areas in the presentation of their Title XX plans. All States except Conn. give a brief service definition and detail service objectives. Significant variations are reported by States in the distribution of expenditures among eligibility groups. Mechanisms of program coordination and utilization employed by the States are detailed, and organizational structure of Title XX social services detailed, and organizational structure of Title XX social services is described. Consideration is given to each State's needs assessment process and planning, evaluation and reporting activities. Recommendations are made for the improvement of service delivery under Title XX. Organizations that have agreed to participate in recruiting eligible people for supplemental security income funds are identified. (Final report 109 pages.)

DESCRIPTORS: Social Services Delivery Systems, State or Local Administration, Administrative Personnel

EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0654
FUND SOURCE: Evaluation
MECHANISM: Contract
CONTRACT NO: HEW/RD 110-75-1-0017
COST (In Thousands): FY 75-\$4
PROJECT STATUS: Study completed,
Available from EDC
START/END DATES: June '75 through January '76

PROJECT NO: Reg I-75-3
NTIS NO: EDC Only
ERIC NO: Not available

1377

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Evaluation of the Role and Function of Project Officers in DHEW Region VI Program Agencies

REPORT TITLE: The Roles and Functions of Project Officer in DHEW Region VI Program Agencies 3 Vols.

PERFORMER: CPI Associates; 8435 No. Stemmons; Dallas, Texas 75247

AGENCY CONTACT: Gonzales, Ricardo; HEW Region VI; 729-3310

PROGRAM(S) EVALUATED:

DM-General Departmental Management-Operations

ABSTRACT: The purpose of this study is to review the position of "project officer" in Region VI; that is, the person who lets and monitors contracts and grants. The contract focuses on the identification of job responsibilities for the position; the kinds of skills an individual should possess and the activities that will normally be performed in that role. It will lead to a description of the organizational relationships that do or should exist between the project officer and the contract/grant administrator, supervisor, and contractor, grantee. This study does not entail the evaluation of individual project officers; it is a study of the position only. The study will lead to the development of training and reference manuals for project officers that will facilitate their work.

DESCRIPTORS: Administrative Personnel, Grant and Contract Management, Survey or Questionnaire Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 1315 **PROJECT NO:** Reg VI 76-02
FUND SOURCE: Evaluation **NTIS NO:** EDC Only
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 160-76-0012
COST (In Thousands): FY 76-\$115
PROJECT STATUS: Study completed,
 Available from EDC,
 Executive summary at EDC
START/END DATES: June '76 through July '77

1378

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: State of the Region Project

REPORT TITLE: Selected Socio-economic Data Elements Available from States Within Region IX
PERFORMER: Census Use Staff; Department of Commerce
AGENCY CONTACT: Harper, John; 50 UN Plaza; Rm. 163; San Francisco, Calif. 94102; 415-556-7590
PROGRAM(S) EVALUATED:
 V-Many HEW Programs-Social Research & Statistics

ABSTRACT: Three tasks were performed by this contract: 1) From a list of HEW statistics selected by Region IX program officials, the contract was to determine if the data could be secured from existing state publications and data banks. Additionally, the information collected from each state should be comparable with that available from the other states in the region and easily updateable each year. The contract demonstrated that not all data was available in each state, there was little comparability of data between states, and annual updating would be very difficult for the regional office. 2) Three-day long courses were conducted for HEW Regional staff on data collection techniques, available data sources, data reliability, and statistical analysis of data. All Region IX POCs and staff offices had representatives at the courses. 3) Manila folders were designed, produced and delivered to Region IX intergovernmental staff for their use. Folders arrayed basic data and information on each county in Region IX.

DESCRIPTORS: Statistical Data Feature
EVALUATION TYPE: Research and Data Development

EDC ID NO: 0664 **PROJECT NO:** Reg IX 74-2
FUND SOURCE: Evaluation **NTIS NO:** Not yet determined
MECHANISM: Transfer **ERIC NO:** Not available
TRANSFER NO: 09-74-37 (01)
COST (In Thousands): FY 74-\$75

PROJECT STATUS: Study completed
START/END DATES: July '74 through June '75

1379

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Human Resources Needs Assessment for Comprehensive Planning

REPORT TITLE: Springfield Project Evaluation Report and Report Appendices

PERFORMER: Human Resources Planning Institute; Suite 230 Maritime Bldg; Seattle, Washington 98104; Theodore Lane (206) 622-1380

AGENCY CONTACT: Blazer, Robert J.T.; Region VII; 816-374-5081

PROGRAM(S) EVALUATED:
 V-Many HEW Programs-Employment & Training;
 V-Non-HEW Programs-Employment & Training

ABSTRACT: The site of this study was Springfield, Missouri; the purpose was to develop a model for program coordination which would be useful in the operations of comparable prime sponsors under the Comprehensive Employment and Training Act. Conclusions of the study were that most of the coordination which occurs is informal rather than formal; there is no common data base; there is no adequate method for tracking clients in the manpower services system; the role of elected officials in respect to coordination of services is non-specific. The final report makes specific recommendations for improving the above conditions consistent with a model for coordination which was developed jointly between the staff of the CETA prime sponsor and service providers. (Final report 304 pages).

DESCRIPTORS: Training and Employment of the Disadvantaged, Program Coordination, Labor Market Problems, State or Local Administration, Planning, Case or Site Study Feature
EVALUATION TYPE: Place Project or Technique

EDC ID NO: 0658 **PROJECT NO:** Reg VII 75-2
FUND SOURCE: Salaries and Expenses **NTIS NO:** PB 282-464
MECHANISM: Contract **ERIC NO:** Not available
CONTRACT NO: 170-75-0003
COST (In Thousands): FY 75-\$35
PROJECT STATUS: Study completed,
 Available from EDC,
 Available from NTIS
START/END DATES: June '75 through January '76

1380

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Eligibility Requirements-Human Services Programs

REPORT TITLE: Streamlining Social Benefit Programs: Who Should Benefit?

PERFORMER: Illinois Bureau of the Budget; Planning Division; Tom Berkshire

AGENCY CONTACT: Friedman, Richard; Region V

PROGRAM(S) EVALUATED:
 V-Many HEW Programs-Social Services;
 V-Non-HEW Programs

ABSTRACT: This study identified for each Illinois human service program in which financial resources are factors of eligibility each determinant or eligibility screen used. Forty two programs were reviewed; 498 separate eligibility determinants were identified. This project surveyed over 50 service delivery and income transfer programs (HEW and non-HEW) and compares the eligibility requirements and means test attached to each one. It then identifies problems and inconsistencies in these requirements and the possible effects on clients, and suggests modifications and standardization in these requirements.

DESCRIPTORS: Eligibility Issues, Statistical Data Feature, Survey or Questionnaire Feature

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0662 **PROJECT NO:** Reg V 76-06

FUND SOURCE: Not yet determined

NTIS NO: EDC Only

MECHANISM: Grant

ERIC NO: Not available

CONTRACT NO: Not yet determined

COST (In Thousands): Not available

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: June '75 through September '76

1381

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Student Financial Aid Management System Study

REPORT TITLE: Student Financial Aid Management System Study

PERFORMER: Northwestern Regional Educational Laboratory; 710
SW Second Ave.; Lindsay Bldg.; Portland, Oregon 97204;
Hollis Adams

AGENCY CONTACT: Baseheart, Edward; Region X; 399-0493

PROGRAM(S) EVALUATED:

E-OE-Higher Education-Student Assistance-Direct Student
Loans;

E-OE-Higher Education-Student Assistance-Work Study;

E-OE-Higher Education-Student Assistance-Supplementary
Opportunity Grants

ABSTRACT: This project was initiated to review the process by which HEW officials in Region X (and presumably nationally) solicit, aid, process, and approve applications from colleges for campus-based student aid programs, and to develop a computer program which would edit the applications and reduce hand computations and checks of the many relevant items (percent of needy students, average parent contributions, single vs married students, proportion of students aided from other sources, etc.). The award process uses college officers to sit on panels to review applications. The report found that panels were unduly subjective, of the inexperienced, tended to incremental funding, and could not absorb the data on the application forms. The forms were often inaccurate, always unverified, rarely edited, excessively long and complex, and not well understood by many schools. There was no method to interpret "unmet need" figures. The computer edit program handled well the clerical problems, but of course did not resolve the basic deficiencies of the review process. Numerous recommendations follow.

DESCRIPTORS: Scholarships Fellowships and Loans, Higher Education, Federal Administration, Grant and Contract Management, Management Information and Reporting, Needs and Needs Assessment

EVALUATION TYPE: Program Management or Efficiency

EDC ID NO: 0572

FUND SOURCE: Evaluation

MECHANISM: Contract

CONTRACT NO: RX 965-75

COST (In Thousands): FY 75-\$10

PROJECT STATUS: Study in process,
Study completed,
Available from EDC

START/END DATES: September '75 through March '76

1382

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Study and Evaluation of the Impact of Regional
Officer Technical Assistance and Monitoring Activities

REPORT TITLE: Study and Evaluation of the Impact of Regional
Officer Technical Assistance and Monitoring Activities

PERFORMER: Abt Associates; 55 Wheeler Street; Cambridge,
Mass. 02138

AGENCY CONTACT: Alba, Guy; HEW Region II; 212-264-4408

PROGRAM(S) EVALUATED:

PHS-ADAMHA-Mental Health-Community Programs &
Centers;

PHS-HSA-Health Services-Community-Family Planning;

PHS-HSA-Health Services-Community-Migrant Health

ABSTRACT: Report presents a series of recommendations regarding management improvements regarding monitoring practices employed in PHS regional office. Recommendations include those specific to island projects, mental health monitoring, dissemination of information, use of consultants, and monitoring instruments and techniques. Includes an analysis of findings on which recommendations were based.

DESCRIPTORS: Management Information and Reporting, Grant and Contract Management, Technical Assistance

EVALUATION TYPE: Support

EDC ID NO: 1304

PROJECT NO: Reg II 76-04

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 292-76-0002

COST (In Thousands): FY 76-\$61

PROJECT STATUS: Study completed

START/END DATES: September '75 through September '76

1383

AGENCY SPONSOR: Office of the Secretary
Regions

PROJECT TITLE: Primary Health Care Manpower Needs-Wisconsin

REPORT TITLE: A Study Design and Demonstration for
Determining Statewide Primary Care Personnel
Requirements

PERFORMER: Wisconsin State Health Planning Agency; Bob
Kramer

AGENCY CONTACT: Dan Larsen; Region V; 312-353-2597

PROGRAM(S) EVALUATED:

V-Many HEW Programs-Health

ABSTRACT: This project identifies 5 different methodologies for determining primary health care manpower needs (for both physicians and paraprofessionals, discusses the utilization of each method, and applies each to acute hospital service areas within the state of Wisconsin)

DESCRIPTORS: Health Manpower Projections, Physicians, Para-professionals**EVALUATION TYPE:** Place Project or Technique**EDC ID NO:** 1076**PROJECT NO:** Reg V-76-07**FUND SOURCE:** Salaries and Expenses (S&E)**NTIS NO:** Not yet determined**MECHANISM:** Personal Service**ERIC NO:** Not available**CONTRACT NO:** Not yet determined**COST (In Thousands):** FY 76-\$7**PROJECT STATUS:** Study completed,
Available from EDC**START/END DATES:** June '76 through July '77

1384

AGENCY SPONSOR: Office of the Secretary
Regions**PROJECT TITLE:** Ties That Bind. HEW National Management
Planning Study - 1976**REPORT TITLE:** Ties That Bind. HEW National Management
Planning Study - 1976**PERFORMER:** HEW Region X; Seattle, WA**AGENCY CONTACT:** Not yet determined**PROGRAM(S) EVALUATED:**

DM-General Department Management-Direction

ABSTRACT: A national study of planning was conducted in 1976 by the Region X Office of DHEW, centered in Seattle, Washington, to evaluate those DHEW programs for which State and/or local governments are the grantees. The study covered 54 DHEW programs (46 formula grant programs and 8 project grant programs health, education, income maintenance, and social services, representing 91 perc of DHEW funds going to State and local governments. The impact of Federal regulations on grantee planning and management is analyzed. A detailed evaluati of statutes and regulations is presented, plus the results of interviews with th human resource administrators of State and local governments in 10 States (1 per region). Ten problem areas in Federal requirements are addressed which have an adverse effect on the rational allocation of resources to needs. The statutory and regulatory genesis of these problems is detailed, solutions to the problems are recommended, and a tentative implementation plan for recommended reforms in DHEW programs for which State and/or local governments are the grantees is presented. The separation of compliance functions from planning functions is suggested. It is concluded that the complexity of federal restrictions hindered the investigation of whether service gaps and duplications occur.

DESCRIPTORS: Planning, Federal State and Local Relations, Administrative Personnel, State or Local Administration, Survey or Questionnaire Feature, Grants-in-Aid, Grant-in-Aid Formulas**EVALUATION TYPE:** Other**EDC ID NO:** 1367**PROJECT NO:** Reg X 75-2**FUND SOURCE:** Evaluation**NTIS NO:** SHR-0000719**MECHANISM:** In-house**ERIC NO:** Not available**CONTRACT/GRANT NO:** Not available**COST (In Thousands):** FY -Not available**PROJECT STATUS:** Study completed,
Available from EDC,
Available from NTIS**START/END DATES:** June '75 through July '76

1385

AGENCY SPONSOR: Office of the Secretary
Regions**PROJECT TITLE:** Services to Incorporate Additional Requirements
of the Family Planning Rating System to the Current PSRS
Data Requirements**REPORT TITLE:** User's Guide: Region VII Family Planning
Management Information System**PERFORMER:** McDonnell Douglas Automation Co; Box 516; St.
Louis, Missouri 63116; L. Michael Jost**AGENCY CONTACT:** Geeser, Jackie; PHS, Region VII;
816-374-2403**PROGRAM(S) EVALUATED:**

PHS-HSA-Health Services-Community-Family Planning

ABSTRACT: The development of a Family Planning Project Rating System will require the development of an instrument for the collection of data, initially and on-going, from grantees which will be useful in the application of the rating system in program evaluation. Likewise, there is a program reporting system being utilized by PHS called the PSRS. Being conscious of the necessity for avoiding placing additional (and, possibly, duplicative) requirements for the collection and reporting of data, it is planned that the PSRS will be amended or supplanted by a more efficient data collection/analysis system which will (1) yield essential program and administrative data and (2) yield data that will facilitate the application of the newly-developed rating system for this particular program of PHS. This contract is necessarily intertwined with the scope of work and the success of EDC 1317. Critical to the successful execution of this project is the clearance by PHS and by OMB of the data collection instrument. This contractor cannot perform under the contract until such clearance is obtained.

DESCRIPTORS: Management Information and Reporting, Grant and Contract Management, Family Planning, Discretionary and Project Grant Performance, Information Systems, Survey or Questionnaire Feature**EVALUATION TYPE:** Support**EDC ID NO:** 1316**PROJECT NO:** Reg VII 76-01**FUND SOURCE:** Evaluation**NTIS NO:** EDC Only**MECHANISM:** Contract**ERIC NO:** Not available**CONTRACT NO:** 170-76-0017**COST (In Thousands):** FY 76-\$50**PROJECT STATUS:** Study completed,
Available from EDC**START/END DATES:** June '76 through December '77

1386

AGENCY SPONSOR: Office of the Secretary
Regions**PROJECT TITLE:** To Demonstrate the Feasibility of Utilizing a
Human Resources Planner in a Substate Planning District**REPORT TITLE:** The Utility of Data Systems and the Availability
of Technical Assistance**PERFORMER:** Sixth District Council of Local Governments; 306
E. St. Joe Street; Rapid City, SD. 57701**AGENCY CONTACT:** Elwood, Odell; ORD Reg VIII;
303-837-2831**PROGRAM(S) EVALUATED:**

V-Many HEW Programs-Grants in Aid

ABSTRACT: To test the feasibility and utility of human resources planning in a sub-state planning district; i.e., evaluating current A-95

process, evaluating utilization of existing data systems, evaluating availability and adequacy of technical assistance from Federal sources, etc. The final results of this study indicate a positive functions for A-95, mostly because of access by that office to state cabinet members and their immediate proposals. Data systems evaluation indicated that information from the state systems to county units was put to wider use than from Federal systems. County units are still requesting more direct technical assistance from Federal agencies. Additionally human resource planners can provide functional assistance at subdistrict levels, but are often hindered by Federal categorical procedures.

DESCRIPTORS: Planning, Program Coordination

EVALUATION TYPE: Research and Data Development

EDC ID NO: 0660

PROJECT NO: Reg VIII-75-2

FUND SOURCE: Evaluation

NTIS NO: Not yet determined

MECHANISM: Contract

ERIC NO: Not available

CONTRACT NO: 180-75-0021

COST (In Thousands): FY 75-\$4

PROJECT STATUS: Study completed,
Available from EDC

START/END DATES: June '75 through June '76



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